

# 1 **Past abrupt changes, tipping points and cascading impacts in the Earth system**

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10 **The geological record shows that abrupt changes in the Earth system can occur on**  
11 **timescales short enough to challenge the capacity of human societies to adapt to**  
12 **environmental pressures. In many cases, abrupt changes arise from slow changes in one**  
13 **component of the Earth system that eventually pass a critical threshold, or tipping point,**  
14 **after which impacts cascade through coupled climate-ecological-social systems. Abrupt**  
15 **changes are rare events and their chance to occur increases with the length of**  
16 **observations. The geological record provides the only long-term information we have on**  
17 **the conditions and processes that can drive physical, ecological, and social systems into**  
18 **new states or organizational structures, which may be irreversible within human time**  
19 **frames. Here, we use well-documented abrupt changes of the past 30 thousand years to**  
20 **illustrate how their impacts cascade through the Earth System. We review useful**  
21 **indicators of upcoming abrupt changes, or early warning signals, and provide a**  
22 **perspective on the contributions of paleoclimate science to the understanding of abrupt**  
23 **changes in the Earth system.**

24

25 There is increasing awareness and concern that human modification of environment runs the risk  
26 of inducing abrupt changes in a variety of Earth System components<sup>1</sup> (Box 1). Disintegration of  
27 ice sheets, permafrost thaw, slowdown of ocean circulation, tropical and boreal forest dieback,  
28 and ocean deoxygenation are examples of rapid changes with harmful societal consequences  
29 that might happen in the future due to ongoing anthropogenic climate change. Analogous events  
30 have occurred in the recent geological past<sup>2</sup> (Fig. 1). To be useful for understanding possible  
31 consequences of future climate change, these past events require quantifying the characteristics  
32 and timing of the initial abrupt change, the tipping points involved, and the following sequence of  
33 cascading consequences for other components (Box 1).

34

35 Here, we follow the Intergovernmental Panel on Climate Change Assessment Report 4 (IPCC  
36 AR4)<sup>3</sup> definition of abrupt changes (events) as large-scale changes that are much faster than the  
37 change in the relevant forcing such as rising atmospheric CO<sub>2</sub> concentration (Box 1). In addition,

38 we assess evidence for past tipping points, or thresholds, beyond which components of the Earth  
39 system rapidly move to a new state, but take much longer to return to the original state even  
40 when forcings are ceased away (Box 1). Forcings evolve frequently in the Earth system, but do  
41 not always reach the tipping points that might lead to abrupt changes. For instance, regional  
42 droughts interspersed with occasional wet periods generally may not have a strong effect on  
43 ecosystems adapted to such a climate state. However, if a drought persists over many years  
44 (megadroughts<sup>4</sup>), the water available for plants could drop below a critical threshold, leading to a  
45 cascade of abrupt changes in vegetation cover, agriculture and societies that may be irreversible  
46 for decades to centuries<sup>5,6</sup>.

47

48 A rapidly growing archive of paleoclimatic, paleoecological, and archaeological records is  
49 particularly useful for understanding the ways in which abrupt change emerges from the  
50 interaction among system components and can cascade across components and scales. Here,  
51 we consider cascading interactions where abrupt changes in one component have led to abrupt  
52 changes in other components<sup>7</sup> (Box 1). Causality in such cascading interactions can be difficult  
53 to prove from paleorecords alone, and predictive power of past causalities for the future events is  
54 limited by different timescales and forcings. However, we can infer causal interactions if there is  
55 sufficient evidence and consistency in relative timing of changes, process understanding, and, if  
56 available, support from Earth system model experiments.

57

58 Gleaning useful information from paleo archives requires putting this evidence into consistent  
59 temporal, spatial and conceptual frameworks. It is especially hard to infer causality in interactions  
60 among Earth system components. Existing work on these interactions suggests that the majority  
61 of cascading changes proceed from larger to smaller spatial scales<sup>8</sup>. Hence, we structure the  
62 paper to consider causality generally flowing from climate to ecological and sometimes to social  
63 systems, focusing on cascading of abrupt changes from one component to another, with  
64 particular attention to cryosphere-ocean interactions and hydroclimate variability (Fig. 2). These  
65 two important classes of abrupt changes are the most prominent examples with the requisite

66 number or quality of paleo records, as well as they likely have important societal impacts in the  
67 near future.

68

### 69 **Cascading Impacts of Cryosphere-Ocean Interactions**

70

71 Interactions between the cryosphere and oceans have produced some of the most dramatic  
72 events in the geological record, including glacial outburst floods and repeated catastrophic  
73 iceberg discharges during past glaciations (Table 1). Model simulations of the ocean-atmosphere  
74 dynamics consistently show that the vertical convection in the North Atlantic, as well as the  
75 advective fluxes associated with the Atlantic meridional overturning circulation (AMOC), may be  
76 weakened or even stopped ('shut down') by pulses of freshwater into the surface ocean at high  
77 northern latitudes<sup>9</sup>. These circulation changes are associated with a specific spatial pattern, often  
78 referred to as a "bi-polar seasaw"<sup>10</sup>, including a southward shift of the Intertropical Convergence  
79 Zone, substantial cooling in the Northern Hemisphere centered in the North Atlantic region, and  
80 general warming in the Southern Hemisphere. Paleoclimate data from ice cores reveal the  
81 persistence of such a bipolar pattern of climate on millennial timescales during the last ice age  
82 and the deglaciation (ca. 19 to 12 thousand years ago)<sup>10</sup>, and evidence from deep-sea sediments  
83 confirms that these abrupt climate changes were associated with substantial changes in  
84 AMOC<sup>11,12</sup>. The cause of these changes in AMOC is widely believed to be related to cryosphere-  
85 ocean interactions. The likely candidate mechanisms including surging ice sheets<sup>13</sup>, ice-shelf  
86 breakup<sup>14</sup>, a coupled ocean-ice "salt oscillator"<sup>15</sup>, catastrophic ice stream retreat<sup>16</sup>, deep ocean  
87 warming due to deglaciation<sup>17</sup>, are all considered to be threshold responses to slowly varying  
88 forcing (Fig. 2a).

