

Long-range $\mathfrak{gl}(n)$ integrable spin chains and plane-wave matrix theory

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Received 3 June 2006

Accepted 26 June 2006

Published 17 July 2006

Online at stacks.iop.org/JSTAT/2006/P07006

doi:10.1088/1742-5468/2006/07/P07006

Abstract. Quantum spin chains arise naturally from perturbative large- N field theories and matrix models. The Hamiltonian of such a model is a long-range deformation of nearest-neighbour type interactions. Here, we study the most general long-range integrable spin chain with spins transforming in the fundamental representation of $\mathfrak{gl}(n)$. We derive the Hamiltonian and the corresponding asymptotic Bethe ansatz at the leading four perturbative orders with several free parameters. Furthermore, we propose Bethe equations for all orders and identify the moduli of the integrable system. We finally apply our results to plane-wave matrix theory and show that the Hamiltonian in a closed sector is not of this form and therefore not integrable beyond the first perturbative order. This also implies that the complete model is *not* integrable.

Keywords: integrable spin chains (vertex models), quantum integrability (Bethe ansatz)

ArXiv ePrint: [hep-th/0510124](http://arxiv.org/abs/hep-th/0510124)

Contents

1. Introduction	2
2. Perturbatively integrable $\mathfrak{gl}(n)$ spin chain	4
3. Bethe ansatz	7
4. Constraints from matrix and gauge theories	9
5. Coefficients for specific models	11
6. Plane-wave matrix theory not fully integrable	12
7. Conclusions and outlook	16
Acknowledgments	17
Appendix. Permutation operators	18
References	19

1. Introduction

The discovery of integrability in the AdS/CFT correspondence [1] has raised interest in types of integrable spin chain models which were hitherto considered as somewhat exotic. These not only involve the usual nearest-neighbour interactions of two spins, but also interactions of a larger number of nearby spins [2, 3]. Although the construction of such a system appeared to be virtually impossible when demanding exact integrability, several interesting models have been found which display convincing signs of *perturbative integrability* [2]: in these models one starts with an exactly integrable nearest-neighbour Hamiltonian and deforms it with local interactions of a longer range. The integrable structure is only required to close up to the considered perturbative order in the deformation parameter. However, it should in principle be possible to extend the analysis to an arbitrary order.

In these systems, the range of the interactions grows linearly with the perturbative order. Hence, if one were to transcend the perturbative regime, one would find a truly *long-ranged* spin chain. The only examples of such integrable spin chains where the non-perturbative part is well understood are the trigonometric Haldane–Shastry chain [4] and, as its hyperbolic and elliptic generalization, the Inozemtsev chain [5]. These long-range models involve only spin–spin interactions, but the spin chain Hamiltonians which are relevant in the context of the AdS/CFT correspondence appear to be more general.

In a conformal gauge theory, predominantly $\mathcal{N} = 4$ supersymmetric Yang–Mills theory (SYM), these spin chains arise from the eigenvalue problem of the dilatation operator in the planar limit. The interactions of growing range correspond to higher and higher perturbative corrections to the dilatation operator at small coupling. This relationship implies that the energy spectrum of the spin chain system translates into the spectrum of anomalous dimensions in gauge theory. For an integrable system one can then make use of the integrable structure of the spin chain system, in particular the

Bethe ansatz, to determine planar anomalous dimensions in field theory [1], [6]–[10]. This vastly simplifies the determination of these quantities as compared to direct (higher-loop) computations.

Integrable structures have a long history in string theory, too. Of particular interest here is IIB string theory on $\text{AdS}_5 \times S^5$ which is dual to $\mathcal{N} = 4$ gauge theory and whose integrability was established only recently following [11]. Intriguingly, the spectrum of this quantum string theory can be described by Bethe equations as well. The equations found in [12] are surprisingly similar to the ones found in gauge theory [8]. They reproduce accurately results of various direct computations in string theory in various cases [13, 14] as shown in [12, 9, 15, 14]. In fact, the stringy Bethe ansatz also has a spin chain realization [16], even if its regime of validity does not coincide with perturbative quantum strings.

In order to gain further insights into the integrable properties of gauge field theories, closely related matrix models were studied as toy models for integrability in large- N theories. In these cases, it is the planar part of the matrix model Hamiltonian which is interpreted as the Hamiltonian of a spin chain system. Due to the finite number of degrees of freedom, matrix models are technically easier to handle in explicit calculations than field theories. For these models one can specifically construct an integrable Hamiltonian [17]. Even more, in some models integrability originates from an underlying action principle. A particularly interesting matrix model akin to $\mathcal{N} = 4$ SYM is given by plane-wave matrix theory (PWMT) [18]. In fact, this theory acts as the microscopic definition of M-theory on an eleven-dimensional plane wave [18, 19]. However, it was also shown to be derivable from SYM as a consistent truncation on $\mathbb{R} \times S^3$ [20]. Through this connection, the one-loop integrability of PWMT is immediately inherited from SYM [20], but also higher-loop studies uncovered integrable sectors of PWMT [21, 22].

For in-depth reviews of the above mentioned topics we would like to refer the reader to [23] for gauge theory, to [24] for matrix models and to [25, 26] for spinning strings.

Currently there is a small zoo of perturbatively integrable spin chains available which differ in one or another respect. These, however, might appear to be just a few known islands in otherwise uncharted territory. An underlying general framework or classification, such as the R-matrix formalism or Bethe ansätze for generic algebras and representations, is yet unknown, although some patterns begin to surface. Here we make the first attempt at a systematic approach: we will study the most general perturbatively integrable spin chain with spins in the fundamental representation of $\mathfrak{gl}(n)$ and long-range interactions. We construct two fundamental spin chain operators, the Hamiltonian \mathcal{Q}_2 and one higher charge \mathcal{Q}_3 from the integrable structure, without reference to some underlying large N gauge theory. As a first approximation to full perturbative integrability, we merely demand commutation of these two operators

$$[\mathcal{Q}_2(\lambda), \mathcal{Q}_3(\lambda)] = \mathcal{O}(\lambda^{k+1}). \quad (1.1)$$

Here λ is a formally small parameter and k the maximum perturbative order we shall be interested in. This is a minimum requirement for integrability, but apparently it is also sufficient for our model (to the given accuracy). In this paper, we obtain the most general spin chain of this kind up to fourth order, i.e. $k = 4$ in (1.1) (section 2 and appendix). For the resulting Hamiltonian we perform the asymptotic coordinate space Bethe ansatz [27, 9] and obtain asymptotic Bethe equations for the model (section 3). This allows us

to find patterns in the set of free parameters of the model which might generalize to arbitrary perturbative orders. For different values of these moduli we recover various Bethe equations found in the context of AdS/CFT (section 5).

In the second half of these notes, we focus our attention back to gauge theories and matrix models which have been the starting point for these kinds of perturbatively integrable models. Our main observation is that for $\mathfrak{gl}(n \geq 3)$ the obtained spin chain operators at subleading orders cannot arise from a large- N gauge theory with at most four-valent interaction vertices (section 4). Conversely, as the determined spin chain is the most general integrable one, any spin chain Hamiltonian that is derived from a large- N gauge theory with at most four-valent interaction vertices and fields in the fundamental representation of $\mathfrak{gl}(n \geq 3)$ cannot be integrable beyond first order. This result has a severe consequence for PWMT. When determining all closed subsectors of this theory, we indeed find a closed fundamental $\mathfrak{su}(3)$ sector (section 6), which is non-integrable by this general argument. Hence, we have shown that PWMT is—despite the integrable properties within some sectors at low perturbative orders—certainly not fully integrable! In contrast, the analogue fundamental $\mathfrak{su}(3)$ sector of $\mathcal{N} = 4$ SYM is not closed beyond leading order and thus there is no obstruction to integrability. The difference between both models is that in the full gauge theory the corresponding fields mix with fermions; these fermions have been projected out in PWMT, along with, sadly, integrability.

