

Supersymmetric quantum cosmological billiards

Axel Kleinschmidt

Physique Théorique et Mathématique and International Solvay Institutes, Université Libre de Bruxelles, Boulevard du Triomphe, ULB-CP231, BE-1050 Bruxelles, Belgium

Michael Koehn and Hermann Nicolai

Max-Planck-Institut für Gravitationsphysik, Albert-Einstein-Institut, Am Mühlenberg 1, DE-14476 Golm, Germany

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$D = 11$ supergravity near a spacelike singularity admits a cosmological billiard description based on the hyperbolic Kac-Moody group E_{10} . The quantization of this system via the supersymmetry constraint is shown to lead to wave functions involving automorphic (Maass wave) forms under the modular group $W^+(E_{10}) \cong PSL_2(O)$ with Dirichlet boundary conditions on the billiard domain. A general inequality for the Laplace eigenvalues of these automorphic forms implies that the wave function of the Universe is generically complex and always tends to zero when approaching the initial singularity. We discuss possible implications of this result for the question of singularity resolution in quantum cosmology and comment on the differences with other approaches.

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One of the surprising results in the study of classical gravity very close to a generic spacelike singularity due to Belinskii, Khalatnikov, and Lifshitz (BKL) [1] was the realization that, under rather general assumptions, the system becomes ultralocal in space and can be described by a sequence of Kasner regimes. In the strict limit toward the singularity, the Kasner behavior is interspersed with hard reflections of the logarithms of the spatial scale factors off infinite potential walls [2,3]. This behavior has been termed “cosmological billiards”; the geometry of the billiard table as well as the possible occurrence of chaotic oscillations near the singularity depends on the dimension and the matter content of the theory [4,5]. In particular, for $D = 11$ supergravity it was shown by Damour and Henneaux [6] that the billiard domain is the fundamental Weyl chamber \mathcal{C} of the hyperbolic Kac-Moody group E_{10} , implying chaotic behavior.

Resolving the cosmological singularity requires the transition to the quantum theory. In this paper we address this issue in the framework of cosmological billiards. More precisely, we will set up and solve the quantum constraints for $D = 11$ supergravity for the ten spatial scale factors and the fermionic degrees of freedom in compliance with the supersymmetry constraint. The approach followed here is thus a variant of “minisuperspace” quantization of gravity pioneered in [7–9] and further developed in [10–15]. An essential new ingredient of the present work is the *arithmetic structure* provided by E_{10} and its Weyl group, whose relevance in the context of Einstein gravity was pointed out and explored in [16]. In accordance with the M-theory proposal of [17], where a correspondence was established at the classical level between a (truncated) gradient expansion of the $D = 11$ supergravity equations of motion and an expansion in heights of roots of a constrained “geodesic” $E_{10}/K(E_{10})$ coset space model, the

cosmological billiards approximation corresponds to the restriction of the coset model to the Cartan subalgebra of E_{10} . Our results therefore represent the first step toward the quantization of the full coset model.

Starting with the bosonic variables, the diagonal metric considered for the cosmological billiard for a $(d + 1)$ -dimensional space-time is of the form [18] (for $d \geq 3$)

$$ds^2 = -N^2 dt^2 + \sum_{a=1}^d e^{-2\beta^a} dx_a^2, \quad (1)$$

leading to the kinetic term

$$\mathcal{L}_{\text{kin}} = \frac{1}{2} n^{-1} \sum_{a,b=1}^d \dot{\beta}^a G_{ab} \dot{\beta}^b \quad (2)$$

in terms of the lapse $n = Ng^{-1}$ (the spatial volume is $g^{1/2} = \exp[-\sum_a \beta^a]$) and the Lorentzian DeWitt metric [19]

$$\dot{\beta}^a G_{ab} \dot{\beta}^b \equiv \sum_{a=1}^d (\dot{\beta}^a)^2 - \left(\sum_{a=1}^d \dot{\beta}^a \right)^2. \quad (3)$$

It will be essential that for $d = 10$ this metric coincides with the restriction of the Cartan-Killing metric of E_{10} to its Cartan subalgebra. The spatial ultralocality of the BKL limit reduces the gravitational model to a classical mechanics system of a relativistic billiard ball described by the β^a variables moving on straight null lines in the Lorentzian space with metric G_{ab} until hitting a billiard table wall. The straight line segments are Kasner regimes. The singularity is at $t = +\infty$ in the “Zeno-like” time coordinate t that is related to physical (proper) time T by $t \sim -\log T$. There is one such system for each spatial point \mathbf{x} , and these systems are all decoupled.

