



# Refined partition functions for open superstrings with 4, 8 and 16 supercharges

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## Abstract

We analyze the perturbative massive open string spectrum of even-dimensional superstring compactifications with four, eight and sixteen supercharges. In each of such cases, we focus on universal states that exist independently on the internal geometry and other compactification details. We analytically compute refined partition functions that count these states at each mass level. Such refined partition functions are written in a super-Poincaré covariant form, providing information on how supermultiplets transform under the little group and the R symmetry. Various asymptotic limits of the partition functions and their associated quantities, such as the leading and subleading Regge trajectories, are studied empirically and analytically. In the phenomenologically relevant case of four supercharges, the partition function can be cast into the most compact form and the asymptotic formula in the large spin limit is derived explicitly.

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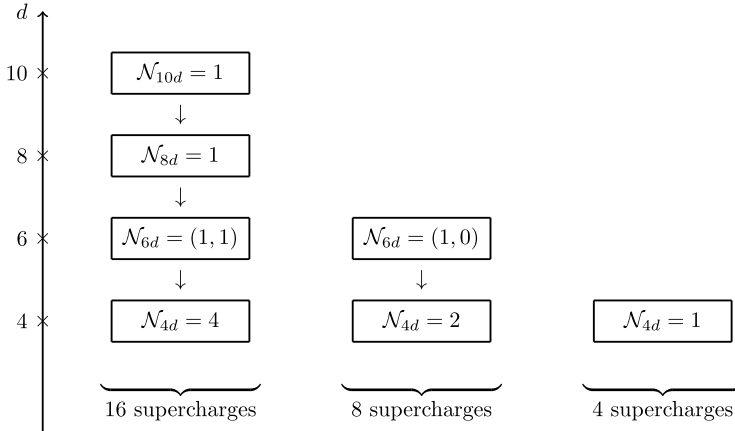


Fig. 1. Classes of superstring compactifications for which we will discuss the universal particle content. The arrows within the columns represent dimensional reduction on a  $T^2$  torus.

## 1. Introduction

The purpose of this article is to compute the super-Poincaré covariant perturbative open superstring spectrum which is completely universal to all compactifications to 4, 6 or 8 spacetime dimensions with 4, 8 or 16 supercharges. The number of such models is, of course, enormous and generic representatives have their own characteristic spectrum. Nevertheless, for each given number of supersymmetries (SUSYs), one can identify a set of physical states that exist *independently* on the internal geometry and any other compactification details. In this sense, one of the main aims of this work is to focus on universal statements about the spectrum in scenarios with various (even) numbers of spacetime dimensions and supercharges. The basic quantity we compute in different contexts is the number of model independent super-Poincaré multiplets of given Lorentz- and R-symmetry quantum numbers on each mass level of the superstring.

The existence of 4, 8 and 16 supercharges is compatible with various spacetime dimensions. Theories with a fixed number of supercharges are related to each other through dimensional reduction. Note that the minimum number of supercharges existing in 4, 6 and 10 dimensions is 4, 8 and 16, labeled by  $\mathcal{N}_{4d} = 1$ ,  $\mathcal{N}_{6d} = (1, 0)$  and  $\mathcal{N}_{10d} = 1$  respectively. From each of such theories, one can therefore obtain theories with the same amount of SUSYs in lower dimensions via toroidal compactifications which preserve all the SUSYs [1]. In this paper, Kaluza–Klein and winding modes are neglected, as these depend on compactification details. Thus, determining the lower-dimensional spectra becomes a group theoretical problem of branching the associated Lorentz- and R-symmetry groups. The following Fig. 1 gives an overview of the supersymmetric theories for which we will work out the model independent subset of the open superstring spectrum.

In this paper we are providing for the first time a complete investigation of the universal massive open string states of higher spin within supersymmetric compactifications of the open Type I superstring. In particular, we will compute the partition functions of the universal open string spectra for all type I compactifications with 4, 8 and 16 preserved supercharges. Spectra of the associated Type IIA/B closed superstring theories with twice as many preserved supercharges

can be easily inferred from our open string results through a double copy of the open string Hilbert space, that is why they will not be explicitly addressed in this paper.<sup>1</sup>

Four-dimensional superstrings subject to  $\mathcal{N}_{4d} = 1$  super-Poincaré invariance are especially worth to be studied, since  $\mathcal{N}_{4d} = 1$  compactifications with broken supersymmetry are expected to provide phenomenologically interesting string solutions at low energies with the spectrum of certain extensions of the supersymmetric Standard Model (see e.g. [2] for a stable low energy open string vacuum, the Standard Model<sup>++</sup> with two Higgs fields). In addition to the light states, the knowledge of the universal massive string spectrum is also important in order to compute string scattering amplitudes of massive open string states in  $\mathcal{N}_{4d} = 1$  string compactifications [3]. This task is particularly relevant, if the string scale is low compared to the Planck mass, as it is true in compactifications with large extra dimensions [4]: Both the exchange and the production of the lightest string resonances will leave measurable signatures at the LHC if the string scale is sufficiently close to the TeV range [5,6].

Apart from the phenomenological motivation, there are formal reasons to investigate scattering amplitudes among massive states: Unitarity allows to boil down the complete S-matrix of string theory down to cubic tree vertices involving any triplet of states, so it is particularly desirable to compactly represent them and to efficiently sew them together. For this programme to work in practice, the appropriate language still needs to be found, e.g. a generalization of the generating function techniques of [7] beyond the leading Regge trajectory. As an essential prerequisite, we need to get a handle on the Lorentz- and R-symmetry quantum numbers of the spectrum and make supersymmetry – the helping hand in various perturbative calculations – manifest.

The refined partition functions in lower-dimensional scenarios presented in this work have the potential to contribute to such technical progress. They provide a toy laboratory with fewer states at each mass level compared to the full ten-dimensional problem of string interactions, where e.g. four-dimensional spinor helicity techniques might prove helpful in manipulating massive string amplitudes.

### 1.1. Refined partition functions

A convenient way to study the spectrum of string states is to compute a *partition function* that counts such states with respect to their mass levels. Since the string states transform under representations of super-Poincaré algebra, such a counting can be done in a representation theoretic way, namely the partition function can be written in terms of an infinite power series such that each power keeps track of the mass level and the coefficient of each term in the series comprises irreducible characters of the super-Poincaré algebra. In this way, the symmetry of the problem is manifest in the partition function and the characters contain information on how a supermultiplet transform under the little group and the R symmetry. Moreover, knowing a partition function is equivalent to knowing how many times a given representation appears at each mass level – also known as the multiplicity. Hence, given a representation of super-Poincaré algebra, our aim is to compute its *multiplicity generating function*, a power series such that each power keeps track of the mass level and each coefficient are the multiplicity of this particular representation.

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<sup>1</sup> For the case of heterotic string compactifications, the charged matter fields originate from closed strings, and hence a priori one expects a different pattern of massive string states. In order to match the heterotic-type I massive string spectrum via heterotic-Type I string duality also non-perturbative states are needed.

Such a way of counting of string states was already performed explicitly in [8,9] for the case of uncompactified (ten-dimensional) string theories. It has also been extensively applied to the study of moduli spaces of supersymmetric gauge theories [10–18]; in such a context the partition function is also known as the Hilbert series.

One can also view the partition function we are considering as a trace over the space of physical states. In the trace, we grade the states according to their mass levels and global charges, but *not* their spacetime fermion numbers. The variables used in keeping track of these levels and charges are called fugacities. The fugacities for the global charges are indeed the ones that appear in the character of a representation of the super-Poincaré algebra. In general, the partition function is therefore a multivariate function. We call the insertion of global fugacities into the trace so as to make the global symmetry manifest a *refinement*, and we refer to the corresponding partition function as a *refined partition function*. On the other hand, in order to compute the total number of states at each mass level, one can set the fugacities in the characters to unity. This amounts to computing the dimension of the corresponding representation, and we call the resulting partition function an *unrefined* one.

The term ‘refinement’ as for the insertion of the aforementioned types of fugacities has also been used recently in various papers on elliptic genera and loop amplitudes. There are various ‘synonyms’ that have been adopted in the literature, e.g. McKay–Thompson series [19], twining characters [20,21] and twisted elliptic genera [22–24]. We emphasize that, on the contrary to elliptic genera or other types of characters that are used in loop amplitude computations, the states that we trace over are not graded with a minus sign for spacetime fermions.<sup>2</sup> As a result, the partition functions we are considering in this paper do not exhibit a modular invariant property.<sup>3</sup>

Open string states also carry Chan–Paton factors. The massless states and their massive excitations that arise from open strings with both endpoints attached to a stack of D branes transform in the adjoint representation of the Chan–Paton gauge group. Their character can therefore be obtained by multiplying the character discussed here by the character of the adjoint representation.<sup>4</sup> The massive states corresponding to unoriented strings, on the other hand, transform in various representations according to the gauge symmetry (see e.g. p. 294 of [25] for further details), and the character can be computed by multiplying an appropriate character of the gauge group to our existing character at a given mass level. All partition functions computed in this paper allow for a straightforward inclusion of the Chan–Paton contributions; hence, we shall not discuss Chan–Paton factors in the subsequent.

<sup>2</sup> To illustrate this point, let us look at the first mass level for a 10d theory with 16 supercharges, there are 256 states in total (see Table 1). This number comes from (a) 44 spin two degrees of freedom and 84 three-form degrees of freedom constituting the spacetime bosonic states, and (b) 128 spin 3/2 degrees of freedom constituting the spacetime fermionic states. If we had included the grading with a minus sign for spacetime fermions into the trace, we would have a zero here.

<sup>3</sup> To illustrate this point, we compare the unrefined partition functions presented in (5.3.37) of [25] and (9.1.14), (9.1.15) of [26]. The former is the partition function we are interested in and it is clear that such a partition function does not possess a modular invariant property. On the other hand, observe in the latter that if the grading with a minus sign for spacetime fermions is introduced in the trace, the contributions from the fermionic and bosonic excited states precisely cancel in the unrefined partition function, as exemplified in the preceding footnote.

<sup>4</sup> Furthermore, compactifications with intersecting D branes give rise to model dependent excitations of open strings that end on different stacks of D branes [27]. These non-universal states beyond the scope of this work transform in the bifundamental representation.

Table 1

The number of model independent open string states in compactifications with 4, 8 and 16 supercharges, respectively, up to mass level  $\alpha' m^2 = 9$ .

$\alpha' m^2$	# states for 4 supercharges	# states for 8 supercharges	# states for 16 supercharges
0	4	8	16
1	24	80	256
2	104	512	2304
3	384	2576	15360
4	1240	11008	84224
5	3648	41792	400896
6	9992	144784	1711104
7	25792	465856	6690816
8	63392	1409792	24332544
9	149464	4050112	83219712

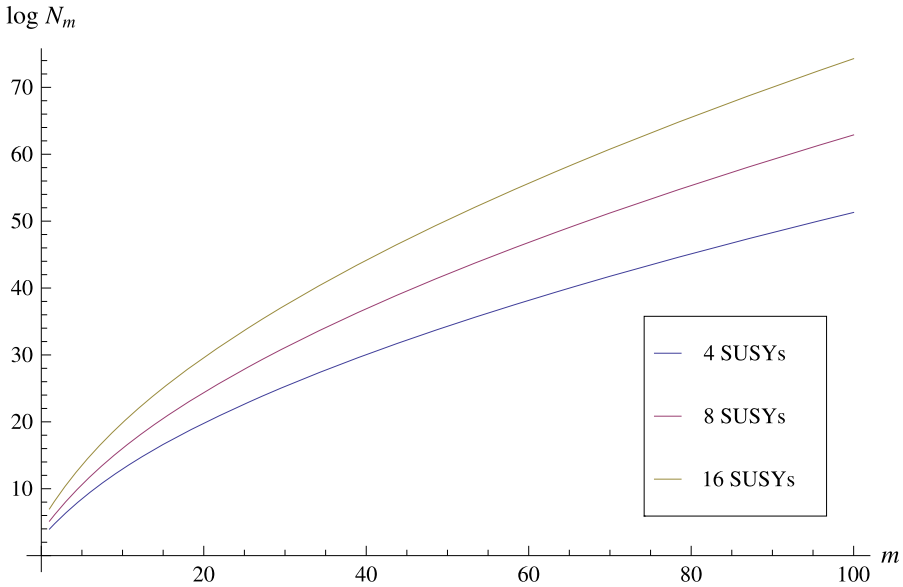


Fig. 2. The logarithmic plot of the number of states  $N_m$  against the mass level  $m$  for the case of 4 and 16 supercharges. The values of  $N_m$  are taken from the asymptotic formulae (4.17), (5.12) and (6.10), which work well for large  $m$ .

### 1.2. The number of universal open string states

To give a first idea of the orders of magnitude governing the number of universal open string states at individual mass levels, the following Table 1 summarizes their numbers at low levels  $\leq 9$  in scenarios with 4, 8 and 16 supercharges, respectively. They are obtained by expanding the associated *unrefined partition functions*. For the cases of 4, 8 and 16 supercharges, the exact generating functions are respectively given by (4.8), (5.5), (6.6) and their asymptotics at large mass levels are respectively given by (4.17), (5.12), (6.10). Roughly speaking, the number of states increases exponentially with respect to the square root of the mass level. The plot is depicted in Fig. 2.

### 1.3. Stable patterns and Regge trajectories

For any number of dimensions and supercharges, we can examine the multiplicities of a supermultiplet transforming under a given super-Poincaré representation. In four spacetime dimensions, such a representation contains an  $SO(3)$  spin quantum number; this is *half* of the  $SO(3)$  Dynkin label. For dimensions  $d > 4$ , we refer to the first  $SO(d - 1)$  Dynkin label as ‘spin’ in slight abuse of terminology. This allows for the generalized notion of spin in higher dimensions. It is interesting to study the multiplicities associated with large spin quantum numbers, i.e. a large spin limit.

There are certain crucial asymptotic patterns that universally appear for families of supermultiplets, regardless of the number of dimensions and supercharges. In particular, there are certain sets of numbers that repeatedly appear at various mass levels when spins are sufficiently large (and other quantum numbers are kept fixed). As an example, it is convenient to consider [Table 3](#) where such numbers are written in red. Since this set of numbers stabilizes in the large spin limit, we refer to it as a *stable pattern*. In fact, such a pattern appears not only in superstring spectra we are considering, it also does so in spectra of the bosonic and various other types of string theories as pointed out in [8]; there, the stable pattern is referred to as the *leading Regge trajectory*. We shall henceforth use these two terms interchangeably.

Let us explore the stable pattern in more details. For a fixed sufficiently large mass level  $M$ , the stable pattern for a certain supermultiplet family starts appearing when the spin  $j$  increases and reaches a certain value  $j_{\min}(M)$ . It then extends up to some maximum value  $j_{\max}(M)$  where the multiplicity becomes zero for spins  $j > j_{\max}(M)$ . As an empirical speculation, we observe that for a sufficiently large  $M$ , the stable pattern appearing in the spin range  $j_{\min}(M) \leq j \leq j_{\max}(M)$  occupies approximately *half* of the spin range  $0 \leq j \leq j_{\max}(M)$  of all non-zero multiplicities. As an example, such a phenomenon is highlighted in red in each row of [Table 3](#).

Stated differently, for a given super-Poincaré representation, the highest spin with non-zero multiplicity approximately scales linearly  $j_{\max}(M) \approx (M - M_0)$  for large  $M$  where  $M_0$  is the mass level at which the first non-zero red number appears. The onset of the stable pattern, on the other hand, roughly follows a linear scaling,  $j_{\min}(M) \approx \frac{1}{2}(M - M_0)$ . The region of validity for the stable pattern is therefore bounded by two straight lines whose slopes have the ratio  $\frac{1}{2}$ . In this sense, the stable pattern gives control over the essential part of the spectrum.

In addition to the stable pattern or the leading trajectory, there is also a notion of subleading trajectories bounded by linear spin-mass relations with approximate slopes  $\frac{1}{3}, \frac{1}{4}, \dots$ . We shall not go over any detail here and postpone the quantitative discussions to subsequent sections.

### 1.4. Outlines and key results

This article can be roughly divided into two parts. The first part develops the SCFT foundations for refined superstring partition functions, using conventions from [Appendix A](#). Section 2 introduces  $SO(d - 1)$  covariant characters for the degrees of freedom due to the superstring oscillators from the spacetime SCFT. In order to describe compactification scenarios, the spacetime sector has to be supplemented by SCFTs describing the internal dimensions. The SCFTs discussed in Section 3 capture the universal states present in *any* compactification that preserves four and eight supercharges, respectively. A novel bookkeeping of internal quantum numbers is introduced to adapt the characters from the literature to the R symmetry of the spectrum.

Starting from Section 4, we proceed to the second part of this work where spacetime and internal characters are combined to super-Poincaré covariant partition functions. Universal states

of four-dimensional  $\mathcal{N}_{4d} = 1$  supersymmetric string compactifications are thoroughly investigated in Section 4: We analytically derive the stable pattern for supermultiplet multiplicities, in manifest agreement with the tabulated particle content up to mass level 25. Similarly, Section 5 is devoted to scenarios with eight supercharges – in both six and four spacetime dimensions. Finally, spectra of maximally supersymmetric open superstring theories are discussed in Section 6, a chain of dimensional reductions encompasses  $d = 10, 8, 6$  and  $d = 4$  compactifications.

The analysis of universal  $\mathcal{N}_{4d} = 1$  supermultiplets in Section 4 enjoys the highest phenomenological relevance and provides the most compact results. Hence, the reader might want to skip Sections 2.4, 2.5 and 3.2 on higher-dimensional generalizations upon the first reading.

Let us summarize the key results in this paper below.

- The exact unrefined partition functions and asymptotic expressions for the number of states at each large mass level are given in (4.8)–(4.17), (5.5)–(5.12) and (6.6)–(6.10) for theories with four, eight and sixteen supercharges respectively. The graphs of these numbers versus the mass level are depicted in Fig. 2.
- The exact multiplicity generating functions for theories of four, eight and sixteen supercharges are respectively given in (4.61)–(4.62), (5.41) and (6.33).
- The asymptotic expressions for the multiplicity generating functions for the theory with four supercharges are presented in (4.63) and (4.64).

Even though the tools for expanding the refined partition function to any mass level are presented for all the scenarios, exact formulae for multiplicities of particular multiplets generically involve nested infinite sums. In particular, the bookkeeping of  $SO(d - 1)$  quantum numbers becomes increasingly difficult in  $d > 4$  spacetime dimensions. That is why we elaborate the phenomenologically relevant and mathematically most accessible  $\mathcal{N}_{4d} = 1$  case in particular depth.

## 2. The spacetime CFT in various dimensions

This section reviews the construction of a refined partition function for the oscillator modes of the worldsheet fields  $\partial X^\mu$ ,  $\psi^\mu$  and fixes our notation. The worldsheet supermultiplet  $\{\partial X^\mu, \psi^\mu\}$  is associated with the  $d$  directions of Minkowski spacetime and carries an  $SO(1, d - 1)$  vector index  $\mu = 0, 1, \dots, d - 1$ . In the framework of lightcone quantization the physical spectrum is obtained from transverse oscillators  $\partial X^{i=2,3,\dots,d-1}$ ,  $\psi^{i=2,3,\dots,d-1}$  which carry charges with respect to the  $\frac{1}{2}(d - 2)$  Cartan generators of  $SO(1, d - 1)$  outside the lightcone directions. We assign a separate fugacity  $y_k$  to each pair of  $\partial X^i$ ,  $\psi^i$  components (say  $(\partial X^{2k}, \partial X^{2k+1})$ ) such that the fugacity subscript lies in the range  $1 \leq k \leq \frac{1}{2}(d - 2)$ . Since massive particles with  $d$ -dimensional timelike momentum form representations of the little group  $SO(d - 1)$ , the dependence on Lorentz fugacities  $y_k$  necessarily arranges into characters of the massive little group.

It is instructive to first of all study the simplest non-trivial example  $d = 4$  with one spacetime fugacity. The first three subsections are devoted to the  $SO(3)$  covariant partition function of the four-dimensional spacetime SCFT. As we will explain in later subsections, higher-dimensional cases follow by combining several copies of  $d = 4$  building blocks.

### 2.1. Bosonic partition function in $d = 4$

The contribution of the lightcone bosons to the refined partition function is

$$\begin{aligned} \chi_B^{SO(3)}(q, y) &= \text{PE}[( [2]_y - 1)(q + q^2 + q^3 + q^4 + \dots)] = \text{PE}\left[([2]_y - 1)\frac{q}{1 - q}\right] \\ &= \prod_{n=1}^{\infty} \frac{1}{(1 - y^2 q^n)(1 - y^{-2} q^n)} = \frac{1}{(qy^2; q)_{\infty}(qy^{-2}; q)_{\infty}} \end{aligned} \quad (2.1)$$

$$= -iq^{\frac{1}{2}}(y - y^{-1})\frac{\eta(q)}{\vartheta_1(y^2, q)}. \quad (2.2)$$

The representation  $[2]_y - 1 = y^2 + y^{-2}$  in the plethystic exponential corresponds to the two components  $\partial X^+$ ,  $\partial X^-$  perpendicular to the lightcone. The geometric series  $\frac{q}{1-q} = q + q^2 + q^3 + \dots$ , on the other hand, represents the infinite tower of positive frequency modes of  $\partial X^{\pm}$  which act as creation operators.

Explicitly, the first few terms in the power series of  $\chi_B^{SO(3)}(q, y)$  can be written in terms of  $SO(3)$  characters  $[k]_y$  as

$$\begin{aligned} \chi_B^{SO(3)}(q, y) &= 1 + q([2]_y - 1) + q^2[4]_y + q^3([2]_y + [6]_y) \\ &\quad + q^4([0]_y + 2[4]_y + [8]_y) \\ &\quad + q^5(2[2]_y + [4]_y + 2[6]_y + [10]_y) \\ &\quad + q^6(2[0]_y + [2]_y + 3[4]_y + 2[6]_y + 2[8]_y + [12]_y) \\ &\quad + q^7(4[2]_y + 3[4]_y + 4[6]_y + 2[8]_y + 2[10]_y + [14]_y) + \dots \end{aligned} \quad (2.3)$$

From such a power series, we are motivated to rewrite (2.1) as an infinite sum of the form

$$\chi_B^{SO(3)}(q, y) = \sum_{k=0}^{\infty} [k]_y f_k(q), \quad (2.4)$$

for some function  $f_k(q)$  which depends only on  $q$  and not on  $y$ . The use of this form of the partition function will become clear later.

In order to do so, we rewrite (2.1) using the  $q$ -binomial theorem<sup>5</sup> as

$$\chi_B^{SO(3)}(q, y) = \sum_{m=0}^{\infty} \sum_{n=0}^{\infty} \frac{y^{2(m-n)}}{(q; q)_m (q; q)_n} q^{m+n} =: \sum_{k=0}^{\infty} [k]_y f_k(q). \quad (2.5)$$

Before proceeding further, let us state an identity that we are going to use many times later. From (A.5) and the residue theorem, we find that

$$\int d\mu_{SO(3)}(y) y^m [n]_y = \begin{cases} \delta_{0,n} & \text{for } m = 0, \\ \frac{1}{2}(\delta_{|m|,n} - \delta_{|m|,n+2}) & \text{for } m \neq 0, \end{cases} \quad (2.6)$$

where the Haar measure of  $SO(3)$  is given by (A.9). It is clear from the absence of odd  $y$  powers in (2.5) that only integer spin representations of  $SO(3)$  occur. We therefore have  $f_{2k+1}(q) = 0$  for all  $k$ , and the non-trivial coefficients to compute are<sup>6</sup>

<sup>5</sup> The version we use states that  $\frac{1}{(z; q)_{\infty}} = \sum_{n=0}^{\infty} \frac{z^n}{(q; q)_{\infty}}$ .

<sup>6</sup> In intermediate steps, we are making use of identities like  $\sum_{r=0}^{\infty} q^{r(1+p)}(q^{1+r}; q)_{\infty} = (q; q)_{\infty}(q^{1+p}; q)_{\infty}$ .



$$\begin{aligned}
 f_{2k}(q) &= \int d\mu_{SO(3)}(y) \chi_B^{SO(3)}(q, y) [2k]_y \\
 &= \sum_{n=0}^{\infty} \frac{q^{2n+k}}{(q; q)_n (q; q)_{n+k+1}} (1 - q - q^{n+k+1}) \\
 &= (q; q)_{\infty}^{-2} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n)^2 q^{nk + \frac{1}{2}n(n-1)}.
 \end{aligned} \tag{2.7}$$

We obtain an  $SO(3)$  character expansion of the bosonic partition function:

$$\chi_B^{SO(3)}(q, y) = (q; q)_{\infty}^{-2} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n)^2 \sum_{k=0}^{\infty} q^{nk + \frac{1}{2}n(n-1)} [2k]_y. \tag{2.8}$$

Note that the pattern  $\sum_{n=1}^{\infty} (-1)^{n-1} q^{nk} [2k]_y \dots$  (where the  $\dots$  ellipsis does not depend on  $y$  and  $k$ ) is described in Section 6 of [8] as an alternating sequence of additive and subtractive Regge trajectories of slope  $\frac{1}{n}$ . This is the source of stable patterns as described in the introduction in bosonic string theory. We will rediscover these patterns in the counting of SUSY multiplets later on.

### 2.1.1. Multiplicities of representations $[2m]$ and their asymptotics

Let us determine the multiplicity of irreducible  $SO(3)$  representations  $[2m]$  at each mass level. Recall the orthogonality of characters with respect to the Haar measure:

$$\int d\mu_{SO(3)}(y) [m]_y [n]_y = \delta_{mn}. \tag{2.9}$$

From (2.8), we find that the generating function of the multiplicity of  $[2m]$  is

$$\begin{aligned}
 M(\chi_B^{SO(3)}, [2m]; q) &= \int d\mu_{SO(3)}(y) [2m]_y \chi_B^{SO(3)}(q, y) \\
 &= (q; q)_{\infty}^{-2} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n)^2 q^{\frac{1}{2}n(n-1)} q^{nm}.
 \end{aligned} \tag{2.10}$$

#### Asymptotics as $m \rightarrow \infty$

The expression (2.10) found for multiplicity generating functions greatly simplifies in the limit  $m \rightarrow \infty$  of large spin and mass level. In order to compute an asymptotic formula in this regime, we apply Laplace’s method (see e.g. Section 6.7 of [28]) to our question. Since  $0 < q < 1$ , the terms in the series peak sharply near the  $n = 1$  term as  $m \rightarrow \infty$ . Therefore, it is expected that for any  $\epsilon > 0$

$$M(\chi_B^{SO(3)}, [2m]; q) \sim (q; q)_{\infty}^{-2} \sum_{n=1}^{1+\lceil \epsilon \rceil} (-1)^{n-1} (1 - q^n)^2 q^{\frac{1}{2}n(n-1)} q^{nm}, \quad m \rightarrow \infty. \tag{2.11}$$

Now let us write  $n = 1 + t$ , where  $t$  is small compared with 1. Note that

$$q^{\frac{1}{2}n(n-1)} = 1 + \frac{1}{2}(\log q)t + O(t^2), \tag{2.12}$$

Substituting the leading term of this power series into the right-hand side of (2.11) and extending the region of summation to  $\infty$ , we find that the leading behavior of  $M(\chi_B^{SO(3)}, [2m]; q)$  is given by

$$\begin{aligned}
 M(\chi_B^{SO(3)}, [2m]; q) &\sim (q; q)_\infty^{-2} \sum_{t=0}^\infty (-1)^t (1 - q^{t+1})^2 q^{m(t+1)} \\
 &= (q; q)_\infty^{-2} \frac{q^m (1 - q)^2 (1 - q^{1+m})}{(1 + q^m)(1 + q^{1+m})(1 + q^{2+m})} \\
 &= (q^2; q)_\infty^{-2} \frac{q^m (1 - q^m)}{(1 + q^m)^3}, \quad m \rightarrow \infty.
 \end{aligned}
 \tag{2.13}$$

The higher order corrections can be computed by taking into account the subleading terms of (2.12). Note that the next to leading term of (2.13) is of order  $O(q^{2m} \log q)$ . Thus, asymptotic formula (2.13) reproduces the exact result up to  $O(q^{2m-1})$ .

*Interpretation and stable pattern*

We can extract some information about bosonic string states from (2.13).

- The representation  $[2m]$  appears first time in the bosonic partition function  $\chi_B^{SO(3)}(q, y)$  at mass level  $q^m$ .
- The multiplicities of  $[2m]$  at levels  $q^{m+\ell}$ , for  $0 \leq \ell \leq m - 1$ , are independent of  $m$ . We refer to a set of these numbers as a *stable pattern* for bosonic string theory. The generating function for such a stable pattern can be determined by taking a formal limit  $m \rightarrow \infty$  in (2.13):

$$\lim_{m \rightarrow \infty} q^{-m} M(\chi_B^{SO(3)}, [2m]; q) = (q^2; q)_\infty^{-2} = \prod_{k=2}^\infty (1 - q^k)^{-2}
 \tag{2.14}$$

$$\begin{aligned}
 &= 1 + 2q^2 + 2q^3 + 5q^4 + 6q^5 + 13q^6 + 16q^7 + 30q^8 + 40q^9 + 66q^{10} + 90q^{11} \\
 &\quad + 142q^{12} + 192q^{13} + 290q^{14} + 396q^{15} + 575q^{16} + 782q^{17} + 1112q^{18} \\
 &\quad + 1500q^{19} + 2092q^{20} + 2808q^{21} + 3848q^{22} + 5132q^{23} + O(q^{24}).
 \end{aligned}
 \tag{2.15}$$

Note that terms with low orders in this power series are in agreement with the data presented in Table 6b of [8].

2.2. The NS sector in  $d = 4$

Under NS boundary conditions, the worldsheet superpartners  $\psi^i$  of the lightcone bosons contribute

$$\begin{aligned}
 f_{NS}(q; y) &= \text{PE}_F \left[ ([2]_y - 1) \frac{q^{\frac{1}{2}}}{1 - q} \right] \\
 &= \prod_{n=1}^\infty (1 + y^2 q^{n-1/2})(1 + y^{-2} q^{n-1/2})
 \end{aligned}
 \tag{2.16}$$

$$= q^{\frac{1}{24}} \frac{\vartheta_3(y^2, q)}{\eta(q)}
 \tag{2.17}$$

to the spacetime partition functions. We shall rewrite this function as an infinite sum by means of Jacobi’s triple product identity (see, e.g., Subsection 19.8 of [29]):

$$\prod_{n=1}^\infty (1 - x^{2n})(1 + x^{2n-1}z)(1 + x^{2n-1}z^{-1}) = \sum_{m=-\infty}^\infty x^{m^2} z^m.
 \tag{2.18}$$

Applying identity (2.18) with  $x = q^{1/2}$  and  $z = y^2$  to (2.16), we obtain

$$f_{\text{NS}}(q, y) = (q; q)_{\infty}^{-1} \sum_{m=-\infty}^{+\infty} y^{2m} q^{m^2/2} \tag{2.19}$$

$$= (q; q)_{\infty}^{-1} \sum_{m=0}^{\infty} q^{\frac{1}{2}m^2} (1 - q^{m+\frac{1}{2}}) [2m]_y, \tag{2.20}$$

where (2.20) can be obtained by applying (2.6) and the orthogonality of the characters to (2.19) as follows:

$$\begin{aligned} \int d\mu_{SO(3)}(y) f_{\text{NS}}(q, y) [2k]_y &= (q; q)_{\infty}^{-1} \left[ \sum_{m=0}^{\infty} q^{m^2/2} \delta_{m,k} - \sum_{m=-\infty}^{-1} q^{m^2/2} \delta_{-m,k+1} \right] \\ &= (q; q)_{\infty}^{-1} q^{\frac{1}{2}k^2} (1 - q^{k+\frac{1}{2}}). \end{aligned} \tag{2.21}$$

Let us combine the bosonic partition function with the NS sector contribution. Using (2.1), (2.20) and the multiplication rule  $[2m] \cdot [2k] = \sum_{l=|k-m|}^{k+m} [2l]$ , we find that

$$\begin{aligned} \chi_{\text{NS}}^{SO(3)}(q, y) &:= \chi_B^{SO(3)}(q, y) f_{\text{NS}}(q, y) = -iq^{1/8} (y - y^{-1}) \frac{\vartheta_3(y^2, q)}{\vartheta_1(y^2, q)} \\ &= \frac{-1}{(q; q)_{\infty}^3} \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} (-1)^n (1 - q^{m+\frac{1}{2}}) (1 - q^n)^2 q^{\frac{1}{2}n(n-1) + \frac{1}{2}m^2} \sum_{k=0}^{\infty} q^{nk} \sum_{\ell=|k-m|}^{k+m} [2\ell]. \end{aligned} \tag{2.22}$$

The expression in the curly brackets  $\{\dots\}$  can be rewritten as  $\sum_{k=0}^{\infty} f_{kmn}(q) [2k]$ , for some function  $f_{kmn}(q)$ . In order to determine this function, we use the orthogonality of characters:

$$f_{kmn}(q) = \int d\mu_{SO(3)}(y) \sum_{k'=0}^{\infty} q^{nk'} \sum_{\ell=|k'-m|}^{k'+m} [2\ell]_y [2k]_y = \frac{q^{n|k-m|} - q^{n(k+m+1)}}{1 - q^n}. \tag{2.24}$$

Therefore, we obtain

$$\begin{aligned} \chi_{\text{NS}}^{SO(3)}(q, y) &= (q; q)_{\infty}^{-3} \sum_{m=0}^{\infty} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^{m+\frac{1}{2}}) (1 - q^n) q^{\frac{1}{2}[n(n-1)+m^2]} \\ &\quad \times \sum_{k=0}^{\infty} (q^{n|k-m|} - q^{n(k+m+1)}) [2k]. \end{aligned} \tag{2.25}$$

We emphasize that the  $SO(3)$  irreducible representations with odd Dynkin labels do not appear in the partition function  $\chi_{\text{NS}}^{SO(3)}(q, y)$ .