89

90 About twenty climate fluctuations known as Dansgaard-Oeschger (D-O) events occurred during  
91 the last glacial cycle. Their abrupt onsets of warming on decadal timescales<sup>18</sup> correspond to  
92 temperature increases that may have exceeded 15°C in Greenland and several degrees in  
93 Europe, generally followed by a multi-century cooling trend and terminated by an abrupt return to

94 the glacial baseline<sup>19</sup>. These events caused major adjustments to hydroclimate and carbon  
95 cycling<sup>20-22</sup>, with evidence for crossing regional thresholds in marine ecosystems, such as a  
96 change to anoxic deep water conditions in the Cariaco Basin<sup>23</sup>, and terrestrial ecosystems, for  
97 example, forest expansion in western Mediterranean region<sup>24</sup>, extinction of Holarctic megafaunal  
98 species<sup>25</sup> (Table 1), and abrupt increases in methane emissions from wetlands<sup>26</sup> (Figure 3). D-O  
99 events demonstrate that global-scale reorganization of the climate system can occur on decadal  
100 time scales<sup>27</sup>, possibly triggered by abrupt changes in AMOC. While the focus is often on  
101 meltwater as the driver of AMOC reduction and Northern Hemisphere cooling, the onset of D-O  
102 warming is extremely abrupt and typically exceeds the rate of cooling into stadial events. These  
103 rapid fluctuations suggest that AMOC recovery can occur on even faster timescales than a  
104 'shutdown'<sup>18,28</sup>.

105  
106 During the rapid deglacial transition into the Bølling-Allerød warm period (14.7-12.9 ka), abrupt  
107 changes cascaded through the whole Earth system (Figs. 1, 2a, 3). The strengthening of the  
108 AMOC<sup>12</sup>, rapid sea level rise during Meltwater Pulse 1 event<sup>29</sup>, and an abrupt increase in  
109 atmospheric CO<sub>2</sub> and CH<sub>4</sub> concentrations<sup>26</sup> (Fig. 3) led to abrupt changes in terrestrial climate,  
110 water availability<sup>30</sup> and vegetation composition in the Northern<sup>31-33</sup> and Southern Hemisphere<sup>34</sup>  
111 (Table 1, Annex 1). In addition, marine records from low-oxygen regions document rapid changes  
112 to sedimentary hypoxia (Fig. 3, Annex 1). These records include evidence for an expansion of  
113 the oxygen minimum zone (OMZ) across the North Pacific<sup>35</sup> as well as shifts to more severe  
114 hypoxia in the Cariaco Basin<sup>23</sup> and Arabian Sea<sup>36</sup>, suggesting a persistent link between warming  
115 and ocean deoxygenation that transcends regional patterns in circulation and productivity. In the  
116 North Pacific, abrupt onset of hypoxia occurred in conjunction with rapid warming of surface  
117 waters by 4-5°C<sup>37</sup>. Rates of onset of severe hypoxia were on century time scales or possibly  
118 faster<sup>38</sup> (Fig. 3, Annex 1), while benthic faunal recovery lasted 1,000-2,000 years, representing  
119 recovery time periods that were at least 10 times longer than the initial changes<sup>37</sup>.

120

121 Past sea-level rises linked to ice-sheet collapses have sometimes caused abrupt flooding events  
122 with ecological and social consequences. The best-quantified rates during these rapid rises  
123 exceed 20 meters per thousand years<sup>39</sup> (Figs. 2a, 3, Annex 1). The flooding was more abrupt at  
124 local to regional scales. A particularly prominent example of abrupt flooding is the Black Sea  
125 (Table 1), which has a sill depth across the Strait of Bosphorus that today is 35 meters below sea  
126 level. As ice sheets melted, and sea level gradually rose to the level of the Black Sea sill at  
127 approximately 9.5 to 9.0 ka, seawater spilled into the basin, raising the Black sea level by more  
128 than 10 meters within few decades<sup>40,41</sup>. This flooding established connection to the sea that  
129 includes saltwater inflow at depth and fresher outflow at the surface<sup>41</sup> creating an anoxic and  
130 sulphate-reducing deep basin. Other examples of deglacial sea level flooding include Doggerland  
131 between the modern British Isles and mainland Europe, where the Channel River or Fleuve  
132 Manche paleo-river gave way to the repeated deglacial inundations that most recently resulted in  
133 the modern English Channel and North Sea<sup>42</sup>, and the broad Sunda Shelf with abrupt  
134 submergence period between 14.6 and 14.3 ka<sup>43</sup>. In each of these cases, crossing regional-scale  
135 thresholds in response to a gradual rise of sea level resulted in new and dramatically different  
136 states that, in places, presumably altered the trajectories of early human societies.

137

### 138 **Cascading Impacts of Hydroclimate Variability**

139

140 Hydroclimate variability (changes in land climate and hydrology) in the current interglacial, the  
141 Holocene (started 11.7 ka<sup>44</sup>), represents the most vivid examples of cascading abrupt changes  
142 relevant for present- day. The Holocene is often considered a period of relatively stable climate  
143 and a “safe operating space” for humankind<sup>45</sup>. While this is true globally, geological records show  
144 a number of abrupt changes originating and cascading through coupled climate, ecological, and  
145 social systems on regional scale<sup>46,47</sup>. For example, an abrupt climate event about 8200 years  
146 ago, caused by ice-sheet meltwater discharge into the North Atlantic, led to cold and dry  
147 conditions in the Northern Hemisphere<sup>48</sup> visible in rapid changes in vegetation composition in  
148 Europe<sup>49</sup> and North America (Table 1, Annex 1). Key characteristics of the current interglacial

149 include a warm and hydrologically variable atmosphere, a growing anthropogenic footprint<sup>50</sup>, and  
150 multiple instances of abrupt change in hydroclimate<sup>51</sup>, vegetation<sup>52</sup>, and societies<sup>46</sup>.