The conjugate canonical bosonic variables of the billiard system are β^a and $\pi_a = G_{ab}\dot{\beta}^b$; the Hamiltonian then is $\mathcal{H} = \frac{1}{2}\pi_a G^{ab}\pi_b$ with the inverse metric G^{ab} , and we have set the lapse $n = 1$. Before quantization, we perform the following change of variables by means of which the billiard motion can be projected onto the unit hyperboloid in β space [4]

$$\beta^a = \rho\omega^a, \quad \omega^a G_{ab}\omega^b = -1, \quad \rho^2 = -\beta^a G_{ab}\beta^b, \quad (4)$$

where ρ is the ‘‘radial’’ direction in the future light cone and $\omega^a = \omega^a(z)$ are expressible as functions of $d - 1$ coordinates z on the unit hyperboloid. The limit toward the singularity is $\rho \rightarrow \infty$ in these variables. The Wheeler-DeWitt (WDW) operator on β space takes the form [20]

$$\mathcal{H} \equiv G^{ab}\partial_a\partial_b = -\rho^{1-d}\frac{\partial}{\partial\rho}\left(\rho^{d-1}\frac{\partial}{\partial\rho}\right) + \rho^{-2}\Delta_{\text{LB}}, \quad (5)$$

where Δ_{LB} is the Laplace-Beltrami operator on the $(d - 1)$ -dimensional unit hyperboloid. The WDW equation therefore reads $\mathcal{H}\Phi(\rho, z) = 0$ for the wave function $\Phi(\rho, z)$. As usual (see e.g. [15]), one can adopt ρ as a time coordinate in the initially ‘‘timeless’’ WDW equation, with the standard (Klein-Gordon-like) invariant inner product

$$(\Phi_1, \Phi_2) = i \int d\Sigma^a \Phi_1^* \vec{\partial}_a \Phi_2, \quad (6)$$

where the integral is to be taken over a spacelike hypersurface inside the forward light cone in β space.

In order to construct solutions we separate variables by means of the ansatz $\Phi(\rho, z) = R(\rho)F(z)$ [9,11]. For any eigenfunction $F(z)$ obeying

$$-\Delta_{\text{LB}}F(z) = EF(z), \quad (7)$$

the associated radial equation is solved by

$$R_{\pm}(\rho) = \rho^{-(d-2)/2} e^{\pm i\sqrt{E - [(d-2)/2]^2} \log\rho}. \quad (8)$$

Positive frequency waves emanating from the singularity correspond to $R_-(\rho)$ and have positive inner product (6). To study the eigenvalues of the Laplace-Beltrami operator on the unit hyperboloid we use a generalized upper half-plane model $z = (\vec{u}, v)$ for the unit hyperboloid with coordinates $\vec{u} \in \mathbb{R}^{d-2}$ and $v \in \mathbb{R}_{>0}$ and the Poincaré metric $ds^2 = v^{-2}(dv^2 + d\vec{u}^2)$ such that

$$\Delta_{\text{LB}} = v^{d-1}\partial_v(v^{3-d}\partial_v) + v^2\partial_{\vec{u}}^2. \quad (9)$$