In terms of a power series in  $q$ , this can be written as

$$\begin{aligned} \chi_{\text{NS}}^{SO(3)}(q, y) &= 1 + q^{1/2} ([2] - 1) + q[2] + q^{3/2} ([0] + [4]) \\ &\quad + q^2 ([0] + 2[4]) + q^{5/2} (2[2] + [4] + [6]) \\ &\quad + q^3 (3[2] + [4] + 2[6]) + q^{7/2} (2[0] + 2[2] + 4[4] + [6] + [8]) \\ &\quad + q^4 (3[0] + 3[2] + 5[4] + 2[6] + 2[8]) \end{aligned}$$

$$\begin{aligned}
 &+ q^{9/2}([0] + 7[2] + 4[4] + 6[6] + [8] + [10]) \\
 &+ q^5([0] + 9[2] + 7[4] + 7[6] + 2[8] + 2[10]) + \dots
 \end{aligned}
 \tag{2.26}$$

Setting  $y = 1$ , we obtain the unrefined partition function

$$\begin{aligned}
 \chi_{\text{NS}}^{SO(3)}(q, y = 1) &= \chi_B^{SO(3)}(q, y) f_{\text{NS}}(q, y) = \prod_{n=1}^{\infty} \left( \frac{1 + q^{n-1/2}}{1 - q^n} \right)^2 \\
 &= (q; q)_{\infty}^{-3} \vartheta_3(1, q) = q^{-1/8} \frac{\vartheta_3(1, q)}{\eta(q)^3}.
 \end{aligned}
 \tag{2.27}$$

2.2.1. Multiplicities of representations  $[2j]$  and their asymptotics

Similarly to the bosonic partition function, we can read off the generating function for the multiplicities of the representations  $[2j]$  at different mass levels of the NS superstring

$$\begin{aligned}
 M(\chi_{\text{NS}}^{SO(3)}, [2j], q) &= (q; q)_{\infty}^{-3} \sum_{m=0}^{\infty} (1 - q^{m+1/2}) q^{\frac{1}{2}m^2} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n) q^{\frac{1}{2}n(n-1)} \\
 &\quad \times (q^{n|j-m|} - q^{n(j+m+1)}).
 \end{aligned}
 \tag{2.28}$$

Asymptotics as  $j \rightarrow \infty$

In this limit, we have  $|j - m| \sim j - m$  for a finite  $m$ . Furthermore, the summand as a function of  $n$  is sharply peaked near  $n = 1$ , and so we can determine the leading behavior of the sum over  $n$  using Laplace’s method as follows (where  $\epsilon > 0$ ):

$$\begin{aligned}
 &\sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n) q^{\frac{1}{2}n(n-1)} (q^{n(j-m)} - q^{n(j+m+1)}) \\
 &\sim (1 - q) \sum_{n=1}^{1+\lfloor \epsilon \rfloor} [q^{n(j-m)} - q^{n(j+m+1)}] \quad \text{for } \epsilon > 0 \\
 &\sim (1 - q) \sum_{t=0}^{\infty} [q^{(t+1)(j-m)} - q^{(t+1)(j+m+1)}] \\
 &= q^{j-m} (1 - q) \frac{1 - q^{2m+1}}{(1 - q^{1+j+m})(1 - q^{j-m})}.
 \end{aligned}
 \tag{2.29}$$

Therefore, we find that

$$\begin{aligned}
 M(\chi_{\text{NS}}^{SO(3)}, [2j], q) &\sim (q; q)_{\infty}^{-3} q^j (1 - q) \left[ \sum_{m=0}^{\infty} q^{-m+\frac{m^2}{2}} \frac{(1 - q^{2m+1})(1 - q^{\frac{1}{2}+m})}{(1 + q^{1+j-m})(1 + q^{j-m})} \right] \\
 &\sim (q; q)_{\infty}^{-3} q^j \frac{1 - q}{(1 - q^j)^2} \left[ \sum_{m=0}^{\infty} q^{\frac{1}{2}(m-1)^2 - \frac{1}{2}} (1 - q^{2m+1})(1 - q^{\frac{1}{2}+m}) \right] \\
 &= (q; q)_{\infty}^{-3} q^{j-\frac{1}{2}} \left( \frac{1 - q}{1 + q^j} \right)^2 \vartheta_3(1, q).
 \end{aligned}
 \tag{2.30}$$

Note that asymptotic formula (2.30) reproduces the exact result up to the order  $q^{2j-\frac{3}{2}}$ . We emphasize that the representation  $[2j]$  appears first time at mass level  $q^{j-\frac{1}{2}}$ .

In [8], the individual  $n$  summands of (2.28) are interpreted as an alternating sequence of additive and subtractive Regge trajectories of slope  $\frac{1}{n}$ . In the notation of Eq. (6.2) of that reference, the  $M(\chi_{\text{NS}}^{SO(3)}, [2j], q)$  are expanded as

$$\begin{aligned}
 M(\chi_{\text{NS}}^{SO(3)}, [2j], q) &= q^j \tau_1^{\text{NS}}(q) - q^{2j} \tau_2^{\text{NS}}(q) + q^{3j} \tau_3^{\text{NS}}(q) - \dots \\
 &= \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell j} \tau_{\ell}^{\text{NS}}(q).
 \end{aligned}
 \tag{2.31}$$

Setting  $|j - m| = j - m$  in (2.28) leads to the following asymptotic expressions for the  $\tau_{\ell}^{\text{NS}}$ :

$$\tau_{\ell}^{\text{NS}}(q) = (q; q)_{\infty}^{-3} q^{-\frac{1}{2}\ell} (1 - q^{\ell}) \sum_{m=0}^{\infty} q^{\frac{1}{2}(m-\ell)^2} (1 - q^{m+\frac{1}{2}}) (1 - q^{2m+\ell}).
 \tag{2.32}$$

We will later on rediscover this trajectory structure in the counting of SUSY multiplets.

*The stable pattern*

The generating function of the stable pattern can be determined by projecting the sum in (2.31) to the first term (or, equivalently, by taking the limit  $j \rightarrow \infty$ ):

$$\begin{aligned}
 \lim_{j \rightarrow \infty} q^{-j} M(\chi_{\text{NS}}^{SO(3)}, [2j], q) &= \tau_1^{\text{NS}}(q) = (q; q)_{\infty}^{-3} q^{-1/2} (1 - q)^2 \vartheta_3(1, q) \\
 &= (2 + 2q + 8q^2 + 14q^3 + 34q^4 + 58q^5 + 120q^6 \\
 &\quad + 204q^7 + 378q^8 + 632q^9 + 1096q^{10} + 1786q^{11} \\
 &\quad + 2968q^{12} + \dots) + \frac{1}{\sqrt{q}} (1 + q + 6q^2 + 9q^3 + 24q^4 \\
 &\quad + 42q^5 + 88q^6 + 151q^7 + 287q^8 + 480q^9 \\
 &\quad + 846q^{10} + 1388q^{11} + 2326q^{12} + \dots).
 \end{aligned}
 \tag{2.34}$$

Note that terms with low orders in the power series (2.34) are in agreement with the data presented in Table 6c of [8].

2.3. The R sector in  $d = 4$

The R sector of the worldsheet superpartners  $\psi^i$  of the lightcone bosons contributes

$$\begin{aligned}
 f_{\text{R}}(q, y) &= (y + y^{-1}) \text{PE}_F \left[ ([2]_y - 1) \frac{q}{1 - q} \right] \\
 &= (y + y^{-1}) \prod_{n=1}^{\infty} (1 + y^2 q^n) (1 + y^{-2} q^n)
 \end{aligned}
 \tag{2.35}$$

$$= q^{-\frac{1}{12}} \frac{\vartheta_2(y^2, q)}{\eta(q)}
 \tag{2.36}$$

to the spacetime partition function. Again, it will turn out to be beneficial to rewrite this function as an infinite sum. We proceed as follows. Replacing  $z$  by  $xz$  in (2.18), we obtain

$$\prod_{n=1}^{\infty} (1 - x^{2n})(1 + x^{2n}z)(1 + x^{2n-2}z^{-1}) = \sum_{m=-\infty}^{+\infty} x^{m^2+m}z^m. \tag{2.37}$$

Using the identity

$$\prod_{n=1}^{\infty} (1 + x^{2n-2}z^{-1}) = (1 + z^{-1}) \prod_{n=1}^{\infty} (1 + x^{2n}z^{-1}), \tag{2.38}$$

we arrive at

$$(z^{\frac{1}{2}} + z^{-\frac{1}{2}}) \prod_{n=1}^{\infty} (1 + x^{2n}z)(1 + x^{2n}z^{-1}) = \frac{\sum_{m=-\infty}^{+\infty} x^{m^2+m}z^{m+1/2}}{\prod_{n=1}^{\infty} (1 - x^{2n})}. \tag{2.39}$$

Applying identity (2.39) to (2.35) with  $x = q^{1/2}$  and  $z = y^2$ , we have

$$\begin{aligned} f_{\mathbb{R}}(q, y) &= (q; q)_{\infty}^{-1} \sum_{m=-\infty}^{+\infty} y^{2m+1} q^{m(m+1)/2} \\ &= (q; q)_{\infty}^{-1} \sum_{m=0}^{\infty} q^{\frac{1}{2}m(m+1)} (1 - q^{m+1}) [2m + 1]_y \\ &= q^{-1/8} (q; q)_{\infty}^{-1} \sum_{m \in \mathbb{Z}_{\geq 0 + \frac{1}{2}}} q^{\frac{1}{2}m^2} (1 - q^{m+\frac{1}{2}}) [2m]_y, \end{aligned} \tag{2.40}$$

where the second equality follows from (2.6) and the orthogonality of the characters.

Let us combine the contribution from the R sector with the bosonic part. Using (2.1) and (2.35), we find that

$$\chi_{\mathbb{R}}^{SO(3)}(q, y) := \chi_B^{SO(3)}(q, y) f_{\mathbb{R}}(q, y) = -i(y - y^{-1}) \frac{\vartheta_2(y^2, q)}{\vartheta_1(y^2, q)} \tag{2.41}$$

$$\begin{aligned} &= q^{-\frac{1}{8}} (q; q)_{\infty}^{-3} \sum_{m \in \mathbb{Z}_{\geq 0 + \frac{1}{2}}} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^{m+\frac{1}{2}}) (1 - q^n) q^{\frac{1}{2}[n(n-1)+m^2]} \\ &\quad \times \sum_{k=0}^{\infty} (q^{n|k-m|} - q^{n(k+m+2)}) [2k + 1]. \end{aligned} \tag{2.42}$$

This resembles (2.25) up to a shift in the summations over  $m, k$  by  $\pm \frac{1}{2}$ . We emphasize that  $SO(3)$  irreducible representation with even Dynkin labels do not appear in the R-sector partition function  $\chi_{\mathbb{R}}^{SO(3)}(q, y)$ .

In terms of a power series, this partition function can be written as

$$\begin{aligned} \chi_{\mathbb{R}}^{SO(3)}(q, y) &= [1] + 2[3]q + 2([1] + [3] + [5])q^2 + (4[1] + 4[3] + 4[5] + 2[7])q^3 \\ &\quad + (6[1] + 10[3] + 8[5] + 4[7] + 2[9])q^4 \\ &\quad + (12[1] + 18[3] + 16[5] + 10[7] + 4[9] + 2[11])q^5 \\ &\quad + (22[1] + 32[3] + 30[5] + 22[7] + 10[9] + 4[11] + 2[13])q^6 \\ &\quad + (36[1] + 58[3] + 56[5] + 40[7] + 24[9] \\ &\quad + 10[11] + 4[13] + 2[15])q^7 + \dots \end{aligned} \tag{2.43}$$

Setting  $y = 1$ , we obtain the unrefined partition function

$$\chi_{\text{R}}^{SO(3)}(q, y = 1) = 2 \prod_{n=1}^{\infty} \left( \frac{1 + q^n}{1 - q^n} \right)^2 = q^{-\frac{1}{8}}(q; q)_{\infty}^{-3} \vartheta_2(1, q) = \frac{\vartheta_2(1, q)}{\eta(q)^3}. \tag{2.44}$$

2.3.1. Multiplicities of representations  $[2j + 1]$  and their asymptotics

The generating function for the multiplicities of the representations  $[2j + 1]$  at different mass levels are

$$\begin{aligned} M(\chi_{\text{R}}^{SO(3)}, [2j + 1], q) &= q^{-\frac{1}{8}}(q; q)_{\infty}^{-3} \sum_{m=0}^{\infty} (1 - q^{m+1}) q^{\frac{1}{2}(m+\frac{1}{2})^2} \sum_{n=1}^{\infty} (-1)^{n-1} (1 - q^n) q^{\frac{1}{2}n(n-1)} \\ &\quad \times (q^{n|j-m|} - q^{n(j+m+2)}) \end{aligned} \tag{2.45}$$

in close analogy to (2.28). In fact, one can obtain the above formula by shifting  $m \rightarrow m + \frac{1}{2}$  and  $j \rightarrow j + \frac{1}{2}$  in (2.28) and multiply by an overall factor  $q^{-\frac{1}{8}}$ .

Asymptotics as  $j \rightarrow \infty$

Similarly to the NS sector, we find that the leading behavior of  $M(\chi_{\text{R}}^{SO(3)}, [2j + 1], q)$  is

$$\begin{aligned} M(\chi_{\text{R}}^{SO(3)}, [2j + 1], q) &\sim q^{-\frac{1}{8}}(q; q)_{\infty}^{-3} q^{j+\frac{1}{2}} \frac{1 - q}{(1 - q^j)^2} \left[ \sum_{m=0}^{\infty} q^{\frac{1}{2}(m-\frac{1}{2})^2 - \frac{1}{2}} (1 - q^{2m+2}) (1 - q^{m+1}) \right] \\ &= (q; q)_{\infty}^{-3} q^{j-\frac{1}{8}} \left( \frac{1 - q}{1 + q^j} \right)^2 \vartheta_2(1, q). \end{aligned} \tag{2.46}$$

Note that the representation  $[2j + 1]$  appears first time at mass level  $q^j$  and the asymptotic formula reproduces the exact result up to the order  $q^{2j-1}$ .

Also the multiplicity generating functions of the Ramond sector are suitable for an expansion in terms of Regge trajectories:

$$\begin{aligned} M(\chi_{\text{R}}^{SO(3)}, [2j + 1], q) &= q^j \tau_1^{\text{R}}(q) - q^{2j} \tau_2^{\text{R}}(q) + q^{3j} \tau_3^{\text{R}}(q) - \dots \\ &= \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell j} \tau_{\ell}^{\text{R}}(q). \end{aligned} \tag{2.47}$$

The  $|j - m| = j - m$  asymptotics yield the following expressions for the  $\ell$ 'th Ramond trajectory  $\tau_{\ell}^{\text{R}}$ :

$$\tau_{\ell}^{\text{R}}(q) = (q; q)_{\infty}^{-3} q^{-\frac{1}{8}} (1 - q^{\ell}) \sum_{m=\frac{1}{2}}^{\infty} q^{\frac{1}{2}(m-\ell)^2} (1 - q^{m+\frac{1}{2}}) (1 - q^{2m+\ell}). \tag{2.48}$$

The stable pattern

The generating function of the stable pattern can be determined by taking the limit  $j \rightarrow \infty$ :

$$\lim_{j \rightarrow \infty} q^{-j} M(\chi_R^{SO(3)}, [2j + 1], q) = \tau_1^R(q) = (q; q)_\infty^{-3} q^{-1/8} (1 - q)^2 \vartheta_2(1, q) \tag{2.49}$$

$$\begin{aligned} &= 2 + 4q + 10q^2 + 24q^3 + 48q^4 + 96q^5 + 184q^6 + 336q^7 \\ &\quad + 600q^8 + 1048q^9 + 1784q^{10} + 2984q^{11} + 4912q^{12} + 7952q^{13} \\ &\quad + 12704q^{14} + 20048q^{15} + 31256q^{16} + O(q^{17}). \end{aligned} \tag{2.50}$$

Note that terms with low orders in the power series (2.50) are in agreement with the data presented in Table 6d of [8].

2.4. Bosonic partition function in  $d > 4$

The bosonic partition function in  $d = 2n + 2$  spacetime dimensions can be written as

$$\chi_B^{SO(2n+1)}(q, \mathbf{y}) = \text{PE} \left[ \left( [1, 0, \dots, 0]_{\mathbf{y}}^{SO(2n+1)} - 1 \right) \frac{q}{1 - q} \right], \tag{2.51}$$

where  $\mathbf{y} = (y_1, \dots, y_n)$  and the character of the vector representation  $[1, 0, \dots, 0]$  of  $SO(2n + 1)$  is given by (A.6). The  $2n = d - 2$  summands in  $[1, 0, \dots, 0]_{\mathbf{y}}^{SO(2n+1)} - 1 = \sum_{k=1}^n (y_k^2 + y_k^{-2})$  reflect the  $\partial X^i$  components outside the lightcone. Using (A.8), we see that this choice of character allows us to write

$$\begin{aligned} \chi_B^{SO(2n+1)}(q, \mathbf{y}) &= \text{PE} \left[ \frac{q}{1 - q} \sum_{k=1}^n ([2]_{y_k} - 1) \right] \\ &= \prod_{A=1}^n \chi_B^{SO(3)}(y_A). \end{aligned} \tag{2.52}$$

Observe that the  $(2n + 2)$ -dimensional partition function is simply a product of  $n$  copies of the four-dimensional partition function. From (2.8), we have

$$\begin{aligned} \chi_B^{SO(2n+1)}(q, \mathbf{y}) &= (q; q)_\infty^{-2n} \sum_{n \in \mathbb{Z}_+^n} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^n} \prod_{A=1}^n (-1)^{n_A - 1} (1 - q^{n_A})^2 q^{n_A k_A + \frac{1}{2} n_A (n_A - 1)} [2k_A]_{y_A} \end{aligned} \tag{2.53}$$

with  $\mathbb{Z}_+$  denoting the set of positive integers and  $\mathbb{Z}_{\geq 0} = \mathbb{Z}_+ \cup \{0\}$ . For our purpose of resolving the  $SO(2n + 1)$  content of the partition function, the aim is to rewrite (2.53) in the form

$$\chi_B^{SO(2n+1)}(q, \mathbf{y}) = \sum_{\lambda_1 \geq \dots \geq \lambda_n \geq 0} (\lambda_1, \dots, \lambda_n)_{\mathbf{y}} G_{\lambda_1, \dots, \lambda_n}^{B, SO(2n+1)}(q), \tag{2.54}$$

where the summations run over highest weight vectors  $\lambda := (\lambda_1, \dots, \lambda_n) \in \mathbb{Z}^n$  subject to inequalities  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ , see Appendix A for the conversion rule to Dynkin label notation  $[a_1, \dots, a_n]$ . Since (2.51) involves only the vector representation and the plethystic exponential generates symmetrizations of the representation, there is no spinor representation of  $SO(2n + 1)$  appearing in  $\chi_B^{SO(2n+1)}(q, \mathbf{y})$ ; therefore,

$$G_{\lambda_1 + \frac{1}{2}, \dots, \lambda_n + \frac{1}{2}}^{B, SO(2n+1)}(q) = 0, \quad \lambda_k \in \mathbb{Z}. \tag{2.55}$$

In general,  $G_{\lambda_1, \dots, \lambda_n}^{B, SO(2n+1)}(q)$  can be interpreted as a *generating function for the multiplicities* of the  $SO(2n + 1)$  representation  $(\lambda_1, \dots, \lambda_n)$  in the bosonic string partition function.



2.4.1. Some useful relations between  $SO(2n + 1)$  and  $SO(3)$  representations

In order to obtain compact formulae for the multiplicity generating functions  $G_{\lambda_1, \dots, \lambda_n}^{B, SO(2n+1)}(q)$ , we have to convert the  $SO(3)$  character products in (2.53) into a basis of  $(\lambda_1, \dots, \lambda_n)_y$ , i.e. we have to find the  $\Delta$  coefficients in the basis transformation

$$\prod_{A=1}^n [2k_A]_{y_A} = \sum_{\lambda_1 \geq \dots \geq \lambda_n \geq 0} \Delta(\lambda_1, \dots, \lambda_n; 2k_1, \dots, 2k_n)(\lambda_1, \dots, \lambda_n)_y. \tag{2.56}$$

In general, according to (5.10) of [8], it can be shown that the coefficients in this basis transformation are given by

$$\begin{aligned} \Delta(\lambda_1, \dots, \lambda_n; 2k_1, \dots, 2k_n) &:= \int d\mu_{SO(2n+1)}(\mathbf{y})(\lambda_1, \dots, \lambda_n)_y \prod_{A=1}^n [2k_A]_{y_A} \\ &= \frac{1}{n!} \sum_{\sigma_1, \sigma_2 \in S_n} \text{sgn}(\sigma_2) \prod_{A=1}^n \theta_{|\lambda_A - A + \sigma_2(A)|}^{2n + \lambda_A - A - \sigma_2(A)}(k_{\sigma_1(A)}) \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \det(\theta_{|\lambda_A - A + B|}^{2n + \lambda_A - A - B}(k_{\sigma(A)}))_{A, B=1}^n \end{aligned} \tag{2.57}$$

where the function  $\theta_m^n(k)$  is defined as

$$\theta_m^n(k) = \begin{cases} 1 & \text{if } m \leq k \leq n, \\ 0 & \text{otherwise.} \end{cases} \tag{2.58}$$

Note that for spinorial representations  $(\lambda_1 + \frac{1}{2}, \dots, \lambda_n + \frac{1}{2})$ , (2.57) vanishes identically:

$$\begin{aligned} \Delta\left(\lambda_1 + \frac{1}{2}, \dots, \lambda_n + \frac{1}{2}; 2k_1, \dots, 2k_n\right) &= 0, \\ \forall \lambda \in \mathbb{Z}^n \text{ and } \lambda_1 \geq \dots \geq \lambda_n \geq 0. \end{aligned} \tag{2.59}$$

Thus, Eq. (2.57) implies the following expansion rule for  $SO(3)$  character products in terms of  $SO(2n + 1)$  characters in Dynkin label notation:

$$\begin{aligned} \prod_{A=1}^n [2k_A]_{y_A} &= \sum_{\ell \in \mathbb{Z}_{\geq 0}^n} [\ell_1, \dots, \ell_{n-1}, 2\ell_n]_y \Delta(2k_1, \dots, 2k_n; \ell_1 + \ell_2 + \dots + \ell_n, \ell_2 + \dots + \ell_n, \dots, \ell_n). \end{aligned} \tag{2.60}$$

The inverse decomposition formula for an integer spin representation follows from the  $SO(2n + 1)$  Haar measure (A.10):

$$\begin{aligned} &[\ell_1, \dots, \ell_{n-1}, 2\ell_n]_y \\ &= \frac{1}{\rho(\mathbf{y})} \sum_{k \in \mathbb{Z}_{\geq 0}^n} \prod_{A=1}^n [2k_A]_{y_A} \Delta(\ell_1 + \ell_2 + \dots + \ell_n, \ell_2 + \dots + \ell_n, \dots, \ell_n; 2k_1, \dots, 2k_n), \end{aligned} \tag{2.61}$$

where  $\rho(\mathbf{y})$  is defined as in (A.11) and  $\ell = (\ell_1, \dots, \ell_n) \in \mathbb{Z}_{\geq 0}^n$ .

Similarly, one can convert spinorial  $SO(3)$  character products to  $SO(2n + 1)$  characters via

$$\begin{aligned} &\Delta(\lambda_1, \dots, \lambda_n; 2k_1 + 1, \dots, 2k_n + 1) \\ &:= \int d\mu_{SO(2n+1)}(\mathbf{y})(\lambda_1, \dots, \lambda_n)_y \prod_{A=1}^n [2k_A + 1]_{y_A} \\ &= \frac{1}{n!} \sum_{\sigma \in S_n} \det \left( \theta_{|\lambda_A - A + B|}^{2n + \lambda_A - A - B} \left( k_{\sigma(A)} + \frac{1}{2} \right) \right)_{A,B=1}^n. \end{aligned} \tag{2.62}$$

For integer spin representations of  $SO(2n + 1)$ , (2.62) vanishes identically:

$$\Delta(\lambda_1, \dots, \lambda_n; 2k_1 + 1, \dots, 2k_n + 1) = 0, \quad \forall \lambda \in \mathbb{Z}^n \text{ and } \lambda_1 \geq \dots \geq \lambda_n \geq 0. \tag{2.63}$$

We thus have the following decomposition for products of spinorial  $SO(3)$  characters

$$\begin{aligned} \prod_{A=1}^n [2k_A + 1]_{y_A} &= \sum_{\ell \in \mathbb{Z}_{\geq 0}^n} [\ell_1, \dots, \ell_{n-1}, 2\ell_n + 1]_y \\ &\quad \times \Delta \left( 2k_1 + 1, \dots, 2k_n + 1; \ell_1 + \ell_2 + \dots + \frac{1}{2}\ell_n, \ell_2 + \dots \right. \\ &\quad \left. + \frac{1}{2}\ell_n, \dots, \frac{1}{2}\ell_n \right), \end{aligned} \tag{2.64}$$

with inverse

$$\begin{aligned} [\ell_1, \dots, \ell_{n-1}, 2\ell_n + 1]_y &= \frac{1}{\rho(\mathbf{y})} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^n} \prod_{A=1}^n [2k_A + 1]_{y_A} \\ &\quad \times \Delta \left( \ell_1 + \ell_2 + \dots + \ell_n + \frac{1}{2}, \ell_2 + \dots + \ell_n + \frac{1}{2}, \dots, \ell_n \right. \\ &\quad \left. + \frac{1}{2}; 2k_1 + 1, \dots, 2k_n + 1 \right). \end{aligned} \tag{2.65}$$

**2.4.2. Generating function for the multiplicities**

According to (2.53), the bosonic spacetime partition function in  $2n + 2$  dimensions depends on Lorentz fugacities through the factor

$$\begin{aligned} &\sum_{k_1, \dots, k_n \geq 0} \Delta(\lambda_1, \dots, \lambda_n; 2k_1, \dots, 2k_n) q^{n_1 k_1 + \dots + n_n k_n} \\ &= \sum_{k_1, \dots, k_n \geq 0} \det \left( \theta_{|\lambda_A - A + B|}^{2n + \lambda_A - A - B} (k_A) \right)_{A,B=1}^n q^{n_1 k_1 + \dots + n_n k_n} \\ &= \det \left( \sum_{k_A \geq 0} \theta_{|\lambda_A - A + B|}^{2n + \lambda_A - A - B} (k_A) q^{n_A k_A} \right)_{A,B=1}^n. \end{aligned} \tag{2.66}$$

Let us apply this to (2.53) to compute  $G_{\lambda_1, \dots, \lambda_n}^{B, SO(2n+1)}(q)$ . For  $\lambda_1 \geq \dots \geq \lambda_n \geq n - 1$ , the argument in the absolute value is non-negative and so

$$\begin{aligned}
 & \sum_{k_1, \dots, k_n \geq 0} \Delta(\lambda_1, \dots, \lambda_n; 2k_1, \dots, 2k_n) q^{n_1 k_1 + \dots + n_n k_n} \\
 &= \prod_{A=1}^n q^{n_A(\lambda_A - A + 1)} \prod_{1 \leq B < C \leq n} (q^{n_C} - q^{n_B})(1 - q^{n_C + n_B}) \\
 & \text{for } \lambda_1 \geq \dots \geq \lambda_n \geq n - 1.
 \end{aligned} \tag{2.67}$$

It is pointed out by [8] and can be checked directly that the contribution from  $\lambda_n < n - 1$  to the bosonic string partition function is zero. Therefore, we have

$$\begin{aligned}
 G_{\lambda_1, \dots, \lambda_n}^{B, SO(2n+1)}(q) &= (q; q)_{\infty}^{-2n} \sum_{\mathbf{n} \in \mathbb{Z}_+^n} \prod_{A=1}^n (-1)^{n_A - 1} (1 - q^{n_A})^2 q^{n_A(\lambda_A - A + 1) + \frac{1}{2} n_A(n_A - 1)} \\
 & \times \prod_{1 \leq B < C \leq n} (q^{n_C} - q^{n_B})(1 - q^{n_C + n_B}),
 \end{aligned} \tag{2.68}$$

for all  $\lambda_1, \dots, \lambda_n \in \mathbb{Z}$  and  $\lambda_1 \geq \dots \geq \lambda_n \geq 0$ .

