

Real-time assembly landscape of bacterial 30S translation initiation complex

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Initiation factors guide the ribosome in the selection of mRNA and translational reading frame. We determined the kinetically favored assembly pathway of the 30S preinitiation complex (30S PIC), an early intermediate in 30S initiation complex formation in *Escherichia coli*. IF3 and IF2 are the first factors to arrive, forming an unstable 30S–IF2–IF3 complex. Subsequently, IF1 joins and locks the factors in a kinetically stable 30S PIC to which fMet-tRNA^{fMet} is recruited. Binding of mRNA is independent of initiation factors and can take place at any time during 30S PIC assembly, depending on the cellular concentration of the mRNA and the structural determinants at the ribosome-binding site. The kinetic analysis shows both specific and cumulative effects of initiation factors as well as kinetic checkpoints of mRNA selection at the entry into translation.

During initiation of protein synthesis, the ribosome recruits an mRNA for translation and establishes the reading frame by binding initiator tRNA to the start codon in the P site of the small (30S) ribosomal subunit. In bacteria, initiation requires three initiation factors, IF1–IF3, and proceeds in several steps^{1–3}. In the first step, mRNA, initiation factors and initiator fMet-tRNA^{fMet} assemble on the 30S subunit to form a transient 30S PIC (**Fig. 1a**). The ribosome binds to single-stranded regions of the mRNA, exploiting the base complementarity between the Shine-Dalgarno sequence (when present) of the mRNA and the anti-Shine-Dalgarno sequence in 16S rRNA as a guide. fMet-tRNA^{fMet} is recruited through IF2, which is already bound to the 30S subunit⁴. The recognition of the start codon by fMet-tRNA^{fMet} triggers conformational changes that convert the 30S PIC to a functionally competent 30S initiation complex (30S IC; **Fig. 1b**)³. Finally, the 30S IC is joined by the large (50S) ribosomal subunit, forming the 70S IC, which is poised for translation of the selected mRNA^{5–9}. The assembly of the 30S PIC, its rearrangement to the 30S IC and the transition to the 70S IC are important checkpoints that control the frequency at which a particular mRNA is translated and prevent out-of-frame initiation, which would lead to synthesis of aberrant polypeptides^{5–7,10}.

Late events in translation initiation, particularly the transition from the 30S IC to the 70S IC, have been investigated in detail^{5–9}. In contrast, the timing of events and the compositional dynamics of the 30S PIC assembly are unknown. Binding of mRNA to the 30S subunit requires a single-stranded mRNA region in the vicinity of the translation initiation region but is independent of 30S PIC composition^{10,11}. Three initiation factors, mRNA and initiator tRNA bind to the 30S subunit independently of each other, suggesting parallel pathways of 30S PIC assembly¹. However, it is unknown whether there is a preferred pathway and whether the kinetically favored order of initiation factor binding controls mRNA and start-codon selection.

The initial docking of the factors may be followed by conformational rearrangements that lead to maturation of the complex, as has been suggested for IF2 (refs. 4,12) and mRNA^{10,13}.

In this study we tracked the dynamics of 30S PIC formation in real time, monitoring transient changes of fluorescence resonance energy transfer (FRET) between ligands in the 30S PIC. We asked the following questions: what are the timing and choreography of 30S PIC assembly, and how do the factors exert control over each other? On the basis of the full set of kinetic constants, we identify potential checkpoints for mRNA selection and provide a time axis for structural studies on translation initiation.

RESULTS

We determined kinetic parameters of interactions between 30S subunits and different combinations of ligands by the stopped-flow technique (Online Methods). Fluorescent labels were introduced into each of the initiation factors, fMet-tRNA^{fMet} and mRNA (**Supplementary Table 1**)¹⁴. Fluorescence and FRET changes due to ligand binding to the 30S subunit were followed in real time. Individual rate constants (*k*) are labeled with subscripts comprising several digits. The first digit indicates the factor under study, and the following digits indicate the factor(s) prebound to the 30S subunit; for example, *k*₃₁₂ is the association rate constant of IF3 binding to a 30S–IF1–IF2 complex. Dissociation rate constants are labeled analogously with a minus sign. In the experiments carried out with the 30S IC, the respective rate constants are labeled *k*_{IC} and *k*_{–IC}. For two-step binding mechanisms, the first and second steps are additionally indicated by 'a' and 'b', respectively.

Recruitment and locking of IF1 in 30S PIC and 30S IC

IF1 (8.2 kDa) is a compact globular protein (**Fig. 1b**) without cysteines. We introduced a cysteine by replacing the nonconserved Asp4, and

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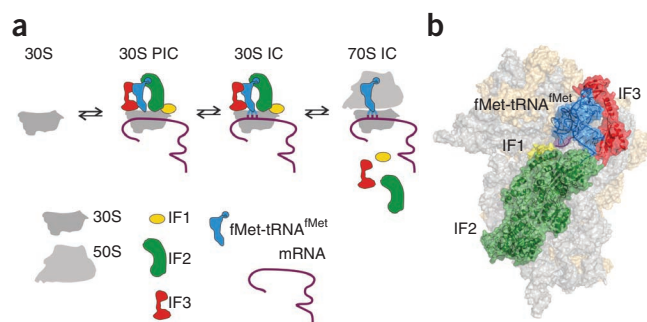


Figure 1 Translation initiation in bacteria. (a) Schematics of initiation. For explanations, see text. (b) Structural model of the 30S IC based on cryo-EM reconstruction¹⁵ with IF1 (yellow), IF2 (green), IF3 (red) and fMet-tRNA^{fMet} (blue).