For the spectral problem we must specify boundary conditions. For the cosmological billiard, these are provided by infinite (‘‘sharp’’) potential walls which encapsulate the effect of spatial inhomogeneities and matter fields near the spacelike singularity, as explained in [4,5]. Following the original suggestion of [9], we are thus led to impose the

vanishing of the wave function on the boundary of the fundamental domain specified by these walls. Accordingly, let $F(z)$ be any function on the hyperboloid satisfying (7) with *Dirichlet conditions* at the boundaries of this domain [21]. A direct generalization of the arguments on page 28 of Ref. [22] gives

$$-(\Delta_{\text{LB}}F, F) \geq \int dv d^{d-2}uv^{3-d}(\partial_v F)^2, \quad (10)$$

with Eqs. (7) and (9). Considering also

$$\begin{aligned} (F, F) &= \int dv d^{d-2}uv^{1-d}F^2 \\ &= \frac{2}{d-2} \int dv d^{d-2}uv^{2-d}F\partial_v F, \end{aligned} \quad (11)$$

the Cauchy-Schwarz inequality entails

$$E \geq \left(\frac{d-2}{2}\right)^2. \quad (12)$$

From the explicit solution (8) we thus conclude that $R_{\pm}(\rho) \rightarrow 0$ when $\rho \rightarrow \infty$, and therefore *the full wave function and all its ρ derivatives tend to zero near the singularity*. While this conclusion also holds with Neumann boundary conditions (for which $E \geq 0$), the above inequality furthermore ensures that the full wave function is generically complex and oscillating.

Let us now return to maximal supergravity, where the wave functions can be further analyzed by exploiting the underlying symmetry encoded in the Weyl group $W(E_{10})$ and its arithmetic properties, and, in particular, the new links between hyperbolic Weyl groups and generalized modular groups uncovered in [23]. The Weyl reflections that the classical particle is subjected to when colliding with one of the walls are norm preserving, and therefore the reflections can be projected to any hyperboloid of constant ρ , inducing a nonlinear action on the coordinates z [given in (14) below for the fundamental reflections]. For physical amplitudes to be invariant under the Weyl group, the full wave function (in β space) must transform as follows:

$$\Phi(\rho, z) = \pm\Phi(\rho, w_I \cdot z) \quad (13)$$

for the ten generating fundamental reflections w_I of $W(E_{10})$, labeled by $I = -1, 0, 1, \dots, 8$. Restricting the wave function to the fundamental Weyl chamber, one easily checks that the plus sign in (13) corresponds to Neumann boundary conditions, and the minus sign to Dirichlet conditions (which we adopt here). $\Phi(\rho, z)$ is thus invariant under even Weyl transformations $s \in W^+(E_{10})$ irrespective of the chosen boundary conditions.

Choosing coordinates as in (9) the relevant variables now live in a 9-dimensional ‘‘octonionic upper half plane’’ with $z = u + iv$, where $u \equiv \vec{u} \in \mathbb{O}$ is an *octonion*. The ten fundamental reflections of $W(E_{10})$ act as ($j = 1, \dots, 8$)

$$w_{-1}(z) = \frac{1}{\bar{z}}, \quad w_0(z) = -\theta\bar{z}\theta + \theta, \quad (14)$$

$$w_j(z) = -\varepsilon_j\bar{z}\varepsilon_j,$$

where $\bar{z} := \bar{u} - iv$, with $iu = \bar{u}i$ in accordance with Cayley-Dickson doubling [24]. ε_j and θ , respectively, are the simple roots and the highest root of E_8 expressed as unit octonions [23,24]. We note that for $u \in \mathbb{R}$ the formulas (14) reduce to the ones familiar from complex analysis, with $z \mapsto 1/\bar{z}$, $z \mapsto -\bar{z} + 1$, and $z \mapsto -\bar{z}$, generating the group $PGL_2(\mathbb{Z})$. For *even* Weyl transformations, we reobtain the standard modular group $PSL_2(\mathbb{Z})$ generated by $S(z) = (w_{-1}w_1)(z) = -1/z$ and $T(z) = (w_0w_1)(z) = z + 1$. Similarly, the even Weyl group $W^+(E_{10})$ is isomorphic to $PSL_2(\mathbb{O})$, where \mathbb{O} are the integer octonions (“octavians”, see [24]) and the group $PSL_2(\mathbb{O})$ is *defined* by iterating the action of (14) an even number of times [23]. Consequently, for maximal supergravity the bosonic wave functions $\Phi(\rho, z)$ are *odd Maass wave forms* for $PSL_2(\mathbb{O})$; that is, invariant eigenfunctions of the Laplace-Beltrami operator transforming with a minus sign in (13) under the extension $W(E_{10})$ of $PSL_2(\mathbb{O})$. Understanding these modular functions remains an outstanding mathematical challenge; see [25] for an introduction [and [22] for the $PSL_2(\mathbb{Z})$ theory]. For the groups $PSL_2(\mathbb{Z})$ and $PSL_2(\mathbb{Z}[i])$ the (purely discrete) spectra of odd Maass wave forms have been investigated numerically in [26–30]. By modular invariance, the wave functions can be restricted to the fundamental domain of the action of $W(E_{10})$, and conversely, their modular property defines them on the whole hyperboloid. The Klein-Gordon inner product (6) must likewise be restricted to the fundamental chamber