### 2.5. The contributions from the NS and R sectors in $d > 4$

The contribution from the NS sector can be obtained by taking a product of  $n$  copies of (2.25):

$$\begin{aligned}
 & \chi_{\text{NS}}^{SO(2n+1)}(q, \mathbf{y}) \\
 &= \prod_{A=1}^n \chi_{\text{NS}}^{SO(3)}(q; y_A) \\
 &= (q; q)_{\infty}^{-3n} \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^n} \sum_{\mathbf{n} \in \mathbb{Z}_+^n} \prod_{A=1}^n (-1)^{n_A + 1} (1 - q^{m_A + \frac{1}{2}})(1 - q^{n_A}) q^{\frac{1}{2}[n_A(n_A - 1) + m_A^2]} \\
 & \times \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^n} \prod_{A=1}^n (q^{n_A |k_A - m_A|} - q^{n_A(k_A + m_A + 1)}) [2k_A]_{y_A}.
 \end{aligned} \tag{2.69}$$

Similarly for the contribution from the R sector, the product of  $n$  copies of (2.42):

$$\begin{aligned}
 & \chi_{\text{R}}^{SO(2n+1)}(q, \mathbf{y}) \\
 &= \prod_{A=1}^n \chi_{\text{R}}^{SO(3)}(q; y_A) \\
 &= q^{-\frac{n}{8}} (q; q)_{\infty}^{-3n} \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^n} \sum_{\mathbf{n} \in \mathbb{Z}_+^n} \prod_{A=1}^n (-1)^{n_A + 1} (1 - q^{m_A + 1})(1 - q^{n_A}) q^{\frac{1}{2}[n_A(n_A - 1) + (m_A + \frac{1}{2})^2]} \\
 & \times \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^n} \prod_{A=1}^n (q^{n_A |k_A - m_A|} - q^{n_A(k_A + m_A + 2)}) [2k_A + 1]_{y_A}.
 \end{aligned} \tag{2.70}$$

The unrefined partition functions can be written as

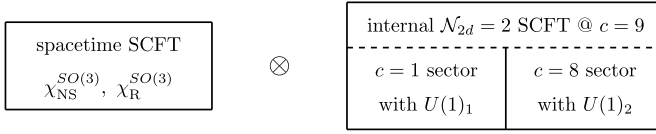


Fig. 3. Universal SCFT ingredients of  $\mathcal{N}_{4d} = 1$  scenarios.

$$\chi_{\text{NS}}^{SO(2n+1)}(q, \{y_i = 1\}) = q^{-n/8} \frac{\vartheta_3(1, q)^n}{\eta(q)^{3n}}, \tag{2.71}$$

$$\chi_{\text{R}}^{SO(2n+1)}(q, \{y_i = 1\}) = \frac{\vartheta_2(1, q)^n}{\eta(q)^{3n}}. \tag{2.72}$$

### 3. Internal SCFTs

The SCFT description of four- and six-dimensional string compactifications with  $\mathcal{N}_{4d} = 1$ ,  $\mathcal{N}_{4d} = 2$  or  $\mathcal{N}_{6d} = (1, 0)$  spacetime SUSY comprises universal sectors with enhanced  $\mathcal{N}_{2d} = 2, 4$  worldsheet SUSY [30–33]. The purpose of this section is to collect the associated charged characters, starting from the expressions given in [34,35] but adapting the dependence on fugacities  $s, x$  and  $z$  of the internal symmetries to the R symmetries of the spectrum.

#### 3.1. $\mathcal{N}_{2d} = 2$ worldsheet superconformal algebra at $c = 9$

The internal SCFT universal to any four-dimensional string compactification with  $\mathcal{N}_{4d} = 1$  spacetime SUSY enjoys  $\mathcal{N}_{2d} = 2$  worldsheet SUSY. The resulting model independent partition function receives contributions from characters of the  $\mathcal{N}_{2d} = 2$  superconformal algebra with central charge  $c = 9$ . Its representations are characterized by the conformal weight  $h$  and the  $U(1)$  charge  $\ell$  of their highest weight state. The representations needed to describe  $\mathcal{N}_{4d} = 1$  compactifications have  $(h, \ell) = (0, 0)$  in the NS sector and  $(h, \ell) = (\frac{3}{8}, \frac{3}{2})$  in the R sector.

The  $\mathcal{N}_{2d} = 2$  SCFT at  $c = 9$  can be split into two decoupled sectors, each of which enjoys a  $U(1)$  symmetry. The first one carries central charge  $c = 1$  and can be completely bosonized; let us denote the  $U(1)$  occurring in this sector by  $U(1)_1$  and its  $h = 1$  current by  $\mathcal{J}_1$ . In addition, there exists a second decoupled sector with  $c = 8$  which involves conformal primaries  $g^\pm$  of weight  $\frac{4}{3}$ , see e.g. [33]. It enjoys an independent  $U(1)_2$  under which the  $g^\pm$  have opposite charges. The  $c = 9$  supercurrent can be split into two components  $G_{\text{int}}^\pm$  that carry opposite charges under both  $U(1)_1$  and  $U(1)_2$  and factorize into conformal primaries of both sectors. The following Fig. 3 summarizes the decoupling SCFT ingredients.

Spacetime symmetries are generated by BRST invariant  $h = 1$  SCFT operators, and it turns out that only the current  $\mathcal{J}_1 + \mathcal{J}_2$  associated with the diagonal subgroup  $S(U(1)_1 \times U(1)_2)$  is BRST closed. Hence, only  $S(U(1)_1 \times U(1)_2)$  can take the role of the  $U(1)_R$  symmetry of the spectrum. Accordingly, we have to define the charged internal character with respect to the diagonal current  $\mathcal{J}_1 + \mathcal{J}_2$  to see the  $U(1)_R$  at the level of the partition function.<sup>7</sup>

<sup>7</sup> We cannot give a local representation of  $\mathcal{J}_2$  in terms of the  $g^\pm$  fields from the  $c = 8$  sector, but we can make its existence plausible through an analogy: The currents of the  $SO(d)$  Lorentz symmetry schematically read  $\psi^\mu \psi^\nu + X^{[\mu} \partial X^{\nu]}$ . Even though  $X^\mu$  itself is not a conformal field involved in the construction of the spectrum, the product  $X^{[\mu} \partial X^{\nu]}$  is inevitable to form a BRST invariant completion of the  $h = 1$  primary  $\psi^\mu \psi^\nu$ . The addition of  $X^{[\mu} \partial X^{\nu]}$  for the sake of BRST closure is the spacetime SCFT analogue of the  $\mathcal{J}_2$  current.

We denote the fugacity for charge under the  $S(U(1)_1 \times U(1)_2) \cong U(1)_R$  subgroup by  $s$ . On the level of the charged characters, this leads to a different fugacity dependence compared to (3.15)<sup>8</sup> of [34] where the internal charge is defined through the  $\mathcal{J}_1$  eigenvalue rather than the  $\mathcal{J}_1 + \mathcal{J}_2$  eigenvalue.<sup>9</sup> For instance, the supercurrent components are products of operators from both sectors, so  $G_{\text{int}}^{\pm}$  are charged under both  $U(1)_1$  and  $U(1)_2$  but neutral under the diagonal subgroup  $S(U(1)_1 \times U(1)_2)$ . The  $\chi_{\text{NS,R}}^{SO(3)}$  factors in the following character formulae are due to the oscillator modes of the stress energy tensor, the internal current and the supercurrents.  $U(1)_R$  neutrality of the latter forbids an  $s$  dependence at this point and sets the second argument of the  $\chi_{\text{NS,R}}^{SO(3)}$  characters to unity.

### 3.1.1. The NS sector

The internal character in this sector is given by

$$\begin{aligned} \chi_{\text{NS},h=0,\ell=0}^{\mathcal{N}_{2d}=2,c=9}(q; s) &= (1 - q) \chi_{\text{NS}}^{SO(3)}(q, 1) \sum_{p \in \mathbb{Z}} \frac{q^{p^2+p-\frac{1}{2}} s^{2p}}{(1 + q^{p-\frac{1}{2}})(1 + q^{p+\frac{1}{2}})} \\ &= (q; q)_{\infty}^{-3} (1 - q) \vartheta_3(1, q) \sum_{p \in \mathbb{Z}} \frac{q^{p^2+p-\frac{1}{2}} s^{2p}}{(1 + q^{p-\frac{1}{2}})(1 + q^{p+\frac{1}{2}})} \\ &= 1 + q + (2 + s_2)q^{3/2} + (3 + s_2)q^2 + (4 + s_2)q^{5/2} + (6 + 2s_2)q^3 \\ &\quad + (10 + 4s_2)q^{7/2} + (15 + 6s_2)q^4 + (20 + 8s_2)q^{9/2} \\ &\quad + (28 + 12s_2)q^5 + (42 + 19s_2 + s_4)q^{11/2} \\ &\quad + (59 + 27s_2 + 2s_4)q^6 + (78 + 36s_2 + 2s_4)q^{13/2} \\ &\quad + (107 + 51s_2 + 3s_4)q^7 + O(q^{15/2}), \end{aligned} \tag{3.1}$$

where we have introduced the notation

$$s_n = \begin{cases} s^n + s^{-n}, & n > 0, \\ 1, & n = 0 \end{cases} \tag{3.2}$$

to compactly represent the fugacity dependence.

The *unrefined* internal character (i.e. setting  $s$  to unity) can be rewritten in terms of modular functions as follows:

$$\chi_{\text{NS},h=0,\ell=0}^{\mathcal{N}_{2d}=2,c=9}(q; s = 1) = q^{1/8} \frac{\vartheta_3(1, q)}{\eta(q)^3} [\vartheta_3(1, q^2) - q^{1/4} \vartheta_2(1, q^2)]. \tag{3.3}$$

<sup>8</sup> The R sector analogue of the NS character (3.15) is not explicitly displayed in [34] but must be inferred through spectral flow.

<sup>9</sup> The author of [34] denotes by  $z$  the fugacity of charge under  $U(1)_1$ . For us, it makes sense to rescale the units of internal charge by  $3/2$  which amounts to the correspondence  $s \leftrightarrow z^{3/2}$  (in addition to the aforementioned inclusion of  $\mathcal{J}_2$ ). Moreover, the character in (3.15) of [34] is defined as the trace over  $q^{L_0-c/24}$ , with  $c = 9$ , instead of  $q^{L_0}$ . The reason we consider the latter is because we are dealing with critical string theories, and so the total central charge of all matter and (super) ghost sectors taken together vanishes; this explains the presence of  $q^{-9/24}$  factor in (3.15) of [34] but not in (3.1).

### 3.1.2. The R sector

The internal character in this sector is given by

$$\begin{aligned}
 \chi_{R,h=3/8,\ell=3/2}^{\mathcal{N}_{2d}=2,c=9}(q; s) &= (1-q)\chi_R^{SO(3)}(q, 1) \sum_{p \in \mathbb{Z}} \frac{q^{p^2-1} s^{2p-1}}{(1+q^p)(1+q^{p-1})} \\
 &= (q; q)_{\infty}^{-3} (1-q) \vartheta_2(1, q) \sum_{p \in \mathbb{Z}} \frac{q^{p^2-\frac{9}{8}} s^{2p-1}}{(1+q^p)(1+q^{p-1})} \\
 &= s_1 + 2s_1 q + 6s_1 q^2 + (2s_3 + 14s_1) q^3 + (4s_3 + 30s_1) q^4 \\
 &\quad + (10s_3 + 62s_1) q^5 + (24s_3 + 122s_1) q^6 + (50s_3 + 230s_1) q^7 \\
 &\quad + O(q^8). \tag{3.4}
 \end{aligned}$$

The unrefined internal character can be rewritten in terms of modular functions as

$$\chi_{R,h=3/8,\ell=3/2}^{\mathcal{N}_{2d}=2,c=9}(q; s=1) = q^{-1/4} \frac{\vartheta_2(1, q)}{\eta(q)^3} [\vartheta_2(1, q^2) - q^{1/4} \vartheta_3(1, q^2)]. \tag{3.5}$$

### 3.1.3. Some features

Let us discuss some properties of the above internal characters.

- The units of  $U(1)_R$  charge are normalized such that all integer powers of  $s$  occur. According to the infinite sums within (3.1) and (3.4), even powers  $s_{2p}$  firstly occur along with  $q^{p^2+p-1/2}$ , i.e. in the NS sector at mass level  $p^2 + p - 1$ . Odd powers  $s_{2p-1}$  of the  $U(1)_R$  fugacity, on the other hand, firstly show up at power  $q^{p^2-5/8}$ , i.e. in the R sector at mass level  $p^2 - 1$ .<sup>10</sup>
- The unrefined internal R character (3.5) can be derived from the NS counterpart (3.3) by exchanging  $\vartheta_2$  and  $\vartheta_3$  and multiplying by an overall factor  $q^{-3/8}$ .
- In contrast to their cousins in [34], the charged characters (3.1) and (3.4) of the NS and R sector are not related by spectral flow because the internal fugacity  $s$  is defined through the  $U(1)_R$  symmetry current  $\mathcal{J}_1 + \mathcal{J}_2$  and not through the bosonizable  $U(1)_1$  current  $\mathcal{J}_1$ .
- Both of the unrefined internal characters (3.3) and (3.5) are *not* modular invariant. This can be seen from the modular transformation  $q \mapsto \tilde{q} = e^{-2\pi i/\tau}$ ,

$$\begin{aligned}
 \vartheta_2(1, \tilde{q}) &= \vartheta_4(1, q) \sqrt{-i\tau}, & \eta(\tilde{q}) &= \eta(q) \sqrt{-i\tau}, \\
 \vartheta_3(1, \tilde{q}) &= \vartheta_3(1, q) \sqrt{-i\tau}. \tag{3.6}
 \end{aligned}$$

<sup>10</sup> The onset of the  $s_m$  at  $q$  power  $q^{\frac{1}{4}m^2 + \frac{1}{2}m + const}$  might seem counterintuitive in view of the bosonized operators  $e^{\pm \frac{i}{2}\sqrt{3}mH}$  (with  $H$  a free boson) which contribute  $s_m q^{\frac{3}{8}m^2}$  to the character. The mismatch between the  $q$  exponents  $\frac{1}{4}m^2 + \frac{1}{2}m$  and  $\frac{3}{8}m^2$  is caused by the fact that generic contributions to  $s_m$  at fixed  $U(1)_R \cong S(U(1)_1 \times U(1)_2)$  charge stem from composite fields with both  $U(1)_1$  and  $U(1)_2$  charges. The operator of lowest conformal weight along with some  $s_{m \geq 3}$  is charged under both  $U(1)_1$  and  $U(1)_2$ . Since the internal fugacities in [34] only count  $U(1)_1$  charges and are insensitive to  $U(1)_2$ , the leading  $q$  power associated with some  $s_m$  in the characters of the reference can be directly traced back to the aforementioned operators  $e^{\pm \frac{i}{2}\sqrt{3}mH}$ .

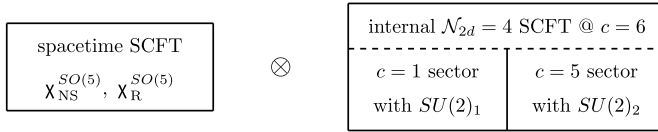


Fig. 4. Universal SCFT ingredients of  $\mathcal{N}_{6d} = (1, 0)$  scenarios.

### 3.2. $\mathcal{N}_{2d} = 4$ worldsheet superconformal algebra at $c = 6$

The existence of eight supercharges in four or six-dimensional spacetime implies that the universal part of the internal SCFT contains a sector with central charge  $c = 6$ , enhanced  $\mathcal{N}_{2d} = 4$  worldsheet SUSY and  $SU(2)$  Kac–Moody symmetry at level 1. The  $c = 6$  representations contributing to the NS sector and R sector of  $\mathcal{N}_{4d} = 2$  and  $\mathcal{N}_{6d} = (1, 0)$  spectra are characterized by values  $(h, \ell) = (0, 0)$  and  $(h, \ell) = (\frac{1}{4}, \frac{1}{2})$ , respectively, of the conformal weight  $h$  and the spin  $\ell$  with respect to the  $SU(2)$  Kac–Moody symmetry.

The  $c = 6$  SCFT is governed by  $\mathcal{N}_{2d} = 4$  worldsheet SUSY and  $SU(2)$  Kac–Moody symmetry at level  $k = 1$ . In the notation of [33], the supercurrent components are built from two spin fields  $\lambda^{1,2}$  of conformal weight  $\frac{1}{4}$  which form a doublet under the  $SU(2)_1$  Kac–Moody currents  $\mathcal{J}^{A=1,2,3}$  and additional weight  $\frac{5}{4}$  fields  $g_{1,2}$  which decouple from the  $\mathcal{J}^A$ . The  $g_{1,2}$  form a doublet under another  $SU(2)_2$  which is embedded into the SCFT sector decoupling from  $\mathcal{J}^A$ . Fig. 4 summarizes the mutually decoupling SCFT sectors involved in  $\mathcal{N}_{6d} = (1, 0)$  compactifications:

We shall use charged characters in the following where the fugacity  $r$  is defined with respect to the diagonal subgroup within the two decoupling  $SU(2)$ ’s acting on the  $\lambda^{1,2}$  and  $g_{1,2}$  doublets. In other words, the insertion into the character trace is the BRST invariant sum of the two  $SU(2)_{1,2}$  Cartan generators associated with the  $SU(2)_R$  symmetry of the spectrum. This makes sure that the diagonal component  $\lambda^1 g_1 + \lambda^2 g_2$  of the supercurrent is a singlet of the diagonal  $SU(2)$ , as required by the BRST invariance. The character formulae (21) and (22) in [35]<sup>11</sup> are therefore slightly modified in their  $r$  dependence.

#### 3.2.1. The NS sector

The internal character in this sector is given by

$$\begin{aligned}
 \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(q; r) &= \chi_{\text{NS}}^{SO(3)}(q, 1) \sum_{m \in \mathbb{Z}} q^{\frac{1}{2}m^2 + \frac{1}{4}r^2 m} \frac{q^{m-\frac{1}{2}} - r^{-2}}{1 + q^{m-\frac{1}{2}}} \\
 &= (q; q)_{\infty}^{-3} \vartheta_3(1, q) \sum_{k=0}^{\infty} [2k]_r \frac{(1-q)(1-q^{k+\frac{1}{2}})}{(1+q^{k-\frac{1}{2}})(1+q^{k+\frac{3}{2}})} q^{\frac{1}{2}k^2 + k - \frac{1}{2}} \\
 &= [0]_r + [2]_r q + ([2]_r + [0]_r) q^{3/2} + ([2]_r + 2[0]_r) q^2 \\
 &\quad + (2[2]_r + 2[0]_r) q^{5/2} + (4[2]_r + 2[0]_r) q^3 \\
 &\quad + ([4]_r + 5[2]_r + 4[0]_r) q^{7/2} + (2[4]_r + 6[2]_r + 7[0]_r) q^4 \\
 &\quad + (2[4]_r + 10[2]_r + 8[0]_r) q^{9/2} + (3[4]_r + 16[2]_r + 9[0]_r) q^5 \\
 &\quad + (6[4]_r + 21[2]_r + 15[0]_r) q^{11/2} + (9[4]_r + 27[2]_r + 23[0]_r) q^6
 \end{aligned}$$

<sup>11</sup> Note that the sign in the second pair of brackets in the numerator of Eq. (24) of [35] should be +.

$$\begin{aligned}
& + (12[4]_r + 39[2]_r + 27[0]_r)q^{13/2} \\
& + ([6]_r + 17[4]_r + 56[2]_r + 33[0]_r)q^7 + O(q^{15/2}).
\end{aligned} \tag{3.7}$$

The unrefined internal character for the NS sector can be written as

$$\chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(q; r=1) = q^{1/8} \frac{\vartheta_3(1, q)^2}{\eta(q)^3} \left[ 1 - 2iq^{1/8} \mu\left(\frac{1+\tau}{2}, \tau\right) \right], \tag{3.8}$$

where  $\mu(u, \tau)$  is an Appell–Lerch sum defined in (A.20); for our purpose, we have<sup>12</sup>

$$\mu\left(\frac{1+\tau}{2}, \tau\right) = -\frac{i}{\vartheta_3(1, q)} \sum_{m \in \mathbb{Z}} \frac{q^{\frac{1}{2}m^2 - \frac{1}{8}}}{1 + q^{m - \frac{1}{2}}}, \tag{3.9}$$

where we have used the fact that  $\vartheta_1(e^{2\pi i(1+\tau)/2}, q) = q^{-1/8} \vartheta_3(1, q)$ .

### 3.2.2. The R sector

The internal character in this sector is given by

$$\begin{aligned}
\chi_{\text{R}, h=\frac{1}{4}, \ell=\frac{1}{2}}^{\mathcal{N}_{2d}=4, c=6}(q; r) & = \chi_{\text{R}}^{\text{SO}(3)}(q, 1) \sum_{m \in \mathbb{Z}} r^{2m+1} \frac{q^m - r^{-2}}{1 + q^m} q^{\frac{1}{2}m^2 + \frac{1}{2}m} \\
& = q^{-\frac{1}{8}}(q; q)_{\infty}^{-3} \vartheta_2(1, q) \sum_{k=0}^{\infty} [2k+1]_r \frac{(1-q)(1-q^{k+1})}{(1+q^k)(1+q^{k+2})} q^{\frac{1}{2}k^2 + \frac{3}{2}k} \\
& = [1]_r + 2[1]_r q + (2[3]_r + 4[1]_r)q^2 + (4[3]_r + 10[1]_r)q^3 \\
& \quad + (10[3]_r + 20[1]_r)q^4 + (2[5]_r + 22[3]_r + 38[1]_r)q^5 \\
& \quad + (6[5]_r + 44[3]_r + 72[1]_r)q^6 + (14[5]_r + 86[3]_r + 130[1]_r)q^7 \\
& \quad + O(q^8).
\end{aligned} \tag{3.10}$$

The unrefined internal character for the R sector can be written as

$$\begin{aligned}
\chi_{\text{R}, h=\frac{1}{4}, \ell=\frac{1}{2}}^{\mathcal{N}_{2d}=4, c=6}(q; r=1) & = \frac{\vartheta_2(1, q)}{\eta(q)^3} \sum_{m \in \mathbb{Z}} \left( \frac{q^m - 1}{1 + q^m} \right) q^{\frac{1}{2}m(m+1)} \\
& = \frac{\vartheta_2(1, q)}{\eta(q)^3} \sum_{m \in \mathbb{Z}} \left[ \left( 1 - \frac{2}{1 + q^m} \right) q^{\frac{1}{2}m(m+1)} \right] \\
& = q^{-1/8} \frac{\vartheta_2(1, q)^2}{\eta(q)^3} [1 - 2iq^{1/8} \mu(1/2, \tau)],
\end{aligned} \tag{3.11}$$

where we have<sup>13</sup>

$$\mu(1/2, \tau) = -\frac{i}{\vartheta_2(1, q)} \sum_{m \in \mathbb{Z}} \frac{q^{\frac{1}{2}m(m+1)}}{1 + q^m}, \tag{3.12}$$

where we have used the fact that  $\vartheta_1(-1, q) = \vartheta_2(1, q)$ .

<sup>12</sup> This function is also closely related to the function  $h_3(q)$  introduced in [35–37].

<sup>13</sup> This function is also closely related to the function  $h_2(q)$  introduced in [35–37].



Some features

- According to [Appendix A](#), characters  $[n]_r$  of  $SU(2)_R$  follow the same highest weight notation as for  $SO(3)$ , i.e. we have  $[1]_r = r + r^{-1}$  for the fundamental representation and  $[n]_r = \sum_{k=-n/2}^{+n/2} r^{2k}$  in the general spin  $n/2$  case. Again, the infinite sum representations allow to read off the lowest level where individual  $SU(2)_R$  representations contribute: Integer spin representations  $[2k]_r$  firstly occur at power  $q^{k^2/2+k-1/2}$ , i.e. at mass level<sup>14</sup>  $[k^2/2 + k - 1/2]$ . Spinorial representations  $[2k + 1]_r$ , on the other hand, firstly show up at  $q^{k^2/2+3k/2-1/4}$ , i.e. at mass level  $k(k + 3)/2$ .<sup>15</sup>
- Observe that the unrefined internal characters in both NS and R sectors involve Appell–Lerch sums, which are mock modular forms. Since the characters are holomorphic in  $q$ , it is immediate that they are *not* modular invariant. Also, as before in the  $\mathcal{N}_{2d} = 2$  SCFT, the relation between NS and R characters through spectral flow is absent due to the adaption of the internal fugacity to the  $SU(2)_R$  symmetry.

4. Spectrum in  $\mathcal{N}_{4d} = 1$  supersymmetric compactifications

This section opens up the main body of this work where the SCFT ingredients introduced so far are applied to counting universal super-Poincaré multiplets in the perturbative string spectrum.<sup>16</sup> We start with the phenomenologically relevant and mathematically most tractable  $\mathcal{N}_{4d} = 1$  supersymmetric scenario. Its SCFT description requires the internal sector with enhanced  $\mathcal{N}_{2d} = 2$  worldsheet SUSY introduced in Section 3.1, independently on the compactification details. The BRST invariant completion of the internal current takes the role of the  $U(1)_R$  symmetry generator. Lorentz quantum numbers enter through the partition functions (2.25) and (2.42) of the spacetime SCFT for the  $\partial X^\mu$  and  $\psi^\mu$  oscillators, expressed in terms of characters of the massive little group  $SO(3)$  in four dimension.

The universal part of the  $\mathcal{N}_{4d} = 1$  spectrum is built from both spacetime oscillators and internal operators. On the level of its partition function  $\chi^{\mathcal{N}_{4d}=1}(q; y, s)$ , this amounts to forming a GSO projected product of NS and R characters from the spacetime- and internal SCFT, see (3.1) and (3.4) for the latter. In a power series expansion in  $q$ , the coefficient of the  $n$ 'th power  $q^n$  comprises characters for the  $\mathcal{N}_{4d} = 1$  super-Poincaré multiplets occurring at the  $n$ 'th mass level with  $m^2 = n/\alpha'$ . The aforementioned massive supercharacters are functions of  $SO(3)$  fugacity  $y$  and  $U(1)_R$  fugacity  $s$ .

The fundamental  $\mathcal{N}_{4d} = 1$  multiplet<sup>17</sup> consists of 2 real bosonic degrees of freedom and a Majorana fermion with 2 real fermionic on-shell degrees of freedom after taking the Dirac equation into account, see e.g. [38]. The two real bosonic degrees of freedom can be complexified

<sup>14</sup> The floor function  $[\cdot]$  picks out the nearest integer smaller than or equal to its argument.

<sup>15</sup> The lowest  $q$  power along with some  $SU(2)_R$  representation  $[n]_r$  is generically caused by an operator charged under both  $SU(2)_1$  and  $SU(2)_2$ . That is why one cannot identify these leading  $q$  exponents with the conformal dimension of a simple CFT operator such as an exponential  $e^{\pm i q H}$ , see the footnote at the end of Section 3.1.

<sup>16</sup> The methods within this work are adapted to the representatives of physical states in the canonical superghost pictures: After stripping off the superghost contributions  $e^{q\phi}$  from the  $h = 1$  vertex operators (with  $q = -1$  and  $h[e^{-\phi}] = \frac{1}{2}$  in the NS sector as well as  $q = -\frac{1}{2}$  and  $h[e^{-\phi/2}] = \frac{3}{8}$  in the R sector), this amounts to counting operators in the matter part of the SCFTs with weight  $h = \frac{1}{2}$  in the NS sector and  $h = \frac{3}{8}$  in the R sector.

<sup>17</sup> As we shall see below, the fundamental multiplet does not appear on its own in both massless and massive spectra. Representations appearing in the massive spectrum arise from certain non-trivial products with the fundamental multiplet.

to yield a complex scalar and its complex conjugate; they transform as a singlet under the little group  $SO(3)$  and each of them carries opposite R-charges  $+1$  and  $-1$ . On the other hand, the two real fermionic degrees of freedom transform as a doublet under the little group  $SO(3)$  and each of them carries zero R-charge. Thus, these  $2 + 2$  states yield the character

$$Z(\mathcal{N}_{4d} = 1) = [1]_y + (s + s^{-1}). \tag{4.1}$$

Any other massive representation of  $\mathcal{N}_{4d} = 1$  super-Poincaré is specified by the little group  $SO(3)$  quantum number  $n$  and the  $U(1)_R$  charge  $Q$  of its highest weight state or Clifford vacuum. Its  $SO(3) \times U(1)_R$  constituents follow from a tensor product:

$$\begin{aligned} \llbracket n, Q \rrbracket &:= Z(\mathcal{N}_{4d} = 1) \cdot s^Q [n]_y = s^Q [n]_y ([1]_y + (s + s^{-1})) \\ &= \begin{cases} s^Q ([n + 1] + (s + s^{-1})[n] + [n - 1]) & \text{for } n \geq 1, \\ s^Q ([1] + (s + s^{-1})[0]) & \text{for } n = 0. \end{cases} \end{aligned} \tag{4.2}$$

The super-Poincaré character  $\llbracket n, Q \rrbracket$  corresponds to  $4(n + 1)$  states of spin  $\frac{n+1}{2}, \frac{n}{2}$  and (if  $n \neq 0$ )  $\frac{n-1}{2}$  that can be generated from a Clifford vacuum with spin  $n/2$  and  $U(1)_R$  charge  $Q + 1$ .<sup>18</sup> Note that  $Q$  is even whenever the maximum spin quantum number  $n + 1$  is.

In this setting, we find the (GSO projected)  $\mathcal{N}_{4d} = 1$  partition function

$$\chi^{\mathcal{N}_{4d}=1}(q; y, s) := \chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q; y, s) + \chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q; y, s), \tag{4.3}$$

where GSO projection removes half odd integer mass levels  $\alpha' m^2 \in \mathbb{Z} - \frac{1}{2}$  from the NS sector and interlocks spacetime chirality with  $U(1)_R$  charges in the R sector. We can capture this projection through<sup>19</sup>:

$$\begin{aligned} \chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q) &= \frac{1}{2} q^{-\frac{1}{2}} [\chi_{\text{NS}}^{SO(3)}(q; y) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=2, c=9}(q; s) - \chi_{\text{NS}}^{SO(3)}(e^{2\pi i} q; y) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=2, c=9}(e^{2\pi i} q; s)], \\ \chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q) &= \frac{1}{2} \chi_{\text{R}}^{SO(3)}(q; y) \chi_{\text{R}, h=3/8, \ell=3/2}^{\mathcal{N}_{2d}=2, c=9}(q; s). \end{aligned} \tag{4.4}$$

In order to compactly represent the leading terms in a power series expansion of the partition function  $\chi^{\mathcal{N}_{4d}=1}$ , let us introduce the shorthand

$$\llbracket n, \pm Q \rrbracket := \begin{cases} \llbracket n, +Q \rrbracket + \llbracket n, -Q \rrbracket, & Q \neq 0, \\ \llbracket n, 0 \rrbracket, & Q = 0 \end{cases} \tag{4.5}$$

which exploits that  $U(1)_R$  charges  $\pm Q$  always appear on symmetric footing. The pairing of supermultiplets with opposite (non-zero)  $U(1)_R$  charges combines Majorana fermions as they

<sup>18</sup> In this terminology, the first label of  $\llbracket n, Q \rrbracket$  refers to the average spin of the  $SO(3)$  irreducibles. We deviate from the common practice that supermultiplets are referred to through the highest spin therein. The supercharacter  $\llbracket 3, 0 \rrbracket = [4] + [2] + (s + s^{-1})[3]$ , for instance, describes  $U(1)_R$  neutral bosons of spin two and one, and two massive gravitinos of opposite  $U(1)_R$  charges.

<sup>19</sup> The formula for the GSO projected R sector is reliable for positive powers  $q^{\geq 1}$  only and inaccurate at the massless level: The coefficient of  $q^0$  in  $\chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}$  is  $\frac{1}{2}(y + y^{-1})(s + s^{-1})$  instead of the desired value  $ys + (ys)^{-1}$ . One can just add to the former  $\frac{1}{2}(y - y^{-1})(s - s^{-1})$  to compensate this mismatch. This artifact of the mismatch between massive and massless little groups does not affect the main focus our analysis – the massive particle content. Indeed, the character  $ys$  corresponds to the left-handed gaugino and the character  $(ys)^{-1}$  corresponds to the right-handed gaugino; they carry opposite R-charge  $+1$  and  $-1$  and opposite helicities  $+1/2$  and  $-1/2$ .

Table 2

The content of the first eight  $\mathcal{N}_{4d} = 1$  levels.