2. Perturbatively integrable $\mathfrak{gl}(n)$ spin chain

In the following we focus on spin chains with spins transforming in the fundamental representation of $\mathfrak{gl}(n)$.⁴ The integrability of a spin chain system is expressed through the existence of an infinite set of Hermitian spin chain charges \mathcal{Q}_r with $r \in \{2, 3, \dots\}$, all commuting with each other

$$[\mathcal{Q}_r, \mathcal{Q}_s] = 0. \quad (2.1)$$

Here \mathcal{Q}_2 represents the spin chain Hamiltonian and $\mathcal{Q}_{r \geq 3}$ are called higher charges. It is reasonable to define a total momentum operator \mathcal{Q}_1 such that $\exp(i\mathcal{Q}_1)$ shifts all spins by one lattice site. Note however that only the operator $\exp(i\mathcal{Q}_1)$ is well defined while its logarithm \mathcal{Q}_1 is ambiguous.

We also introduce the operator \mathcal{L} which counts the number of spins within a spin chain state, i.e. which measures the spin chain length L . Since in the models under consideration \mathcal{L} commutes with all charges, the full space of states is divided into superselection sectors characterized by the spin chain length. Such a sector contains a finite number of n^L states. Therefore the Hamiltonian \mathcal{Q}_2 , restricted to a specific sector, is nothing but a $n^L \times n^L$ Hermitian matrix. It can always be diagonalized by a similarity transformation and the existence of $n^L - 1$ further mutually commuting matrices is trivial. The distinguishing feature of (most) integrable models is that there exist L local commuting charges \mathcal{Q}_r which take a generic form independently of the value of L . The notion of locality will be rendered more precisely in the following. Furthermore, the charges should also be invariant under the symmetry group of the model.

⁴ We expect the analysis to be equivalent if the symmetry algebra is the superalgebra $\mathfrak{gl}(n|m)$. For simplicity of notation we shall nevertheless restrict to $\mathfrak{gl}(n)$.

The construction principle for *perturbatively* integrable spin chain systems is the requirement that the spin chain charges can be expanded according to their interaction range. We write

$$\mathcal{Q}_r(\lambda) = \sum_{k=0}^{\infty} \lambda^k \mathcal{Q}_r^{(k)}, \tag{2.2}$$

where by definition the maximal range of $\mathcal{Q}_r^{(k)}$ is $r + k$, i.e. $\mathcal{Q}_r^{(k)}$ acts locally on $r + k$ adjacent spins. The constant λ is used as a formal expansion parameter. With regard to gauge theory, λ should be viewed as ('t Hooft) coupling constant and (2.2) as a perturbation expansion. If the perturbation expansion is truncated at some order in λ , then the commutation (2.1) is no longer exact, except for the case $\lambda = 0$. Therefore the starting point of the perturbative expansion is an exactly integrable spin chain with charges $\mathcal{Q}_r^{(0)}$. It is easy to convince oneself that this is a common nearest-neighbour spin chain. Conversely, the charges $\mathcal{Q}_r(\lambda)$ only commute exactly when *all* terms $\mathcal{Q}_r^{(k)}$ are taken into account. The range of the charges becomes formally infinite at finite λ and the spin chain should thus be considered a *long-range* system [2].

Note that the above definition of charges assumes a spin chain of infinite length. When the range $r + k$ of the interaction exceeds the length of a periodic state of length L , we need to specify alternative rules for the application of \mathcal{Q}_r . Unfortunately, the notion of locality breaks down at this point because the interaction already extends over the whole state. Therefore our main construction principle disappears and any invariant, commuting deformation of the charges might be considered integrable. In order to avoid this complication, we restrict the validity of \mathcal{Q}_r to $\mathcal{O}(\lambda^{L-r})$.⁵ Put differently, when considering the system up to order $\mathcal{O}(\lambda^k)$, then we assume the spin chains to have length $L \geq k + r$ and call this the *asymptotic* regime.

Now let us introduce a basis for the operators \mathcal{Q}_r . We will use products of nearest-neighbour permutations $\mathcal{P}_{i,i+1}$ to represent $\mathfrak{gl}(n)$ -invariant interactions of the spins [2]^{6,7}. We abbreviate a sequence of these permutations as

$$\{a_1, a_2, \dots, a_l\} := \sum_{i=1}^{\mathcal{L}} \mathcal{P}_{i+a_1, i+a_1+1} \mathcal{P}_{i+a_2, i+a_2+1} \cdots \mathcal{P}_{i+a_l, i+a_l+1}, \quad \{\} := \mathcal{L}. \tag{2.3}$$

For details and some useful identities we refer to the appendix. The range of a permutation (2.3) is given by $R = \max\{a_i\} - \min\{a_i\} + 2$. We list the permutations of a small range in table 1. The number of all permutations up to range R is

⁵ In gauge theories and matrix models, there are so-called *wrapping* interactions [3] which set in at this order. If the model contains *double-trace* vertices, cf [28], we should further restrict the validity to $\mathcal{O}(\lambda^{L-r-1})$ due to interactions involving these vertices [29].

⁶ Any $\mathfrak{gl}(n)$ -invariant endomorphism of a tensor product of fundamental modules can be written as a sum of permutations of the modules. Furthermore, any permutation can be decomposed as a product of permutations of nearest neighbours.

⁷ For the superalgebra $\mathfrak{gl}(n|m)$ we should replace ordinary permutations by graded ones. Note, however, that this does not include any of the supersymmetric sectors of matrix models or spin chains, cf section 6: there the states do not transform in tensor products of the fundamental representations away from $\lambda = 0$; the representation depends on λ .

Table 1. Permutation operators of range R . (The occurrence of operators printed in bold is restricted when the spin chain originates from gauge theories or matrix models as we will discuss in section 4.)

R	Permutations
1	{}
2	{0}
3	{0, 1}, {1, 0}, {0, 1, 0}
4	{0, 2}, {0, 1, 2}, {0, 2, 1}, {1, 0, 2}, {2, 1, 0}, {0, 1, 0, 2} , {0, 1, 2, 1} , {0, 2, 1, 0} , {1, 0, 2, 1} , {1, 2, 1, 0} , {0, 1, 0, 2, 1} , {0, 1, 2, 1, 0} , {1, 0, 2, 1, 0} , {0, 1, 0, 2, 1, 0} $\#5 + \#9 = \#14$
5	$\#15 + \#63 = \#78$
6	$\#50 + \#454 = \#504$
7	$\#175 + \#3545 = \#3720$

given by the formula $R! - (R - 1)! + 1$.⁸ The first few elements of this sequence are 1, 2, 5, 19, 97, 601, 4321, ... which agrees with our findings in table 1.

We now construct the charges $\mathcal{Q}_2^{(k)}$ and $\mathcal{Q}_3^{(k)}$ as a linear combination of the building blocks of range $R \leq r + k$ in table 1. We also demand that the charges are Hermitian, $\mathcal{Q}_r^\dagger = \mathcal{Q}_r$, cf (A.6). This leads to $(k + 2)! - (k + 1)! + 1$ and $(k + 3)! - (k + 2)! + 1$ free real coefficients for $\mathcal{Q}_2^{(k)}$ and $\mathcal{Q}_3^{(k)}$, respectively. We keep track of the number of free coefficients of the ansatz at a certain perturbative order in table 2. To obtain an integrable system, we demand that the two charges commute, i.e. at order λ^k we solve the equation

$$\sum_{\ell=0}^k [\mathcal{Q}_2^{(\ell)}, \mathcal{Q}_3^{(k-\ell)}] = 0. \quad (2.4)$$

Taking into account the commutation with yet higher charges \mathcal{Q}_r apparently does not lead to further restrictions for \mathcal{Q}_2 or \mathcal{Q}_3 similar to the observations in [30, 2, 8, 16]⁹.

We have performed the computation up to and including fourth order. Since the expressions are rather lengthy we present the spin chain operators at the end of the paper (tables 4, 5) and only up to second order. At every loop order we are left with some free parameters, which are not determined by (2.4). These correspond to various kinds of degrees of freedom of the system: The parameters $\alpha_\ell(\lambda), \beta_{r,s}(\lambda)$ are moduli which govern the propagation and scattering of spin flips induced by the application of \mathcal{Q}_2 onto the spin chain. These will be explained further in the next section. The parameters $\gamma_{r,s}(\lambda)$ correspond to taking linear combinations of commuting charges which still obey the integrability relation (2.1). Finally, $\epsilon_{k,\ell}(\lambda)$ are a set of parameters which affect the eigenvectors of the charges, but not their spectrum. Hence they correspond to similarity transformations of the spin chain operators. The number of the various parameters are listed in table 2. by adding up the lines. Note that the number of parameters

⁸ The formula is explained as follows: There are $R!$ local permutations with a maximum range R . However, the interactions of the form $\{a_1, \dots, a_l\} - \{a_1 + 1, \dots, a_l + 1\}$ lead to telescoping sums in (2.3); they do not act on periodic states and we discard them. These are constructed from interactions of range $R - 1$ except for the trivial $\{\}$. Therefore we drop $(R - 1)! - 1$ interactions from the original $R!$.