$$(\Phi_1, \Phi_2) = i \int_{\mathcal{F}} d\text{vol}(z) \rho^{d-1} \Phi_1^* \vec{\partial}_\rho \Phi_2, \quad (15)$$

where \mathcal{F} is the intersection of \mathcal{C} with the unit hyperboloid. This is the canonical quantum gravity analog of the one-loop amplitude in string theory which is rendered finite upon “division” by the modular group $PSL_2(\mathbb{Z})$.

We now turn to the extension of the quantum billiard analysis to maximal supergravity and restrict to $d = 10$ henceforth [31–33]. Classically, the gravitino ψ_μ of $D = 11$ supergravity performs a separate fermionic billiard motion [34]. This is most easily expressed in a supersymmetry gauge $\psi_t = \Gamma_t \Gamma^a \psi_a$ [31] and in the variables [34] (with $\Gamma_* = \Gamma^1 \dots \Gamma^{10}$)

$$\varphi^a = g^{1/4} \Gamma_* \Gamma^a \psi^a \quad (\text{no sum on } a = 1, \dots, 10). \quad (16)$$

Using (16) the Dirac brackets between two gravitino variables [see Eq. (6.3) in [33]] become $\{\varphi_\alpha^a, \varphi_\beta^b\} = -2iG^{ab} \delta_{\alpha\beta}$, where we have written out the 32 real spinor components using the indices α, β . The fermionic and bosonic variables are linked by the supersymmetry constraint

$$\mathcal{S}_\alpha \equiv \sum_{a,b=1}^{10} \hat{\beta}^a G_{ab} \varphi_\alpha^b = \sum_{a=1}^{10} \pi_a \varphi_\alpha^a = 0. \quad (17)$$

The supersymmetry constraint implies the Hamiltonian constraint $\mathcal{H} = 0$ by closure of the algebra

$$\frac{1}{4} \{\mathcal{S}_\alpha, \mathcal{S}_\beta\} = \delta_{\alpha\beta} \mathcal{H}. \quad (18)$$

In order to quantize this system we rewrite the 320 real gravitino components φ_α^a in terms of 160 complex ones, and replace the Dirac brackets by canonical anticommutators to obtain a fermionic Fock space of dimension 2^{160} over the vacuum $|\Omega\rangle$. For the supersymmetry constraint this amounts to the redefinition $\tilde{\mathcal{S}}_A = \mathcal{S}_A + i\mathcal{S}_{A+16}$ for $A = 1, \dots, 16$. The quantum constraint is then solved by

$$|\Psi\rangle = \prod_{A=1}^{16} \tilde{\mathcal{S}}_A^\dagger(\Phi(\rho, z)|\Omega\rangle), \quad (19)$$

(with $\tilde{\mathcal{S}}_A|\Omega\rangle = 0$) if and only if the function $\Phi(\rho, z)$ is a solution of the WDW equation $\mathcal{H}\Phi = 0$. While this solution is close to the “bottom of the Dirac sea,” there is an analogous one “close to the top” with $\tilde{\mathcal{S}}_A^\dagger$ replaced by $\tilde{\mathcal{S}}_A$ and $|\Omega\rangle$ by the completely filled state.