151

152 Hydroclimate variability during the Holocene was partially forced by slow variations in Earth's  
153 orbit on millennial timescales<sup>53</sup> and solar activity on centennial timescales<sup>54</sup>. Decadal-scale  
154 clusters of volcanic eruptions were likely responsible for abrupt cooling in the 6<sup>th</sup> century that led  
155 to famine and societal reorganization in Europe (transformation of the eastern Roman Empire)  
156 and Asia (a rise of the Arabic Empire)<sup>55</sup>. Many of the most severe megadroughts (decadal-scale  
157 droughts) appear to represent unforced variability in the ocean-atmosphere system, such as the  
158 El Niño–Southern Oscillation (ENSO)<sup>4</sup>. Megadroughts during the Holocene were larger and more  
159 intense than any observed in the 20<sup>th</sup> and 21<sup>st</sup>-century instrumental records. In North America,  
160 multiple episodes of droughts and abrupt ecosystem changes are identified from 10.7 to 0.6 ka<sup>47</sup>,  
161 with the earliest abrupt moisture decrease at 9.4 ka likely linked to meltwater pulses into the  
162 North Atlantic. Widespread megadroughts, synchronous societal collapse and reorganization  
163 have been reported at 4.2 ka, especially in mid- and low latitudes<sup>56</sup>, which is the basis for  
164 proposed Megahalayan stage of the Holocene. However, the cause of the 4.2 ka event remains  
165 unclear and its signal is weak in some regions such as the northern North Atlantic<sup>57</sup>.

166

167 The propagation of abrupt change from the hydroclimate to collapses in ecological and social  
168 systems well-documented in regions around the world<sup>6,58</sup> is especially pronounced at the end of  
169 the African Humid Period (AHP) lasted from 15 ka to 5 ka<sup>53</sup> (Fig. 2b). The southward retreat of  
170 monsoonal rainfall belts in North Africa - driven by changes in the summer insolation mainly  
171 related to the climatic precession of the Earth's orbit - was frequently marked by abrupt, local-  
172 scale declines in rainfall that progressed spatially from north to south<sup>59,60</sup>. The termination of the  
173 African Humid Period at around 5 ka occurred on centennial rather than decadal timescale, but at  
174 least an order of magnitude faster than the orbital forcing changes (Annex 1). The termination  
175 was amplified by vegetation feedbacks, desiccation of lakes, soil erosion and dust emissions<sup>61</sup>  
176 (Fig. 2b). Some local aquatic and terrestrial ecosystems experienced a series of abrupt changes,

177 as thresholds were passed for individual species and ecosystems<sup>62</sup>. North African drying and  
178 vegetation changes led to a cascade of other abrupt changes. These include the collapse of  
179 complex networks of terrestrial vertebrate herbivores and carnivores, as their resource base of  
180 primary productivity was undercut<sup>63</sup>. It also includes the retreat of pastoral societies from North  
181 Africa<sup>64</sup> and the episodes of failed flooding on the Nile River and dynastic turnover from Old to  
182 New Kingdom in Egypt<sup>58</sup>.

183

184 During the early Holocene, the Great Plains in North America were also marked by widespread  
185 regional drying on millennial timescales<sup>65</sup>, producing abrupt biome-scale changes as individual  
186 species and ecosystems passed thresholds<sup>66</sup>. Examples include rapid replacement of C<sub>3</sub> forest  
187 and grasslands with C<sub>4</sub> grasslands<sup>67</sup>, forest loss and eastward shift of the prairie-forest ecotone<sup>68</sup>  
188 (Fig. 3, Annex 1), altered fire regime<sup>69</sup> and lowered groundwater tables in the northern Great  
189 Plains<sup>47</sup>. In the mesic forests of eastern North America and Europe, trees such as oak and  
190 hemlock experienced major decline in abundance that have been linked to droughts and climate  
191 variability in the North Atlantic<sup>70</sup>. In southwestern North America farming settlements experienced  
192 repeated cycles of growth in the number and size, followed by abandonment and population  
193 dispersal. These cycles were intimately linked to expansion and contraction of maize production,  
194 which were tied to drought events whose impacts were amplified during periods of maximal  
195 growth by higher populations and more complex societal organizations<sup>71</sup>.

196

197 Hydroclimate variability, such as megadrought, is often associated with destabilization of other  
198 past agricultural societies. However, it should be viewed more as a trigger of societal collapse  
199 than sole cause. Even where the subsistence economies depended on sophisticated water  
200 management systems that required extensive cooperation and organizational management,  
201 societal resilience and collapse breakdown also involve complex interactions between multiple  
202 natural and social factors<sup>58</sup>. For example, periods of regional droughts during the last millennium<sup>6</sup>  
203 are linked with the collapses of the Khmer Empire at Angkor between ca. 1300 and 1500 AD<sup>46</sup>  
204 (Fig. 3, Annex 1), prehistorical Hohokam society in central Arizona<sup>72</sup> in the 15<sup>th</sup> century, and the

205 Ming Dynasty in China ca. 1600 AD<sup>6</sup>. All three of these example societies had weathered prior  
206 hydroclimatic changes. The environmental tipping points that triggered societal breakdowns  
207 occurred in the context of pre-existing vulnerabilities created by societal dynamics: an  
208 overextended human-built hydrology system in the Khmer capital of Angkor, an increasingly  
209 hierarchical social order coupled with immigration from elsewhere in American Southwest for the  
210 Hohokam, and increasing political and social unrest in which drought incited peasants to revolt  
211 against the Ming.