⁹ For more rigorous statements, one might consider Yangian structures [31].

Table 2. Number of free parameters. The parameters $\alpha_k, \beta_{r,s}$ characterize different spin chain systems while $\gamma_{r,s}$ fixes linear combinations of the charges. The parameters $\epsilon_{k,\ell}$ correspond to similarity transformations of a given spin chain system and do not influence the spectrum.

	\pm	λ^0	λ^1	λ^2	λ^3	λ^4
Ansatz for Hamiltonian \mathcal{Q}_2		2	5	19	97	601
Ansatz for third charge \mathcal{Q}_3	+	5	19	97	601	4321
Constraint from commutation	-	2	16	102	666	4807
Undetermined coefficients	=	5	8	14	32	115
α_ℓ (rapidity map)	-	0	1	2	3	4
$\beta_{r,s}$ (dressing factor)	-	0	0	1	3	6
$\gamma_{2,s}$ (eigenvalue Hamiltonian)	-	2	3	4	5	6
$\gamma_{3,s}$ (eigenvalue third charge)	-	3	4	5	6	7
$\epsilon_{k,\ell}$ (similarity transformations)	=	0	0	2	15	92
Trivial similarity transformations	+	1	2	3	4	5
All similarity transformations	=	1	2	5	19	97

$\epsilon_{k,\ell}$ corresponding to similarity transformations matches up with our expectations: At order λ^k we may use any permutation of range $R \leq k$, cf table 1, to generate a similarity transformation. Note, however, that the transformations generated by the algebra of charges $\mathcal{L}, \mathcal{Q}_2, \mathcal{Q}_3, \dots$ are trivial by (2.1). Hence there is one parameter for each permutation structure less one for each commuting charge of suitable range.

3. Bethe ansatz

We now perform the nested asymptotic coordinate space Bethe ansatz [27] as outlined in [9, 10]. Here, we will merely state the results of this analysis in a form which highlights the different types of free parameters of the system.

A state is described by a set of Bethe roots $u_{\ell,k}$. The label $\ell = 1, \dots, n-1$ indicates the flavour of the Bethe root, i.e. its level in the nested Bethe ansatz. The label $k = 1, \dots, K_\ell$ indexes the set of Bethe roots of flavour ℓ , where K_ℓ is the total number of Bethe roots of that kind.

Let us first of all present the Bethe equations and then describe all the various required definitions. The main Bethe equation at level $\ell = 1$ reads

$$1 = \left(\frac{x(u_{1,k} - (i/2))}{x(u_{1,k} + (i/2))} \right)^L \prod_{\substack{j=1 \\ j \neq k}}^{K_1} \left(\frac{u_{1,k} - u_{1,j} + i}{u_{1,k} - u_{1,j} - i} \exp(2i\theta(u_{1,k}, u_{1,j})) \right) \prod_{j=1}^{K_2} \frac{u_{1,k} - u_{2,j} - (i/2)}{u_{1,k} - u_{2,j} + (i/2)}. \tag{3.1}$$

This equation is similar in form to the equation proposed in [8, 12]. The remaining auxiliary Bethe equations are as usual for the algebra $\mathfrak{gl}(n)$.¹⁰ This follows straightforwardly from the fact that the level-2 spin chain is of nearest-neighbour type

¹⁰ This might also work for $\mathfrak{gl}(n|m)$ with fundamental spins by substituting the usual auxiliary Bethe equations for this superalgebra.

and has manifest $\mathfrak{gl}(n-1)$ invariance¹¹. For levels $\ell = 2, \dots, n-2$ the Bethe equations are given by [32]

$$1 = \prod_{j=1}^{K_{\ell-1}} \frac{u_{\ell,k} - u_{\ell-1,j} - (i/2)}{u_{\ell,k} - u_{\ell-1,j} + (i/2)} \prod_{\substack{j=1 \\ j \neq k}}^{K_{\ell}} \frac{u_{\ell,k} - u_{\ell,j} + i}{u_{\ell,k} - u_{\ell,j} - i} \prod_{j=1}^{K_{\ell+1}} \frac{u_{\ell,k} - u_{\ell+1,j} - (i/2)}{u_{\ell,k} - u_{\ell+1,j} + (i/2)} \quad (3.2)$$

and for the final level $\ell = n-1$ by

$$1 = \prod_{j=1}^{K_{n-2}} \frac{u_{n-1,k} - u_{n-2,j} - (i/2)}{u_{n-1,k} - u_{n-2,j} + (i/2)} \prod_{\substack{j=1 \\ j \neq k}}^{K_{n-1}} \frac{u_{n-1,k} - u_{n-1,j} + i}{u_{n-1,k} - u_{n-1,j} - i}. \quad (3.3)$$

We now explain the rapidity map $x(u)$ and the dressing phase $\theta(u_{1,k}, u_{1,j})$.

The *rapidity map* $x(u)$ shall be defined implicitly through its inverse

$$u(x) = x + \sum_{\ell=0}^{\infty} \frac{\alpha_{\ell}(\lambda)}{x^{\ell+1}}. \quad (3.4)$$

This is the first place where some of the undetermined coefficients within the spin chain Hamiltonian derived in the previous section enter the Bethe ansatz. The freedom is such that one may choose for the $\alpha_{\ell}(\lambda)$ arbitrary series starting at order $\mathcal{O}(\lambda^{\ell+1})$:

$$\alpha_{\ell}(\lambda) = \sum_{k=\ell+1}^{\infty} \lambda^k \alpha_{\ell}^{(k)}. \quad (3.5)$$

If, however, the Hamiltonian \mathcal{Q}_2 is to conserve parity as defined in (A.7), then one must set $\alpha_{\ell} = 0$ for all odd ℓ . The inverse map from the u -plane to the x -plane has the following form

$$x(u) = \frac{u}{2} + \frac{u}{2} \sqrt{1 - 4 \sum_{\ell=0}^{\infty} \frac{\tilde{\alpha}_{\ell}(\lambda)}{u^{\ell+2}}}. \quad (3.6)$$

The parameters $\tilde{\alpha}_{\ell}(\lambda)$ are fixed uniquely by the components of $\alpha_k(\lambda)$ in (3.5). Here $\tilde{\alpha}_0(\lambda)$ starts at order $\mathcal{O}(\lambda)$ and $\tilde{\alpha}_{\ell \geq 1}(\lambda)$ at $\mathcal{O}(\lambda^{[\ell/2]+2})$. The coefficients $\alpha_{\ell}(\lambda)$ govern the propagation of spin flips in the ferromagnetic vacuum.

Next we present the *dressing phase*

$$\theta(u_1, u_2) = \sum_{r=2}^{\infty} \sum_{s=r+1}^{\infty} \beta_{r,s}(\lambda) (q_r(u_1) q_s(u_2) - q_s(u_1) q_r(u_2)). \quad (3.7)$$

This is a generalization of the phase proposed in [12, 24] to the case of a parity-violating Hamiltonian. It is the second place where some other free coefficients of the spin chain Hamiltonian enter the Bethe ansatz. They are in one-to-one correspondence with the functions $\beta_{r,s}(\lambda)$ which start at order $\mathcal{O}(\lambda^{s-1})$:

$$\beta_{r,s}(\lambda) = \sum_{k=s-1}^{\infty} \lambda^k \beta_{r,s}^{(k)}. \quad (3.8)$$

¹¹ We thank M Staudacher for discussions of this point.