The cosmological billiards description is very useful but takes into account the dependence on spatial inhomogeneities and matter degrees of freedom only in a very rudimentary way via the infinite potential walls. It would thus be desirable to develop an approximation scheme for the quantum state in line with the “small tension” expansion proposed in [17], and thereby hopefully resolve the difficulties encountered in extending the “dictionary” of [17] to higher order spatial gradients and heights of roots in a quantum mechanical context. In the BKL approximation, the full wave function is expected to factorize as

$$|\Psi_{\text{full}}\rangle \sim \prod_{\mathbf{x}} |\Psi_{\mathbf{x}}\rangle, \quad (20)$$

near the singularity into a formal product over wave functions of the type (19), one for each spatial point with independent bosonic wave functions $\Phi_{\mathbf{x}}(\rho(\mathbf{x}), z(\mathbf{x}))$ and *space-dependent* diagonal metric variables $\beta^a(\mathbf{x}) \equiv (\rho(\mathbf{x}), z(\mathbf{x}))$. The task is then to replace the formal expression (20) by a wave function depending on the (infinite) tower of E_{10} degrees of freedom, effectively implementing the deemergence of space and time near the cosmological singularity, and their replacement by purely algebraic concepts [31,35]. We note that as a consequence of the uniqueness of the standard bilinear (Cartan-Killing) form on E_{10} there is a *unique* E_{10} extension of the billiard Hamiltonian (5) given by

$$\mathcal{H} \rightarrow \mathcal{H} + \sum_{\alpha \in \Delta_+(E_{10})} \sum_{s=1}^{\text{mult}(\alpha)} e^{-2\alpha(\beta)} \Pi_{\alpha,s}^2, \quad (21)$$

where the first sum runs over the positive roots α of E_{10} .

This extended system requires additional constraints. A first step in this direction was taken in [36], where a correspondence was established at low E_{10} levels between the classical canonical constraints of $D = 11$ supergravity (in particular, the diffeomorphism and Gauss constraints) on the one hand, and a set of constraints that can be consistently imposed on the $E_{10}/K(E_{10})$ coset space dynamics on the other. The fact that the latter can be cast in a “Sugawara-like” form as quadratic expressions in terms of the E_{10} Noether charges [36] would make them particularly amenable for the implementation on a quantum wave function. In addition, one would expect that $PSL_2(\mathbb{O})$ must be replaced by a much larger “modular group” whose action extends beyond the Cartan subalgebra degrees of freedom all the way into E_{10} , perhaps along the lines suggested in [37].

As noted above, the inequality (12) implies that $\Phi(\rho, z) \rightarrow 0$ for $\rho \rightarrow \infty$, and hence the wave function Ψ vanishes at the singularity, in such a way that the norm is preserved. Its oscillatory nature entails that it cannot be analytically extended beyond the singularity, a result whose implications for the question of singularity resolution in quantum cosmology remain to be explored. The mechanism usually invoked to resolve singularities in canonical approaches to quantum geometrodynamics would be to replace the classical “trajectory” in the moduli space of 3-geometries (that is, WDW superspace) by a quantum mechanical wave functional which “smears” over the

singular 3-geometries. By contrast, the present work suggests a very different picture, namely, the “resolution” of the singularity via *the effective disappearance (deemergence) of space-time* near the singularity (see also [35]). The singularity would thus become effectively “unreachable.” This behavior is very different from other possible mechanisms, such as the Hartle-Hawking no boundary proposal [38], or cosmic bounce scenarios of the type considered recently in the context of minisuperspace loop quantum cosmology [39–41], both of which require continuing the cosmic wave packet into and beyond the singularity at $\rho = \infty$.

A key question for singularity resolution concerns the role of observables, and their behavior near the singularity. While no observables (in the sense of Dirac) are known for canonical gravity, we here only remark that for the $E_{10}/K(E_{10})$ coset model the conserved E_{10} Noether charges do constitute an infinite set of observables, as these charges can be shown to commute with the full E_{10} Hamiltonian (21). The expectation values of these charges are thus the only quantities that remain well defined and can be sensibly computed in the deep quantum regime, where the $E_{10}/K(E_{10})$ coset model is expected to replace space-time based quantum field theory.

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