$\alpha' m^2$	Representations of $\mathcal{N}_{4d} = 1$ super-Poincaré
1	$[[3, 0]] + [[0, \pm 1]]$
2	$[[5, 0]] + [[3, 0]] + 2[[2, \pm 1]] + 2[[1, 0]]$
3	$[[7, 0]] + [[5, 0]] + 3[[4, \pm 1]] + 5[[3, 0]] + 2[[2, \pm 1]] + [[1, \pm 2]] + 5[[1, 0]] + 3[[0, \pm 1]]$
4	$[[9, 0]] + [[7, 0]] + 3[[6, \pm 1]] + 7[[5, 0]] + 4[[4, \pm 1]] + 2[[3, \pm 2]] + 12[[3, 0]] + 11[[2, \pm 1]] + 2[[1, \pm 2]] + 12[[1, 0]] + 3[[0, \pm 1]]$
5	$[[11, 0]] + [[9, 0]] + 3[[8, \pm 1]] + 7[[7, 0]] + 5[[6, \pm 1]] + 2[[5, \pm 2]] + 17[[5, 0]] + 18[[4, \pm 1]] + 6[[3, \pm 2]] + 31[[3, 0]] + 20[[2, \pm 1]] + 6[[1, \pm 2]] + 28[[1, 0]] + [[0, \pm 3]] + 15[[0, \pm 1]]$
6	$[[13, 0]] + [[11, 0]] + 3[[10, \pm 1]] + 7[[9, 0]] + 5[[8, \pm 1]] + 2[[7, \pm 2]] + 19[[7, 0]] + 21[[6, \pm 1]] + 8[[5, \pm 2]] + 45[[5, 0]] + 39[[4, \pm 1]] + 15[[3, \pm 2]] + 72[[3, 0]] + 3[[2, \pm 3]] + 58[[2, \pm 1]] + 17[[1, \pm 2]] + 64[[1, 0]] + 21[[0, \pm 1]]$
7	$[[15, 0]] + [[13, 0]] + 3[[12, 1]] + 7[[11, 0]] + 5[[10, 1]] + 2[[9, 2]] + 19[[9, 0]] + 22[[8, 1]] + 8[[7, 2]] + 51[[7, 0]] + 49[[6, 1]] + 22[[5, 2]] + 108[[5, 0]] + 4[[4, 3]] + 105[[4, 1]] + 43[[3, 2]] + 166[[3, 0]] + 5[[2, 3]] + 115[[2, 1]] + 38[[1, 2]] + 136[[1, 0]] + 6[[0, 3]] + 66[[0, 1]]$
8	$[[17, 0]] + [[15, 0]] + 3[[14, 1]] + 7[[13, 0]] + 5[[12, 1]] + 2[[11, 2]] + 19[[11, 0]] + 22[[10, 1]] + 8[[9, 2]] + 53[[9, 0]] + 52[[8, 1]] + 24[[7, 2]] + 125[[7, 0]] + 4[[6, 3]] + 135[[6, 1]] + 62[[5, 2]] + 254[[5, 0]] + 10[[4, 3]] + 223[[4, 1]] + 101[[3, 2]] + 357[[3, 0]] + 21[[2, 3]] + 274[[2, 1]] + [[1, 4]] + 89[[1, 2]] + 289[[1, 0]] + 7[[0, 3]] + 112[[0, 1]]$

appear in the fundamental multiplet (4.1) to Dirac fermions. The content of the first  $\mathcal{N}_{4d} = 1$  levels reads

$$\begin{aligned}
 \chi^{\mathcal{N}_{4d}=1}(q; y, s) = & \underbrace{\left( y^2 + y^{-2} + \frac{1}{2}(y + y^{-1})(s + s^{-1}) \right)}_{4 \text{ massless states}} q^0 + \underbrace{([[3, 0]] + [[0, \pm 1]])}_{24 \text{ states at level 1}} q \\
 & + \underbrace{([[5, 0]] + [[3, 0]] + 2[[2, \pm 1]] + 2[[1, 0]])}_{104 \text{ states at level 2}} q^2 \\
 & + ([[7, 0]] + [[5, 0]] + 3[[4, \pm 1]] + 5[[3, 0]] + 2[[2, \pm 1]] \\
 & + [[1, \pm 2]] + 5[[1, 0]] + 3[[0, \pm 1]]) q^3 + \mathcal{O}(q^4), \tag{4.6}
 \end{aligned}$$

subleading orders up to mass level eight are summarized in Table 2. The explicit form of the vertex operators at mass level one<sup>20</sup> can be found in Section 5 of [33] (Eqs. (5.3) to (5.6) for bosons and Eqs. (5.14) to (5.18) for fermions) in the RNS framework, and Refs. [39,40] provide their superspace description.

Character multiplicities up to mass level  $\alpha' m^2 = 25$  are gathered in Table 3 and in the tables of Appendix B.1.

<sup>20</sup> Let us discuss about the states at the first mass level. The 24 total states consist of the following multiplets:

(1) *The massive spin 3/2 multiplet*  $[[3, 0]]$ : it contains a massive spin 2 field with 5 on-shell degrees of freedoms (OSDOFs), a massive spin 1 field with 3 OSDOFs, a massive spin 3/2 field with 4 OSDOFs, and a Dirac fermion with 4 OSDOFs; so we have 8 + 8 real OSDOFs in total

(2) *The massive spin 0 multiplet*  $[[0, \pm 1]]$ : the two constituents  $[[0, 1]]$  and  $[[0, -1]]$  of the massive scalar multiplet correspond to two massless chiral fields,  $\Phi$  and  $\tilde{\Phi}$  (not complex conjugate to each other) at  $Q = \pm 1$ . The opposite  $Q$ -charges are necessary to form an invariant mass term  $\Phi \tilde{\Phi}$  in the superpotential. This multiplet contains 4 + 4 real OSDOFs coming from two complex scalars plus two Majorana fermions; the latter are equivalent to one massive Dirac fermion. Note that the spin 0 multiplet is also referred to as two spin 1/2 multiplets in [33].

#### 4.1. The total number of states at a given mass level

In this subsection, we focus on the total number of states present at a given mass level and derive the novel asymptotic formula (4.17). These numbers can indeed be obtained by adding up the dimensions of representations presented in Table 2. Our aim here is to compute such numbers analytically and asymptotically for large mass levels.

The starting point is the unrefined partition function obtained by setting the fugacities  $y$  and  $s$  in (4.3) to unity. The total number of states  $N_m$  at the mass level  $m$  can be read off from the coefficient of  $q^m$  in the power series of  $\chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1)$ .

Supersymmetry implies that

$$\chi_{\text{NS}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y=1, s=1) = \chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y=1, s=1). \quad (4.7)$$

which can, of course, be checked directly using (4.4), (2.27), (2.44), (3.3) and (3.5). Since the formula for the R sector is simpler, we proceed from there.

$$\begin{aligned} \chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1) &= 2\chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y=1, s=1) \\ &= \chi_{\text{R}}^{\text{SO}(3)}(q, y=1)\chi_{\text{R}, h=3/8, \ell=3/2}^{\mathcal{N}_{2d}=2, c=9}(q; s=1) \\ &= q^{-1/4} \frac{\vartheta_2(1, q)^2}{\eta(q)^6} [\vartheta_2(1, q^2) - q^{1/4}\vartheta_3(1, q^2)]. \end{aligned} \quad (4.8)$$

Indeed, the power series of  $\chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1)$  in  $q$  reproduces the numbers presented in the first column of Table 1. We mention in passing that  $\chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1)$  is *not* a modular form.

##### 4.1.1. The number of states at each mass level and its asymptotics

The number of states at the mass level  $m$  can be computed from

$$N_m = \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{dq}{q^{m+1}} \chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1), \quad (4.9)$$

where  $\mathcal{C}$  is a contour around the origin.

Let us compute the number of states  $N_m$  in the limit  $m \rightarrow \infty$ . Since the integrand of (4.9) is sharply peaked near  $q=1$ , we need to examine the behavior of  $\chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1)$  as  $q \rightarrow 1^-$ . The  $q \rightarrow 1^-$  regime in question is related to the easily accessible  $q \rightarrow 0$  limit

$$\eta(q) \sim q^{1/24}, \quad \vartheta_3(1, q) \sim 1, \quad \vartheta_4(1, q) \sim 1, \quad q \rightarrow 0 \quad (4.10)$$

through modular transformation  $q = e^{2\pi i\tau} \mapsto \tilde{q} = e^{-2\pi i/\tau}$ :

$$\begin{aligned} \vartheta_2\text{-function: } \vartheta_4(1, \tilde{q}) &= \vartheta_2(1, q)\sqrt{-i\tau} \sim \frac{1}{\sqrt{2\pi}}(1-q)^{1/2}\vartheta_2(1, q) \\ \Rightarrow \vartheta_2(1, q) &\sim \sqrt{2\pi}(1-q)^{-1/2}, \quad q \rightarrow 1^-, \end{aligned} \quad (4.11)$$

$$\begin{aligned} \vartheta_3\text{-function: } \vartheta_3(1, \tilde{q}) &= \vartheta_3(1, q)\sqrt{-i\tau} \sim \frac{1}{\sqrt{2\pi}}(1-q)^{1/2}\vartheta_3(1, q) \\ \Rightarrow \vartheta_3(1, q) &\sim \sqrt{2\pi}(1-q)^{-1/2}, \quad q \rightarrow 1^-, \end{aligned} \quad (4.12)$$

$$\begin{aligned} \eta\text{-function: } \eta(\tilde{q}) &= \eta(q)\sqrt{-i\tau} \sim \frac{1}{\sqrt{2\pi}}(1-q)^{1/2}\eta(q) \\ \Rightarrow \eta(q) &\sim \sqrt{2\pi}(1-q)^{-1/2} \exp\left(\frac{\pi^2}{6\log q}\right), \quad q \rightarrow 1^-. \end{aligned} \tag{4.13}$$

Hence, we have

$$\vartheta_2(1, q^2) \sim \vartheta_3(1, q^2) \sim \sqrt{2\pi}(1-q^2)^{-1/2}, \quad q \rightarrow 1^-, \tag{4.14}$$

and so as  $q \rightarrow 1^-$ ,

$$\begin{aligned} \chi^{\mathcal{N}_{4d}=1}(q; y=1, s=1) \\ \sim (2\pi)^{-3/2}(1-q)^2(1-q^{1/4})(1-q^2)^{-1/2} \exp\left(-\frac{\pi^2}{\log q}\right). \end{aligned} \tag{4.15}$$

Hence, as  $m \rightarrow \infty$ ,

$$\begin{aligned} N_m &\sim (2\pi)^{-3/2} \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{dq}{q} (1-q)^2(1-q^{1/4})(1-q^2)^{-1/2} \\ &\times \exp\left(-\frac{\pi^2}{\log q} - m \log q\right). \end{aligned} \tag{4.16}$$

Observe that the argument of the exponential function has a critical value at  $q_0 = \exp(-\pi/\sqrt{m})$ ; this is the saddle point. The direction of steepest descent at this point is the imaginary direction in  $q$ . We deform the contour  $\mathcal{C}$  such that it passes through  $q = q_0$  and tangent to this direction. The leading contribution comes from expansions around  $q = q_0$  in the steepest descent direction. Writing  $q = q_0 e^{i\theta}$ , we have

$$\begin{aligned} N_m &\sim (2\pi)^{-3/2}(1-q_0)^2(1-q_0^{1/4})(1-q_0^2)^{-1/2} \\ &\times \frac{1}{2\pi} \int_{-\epsilon}^{\epsilon} d\theta \exp\left(-\frac{\pi^2}{i\theta + \log q_0} - m(i\theta + \log q_0)\right), \quad \epsilon > 0 \\ &\sim (2\pi)^{-3/2}(1-q_0)^2(1-q_0^{1/4})(1-q_0^2)^{-1/2} \\ &\times e^{2\pi\sqrt{m}} \frac{1}{2\pi} \int_{-\epsilon}^{\epsilon} d\theta \exp\left(-\frac{m^{3/2}}{\pi}\theta^2 + O(\theta^3)\right), \quad \epsilon > 0 \\ &\sim (2\pi)^{-3/2}(1-q_0)^2(1-q_0^{1/4})(1-q_0^2)^{-1/2} e^{2\pi\sqrt{m}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\theta \exp\left(-\frac{m^{3/2}}{\pi}\theta^2\right) \\ &\sim \frac{\pi}{32} m^{-2} \exp(2\pi\sqrt{m}), \quad m \rightarrow \infty. \end{aligned} \tag{4.17}$$

#### 4.2. The GSO projected NS and R sectors

In what follows, we compute analytic expressions of the refined partition function  $\chi^{\mathcal{N}_{4d}=1}(q; y, s)$  and discuss its asymptotic behavior.

4.2.1. *The NS sector*

Let us write the partition function  $\chi_{\text{NS}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s)$ , defined in (4.4), as

$$\chi_{\text{NS}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s) = \sum_{k=0}^{\infty} \sum_{p=-\infty}^{\infty} [2k]_y s^{2p} F_{k,p}^{\text{NS}}(q), \tag{4.18}$$

where the function  $F_{k,p}^{\text{NS}}(q)$  follows from (2.25), (3.1) and (4.4):

$$F_{k,p}^{\text{NS}}(q) = (q; q)_{\infty}^{-6} (1 - q) q^{p^2+p-1} \sum_{n=1}^{\infty} (-1)^{n+1} (1 - q^n) q^{\binom{n}{2}} \sum_{m=0}^{\infty} (q^{n|k-m|} - q^{n(k+m+1)}) \\ \times \frac{1}{2} q^{\frac{1}{2}m^2} \left[ \frac{(1 - q^{m+\frac{1}{2}}) \vartheta_3(1, q)}{(1 + q^{p-\frac{1}{2}})(1 + q^{p+\frac{1}{2}})} + (-1)^{m^2} \frac{(1 + q^{m+\frac{1}{2}}) \vartheta_4(1, q)}{(1 - q^{p-\frac{1}{2}})(1 - q^{p+\frac{1}{2}})} \right]. \tag{4.19}$$

This expression can be simplified further in the asymptotic limit  $k \rightarrow \infty$ . In this limit,  $q^{n|k-m|} \sim q^{n(k-m)}$  and the dominant contribution in the summation over  $n$  comes from  $n = 1$ . The summation over  $n$  can be asymptotically evaluated as follows (assume that  $m$  is finite):

$$\sum_{n=1}^{\infty} (-1)^{n+1} (1 - q^n) q^{\binom{n}{2}} (q^{n|k-m|} - q^{n(k+m+1)}) \\ \sim \sum_{n=1}^{\infty} (-1)^{n+1} (1 - q^n) q^{n(k-m)} (1 - q^{n(2m+1)}) \\ \sim \frac{q^k (1 - q)(1 - q^{2k})}{(1 + q^k)^4} \{ q^{-m} (1 - q^{2m+1}) \}. \tag{4.20}$$

The summation over  $m$  can be evaluated by considering

$$\sum_{m=0}^{\infty} q^{\frac{1}{2}m^2-m} (1 - q^{m+\frac{1}{2}}) (1 - q^{2m+1}) = q^{-\frac{1}{2}} (1 - q) \vartheta_3(1, q), \tag{4.21}$$

$$\sum_{m=0}^{\infty} (-1)^{m^2} q^{\frac{1}{2}m^2-m} (1 + q^{m+\frac{1}{2}}) (1 - q^{2m+1}) = -q^{-\frac{1}{2}} (1 - q) \vartheta_4(1, q). \tag{4.22}$$

In such a limit, the function  $F_{k,p}^{\text{NS}}(q)$  becomes

$$F_{k,p}^{\text{NS}}(q) \sim \frac{1}{2} (q; q)_{\infty}^{-6} (1 - q)^3 q^{p^2+p+k-\frac{3}{2}} \frac{1 - q^{2k}}{(1 + q^k)^4} \\ \times \left[ \frac{\vartheta_3(1, q)^2}{(1 + q^{p-\frac{1}{2}})(1 + q^{p+\frac{1}{2}})} - \frac{\vartheta_4(1, q)^2}{(1 - q^{p-\frac{1}{2}})(1 - q^{p+\frac{1}{2}})} \right] \\ \sim \frac{1}{2} (q; q)_{\infty}^{-6} (1 - q)^3 q^{p^2+p+k-\frac{3}{2}} \\ \times \left[ \frac{\vartheta_3(1, q)^2}{(1 + q^{p-\frac{1}{2}})(1 + q^{p+\frac{1}{2}})} - \frac{\vartheta_4(1, q)^2}{(1 - q^{p-\frac{1}{2}})(1 - q^{p+\frac{1}{2}})} \right], \quad k \rightarrow \infty. \tag{4.23}$$

4.2.2. The R sector

Similarly the partition function  $\chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s)$ , defined in (4.4), can be written as

$$\chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s) = \sum_{k=0}^{\infty} \sum_{p=-\infty}^{\infty} [2k+1]_y s^{2p-1} F_{k,p}^{\text{R}}(q), \tag{4.24}$$

where the function  $F_{k,p}^{\text{R}}(q)$  follows from (2.42), (3.4) and (4.4):

$$\begin{aligned} F_{k,p}^{\text{R}}(q) &= \frac{1}{2}(q; q)_{\infty}^{-6} (1-q) \frac{q^{p^2-\frac{5}{4}}}{(1+q^p)(1+q^{p-1})} \vartheta_2(1, q) \\ &\times \sum_{n=1}^{\infty} (-1)^{n+1} (1-q^n) q^{\binom{n}{2}} \sum_{m=0}^{\infty} q^{\frac{1}{2}(m+\frac{1}{2})^2} (1-q^{m+1}) (q^{n|k-m|} - q^{n(k+m+2)}). \end{aligned} \tag{4.25}$$

In the limit  $k \rightarrow \infty$ , this function can be simplified further. The summation over  $n$  can be asymptotically evaluated as follows (assume that  $m$  is finite):

$$\begin{aligned} &\sum_{n=1}^{\infty} (-1)^{n+1} (1-q^n) q^{\binom{n}{2}} (q^{n|k-m|} - q^{n(k+m+2)}) \\ &\sim \sum_{n=1}^{\infty} (-1)^{n+1} (1-q^n) q^{n(k-m)} (1-q^{n(2m+2)}) \\ &\sim \frac{q^k(1-q)(1-q^{2k})}{(1+q^k)^4} \{q^{-m}(1-q^{2m+2})\}, \end{aligned} \tag{4.26}$$

and the summation over  $m$  can be computed as follows:

$$\sum_{m=0}^{\infty} q^{\frac{1}{2}(m+\frac{1}{2})^2-m} (1-q^{m+1})(1-q^{2m+2}) = (1-q)\vartheta_2(1, q). \tag{4.27}$$

Therefore, we have the following asymptotic formula:

$$\begin{aligned} F_{k,p}^{\text{R}}(q) &\sim \frac{1}{2}(q; q)_{\infty}^{-6} \frac{q^{p^2+k-\frac{5}{4}}(1-q)^3(1-q^{2k})}{(1+q^p)(1+q^{p-1})(1+q^k)^4} \vartheta_2(1, q)^2 \\ &\sim \frac{1}{2}(q; q)_{\infty}^{-6} \frac{q^{p^2+k-\frac{5}{4}}(1-q)^3}{(1+q^p)(1+q^{p-1})} \vartheta_2(1, q)^2, \quad k \rightarrow \infty. \end{aligned} \tag{4.28}$$

4.2.3. Combining both sectors

Combining the NS and R contributions from the previous subsections gives rise to the following  $SO(3) \times U(1)_R$  covariant partition function

$$\begin{aligned} \chi^{\mathcal{N}_{4d}=1}(q; y, s) &= \sum_{k=0}^{\infty} \sum_{p=-\infty}^{\infty} ([2k]_y s^{2p} F_{k,p}^{\text{NS}}(q) + [2k+1]_y s^{2p-1} F_{k,p}^{\text{R}}(q)) \\ &= \sum_{k=0}^{\infty} \left\{ [2k] \left( F_{k,0}^{\text{NS}}(q) + \sum_{p=1}^{\infty} s_{2p} F_{k,p}^{\text{NS}}(q) \right) + [2k+1] \sum_{p=1}^{\infty} s_{2p-1} F_{k,p}^{\text{R}}(q) \right\}, \end{aligned} \tag{4.29}$$

where  $s_m$  is defined by (3.2). Even though the  $F_{k,p}^{\text{NS}}$  and  $F_{k,p}^{\text{R}}$  functions are known, the representation (4.29) of the overall partition function does not make  $\mathcal{N}_{4d} = 1$  SUSY manifest to all mass levels. In order to do so, we have to combine  $SO(3) \times U(1)_R$  representations to supermultiplets (4.2) and rewrite (4.29) as<sup>21</sup>

$$\chi^{\mathcal{N}_{4d}=1}(q; y, s) = \sum_{n=0}^{\infty} \sum_{Q=0}^{\infty} \llbracket n, \pm Q \rrbracket M(\chi^{\mathcal{N}_{4d}=1}, \llbracket n, Q \rrbracket, q). \quad (4.30)$$

This introduces a *multiplicity generating function*  $M(\chi^{\mathcal{N}_{4d}=1}, \llbracket n, Q \rrbracket, q)$  for the supermultiplet  $\llbracket n, Q \rrbracket$  appearing in the partition function  $\chi^{\mathcal{N}_{4d}=1}$ . To lighten our notation in the subsequent steps, we shall use the shorthand

$$G_{n,Q}(q) := M(\chi^{\mathcal{N}_{4d}=1}, \llbracket n, Q \rrbracket, q). \quad (4.31)$$

By comparing (4.29) with (4.30), it is immediate that

$$G_{2n,2Q}(q) = G_{2n+1,2Q+1}(q) = 0, \quad \text{for all } n \geq 0 \text{ and } Q \geq 0. \quad (4.32)$$

#### 4.2.4. Recurrence relations

In order to relate the supersymmetric multiplicity generating functions  $G_{n,Q}$  to their  $SO(3) \times U(1)_R$  relatives  $F_{k,p}^{\text{NS}}$  and  $F_{k,p}^{\text{R}}$ , we use (4.2) to rewrite (4.30) in terms of characters of irreducible  $SO(3)$  characters and the fugacity  $s$  as

$$\begin{aligned} \chi^{\mathcal{N}_{4d}=1}(q; y, s) = & [0] \left[ (G_{1,0} + 2G_{0,1}) + \sum_{Q=1}^{\infty} s_{2Q} (G_{0,2Q-1} + G_{1,2Q} + G_{0,2Q+1}) \right] \\ & + \sum_{k=1}^{\infty} [2k] \left[ (G_{2k-1,0} + 2G_{2k,1} + G_{2k+1,0}) \right. \\ & \left. + \sum_{Q=1}^{\infty} s_{2Q} (G_{2k-1,2Q} + G_{2k,2Q-1} + G_{2k,2Q+1} + G_{2k+1,2Q}) \right] \\ & + \sum_{k=0}^{\infty} [2k+1] \sum_{Q=1}^{\infty} s_{2Q-1} (G_{2k,2Q-1} + G_{2k+1,2Q-2} \\ & + G_{2k+1,2Q} + G_{2k+2,2Q-1}), \end{aligned} \quad (4.33)$$

where  $G_{n,Q}$  is a shorthand notation for  $G_{n,Q}(q)$ .

Comparing (4.29) with (4.33), we have the following relations:

$$2G_{0,1}(q) + G_{1,0}(q) = F_{0,0}^{\text{NS}}(q), \quad (4.34)$$

$$G_{2k-1,0}(q) + 2G_{2k,1}(q) + G_{2k+1,0}(q) = F_{k,0}^{\text{NS}}(q), \quad k \geq 1, \quad (4.35)$$

$$G_{0,2Q-1}(q) + G_{0,2Q+1}(q) + G_{1,2Q}(q) = F_{0,Q}^{\text{NS}}(q), \quad Q \geq 1, \quad (4.36)$$

$$\begin{aligned} G_{2k-1,2Q}(q) + G_{2k,2Q-1}(q) + G_{2k,2Q+1}(q) + G_{2k+1,2Q}(q) &= F_{k,Q}^{\text{NS}}(q), \\ k, Q \geq 1, \end{aligned} \quad (4.37)$$

<sup>21</sup> The symmetry of (4.29) under  $s \rightarrow s^{-1}$  guarantees that  $M(\chi^{\mathcal{N}_{4d}=1}, \llbracket n, Q \rrbracket, q) = M(\chi^{\mathcal{N}_{4d}=1}, \llbracket n, -Q \rrbracket, q)$ , so we shall henceforth assume that  $Q \geq 0$ .



$$G_{2k,2Q-1}(q) + G_{2k+1,2Q-2}(q) + G_{2k+1,2Q}(q) + G_{2k+2,2Q-1}(q) = F_{k,Q}^R(q),$$

$$k \geq 0, \quad Q \geq 1. \tag{4.38}$$

These relations are useful for computing a multiplicity generating function for a representation  $[[\text{odd}, \text{even}]]$  (or  $[[\text{even}, \text{odd}]]$ ) when the one for opposite parity is known. However, the recursion is not powerful enough to directly determine all the  $G_{n,Q}$  in terms of  $F_{k,p}^{\text{NS}}$  and  $F_{k,p}^{\text{R}}$ . The following subsection follows an alternative approach to determine the  $G_{n,Q}$ .

### 4.3. Multiplicities of representations in the $\mathcal{N}_{4d} = 1$ partition function

Our aim in this subsection is to factor out the fundamental  $\mathcal{N}_{4d} = 1$  super-Poincaré character  $Z(\mathcal{N}_{4d} = 1) = [1]_y + s + s^{-1}$  and to compute explicitly the multiplicity generating functions  $G_{n,Q}(q)$  for  $[[n, Q]]$  in

$$\chi^{\mathcal{N}_{4d}=1}(q; y, s) = \sum_{n=0}^{\infty} \sum_{Q=-\infty}^{\infty} [[n, Q]] G_{n,Q}(q). \tag{4.39}$$

Using the second equality of (4.2) and orthogonality of  $SO(3) \times U(1)_R$  representations, we have

$$G_{n,Q}(q) = M(\chi^{\mathcal{N}_{4d}=1}, [[n, Q]], q)$$

$$= \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{ds}{s} \int d\mu_{SO(3)}(y) [n]_y s^{-Q} \frac{\chi^{\mathcal{N}_{4d}=1}(q; y, s)}{[1]_y + (s + s^{-1})}, \tag{4.40}$$

where  $\mathcal{C}$  is a contour in the complex  $s$ -plane enclosing the origin. In order to proceed, we use the geometric series expansion of the inverse  $Z(\mathcal{N}_{4d} = 1)$ ,<sup>22</sup>

$$\frac{1}{[1]_y + (s + s^{-1})} = \frac{1}{s + s^{-1}} \frac{1}{1 + \frac{[1]_y}{s + s^{-1}}} = \sum_{m=0}^{\infty} (-1)^m \frac{[1]_y^m}{(s + s^{-1})^{m+1}}. \tag{4.42}$$

In what follows, we consider the contributions from  $\chi_{\text{NS}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s)$  and  $\chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}(q; y, s)$  separately and then add up these results to yield the overall multiplicity generating function defined by (4.39),

$$M(\chi^{\mathcal{N}_{4d}=1}, [[n, Q]], q) = M(\chi_{\text{NS}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}, [[n, Q]], q) + M(\chi_{\text{R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}, [[n, Q]], q), \tag{4.43}$$

where  $\chi_{\text{NS,R}}^{\mathcal{N}_{4d}=1}|_{\text{GSO}}$  are given by (4.18) and (4.24).

<sup>22</sup> Note that  $\frac{1}{[1]_y + (s + s^{-1})}$  can also be written in another way as follows:

$$\frac{1}{[1]_y + (s + s^{-1})} = \sum_{m=0}^{\infty} (-1)^m s^{m+1} [m]_y. \tag{4.41}$$

However, we shall not take this approach, since otherwise this would lead to tensor products in (4.46) and (4.47) which are harder to evaluate in comparison with our current approach.

### 4.3.1. Multiplicities in the NS sector

The series expansion of  $(Z(\mathcal{N}_{4d} = 1))^{-1}$  leads to the following NS sector contribution to the multiplicity generating function of the supermultiplet  $\llbracket n, Q \rrbracket$

$$\begin{aligned}
 M(\chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket n, Q \rrbracket, q) &:= \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{ds}{s} \int d\mu_{SO(3)}(y) \frac{[n]_y}{s^Q} \times \frac{\chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q; y, s)}{[1]_y + (s + s^{-1})} \\
 &= \sum_{m=0}^{\infty} \sum_{k=0}^{\infty} \sum_{p=-\infty}^{\infty} (-1)^m F_{k,p}^{\text{NS}}(q) \frac{1}{2\pi i} \\
 &\quad \times \oint_{|s|=1-\epsilon} \frac{ds}{s} \frac{s^{2p}}{s^Q (s + s^{-1})^{m+1}} \int d\mu_{SO(3)}(y) [n]_y [1]_y^m [2k]_y. \tag{4.44}
 \end{aligned}$$

We shall henceforth take  $\mathcal{C}$  to be a circle centred at the origin with the radius  $1 - \epsilon$ , with  $0 < \epsilon < 1$ . The quantities in the curly brackets can be computed as follows:

$$\begin{aligned}
 \frac{1}{2\pi i} \oint_{|s|=1-\epsilon} \frac{ds}{s} \frac{s^{2p}}{s^Q (s + s^{-1})^{m+1}} &= \begin{cases} (-1)^{\frac{1}{2}(Q-m-2p-1)} \binom{\frac{1}{2}(Q+m-2p-1)}{m} & \text{for } Q - m \text{ odd and } Q + m \geq 2p + 1, \\ 0 & \text{otherwise,} \end{cases} \tag{4.45}
 \end{aligned}$$

and

$$\int d\mu_{SO(3)}(y) [2n]_y [1]_y^m [2k]_y = \begin{cases} T_{2n+1}(m, \frac{1}{2}m + n - k) & \text{if } m \text{ is even,} \\ 0 & \text{if } m \text{ is odd,} \end{cases} \tag{4.46}$$

$$\begin{aligned}
 \int d\mu_{SO(3)}(y) [2n+1]_y [1]_y^m [2k]_y &= \begin{cases} T_{2n+2}(m, \frac{1}{2}m + n + \frac{1}{2} - k) & \text{if } m \text{ is odd,} \\ 0 & \text{if } m \text{ is even,} \end{cases} \tag{4.47}
 \end{aligned}$$

where

$$T_p(m, k) = \binom{m}{k} - \binom{m}{k-p}. \tag{4.48}$$

Note that (4.45), (4.46) and (4.47) are in perfect agreement with the selection rule

$$M(\chi^{\mathcal{N}_{4d}=1}, \llbracket 2n, 2Q \rrbracket, q) = M(\chi^{\mathcal{N}_{4d}=1}, \llbracket 2n+1, 2Q+1 \rrbracket, q) = 0. \tag{4.49}$$

The non-zero multiplicities of  $\llbracket 2n, 2Q+1 \rrbracket$  and  $\llbracket 2n+1, 2Q \rrbracket$  receive the following NS sector contributions:

$$\begin{aligned}
 M(\chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket 2n, 2Q+1 \rrbracket, q) &= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} (-1)^{Q-m-p} F_{k,p}^{\text{NS}}(q) \binom{Q+m-p}{2m} T_{2n+1}(2m, m+n-k), \tag{4.50}
 \end{aligned}$$

$$\begin{aligned}
 M(\chi_{\text{NS}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket 2n+1, 2Q \rrbracket, q) &= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} (-1)^{Q-m-p} F_{k,p}^{\text{NS}}(q) \binom{Q+m-p}{2m+1} T_{2n+2}(2m+1, m+n+1-k).
 \end{aligned}
 \tag{4.51}$$

4.3.2. Multiplicities in the R sector

Similarly to the NS sector, the generating function for the multiplicity of the representation  $\llbracket n, Q \rrbracket$  in the function  $\chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q; y, s)$  is given by

$$\begin{aligned}
 M(\chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket n, Q \rrbracket, q) &:= \frac{1}{2\pi i} \oint_{|s|=1-\epsilon} \frac{ds}{s} \int d\mu_{SO(3)}(y) \frac{[n]_y}{s^Q} \times \frac{\chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}(q; y, s)}{[1]_y + (s + s^{-1})} \\
 &= \sum_{m=0}^{\infty} \sum_{k=0}^{\infty} \sum_{p=-\infty}^{\infty} (-1)^m F_{k,p}^{\text{R}}(q) \frac{1}{2\pi i} \\
 &\quad \times \oint_{|s|=1-\epsilon} \frac{ds}{s} \frac{s^{2p-1}}{s^Q (s + s^{-1})^{m+1}} \int d\mu_{SO(3)}(y) [n]_y [1]_y^m [2k+1]_y,
 \end{aligned}
 \tag{4.52}$$

with  $0 < \epsilon < 1$ ,

$$\begin{aligned}
 \frac{1}{2\pi i} \oint_{|s|=1-\epsilon} \frac{ds}{s} \frac{s^{2p-1}}{s^Q (s + s^{-1})^{m+1}} &= \begin{cases} (-1)^{\frac{1}{2}(Q-m-2p)} \binom{\frac{1}{2}(Q+m-2p)}{m} & \text{for } Q-m \text{ even and } Q+m \geq 2p, \\ 0 & \text{otherwise,} \end{cases}
 \end{aligned}
 \tag{4.53}$$

and

$$\int d\mu_{SO(3)}(y) [2n]_y [1]_y^m [2k+1]_y = \begin{cases} T_{2n+1}(m, \frac{1}{2}m + n - k - \frac{1}{2}) & \text{if } m \text{ is odd,} \\ 0 & \text{if } m \text{ is even,} \end{cases}
 \tag{4.54}$$

$$\int d\mu_{SO(3)}(y) [2n+1]_y [1]_y^m [2k+1]_y = \begin{cases} T_{2n+2}(m, \frac{1}{2}m + n - k) & \text{if } m \text{ is even,} \\ 0 & \text{if } m \text{ is odd,} \end{cases}
 \tag{4.55}$$

where  $T_p(m, k)$  is defined as above and the zeros once again confirm the selection rule (4.49).