These functions govern the scattering of two spin flips. Here parity conservation demands $\beta_{r,s} = 0$ for all even $r + s$. For (3.7) we also define the *elementary magnon charges* as

$$q_r(u) = \frac{1}{r-1} \left(\frac{i}{x(u + (i/2))^{r-1}} - \frac{i}{x(u - (i/2))^{r-1}} \right). \quad (3.9)$$

The solutions to the above Bethe equations define the set of periodic eigenstates of the system. Finally, we have to specify the eigenvalues of the Hamiltonian and higher charges in terms of the rapidities $u_{\ell,k}$. First, we consider the eigenvalue of the shift operator $\exp(iQ_1)$ which is given by

$$\exp(iQ_1) = \prod_{k=1}^{K_1} \frac{x(u_{1,k} + (i/2))}{x(u_{1,k} - (i/2))}. \quad (3.10)$$

In particular, cyclic states obey the zero-momentum condition $\exp(iQ_1) = 1$. The eigenvalues of the spin chain charges are determined by the formula

$$Q_r = \gamma_{r,0}(\lambda) L + \sum_{s=2}^{\infty} \gamma_{r,s}(\lambda) \bar{Q}_s, \quad \bar{Q}_s = \sum_{k=1}^{K_1} q_s(u_{1,k}). \quad (3.11)$$

The functions $\gamma_{r,s}(\lambda)$ represent a normalization matrix of the charges Q_r in terms of the normalized charges \bar{Q}_s and the length L . Again, this freedom is reflected by undetermined coefficients in the spin chain operators which we determined in the previous section by commutation. They allow for arbitrary functions $\gamma_{r,s}(\lambda)$ starting at order $\mathcal{O}(\lambda^{\max(s-r,0)})$:

$$\gamma_{r,s}(\lambda) = \sum_{k=\max(s-r,0)}^{\infty} \lambda^k \gamma_{r,s}^{(k)}. \quad (3.12)$$

Note that for a system with parity conservation we must set $\gamma_{r,s}(\lambda) = 0$ whenever $r + s$ is odd.

This concludes our presentation of the Bethe ansatz. The system with arbitrary parameters α, β, γ describes the same spectra as the commuting Hamiltonians we have found in section 2 up to fourth order $\mathcal{O}(\lambda^4)$. The parameters ϵ merely influence the eigenvectors and therefore do not appear in the Bethe equations. We have marked parity-even/odd parameters by ϵ^{\pm} . We believe that at higher orders and for higher charges the conjectured form of the equations and the implied number of free parameters remains correct although we certainly do not claim to have a proof for our conjecture.

4. Constraints from matrix and gauge theories

Our motivation to study *long-range* spin chain lies in the fact that they naturally arise in the large- N limit of $U(N)$ matrix models and gauge theories [2, 20]. Single-trace states¹² are in one-to-one correspondence with cyclic states of a closed quantum spin chain. A matrix or a field inside the trace corresponds to a site of the spin chain; its flavour becomes the orientation of the spin. In the large- N limit, the sector of single-trace states is closed and symmetry generators acting on states can be identified with

¹² In gauge theory, the term ‘state’ shall refer to a gauge-invariant local operator.

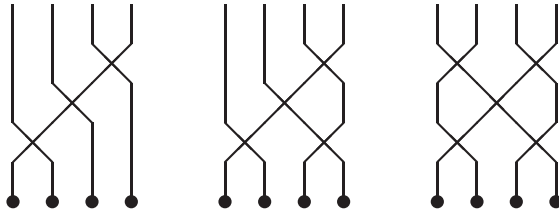


Figure 1. Three planar Feynman diagrams of range $R = 4$. The left interaction contains at least 3 four-vertices and appear at three loops, i.e. $\mathcal{O}(\lambda^2)$. The middle one contains at least 4 four-vertices and can appear only at four loops, i.e. $\mathcal{O}(\lambda^3)$. The right one may (in principle) represent a fermionic loop and could also arise at four loops.

spin chain operators. In particular, the Hamiltonian of matrix quantum mechanics becomes the spin chain Hamiltonian. Likewise, for a conformal gauge theory we can identify the dilatation generator with the spin chain Hamiltonian¹³. For a review of this correspondence, see [23, 26, 24].

The spin chain Hamiltonians which correspond to perturbative matrix models and gauge theories have a somewhat restricted form with respect to the general construction in the previous section. This is because the matrix model Hamiltonian and the gauge theory dilatation generator receive perturbative corrections which can be computed from Feynman diagrams. We illustrate the below arguments in figure 1. First of all, it is straightforward to see that a connected planar Feynman diagram at order λ^k can attach to $k + 1$ nearest neighbours only: the diagram contains j four-valent and $2k - 2j$ three-valent vertices and therefore has no more than $2k + 2$ external legs. These are split into ingoing legs, which connect to the state, and outgoing legs, which become fields of the new state. Here we focus on sectors where the number of spins in the state is preserved, hence an interaction at $\mathcal{O}(\lambda^k)$ acts on $k + 1$ adjacent spin sites at a time. This is precisely the same constraint as for $\lambda \mathcal{Q}_2(\lambda)$, see section 2. Note that the additional power of λ was introduced because the interactions at $\mathcal{O}(\lambda^0)$ are trivial: they do not contain vertices. A contribution at $\mathcal{O}(\lambda^{k+1})$ to the planar gauge theory dilatation operator \mathcal{D} or the planar matrix model Hamiltonian \mathcal{H} is equivalent to a $\mathcal{O}(\lambda^k)$ contribution in the spin chain Hamiltonian \mathcal{Q}_2 . Explicitly, the spin chain Hamiltonian is defined, respectively, as

$$\mathcal{D}(\lambda) = \mathcal{D}_0 + \lambda \mathcal{Q}_2(\lambda) \quad \text{or} \quad \mathcal{H}(\lambda) = \mathcal{H}_0 + \lambda \mathcal{Q}_2(\lambda). \quad (4.1)$$

Furthermore, we focus on matrix models or gauge theories with at most four-valent elementary vertices. This has a subtle effect on the set of allowed interactions with maximum range, cf figure 1: any interaction should be thought of as composed from the elementary vertices. If there are conserved flavours, then the charges can only flow between the spin chain sites at the vertices. However, in the planar limit, a vertex can at most permute the flavours of two nearest neighbours. Therefore a contribution at order λ^k in the matrix model can be composed from no more than k nearest-neighbour permutations. This means that terms at $\mathcal{O}(\lambda^k)$ in \mathcal{Q}_2 should be built out of symbols $\{a_1, \dots, a_l\}$ with

¹³ Here the logarithm of the radial coordinate can be viewed as a time coordinate. In this picture the gauge theory can be viewed as a matrix quantum mechanics of infinitely many matrices which represent the modes of the fields in the transverse space.

no more than $k + 1$ entries. In other words, the symbols in grey font in line $R = 2 + k$ of table 1 are not permitted in $\mathcal{Q}_2^{(k)}$. Note however, that this argument is rigorous only for interactions with maximum range at each order. Interactions of subleading range may contain internal loops, which could, in principle, induce arbitrary flavour flow within the interaction. Nevertheless, the restriction appears to apply also for interactions with non-maximal range.

The first term for which the latter restriction applies is $\{0, 1, 0\}$ at $\mathcal{O}(\lambda)$. This term has maximum allowed range $R = 3$ for $\mathcal{Q}_2^{(1)}$. It however consists of three elementary permutations, which is incommensurate with four-valent matrix models. This is somewhat disappointing, as this term does appear in \mathcal{Q}_2 in table 4 for almost all values of the parameters. This would seem to imply that a Hamiltonian from a four-valent matrix model *cannot be integrable*.

One immediate way of avoiding this no-go theorem is to set $\alpha_0(\lambda) = \mathcal{O}(\lambda^2)$ instead of $\alpha_0(\lambda) = \mathcal{O}(\lambda)$ as it would be allowed in general. Then the term $\{0, 1, 0\}$ would arise only at $\mathcal{O}(\lambda^2)$ where it is indeed permitted. Unfortunately, this makes the contribution at $\mathcal{O}(\lambda)$ trivial which is not what is expected.

The only suitable evasion of the no-go theorem is to restrict to $\mathfrak{gl}(2)$ symmetry. Then there is an epsilon identity which expresses $\{0, 1, 0\}$ as a linear combination of $\{0, 1\}$, $\{1, 0\}$, $\{0\}$, $\{\}$, see (A.5), all of which are allowed. Remarkably, this works even at higher orders, we merely need to postpone the appearance of $\beta_{r,s}(\lambda)$ to

$$\beta_{r,s}(\lambda) = \mathcal{O}(\lambda^{r+s-2}) \tag{4.2}$$

instead of $\beta_{r,s}(\lambda) = \mathcal{O}(\lambda^{s-1})$. The reason is that the structure coupling to $\beta_{r,s}$ appears to be composed from $r + s - 1$ elementary permutations.