labeled the protein with Alexa 555-maleimide (Invitrogen, Germany), yielding fluorescent, fully active IF1₄(Alx555) (Supplementary Fig. 1 and ref. 7). We used IF1₄(Alx555) as FRET acceptor for Alx488-modified IF2 or IF3, or for fluorescein-labeled fMet-tRNA^{fMet} (Supplementary Table 1). Binding of IF1 to the 30S subunit led to single-exponential time courses (Fig. 2a). The k_{app} values increased linearly with IF1 concentration, consistent with a one-step binding mechanism (Fig. 2b, inset). Association rate constants were in the range of 7–20 $\mu\text{M}^{-1} \text{s}^{-1}$ (Fig. 2b and Table 1). IF1 binding to the 30S subunit was somewhat faster without IF2 than with it; this may be explained by partial shielding of the IF1-binding site by the NTD of IF2 (Fig. 1b)¹⁵. The 30S–IF1–IF2 or 30S–IF1–IF3 complexes dissociated readily, with dissociation rate constants of 2 s^{-1} and 0.7 s^{-1} , respectively (Fig. 2c and Supplementary Fig. 2a). The presence of IF2 and IF3 together had a cumulative effect, leading to a kinetically locked complex ($k_{-123} = 0.02 \text{ s}^{-1}$), with a K_d of ~2 nM. Addition of mRNA did not change the kinetics of IF1 binding (Supplementary Fig. 3). The addition of fMet-tRNA^{fMet} together with mRNA containing an AUG codon led to a further ten-fold stabilization of IF1 in the complex (Fig. 2c and Table 1). Notably, the release of IF1 from the 30S IC was 70-fold faster without IF3 than with it (0.0025 s^{-1} versus 0.17 s^{-1}). We could not monitor binding of IF1 to vacant 30S subunits (without FRET donor or acceptor) because we observed no fluorescence intensity change in IF1; the K_d value of the 30S–IF1 complex, as estimated from the thermodynamic cycle that includes IF3 binding, was 0.9 μM , consistent with values measured earlier by different methods (0.1–2 μM)^{16,17}.

Recruitment and conformational rearrangements of IF2

IF2 (97 kDa; Fig. 1b) is a large GTPase that comprises an N-terminal domain (NTD), a GTP-binding domain and a C-terminal domain

(CTD). *Escherichia coli* IF2 has three intrinsic cysteines, Cys599 in the GTP-binding domain and two cysteines in the CTD. Labeling with Atto465- or Alx488-maleimides leads to the preferential modification of Cys599, whereas the other two cysteine residues, which are buried inside the structure¹⁸, are labeled to a very small extent (<10%), as estimated from the labeling efficiency of the IF2 mutant C599S.

IF2₅₉₉(Atto465) was used as FRET donor for the acceptor fluorophore, Alx555, placed into IF1 and IF3 (Fig. 3a). When only IF3 was present on the 30S subunit, single-exponential time courses of IF2 binding were obtained. The rate constants calculated from the concentration dependence (Fig. 3b) were 280 $\mu\text{M}^{-1} \text{s}^{-1}$ (association) and 30 s^{-1} (dissociation). The chase experiment yielded a similar value for the dissociation rate constant. The resulting K_d of the complex was 50–110 nM, similar to the value obtained for the 30S–IF2 complex without IF3 ($K_d = 40$ –60 nM)¹⁹. In the presence of IF3 together with IF1 or with 30S IC, time courses of IF2 binding were double-exponential. The k_{app1} values increased linearly with concentration, whereas k_{app2} was concentration independent (Fig. 3b), indicating a two-step binding mechanism (Fig. 3c). The forward rate constants of IF2 binding and rearrangement were similar for 30S–IF1–IF3 and 30S IC: $k_{2a} = 220$ –320 $\mu\text{M}^{-1} \text{s}^{-1}$ and $k_{2b} = 2$ –6 s^{-1} (Table 1). In contrast, the dissociation of IF2 from the two complexes was markedly different; $k_{-213b} = 1 \text{ s}^{-1}$ for the 30S–IF1–IF2–IF3 complex (Fig. 3d and Supplementary Fig. 2b) compared with $k_{-21Cb} = 0.015 \text{ s}^{-1}$ from the 30S IC (Table 1). We could not determine the complete sets of rate constants for IF2 binding to the vacant 30S subunit or 30S–IF1 complex owing to the lack of a suitable signal change; however, we made some comparisons to reported values¹². The two-step mechanism and the values of rate constants reported here agree well with the data on IF2 recruitment to the 30S–IF1–IF3 complex obtained by monitoring a fluorophore in IF2 (ref. 12). Reported k_2 values for IF2 recruitment are 100–300 $\mu\text{M}^{-1} \text{s}^{-1}$ for different combinations of IF3, fMet-tRNA^{fMet} and the mRNA¹², suggesting that IF2 binds rapidly to any 30S complex. Chase experiments (Fig. 3d and Supplementary Table 2) showed that (i) the addition of mRNA did not affect IF2 dissociation from the 30S subunit; (ii) dissociation of IF2 from the 30S–IF3 complex was ten-fold slower with IF1 than without it; (iii) IF2 release was not influenced by IF3 and (iv) the presence of fMet-tRNA^{fMet} stabilized the binding of IF2, particularly in the presence of IF1.

IF3 recruitment during initiation and ribosome recycling

IF3 (20 kDa) comprises two domains (Fig. 1b). The NTD contains an intrinsic Cys65, which we replaced with serine. Cysteine was introduced at position 166 in the CTD, which carries out most functions of IF3 (ref. 20). We labeled Cys166 with Alx488-maleimide (IF3₁₆₆(Alx488)), a FRET donor to the nonfluorescent acceptor Atto540Q, which was placed at the 5' end of the mRNA.

Figure 2 Recruitment of IF1 to the 30S PIC.

(a) Time courses of IF1₄(Alx555) binding to the 30S subunit with IF2₇₅₇(Alx488) alone, IF3₁₆₆(Alx488) alone, IF2 and IF3₁₆₆(Alx488) together, and IF2, IF3₁₆₆(Alx488), mRNA and fMet-tRNA^{fMet} (30S IC) as monitored by FRET changes. (b) Concentration dependence of k_{app} values of IF1 binding to 30S subunits with IF2 alone (■), IF3 alone (●), IF2 and IF3 together (▲) and 30S IC (▼). Components were mixed in these combinations, with final concentrations after mixing in parentheses: 30S subunits (0.05 μM), IF3₁₆₆(Alx488) (0.06 μM), IF2 (0.15 μM) or IF2₇₅₇(Alx488) (0.11 μM) with GTP (0.25 mM), fMet-tRNA^{fMet} (0.15 μM) and mRNA (0.15 μM). Values are mean \pm s.d. from eight to ten time courses. Inset, kinetic scheme of binding. (c) Summary of k_{-1} values for IF1 release measured by chase (see Table 1; for 30S IC without IF3, $k_{-1} = 0.17 \text{ s}^{-1}$). Representative time courses of respective reactions are in Supplementary Figure 2. Values are mean and s.d. from eight to ten time courses.