The multiplicities of  $\llbracket 2n, 2Q+1 \rrbracket$  are given by

$$\begin{aligned}
 M(\chi_{\text{R}}^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket 2n, 2Q+1 \rrbracket, q) &= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} (-1)^{Q-m-p+1} F_{k,p}^{\text{R}}(q) \\
 &\quad \times \binom{Q+m-p+1}{2m+1} T_{2n+1}(2m+1, m+n-k).
 \end{aligned}
 \tag{4.56}$$

The multiplicities of  $\llbracket 2n+1, 2Q \rrbracket$  are given by

$$\begin{aligned}
& M(\chi_R^{\mathcal{N}_{4d}=1} |_{\text{GSO}}, \llbracket 2n+1, 2Q \rrbracket, q) \\
&= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} (-1)^{Q-m-p} F_{k,p}^R(q) \\
&\quad \times \binom{Q+m-p}{2m} T_{2n+2}(2m, m+n-k).
\end{aligned} \tag{4.57}$$

#### 4.3.3. Combining the NS and R sectors

Now we can assemble the NS and R sector results to obtain the full multiplicities of the representation  $\llbracket n, Q \rrbracket$  in  $\chi^{\mathcal{N}_{4d}=1}(q; y, s)$ . First, it is clear that

$$G_{2n,2Q}(q) = G_{2n+1,2Q+1}(q) = 0. \tag{4.58}$$

The non-zero multiplicities of  $\llbracket 2n, 2Q+1 \rrbracket$  and  $\llbracket 2n+1, 2Q \rrbracket$  are most conveniently presented in terms of the shorthands

$$\begin{aligned}
& \mathfrak{M}_{\llbracket 2n, 2Q+1 \rrbracket}(m, p, k; q) \\
&:= (-1)^{Q-m-p} \left[ F_{k,p}^{\text{NS}}(q) \binom{Q+m-p}{2m} T_{2n+1}(2m, m+n-k) \right. \\
&\quad \left. - F_{k,p}^R(q) \binom{Q+m-p+1}{2m+1} T_{2n+1}(2m+1, m+n-k) \right],
\end{aligned} \tag{4.59}$$

$$\begin{aligned}
& \mathfrak{M}_{\llbracket 2n+1, 2Q \rrbracket}(m, p, k; q) \\
&:= (-1)^{Q-m-p} \left[ F_{k,p}^{\text{NS}}(q) \binom{Q+m-p}{2m+1} T_{2n+2}(2m+1, m+n+1-k) \right. \\
&\quad \left. + F_{k,p}^R(q) \binom{Q+m-p}{2m} T_{2n+2}(2m, m+n-k) \right]
\end{aligned} \tag{4.60}$$

for the contributions  $\mathfrak{M}_{\llbracket \cdot, \cdot \rrbracket}(m, p, k; q)$  of individual terms in the  $m, p, k$  triple sum to the multiplicity generating function. The result for  $\llbracket 2n, 2Q+1 \rrbracket$  supermultiplets is

$$\begin{aligned}
& G_{2n,2Q+1}(q) \\
&= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} \mathfrak{M}_{\llbracket 2n, 2Q+1 \rrbracket}(m, p, k; q) \\
&= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \left[ \sum_{p=0}^{\infty} \{ \mathfrak{M}_{\llbracket 2n, 2Q+1 \rrbracket}(m, -p-1, k; q) + \mathfrak{M}_{\llbracket 2n, 2Q+1 \rrbracket}(m+p, p, k; q) \} \right. \\
&\quad \left. + \sum_{p=0}^{Q-1} \mathfrak{M}_{\llbracket 2n, 2Q+1 \rrbracket}(m, m+p+1, k; q) \right]
\end{aligned} \tag{4.61}$$

whereas the multiplicities of  $\llbracket 2n+1, 2Q \rrbracket$  are given by

$$\begin{aligned}
& G_{2n+1,2Q}(q) \\
&= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=-\infty}^{Q+m} \mathfrak{M}_{\llbracket 2n+1, 2Q \rrbracket}(m, p, k; q)
\end{aligned}$$

$$\begin{aligned}
 &= \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \left[ \sum_{p=0}^{\infty} \{ \mathfrak{M}_{[2n+1,2Q]}(m, -p-1, k; q) + \mathfrak{M}_{[2n+1,2Q]}(m+p, p, k; q) \} \right. \\
 &\quad \left. + \sum_{p=0}^{Q-1} \mathfrak{M}_{[2n+1,2Q]}(m, m+p+1, k; q) \right]. \tag{4.62}
 \end{aligned}$$

4.4. Asymptotic analysis for the multiplicities

This subsection is devoted to the multiplicity generating function  $G_{n,Q}(q)$  in the limit  $n \rightarrow \infty$ . We shall present analytic expressions for their  $n \rightarrow \infty$  asymptotics whose derivation is deferred to [Appendix C](#). The method essentially relies on identifying the dominant contribution to the triple sums in (4.61) and (4.62). The end result for multiplicity generating functions  $G_{n,Q}(q)$  reads

$$G_{2n+1,2Q}(q) \sim \frac{(1-q)^2 q^{n-\frac{3}{2}}}{2(q; q)_{\infty}^6} \mathcal{F}(q, Q), \quad n \rightarrow \infty, \tag{4.63}$$

$$\begin{aligned}
 G_{2n,2Q+1}(q) &\sim \frac{(1-q)^2 q^{n-\frac{3}{2}}}{2(q; q)_{\infty}^6 (1+q)} \\
 &\times \left[ \frac{q^{(Q+1)^2 + \frac{1}{4}} (1-q)}{(1+q^Q)(1+q^{Q+1})} \vartheta_2(1, q)^2 - \mathcal{F}(q, Q) - \mathcal{F}(q, Q+1) \right] \tag{4.64}
 \end{aligned}$$

with the function  $\mathcal{F}(q, Q)$  given by

$$\begin{aligned}
 &\mathcal{F}(q, Q) \\
 &= \vartheta_2(1, q)^2 [q^{1-Q} u_1(\sqrt{q}, Q) + (-1)^Q (1-q) (v_1(\sqrt{q}, Q) + q^{-1/4} w_1(\sqrt{q}, Q))] \\
 &\quad + \vartheta_3(1, q)^2 [-q^{1-Q} u_2(\sqrt{q}, Q) + (-1)^Q (1-q) (v_2(\sqrt{q}, Q) + q^2 w_2(\sqrt{q}, Q))] \\
 &\quad + \vartheta_4(1, q)^2 [q^{1-Q} u_2(-\sqrt{q}, Q) - (-1)^Q (1-q) (v_2(-\sqrt{q}, Q) + q^2 w_2(-\sqrt{q}, Q))]. \tag{4.65}
 \end{aligned}$$

The three pairs of functions  $u_i, v_i$  and  $w_i$  correspond to the three summations in (4.61) and (4.62):

$$\begin{aligned}
 u_1(q, Q) &= \sum_{p=0}^{\infty} q^{2(p+\frac{3}{2})^2} \frac{1 - q^{4p+4Q+6}}{(1 + q^{2p+2})(1 + q^{2p+4})}, \\
 u_2(q, Q) &= \sum_{p=0}^{\infty} q^{2(p+1)^2} \frac{1 - q^{4p+4Q+4}}{(1 + q^{2p+1})(1 + q^{2p+3})}, \tag{4.66}
 \end{aligned}$$

$$\begin{aligned}
 v_1(q, Q) &= \sum_{p=0}^{\lfloor Q/2 \rfloor} \frac{q^{2(p-\frac{1}{2})^2} (1 + q^2)^{2p}}{(1 + q^{2p-2})(1 + q^{2p})} \binom{Q}{2p} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p-Q \\ p+1/2, p+1 \end{matrix}; \frac{(1+q)^2}{4q} \right], \\
 v_2(q, Q) &= \sum_{p=0}^{\lfloor Q/2 \rfloor} \frac{(1+q) q^{2p^2} (1 + q^2)^{2p}}{(1 + q^{2p-1})(1 + q^{2p+1})} \binom{Q}{2p+1} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p+1-Q \\ p+1, p+3/2 \end{matrix}; \frac{(1+q)^2}{4q} \right], \tag{4.67}
 \end{aligned}$$

$$\begin{aligned}
 w_1(q, Q) &= \sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \frac{(-1)^{p+1} q^{1+2(1+m+p)^2-2m} (1+q^2)^{2m} \binom{Q-1-p}{2m}}{(1+q^{2(m+p)})(1+q^{2(1+m+p)})}, \\
 w_2(q, Q) &= q^{-\frac{9}{2}} \sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \frac{(-1)^{p+1} q^{2(m+p+\frac{3}{2})^2-2m} (1+q^2)^{2m+1} \binom{Q-1-p}{1+2m}}{(1+q^{1+2m+2p})(1+q^{3+2m+2p})}. \tag{4.68}
 \end{aligned}$$

Note that the leading orders in the power series are

$$G_{2n+1,2Q}(q) \sim q^{n+Q(Q+2)}, \quad G_{2n,2Q+1}(q) \sim q^{n+Q^2+3Q+1}, \quad q \rightarrow 0, \tag{4.69}$$

i.e. the supermultiplet  $[[2n+1, 2Q]]$  firstly occurs at mass level  $n+Q(Q+2)$  whereas the  $[[2n, 2Q+1]]$  multiplet firstly occurs at mass level  $n+Q^2+3Q+1$ .

For reference, we list the leading  $q$  powers for the  $G_{n \rightarrow \infty, Q}$  regime for some small values of the  $U(1)_R$  charge, obtained by expansion of (4.63) and (4.64): firstly for even values  $Q \in 2\mathbb{N}_0$

$$\begin{aligned}
 G_{2n+1,0}(q) &\sim q^n (1+q+7q^2+19q^3+53q^4+133q^5+328q^6+752q^7 \\
 &\quad +1689q^8+3635q^9+O(q^{10})), \\
 G_{2n+1,2}(q) &\sim q^{n+3} (2+8q+24q^2+73q^3+187q^4+467q^5+1090q^6 \\
 &\quad +2457q^7+5314q^8+O(q^9)), \\
 G_{2n+1,4}(q) &\sim q^{n+8} (2+10q+36q^2+110q^3+306q^4+773q^5+1861q^6 \\
 &\quad +4245q^7+9327q^8+O(q^9)), \\
 G_{2n+1,6}(q) &\sim q^{n+15} (2+10q+38q^2+124q^3+352q^4+928q^5+2282q^6 \\
 &\quad +5335q^7+O(q^8)), \tag{4.70}
 \end{aligned}$$

and secondly for odd values  $Q \in 2\mathbb{N}-1$

$$\begin{aligned}
 G_{2n,1}(q) &\sim q^{n+1} (3+5q+22q^2+53q^3+150q^4+345q^5+836q^6 \\
 &\quad +1824q^7+4011q^8+O(q^9)), \\
 G_{2n,3}(q) &\sim q^{n+5} (4+11q+46q^2+117q^3+331q^4+784q^5 \\
 &\quad +1876q^6+4133q^7+O(q^8)), \\
 G_{2n,5}(q) &\sim q^{n+11} (4+12q+55q^2+150q^3+437q^4+1078q^5 \\
 &\quad +2640q^6+5951q^7+O(q^8)), \\
 G_{2n,7}(q) &\sim q^{n+19} (4+12q+56q^2+159q^3+474q^4+1197q^5 \\
 &\quad +2994q^6+6882q^7+O(q^8)). \tag{4.71}
 \end{aligned}$$

Note that the general formula greatly simplifies at  $U(1)_R$  charges  $Q=0$  and  $Q=1$ ,

$$\begin{aligned}
 G_{2n+1,0}(q) &\sim \frac{q^n}{(q; q)_{\infty}^6} \left\{ \frac{1}{2} (1-q)^2 q^{-\frac{1}{2}} (u_1(\sqrt{q})\vartheta_2(1, q)^2 \right. \\
 &\quad \left. - [u_2(\sqrt{q})\vartheta_3(1, q)^2 - u_2(-\sqrt{q})\vartheta_4(1, q)^2]) \right. \\
 &\quad \left. + \frac{1}{4} \frac{(1-q)^3}{1+q} q^{-\frac{1}{4}} \vartheta_2(1, q)^2 \right\}, \quad n \rightarrow \infty, \tag{4.72}
 \end{aligned}$$

$$\begin{aligned}
 G_{2n,1}(q) \sim & \frac{(1-q)^3 q^{n+1}}{4(q; q)_\infty^6} \left[ q^{-\frac{5}{2}} \left\{ \frac{\vartheta_3(1, q)^2}{(1+q^{-\frac{1}{2}})(1+q^{\frac{1}{2}})} - \frac{\vartheta_4(1, q)^2}{(1-q^{-\frac{1}{2}})(1-q^{\frac{1}{2}})} \right\} \right. \\
 & - \frac{1}{2} q^{-\frac{9}{4}} \vartheta_2(1, q)^2 - q^{-\frac{5}{2}} \frac{1+q}{1-q} (u_1(\sqrt{q}) \vartheta_2(1, q)^2 \\
 & \left. - [u_2(\sqrt{q}) \vartheta_3(1, q)^2 - u_2(-\sqrt{q}) \vartheta_4(1, q)^2] \right] \tag{4.73}
 \end{aligned}$$

where  $u_i(q) \equiv u_i(q; 0)$ , see the first subsection of [Appendix C](#).

#### 4.5. Empirical approach to $\mathcal{N}_{4d} = 1$ asymptotic patterns

In the previous subsection, we have derived the large spin asymptotics for multiplicity generating functions  $G_{k,Q}(q)$  of individual  $\mathcal{N}_{4d} = 1$  multiplets (at finite  $Q$  while  $k \rightarrow \infty$ ), the main results being (4.63) and (4.64). The asymptotic formulae can be viewed as the supersymmetric generalization of truncating the infinite sum expression (2.10) for the  $SO(3)$  multiplicity generating function in the  $d = 4$  bosonic partition function to its  $n = 1$  term. In [8], this  $n = 1$  term is interpreted as the leading (additive) Regge trajectory of unit slope, followed by an infinite tower of sister trajectories of fractional slope and alternating sign.

Let us borrow some notation from Eq. (6.2) of [8] and expand the  $G_{k,Q}(q)$  in an infinite series of trajectories  $\tau_\ell$ :

$$\begin{aligned}
 G_{2n+1,2Q}(q) &= q^n \tau_1^{2Q}(q) - q^{2n} \tau_2^{2Q}(q) + q^{3n} \tau_3^{2Q}(q) - \dots \\
 &= \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell n} \tau_\ell^{2Q}(q), \\
 G_{2n,2Q+1}(q) &= q^n \tau_1^{2Q+1}(q) - q^{2n} \tau_2^{2Q+1}(q) + q^{3n} \tau_3^{2Q+1}(q) - \dots \\
 &= \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell n} \tau_\ell^{2Q+1}(q). \tag{4.74}
 \end{aligned}$$

It is not obvious that the patterns observed in [8] for non-supersymmetric theories persist for the counting of super-Poincaré multiplets, i.e. that the spacetime partition functions of the reference preserve the nested structure in (4.74) after multiplication with the internal characters. At any rate, all our  $\mathcal{N}_{4d} = 1$  data suggests that both of  $\tau_\ell^{2Q}(q)$  and  $\tau_\ell^{2Q+1}(q)$  are power series in  $q$  with non-negative coefficients. Our analytic results (4.63) and (4.64) identify the first coefficient functions  $\tau_1(q)$  in (4.74):

$$\tau_1^{2Q}(q) = q \frac{(1-q)^2 q^{-\frac{3}{2}}}{2(q; q)_\infty^6} \mathcal{F}(q, Q), \tag{4.75}$$

$$\begin{aligned}
 \tau_1^{2Q+1}(q) &= \frac{(1-q)^2 q^{-\frac{3}{2}}}{2(q; q)_\infty^6 (1+q)} \\
 &\times \left[ \frac{q^{(Q+1)^2 + \frac{1}{4}} (1-q)}{(1+q^Q)(1+q^{Q+1})} \vartheta_2(1, q)^2 - \mathcal{F}(q, Q) - \mathcal{F}(q, Q+1) \right]. \tag{4.76}
 \end{aligned}$$

The methods presented in [Appendix C](#) and applied in the previous subsection are not suitable to extract subleading Regge trajectories  $\tau_{\ell \geq 2}(q)$ , i.e.  $\mathcal{N}_{4d} = 1$  analogues of  $n \geq 2$  terms in the sum (2.10). Instead, we shall rely on an empirical approach, more specifically on explicit results

obtained from a supercharacter expansion of the partition function (4.4) up to the 25th mass level.

As an illustrative example, let us first of all investigate the family of  $Q = 0$  supermultiplets: The following Table 3 gathers  $\llbracket 2n + 1, 0 \rrbracket$  multiplicities in the first 25 levels. Numbers marked in red directly correspond to the leading trajectory  $\tau_1^0(q)$  whereas those in blue are additionally affected by the subleading trajectory  $\tau_2^0(q)$ . Given the leading trajectories (4.75), our data from Table 3 can be used to determine the following subleading behavior for  $Q = 0$  multiplets:

$$\begin{aligned}
 G_{2n+1,0}(q) \sim & q^n (1 + q + 7q^2 + 19q^3 + 53q^4 + 133q^5 + 328q^6 \\
 & + 752q^7 + 1689q^8 + 3635q^9 + 7642q^{10} + 15\,608q^{11} + 31\,235q^{12} \\
 & + 61\,115q^{13} + 117\,513q^{14} + 221\,927q^{15} + 412\,778q^{16} \\
 & + 756\,372q^{17} + 1\,367\,753q^{18} + 2\,441\,849q^{19} + 4\,309\,132q^{20} \\
 & + 7\,520\,092q^{21} + 12\,989\,357q^{22} + 22\,216\,885q^{23} + 37\,651\,970q^{24} \\
 & + 63\,252\,874q^{25} + \dots) - q^{2n+1} (2 + 8q + 26q^2 + 78q^3 + 214q^4 + 548q^5 \\
 & + 1330q^6 + 3080q^7 + 6872q^8 + 14\,832q^9 + 31\,102q^{10} + 63\,574q^{11} \\
 & + 127\,020q^{12} + 248\,590q^{13} + 477\,504q^{14} + \dots) \\
 & + q^{3n+1} (1 + 4q + 19q^2 + 61q^3 + 187q^4 + 503q^5 + 1294q^6 + 3113q^7 \\
 & + 7217q^8 + 16\,036q^9 + 34\,584q^{10} + \dots) \\
 & - q^{4n+2} (2 + 10q + 38q^2 + 124q^3 + 364q^4 + 978q^5 + 2476q^6 + \dots) \\
 & + q^{5n+2} (1 + 4q + 21q^2 + 72q^3 + \dots) + \dots, \quad n \rightarrow \infty. \tag{4.77}
 \end{aligned}$$

The first term linear in  $q^n$  simply reproduces (4.72) for  $\tau_1^{Q=0}(q)$  whereas higher powers of  $q^n$  allow to read off subleading  $\tau_{\ell \geq 2}^{Q=0}(q)$  to certain order in  $q$ :

$$\begin{aligned}
 \tau_2^{Q=0}(q) = & q(2 + 8q + 26q^2 + 78q^3 + 214q^4 + 548q^5 + 1330q^6 + 3080q^7 + 6872q^8 \\
 & + 14\,832q^9 + 31\,102q^{10} + 63\,574q^{11} + 127\,020q^{12} \\
 & + 248\,590q^{13} + 477\,504q^{14} + \dots), \tag{4.78}
 \end{aligned}$$

$$\begin{aligned}
 \tau_3^{Q=0}(q) = & q(1 + 4q + 19q^2 + 61q^3 + 187q^4 + 503q^5 + 1294q^6 + 3113q^7 \\
 & + 7217q^8 + 16\,036q^9 + 34\,584q^{10} + \dots), \tag{4.79}
 \end{aligned}$$

$$\tau_4^{Q=0}(q) = q^2(2 + 10q + 38q^2 + 124q^3 + 364q^4 + 978q^5 + 2476q^6 + \dots), \tag{4.80}$$

$$\tau_5^{Q=0}(q) = q^2(1 + 4q + 21q^2 + 72q^3 + \dots). \tag{4.81}$$

Determining higher order terms in the  $\tau_{\ell \geq 2}^{Q=0}(q)$  would require  $\mathcal{O}(q^{26})$  parts of (4.4), this is where we stopped the explicit evaluation.

Similarly, the  $\llbracket 2n + 1, 2 \rrbracket$  and  $\llbracket 2n, 1 \rrbracket$  multiplicities up to level  $q^{25}$  as tabulated in Appendix B.1 determine the associated  $\tau_{\ell}^{\dots}(q)$  coefficients to the following orders:

$$\begin{aligned}
 \tau_2^{Q=2}(q) = & q^3(2 + 11q + 37q^2 + 114q^3 + 319q^4 + 822q^5 + 2000q^6 + 4645q^7 \\
 & + 10\,354q^8 + 22\,317q^9 + 46\,702q^{10} + 95\,210q^{11} + 189\,656q^{12} + \dots),
 \end{aligned}$$



Table 3  
 $\mathcal{N}_{4d} = 1$  multiplets at  $U(1)_R$  charge  $Q = 0$ .

$\alpha' m^2$	# [[1, 0]]	# [[3, 0]]	# [[5, 0]]	# [[7, 0]]	# [[9, 0]]	# [[11, 0]]	# [[13, 0]]	# [[15, 0]]	# [[17, 0]]	# [[19, 0]]	# [[21, 0]]
1	0	1	0								
2	2	1	1	0							
3	5	5	1	1	0						
4	12	12	7	1	1	0					
5	28	31	17	7	1	1	0				
6	64	72	45	19	7	1	1	0			
7	136	166	108	51	19	7	1	1	0		
8	289	357	254	125	53	19	7	1	1	0	
9	588	757	557	302	131	53	19	7	1	1	0
10	1175	1548	1200	675	320	133	53	19	7	1	1
11	2293	3100	2482	1479	726	326	133	53	19	7	1
12	4399	6053	5028	3106	1611	744	328	133	53	19	7
13	8267	11620	9910	6373	3422	1663	750	328	133	53	19
14	15325	21855	19173	12713	7098	3557	1681	752	328	133	53
15	27949	40496	36322	24856	14297	7428	3609	1687	752	328	133
16	50306	73846	67720	47539	28216	15061	7564	3627	1689	752	328
17	89367	132860	124161	89401	54430	29909	15394	7616	3633	1689	752
18	156930	235871	224479	165210	103182	58054	30687	15530	7634	3635	1689
19	272424	413879	400257	300837	192109	110702	59786	31021	15582	7640	3635
20	468130	717909	705032	539962	352279	207282	114437	60567	31157	15600	7642
21	796410	1232463	1227214	956883	636445	382179	215074	116183	60901	31209	15606
22	1342531	2094716	2113394	1674933	1134836	694090	398007	218848	116965	61037	31227
23	2243232	3527456	3602086	2899342	1997955	1243836	725457	405910	220597	117299	61089
24	3717405	5887668	6081317	4965411	3477396	2200438	1304682	741559	409698	221379	117435
25	6111615	9745995	10173766	8420331	5986079	3847540	2316123	1336712	749501	411448	221713

$$\begin{aligned}
\tau_3^{Q=2}(q) &= q^3(2 + 8q + 33q^2 + 104q^3 + 310q^4 + 826q^5 + 2093q^6 + 4991q^7 \\
&\quad + 11454q^8 + \dots), \\
\tau_4^{Q=2}(q) &= q^3(1 + 5q + 22q^2 + 77q^3 + 237q^4 + 664q^5 + \dots), \\
\tau_5^{Q=2}(q) &= q^4(3 + 12q + 49q^2 + \dots), \\
\tau_2^{Q=1}(q) &= 1 + 4q + 15q^2 + 50q^3 + 143q^4 + 379q^5 + 947q^6 + 2244q^7 + 5103q^8 \\
&\quad + 11196q^9 + 23804q^{10} + 49252q^{11} + 99465q^{12} + 196522q^{13} \\
&\quad + 380719q^{14} + \dots, \\
\tau_3^{Q=1}(q) &= 1 + 5q + 22q^2 + 70q^3 + 212q^4 + 568q^5 + 1458q^6 + 3496q^7 \\
&\quad + 8093q^8 + 17936q^9 + \dots, \\
\tau_4^{Q=1}(q) &= 1 + 6q + 24q^2 + 83q^3 + 252q^4 + 698q^5 + \dots, \\
\tau_5^{Q=1}(q) &= 1 + 6q + 25q^2 + \dots.
\end{aligned} \tag{4.82}$$

Note that the analytic result (4.63) for  $\tau_1^2(q)$ ,  $\tau_1^1(q)$  was used as an extra input, in addition to the explicit results for the first 25 mass level, to make a few more orders of the subleading  $\tau_{i \geq 2}^Q(q)$  accessible. Some more leading and subleading  $\tau_\ell^Q$  for larger values of  $Q$  are given in AUXILIARY FILE 2.

## 5. Spectra in compactifications with 8 supercharges

In six-dimensional Minkowski space, the minimal realization of SUSY involves eight supercharges. They form two left-handed Weyl spinors of  $SO(6)$  which are related through an  $SU(2)_R$  R symmetry. Our notation for such minimally supersymmetric theories in  $d = 6$  is  $\mathcal{N}_{6d} = (1, 0)$ . Superstring compactification subject to  $\mathcal{N}_{6d} = (1, 0)$  SUSY are described by a universal SCFT sector with  $c = 6$  and  $\mathcal{N}_{2d} = 4$  SUSY on the worldsheet, see Section 3.2 for details. In addition, the SCFT introduces  $SO(5)$  quantum numbers for the massive string states through a six-dimensional spacetime sector for which the methods of Sections 2.4 and 2.5 are applicable.

The fundamental multiplet of  $\mathcal{N}_{6d} = (1, 0)$  theories consists of  $8 + 8$  states

$$Z(\mathcal{N}_{6d} = (1, 0)) := [1, 0] + [2]_R + [1]_R[0, 1]. \tag{5.1}$$

where  $[p]_R$  is the character of the  $(p + 1)$ -dimensional representation of  $SU(2)_R$ . Generic multiplets follow through the tensor product with some  $SO(5) \times SU(2)_R$  representation with little group quantum numbers  $[n_1, n_2]$  and R-symmetry content  $[k]_R$ . This leads to the general supercharacter

$$[[n_1, n_2; p]] := Z(\mathcal{N}_{6d} = (1, 0)) \cdot [p]_R[n_1, n_2]. \tag{5.2}$$

The partition function capturing the universal spectrum of six-dimensional  $\mathcal{N}_{6d} = (1, 0)$  compactifications is obtained through a GSO projected product of internal  $\chi_{\dots}^{\mathcal{N}_{2d}=4, c=6}(q; r)$  characters (with  $SU(2)_R$  fugacity  $r$ ) defined by (3.7) as well as (3.10) and  $SO(5)$  spacetime characters (2.69) and (2.70). The GSO projection removes half odd integer mass leaves from the NS sector and enforces the R spin field to be a left-handed  $SO(6)$  spinor, therefore:

Table 4

$\mathcal{N}_{6d} = (1, 0)$  multiplets occurring up to mass level 6.

$\alpha' m^2$	representations of $\mathcal{N}_{6d} = (1, 0)$ super-Poincaré
1	$[[1, 0; 0]]$
2	$[[2, 0; 0]] + [[0, 2; 0]] + [[0, 1; 1]]$
3	$[[3, 0; 0]] + 2[[1, 0; 0]] + [[0, 0; 0]] + [[1, 2; 0]] + [[0, 2; 0]] + [[0, 0; 2]] + 2[[1, 1; 1]] + [[0, 1; 1]]$
4	$[[4, 0; 0]] + 3[[2, 0; 0]] + 2[[1, 0; 0]] + 2[[0, 0; 0]] + [[2, 2; 0]] + 2[[1, 2; 0]] + 4[[0, 2; 0]] + 2[[1, 0; 2]] + [[0, 2; 2]] + 3[[1, 1; 1]] + 4[[0, 1; 1]] + 2[[2, 1; 1]]$
5	$[[5, 0; 0]] + 3[[3, 0; 0]] + 4[[2, 0; 0]] + 9[[1, 0; 0]] + 3[[0, 0; 0]] + [[3, 2; 0]] + 2[[2, 2; 0]] + 7[[1, 2; 0]] + 6[[0, 2; 0]] + [[0, 4; 0]] + 3[[2, 0; 2]] + 3[[1, 0; 2]] + 3[[0, 0; 2]] + [[1, 2; 2]] + 3[[0, 2; 2]] + 2[[3, 1; 1]] + 4[[2, 1; 1]] + 9[[1, 1; 1]] + 8[[0, 1; 1]] + [[1, 3; 1]] + 4[[0, 3; 1]] + [[0, 1; 3]]$
6	$[[6, 0; 0]] + [[4, 2; 0]] + 2[[4, 1; 1]] + 3[[4, 0; 0]] + 2[[3, 2; 0]] + 4[[3, 1; 1]] + 3[[3, 0; 2]] + 5[[3, 0; 0]] + [[2, 3; 1]] + [[2, 2; 2]] + 8[[2, 2; 0]] + 12[[2, 1; 1]] + 4[[2, 0; 2]] + 14[[2, 0; 0]] + [[1, 4; 0]] + 5[[1, 3; 1]] + 6[[1, 2; 2]] + 13[[1, 2; 0]] + 2[[1, 1; 3]] + 23[[1, 1; 1]] + 9[[1, 0; 2]] + 12[[1, 0; 0]] + 4[[0, 4; 0]] + 9[[0, 3; 1]] + 9[[0, 2; 2]] + 19[[0, 2; 0]] + 3[[0, 1; 3]] + 18[[0, 1; 1]] + 4[[0, 0; 2]] + 8[[0, 0; 0]]$

$$\begin{aligned}
 \chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r) &= \chi_{\text{NS}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r) + \chi_{\text{R}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r), \\
 \chi_{\text{NS}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} q^{-\frac{1}{2}} \left[ \chi_{\text{NS}}^{SO(5)}(q; \mathbf{y}) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(q; r) \right. \\
 &\quad \left. - \chi_{\text{NS}}^{SO(5)}(e^{2\pi i} q; \mathbf{y}) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(e^{2\pi i} q; r) \right], \\
 \chi_{\text{R}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} \chi_{\text{R}}^{SO(5)}(q; \mathbf{y}) \chi_{\text{R}, h=1/4, \ell=1/2}^{\mathcal{N}_{2d}=4, c=6}(q; r). \tag{5.3}
 \end{aligned}$$

The power series expansion of (5.3) starts as<sup>23</sup>

$$\begin{aligned}
 \chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r) &= \underbrace{\left( y_1^2 + y_1^{-2} + y_2^2 + y_2^{-2} + \frac{1}{2} [1]_r \prod_{i=1}^2 (y_i + y_i^{-1}) \right)}_{8 \text{ massless states}} q^0 + \underbrace{[[1, 0; 0]] q}_{80 \text{ states at level 1}} \\
 &\quad + \underbrace{([[2, 0; 0]] + [[0, 2; 0]] + [[0, 1; 1]])}_{512 \text{ states at level 2}} q^2 + ([[3, 0; 0]] + 2[[1, 0; 0]] + [[0, 0; 0]] \\
 &\quad + [[1, 2; 0]] + [[0, 2; 0]] + [[0, 0; 2]] + 2[[1, 1; 1]] + [[0, 1; 1]]) q^3 + \mathcal{O}(q^4). \tag{5.4}
 \end{aligned}$$

The  $q^{\leq 6}$  coefficients are listed in Table 4, further information on the particle content up to level 25 is tabulated in Appendix B.2.