Finally, the matrix models usually preserve a $U(N)$ charge conjugation symmetry. This corresponds to parity conjugation for spin chains and hence we need to set parity-violating parameters to zero

$$\begin{aligned} \alpha_\ell(\lambda) &= 0 && \text{for } \ell \text{ odd,} \\ \beta_{r,s}(\lambda) &= 0 && \text{for } r + s \text{ even,} \\ \gamma_{r,s}(\lambda) &= 0 && \text{for } r + s \text{ odd,} \\ \epsilon_{k,\ell}^-(\lambda) &= 0. \end{aligned} \tag{4.3}$$

Parity conjugation sends all $u_{\ell,k}$ to $-u_{\ell,k}$. It is then easy to see that the Bethe equations (3.1)–(3.3) remain valid and the charges (3.11) are not modified if the above parameters are removed.

5. Coefficients for specific models

Here we list the coefficients for some specific models studied in the literature. The Bethe ansatz for the $\mathfrak{su}(2)$ sector of $\mathcal{N} = 4$ superconformal Yang–Mills theory [2] was found in [7, 8]. Setting $\lambda = g_{\text{YM}}^2 N / 16\pi^2$, we have

$$\alpha_\ell(\lambda) = \tilde{\alpha}_\ell(\lambda) = \lambda \delta_{\ell,0}, \quad \beta_{r,s}(\lambda) = 0, \quad \gamma_{2,r}(\lambda) = 2 \delta_{2,r}. \tag{5.1}$$

This result is rigorous at $\mathcal{O}(\lambda^2)$ corresponding to three loops in gauge theory [3, 33]. Beyond that, there may or may not arise corrections.

A corresponding Bethe ansatz for the $\mathfrak{su}(2)$ sector of quantum strings on $\text{AdS}_5 \times S^5$ was conjectured in [12]. Here $\lambda = R^4/16\pi^2\alpha'^2$ is the AdS/CFT dual parameter and we have

$$\alpha_\ell(\lambda) = \tilde{\alpha}_\ell(\lambda) = \lambda \delta_{\ell,0}, \quad \beta_{r,s}(\lambda) = \lambda^r \delta_{r+1,s}, \quad \gamma_{2,r}(\lambda) = 2 \delta_{2,r}. \quad (5.2)$$

The coefficients $\beta_{r,s}(\lambda)$ are known to receive corrections at subleading orders in $1/\sqrt{\lambda}$ [14]. Note that this Bethe ansatz is not based on a spin chain. Nevertheless, the structures in this Bethe ansatz are compatible with the form we have derived here. Thus, when we extrapolate (5.2) naively to small λ we do obtain a spin chain as observed in [16]. The Hamiltonian of this ‘string’ chain agrees with the one given in table 4.

For the $\mathfrak{su}(2)$ sector of plane-wave matrix theory, see section 6, the Hamiltonian was computed up to fourth order in $\lambda = 2N/M^3$ [22]. The non-zero coefficients of the corresponding spin chain system are

$$\begin{aligned} \alpha_0(\lambda) &= \tilde{\alpha}_0(\lambda) = \lambda - \frac{7}{2}\lambda^2 + \frac{71}{2}\lambda^3 + \mathcal{O}(\lambda^4), \\ \beta_{2,3}(\lambda) &= \frac{13}{16}\lambda^3 + \mathcal{O}(\lambda^4), \\ \gamma_{2,2}(\lambda) &= 2 - 7\lambda + 71\lambda^2 - \frac{7767}{8}\lambda^3 + \mathcal{O}(\lambda^4). \end{aligned} \quad (5.3)$$

A similar four-valent matrix theory with $\mathfrak{so}(6)$ symmetry has an $\mathfrak{su}(2)$ sector whose Hamiltonian is integrable only up to order $\mathcal{O}(\lambda^3)$ [34]. The coefficients to describe the spectrum at this order are given by

$$\begin{aligned} \alpha_0(\lambda) &= \tilde{\alpha}_0(\lambda) = \lambda - \frac{55}{2}\lambda^2, \\ \beta_{r,s}(\lambda) &= 0, \\ \gamma_{2,0}(\lambda) &= 9 - \frac{615}{4}\lambda + \frac{39123}{8}\lambda^2, \\ \gamma_{2,2}(\lambda) &= 2 - 37\lambda + \frac{4601}{4}\lambda^2. \end{aligned} \quad (5.4)$$

Finally, let us consider the hyperbolic Inozemtsev spin chain [5]. This model is characterized by a \mathcal{Q}_2 which consists only of permutations of two (not necessarily adjacent) spins. The interaction strength is governed by the Weierstrass function with imaginary period $i\pi/\kappa$. Up to $\mathcal{O}(\lambda^3)$, where $\lambda = \sum_{n=1}^{\infty} 4\pi^2/\sinh^2(\kappa n)$, our coefficients need to be adjusted as follows [8]:

$$\begin{aligned} \alpha_0(\lambda) &= \tilde{\alpha}_0(\lambda) = \lambda + \mathcal{O}(\lambda^4), \\ \alpha_2(\lambda) &= \tilde{\alpha}_2(\lambda) = \lambda^3 + \mathcal{O}(\lambda^4), \\ \beta_{r,s}(\lambda) &= 0, \\ \gamma_{2,2}(\lambda) &= 2 + 6\lambda - 20\lambda^2 + 120\lambda^3 + \mathcal{O}(\lambda^4), \\ \gamma_{2,4}(\lambda) &= 6\lambda^2 - 30\lambda^3 + \mathcal{O}(\lambda^4). \end{aligned} \quad (5.5)$$

6. Plane-wave matrix theory not fully integrable

In this section we show that PWMT is not completely integrable because it possesses a non-integrable $\mathfrak{su}(3)$ subsector. We give two different arguments to prove this statement. For the first reasoning we find all closed subsectors of PWMT among which there is a $\mathfrak{su}(3)$ subsector with spins in the fundamental representation. As an immediate consequence of

the discussion in section 4, this sector cannot be integrable since the PWMT interactions are indeed at most four-valent. The second reasoning is based on the parity invariance of PWMT and demonstrates that there does not exist any parity-odd commuting charge in the considered $\mathfrak{su}(3)$ subsector and hence no integrability.

Let us recall some basic properties of PWMT. Its global symmetry algebra is $\mathfrak{su}(4|2)$. The elementary matrix excitations M_{AB}^\dagger transform under the seventeen-dimensional anti-symmetric two-tensor representation of this algebra. The fundamental $\mathfrak{su}(4|2)$ index $A = 1, 2, 3, 4 | 5, 6 = (a|\alpha)$ splits into a commuting $\mathfrak{su}(4)$ index a and an anti-commuting $\mathfrak{su}(2)$ index α . A generic single-trace state is given by

$$\text{Tr}(M_{A_1 B_1}^\dagger M_{A_2 B_2}^\dagger \dots M_{A_L B_L}^\dagger) |0\rangle. \tag{6.1}$$

It corresponds to a cyclic state of an $\mathfrak{su}(4|2)$ spin chain of length L with spins transforming in the representation with Dynkin labels $[0, 1, 0 | 0 | 0]$.

A subsector of the theory is spanned by the states which are built from only a subset of all elementary excitations. A subsector is called closed, if the states of this subsector do not mix with other states under the application of the Hamiltonian. E.g. the closed subsector $\mathfrak{su}(2)$ discussed in section 2 is obtained from the two bosonic excitations

$$\{Z^\dagger \equiv M_{12}^\dagger, W^\dagger \equiv M_{13}^\dagger\}. \tag{6.2}$$

In order to find a closed subsector, we need to look for conserved ‘quantum numbers’ that can be used to define the subsector. These quantum numbers are provided by the eigenvalues of the Cartan generators of $\mathfrak{su}(4|2)$:

$$\mathcal{A}_1 = n_1 - n_2, \quad \mathcal{A}_2 = n_2 - n_3, \quad \mathcal{A}_3 = n_3 - n_4, \quad \mathcal{A}_4 = n_4 + n_5, \quad \mathcal{A}_5 = n_5 - n_6, \tag{6.3}$$

where n_i is the number of indices in a state which assume the value i . These are appropriate quantum numbers because the free PWMT Hamiltonian is actually an element of the Cartan subalgebra and thus preserves these values.