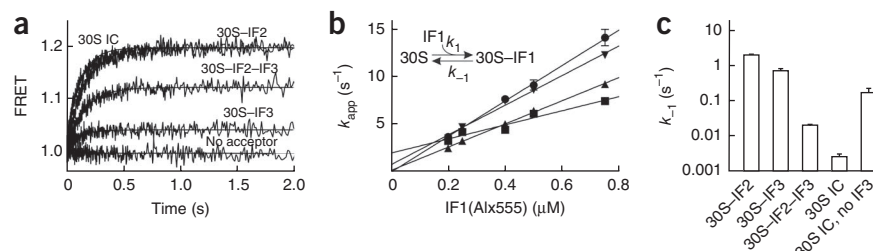


Table 1 Summary of association and dissociation rate constants of initiation factor binding during 30S PIC assembly

IF1					
complex	k_1 ($\mu\text{M}^{-1} \text{s}^{-1}$) ^a	k_{-1} (s^{-1}) ^b	K_d (nM) ^c		
30S–IF2	7 ± 1	2 ± 0.1	290 ± 40		
30S–IF3	20 ± 1	0.7 ± 0.1	35 ± 5		
30S–IF3–IF2	12 ± 1	0.02 ± 0.001	1.7 ± 0.2		
30S IC	16 ± 1	0.0025 ± 0.001	<1		
IF2					
complex	k_{2a} ($\mu\text{M}^{-1} \text{s}^{-1}$) ^a	k_{-2a} (s^{-1})	k_{2b} (s^{-1}) ^d	k_{-2b} (s^{-1})	K_d (nM) ^e
30S–IF3	280 ± 60	12 ± 0.6 ^b	–	–	43 ± 10
30S–IF3–IF1	220 ± 15	15 ± 3	2 ± 0.2	1 ± 0.1	35 ± 10
30S IC	320 ± 50	~0	6 ± 2	0.015 ± 0.002 ^b	ND
IF3					
complex ^f	K_{3a} ($\mu\text{M}^{-1} \text{s}^{-1}$)	k_{-3a} (s^{-1})	k_{3b} (s^{-1})	k_{-3b} (s^{-1})	K_d (nM) ^g
30S	1,160 ± 20	36 ± 2	55 ± 1	0.8 ± 0.1	3 ± 0.2
30S–IF1	1,100 ± 50	9 ± 2	37 ± 3	0.08 ± 0.02	<0.1
30S–IF2	1,040 ± 20	34 ± 3	51 ± 1	0.7 ± 0.06	0.2 ± 0.05
30S–IF1–IF2	960 ± 20	16 ± 1	34 ± 1	0.02 ± 0.001	<0.1
30S IC	1,070 ± 50	10 ± 2	11 ± 2	3 ± 0.5	2.5 ± 0.4
30S–tRNA ^{Phe}	670 ± 10	4 ± 1	0.9 ± 0.1	~0	ND

^aAssociation rate constants determined from slope and y-axis intercept of linear concentration dependence of k_{app} (for a one-step mechanism) or k_{app1} (for a two-step mechanism); values are mean ± s.d. of linear fitting. ^bDissociation rate constant determined in chase experiments (Supplementary Fig. 2). ^c K_d calculated from k_{-1}/k_1 . ^dSee scheme in Figure 3c. The k_{2b} value was calculated from the hyperbolic fit of the k_{app2} concentration dependence and the k_{2b} value was determined by chase; $k_{2b} = k_{app2} - k_{2a}$, where $k_{2b} = k_{off}$. ^e K_d was calculated from k_{-2}/k_2 or $(k_{-2a} \times k_{2b}) / (k_{2a} \times k_{2b})$. ^fAll constants were obtained by global fitting of time courses (Online Methods); values are mean ± s.d. of global fit. ^g K_d was calculated from two consecutive steps ($k_{-3a} \times k_{3b}$) / ($k_{3a} \times k_{3b}$). ND, not determined.

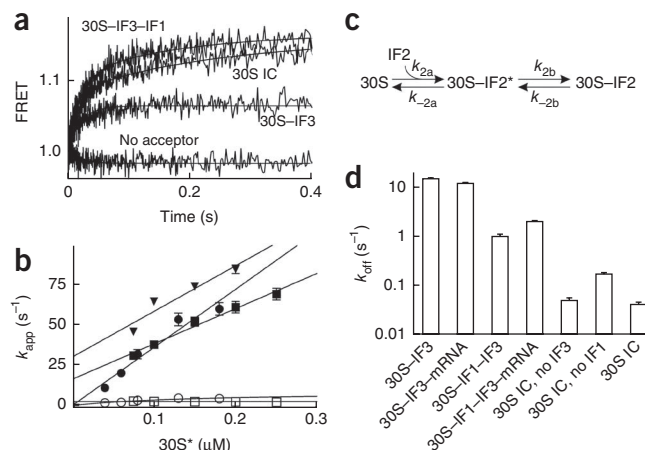
Upon binding of IF3₁₆₆(Alx488) to 30S subunits either with mRNA alone or carrying any combination of factors, the fluorescence of Alx488 decreased owing to FRET quenching by 30S-bound mRNA(AttoQ). The FRET change was biphasic (Fig. 4a), and the concentration dependence of k_{app1} and k_{app2} (Supplementary Fig. 4) was consistent with a two-step binding mechanism (Fig. 4b). We first estimated values of the rate constants from linear and hyperbolic fitting of k_{app1} and k_{app2} , respectively, and further refined them by global fitting of the combined time courses (Fig. 4c). IF3 associated with the 30S subunit extremely rapidly ($k_{3a} \approx 1,000 \mu\text{M}^{-1} \text{s}^{-1}$), regardless of the presence of the other two factors. The dissociation of IF3 from the initial complex was somewhat faster without IF1 (k_{-3a} and k_{-32a} of $\sim 35 \text{s}^{-1}$) than with it ($k_{-31a} = 9 \text{s}^{-1}$ and $k_{-312a} = 16 \text{s}^{-1}$). The early complex rearranged rapidly ($34\text{--}55 \text{s}^{-1}$) into a complex in which IF3 was stabilized; the extent of stabilization depended on IF1. Without IF1, the rearrangement was readily reversible (k_{-3b} and k_{-32b} of $0.7\text{--}0.8 \text{s}^{-1}$), whereas with IF1, IF3 dissociated very slowly (k_{-31b} and k_{-312b} of $0.02\text{--}0.08 \text{s}^{-1}$; Table 1). Addition of fMet-tRNA^{fMet} and mRNA to

the 30S–IF1–IF2–IF3 complex (that is, the formation of the 30S IC) led to similar rate constants for the first step but a 150-fold greater dissociation rate of IF3 (to $k_{-IC} = 3 \text{s}^{-1}$; Table 1 and Fig. 4d).