212

### 213 **Palaeorecords as a testbed for early warning approaches**

214

215 There is growing interest in anticipating abrupt changes in coupled social and ecological  
216 systems, because of their impacts<sup>7</sup>. During the last 15 years, certain features of climate  
217 variability, in particular variance and autocorrelation, have become popular as “early-warning  
218 signals” of abrupt changes<sup>73</sup> (Box 1). These univariate precursors of abrupt changes have been  
219 analyzed in many reconstructed and modelled timeseries in regions that were suspected to  
220 feature tipping points (Table 2, column “univariate precursors”). While a term “early warning”  
221 sounds confusing for events happened in the past, the palaeo archives are useful to test  
222 prediction of certain potential abrupt changes. For example, increased autocorrelation in North  
223 African dust record<sup>53</sup> can be seen as an indicator of slowing down of hydroclimate-vegetation  
224 system approaching instability<sup>74</sup> relevant for future changes.

225

226 The univariate framework is mostly based on simple, one-dimensional conceptual models. Due to  
227 the complexity of processes in the real world, the application of early warning faces challenges  
228 because climate variability can change due to many reasons unrelated to changes in stability<sup>75,76</sup>,  
229 a caveat that affects many of the examples in Table 2. In a nutshell, early warning signals are  
230 expected in a system that is in steady state with its environment and whose balance of feedbacks  
231 changes in a destabilizing way, i.e., where negative (dampening) feedbacks are weakened and /  
232 or positive (destabilizing) feedbacks are strengthened. However, it is often unclear whether this

233 shift in feedbacks dominates a system's variability. For example, the question whether a  
234 reorganization of the AMOC is preceded by early warnings such as increase in autocorrelation  
235 and variance<sup>77,78</sup> (Table 2), depends on the contribution of the various mechanisms discussed  
236 above. Similarly, the uncertainties in the nature of Dansgaard-Oeschger events cast doubt on  
237 whether they meet the conditions to show early warning signals<sup>18,78,79</sup> (Table 2). Abrupt changes  
238 caused by a sudden external forcing or crossing of a spatial threshold (such as the Black Sea  
239 sill<sup>40,41</sup>) do not carry such early warning signals.

240

241 While such process complexity limits the predictability of future abrupt changes, early warning  
242 approaches can be used to make inferences about the mechanisms behind past abrupt changes  
243 in the climate record. Previous studies have addressed univariate precursors of abrupt changes  
244 such as the rapid onset of Dansgaard-Oeschger events<sup>80</sup>, the termination of the African Humid  
245 Period<sup>60,74</sup>, and shifts in east Asian monsoon activity<sup>81</sup> (Table 2). The available palaeo records  
246 are often insufficient to confirm inferred mechanisms, because the time series are too short, time  
247 resolution too low, or dating uncertainty too large. Such data limitations may be overcome with  
248 future paleoclimate research, but the inherent properties of many paleo- time series, such as  
249 irregularly spaced samples and imperfect proxy representation of a state-variable, must be  
250 carefully considered to avoid errors in early warning detection<sup>82</sup>.

251

252 Another important difference between the real world and the framework of early warnings is  
253 spatial complexity: the Earth's surface is heterogeneous and different locations are connected via  
254 atmospheric dynamics. This fact has inspired the search for early warning signals with a spatial  
255 component (Table 2, "spatially explicit precursors"). First, changes in the univariate signals  
256 discussed above can have different detectability at different places. For example, models show  
257 that the early warning signs in the advective water flux of the AMOC differ between latitudes<sup>78</sup>.  
258 Second, one can explicitly analyze spatial-temporal statistics such as spatial variance<sup>83</sup> or cross-  
259 correlations<sup>84</sup> between an area that has been destabilized and another location to infer the  
260 likelihood of instability approaching the second area. Collecting records from different but

261 climatically coupled locations may therefore reveal more about the stability of the climate system.  
262  
263 Model results indicate where one should look for early warnings, or how one should combine the  
264 information from several locations<sup>77,85,86</sup>. For example, past records provide evidence that  
265 increasing correlations between North Pacific and Greenland climates preceded the abrupt  
266 deglaciation at the end of the last ice age<sup>87</sup>, and case studies about the end of the African Humid  
267 Period has shown that information from single locations at the Earth's surface is not necessarily  
268 conclusive on a regional scale, but that increasing cross-correlations among different locations  
269 can help identify the next region that loses stability<sup>84</sup>. Past records provide evidence that  
270 increasing correlations between North Pacific and Greenland climates preceded the abrupt  
271 deglaciation at the end of the last ice age<sup>87</sup>. There is also evidence that terrestrial ecosystems  
272 feature spatial correlations and patterns that are indicative of their proximity to thresholds<sup>88,89</sup>.  
273  
274 Spatial complexity is also related to the cascading of changes. A cascade of abrupt changes can  
275 have several manifestations: i) a spatial propagation of an abrupt change from one location to  
276 another<sup>84</sup>; ii) the propagation from small to larger scales, for example, when the collapse of an ice  
277 sheet affects the AMOC and, hence, the climate on an almost global scale<sup>86</sup>; iii) vice versa, the  
278 propagation from large to smaller scales, for example, during the D-O events<sup>24</sup>; iv) the  
279 propagation from one component of the Earth system to another (Fig. 2)<sup>90</sup>. Apart from the climate  
280 system, ecological systems can also show early warnings<sup>73</sup>, and some studies claim to have  
281 identified them before changes in human societies<sup>91,92</sup>. These examples support the view that  
282 early warning signals can potentially occur in any component of the Earth system, whether  
283 physical<sup>77</sup>, ecological<sup>93-95</sup>, or societal<sup>91,92</sup>. This makes them also highly relevant for a  
284 transdisciplinary approach to the coupled physical-ecological-social system. The dynamics of  
285 abrupt changes and early warning signals propagating through such coupled systems are  
286 currently explored in a conceptual way<sup>90,96</sup>. At the same time, more tools are becoming available  
287 that allow for an automated detection of abrupt changes<sup>97</sup> and their precursors<sup>98,99</sup>.  
288