Now, any linear combination \mathcal{A} of these Cartan generators which is a positive semi-definite operator on the elementary excitations defines a closed subsector [35, 23]. The excitations of this subsector are the ones which lie in the kernel of \mathcal{A} .

As an example we may take $\mathcal{A} = 2\mathcal{A}_4 - \mathcal{A}_5 = 2n_4 + n_5 + n_6$. Then we have $\mathcal{A} = 0$ for

$$\{Z^\dagger \equiv M_{12}^\dagger, W^\dagger \equiv M_{13}^\dagger, \bar{Y}^\dagger \equiv M_{23}^\dagger\} \tag{6.4}$$

and $\mathcal{A} > 0$ for all other excitations. Hence any state with $\mathcal{A} = 0$ can only be built from these three special oscillators, as any other excitation has a strictly positive eigenvalue of \mathcal{A} . In fact these three oscillators transform according to the fundamental representation of the $\mathfrak{su}(3)$ subalgebra which commutes with \mathcal{A} . In this way, \mathcal{A} defines a closed fundamental $\mathfrak{su}(3)$ subsector.

PWMT possesses a number of closed subsectors with fields in different representations; we list all of them in table 3 and show their relations in figure 2. According to the general discussion in section 4, it is the presence of the fundamental $\mathfrak{su}(3)$ subsector that spoils the full integrability of the model beyond leading order.

Now, we also verify the non-integrability of this sector by an explicit calculation. As the PWMT Hamiltonian is parity invariant, we may work with states of definite parity $P = \pm$. The parity-odd charges then have the property to pair up multiplets of opposite parity (or annihilate the multiplets in case there is no suitable partner multiplet of opposite

Table 3. Closed sectors of PWMT with residual symmetry algebra, representation of spins, excitation content in terms of light bosons, fermions and heavy bosons, and a choice for the Cartan generator \mathcal{A} whose kernel describes the sector.

Sector	Dynkin labels	Excitations	\mathcal{A}
Light boson M_{12}^\dagger		1 0 0	$-\mathcal{A}_1 + 2\mathcal{A}_3 + 5\mathcal{A}_4 - 2\mathcal{A}_5$
Fermion M_{16}^\dagger		0 1 0	$-\mathcal{A}_1 + \mathcal{A}_2 + 3\mathcal{A}_3 + 5\mathcal{A}_4 - \mathcal{A}_5$
Heavy boson M_{66}^\dagger		0 0 1	$\mathcal{A}_1 + 2\mathcal{A}_2 + 3\mathcal{A}_3 + 4\mathcal{A}_4$
$\mathfrak{su}(2)$	[1]	2 0 0	$-\mathcal{A}_1 + \mathcal{A}_3 + 4\mathcal{A}_4 - 2\mathcal{A}_5$
$\mathfrak{su}(1 1)^-$ (light)	[1]	1 1 0	$-\mathcal{A}_1 + 2\mathcal{A}_3 + 4\mathcal{A}_4 - \mathcal{A}_5$
$\mathfrak{su}(1 1)^+$ (heavy)	[2]	0 1 1	$\mathcal{A}_2 + 2\mathcal{A}_3 + 3\mathcal{A}_4$
$\mathfrak{su}(2 1)^-$ (light)	[1 0]	2 1 0	$-\mathcal{A}_1 + \mathcal{A}_3 + 3\mathcal{A}_4 - \mathcal{A}_5$
$\mathfrak{su}(2 1)^+$ (heavy)	[0 1]	1 2 1	$\mathcal{A}_3 + 2\mathcal{A}_4$
$\mathfrak{su}(3)$	[0, 1]	3 0 0	$2\mathcal{A}_4 - \mathcal{A}_5$
$\mathfrak{su}(3 1)$	[0, 1 0]	3 3 1	\mathcal{A}_4
$\mathfrak{su}(3 2)$	[1, 0 0 0]	3 2 0	$-\mathcal{A}_1 + \mathcal{A}_3 + 2\mathcal{A}_4 - \mathcal{A}_5$
$\mathfrak{su}(4 2)$	[0, 1, 0 0 0]	6 8 3	0

Table 4. Normalized Hamiltonian printed up to second order.

$$\begin{aligned}
 \bar{Q}_2(\lambda) = & (\{\} - \{0\}) \\
 & + \alpha_0(\lambda) (-3\{\} + 4\{0\} - \{0, 1, 0\}) \\
 & + \alpha_0(\lambda)^2 (20\{\} - 29\{0\} + 10\{0, 1, 0\} - \{0, 1, 2\} - \{2, 1, 0\} + \{0, 2, 1\} + \{1, 0, 2\} \\
 & \quad - \{0, 1, 2, 1, 0\}) \\
 & + \frac{1}{2}\alpha_1(\lambda) (-6\{0, 1\} + 6\{1, 0\} + \{0, 1, 2, 1\} - \{1, 2, 1, 0\} + \{0, 1, 0, 2\} - \{0, 2, 1, 0\}) \\
 & + \frac{1}{2}\beta_{2,3}(\lambda) (-4\{\} + 8\{0\} - 2\{0, 1\} - 2\{1, 0\} - 2\{0, 2\} \\
 & \quad - 2\{0, 1, 2\} - 2\{2, 1, 0\} + 2\{0, 2, 1\} + 2\{1, 0, 2\} \\
 & \quad + \{0, 1, 2, 1\} + \{1, 2, 1, 0\} + \{0, 1, 0, 2\} + \{0, 2, 1, 0\} - 2\{1, 0, 2, 1\}) \\
 & + i\epsilon_{2,1}^+(\lambda) (-\{0, 2, 1\} + \{1, 0, 2\}) \\
 & + i\epsilon_{2,2}^+(\lambda) (\{0, 1, 0, 2\} - \{0, 1, 2, 1\} - \{0, 2, 1, 0\} + \{1, 2, 1, 0\}) \\
 & + \mathcal{O}(\lambda^3)
 \end{aligned}$$

parity in the spectrum). The commutation of these charges with the Hamiltonian implies a degenerate energy eigenvalue for both states of a parity pair. If this degeneracy is violated in a parity conserving theory, integrability must be broken [30, 2].

So let us consider multiplets with Dynkin labels [1, 1, 1|1|0] of $\mathfrak{su}(4|2)$. This multiplet has representatives in the $\mathfrak{su}(3)$ subsector (6.4). These are not at the same time contained in the $\mathfrak{su}(2)$ subsector (6.2) where degeneracy would be guaranteed by the established integrability at the considered perturbative order [21]. This multiplet is realized twice in the model, once with positive parity and $\mathfrak{su}(3)$ highest weight state

$$\begin{aligned}
 |+\rangle = & (\text{Tr } \bar{Y}^\dagger \{ [W^\dagger, Z^\dagger], Z^\dagger \} [W^\dagger, Z^\dagger] + \text{Tr } W^\dagger \{ [Z^\dagger, \bar{Y}^\dagger], Z^\dagger \} [W^\dagger, Z^\dagger] \\
 & + \text{Tr } Z^\dagger \{ [\bar{Y}^\dagger, W^\dagger], Z^\dagger \} [W^\dagger, Z^\dagger]) |0\rangle
 \end{aligned} \tag{6.5}$$

and another time with negative parity and highest weight state

$$\begin{aligned}
 |-\rangle = & (-\text{Tr } Z^\dagger Z^\dagger W^\dagger W^\dagger Z^\dagger \bar{Y}^\dagger - \text{Tr } Z^\dagger Z^\dagger W^\dagger Z^\dagger W^\dagger \bar{Y}^\dagger + \text{Tr } Z^\dagger Z^\dagger \bar{Y}^\dagger W^\dagger Z^\dagger W^\dagger \\
 & + \text{Tr } Z^\dagger Z^\dagger \bar{Y}^\dagger Z^\dagger W^\dagger W^\dagger + \text{Tr } Z^\dagger Z^\dagger Z^\dagger W^\dagger W^\dagger \bar{Y}^\dagger - \text{Tr } Z^\dagger Z^\dagger Z^\dagger \bar{Y}^\dagger W^\dagger W^\dagger) |0\rangle.
 \end{aligned} \tag{6.6}$$