In addition to its role in initiation, IF3 acts at the ribosome recycling step^{21,22}. IF3 is recruited to the 30S subunit after disassembly of the post-termination ribosome with the help of the ribosome recycling factor (RRF) and elongation factor G (EF-G)^{21,22}, precluding reassociation of the 50S subunit. To study the timing of IF3 recruitment to the ribosome during recycling, we prepared post-termination complexes with mRNA and deacylated tRNA^{Phe} labeled with the nonfluorescent FRET acceptor QSY35 at thio-U8 (tRNA^{Phe}(QSY)). Binding of IF3₁₆₆(Alx488) to the post-termination complex led to decrease of IF3 fluorescence due to FRET (Fig. 5a, inset). The rate of binding depended on RRF concentration, and the saturating behavior indicated that IF3 binding was limited by a preceding rearrangement. Given the similarity of rates of IF3 binding (0.15s^{-1} at saturation with RRF) and ribosome disassembly (0.3s^{-1})²², the latter step probably limits the rate of IF3 binding.

In contrast, association of IF3 with the post-recycling 30S complex containing mRNA and deacylated tRNA^{Phe}(QSY) was very rapid and led to a triphasic fluorescence change (Fig. 5b). We obtained rate constants of the individual steps by global fitting of the combined time courses at different concentrations of ribosome complexes, using numerical integration (Fig. 5b). The initial fluorescence decrease was due to quenching of IF3₁₆₆(Alx488) fluorescence by the proximity of tRNA^{Phe}(QSY), reporting on IF3 binding to the ribosome. Association and dissociation rate constants of $670 \mu\text{M}^{-1} \text{s}^{-1}$ and 4s^{-1} , respectively (Table 1), were similar to rate constants of IF3 binding to any 30S complex. In the second phase, which was relatively slow (0.9s^{-1}), the fluorescence of IF3₁₆₆(Alx488) increased, suggesting that IF3 and tRNA moved apart. The backward rate constant of the second step was very close to zero, suggesting tight binding of IF3 to the 30S complex. The final step was slow and reversible (forward and backward rate constants 0.07s^{-1} and 0.05s^{-1} , respectively), similar to rates of tRNA and

Figure 3 Recruitment of IF2 to the 30S PIC. (a) Time courses of IF2₅₉₉(Atto465) binding to 30S subunit with IF3₁₆₆(Alx555) alone, IF1 and IF3₁₆₆(Alx555) together, or 30S IC as monitored by FRET changes. (b) Concentration dependence of k_{app} values of IF2 binding to 30–IF3 (▼), 30S–IF1–IF3 (k_{app1} , ■; k_{app2} , □) or 30S IC (k_{app1} , ●; k_{app2} , ○). 30S subunits (increasing concentrations) were mixed with IF2₅₉₉(Atto465) (0.02 μM), GTP (0.25 mM), and IF3₁₆₆(Alx555) (0.45 μM), with or without IF1 (1 μM), with or without fMet-tRNA^{fMet} (0.45 μM) and mRNA (m002, 0.45 μM); the respective complexes are collectively denoted 30S*. Values are mean ± s.d. from eight to ten time courses. (c) Schematic of IF2 binding followed by a rearrangement step. (d) Summary of k_{off} values of IF2 from various 30S complexes. Time courses of chase experiments and k_{off} values are summarized in Supplementary Figure 2 and Supplementary Table 2. Values are mean and s.d. from eight to ten time courses.



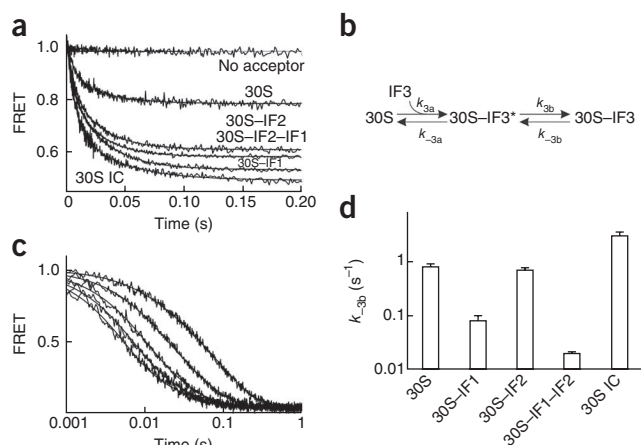


Figure 4 Recruitment of IF3 to the 30S PIC. (a) Time courses of IF3₁₆₆(Alx488) binding to the 30S subunit as monitored by FRET between IF3₁₆₆(Alx488) and mRNA(AttoQ). (b) Two-step scheme of IF3 interaction. (c) Global fitting of time courses of IF3 binding to the 30S-IF1-IF2 complex. The 30S subunits (increasing concentration from 0.025 μ M to 0.2 μ M, right to left) were used to form complexes with IF1 (1 μ M), IF2 (0.3 μ M) with GTP (0.25 mM), or mRNA(AttoQ) (0.23 μ M) and then rapidly mixed with IF3₁₆₆(Alx488) (0.01 μ M). Similar qualities of fits were obtained for the other 30S complexes studied. Smooth lines, global fits of data. (d) Summary of k_{-3b} values for IF3 determined by chase experiments. Dissociation from 30S IC was measured using FRET from fMet-tRNA^{fMet} to IF3 and unlabeled O22 mRNA. Values are mean and s.d. from eight to ten time courses. Respective time courses are in **Supplementary Figure 2**.

mRNA dissociation determined by other methods ($0.03\text{--}0.07\text{ s}^{-1}$)^{22,23} and probably reflecting final clearance of the 30S subunit. We expected a certain degree of tRNA rebinding, as an excess of tRNA and mRNA was used to form a stable 30S post-recycling complex.