### 5.1. The total number of states at a given mass level

In this subsection, we compute the total number of states present at a given mass level through the unrefined partition function, i.e. by setting the fugacities  $y_1, y_2$  and  $r$  in (5.4) to unity. The

<sup>23</sup> Again, there is a subtlety in applying (5.3) to the massless R sector, see the footnote before (4.4). However, this can be fixed easily: one can simply add to it  $\frac{1}{2}(y_1 - y_1^{-1})(y_2 - y_2^{-1})(r - r^{-1})$  to get the correct massless character in R sector.

total number of states  $N_m$  at the mass level  $m$  can be read off from the coefficient of  $q^m$  in the power series of  $\chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\})$ .

We follow the analysis presented in Section 4.1. The unrefined partition function is given by

$$\begin{aligned} \chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\}) &= 2\chi_{\mathbb{R}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; y = 1, s = 1) \\ &= \chi_{\mathbb{R}}^{\text{SO}(5)}(q; \{y_i = 1\})\chi_{\mathbb{R}, h=1/4, \ell=1/2}^{\mathcal{N}_{2d}=4, c=6}(q; r = 1) \\ &= q^{-1/8} \frac{\vartheta_2(1, q)^4}{\eta(q)^9} [1 - 2iq^{1/8}\mu(1/2, \tau)]. \end{aligned} \tag{5.5}$$

Indeed, the power series of  $\chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\})$  in  $q$  reproduces the numbers presented in the second column of Table 1. Note that  $\chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\})$  is *not* a modular form, since the Appell–Lerch sum is a mock modular form and it is not added by a suitable non-holomorphic component to be modular.

5.1.1. *The number of states at each mass level and its asymptotics*

The number of states at the mass level  $m$  can also be computed from

$$N_m = \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{dq}{q^{m+1}} \chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\}), \tag{5.6}$$

where  $\mathcal{C}$  is a contour around the origin.

Now let us compute an asymptotic formula for the number of states  $N_m$  at a mass level  $m$  when  $m \rightarrow \infty$ . We focus on the limit  $q \rightarrow 1^-$  and proceed in a similar way to Section 4.1.

Let us first examine the leading behavior of  $\mu(1/2, \tau)$  as  $q \rightarrow 1^-$  or  $\tau \rightarrow 0$ . Using the second point of Proposition 1.5 of [41], we find that

$$\frac{1}{\sqrt{-i\tau}} \mu\left(\frac{1}{2\tau}, -\frac{1}{\tau}\right) + \mu\left(\frac{1}{2}, \tau\right) = \frac{1}{2i}. \tag{5.7}$$

Let us consider  $\mu(\frac{1}{2\tau}, -\frac{1}{\tau})$  as  $q \rightarrow 1^-$  or equivalently  $\tau = i\epsilon$  as  $\epsilon \rightarrow 0^+$ . It follows from the definition of Appell–Lerch sum that

$$\begin{aligned} \mu\left(\frac{1}{2\tau}, -\frac{1}{\tau}\right) &= -\frac{e^{i\pi/(2\tau)}}{\vartheta_1(e^{2\pi i/(2\tau)}, e^{-2\pi i/\tau})} \sum_{m \in \mathbb{Z}} (-1)^m \frac{e^{-i\pi m^2/\tau}}{1 - e^{-2\pi im/\tau + \pi i/\tau}} \\ &\sim -\frac{e^{\pi/(2\epsilon)}}{-i e^{\pi/(4\epsilon)}} \times (-2e^{-\pi/\epsilon}), \quad \tau = i\epsilon, \epsilon \rightarrow 0^+ \\ &= 2i \exp\left(-\frac{3\pi}{4\epsilon}\right), \end{aligned} \tag{5.8}$$

where in the second ‘equality’ only  $m = 0, 1$  in the infinite sum contribute to the leading behavior and we have used the fact that  $\vartheta_1(e^{2\pi i/(2\tau)}, e^{-2\pi i/\tau}) = -i e^{\pi/(4\epsilon)}$ , as  $\tau = i\epsilon, \epsilon \rightarrow 0^+$ . Hence, to the leading order, one can neglect the first term in (5.7) in comparison with  $1/(2i)$  on the right-hand side and so

$$\mu\left(\frac{1}{2}, \tau\right) \sim \frac{1}{2i}, \quad q \rightarrow 1^-. \tag{5.9}$$

Therefore it follows from (5.5) that, as  $q \rightarrow 1^-$ ,

$$\begin{aligned} \chi^{\mathcal{N}_{6d}=(1,0)}(q; \{y_i = 1, r = 1\}) &\sim q^{-1/8} \frac{\vartheta_2(1, q)^4}{\eta(q)^9} (1 - q^{1/8}) \\ &\sim (2\pi)^{-5/2} (1 - q^{1/8})(1 - q)^{5/2} \exp\left(-\frac{3\pi^2}{2 \log q}\right), \end{aligned} \quad (5.10)$$

where we have used (4.13) and (4.11). Hence, as  $m \rightarrow \infty$ ,

$$N_m \sim (2\pi)^{-5/2} \frac{1}{2\pi i} \oint_c \frac{dq}{q} (1 - q^{1/8})(1 - q)^{5/2} \exp\left(-\frac{3\pi^2}{2 \log q} - m \log q\right). \quad (5.11)$$

The saddle point is at  $q_0 = \exp(-\pi\sqrt{3}/\sqrt{2m})$  and the steepest descent direction is the imaginary direction in  $q$ . We proceed in a similar way to (4.17) by writing  $q = q_0 e^{i\theta}$  and using Laplace’s method to obtain

$$\begin{aligned} N_m &\sim (2\pi)^{-5/2} (1 - q_0^{1/8})(1 - q_0)^{5/2} e^{\pi\sqrt{6m}} \frac{1}{2\pi} \int_{-\infty}^{\infty} d\theta \exp\left(-\frac{1}{\pi} \sqrt{\frac{2}{3}} m^{3/2} \theta^2\right) \\ &\sim \frac{9\pi}{2^{17/2}} m^{-5/2} \exp(\pi\sqrt{6m}), \quad m \rightarrow \infty. \end{aligned} \quad (5.12)$$

## 5.2. The GSO projected NS and R sectors

### 5.2.1. The NS sector

From (6.50), the partition function of the GSO projected NS sector is

$$\chi_{\text{NS}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; y, s) = \sum_{k_1, k_2, p=0}^{\infty} [2k_1]_{y_1} [2k_2]_{y_2} [2p]_r F_{k_1, k_2, p}^{\text{NS}}(q), \quad (5.13)$$

where the function  $F_{k,p}^{\text{NS}}(q)$  is given by

$$\begin{aligned} F_{k_1, k_2, p}^{\text{NS}}(q) &= (q; q)_{\infty}^{-9} (1 - q) q^{\frac{1}{2}p^2 + p - 1} \\ &\times \sum_{n \in \mathbb{Z}_+^2} \sum_{m \in \mathbb{Z}_{\geq 0}^2} \prod_{A=1}^2 (-1)^{n_A + 1} (1 - q^{n_A}) q^{\frac{1}{2}m_A^2 + \binom{n_A}{2}} (q^{n_A |k_A - m_A|} - q^{n_A (k_A + m_A + 1)}) \\ &\times \frac{1}{2} \left[ \frac{(1 - q^{p + \frac{1}{2}}) \vartheta_3(1, q)}{(1 + q^{p - \frac{1}{2}})(1 + q^{p + \frac{3}{2}})} \prod_{A=1}^2 (1 - q^{m_A + \frac{1}{2}}) \right. \\ &\left. + (-1)^{m_1^2 + m_2^2 + p^2} \frac{(1 + q^{p + \frac{1}{2}}) \vartheta_4(1, q)}{(1 - q^{p - \frac{1}{2}})(1 - q^{p + \frac{3}{2}})} \prod_{A=1}^2 (1 + q^{m_A + \frac{1}{2}}) \right]. \end{aligned} \quad (5.14)$$

### Asymptotics

This expression can be simplified further in the asymptotic limit  $k_1, k_2 \rightarrow \infty$ . Using (4.20), we have

$$\sum_{n \in \mathbb{Z}_+^2} \prod_{A=1}^2 (-1)^{n_A+1} (1 - q^{n_A}) q^{\binom{n_A}{2}} (q^{n_A |k_A - m_A|} - q^{n_A(k_A + m_A + 1)})$$

$$\sim (1 - q)^2 \prod_{A=1}^2 \frac{q^{k_A} (1 - q^{2k_A + 2})}{(1 + q^{k_A})^4} \{q^{-m_A} (1 - q^{2m_A + 1})\}, \tag{5.15}$$

and using (4.22) we have

$$\sum_{m \in \mathbb{Z}_{\geq 0}^2} \prod_{A=1}^2 q^{\frac{1}{2}m_A^2 - m_A} (1 - q^{m_A + \frac{1}{2}}) (1 - q^{2m_A + 1}) = q^{-1} (1 - q)^2 \vartheta_3(1, q)^2, \tag{5.16}$$

$$\sum_{m \in \mathbb{Z}_{\geq 0}^2} \prod_{A=1}^2 (-1)^{m_A} q^{\frac{1}{2}m_A^2 - m_A} (1 + q^{m_A + \frac{1}{2}}) (1 - q^{2m_A + 1}) = q^{-1} (1 - q)^2 \vartheta_4(1, q)^2. \tag{5.17}$$

Therefore we arrive at an asymptotic formula for  $F_{k_1, k_2, p}^{\text{NS}}(q)$  when  $k_1, k_2 \rightarrow \infty$ :

$$F_{k_1, k_2, p}^{\text{NS}}(q) \sim \frac{1}{2} (q; q)_{\infty}^{-9} (1 - q)^5 q^{\frac{1}{2}p^2 + p + k_1 + k_2 - 2} \left[ \frac{(1 - q^{p + \frac{1}{2}})}{(1 + q^{p - \frac{1}{2}})(1 + q^{p + \frac{3}{2}})} \vartheta_3(1, q)^3 \right.$$

$$\left. + (-1)^{p^2} \frac{(1 + q^{p + \frac{1}{2}})}{(1 - q^{p - \frac{1}{2}})(1 - q^{p + \frac{3}{2}})} \vartheta_4(1, q)^3 \right], \quad k_1, k_2 \rightarrow \infty. \tag{5.18}$$

*The R sector*

The partition function of the GSO projected R sector is

$$\chi_{\text{R}}^{\mathcal{N}_{6d}=(1,0)} \Big|_{\text{GSO}}(q; y, s) = \sum_{k_1, k_2, p=0}^{\infty} [2k_1 + 1]_{y_1} [2k_2 + 1]_{y_2} [2p + 1]_r F_{k_1, k_2, p}^{\text{R}}(q), \tag{5.19}$$

where  $F_{k_1, k_2, p}^{\text{R}}(q)$  is given by

$$F_{k_1, k_2, p}^{\text{R}}(q)$$

$$= (q; q)_{\infty}^{-9} (1 - q) q^{\frac{1}{2}p^2 + \frac{3}{2}p - \frac{3}{8}} \times \frac{1}{2} \frac{(1 - q^{p+1}) \vartheta_2(1, q)}{(1 + q^p)(1 + q^{p+2})}$$

$$\times \sum_{n \in \mathbb{Z}_+^2} \sum_{m \in \mathbb{Z}_{\geq 0}^2} \prod_{A=1}^2 (-1)^{n_A} (1 - q^{n_A}) q^{\frac{1}{2}(m_A + \frac{1}{2})^2 + \binom{n_A}{2}} (q^{n_A |k_A - m_A|} - q^{n_A(k_A + m_A + 2)})$$

$$\times (1 - q^{m_A + 1}). \tag{5.20}$$

Similarly to the NS sector, an asymptotic formula for  $F_{k_1, k_2, p}^{\text{NS}}(q)$  when  $k_1, k_2 \rightarrow \infty$  is given by

$$F_{k_1, k_2, p}^{\text{R}}(q) \sim \frac{1}{2} (q; q)_{\infty}^{-9} (1 - q)^5 q^{\frac{1}{2}p^2 + \frac{3}{2}p + k_1 + k_2 - \frac{3}{8}} \frac{(1 - q^{p+1})}{(1 + q^p)(1 + q^{p+2})} \vartheta_2(1, q)^3. \tag{5.21}$$

### 5.3. Multiplicities of representations in the $\mathcal{N}_{6d} = (1, 0)$ partition function

Combining the contributions from the NS and R sectors, we have

$$\begin{aligned} \chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r) &= \chi_{\text{NS}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r) + \chi_{\text{R}}^{\mathcal{N}_{6d}=(1,0)}|_{\text{GSO}}(q; \mathbf{y}, r) \\ &= \sum_{k_1, k_2, p=0}^{\infty} ([2k_1]_{y_1} [2k_2]_{y_2} [2p]_r F_{k_1, k_2, p}^{\text{NS}}(q) \\ &\quad + [2k_1 + 1]_{y_1} [2k_2 + 1]_{y_2} [2p + 1]_r F_{k_1, k_2, p}^{\text{R}}(q)). \end{aligned} \tag{5.22}$$

Making SUSY manifest amounts to rewriting the partition function as

$$\chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r) = \sum_{n_1, n_2 \geq 0} \sum_{p=0}^{\infty} \llbracket n_1, n_2; p \rrbracket G_{n_1, n_2, p}(q), \tag{5.23}$$

and the aim is to compute explicitly a *multiplicity generating function*  $G_{n_1, n_2, p}(q)$ .

Before proceeding further, we observe the selection rule

$$G_{n_1, 2n_2, 2p+1}(q) = 0, \quad G_{n_1, 2n_2+1, 2p}(q) = 0. \tag{5.24}$$

It follows from (5.22) that  $[k_1]_{y_1} [k_2]_{y_2} [p]_r$  with odd (respectively even) values of  $p$  only enter with a product of two representations with both odd (resp. even)  $k_1$  and  $k_2$ . According to (2.60) and (2.64), the product  $[k_1]_{y_1} [k_2]_{y_2}$  with both odd (resp. even)  $k_1$  and  $k_2$  decomposes into only spin (resp. non-spin) representations of  $SO(5)$ . In other words, a spin (resp. non-spin) representation only comes with an odd (resp. even) value of  $p$ , and hence (5.24) follows.

The multiplicity of  $\llbracket n_1, n_2; p \rrbracket$  appearing in  $\chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r)$  can be determined as follows:

$$\begin{aligned} G_{n_1, n_2, p}(q) &= \int d\mu_{SU(2)}(r) [p]_r \int d\mu_{SO(5)}(\mathbf{y}) [n_1, n_2]_{\mathbf{y}} \frac{\chi^{\mathcal{N}_{6d}=(1,0)}(q; \mathbf{y}, r)}{Z(\mathcal{N}_{6d} = (1, 0))(\mathbf{y}, r)}, \\ &= G_{n_1, n_2, p}^{\text{NS}}(q) + G_{n_1, n_2, p}^{\text{R}}(q), \end{aligned} \tag{5.25}$$

where

$$\begin{aligned} G_{n_1, n_2, p}^{\text{NS}}(q) &= \int d\mu_{SU(2)}(r) [p]_r \int d\mu_{SO(5)}(\mathbf{y}) [n_1, n_2]_{\mathbf{y}} \\ &\quad \times \sum_{k_1, k_2, p' \geq 0} \frac{[2k_1]_{y_1} [2k_2]_{y_2} [2p']_r}{Z(\mathcal{N}_{6d} = (1, 0))(\mathbf{y}, r)} F_{k_1, k_2, p'}^{\text{NS}}(q), \end{aligned} \tag{5.26}$$

$$\begin{aligned} G_{n_1, n_2, p}^{\text{R}}(q) &= \int d\mu_{SU(2)}(r) [p]_r \int d\mu_{SO(5)}(\mathbf{y}) [n_1, n_2]_{\mathbf{y}} \\ &\quad \times \sum_{k_1, k_2, p' \geq 0} \frac{[2k_1 + 1]_{y_1} [2k_2 + 1]_{y_2} [2p' + 1]_r}{Z(\mathcal{N}_{6d} = (1, 0))(\mathbf{y}, r)} F_{k_1, k_2, p'}^{\text{R}}(q), \end{aligned} \tag{5.27}$$

and the inverse of the character of the fundamental multiplet in (5.1) can be written as a geometric

series<sup>24</sup> similar to (4.42)

$$\begin{aligned}
 [Z(\mathcal{N}_{6d} = (1, 0))(y, r)]^{-1} &= \frac{r^2}{(1 + \frac{r}{y_1 y_2})(1 + \frac{r y_1}{y_2})(1 + \frac{r y_2}{y_1})(1 + r y_1 y_2)} \\
 &= \sum_{m_1, \dots, m_4 \geq 0} (-1)^{m_1 + m_2 + m_3 + m_4} r^{2 + m_1 + m_2 + m_3 + m_4} \\
 &\quad \times y_1^{-m_1 + m_2 - m_3 + m_4} y_2^{-m_1 - m_2 + m_3 + m_4}. \tag{5.29}
 \end{aligned}$$

5.3.1. Some useful identities

Before we proceed further, let us derive some useful identities for the elementary building blocks of  $G_{n_1, n_2, p}$ . The first one follows from (2.6):

$$\begin{aligned}
 \mathcal{I}_0(w; p_1, p_2) &:= \int d\mu_{SO(3)}(r) r^w [p_1]_r [p_2]_r \\
 &= \begin{cases} \delta_{p_1, p_2} & \text{for } w = 0, \\ \frac{1}{2} \sum_{p=0}^{\frac{1}{2}(p_1 + p_2 - |p_1 - p_2|)} (\delta_{|w|, 2p + |p_1 - p_2|} - \delta_{|w|, 2p + 2 + |p_1 - p_2|}) & \text{for } w \neq 0. \end{cases} \tag{5.30}
 \end{aligned}$$

Next, we are interested in the following integral:

$$\mathcal{I}(w; \mathbf{k}; \mathbf{n}) := \int d\mu_{SO(5)}(y) y_1^{w_1} y_2^{w_2} [k_1]_{y_1} [k_2]_{y_2} [n_1, n_2]_y. \tag{5.31}$$

There are four cases to be considered. Each of them can be computed using the decomposition formula (2.61) or (2.65), together with (5.30). In what follows, we assume that  $\mathbf{k}, \mathbf{n} \in \mathbb{Z}_{\geq 0}^2$  and  $w \in \mathbb{Z}^2$ .

$$\begin{aligned}
 &\mathcal{I}(w; 2k_1, 2k_2; n_1, 2n_2) \\
 &= \sum_{k' \in \mathbb{Z}_{\geq 0}^2} \Delta(n_1 + n_2, n_2; 2k'_1, 2k'_2) \prod_{A=1}^2 \mathcal{I}_0(w_A; 2k_A, 2k'_A), \tag{5.32}
 \end{aligned}$$

$$\begin{aligned}
 &\mathcal{I}(w; 2k_1 + 1, 2k_2 + 1; n_1, 2n_2) \\
 &= \sum_{k' \in \mathbb{Z}_{\geq 0}^2} \Delta(n_1 + n_2, n_2; 2k'_1, 2k'_2) \prod_{A=1}^2 \mathcal{I}_0(w_A; 2k_A + 1, 2k'_A), \tag{5.33}
 \end{aligned}$$

$$\begin{aligned}
 &\mathcal{I}(w; 2k_1, 2k_2; n_1, 2n_2 + 1) \\
 &= \sum_{k' \in \mathbb{Z}_{\geq 0}^2} \Delta\left(n_1 + n_2 + \frac{1}{2}, n_2 + \frac{1}{2}; 2k'_1 + 1, 2k'_2 + 1\right) \prod_{A=1}^2 \mathcal{I}_0(w_A; 2k_A, 2k'_A + 1), \tag{5.34}
 \end{aligned}$$

<sup>24</sup> Note that this can also be rewritten as

$$\begin{aligned}
 [Z(\mathcal{N}_{6d} = (1, 0))(y, r)]^{-1} &= r^2 \text{PE}[s[0, 1]_y] \quad \text{with } s = -r \\
 &= \sum_{m=0}^{\infty} (-1)^m r^{m+2} [0, m]_y. \tag{5.28}
 \end{aligned}$$



$$\begin{aligned} & \mathcal{I}(\mathbf{w}; 2k_1 + 1, 2k_2 + 1; n_1, 2n_2 + 1) \\ &= \sum_{k' \in \mathbb{Z}_{\geq 0}^2} \Delta\left(n_1 + n_2 + \frac{1}{2}, n_2 + \frac{1}{2}; 2k'_1 + 1, 2k'_2 + 1\right) \prod_{A=1}^2 \mathcal{I}_0(w_A; 2k_A + 1, 2k'_A + 1), \end{aligned} \tag{5.35}$$

where from (2.57) and (2.62)

$$\Delta(\lambda_1, \lambda_2; 2k_1, 2k_2) = \frac{1}{2} \sum_{\sigma \in S_2} \det(\theta_{|\lambda_A - A + B|}^{4 + \lambda_A - A - B}(k_{\sigma(A)}))_{A,B=1}^2, \tag{5.36}$$

$$\Delta(\lambda_1, \lambda_2; 2k_1 + 1, 2k_2 + 1) = \frac{1}{2} \sum_{\sigma \in S_2} \det\left(\theta_{|\lambda_A - A + B|}^{4 + \lambda_A - A - B}\left(k_{\sigma(A)} + \frac{1}{2}\right)\right)_{A,B=1}^2. \tag{5.37}$$

### 5.3.2. Multiplicity generating function

The NS and R sector contributions to the multiplicity generating function for the representation  $[[n_1, n_2; p]]$  can be rewritten as

$$\begin{aligned} G_{n_1, n_2, p}^{\text{NS}}(q) &= \sum_{m_1, \dots, m_4 \geq 0} (-1)^{\sum_{j=1}^4 m_j} \sum_{p' \geq 0} \mathcal{I}_0(W_1(\mathbf{m}), p, 2p') \\ &\quad \times \sum_{k_1, k_2 \geq 0} \mathcal{I}(W_2(\mathbf{m}); 2k_1, 2k_2; n_1, n_2) F_{k_1, k_2, p'}^{\text{NS}}(q), \end{aligned} \tag{5.38}$$

$$\begin{aligned} G_{n_1, n_2, p}^{\text{R}}(q) &= \sum_{m_1, \dots, m_4 \geq 0} (-1)^{\sum_{j=1}^4 m_j} \sum_{p' \geq 0} \mathcal{I}_0(W_1(\mathbf{m}), p, 2p' + 1) \\ &\quad \times \sum_{k_1, k_2 \geq 0} \mathcal{I}(W_2(\mathbf{m}); 2k_1 + 1, 2k_2 + 1; n_1, n_2) F_{k_1, k_2, p'}^{\text{R}}(q), \end{aligned} \tag{5.39}$$

where we define

$$\begin{aligned} W_1(\mathbf{m}) &= 2 + m_1 + m_2 + m_3 + m_4, \\ W_2(\mathbf{m}) &= (-m_1 + m_2 - m_3 + m_4, -m_1 - m_2 + m_3 + m_4). \end{aligned} \tag{5.40}$$

As stated in (5.25), the multiplicity of the representation  $[[n_1, n_2; p]]$  in the  $\mathcal{N}_{6d} = (1, 0)$  partition function is given by

$$\begin{aligned} & G_{n_1, n_2, p}(q) \\ &= G_{n_1, n_2, p}^{\text{NS}}(q) + G_{n_1, n_2, p}^{\text{R}}(q) \\ &= \sum_{m_1, \dots, m_4 \geq 0} (-1)^{\sum_{j=1}^4 m_j} \sum_{p' \geq 0} \left[ \mathcal{I}_0(W_1(\mathbf{m}); p, 2p') \right. \\ &\quad \times \sum_{k_1, k_2 \geq 0} \mathcal{I}(W_2(\mathbf{m}); 2k_1, 2k_2; n_1, n_2) F_{k_1, k_2, p'}^{\text{NS}}(q) \\ &\quad \left. + \mathcal{I}_0(W_1(\mathbf{m}), p, 2p' + 1) \sum_{k_1, k_2 \geq 0} \mathcal{I}(W_2(\mathbf{m}); 2k_1 + 1, 2k_2 + 1; n_1, n_2) F_{k_1, k_2, p'}^{\text{R}}(q) \right]. \end{aligned} \tag{5.41}$$

5.4. Empirical approach to  $\mathcal{N}_{6d} = (1, 0)$  asymptotic patterns

In this subsection, we follow the lines of Section 4.5 and investigate the large spin asymptotics of multiplicity generating functions  $G_{n,k,p}(q)$  for universal  $\mathcal{N}_{6d} = (1, 0)$  supermultiplets  $[[n, k; p]]$ . Similar to the  $\mathcal{N}_{4d} = 1$  strategy, the  $G_{n,k,p}(q)$  are expanded in powers of  $q^n$  where  $n$  denotes the first Dynkin label that we loosely identify with the spin. The coefficients  $\tau_\ell^{k,p}(q)$  of  $(q^n)^\ell$  turn out to be power series with non-negative coefficients which enter with alternating sign  $(-1)^{\ell-1}$ :

$$G_{n,k,p}(q) = q^n \tau_1^{k,p}(q) - q^{2n} \tau_2^{k,p}(q) + q^{3n} \tau_3^{k,p}(q) - \dots = \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell n} \tau_\ell^{k,p}(q). \tag{5.42}$$

In spacetime dimensions higher than four, the analytic methods of Section 4.4 are no longer efficiently applicable. We could not find an asymptotic formula for (5.41) resembling (4.63) and (4.64) for the large spin regime of the  $\mathcal{N}_{4d} = 1$  multiplicity generating functions. Hence, we determine the  $\tau_\ell^{k,p}(q)$  including the leading trajectory  $\tau_1^{k,p}(q)$  from our data found by expanding the partition function (5.3) up to mass level 25. The multiplicities of  $[[n, 0; 0]]$  multiplets are shown in the following Table 5, data for non-zero values  $(k, p) = (2, 0), (0, 2)$  and  $(1, 1)$  can be found in Appendix B.2. Table entries marked in red are only affected by the stable pattern  $\tau_{\ell=1}^{k,p}(q)$  whereas the blue numbers arise from  $q^n \tau_1^{k,p}(q) - q^{2n} \tau_2^{k,p}(q)$ , i.e. by including the (subtractive) subleading trajectory.

5.4.1. Levels of first appearance

Let us firstly determine the level of first appearance for various families  $[[n, k; p]]$ ,  $n = 0, 1, \dots$  of  $\mathcal{N}_{6d} = (1, 0)$  supermultiplets with second  $SO(5)$  Dynkin label  $k$  and  $R$  symmetry quantum number  $p$  fixed. It is identical to the leading  $q$  power of the multiplicity generating function  $G_{0,k,p}(q)$  or its expansion coefficients  $\tau_\ell^{k,p}(q)$  defined by (5.42). The following Table 6 gathers the mass levels  $\alpha' m^2 \leq 25$  where the first instance of a  $[[n, k; p]]$ ,  $n = 0, 1, \dots$  member can be found.

We observe that, roughly speaking, the level of first appearance for supermultiplets  $[[n, k; p]]$  depends linearly<sup>25</sup> on the  $SO(5)$  Dynkin label  $k$  (with slope  $\frac{3}{2}$ ) but quadratically on the R symmetry spin  $p/2$ , in agreement with the final remark in Section 3.2.

5.4.2. Explicit formulae for the  $\tau_\ell^{k,p}(q)$

Let us now list the leading terms in  $\tau_\ell^{0,0}(q), \tau_\ell^{2,0}(q), \tau_\ell^{0,2}(q)$  and  $\tau_\ell^{1,1}(q)$ , obtained through the entries of Table 5 and its  $(k, p) \neq (0, 0)$  relatives displayed in Appendix B.2. This allows to reconstruct the large spin asymptotics of the multiplicity generating functions  $G_{n,k,p}(q)$  via (5.42).

<sup>25</sup> The linear  $k$  dependence can be partially understood from the  $\lambda_{1,2}$  dependence in (2.68). However, the bosonic string suggests that an  $SO(5)$  representation  $[n, k]$  is delayed by two levels under  $k \mapsto k + 1$  whereas the observations from Table 6 clearly show a delay of three levels per  $k \mapsto k + 1$ . Even though we cannot give a detailed explanation on analytical grounds, it is clear that this extra delay in mass level must be due to the worldsheet fermions, see e.g. (2.69) and (2.70).

Table 5  
 $\mathcal{N}_{6d} = (1, 0)$  multiplets with  $SO(5)$  quantum numbers  $[n, 0]$  and  $SU(2)_R$  spin 0.