Table 5. Normalized third charge printed up to second order.

$$\begin{aligned}
 \bar{Q}_3(\lambda) = & \frac{1}{2}(\{0, 1\} - \{1, 0\}) \\
 & + \frac{1}{2}\alpha_0(\lambda) (6\{1, 0\} - 6\{0, 1\} + \{0, 1, 2, 1\} - \{1, 2, 1, 0\} + \{0, 1, 0, 2\} - \{0, 2, 1, 0\}) \\
 & + \frac{1}{2}\alpha_0(\lambda)^2 (46\{0, 1\} - 46\{1, 0\} - 12\{0, 1, 0, 2\} - 12\{0, 1, 2, 1\} + 2\{0, 1, 2, 3\} \\
 & \quad - 2\{0, 1, 3, 2\} + 12\{0, 2, 1, 0\} - 2\{0, 2, 1, 3\} + 2\{0, 3, 2, 1\} - 2\{1, 0, 2, 3\} \\
 & \quad + 2\{1, 0, 3, 2\} + 12\{1, 2, 1, 0\} + 2\{2, 1, 0, 3\} - 2\{3, 2, 1, 0\} + \{0, 1, 0, 2, 3, 2\} \\
 & \quad + \{0, 1, 2, 1, 0, 3\} + \{0, 1, 2, 3, 2, 1\} - \{0, 1, 3, 2, 1, 0\} - \{0, 2, 3, 2, 1, 0\} \\
 & \quad - \{1, 2, 3, 2, 1, 0\}) \\
 & + \frac{1}{4}\alpha_1(\lambda) (-20\{\} + 24\{0\} - 8\{0, 1, 2\} + 6\{0, 2, 1\} + 6\{1, 0, 2\} - 8\{2, 1, 0\} \\
 & \quad + 2\{0, 1, 0, 2, 1\} + \{0, 1, 0, 2, 3\} - \{0, 1, 0, 3, 2\} - 4\{0, 1, 2, 1, 0\} \\
 & \quad + 2\{0, 1, 2, 1, 3\} + \{0, 1, 2, 3, 2\} - 2\{0, 1, 3, 2, 1\} - \{0, 2, 1, 0, 3\} \\
 & \quad - \{0, 2, 3, 2, 1\} + \{0, 3, 2, 1, 0\} + 2\{1, 0, 2, 1, 0\} - \{1, 0, 2, 3, 2\} \\
 & \quad - 2\{1, 2, 1, 0, 3\} + 2\{1, 3, 2, 1, 0\} + \{2, 3, 2, 1, 0\}) \\
 & + \frac{1}{4}\beta_{2,3}(\lambda) (-4\{0, 1\} + 4\{1, 0\} + 4\{0, 1, 2\} + 2\{0, 1, 3\} + 2\{0, 2, 3\} - 2\{0, 3, 2\} \\
 & \quad - 2\{1, 0, 3\} - 4\{2, 1, 0\} - 2\{0, 1, 0, 2\} - 2\{0, 1, 2, 1\} + 4\{0, 1, 2, 3\} \\
 & \quad - 4\{0, 1, 3, 2\} + 2\{0, 2, 1, 0\} - 4\{0, 2, 1, 3\} + 4\{0, 3, 2, 1\} - 4\{1, 0, 2, 3\} \\
 & \quad + 4\{1, 0, 3, 2\} + 2\{1, 2, 1, 0\} + 4\{2, 1, 0, 3\} - 4\{3, 2, 1, 0\} + 2\{0, 1, 0, 2, 1\} \\
 & \quad - \{0, 1, 0, 2, 3\} + \{0, 1, 0, 3, 2\} - 2\{0, 1, 2, 1, 3\} - \{0, 1, 2, 3, 2\} - \{0, 2, 1, 0, 3\} \\
 & \quad + 2\{0, 2, 1, 3, 2\} - \{0, 2, 3, 2, 1\} + \{0, 3, 2, 1, 0\} - 2\{1, 0, 2, 1, 0\} \\
 & \quad + 2\{1, 0, 2, 1, 3\} + \{1, 0, 2, 3, 2\} - 2\{1, 0, 3, 2, 1\} + 2\{1, 3, 2, 1, 0\} \\
 & \quad - 2\{2, 1, 0, 3, 2\} + \{2, 3, 2, 1, 0\}) \\
 & + \frac{1}{2}\epsilon_{2,1}^+(\lambda) (\{0, 1, 0, 2\} - \{0, 1, 2, 1\} - \{0, 1, 3, 2\} + \{0, 2, 1, 0\} \\
 & \quad + \{0, 3, 2, 1\} + \{1, 0, 2, 3\} - \{1, 2, 1, 0\} - \{2, 1, 0, 3\}) \\
 & + \frac{1}{2}\epsilon_{2,2}^+(\lambda) (\{0, 1, 0, 2, 3\} - \{0, 1, 0, 3, 2\} - \{0, 1, 2, 3, 2\} - \{0, 2, 1, 0, 3\} \\
 & \quad + \{0, 2, 3, 2, 1\} + \{0, 3, 2, 1, 0\} + \{1, 0, 2, 3, 2\} - \{2, 3, 2, 1, 0\}) \\
 & + \mathcal{O}(\lambda^3)
 \end{aligned}$$

When we act with the spin chain Hamiltonian

$$\mathcal{Q}_2^{(0)} = 2\{\} - 2\{0\}, \tag{6.7}$$

$$\mathcal{Q}_2^{(1)} = -15\{\} + 19\{0\} - 2(\{0, 1\} + \{1, 0\}), \tag{6.8}$$

corresponding to PWMT in the $\mathfrak{su}(3)$ sector at two loops [21], we find that the zeroth order degeneracy is lifted by the first order corrections:

$$(\mathcal{Q}_2^{(0)} + \lambda\mathcal{Q}_2^{(1)})|+\rangle = (10 - 73\lambda)|+\rangle, \tag{6.9}$$

$$(\mathcal{Q}_2^{(0)} + \lambda\mathcal{Q}_2^{(1)})|-\rangle = (10 - 65\lambda)|-\rangle. \tag{6.10}$$

Recalling that PWMT is derived from SYM and therefore, in some sense, contained in SYM, it is natural to ask why the integrability is not broken in the mother theory, too. The reason is simply that the $\mathfrak{su}(3)$ sector containing the fields (6.4) is not closed in SYM. There occurs mixing with two fermionic fields which make it an integrable $\mathfrak{su}(3|2)$ sector [3]. In the derivation of PWMT these fermionic degrees of freedom have been projected out and integrability has vanished with them.

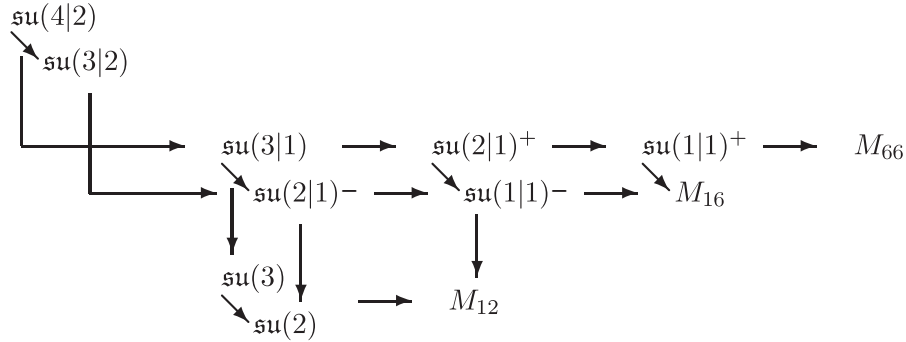


Figure 2. Structure of closed sectors of PWMT. When moving along a vertical/horizontal line, the index range of α/a is reduced by one, i.e. one index value is deactivated. When moving along a diagonal line, one index a is fixed to a particular value.

7. Conclusions and outlook

We have investigated the structure of the Hamiltonian and the Bethe ansatz of the *most general* integrable spin chain with the following properties:

- spins transforming in the fundamental representation of $\mathfrak{gl}(n)$ and
- long-range interactions suppressed by λ^{R-2} where R is their range.

In the current absence of rigorous proofs of integrability for these systems, we have made the assumption that it is sufficient to ensure the existence of at least one conserved charge, cf [30].