mRNA binding to the 30S PIC and 30S IC

We monitored binding of mRNA to the 30S subunit by fluorescence changes of Atto488 attached at the 3' end of the mRNA at position +12 downstream from the A of the AUG codon. We tested a collection of mRNA constructs (30 nucleotides long) that differed in the sequence of the ribosome-binding site (Fig. 6a). Mfold analysis²⁴ predicted different degrees of secondary structures for the mRNA constructs, with melting temperatures T_m ranging from 25 °C to 50 °C (Supplementary Table 3). Through analysis of mRNA mobility by nondenaturing PAGE (Supplementary Fig. 5), we confirmed that the mRNAs varied in secondary structure; their fold was influenced by exchanging only two nucleotides. Binding of mRNA led to fluorescence decrease at a rate largely insensitive to the presence of initiation factors or fMet-tRNA^{fMet} (Fig. 5b). The concentration dependence of k_{app} was linear, yielding mRNA association rate constants, k_{on} (Fig. 6b), in the range of $15\text{--}110\text{ }\mu\text{M}^{-1}\text{ s}^{-1}$ (20 °C; Fig. 6c,d and Supplementary Table 3). We also conducted some experiments at 37 °C, yielding association rate constants between 40 and $110\text{ }\mu\text{M}^{-1}\text{ s}^{-1}$ (Supplementary Table 3), consistent with earlier results¹⁰. The dissociation rate constants for various mRNAs, obtained without fMet-tRNA^{fMet}, were in the range of $0.05\text{--}3\text{ s}^{-1}$ (Fig. 6d and Supplementary Fig. 2d). There was no clear correlation between mRNA sequence features and association or dissociation rates, except for potential secondary structure elements in the m022-derived construct that exhibited slow association and rapid dissociation. This result suggests that the observed variations in association and dissociation rate constants of mRNA-30S PIC complexes without fMet-tRNA^{fMet} probably

reflect differences in the mRNA secondary structures rather than in the sequences of their ribosome-binding sites.

In the presence of fMet-tRNA^{fMet}, the binding of the mRNAs with cognate start codons was strongly stabilized by codon-anticodon interaction (Fig. 6d), with the exception of m003(AUG), which lacks a Shine-Dalgarno sequence and may be incorrectly positioned (that is, it does not have AUG in the P site). However, as both AUG codon and reading frame are correctly selected on m003 (ref. 11), the mRNA that dissociates faster in the presence of initiator tRNA could be only a subfraction of the total 30S-bound mRNA that does not display the start codon in the P site. If this interpretation is correct, binding of the rest of the mRNA could be strongly stabilized by the fMet-tRNA, as in the case of the Shine-Dalgarno-containing mRNAs, so that its dissociation would become virtually undetectable.

As we expected, binding of fMet-tRNA^{fMet} was also greatly stabilized by start codon recognition (400-fold for the complex with m002 AUG; Fig. 6e). IF2 and IF1 binding to the 30S IC was also stabilized (70-fold and 10-fold, respectively, for the complex with m002; Fig. 6e). At the same time, the stability of IF3 binding decreased by 10- to 150-fold, depending on the mRNA (Fig. 4d, O22 mRNA; Fig. 6e, m002), suggesting a global conformational rearrangement of the complex. The exact values of the dissociation rates, and to some extent also the magnitude of the effects, differ depending on the nature of the mRNA⁷.

DISCUSSION

Kinetic model of 30S PIC assembly

Using the rapid kinetics approach, we monitored the compositional and temporary dynamics of the 30S PIC assembly in real time (Fig. 7a). The association rate constants, together with the cellular concentrations of the initiation components, determine the order of recruitment of initiation factors, mRNA and fMet-tRNA^{fMet} to the 30S subunit. Using the cellular concentrations of initiation factors (2–3 μ M in *E. coli*²⁵; see Online Methods) and the association rate constants summarized in Table 1, we estimated the approximate times at which the factors arrive to the 30S subunit at ~30 ms for IF1, 1.3 ms for IF2 and <1 ms for IF3. In comparison, the association rate constant for fMet-tRNA^{fMet} is $5\text{ }\mu\text{M}^{-1}\text{ s}^{-1}$ (ref. 4), and hence its arrival time is 100 ms. The arrival times suggest that IF3 and IF2 are the first to bind to the

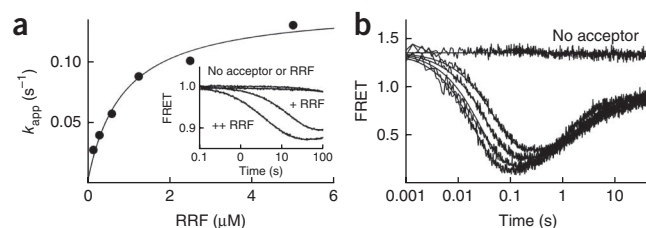


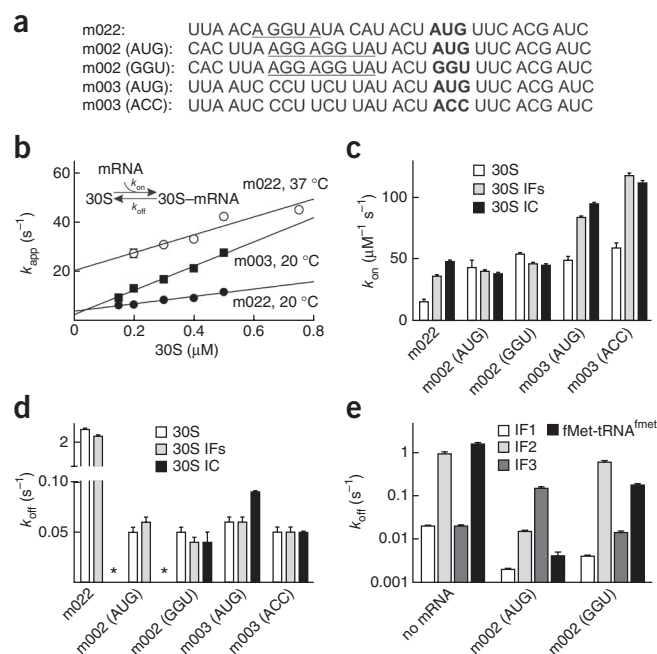
Figure 5 Recruitment of IF3 to ribosome recycling complexes. (a) Dependence of IF3 recruitment to post-termination 70S complex on RRF concentration. Inset, time courses of reactions at lower (+) and higher (++) concentration of RRF. Post-termination complex prepared from 70S ribosomes (0.1 μ M), poly(U) (0.1 mg ml⁻¹) and tRNA^{Phe}(QSY) (0.2 μ M) was rapidly mixed at 37 °C with IF3₁₆₆(Alx488) (0.1 μ M), EF-G (1.6 μ M) and RRF at indicated concentrations. (b) Time courses of IF3 recruitment to post-recycling 30S complexes were obtained by mixing 30S post-recycling complexes (0.0125–0.15 μ M, traces from right to left) with IF3₁₆₆(Alx488) (0.005 μ M) and unlabeled tRNA^{Phe} (1 μ M). Smooth lines, global fits of the time courses. Post-recycling complexes were prepared by incubating 30S subunits with poly(U) (0.1 mg ml⁻¹) and tRNA^{Phe}(QSY) (0.3 μ M). Top curve, control with unlabeled tRNA.