## 289 **Future Work**

290

291 How can the paleo-community further contribute to the understanding of abrupt changes? For  
292 paleoclimatologists, paleoecologists, and archeologists, the main task is twofold. Firstly,  
293 precision, resolution, spatial coverage and reproducibility of paleoenvironmental records need a  
294 quantitative improvement. This is necessary for identifying early warning signals<sup>73,95</sup>, which  
295 remains difficult due to low-density data networks and insufficient resolution and/or precision of  
296 the records (Table 2). A potential to test precursors of abrupt changes using paleo records is not  
297 yet fully exploited. Secondly, the complex picture of feedbacks and linkages between Earth  
298 system components calls for a synthesis of data during periods of abrupt changes, including  
299 connections between natural and social systems<sup>6</sup>. The synthesis of spatial and temporal patterns  
300 of past abrupt changes is crucial to reconstruct propagation of the signal, such as the AMOC  
301 disruption, to the other domains of the Earth system<sup>87</sup>. For Earth system modelers, the main task  
302 is further improvement of their models of coupled atmosphere-ocean-biosphere-cryosphere  
303 processes. Earth system models are making good progress<sup>100</sup>; they are capable of simulating  
304 some abrupt changes, especially in cryosphere, during the last century and in the future  
305 projections<sup>101</sup>. However, they are challenged by attempts to reconstruct abrupt events that are  
306 well documented from the past, including meltwater pulses due to ice sheet collapses<sup>29</sup>, rapid  
307 release of CO<sub>2</sub> during deglaciation<sup>26</sup>, and abrupt climate and vegetation changes in North Africa  
308 during the termination of the African Humid Period<sup>53,102</sup>. A main limitation to overcome is the  
309 ability to simulate abrupt processes on a coarse grid. Current sub-grid scale parameterizations in  
310 Earth System models are better suited for simulating gradual rather than abrupt changes, as  
311 shown, for example, for permafrost thaw<sup>103</sup>. Increasing model resolution and improving sub-grid  
312 scale parameterizations is the promising way to go.

313

314 As humans we try to anticipate the future. We are now well aware that complex systems,  
315 including the coupled social and ecological systems that now dominate our planet, can undergo  
316 abrupt changes. It is a joint task of modelers and data-gatherers to constrain Earth system

317 models in order to better simulate past abrupt changes. If we cannot model abrupt change in the  
318 past, we cannot hope to predict them in the future.

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### 338 Competing Interests statement

339 The authors declare no competing interests.

## 340 Data Availability Statement

341 Time series of data plotted in the manuscript (Fig. 3) are available as Supplementary Data 1.

## 342 Additional information

343 Supplementary information is available for this manuscript.

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624 Figure legends

625

626 Figure 1. A timeline of abrupt events over the last 30 thousand years overlaid on the  $\delta^{18}\text{O}$   
627 timeseries from North Greenland Ice Core Project<sup>44</sup>.

628

629 Figure 2. Cascades of abrupt changes in physical-ecological-societal components of the Earth  
630 system in the cases of onset of Bølling-Allerød (a) and termination of the African Humid Period  
631 (b).

632

633 Figure 3. A map of selected atmospheric, oceanographic, ecosystem, and societal records with  
634 abrupt changes or tipping points in the last 20 thousand years. Dots are approximate record  
635 locations. Colors clockwise around the globe indicates the Earth components: turquoise, ocean  
636 domain (sea level change at Barbados<sup>39</sup> and Tahiti<sup>29</sup>, hypoxia in North Pacific<sup>37</sup>, AMOC  
637 changes<sup>12</sup>); light green, societal domain (drought index for demise of Angkor society<sup>46</sup>); orange,  
638 environment-societal interface (drought index for the onset of the AHP end<sup>60</sup>, dust record for the  
639 end of AHP<sup>53</sup>); bright green, ecosystems (tree cover increase in Western Europe during onset of  
640 Bølling-Allerød warming<sup>24,33</sup>, decline in tree cover in the early Holocene<sup>66,69</sup> as local instances of  
641 broader regional to subcontinental trends); dark blue, atmospheric domain (abrupt changes in  
642  $\text{CO}_2$ ,  $\text{CH}_4$  concentrations in Antarctic ice during onset and end of Bølling-Allerød warming<sup>26</sup>).  
643 Shaded bars indicate the periods of abrupt changes or tipping points. Time series of data plotted  
644 on the Figure are available as Supplementary Data 1.