$\alpha' m^2$	# $[[0, 0; 0]]$	# $[[1, 0; 0]]$	# $[[2, 0; 0]]$	# $[[3, 0; 0]]$	# $[[4, 0; 0]]$	# $[[5, 0; 0]]$	# $[[6, 0; 0]]$	# $[[7, 0; 0]]$	# $[[8, 0; 0]]$	# $[[9, 0; 0]]$	# $[[10, 0; 0]]$	# $[[11, 0; 0]]$
1	0	1	0									
2	0	0	1	0								
3	1	2	0	1	0							
4	2	2	3	0	1	0						
5	3	9	4	3	0	1	0					
6	8	12	14	5	3	0	1	0				
7	13	35	24	17	5	3	0	1	0			
8	30	58	63	29	18	5	3	0	1	0		
9	53	135	116	82	32	18	5	3	0	1	0	
10	107	243	265	153	88	33	18	5	3	0	1	0
11	193	505	503	358	172	91	33	18	5	3	0	1
12	376	918	1044	696	403	178	92	33	18	5	3	0
13	670	1803	1975	1474	801	423	181	92	33	18	5	3
14	1246	3269	3887	2839	1711	846	429	182	92	33	18	5
15	2220	6136	7235	5687	3355	1824	866	432	182	92	33	18
16	4005	11 015	13 691	10 754	6784	3605	1870	872	433	182	92	33
17	7025	20 052	25 041	20 649	13 021	7348	3718	1890	875	433	182	92
18	12 407	35 469	45 971	38 304	25 243	14 213	7606	3764	1896	876	433	182
19	21 469	63 030	82 532	71 226	47 411	27 774	14 790	7720	3784	1899	876	433
20	37 182	109 838	147 906	129 443	89 013	52 547	29 015	15 048	7766	3790	1900	876
21	63 492	191 293	260 818	234 646	163 536	99 387	55 177	29 600	15 162	7786	3793	1900
22	108 142	328 527	457 957	418 298	299 140	183 903	104 797	56 431	29 859	15 208	7792	3794
23	182 254	562 391	794 256	741 961	538 495	338 749	194 850	107 476	57 016	29 973	15 228	7795
24	306 007	952 431	1 369 976	1 299 438	963 344	613 928	360 467	200 360	108 738	57 275	30 019	15 234
25	509 309	1 605 996	2 339 762	2 261 945	1 702 039	1 105 604	656 324	371 692	203 052	109 324	57 389	30 039



- $SO(5)$  Dynkin labels  $[n \rightarrow \infty, 0]$  and  $SU(2)_R$  representation  $[0]$

$$\begin{aligned}
 \tau_1^{0,0}(q) &= 1 + 0q + 3q^2 + 5q^3 + 18q^4 + 33q^5 + 92q^6 + 182q^7 + 433q^8 \\
 &\quad + 876q^9 + 1900q^{10} + 3794q^{11} + 7796q^{12} + 15\,238q^{13} \\
 &\quad + 30\,049q^{14} + 57\,465q^{15} + 109\,773q^{16} \\
 &\quad + 205\,349q^{17} + 382\,249q^{18} + 700\,520q^{19} + \dots, \\
 \tau_2^{0,0}(q) &= q(1 + 4q^1 + 10q^2 + 30q^3 + 76q^4 + 190q^5 + 449q^6 + 1035q^7 + 2298q^8 \\
 &\quad + 4999q^9 + 10\,580q^{10} + 21\,976q^{11} + 44\,727q^{12} + 89\,543q^{13} + \dots), \\
 \tau_3^{0,0}(q) &= q(1 + q + 10q^2 + 23q^3 + 81q^4 + 194q^5 + 531q^6 + 1232q^7 \\
 &\quad + 2967q^8 + 6586q^9 + \dots), \\
 \tau_4^{0,0}(q) &= q^2(1 + 5q + 16q^2 + 53q^3 + 153q^4 + 417q^5 + \dots), \\
 \tau_5^{0,0}(q) &= q^2(1 + q + 11q^2 + \dots).
 \end{aligned} \tag{5.43}$$

- $SO(5)$  Dynkin labels  $[n \rightarrow \infty, 2]$  and  $SU(2)_R$  representation  $[0]$

$$\begin{aligned}
 \tau_1^{2,0}(q) &= q^2(1 + 2q + 8q^2 + 17q^3 + 52q^4 + 117q^5 + 293q^6 + 645q^7 + 1468q^8 \\
 &\quad + 3119q^9 + 6667q^{10} + 13\,674q^{11} + 27\,913q^{12} + 55\,446q^{13} + 109\,165q^{14} \\
 &\quad + 210\,717q^{15} + 402\,714q^{16} + 757\,889q^{17} + 1\,412\,208q^{18} + \dots), \\
 \tau_2^{2,0}(q) &= q^3(1 + 4q + 14q^2 + 41q^3 + 118q^4 + 306q^5 + 764q^6 + 1818q^7 + 4191q^8 \\
 &\quad + 9344q^9 + 20\,318q^{10} + 43\,083q^{11} + 89\,493q^{12} + 182\,239q^{13} + \dots), \\
 \tau_3^{2,0}(q) &= q^5(3 + 9q + 40q^2 + 114q^3 + 345q^4 + 890q^5 + 2297q^6 + 5481q^7 \\
 &\quad + 12\,871q^8 + \dots), \\
 \tau_4^{2,0}(q) &= q^6(1 + 5q + 23q^2 + 79q^3 + 251q^4 + 717q^5 + \dots), \\
 \tau_5^{2,0}(q) &= q^8(3 + 10q + 48q^2 + \dots).
 \end{aligned} \tag{5.44}$$

- $SO(5)$  Dynkin labels  $[n \rightarrow \infty, 0]$  and  $SU(2)_R$  representation  $[2]$

$$\begin{aligned}
 \tau_1^{0,2}(q) &= q^3(3 + 5q + 20q^2 + 46q^3 + 128q^4 + 288q^5 + 696q^6 + 1513q^7 + 3354q^8 \\
 &\quad + 7025q^9 + 14\,707q^{10} + 29\,736q^{11} + 59\,679q^{12} + 116\,933q^{13} \\
 &\quad + 226\,900q^{14} + 432\,515q^{15} + 816\,089q^{16} + \dots), \\
 \tau_2^{0,2}(q) &= q^2(1 + 3q^1 + 13q^2 + 37q^3 + 109q^4 + 285q^5 + 727q^6 + 1737q^7 \\
 &\quad + 4050q^8 + 9075q^9 + 19\,868q^{10} + 42\,302q^{11} + 88\,278q^{12} + \dots), \\
 \tau_3^{0,2}(q) &= q^2(1 + 2q + 13q^2 + 37q^3 + 124q^4 + 331q^5 + 906q^6 \\
 &\quad + 2233q^7 + 5456q^8 + \dots), \\
 \tau_4^{0,2}(q) &= q^3(2 + 7q + 29q^2 + 92q^3 + 282q^4 + \dots), \\
 \tau_5^{0,2}(q) &= q^3(1 + 3q + 18q^2 + \dots).
 \end{aligned} \tag{5.45}$$

- $SO(5)$  Dynkin labels  $[n \rightarrow \infty, 1]$  and  $SU(2)_R$  representation  $[1]$

$$\begin{aligned}
\tau_1^{1,1}(q) &= q^2(2 + 4q + 13q^2 + 35q^3 + 89q^4 + 216q^5 + 508q^6 + 1145q^7 + 2521q^8 \\
&\quad + 5402q^9 + 11320q^{10} + 23238q^{11} + 46856q^{12} + 92850q^{13} \\
&\quad + 181217q^{14} + 348612q^{15} + 661792q^{16} + 1240786q^{17} + \dots), \\
\tau_2^{1,1}(q) &= q^2(1 + 4q + 13q^2 + 43q^3 + 122q^4 + 323q^5 + 814q^6 + 1962q^7 + 4550q^8 \\
&\quad + 10233q^9 + 22370q^{10} + 47718q^{11} + 99574q^{12} + \dots), \\
\tau_3^{1,1}(q) &= q^3(1 + 5q + 21q^2 + 70q^3 + 211q^4 + 584q^5 + 1529q^6 + 3798q^7 + \dots), \\
\tau_4^{1,1}(q) &= q^4(1 + 6q + 24q^2 + 85q^3 + \dots), \\
\tau_5^{1,1}(q) &= q^5(1 + \dots). \tag{5.46}
\end{aligned}$$

Further  $\tau_\ell^{k,p}(q)$  are listed in AUXILIARY FILE 2. They suggest that the  $\tau_\ell^{k,p}(q)$  expansion (5.42) converges more quickly with larger values of  $k$  and smaller values of  $p$ .

### 5.5. Four-dimensional $\mathcal{N}_{4d} = 2$ spectra

In order to determine universal string spectra with  $\mathcal{N}_{4d} = 2$  SUSY, we shall now compactify two dimensions of minimally supersymmetric  $\mathcal{N}_{6d} = (1, 0)$  theories on a  $T^2$ . This preserves all the eight supercharges and the internal rotation symmetry becomes an  $R$  symmetry factor of  $SO(2)_R \cong U(1)_R$ . Hence, the dimensionally reduced theory in  $d = 4$  spacetime dimensions enjoys  $\mathcal{N}_{4d} = 2$  SUSY and  $R$  symmetry  $SU(2)_R \times U(1)_R$ . The fundamental  $\mathcal{N}_{4d} = 2$  super-Poincaré multiplet encompasses  $8 + 8$  states,

$$Z(\mathcal{N}_{4d} = 2) = [2]_y + [2]_r[0]_y + (z^2 + z^{-2})[0]_y + (z + z^{-1})[1]_r[1]_y \tag{5.47}$$

where  $z$  denotes the  $U(1)_R$  fugacity. The tensor product of (5.47) with a Clifford vacuum in some  $SO(3) \times SU(2)_R \times U(1)_R$  representation yields a family of supermultiplets characterized by three quantum numbers –  $n$  for  $SO(3)$  spin,  $m$  for  $SU(2)_R$  spin and  $p$  for  $U(1)_R$  charge. The resulting  $16(n+1)(m+1)$  states are described by the supercharacter<sup>26</sup>

<sup>26</sup> The simplicity of the  $SO(3)$  tensor product  $[2m] \cdot [2k] = \sum_{l=|k-m|}^{k+m} [2l]$  allows for compact closed formulae for the  $SO(3) \times SU(2)_R \times U(1)_R$  decomposition of a general  $\mathcal{N}_{4d} = 2$  supercharacter:

$$\begin{aligned}
[[n; m, p]] &= z^p \{ [m]_r [n+2] + [m]_r [n-2] + [m+2]_r [n] + [m-2]_r [n] + 2[m]_r [n] \\
&\quad + (z^2 + z^{-2})[m]_r [n] + (z + z^{-1})([m+1]_r + [m-1]_r)([n+1] + [n-1]) \}. \tag{5.48}
\end{aligned}$$

This generic character formula (5.48) holds for values  $n, m \geq 2$  of the Clifford vacuum's  $SO(3) \times SU(2)_R$  spin quantum numbers and specializes otherwise:

$$\begin{aligned}
[[n; 0, p]] &= z^p \{ [n+2] + [n-2] + [2]_r [n] + (1 + z^2 + z^{-2})[n] \\
&\quad + (z + z^{-1})[1]_r ([n+1] + [n-1]) \}, \quad n \geq 2, \tag{5.49}
\end{aligned}$$

$$\begin{aligned}
[[0; m, p]] &= z^p \{ [m]_r [2] + [m]_r [0] + [m+2]_r [0] + [m-2]_r [0] + (z^2 + z^{-2})[m]_r [0] \\
&\quad + (z + z^{-1})([m+1]_r + [m-1]_r)[1] \}, \quad m \geq 2, \tag{5.50}
\end{aligned}$$

$$[[0; 0, p]] = z^p \{ [2] + [2]_r [0] + (z^2 + z^{-2})[0] + (z + z^{-1})[1]_r [1] \}, \tag{5.51}$$

$$[[n; m, p]] := Z(\mathcal{N}_{4d} = 2) \cdot z^p [m]_r [n]_y. \tag{5.53}$$

The position of the semicolon in the arguments of the supercharacter allows to distinguish  $\mathcal{N}_{4d} = 2$  multiplets  $[[\cdot; \cdot, \cdot]]$  from  $\mathcal{N}_{6d} = (1, 0)$  multiplets  $[[\cdot, \cdot; \cdot]]$ .

The universal partition function of  $\mathcal{N}_{4d} = 2$  scenarios is obtained through GSO projection of the following character products:

$$\begin{aligned} \chi^{\mathcal{N}_{4d}=2}(q; y, r, z) &= \chi_{\text{NS}}^{\mathcal{N}_{4d}=2} \Big|_{\text{GSO}}(q; y, r, z) + \chi_{\text{R}}^{\mathcal{N}_{4d}=2} \Big|_{\text{GSO}}(q; y, r, z), \\ \chi_{\text{NS}}^{\mathcal{N}_{4d}=2} \Big|_{\text{GSO}}(q; y, r, z) &= \frac{1}{2} q^{-\frac{1}{2}} \left[ \chi_{\text{NS}}^{\text{SO}(3)}(q; y) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(q; r) \chi_{\text{NS}}^{\text{SO}(3)}(q; z) \right. \\ &\quad \left. - \chi_{\text{NS}}^{\text{SO}(3)}(e^{2\pi i} q; y) \chi_{\text{NS}, h=0, \ell=0}^{\mathcal{N}_{2d}=4, c=6}(e^{2\pi i} q; r) \chi_{\text{NS}}^{\text{SO}(3)}(e^{2\pi i} q; z) \right], \\ \chi_{\text{R}}^{\mathcal{N}_{4d}=2} \Big|_{\text{GSO}}(q; y, r, z) &= \frac{1}{2} \chi_{\text{R}}^{\text{SO}(3)}(q; y) \chi_{\text{R}, h=1/4, \ell=1/2}^{\mathcal{N}_{2d}=4, c=6}(q; r) \chi_{\text{R}}^{\text{SO}(3)}(q; z). \end{aligned} \tag{5.54}$$

Its symmetry under reversal  $p \mapsto -p$  of  $U(1)_R$  charges motivates the definition

$$[[n; m, \pm p]] := \begin{cases} [[n; m, p]] + [[n; m, -p]], & p \neq 0, \\ [[n; m, 0]], & p = 0, \end{cases} \tag{5.55}$$

then the power series expansion of (5.54) starts like<sup>27</sup>

$$\begin{aligned} &\chi^{\mathcal{N}_{4d}=2}(q; y, r, z) \\ &= \underbrace{\left( y^2 + y^{-2} + z^2 + z^{-2} + \frac{1}{2}(y + y^{-1})[1]_z [1]_r \right)}_{8 \text{ massless states}} q^0 \\ &\quad + \underbrace{\left( [[2; 0, 0]] + [[0; 0, \pm 2]] \right)}_{80 \text{ states at level 1}} q \\ &\quad + \underbrace{\left( [[4; 0, 0]] + 2[[2; 0, \pm 2]] + [[2; 0, 0]] + [[1; 1, \pm 1]] + [[0; 0, \pm 4]] + 2[[0; 0, 0]] \right)}_{512 \text{ states at level 2}} q^2 \\ &\quad + \left( [[6; 0, 0]] + 2[[4; 0, \pm 2]] + [[4; 0, 0]] + 2[[3; 1, \pm 1]] + 2[[2; 0, \pm 4]] \right. \\ &\quad \left. + 2[[2; 0, \pm 2]] + 6[[2; 0, 0]] + 2[[1; 1, \pm 3]] + 3[[1; 1, \pm 1]] + [[0; 2, 0]] + [[0; 0, \pm 6]] \right. \\ &\quad \left. + 4[[0; 0, \pm 2]] + 2[[0; 0, 0]] \right) q^3 + \mathcal{O}(q^4). \end{aligned} \tag{5.56}$$

The vertex operators occurring in the three multiplets of the first mass level have been constructed in [33], see Eqs. (6.3) to (6.11) of that reference for bosons and Eqs. (6.22) to (6.30) for fermions. The content of the first five levels is summarized in Table 7.

Comparison with the partition function (5.4) of the  $\mathcal{N}_{6d} = (1, 0)$  ancestor theory (and Table 4) clearly demonstrates that the six-dimensional viewpoint gives a more streamlined handle on the

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$[[1; 1, p]] = z^p \{ [1]_r [3] + [3]_r [1] + (2 + z^2 + z^{-2}) [1]_r [1] + (z + z^{-1}) ([2]_r [2] + [2]_r [0] + [2] + [0]) \}.$  (5.52)

We observe the general selection rule that either none or all of  $n, m, p$  are odd, hence, there is no need to consider  $[[1; 0, p]]$  or  $[[0; 1, p]]$ .

<sup>27</sup> Again, there is a subtlety in applying the above formula to the massless R sector; see the footnote before (4.4). However, this can be fixed easily: one can simply add to it  $\frac{1}{2}(y - y^{-1})(z - z^{-1})(r - r^{-1})$  to get the correct massless character in R sector.

Table 7

 $\mathcal{N}_{4d} = 2$  multiplets occurring up to mass level 5.

$\alpha' m^2$	Representations of $\mathcal{N}_{4d} = 2$ super-Poincaré
1	$[[2; 0, 0]] + [[0; 0, \pm 2]]$
2	$[[4; 0, 0]] + 2[[2; 0, \pm 2]] + [[2; 0, 0]] + [[1; 1, \pm 1]] + [[0; 0, \pm 4]] + 2[[0; 0, 0]]$
3	$[[6; 0, 0]] + 2[[4; 0, \pm 2]] + [[4; 0, 0]] + 2[[3; 1, \pm 1]] + 2[[2; 0, \pm 4]] + 2[[2; 0, \pm 2]] + 6[[2; 0, 0]]$ $+ 2[[1; 1, \pm 3]] + 3[[1; 1, \pm 1]] + [[0; 2, 0]] + [[0; 0, \pm 6]] + 4[[0; 0, \pm 2]] + 2[[0; 0, 0]]$
4	$[[8; 0, 0]] + 2[[6; 0, \pm 2]] + [[6; 0, 0]] + 2[[5; 1, \pm 1]] + 2[[4; 0, \pm 4]] + 3[[4; 0, \pm 2]] + 8[[4; 0, 0]]$ $+ 3[[3; 1, \pm 3]] + 6[[3; 1, \pm 1]] + [[2; 2, \pm 2]] + 3[[2; 2, 0]] + 2[[2; 0, \pm 6]] + 3[[2; 0, \pm 4]] + 12[[2; 0, \pm 2]]$ $+ 11[[2; 0, 0]] + 2[[1; 1, \pm 5]] + 5[[1; 1, \pm 3]] + 10[[1; 1, \pm 1]] + 2[[0; 2, \pm 2]] + [[0; 2, 0]] + [[0; 0, \pm 8]]$ $+ 5[[0; 0, \pm 4]] + 4[[0; 0, 2]] + 11[[0; 0, 0]]$
5	$[[10; 0, 0]] + 2[[8; 0, \pm 2]] + [[8; 0, 0]] + 2[[7; 1, \pm 1]] + 2[[6; 0, \pm 4]] + 3[[6; 0, \pm 2]] + 8[[6; 0, 0]]$ $+ 3[[5; 1, \pm 3]] + 7[[5; 1, \pm 1]] + [[4; 2, \pm 2]] + 4[[4; 2, 0]] + 2[[4; 0, \pm 6]] + 4[[4; 0, \pm 4]] + 16[[4; 0, \pm 2]]$ $+ 17[[4; 0, 0]] + 3[[3; 1, \pm 5]] + 11[[3; 1, \pm 3]] + 21[[3; 1, \pm 1]] + [[2; 2, \pm 4]] + 7[[2; 2, \pm 2]] + 8[[2; 2, 0]]$ $+ 2[[2; 0, \pm 8]] + 3[[2; 0, \pm 6]] + 15[[2; 0, \pm 4]] + 23[[2; 0, \pm 2]] + 38[[2; 0, 0]] + [[1; 3, \pm 1]] + 2[[1; 1, \pm 7]]$ $+ 6[[1; 1, \pm 5]] + 16[[1; 1, \pm 3]] + 28[[1; 1, \pm 1]] + 3[[0; 2, \pm 4]] + 4[[0; 2, \pm 2]] + 9[[0; 2, 0]] + [[0; 0, \pm 10]]$ $+ 5[[0; 0, \pm 6]] + 6[[0; 0, \pm 4]] + 21[[0; 0, \pm 2]] + 16[[0; 0, 0]]$

spectrum in terms of fewer supermultiplets. This is why we do not provide an asymptotic analysis and data tables for the universal  $\mathcal{N}_{4d} = 2$  spectrum like we did for the  $d = 6$  ancestor in Section 5.4 and Appendix B.2.

## 6. Spectra in compactifications with 16 supercharges

This section is devoted to maximally supersymmetric Type I superstring compactifications on even-dimensional tori where all the sixteen supercharges are preserved [1]. The methods introduced in Sections 2.4 and 2.5 are applied to decompose the partition function of the  $(\partial X^i, \psi^i)$  CFT describing  $d = 10, 8, 6, 4$  spacetime dimensions into characters of the little group  $SO(d-1)$ . According to Fig. 1, the  $d = 10$  case takes the role of the ancestor theory for 16 supercharges, so its spectrum will be analyzed in particular detail. In the remaining cases  $d = 8, 6, 4$ , dimensional reduction converts part of the higher-dimensional Lorentz symmetry into an internal  $R$  symmetry, i.e. we branch the ten-dimensional little group into  $SO(9) \rightarrow SO(d-1) \times SO(10-d)_R$ . In this process, individual Lorentz fugacities  $y_k$  with  $k > \frac{1}{2}(d-2)$  are reinterpreted as  $R$  symmetry fugacities  $r_k$ .

Before looking at individual dimensionalities in detail, let us fix the notation for describing supersymmetric spectra with  $R$  symmetries: Characters of the spacetime little group  $SO(d-1)$  are denoted by  $[a_1, \dots, a_n]$  with fugacities  $y_1, \dots, y_n$  and  $n = \frac{1}{2}(d-2)$  whereas those of the  $R$  symmetry  $SO(10-d)_R$  receive an extra subscript  $[b_1, \dots, b_\ell]_R$  with fugacities  $r_1, \dots, r_\ell$  and  $\ell = 5 - \frac{d}{2}$ . Our notation for supercharacters makes use of double brackets  $[[a_1, \dots, a_n; b_1, \dots, b_\ell]]$  enclosing the  $SO(d-1) \times SO(10-d)_R$  quantum numbers of the highest weight state. The semicolon between  $a_n$  and  $b_1$  separates spacetime from  $R$  symmetry Dynkin labels and eliminates any ambiguity about the spacetime dimension under consideration.

### 6.1. Ten-dimensional $\mathcal{N}_{10d} = 1$ spectra

In this subsection, we want to revisit the results of [9] on  $SO(9)$  covariant partition functions for ten-dimensional open string excitations and examine further symmetry patterns. The minimal



massive  $\mathcal{N}_{10d} = 1$  SUSY multiplet encompasses  $SO(9)$  representations of a spin two tensor, a three-form and a massive gravitino<sup>28</sup>

$$Z(\mathcal{N}_{10d} = 1) := [2, 0, 0, 0] + [0, 0, 1, 0] + [1, 0, 0, 1]. \tag{6.1}$$

This is precisely the particle content of the first mass level, its vertex operators can for instance be found in Eqs. (2.8), (2.9) and (2.22) of [33].

The generic multiplet is obtained as a tensor product of  $Z(\mathcal{N}_{10d} = 1)$  with some  $SO(9)$  representation and therefore described by the following  $\mathcal{N}_{10d} = 1$  supercharacter:

$$\llbracket a_1, a_2, a_3, a_4 \rrbracket := Z(\mathcal{N}_{10d} = 1) \cdot [a_1, a_2, a_3, a_4]. \tag{6.2}$$

This is the basic building blocks of the refined ten-dimensional partition function. The latter can be obtained through standard GSO projection of the spacetime CFT

$$\begin{aligned} \chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y}) &= \chi_{\text{NS}}^{\mathcal{N}_{10d}=1} \Big|_{\text{GSO}}(q; \mathbf{y}) + \chi_{\text{R}}^{\mathcal{N}_{10d}=1} \Big|_{\text{GSO}}(q; \mathbf{y}), \\ \chi_{\text{NS}}^{\mathcal{N}_{10d}=1} \Big|_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} q^{-\frac{1}{2}} [\chi_{\text{NS}}^{SO(9)}(q; \mathbf{y}) - \chi_{\text{NS}}^{SO(9)}(e^{2\pi i} q; \mathbf{y})], \\ \chi_{\text{R}}^{\mathcal{N}_{10d}=1} \Big|_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} \chi_{\text{R}}^{SO(9)}(q; \mathbf{y}), \end{aligned} \tag{6.3}$$

where  $\chi_{\text{NS}}^{SO(9)}(q; \mathbf{y})$  and  $\chi_{\text{R}}^{SO(9)}(q; \mathbf{y})$  are given by (2.69) and (2.70).

In a power series expansion in  $q$ , the coefficient of the  $n$ th power  $q^n$  comprises the super-Poincaré characters of the  $n$ th mass level  $m^2 = n/\alpha'^{29}$ :

$$\begin{aligned} \chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y}) &= \underbrace{\left( \sum_{j=1}^4 (y_j^2 + y_j^{-2}) + \frac{1}{2} \prod_{j=1}^4 (y_j + y_j^{-1}) \right)}_{16 \text{ massless states}} q^0 \\ &+ \underbrace{\llbracket 0, 0, 0, 0 \rrbracket q}_{256 \text{ states at level 1}} \\ &+ \underbrace{\llbracket 1, 0, 0, 0 \rrbracket q^2}_{2304 \text{ states at level 2}} + \underbrace{(\llbracket 2, 0, 0, 0 \rrbracket + \llbracket 0, 0, 0, 1 \rrbracket) q^3}_{15\,360 \text{ states at level 3}} \\ &+ (\llbracket 3, 0, 0, 0 \rrbracket + \llbracket 1, 0, 0, 1 \rrbracket + \llbracket 1, 0, 0, 0 \rrbracket + \llbracket 0, 1, 0, 0 \rrbracket) q^4 \\ &+ \mathcal{O}(q^5). \end{aligned} \tag{6.4}$$

The supermultiplets up to level eight are listed in Table 8 and the complete first 25 mass levels can be found in Table 9 and Appendix B.3.

<sup>28</sup> Note that  $Z(\mathcal{N}_{10d} = 1)$  is denoted by  $Z_Q$  in [9].

<sup>29</sup> Note the usual subtlety about the massless R sector which was explained in the footnote before (4.4). One can simply fix this by adding  $\frac{1}{2}([0, 0, 0, 1]_{SO(8)} - [0, 0, 1, 0]_{SO(8)}) = \frac{1}{2} \prod_{i=1}^4 (y_i - y_i^{-1})$  to the present result and obtain the correct answer; see also (3.16) of [9]. The  $\frac{1}{2}[1, 0, 0, 0]_9$  factor in the massive sector of the aforementioned (3.16) exactly matches our formula at any positive  $q$  power.

Table 8

 $\mathcal{N}_{10d} = 1$  multiplets occurring up to mass level eight.

$\alpha' m^2$	Representations of $\mathcal{N}_{10d} = 1$ super-Poincaré
1	$[[0, 0, 0, 0]]$
2	$[[1, 0, 0, 0]]$
3	$[[2, 0, 0, 0]] + [[0, 0, 0, 1]]$
4	$[[3, 0, 0, 0]] + [[1, 0, 0, 1]] + [[1, 0, 0, 0]] + [[0, 1, 0, 0]]$
5	$[[4, 0, 0, 0]] + [[2, 0, 0, 1]] + [[2, 0, 0, 0]] + [[1, 1, 0, 0]] + [[1, 0, 0, 1]] + [[0, 1, 0, 0]] + [[0, 0, 1, 0]]$ $+ [[0, 0, 0, 1]] + [[0, 0, 0, 0]]$
6	$[[5, 0, 0, 0]] + [[3, 0, 0, 1]] + [[3, 0, 0, 0]] + [[2, 1, 0, 0]] + [[2, 0, 0, 1]] + [[2, 0, 0, 0]] + 2[[1, 1, 0, 0]]$ $+ [[1, 0, 1, 0]] + 2[[1, 0, 0, 1]] + 2[[1, 0, 0, 0]] + [[0, 1, 0, 1]] + [[0, 1, 0, 0]] + [[0, 0, 0, 2]] + 2[[0, 0, 0, 1]]$
7	$[[6, 0, 0, 0]] + [[4, 0, 0, 1]] + [[4, 0, 0, 0]] + [[3, 1, 0, 0]] + [[3, 0, 0, 1]] + [[3, 0, 0, 0]] + 2[[2, 1, 0, 0]]$ $+ [[2, 0, 1, 0]] + 3[[2, 0, 0, 1]] + 3[[2, 0, 0, 0]] + [[1, 1, 0, 1]] + 2[[1, 1, 0, 0]] + [[1, 0, 1, 0]] + [[1, 0, 0, 2]]$ $+ 4[[1, 0, 0, 1]] + 2[[1, 0, 0, 0]] + [[0, 2, 0, 0]] + 2[[0, 1, 0, 1]] + 2[[0, 1, 0, 0]] + 3[[0, 0, 1, 0]]$ $+ [[0, 0, 0, 2]] + 2[[0, 0, 0, 1]] + 2[[0, 0, 0, 0]]$
8	$[[7, 0, 0, 0]] + [[5, 0, 0, 1]] + [[5, 0, 0, 0]] + [[4, 1, 0, 0]] + [[4, 0, 0, 1]] + [[4, 0, 0, 0]] + 2[[3, 1, 0, 0]]$ $+ [[3, 0, 1, 0]] + 3[[3, 0, 0, 1]] + 4[[3, 0, 0, 0]] + [[2, 1, 0, 1]] + 3[[2, 1, 0, 0]] + [[2, 0, 1, 0]] + [[2, 0, 0, 2]]$ $+ 5[[2, 0, 0, 1]] + 3[[2, 0, 0, 0]] + [[1, 2, 0, 0]] + 3[[1, 1, 0, 1]] + 5[[1, 1, 0, 0]] + 4[[1, 0, 1, 0]]$ $+ 2[[1, 0, 0, 2]] + 7[[1, 0, 0, 1]] + 5[[1, 0, 0, 0]] + [[0, 2, 0, 0]] + [[0, 1, 1, 0]] + 4[[0, 1, 0, 1]]$ $+ 5[[0, 1, 0, 0]] + [[0, 0, 1, 1]] + 2[[0, 0, 1, 0]] + 3[[0, 0, 0, 2]] + 4[[0, 0, 0, 1]] + [[0, 0, 0, 0]]$

### 6.1.1. The total number of states at a given mass level

The total number of states at a given mass level  $m$  can be read off from the coefficient of  $q^m$  in the partition function  $\chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y})$  when the  $SO(9)$  fugacities  $y_1, \dots, y_4$  are set to unity. The function  $\chi^{\mathcal{N}_{10d}=1}(q; \{y_i = 1\})$  is referred to as the *unrefined partition function*. From (2.71), (6.3) and SUSY,<sup>30</sup> we have

$$\chi^{\mathcal{N}_{10d}=1}(q; \{y_i = 1\}) = 2\chi_{\text{R}}^{\mathcal{N}_{10d}=1}|_{\text{GSO}}(q; \{y_i = 1\}) = \frac{\vartheta_2(1, q)^4}{\eta(q)^{12}} = 16 \prod_{n=1}^{\infty} \left( \frac{1+q^n}{1-q^n} \right)^8. \quad (6.6)$$

The coefficients in the power series of this formula reproduces the third column of Table 1. It also agrees with (5.3.37) of [25]. Note that  $\chi^{\mathcal{N}_{10d}=1}(q; \{y_i = 1\})$  is *not* a modular form.

### 6.1.2. The number of states at each mass level and its asymptotics

The number of states at the mass level  $m$  can be determined by

$$N_m = \frac{1}{2\pi i} \oint_{\mathcal{C}} \frac{dq}{q^{m+1}} \chi^{\mathcal{N}_{10d}=1}(q; \{y_i = 1\}), \quad (6.7)$$

where  $\mathcal{C}$  is a contour around the origin.

Now let us compute an asymptotic formula for the number of states  $N_m$  at mass level  $m$  when  $m \rightarrow \infty$ . Note that a similar discussion can be found in Sections 4.3.3 and 5.3.1 of [25]. For completeness, let us go over some details here. We focus on the limit  $q \rightarrow 1^-$  and proceed in

<sup>30</sup> The agreement of GSO projected partition functions for NS and R sectors follows from Jacobi's abstruse identity:

$$\vartheta_3(1, q)^4 - \vartheta_4(1, q)^4 - \vartheta_2(1, q)^4 = 0. \quad (6.5)$$

a similar way to Section 4.1. The asymptotic behavior (4.11) and (4.13) of  $\vartheta_2(1, q)$  and  $\eta(q)$ , respectively, leads to

$$\chi^{\mathcal{N}_{10d}=1}(q; \{y_i = 1\}) \sim \frac{1}{(2\pi)^4} (1 - q)^4 \exp\left(-\frac{2\pi^2}{\log q}\right), \quad q \rightarrow 1^- \tag{6.8}$$

Let us now combine (6.7) with (6.8). As  $m \rightarrow \infty$ ,

$$N_m \sim \frac{1}{(2\pi)^4} \frac{1}{2\pi i} \oint_C \frac{dq}{q} (1 - q)^4 \exp\left(-\frac{2\pi^2}{\log q} - m \log q\right) \tag{6.9}$$

The saddle point is at  $q_0 = \exp(-\pi\sqrt{2/m})$  and the steepest descent direction is the imaginary direction in  $q$ . We proceed in a similar way to (4.17) by writing  $q = q_0 e^{i\theta}$  and using Laplace’s method to obtain

$$\begin{aligned} N_m &\sim \frac{1}{4} m^{-2} \exp(2\pi\sqrt{2m}) \frac{1}{2\pi} \int_{-\infty}^{\infty} d\theta \exp\left(-\frac{m^{3/2}}{\pi\sqrt{2}} \theta^2\right) \\ &\sim \frac{1}{2^{11/4}} m^{-11/4} e^{2\pi\sqrt{2m}}, \quad m \rightarrow \infty. \end{aligned} \tag{6.10}$$

### 6.1.3. The GSO projected NS and R sectors

In this section we compute the contributions from the NS and R sectors to the partition function given in (6.3). Here we consider the refined partition function, i.e. the fugacities  $y$ ’s are kept explicit.