Figure 6 mRNA recruitment. (a) Sequences of ribosome-binding sites of mRNAs used in this study. Shine-Dalgarno sequences are underlined; initiation codons are bold. (b) Concentration dependence of k_{app} values of m022 or m003 mRNA binding to 30S subunit at 20 °C and 37 °C. (c) Comparison of association rate constants (k_{on}) for binding of various mRNAs to 30S subunits, 30S–initiation factor complexes (30S IFs) and 30S–initiation factor–fMet-tRNA^{fMet} complexes (30S IC). Values are mean and s.d. of linear fitting of k_{app} dependencies. (d) Dissociation rate constants of mRNAs from various 30S complexes. mRNAs (Atto488) (0.05 μ M) were mixed with 30S subunits (0.15 μ M) alone, with IF1 (0.3 μ M), IF2 (0.3 μ M), GTP (0.25 mM) and IF3 (0.3 μ M) (30S–IFs), or with initiation factors together with fMet-tRNA^{fMet} (0.45 μ M) (30S IC). Dissociation of the fluorescent mRNA from complexes was initiated by addition of unlabeled mRNA (1.5 μ M). Asterisk, dissociation rates were too slow to be measured in stopped-flow fluorometer. (e) Dissociation rate constants of initiation factors and fMet-tRNA^{fMet} as a function of initiation codon. Values in b, d–e are mean and s.d. from eight to ten time courses.

30S subunit, followed by IF1, which binds much more slowly, and finally by fMet-tRNA^{fMet}, which docks to the 30S subunit-bound IF2 (ref. 4). The kinetically favored assembly pathway probably also pertains to *in vivo* conditions, as molecular crowding and temperature should affect all association rate constants to a similar extent, and thus the differences in the arrival times of IF3, IF2, IF1 and fMet-tRNA probably persist. Thus, although initiation factors can bind to the 30S subunit independently of each other, there is a kinetically favored order of assembly events, constituting a preferred route in the multipathway, nonlinear assembly landscape. Similar calculations for mRNAs are difficult, as the concentration of mRNAs varies by orders of magnitude between highly abundant and rare or only temporarily expressed mRNAs²⁶ (see below).

The initial recruitment of initiation factors to the 30S subunit is followed by conformational adjustments. IF3 undergoes a rearrangement that takes place at a similar rate as the binding of IF1; in principle, the two reactions are independent of one another, although tight docking of IF3 seems to refine the landscape of the 30S subunit such that IF1 binding is accelerated three times. The rearrangements after the arrival of IF2 may involve conformational adjustments of IF2, domain movements of the 30S subunit, unfolding of the mRNA secondary structures and movements of the mRNA from the standby to the initiation site^{10,13,27}. Comparison of cryo-EM reconstructions of the 30S IC obtained with and without IF3 indicated that the CTD of IF2 may be positioned in a different way depending on IF3 (refs. 3,15), suggesting that the IF2 CTD is mobile; this may help in optimizing the alignment of the fMet-tRNA^{fMet} with respect to the AUG codon and to the incoming 50S subunit.

The arrival time of the mRNA is independent of initiation factors and seems to be characteristic for any given mRNA. The initial



recruitment of mRNA to the 30S subunit is mainly determined by the concentration and secondary structure at the ribosome-binding site²⁸. Our results suggest that, depending on mRNA abundance and accessibility of its ribosome-binding site, mRNAs may join the 30S subunit before, concomitantly with or after the initiation factors.

After the termination of protein synthesis, RRF and EF-G split the 70S post-termination complex into 30S–mRNA–tRNA complex and 50S subunits. IF3 binds to the 30S complex and promotes dissociation of the deacylated tRNA^{21,22}. Our data show that recruitment of IF3 to the ribosome after termination is limited by the rate of ribosome dissociation into subunits. However, after ejection of the 50S subunit, IF3 can bind to the 30S–mRNA–tRNA complex with a similar rate as to vacant 30S subunits. Recruitment of IF3 brings the factor into the vicinity of the tRNA in the P site. In the following rearrangement step, which precedes the release of tRNA and mRNA from the complex by as much as 15 s, IF3 and the tRNA move apart, forming an intermediate of the 30S subunit during recycling that had not been observed before.

Interplay between initiation factors

The times of IF1–IF3 arrival to the 30S subunit are largely independent of the presence of the other factors or the mRNA. However, both

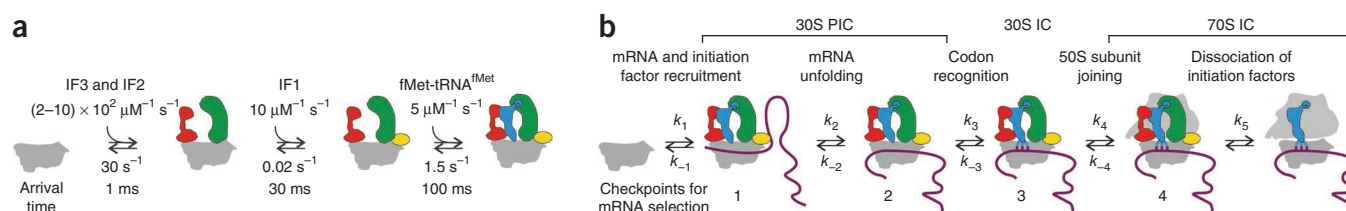


Figure 7 Assembly landscape of 30S PIC formation. (a) Kinetically favored recruitment pathway for initiation factors and fMet-tRNA^{fMet}. IF3 and IF2 are the first to bind to the 30S subunit, followed by IF1; values of rate constants are from Table 1. fMet-tRNA^{fMet} is recruited to the complete 30S–IFs complex (respective rate constants are from ref. 4). (b) Checkpoints of mRNA selection. The kinetic partitioning checkpoints operate at the stages of mRNA recruitment (checkpoint 1), mRNA unfolding and transition from standby to initiation sites (checkpoint 2), start codon recognition and transition from the 30S PIC to the 30S IC complex (checkpoint 3) and upon 50S subunit joining and transition from the 30S IC to the 70S IC (checkpoint 4). Because mRNA recruitment is independent of the IFs and fMet-tRNA^{fMet}, these components are not required to be on the 30S PIC before the mRNA binds.