645 Table 1. Examples of abrupt events and tipping points in the last 30 thousand years

646

Abrupt events / tipping point	When?	Rapidity of event, years	What happened?	
			Climate, cryosphere and hydrosphere	Land and marine ecosystems; atmospheric CO <sub>2</sub> and CH <sub>4</sub> ; societies
<b>Onset of Dansgaard-Oeschger events</b>	28.9, 27.7, and 23.3 ka <sup>18,44</sup>	<30 <sup>19</sup>	8 to 16°C warming in Greenland <sup>19</sup> ; intensification of Asian summer monsoon <sup>51</sup> ; weakening of South American summer monsoon <sup>21</sup>	Afforestation from grasslands to wooded steppe in Europe <sup>31</sup> ; Holarctic megafauna extinctions <sup>25</sup> ; expanded oxygen minimum zones (eg, Cariaco Basin) <sup>23</sup> ; abrupt increase in atmospheric CH <sub>4</sub> <sup>22</sup>
<b>Onset of Bølling-Allerød warming</b>	14.7 ka <sup>19</sup>	1–3 <sup>18,44</sup>	9–14°C warming in Greenland <sup>19</sup> ; 4–5°C SST warming North Pacific <sup>37</sup> ; rapid ice sheet melt, acceleration of sea level rise (meltwater pulse) <sup>29,39</sup> ; drying in southwestern North America <sup>30</sup> ; intensification of West African <sup>53</sup> and Asian summer monsoon <sup>51</sup> ; weakening of South American summer monsoon <sup>34</sup>	Rapid afforestation of tundra (Scandinavia), expansion of species from glacial refugia <sup>32</sup> ; expansion of oxygen minimum zones, contraction of marine benthic diversity (North Pacific) <sup>35,37</sup> ; abrupt increase in atmospheric CH <sub>4</sub> and CO <sub>2</sub> <sup>26</sup>
<b>Onset of Holocene</b>	11.7 ka <sup>44</sup>	<60 <sup>18,44</sup>	8–12°C warming in Greenland <sup>19</sup> , 4–6°C warming in western Europe; 4–5°C SST increase in NE Pacific & North Atlantic; monsoon impacts similar to Bølling-Allerød warming <sup>51</sup>	Similar to the impacts of Bølling-Allerød warming (except atmospheric CO <sub>2</sub> ) <sup>32</sup>

<b>Black Sea flooding</b>	9.5 to 9.0 ka <sup>41</sup>	<40 <sup>41</sup>	Rapid flooding of surrounding shelves and subsequent salinification of the Black Sea basin, sea level rise of > 10 m <sup>41</sup>	Drowning of land ecosystems and settlements on the shelf, coastal erosion, shift from freshwater to saltwater ecosystems, anoxia in deep basin <sup>41</sup>
<b>8.2ka Event</b>	8.2 ka <sup>44</sup>	5 <sup>18,44</sup>	3-4°C cooling in Greenland <sup>48</sup>	Rapid plant community turnover, declines of thermophilous species <sup>49</sup>
<b>Holocene aridification; end of AHP</b>	8 to 3 ka, timing varies regionally	100-1000 <sup>53</sup>	Waning of monsoon rainfall in North Africa <sup>53,60</sup> ; drying in southwestern and midcontinental North America <sup>65</sup>	Regionally rapid southward shift of North African grasslands <sup>53,59,64</sup> , in central North America, eastward shift of prairie-forest ecotones, activation of dunes, C <sub>3</sub> /C <sub>4</sub> plant shifts, altered fire regimes <sup>69</sup>
<b>Holocene mega-droughts</b>	high variability 5.4 to 4 ka; last 2 ka <sup>47</sup>	1–10	Water shortage, extreme drought, decrease of groundwater levels <sup>47</sup>	Slowed tree growth rates, mortality of mesic tree species, abandonment of early agricultural sites <sup>6,47,67</sup>

647

648 Table 2. Precursors of past abrupt changes in climate-ecological-societal systems

<b>Abrupt changes</b>	<b>Source, methods</b>	<b>Univariate precursors</b>	<b>Spatially explicit precursors</b>
<b>AMOC collapse</b>	modelled and reconstructed changes <sup>9-12</sup>	Observations too short and reconstructions too uncertain for meaningful analysis; models of different complexity suggest existence of precursors <sup>77,78</sup>	Autocorrelation of critical spatial pattern increases in a model <sup>77</sup> ; increased autocorrelation and variance with latitude-dependent signal-to-noise ratio <sup>78</sup>
<b>Dansgaard-Oeschger events</b>	Greenland isotope record <sup>44</sup>	Shifts argued to be noise-induced <sup>79</sup> ; increase in autocorrelation and variance in the ensemble of events, but not individual events <sup>80</sup> ; increase in autocorrelation and variance on decadal timescales preceding events <sup>18</sup>	No literature
<b>Onset of Holocene</b>	Greyscale sediment record from the Cariaco Basin <sup>74</sup>	Increased autocorrelation with signal at the edge of significance <sup>74</sup>	Synchronization of North Pacific and North Atlantic climates during recent deglaciation and Younger Dryas <sup>87</sup>
<b>End of African Humid Period</b>	Dust deposition record <sup>53</sup> ; conceptual models	Inconclusive signals <sup>60,74</sup>	Pattern formation in several stages before complete desertification is observed <sup>89</sup> ; increasing spatial variance and skewness in simple models <sup>88</sup>
<b>Monsoon changes</b>	Reconstruction of rainfall during the Pleistocene from Chinese caves <sup>81</sup>	No consistent signals before abrupt changes in East Asian summer monsoon <sup>81</sup>	No literature

<b>Changes in aquatic and marine ecosystems</b>	Reconstructions <sup>35</sup> , contemporary observations	Increasing variance in fish populations after fishing <sup>93</sup> , critical slowing down before extinctions of planktonic crustaceans <sup>95</sup>	Observed indications of increasing spatial variance before changes in shelf ecosystems <sup>83</sup>
<b>Societal collapses and transformations</b>	Reconstructions of past societal changes <sup>72</sup>	Increasing variance and autocorrelation before human population collapse during the European Neolithic <sup>91</sup> ; increasing variance before two cases of social transformation in the pre-Hispanic US Southwest <sup>92</sup>	No literature

649

650

651 **Box 1. Terminology**

652 **Abrupt change** – large-scale change that is much faster than the change in the relevant forcing<sup>3</sup>.  
653 Both, amplitude (scale) and relative rates of **forcing** and response changes are important. In the  
654 paleo context, the relevant **forcing** is usually the Earth orbital forcing with multimillennial  
655 timescale (the fastest component of the orbital forcing, precessional cycle, has a periodicity of  
656 19,000 years).