The derivation has been carried out explicitly up to fourth order in the formal expansion parameter λ , i.e. up to interactions of range six. The discovered form of the Bethe ansatz equations, however, suggests an elegant extension to all orders. According to this, the moduli of the system are expressed through four sets of analytic functions in the expansion parameter λ :

- the rapidity maps $\alpha_\ell(\lambda)$ with $\ell = 0, 1, 2, \dots$ are of order $\mathcal{O}(\lambda^{\ell+1})$ and describe the propagation of spins along the spin chain,
- the dressing phases $\beta_{r,s}(\lambda)$ with $r, s = 2, 3, 4, \dots, r < s$ are of order $\mathcal{O}(\lambda^{s-1})$ and describe the interaction of spins,
- the elements of the normalization matrix $\gamma_{r,0}(\lambda), \gamma_{r,s}(\lambda)$ with $r, s = 2, 3, 4, \dots$ are of order $\mathcal{O}(\lambda^{\max(s-r,0)})$ and describe the mixing between the commuting charges, and
- the similarity parameters $\epsilon_{k,\ell}(\lambda)$ with $\ell = 1, \dots, (k+1)! - 2k! + (k-1)! - 1$ are of order $\mathcal{O}(\lambda^k)$, describe unitary changes of the basis and consequently have no influence on the energy spectrum or the Bethe equations.

Supposing that our conjecture is correct, every spin chain with the aforementioned properties is realized by one particular choice of the moduli. Conversely, for every choice of moduli there would be a corresponding spin chain Hamiltonian. We have proven the validity of our conjecture up to fourth order in λ .

We have found that, remarkably, the form of the Hamiltonian and the set of physical moduli¹⁴ is universal; it is independent of the rank n of the symmetry algebra $\mathfrak{gl}(n)$. Another observation is that any nearest-neighbour Hamiltonian which is deformed by the interactions we have described, must have interactions of unbounded range in order to be exactly integrable [2]; it must be a long-range spin chain.

We furthermore found that the spin chain Hamiltonian derived from PWMT in an $\mathfrak{su}(3)$ subsector is not of the general form and therefore not integrable, a fact that we also demonstrated in an explicit calculation. This also implies that PWMT is *not* integrable as a whole. The established data concerning integrability in PWMT are hence:

- one-loop integrability in the complete model [35, 6],
- at least three-loop integrability in the $\mathfrak{su}(3|2)$ sector [3] and
- at least four-loop integrability in the $\mathfrak{su}(2)$ sector [21, 22].

Here we have added:

- no integrability in the $\mathfrak{su}(3)$ sector beyond one loop and therefore
- no integrability of the full Hamiltonian beyond one loop.

Note that the perturbative non-integrability of the complete model does not exclude potential integrability in the $\mathfrak{su}(3|2)$ and $\mathfrak{su}(2)$ sectors to all orders.

To gain a better understanding of integrability in PWMT, it would be interesting to derive and investigate the Hamiltonian in the various non-trivial vacua of the theory [36]. As the elementary representation content in vacua with increasing M5-brane number approaches that of $\mathcal{N} = 4$ SYM, there is some hope of enhancing or even recovering full integrability.

As a further development of our results one could consider the generalization to spins in an arbitrary representation of $\mathfrak{gl}(n)$. Do these generalizations lead to integrable systems, what are their moduli and what are the Bethe equations? As a first step, one might consider totally symmetric and anti-symmetric representations. These have only one non-vanishing Dynkin label and the nested Bethe ansatz is conceptually simpler than in the case of general representations.

Acknowledgments

We are grateful to J Maldacena and M Staudacher for discussions. We also would like to thank K Zarembo for comments on the manuscript. The work of NB is supported in part by the US National Science Foundation Grant No. PHY02-43680. Any opinions, findings and conclusions or recommendations expressed in this material are those of the authors and do not necessarily reflect the views of the National Science Foundation. The work of TK is supported by the Göran Gustafsson Foundation.

¹⁴ The number of ‘unphysical’ parameters $\epsilon_{r,s}$ may depend on the rank because of structures which are identically zero for small values of n .

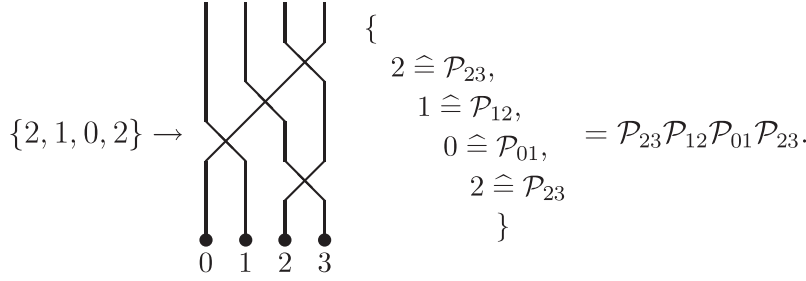


Figure A.1. Graphical representation of the permutation symbol $\{2, 1, 0, 2\}$.

Appendix. Permutation operators

It is very convenient to represent the spin chain operator by a product of nearest-neighbour permutation denoted as in (2.3) by

$$\{a_1, a_2, \dots, a_l\} := \sum_{i=1}^{\mathcal{L}} \mathcal{P}_{i+a_1, i+a_1+1} \mathcal{P}_{i+a_2, i+a_2+1} \dots \mathcal{P}_{i+a_l, i+a_l+1}, \quad \{\} := \mathcal{L}, \quad (\text{A.1})$$

where \mathcal{L} measures the spin chain length L . The indices are clearly to be understood modulo L . In terms of Pauli matrices, we have e.g.

$$\{0\} = \sum_{i=1}^L \mathcal{P}_{i, i+1} = \sum_{i=1}^L \frac{1}{2} (\mathbf{1} + \vec{\sigma}_i \cdot \vec{\sigma}_{i+1}). \quad (\text{A.2})$$

It is helpful to visualize the sequence of transpositions in such an operator as for example in figure A.1. We call the product of transpositions (i.e. the right-hand side of (2.3) without the sum), a *local interaction*. The total action of a permutation is given by applying the local interaction to all different positions along the state and summing these contributions. The number $R := \max\{a_i\} - \min\{a_i\} + 2$ is called the *range* of the permutation $\{a_1, a_2, \dots, a_l\}$, since this is the number of adjacent fields which are hit by the local interaction at once. The quantity l is called the *length* of the permutation and counts the number of elementary transpositions. In the language of Feynman diagrams l corresponds to the number of four-valent vertices as discussed in section 4.

There are some relations between these permutations operators:

$$\begin{aligned} \{ \text{---}, a, a, \text{---} \} &= \{ \text{---}, \text{---} \}, \\ \{ \text{---}, a, b, \text{---} \} &= \{ \text{---}, b, a, \text{---} \}, \\ \{ \text{---}, a, a+1, a, \text{---} \} &= \{ \text{---}, a+1, a, a+1, \text{---} \}, \\ \{ a_1, a_2, \dots \} &= \{ a_1 + b, a_2 + b, \dots \}. \end{aligned} \quad \text{for } |a - b| \geq 2 \quad (\text{A.3})$$

Furthermore we can use the fact that the anti-symmetrizer of $n + 1$ spins vanishes for $\mathfrak{gl}(n)$ and therefore replace

$$\{ \text{---}, 0, 1, 0, 2, 1, 0, 3, 2, 1, 0, \dots, n-1, n-2, \dots, 1, 0, \text{---} \} \quad (\text{A.4})$$

by a sum of permutations of shorter range. E.g. in $\mathfrak{gl}(2)$ we have

$$\begin{aligned} \{ \text{---}, 0, 1, 0, \text{---} \} &= \{ \text{---}, 0, 1, \text{---} \} + \{ \text{---}, 1, 0, \text{---} \} \\ &\quad - \{ \text{---}, 0, \text{---} \} - \{ \text{---}, 1, \text{---} \} + \{ \text{---}, \text{---} \}. \end{aligned} \quad (\text{A.5})$$

Finally, we define Hermitian conjugation by

$$\{a_1, \dots, a_l\}^\dagger = \{a_l, \dots, a_1\}, \quad (\text{A.6})$$

and parity conjugation by

$$\{a_1, \dots, a_l\}^\# = \{-a_1, \dots, -a_l\}. \quad (\text{A.7})$$

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