conformational dynamics and the stability of each factor binding in the 30S PIC are modulated by the respective other two factors. IF3 binding is stabilized four-fold by IF2 and further ten-fold by IF1 (Table 1). As the binding sites of IF1 and IF3 are distant from one another, the effect of IF1 on IF3 is probably due to conformational changes of the 30S subunit, for example, movements of the 30S subunit head relative to the body, as has been observed in the cryo-EM structure of the complete 30S IC¹⁵. Interfering with 30S subunit motion using the antibiotic streptomycin disrupted communication between the two factors⁷, underscoring the importance of 30S dynamics in the joint action of the factors. Structural studies suggest that binding of IF1 may induce a distortion of helix 44 of 16S rRNA²⁹. Mutations of rRNA residues in that region affect the fidelity of initiation, presumably by impairing communication between IF1 and IF3 (ref. 30). The stabilizing effect of IF1 on IF3 is reciprocal, as the affinity of IF1 binding to the 30S subunit is greater with IF3 than without it (this paper and ref. 17).

The dissociation of IF2 from the 30S PIC is ten times slower when IF1 is present in the complex, as compared to the complex without IF1, and, conversely, IF1 binding is stabilized by IF2 (Table 1), consistent with earlier data¹⁷. The effect may be specific for *E. coli* IF2, which has an extended NTD that contacts IF1 on the 30S subunit¹⁵, because the interaction has not been observed with IF2s from thermophilic organisms, which have a much shorter NTD³¹. The kinetic stabilization results from a rearrangement induced by IF1. The nature of the rearrangement is currently unknown; it may represent the IF1-dependent interaction of the IF2 GTP-binding domain with the 30S PIC, as has been shown by pull-down assays with IF2 truncation mutants³², and may be mediated either through direct contact between IF1 and IF2 or by an indirect effect related to the 30S subunit conformation. In turn, the conformation of IF2 that stabilizes IF1 binding is induced by IF3 (Table 1), underlining the importance of IF3 for the conformational dynamics of IF2. IF3 and IF2 together bring about a ≥ 35 -fold stabilization of IF1 binding, compared with each of the two factors alone, consistent with cooperative stabilization effects observed earlier¹⁷.

Taking into account the kinetically favored order of factor binding, an early intermediate of the 30S PIC assembly is a 30S–IF2–IF3 complex, which is formed rapidly and is rather unstable (k_{-23} and k_{-32} are $\sim 30 \text{ s}^{-1}$; Fig. 7a). The recruitment of IF1 and the following conformational rearrangement alter the structure of the 30S PIC such that the binding of all factors is stabilized (k_{-123} and k_{-312} of 0.02 s^{-1} ; k_{-213} of 1 s^{-1}). These rearrangements are mainly independent of the mRNA but become crucial for mRNA and start codon selection in the following steps. This notion is supported by mutational analyses that have identified several nucleotides in 16S rRNA and residues in IF1 and IF3 that alter the fidelity of translation^{30,33–35}. Recruitment of fMet-tRNA^{fMet} and start codon recognition transform the 30S PIC into the mature 30S IC, altering the network of interactions in the PIC. Correct start codon selection leads to stabilization of fMet-tRNA^{fMet}, mRNA, IF2 and IF1, and to strong destabilization of IF3 (Table 1 and Fig. 6e), in preparation for its dissociation, which occurs during the transition from 30S IC to 70S IC⁷. Thus, the affinity switch induced by codon recognition is an important checkpoint that prepares the 30S IC for 50S subunit joining.

Checkpoints of mRNA selection at the 30S PIC

The initiation efficiency, and hence the expression, of individual mRNAs may vary by as much as three orders of magnitude³⁶. The efficiency of initial mRNA recruitment to the 30S PIC is proportional to the mRNA concentration and structural features of its ribosome-binding site^{28,36}, which account for up to 20-fold differences in the

association rate constants (k_1 in Fig. 7b and ref. 10). As discussed above, the differences are mostly due to secondary structure at the ribosome-binding site, whereas elements such as the strength of the Shine-Dalgarno–anti-Shine-Dalgarno interaction or the presence or absence of the AUG codon are not monitored at this stage (Fig. 7b, checkpoint 1). The recruitment of structured mRNAs may involve docking to the standby site at the platform of the 30S subunit^{27,37}; this allows unfolding of the secondary structure of the mRNA and its adjustment in the mRNA-binding channel. The fate of an mRNA at this point depends on kinetic partitioning between mRNA dissociation (k_{-1} in Fig. 7b) and unfolding (k_2 ; checkpoint 2); an mRNA with a strong secondary structure, which unfolds slowly, can be preferentially released from the 30S PIC and exchanged for a different mRNA¹⁰. The start codon selection operates the affinity switch that locks the mRNA and fMet-tRNA^{fMet} on the 30S subunit and constitutes the next checkpoint for mRNA selection. Here, mRNAs are favored that have a canonical start codon and can be efficiently recognized by fMet-tRNA^{fMet} (high k_3 value), whereas mRNAs with noncanonical initiation codons are disfavored (low k_3 value; checkpoint 3). Finally, the transition from the 30S IC to the 70S IC provides the ultimate checkpoint for mRNA selection, in which structural features of the translation initiation region and the presence of cognate mRNA–tRNA pairs are monitored (checkpoint 4)^{5,7}. The 30S IC with an optimal mRNA provides a structural landscape that ensures rapid 50S subunit docking^{3,6,7,15} (high k_4 value), whereas the presence of an unfavorable mRNA delays 70S IC formation, thereby leading to its lower expression⁷. In addition, the 30S PIC, which does not have a proper codon–anticodon interaction, may release the 50S subunit (high k_{-4} value). Thus, the assembly of the 30S PIC and its transition to the 30S IC and 70S IC provide multiple points at which the fate of an mRNA is decided on the basis of kinetic partitioning between the forward reactions and dissociation of the mRNA from the complex.

METHODS

Methods and any associated references are available in the online version of the paper.