657 **Cascading impacts** – a sequence of events where **abrupt changes** in one component lead to  
658 **abrupt changes** in other components. These changes could also interact with each other and  
659 propagate from larger to smaller spatial scales or vice versa (Fig. 2).

660 **Early Warning Signals (EWS)** – quantitative indicators of the proximity of a system to a **tipping**  
661 **point**<sup>74</sup>. EWS apply mathematical principles of dynamical systems to **Earth System**  
662 **components**. EWS could be measured in one-dimensional space (such as timeseries of dust  
663 deposition in the marine core) using univariate precursors (for example, increasing temporal  
664 autocorrelation) or in multi-dimensional space (such as spatial patterns of vegetation cover)  
665 applying spatially explicit precursors (Table 2).

666 **Earth System components** – atmosphere, ocean, cryosphere, biosphere, and anthroposphere.  
667 These can be further divided into sub-components such as monsoon systems, ocean circulation,  
668 sea ice, different ecosystems, and human (social) systems.

669 **Forcing** – a factor that influence the system dynamics. For example, for Earth system forcings  
670 are incoming solar radiation, concentrations of greenhouse gases in the atmosphere, and  
671 volcanic eruptions. For **Earth System components** and sub-components, forcings could be  
672 changes in the other components leading to cascading impacts.

673 **Irreversible change** - a change is irreversible if the recovery timescale to the **state** before  
674 change is significantly longer than the time it takes for the system to reach this **state**<sup>3</sup>.

675 **State** – A set of variables that describes the state of a dynamical system. These could be climate  
676 variables (air temperature, stream velocity in the ocean), ecological variables (number of species,  
677 plant biomass), societal variables (population density, income).

678 **Tipping point** – a critical threshold (in **forcing** or in a system) at which a small perturbation can  
679 nonlinearly alter the **state** or development of a system<sup>1</sup>. Tipping points combine different types of  
680 phenomena inasmuch as thresholds could be explicit (for example, 0°C for ice) or hidden (such  
681 as small reduction in insolation leading to a snowball Earth). The latter can indicate a co-  
682 existence of two stable states (eg, snowball and ice-free) with one state becoming unstable.

683 **Statistical terms:**

- 684 • Autocorrelation – a correlation between an observational timeseries and its copy shifted by a  
685 certain time lag.
- 686 • Skewness – a measure of asymmetry of the data distribution.
- 687 • Univariate precursor – a function of one variable.
- 688 • Variance – a measure how far a dataset is spread out from its average.

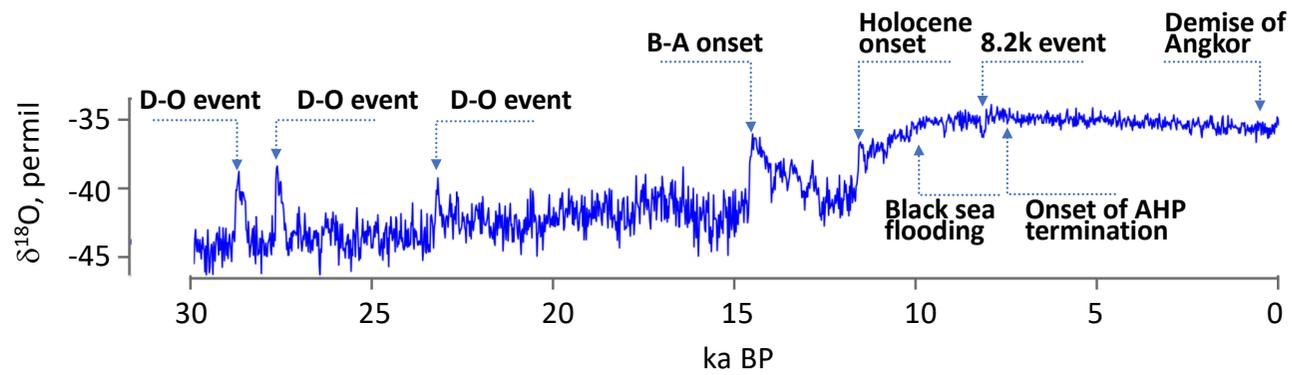


Figure 1.

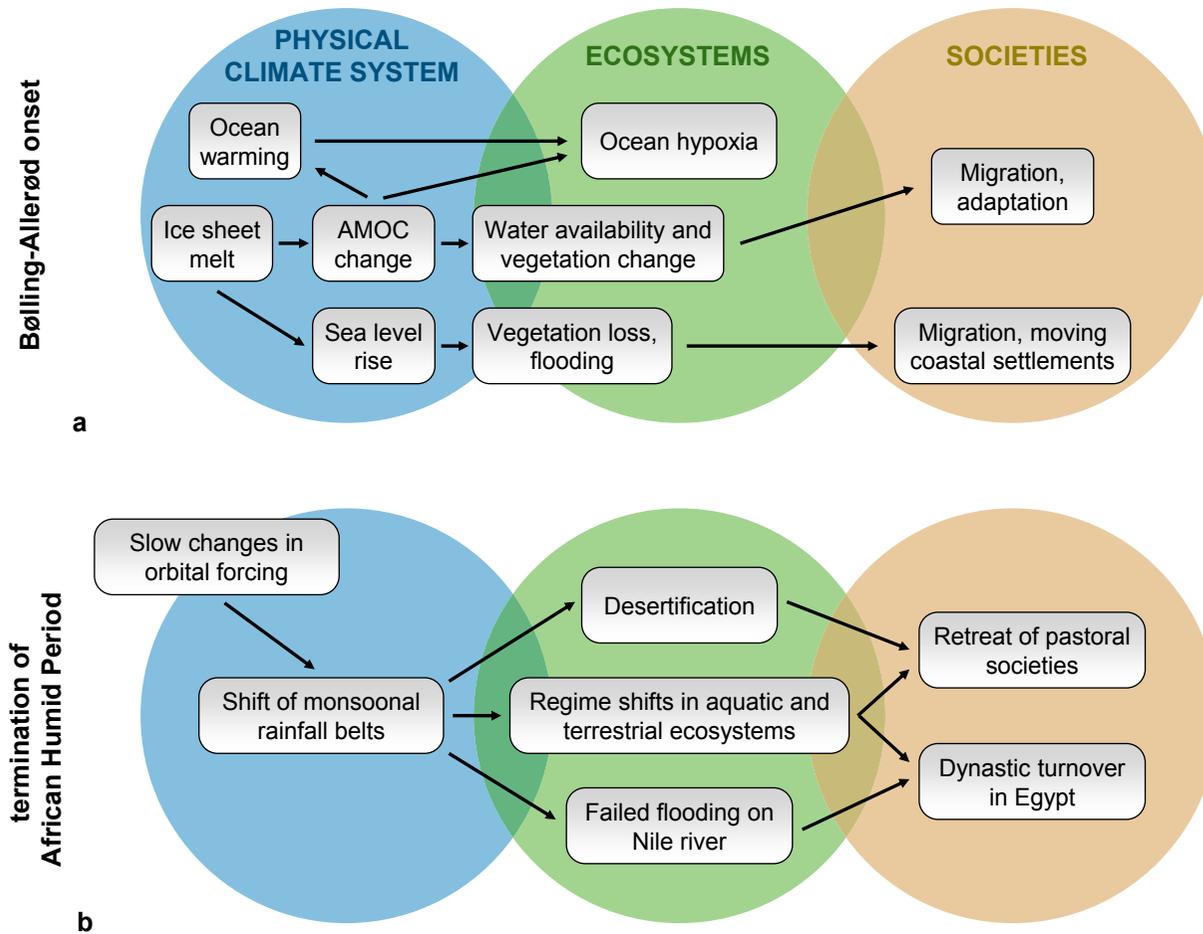


Figure 2.

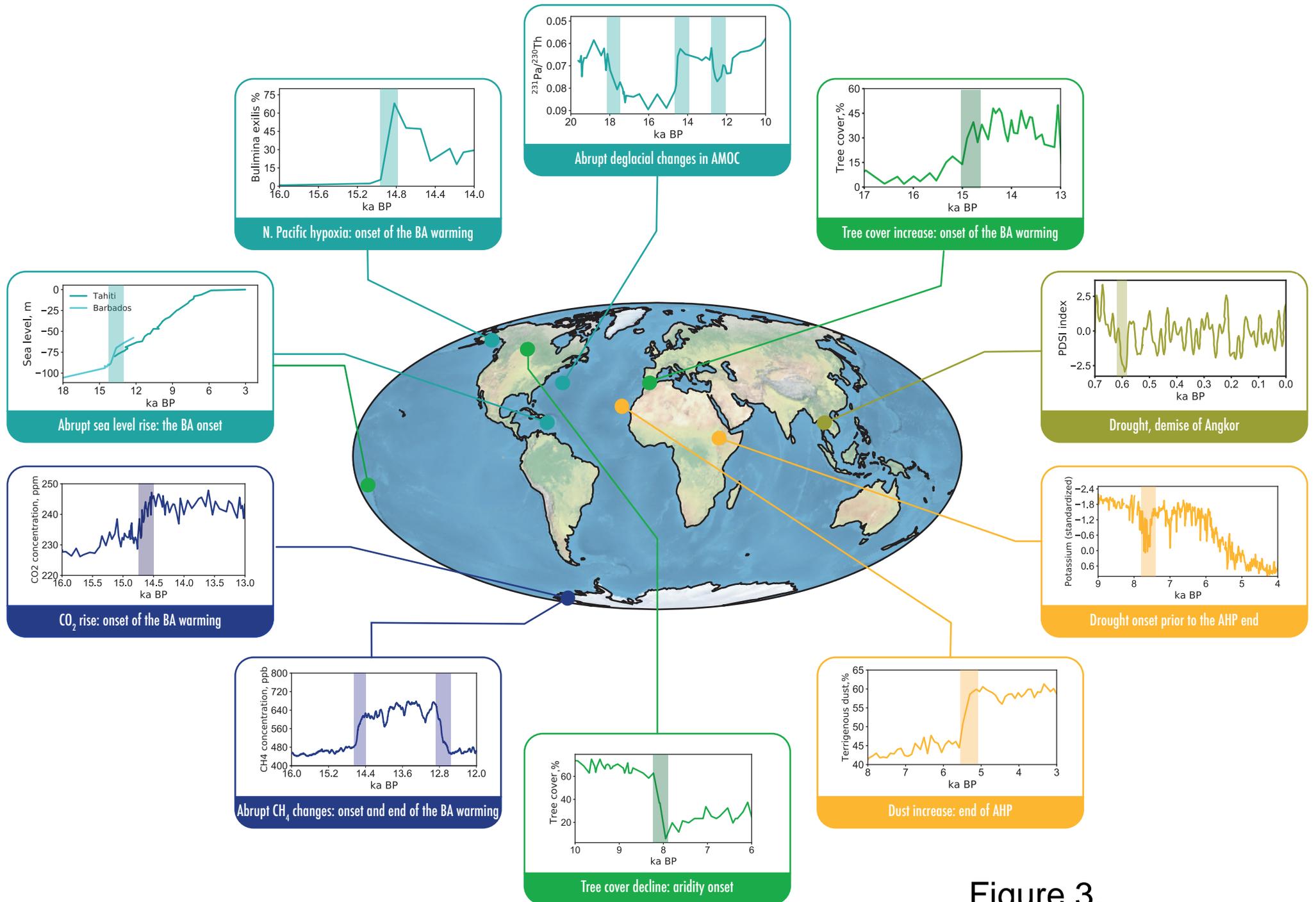


Figure 3.