Note: Supplementary information is available in the online version of the paper.

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AUTHOR CONTRIBUTIONS

P.M., C.O.G. and M.V.R. conceived the research. P.M. and M.V.R. designed the experiments and analyzed the data. P.M., C.M. and L.F. prepared materials and conducted experiments. P.M., C.O.G. and M.V.R. wrote the paper.

COMPETING FINANCIAL INTERESTS

The authors declare no competing financial interests.

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ONLINE METHODS

Biochemical methods. All measurements were made in buffer A (50 mM Tris-HCl, pH 7.5, 70 mM NH₄Cl, 30 mM KCl and 7 mM MgCl₂) at 20 °C unless stated otherwise. Ribosomes, proteins and tRNA were from *E. coli*. Ribosomal subunits were prepared from purified 70S ribosomes^{14,38} by sucrose gradient centrifugation in a zonal rotor (Ti 15, Beckman); the activity of reassociated 30S and 50S subunits was >95% in fMet-tRNA^{fMet} binding and peptide bond formation. 30S subunits were reactivated in buffer A with 20 mM MgCl₂ for 1 h at 37 °C. fMet-tRNA^{fMet} was purified by HPLC and was 95% aminoacylated and formylated¹⁴. Unless stated otherwise, we used a 124-nucleotide 022 mRNA^{11,14} that was produced by T7 RNA-polymerase transcription. Short fluorescent mRNAs were purchased from Iba. Preparation of single-cysteine mutants of IF1 and IF3 and fluorescence labeling of all components was carried out as described¹⁴. The alpha isoform of IF2 was used. The activity of the labeled components was verified by biochemical tests^{7,14} (see also **Supplementary Fig. 1**). RRF and EF-G were prepared as described²². The post-termination complex was prepared by binding of 70S ribosomes to poly(U) with tRNA^{Phe}(QSY35) in the P site in buffer A with 20 mM MgCl₂ for 1 h at 37 °C; the final MgCl₂ concentration in the stopped-flow experiments was adjusted to 7 mM. The 30S post-recycling complex was prepared in the same way except for tRNA concentration (0.3 μM; see legend to **Fig. 5**).

Kinetic experiments. Fluorescence stopped-flow measurements were done using a SX-20MV stopped-flow apparatus (Applied Photophysics). Experiments were carried out by rapidly mixing equal volumes (60 μl each) of reactants in buffer A at 20 °C. In a single experiment, 1,000–4,000 data points were acquired in logarithmic sampling mode. Excitation wavelength was 465 nm. Fluorescence emission and FRET were measured after using 500-nm or 590-nm cutoff filters to measure fluorescence of donor or acceptor, respectively. Time courses of binding to 30S complexes with various combinations of initiation ligands were obtained for each labeled factor and mRNA. Apparent rate constants (k_{app}) were determined under pseudo-first-order conditions at increasing concentrations of either the labeled factor (for example, IF1) or the respective ribosome complex. The concentration of complex or factor that carried the FRET donor was kept constant, whereas the concentration of the FRET acceptor varied. Data were evaluated by fitting the exponential function $F = F_{\infty} + A \exp(-k_{app} t)$, with a characteristic time constant k_{app} , the amplitude of the signal change A , the final signal F_{∞} and the fluorescence at time t , F . If necessary, additional exponential terms were included. Calculations were done using TableCurve software (Jandel Scientific) or Prism (Graphpad Software). All values are mean \pm s.d. from eight to ten time courses. For a simple one-step reversible binding mechanism, association and dissociation rate constants were estimated by linear fitting of the concentration dependence of the k_{app} values. In cases in which more than one exponential term was required to fit the time courses, we additionally estimated the values of rate constants by global fitting of time courses measured at

different concentration of complexes by numerical integration using Scientist for Windows (MicroMath Scientific Software).

Dissociation rate constants were also determined by chase experiments in which we mixed preformed 30S complexes containing fluorescent components with an excess of an unlabeled component in the stopped-flow apparatus. Where necessary, that is, for two-step association reactions, the measured dissociation values were corrected for the extent the respective complex was populated using rate constants from numerical integration (**Table 1**). Whenever more than one FRET pair was used to measure the kinetics of interactions, very similar values of association and dissociation rate constants were obtained. The arrival times τ were calculated from the bimolecular association rate constant and the concentration of the respective factor using the following concentrations: IF1, 2.5 μM; IF2, 3 μM; IF3, 2 μM; and fMet-tRNA^{fMet}, 2 μM (ref. 25).

To calculate rate constants by global fitting, time courses obtained at several different concentrations of ligands (as indicated in respective figure legends) were combined with time courses of dissociation obtained by chase. The data were fitted by numerical integration using Scientist for Windows software. For IF3 binding to the 30S, 30S-IF1, 30S-IF2, 30S-IF1-IF2 and 30S IC, the kinetic scheme of **Figure 3b** was used, with variables for the rate constants k_{3a} , k_{-3a} , k_{3b} and k_{-3b} and fluorescence factors F_a (fluorescence of free IF3), F_c (IF3 bound in the 30S-IF3* state, **Fig. 4b**) and F_d (IF3 bound to the 30S-IF3 complex, **Fig. 4b**). To normalize the binding data sets, fluorescence was set to 1 at time 0 and to 0 at infinite time for each time course of the titration experiment (**Fig. 4c**), and all time courses were fitted with a common set of rate constants and fluorescence factors. An additional set of fluorescence factors was used for the chase data set. The values of the rate constants determined analytically (**Supplementary Fig. 4**) were used as initial values for fitting. The fitting yields a unique solution for rate constants and for fluorescence factors. Identical rate constants were obtained if arbitrary values were used as initial guesses for rate constants or fluorescence factors (for example, when all values were set to 1); however, in this case the calculations took much longer. The variations in fluorescence factors did not affect the solution for values of rate constants, as the same results were obtained when raw stopped-flow data were used without rescaling; however, in this case a separate set of fluorescence factors had to be assumed for each time course.

The time courses of IF3 binding to the post-termination complex (**Fig. 5b**) were fitted to a three-step scheme, $A+B \leftrightarrow C \leftrightarrow D \leftrightarrow E$, with a set of six rate constants and four fluorescence factors F_a , F_c , F_d and F_e . The time courses were normalized to start at the same value at time 0 and end at the same value (set to 1) at infinite time. The initial guesses for the rate constants were arbitrary; identical solutions were obtained starting from various initial guesses.

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