#### The NS sector

From (6.3) and (2.69), the partition function of the GSO projected NS sector has the structure

$$\chi_{\text{NS}}^{\mathcal{N}_{10d}=1}|_{\text{GSO}}(q; y) = \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} F_{k_1, \dots, k_4}^{\text{NS}}(q) \prod_{A=1}^4 [2k_A]_{y_A}, \tag{6.11}$$

where the functions  $F_{k_1, \dots, k_4}^{\text{NS}}(q)$  are given by

$$\begin{aligned} F_{k_1, \dots, k_4}^{\text{NS}}(q) &= (q; q)_{\infty}^{-12} \sum_{\mathbf{n} \in \mathbb{Z}_+^4} \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^4} \\ &\times \prod_{A=1}^4 (-1)^{n_A+1} (1 - q^{n_A}) q^{\frac{1}{2}m_A^2 + \binom{n_A}{2}} (q^{n_A|k_A - m_A|} - q^{n_A(k_A + m_A + 1)}) \\ &\times \frac{1}{2} \left[ \prod_{A=1}^4 (1 - q^{m_A + \frac{1}{2}}) + (-1)^{m_1^2 + m_2^2 + m_3^2 + m_4^2} \prod_{A=1}^4 (1 + q^{m_A + \frac{1}{2}}) \right]. \end{aligned} \tag{6.12}$$

#### The R sector

From (6.3) and (2.70), the partition function of the GSO projected R sector is

$$\chi_{\text{R}}^{\mathcal{N}_{10d}=1}|_{\text{GSO}}(q; y, s) = \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} F_{k_1, \dots, k_4}^{\text{R}}(q) \prod_{A=1}^4 [2k_A + 1]_{y_A}, \tag{6.13}$$

where the function  $F_{k_1, \dots, k_4}^R(q)$  is given by

$$\begin{aligned}
 &F_{k_1, \dots, k_4}^R(q) \\
 &= \frac{1}{2} q^{-\frac{1}{2}} (q; q)_\infty^{-12} \sum_{m \in \mathbb{Z}_{\geq 0}^4} \sum_{n \in \mathbb{Z}_+^4} \prod_{A=1}^4 (-1)^{n_A+1} (1 - q^{m_A+1}) (1 - q^{n_A}) q^{\frac{1}{2}(m_A + \frac{1}{2})^2 + \binom{n_A}{2}} \\
 &\quad \times \prod_{A=1}^4 (q^{n_A |k_A - m_A|} - q^{n_A(k_A + m_A + 2)}). \tag{6.14}
 \end{aligned}$$

**6.1.4. Multiplicities of representations in the  $\mathcal{N}_{10d} = 1$  partition function**

Combining the contributions from the NS and R sectors, we have

$$\begin{aligned}
 \chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y}) &= \chi_{\text{NS}}^{\mathcal{N}_{10d}=1} |_{\text{GSO}}(q; \mathbf{y}) + \chi_{\text{R}}^{\mathcal{N}_{10d}=1} |_{\text{GSO}}(q; \mathbf{y}) \\
 &= \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} \left( F_{\mathbf{k}}^{\text{NS}}(q) \prod_{A=1}^4 [2k_A]_{y_A} + F_{\mathbf{k}}^{\text{R}} \prod_{A=1}^4 [2k_A + 1]_{y_A} \right). \tag{6.15}
 \end{aligned}$$

Supersymmetry implies that this partition function can be rewritten as

$$\chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y}) = \sum_{\mathbf{n} \in \mathbb{Z}_{\geq 0}^4} \llbracket n_1, n_2, n_3, n_4 \rrbracket G_{n_1, n_2, n_3, n_4}(q), \tag{6.16}$$

and the aim is to compute explicitly a *multiplicity generating function*  $G_{n_1, n_2, n_3, n_4}(q)$ .

The multiplicity of  $\llbracket n_1, n_2, n_3, n_4 \rrbracket$  appearing in  $\chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y})$  can be determined as follows:

$$\begin{aligned}
 G_{n_1, n_2, n_3, n_4}(q) &= \int d\mu_{SO(9)}(\mathbf{y}) [n_1, n_2, n_3, n_4]_{\mathbf{y}} \frac{\chi^{\mathcal{N}_{10d}=1}(q; \mathbf{y})}{Z(\mathcal{N}_{10d} = 1)(\mathbf{y})} \\
 &= G_{n_1, n_2, n_3, n_4}^{\text{NS}}(q) + G_{n_1, n_2, n_3, n_4}^{\text{R}}(q), \tag{6.17}
 \end{aligned}$$

where

$$\begin{aligned}
 &G_{n_1, n_2, n_3, n_4}^{\text{NS}}(q) \\
 &= \int d\mu_{SO(9)}(\mathbf{y}) [n_1, n_2, n_3, n_4]_{\mathbf{y}} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} \frac{\prod_{A=1}^4 [2k_A]_{y_A}}{Z(\mathcal{N}_{10d} = 1)(\mathbf{y})} F_{k_1, \dots, k_4}^{\text{NS}}(q), \tag{6.18}
 \end{aligned}$$

$$\begin{aligned}
 &G_{n_1, n_2, n_3, n_4}^{\text{R}}(q) \\
 &= \int d\mu_{SO(9)}(\mathbf{y}) [n_1, n_2, n_3, n_4]_{\mathbf{y}} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} \frac{\prod_{A=1}^4 [2k_A + 1]_{y_A}}{Z(\mathcal{N}_{10d} = 1)(\mathbf{y})} F_{k_1, \dots, k_4}^{\text{R}}(q). \tag{6.19}
 \end{aligned}$$

The inverse of the character of the fundamental multiplet in (6.1) can be written as a geometric

series<sup>31</sup> similar to (4.42) and (5.29)

$$\begin{aligned}
 & [Z(\mathcal{N}_{10d} = 1)(\mathbf{y}, r)]^{-1} \\
 &= \frac{y_4^4}{(1 + \frac{y_4}{y_1 y_2 y_3})(1 + \frac{y_1 y_4}{y_2 y_3})(1 + \frac{y_2 y_4}{y_1 y_3})(1 + \frac{y_1 y_2 y_4}{y_3})(1 + \frac{y_3 y_4}{y_1 y_2})(1 + \frac{y_1 y_3 y_4}{y_2})(1 + \frac{y_2 y_3 y_4}{y_1})(1 + y_1 y_2 y_3 y_4)} \\
 &= \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^8} (-1)^{\sum_{j=1}^8 m_j} y_1^{\sum_{j=1}^8 (-1)^j m_j} y_2^{\sum_{j=1}^8 (-1)^{\lfloor (j+1)/2 \rfloor} m_j} y_3^{\sum_{j=1}^8 (-1)^{\lfloor (j+3)/4 \rfloor} m_j} y_4^{4 + \sum_{j=1}^8 m_j}.
 \end{aligned} \tag{6.21}$$

6.1.5. Some useful identities

In this section, we derive some useful identities that will be put into use later. Once we plug the series expansion (6.21) of the inverse  $Z(\mathcal{N}_{10d} = 1)$  into the integrand of (6.17), the elementary contributions to multiplicity generating functions  $G_{n_1, n_2, n_3, n_4}$  are integrals of type

$$\mathcal{J}_0(\mathbf{w}; \mathbf{p}) := \int d\mu_{SO(3)}(r) r^{\mathbf{w}} \prod_{A=1}^4 [p_A]_r \tag{6.22}$$

as well as

$$\mathcal{J}(\mathbf{w}; \mathbf{k}; \mathbf{n}) := \int d\mu_{SO(9)}(\mathbf{y}) [n_1, n_2, n_3, n_4]_{\mathbf{y}} \prod_{A=1}^4 y_A^{w_A} [k_A]_{y_A}. \tag{6.23}$$

There are four cases to be considered, namely spin/non-spin representations of  $SO(9)$  and for each of these cases  $k_1, \dots, k_4$  can be all even or all odd. In what follows, we assume that  $\mathbf{k}, \mathbf{n} \in \mathbb{Z}_{\geq 0}^4$  and  $\mathbf{w} \in \mathbb{Z}^4$ . For non-spin representations,

$$\begin{aligned}
 & \mathcal{J}(\mathbf{w}; 2k_1, \dots, 2k_4; n_1, \dots, 2n_4) \\
 &= \sum_{\mathbf{k}' \in \mathbb{Z}_{\geq 0}^4} \Delta(\boldsymbol{\lambda}_{ns}; 2k'_1, \dots, 2k'_4) \prod_{A=1}^4 \mathcal{J}_0(w_A; 2k_A, 2k'_A),
 \end{aligned} \tag{6.24}$$

$$\begin{aligned}
 & \mathcal{J}(\mathbf{w}; 2k_1 + 1, \dots, 2k_4 + 1; n_1, \dots, 2n_4) \\
 &= \sum_{\mathbf{k}' \in \mathbb{Z}_{\geq 0}^4} \Delta(\boldsymbol{\lambda}_{ns}; 2k'_1, \dots, 2k'_4) \prod_{A=1}^4 \mathcal{J}_0(w_A; 2k_A + 1, 2k'_A),
 \end{aligned} \tag{6.25}$$

where  $\boldsymbol{\lambda}_{ns} = (n_1 + n_2 + n_3 + n_4, n_2 + n_3 + n_4, n_3 + n_4, n_4)$ . For spin representations,

<sup>31</sup> Note that this can also be rewritten as

$$\begin{aligned}
 [Z(\mathcal{N}_{10d} = 1)(\mathbf{y})]^{-1} &= \lim_{s \rightarrow -1} (\text{PE}[s[0, 0, 0, 1]_{\mathbf{y}}])^{1/2} \\
 &= \left[ \sum_{m=0}^{\infty} (-1)^m \text{Sym}^m[0, 0, 0, 1]_{\mathbf{y}} \right]^{1/2}.
 \end{aligned} \tag{6.20}$$

$$\begin{aligned} &\mathcal{J}(\mathbf{w}; 2k_1, \dots, 2k_4; n_1, \dots, 2n_4 + 1) \\ &= \sum_{\mathbf{k}' \in \mathbb{Z}_{\geq 0}^4} \Delta(\boldsymbol{\lambda}_s; 2k'_1 + 1, \dots, 2k'_4 + 1) \prod_{A=1}^4 \mathcal{J}_0(w_A; 2k_A, 2k'_A + 1), \end{aligned} \tag{6.26}$$

$$\begin{aligned} &\mathcal{J}(\mathbf{w}; 2k_1 + 1, \dots, 2k_4 + 1; n_1, \dots, 2n_4 + 1) \\ &= \sum_{\mathbf{k}' \in \mathbb{Z}_{\geq 0}^4} \Delta(\boldsymbol{\lambda}_s; 2k'_1 + 1, \dots, 2k'_4 + 1) \prod_{A=1}^4 \mathcal{J}_0(w_A; 2k_A + 1, 2k'_A + 1), \end{aligned} \tag{6.27}$$

where  $\boldsymbol{\lambda}_s = (n_1 + n_2 + n_3 + n_4 + \frac{1}{2}, n_2 + n_3 + n_4 + \frac{1}{2}, n_3 + n_4 + \frac{1}{2}, n_4 + \frac{1}{2})$ . Recall from (2.57) and (2.62) that

$$\Delta(\boldsymbol{\lambda}; 2k_1, \dots, 2k_4) = \frac{1}{4!} \sum_{\sigma \in S_4} \det(\theta_{|\lambda_A - A + B|}^{8 + \lambda_A - A - B}(k_{\sigma(A)}))_{A,B=1}^4, \tag{6.28}$$

$$\Delta(\boldsymbol{\lambda}; 2k_1 + 1, \dots, 2k_4 + 1) = \frac{1}{4!} \sum_{\sigma \in S_4} \det\left(\theta_{|\lambda_A - A + B|}^{8 + \lambda_A - A - B}\left(k_{\sigma(A)} + \frac{1}{2}\right)\right)_{A,B=1}^4. \tag{6.29}$$

*6.1.6. Multiplicity generating function*

The NS and R sector contributions to the multiplicity generating function for the representation  $\llbracket n_1, n_2, n_3, n_4 \rrbracket$  can be rewritten as

$$G_{n_1, \dots, n_4}^{\text{NS}}(q) = \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^8} (-1)^{\sum_{j=1}^8 m_j} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} \mathcal{J}(\mathbf{W}(\mathbf{m}); 2k_1, \dots, 2k_4; \mathbf{n}) F_{k_1, \dots, k_4}^{\text{NS}}(q), \tag{6.30}$$

$$G_{n_1, \dots, n_4}^{\text{R}}(q) = \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^8} (-1)^{\sum_{j=1}^8 m_j} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} \mathcal{J}(\mathbf{W}(\mathbf{m}); 2k_1 + 1, \dots, 2k_4 + 1; \mathbf{n}) F_{k_1, \dots, k_4}^{\text{R}}(q), \tag{6.31}$$

where

$$\mathbf{W}(\mathbf{m}) = \left( \sum_{j=1}^8 (-1)^j m_j, \sum_{j=1}^8 (-1)^{\lfloor (j+1)/2 \rfloor} m_j, \sum_{j=1}^8 (-1)^{\lfloor (j+3)/4 \rfloor} m_j, 4 + \sum_{j=1}^8 m_j \right). \tag{6.32}$$

As stated in (6.3), the multiplicity of the representation  $\llbracket n_1, n_2, n_3, n_4 \rrbracket$  in the  $\mathcal{N}_{10d} = 1$  partition function is given by

$$\begin{aligned} &G_{n_1, n_2, n_3, n_4}(q) \\ &= \sum_{\mathbf{m} \in \mathbb{Z}_{\geq 0}^8} (-1)^{\sum_{j=1}^8 m_j} \sum_{\mathbf{k} \in \mathbb{Z}_{\geq 0}^4} [\mathcal{J}(\mathbf{W}(\mathbf{m}); 2k_1, \dots, 2k_4; \mathbf{n}) F_{k_1, \dots, k_4}^{\text{NS}}(q) \\ &\quad + \mathcal{J}(\mathbf{W}(\mathbf{m}); 2k_1 + 1, \dots, 2k_4 + 1; \mathbf{n}) F_{k_1, \dots, k_4}^{\text{R}}(q)]. \end{aligned} \tag{6.33}$$

*6.2. Empirical approach to  $\mathcal{N}_{10d} = 1$  asymptotic patterns*

In this subsection, we proceed like in Sections 4.5 and 5.4 to obtain large spin asymptotics of multiplicity generating functions  $G_{n,x,y,z}(q)$  for  $\mathcal{N}_{10d} = 1$  supermultiplet  $\llbracket n, x, y, z \rrbracket$ . The

supermultiplet content of the first 25 mass levels is used to determine the  $q$  expansion of the leading coefficients  $\tau_\ell^{x,y,z}(q)$  defined by:

$$G_{n,x,y,z}(q) = q^n \tau_1^{x,y,z}(q) - q^{2n} \tau_2^{x,y,z}(q) + q^{3n} \tau_3^{x,y,z}(q) - \dots = \sum_{\ell=1}^{\infty} (-1)^{\ell-1} q^{\ell n} \tau_\ell^{x,y,z}(q). \tag{6.34}$$

Again, the  $\tau_\ell^{x,y,z}(q)$  are found to be power series in  $q$  with non-negative coefficients.

Having  $d > 4$  spacetime dimensions makes the analytic methods of Section 4.4 inefficient, i.e. we did not find a manageable asymptotic formula for (6.33). Hence, we compute the  $\tau_\ell^{x,y,z}(q)$  at  $\ell \leq 5$  on the basis of an  $\mathcal{O}(q^{25})$  expansion of the partition function (6.3). The multiplicities of  $[[n, 0, 0, 0]]$  multiplets are shown in the following Table 9, and analogous data tables for  $[[n, x, y, z]]$  at non-zero values of  $x, y, z$  can be found in Appendix B.3. The numbers marked in red match with the leading trajectory contribution  $q^n \tau_1^{x,y,z}(q)$  whereas blue numbers correspond to  $q^n \tau_1^{x,y,z}(q) - q^{2n} \tau_2^{x,y,z}(q)$  including one subleading trajectory.

### 6.2.1. Levels of first appearance

The mass level where some  $[[0, x, y, z]]$  multiplet firstly occurs can be studied by inspecting the leading power of the multiplicity generating function  $G_{0,x,y,z}(q)$  and therefore  $\tau_\ell^{x,y,z}(q)$ . The following Table 10 gives an overview of this mass level threshold for various values of  $x, y, z$ .

For all supermultiplets  $[[0, x, y, z]]$  considered in Table 10, the level of first appearance is delayed by three whenever the second Dynkin label is incremented as  $x \mapsto x + 1$ . This suggests to look for a similar linear effect of  $y \mapsto y + 1$  and  $z \mapsto z + 1$ . Up to the two exceptions  $[[0, 0, 0, 0]]$  and  $[[0, 0, 0, 1]]$ , the data in the tables shows that the value  $y$  of the third Dynkin label increases the level of first appearance by  $6y$ .

The influence of the last Dynkin label  $z$  is much more difficult to probe without any explicit multiplicities beyond level 25 at hand. If an asymptotically linear relation between  $z$  and the level of first appearance of  $[[0, x, y, z]]$  exists, then it certainly admits even more exceptions than in the  $y \mapsto y + 1$  case. The onset of  $[[n, 0, 0, 4]]$ ,  $[[n, 0, 0, 5]]$  and  $[[n, 0, 0, 6]]$  multiplets at levels 14, 19 and 24, respectively, suggests that an increment  $z \mapsto z + 1$  delays the  $[[0, x, y, z]]$  multiplet by five levels – at least in the regime of sufficiently large values of  $x, y, z$ .

On the basis of this reasoning, we conjecture that sufficiently high mass levels of first occurrence for general supermultiplets  $[[n, x, y, z]]$  are determined by the following overall prefactor in their multiplicity generating function:

$$G_{n,x,y,z}(q) \sim q^{n+3x+6y+5z-6} \times \mathcal{O}(1), \quad x, y, z \text{ large} \tag{6.35}$$

Note that also the six-dimensional  $\mathcal{N}_{6d} = (1, 0)$  spectrum exhibits an asymptotic linear relation between the second  $SO(5)$  label  $k$  and the level of first appearance: Table 6 shows that sufficiently high levels of first appearance for  $[[n, k; p]]$  are delayed by three under  $k \mapsto k + 2$ .

### 6.2.2. Explicit formulae for the $\tau_\ell^{x,y,z}(q)$

We shall now give the explicit results for a large class of  $\tau_\ell^{x,y,z}(q)$ , obtained through the entries of Table 9 and its generalizations to  $(x, y, z) \neq (0, 0, 0)$  gathered in Appendix B.3. This reflects large spin information on the multiplicity generating functions  $G_{n,x,y,z}(q)$  via (6.34).

Table 9  
 $\mathcal{N}_{10d} = 1$  multiplets with  $SO(9)$  quantum numbers  $[n, 0, 0, 0]$ .

$\alpha' m^2$	# $[[0, 0, 0, 0]]$	# $[[1, 0, 0, 0]]$	# $[[2, 0, 0, 0]]$	# $[[3, 0, 0, 0]]$	# $[[4, 0, 0, 0]]$	# $[[5, 0, 0, 0]]$	# $[[6, 0, 0, 0]]$	# $[[7, 0, 0, 0]]$	# $[[8, 0, 0, 0]]$	# $[[9, 0, 0, 0]]$	# $[[10, 0, 0, 0]]$	# $[[11, 0, 0, 0]]$	# $[[12, 0, 0, 0]]$	# $[[13, 0, 0, 0]]$	# $[[14, 0, 0, 0]]$
1	1	0													
2	0	1	0												
3	0	0	1	0											
4	0	1	0	1	0										
5	1	0	1	0	1	0									
6	0	2	1	1	0	1	0								
7	2	2	3	1	1	0	1	0							
8	1	5	3	4	1	1	0	1	0						
9	3	5	9	4	4	1	1	0	1	0					
10	3	12	10	11	5	4	1	1	0	1	0				
11	8	15	23	14	12	5	4	1	1	0	1	0			
12	8	30	31	31	16	13	5	4	1	1	0	1	0		
13	19	41	61	45	36	17	13	5	4	1	1	0	1	0	
14	22	77	89	87	53	38	18	13	5	4	1	1	0	1	0
15	41	109	164	132	104	58	39	18	13	5	4	1	1	0	1
16	57	190	245	244	162	113	60	40	18	13	5	4	1	1	0
17	100	282	426	378	299	179	118	61	40	18	13	5	4	1	1
18	138	471	656	657	473	332	188	120	62	40	18	13	5	4	1
19	235	710	1097	1040	830	532	350	193	121	62	40	18	13	5	4
20	336	1153	1699	1751	1333	938	565	359	195	122	62	40	18	13	5
21	544	1750	2778	2769	2263	1523	1000	583	364	196	122	62	40	18	13
22	799	2785	4309	4561	3630	2600	1635	1034	592	366	197	122	62	40	18
23	1261	4237	6907	7201	6025	4212	2803	1697	1052	597	367	197	122	62	40
24	1860	6634	10700	11637	9629	7034	4567	2918	1731	1061	599	368	197	122	62
25	2895	10082	16893	18301	15694	11337	7662	4774	2981	1749	1066	600	368	197	122



Table 10

First mass level where fermionic supermultiplets  $[[0, x, y, z]]$  of  $\mathcal{N}_{10d} = 1$  firstly occur. Empty spaces indicate that the representations in question do not occur at levels  $\leq 25$ .

$\downarrow y, \bar{z}$	0	1	2	3	4	5	6	7
0	1 + 3x	3 + 3x	6 + 3x	10 + 3x	14 + 3x	19 + 3x	24 + 3x	
1	5 + 3x	8 + 3x	12 + 3x	16 + 3x	20 + 3x	25 + 3x		
2	11 + 3x	14 + 3x	18 + 3x	22 + 3x				
3	17 + 3x	20 + 3x	24 + 3x					
4	23 + 3x							

- $SO(9)$  Dynkin labels  $[n \rightarrow \infty, 0, 0, 0]$

$$\begin{aligned}
 \tau_1^{0,0,0}(q) &= q^1(1 + 0q + 1q^2 + 1q^3 + 4q^4 + 5q^5 + 13q^6 + 18q^7 + 40q^8 + 62q^9 \\
 &\quad + 122q^{10} + 197q^{11} + 368q^{12} + 601q^{13} + 1070q^{14} + 1767q^{15} \\
 &\quad + 3051q^{16} + 5022q^{17} + 8489q^{18} + 13\,897q^{19} + \dots), \\
 \tau_2^{0,0,0}(q) &= q^1(1 + 2q + 4q^2 + 9q^3 + 18q^4 + 36q^5 + 70q^6 + 133q^7 + 249q^8 \\
 &\quad + 460q^9 + 836q^{10} + 1503q^{11} + 2672q^{12} + 4699q^{13} + \dots), \\
 \tau_3^{0,0,0}(q) &= q^1(1 + 1q + 5q^2 + 9q^3 + 26q^4 + 48q^5 + 112q^6 + 211q^7 \\
 &\quad + 439q^8 + 818q^9 + \dots), \\
 \tau_4^{0,0,0}(q) &= q^1(1 + 3q + 8q^2 + 20q^3 + 48q^4 + 106q^5 + \dots), \\
 \tau_5^{0,0,0}(q) &= q^1(1 + 1q + 6q^2 + \dots).
 \end{aligned} \tag{6.36}$$

- $SO(9)$  Dynkin labels  $[n \rightarrow \infty, 1, 0, 0]$

$$\begin{aligned}
 \tau_1^{1,0,0}(q) &= q^4(1 + 2q + 3q^2 + 7q^3 + 14q^4 + 28q^5 + 53q^6 + 103q^7 + 189q^8 \\
 &\quad + 352q^9 + 634q^{10} + 1146q^{11} + 2026q^{12} + 3578q^{13} + 6209q^{14} \\
 &\quad + 10752q^{15} + 18\,378q^{16} + 31\,279q^{17} + \dots), \\
 \tau_2^{1,0,0}(q) &= q^5(1 + 2q + 5q^2 + 11q^3 + 26q^4 + 54q^5 + 114q^6 + 227q^7 + 449q^8 \\
 &\quad + 863q^9 + 1639q^{10} + 3050q^{11} \\
 &\quad + 5618q^{12} + 10\,187q^{13} + \dots), \\
 \tau_3^{1,0,0}(q) &= q^8(2 + 5q + 15q^2 + 35q^3 + 86q^4 + 185q^5 + 403q^6 + 825q^7 + \dots), \\
 \tau_4^{1,0,0}(q) &= q^{10}(1 + 3q + 11q^2 + 30q^3 + \dots).
 \end{aligned} \tag{6.37}$$

- $SO(9)$  Dynkin labels  $[n \rightarrow \infty, 0, 1, 0]$

$$\begin{aligned}
 \tau_1^{0,1,0}(q) &= q^5(1 + 1q + 5q^2 + 8q^3 + 22q^4 + 40q^5 + 90q^6 + 165q^7 + 338q^8 \\
 &\quad + 619q^9 + 1190q^{10} + 2149q^{11} + 3969q^{12} + 7048q^{13} + 12\,630q^{14} \\
 &\quad + 22\,060q^{15} + 38\,603q^{16} + \dots), \\
 \tau_2^{0,1,0}(q) &= q^6(1 + 2q + 7q^2 + 17q^3 + 41q^4 + 91q^5 + 199q^6 + 412q^7 \\
 &\quad + 841q^8 + 1665q^9 + 3241q^{10} + 6178q^{11} + 11\,611q^{12} + \dots), \\
 \tau_3^{0,1,0}(q) &= q^8(1 + 2q + 11q^2 + 25q^3 + 71q^4 + 160q^5 + 381q^6 + 809q^7 + \dots),
 \end{aligned}$$

$$\tau_4^{0,1,0}(q) = q^{11}(2 + 7q + 23q^2 + \dots). \quad (6.38)$$

- $SO(9)$  Dynkin labels [ $n \rightarrow \infty, 0, 0, 2$ ]

$$\begin{aligned} \tau_1^{0,0,2}(q) &= q^6(1 + 2q + 7q^2 + 13q^3 + 33q^4 + 66q^5 + 143q^6 + 277q^7 \\ &\quad + 559q^8 + 1053q^9 + 2019q^{10} + 3715q^{11} + 6859q^{12} + 12338q^{13} \\ &\quad + 22156q^{14} + 39043q^{15} + \dots), \\ \tau_2^{0,0,2}(q) &= q^7(1 + 4q + 11q^2 + 28q^3 + 68q^4 + 155q^5 + 339q^6 + 716q^7 + 1469q^8 \\ &\quad + 2938q^9 + 5755q^{10} + 11054q^{11} + \dots), \\ \tau_3^{0,0,2}(q) &= q^9(2 + 5q + 19q^2 + 48q^3 + 130q^4 + 301q^5 + 703q^6 + 1518q^7 + \dots), \\ \tau_4^{0,0,2}(q) &= q^{11}(1 + 4q + 16q^2 + 49q^3 + \dots). \end{aligned} \quad (6.39)$$

- $SO(9)$  Dynkin labels [ $n \rightarrow \infty, 0, 0, 1$ ]

$$\begin{aligned} \tau_1^{0,0,1}(q) &= q^3(1 + 1q + 3q^2 + 6q^3 + 12q^4 + 24q^5 + 48q^6 + 90q^7 + 171q^8 \\ &\quad + 317q^9 + 579q^{10} + 1045q^{11} + 1870q^{12} + 3299q^{13} + 5777q^{14} \\ &\quad + 10017q^{15} + 17222q^{16} + 29370q^{17} + \dots), \\ \tau_2^{0,0,1}(q) &= q^4(1 + 2q + 5q^2 + 13q^3 + 29q^4 + 62q^5 + 130q^6 + 263q^7 \\ &\quad + 520q^8 + 1008q^9 + 1916q^{10} \\ &\quad + 3583q^{11} + 6609q^{12} + \dots), \\ \tau_3^{0,0,1}(q) &= q^6(1 + 3q + 10q^2 + 26q^3 + 63q^4 + 143q^5 + 315q^6 + 664q^7 + \dots), \\ \tau_4^{0,0,1}(q) &= q^8(1 + 4q + 12q^2 + 35q^3 + \dots), \\ \tau_5^{0,0,1}(q) &= q^{10}(1 + \dots). \end{aligned} \quad (6.40)$$

Further  $\tau_\ell^{x,y,z}(q)$  listed in AUXILIARY FILE 2 support the trend that the  $\tau_\ell^{x,y,z}(q)$  expansion (6.34) converges more quickly at higher value of  $x, y, z$ .

### 6.3. Eight-dimensional $\mathcal{N}_{8d} = 1$ spectra

Starting from this subsection, we consider even-dimensional Type I superstring compactifications on  $T^2$  tori preserving all the sixteen supercharges. The highest-dimensional example is  $\mathcal{N}_{8d} = 1$  SUSY in eight spacetime dimensions. As explained in [42,43], dimensional reduction of the open superstring from  $d = 10$  to  $d = 8$  paves the way towards powerful on-shell SUSY techniques to manifest hidden simplicity of scattering amplitudes among massive string modes (further examples following in [44]): One technical advantage of the eight-dimensional setting is the possibility to covariantly single out a Clifford vacuum which is annihilated by half of the supercharges, say the right-handed  $SO(8)$  spinor of SUSY generators [42,43]. This is a particular motivation to focus on the covariant particle content of the maximally supersymmetric open superstring in  $d = 8$ .

Let  $r$  denote the fugacity with respect to the R symmetry  $SO(2)_R \cong U(1)_R$  and  $y_i$  the fugacities of the massive little group  $SO(7)$ , then the fundamental  $\mathcal{N}_{8d} = 1$  super-Poincaré multiplet is described by the supercharacter

$$\begin{aligned}
 Z(\mathcal{N}_{8d} = 1) &:= (r^4 + r^{-4})[0, 0, 0] + (r^3 + r^{-3})[0, 0, 1] \\
 &+ (r^2 + r^{-2})([0, 1, 0] + [1, 0, 0]) \\
 &+ (r + r^{-1})([1, 0, 1] + [0, 0, 1]) \\
 &+ [2, 0, 0] + [0, 0, 2] + [1, 0, 0] + [0, 0, 0]
 \end{aligned} \tag{6.41}$$

which is obtained by branching the  $SO(9)$  representations contributing to the  $\mathcal{N}_{10d} = 1$  analogue (6.1) to  $SO(7) \times U(1)_R$ . The minimal multiplet (6.41) can be generated from a scalar Clifford vacuum of  $U(1)_R$  charge +4, and the generic  $\mathcal{N}_{8d} = 1$  multiplet follows from a Clifford vacuum with non-trivial  $SO(7) \times U(1)_R$  quantum numbers.<sup>32</sup> This gives rise to the supercharacter

$$\llbracket a_1, a_2, a_3; Q \rrbracket := Z(\mathcal{N}_{8d} = 1) \cdot r^Q [a_1, a_2, a_3]. \tag{6.42}$$

The eight-dimensional partition function is obtained from its ten-dimensional ancestor (6.3) by singling out an internal factor  $\chi_{\text{NS,R}}^{SO(3)}$  within  $\chi_{\text{NS,R}}^{SO(9)}(\mathbf{y}) = \prod_{k=1}^4 \chi_{\text{NS,R}}^{SO(3)}(y_k)$  and reinterpreting its argument as an  $R$ -symmetry fugacity:

$$\begin{aligned}
 \chi^{\mathcal{N}_{8d}=1}(q; \mathbf{y}, r) &= \chi_{\text{NS}}^{\mathcal{N}_{8d}=1} |_{\text{GSO}}(q; \mathbf{y}, r) + \chi_{\text{R}}^{\mathcal{N}_{8d}=1} |_{\text{GSO}}(q; \mathbf{y}, r), \\
 \chi_{\text{NS}}^{\mathcal{N}_{8d}=1} |_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} q^{-\frac{1}{2}} [\chi_{\text{NS}}^{SO(7)}(q; \mathbf{y}) \chi_{\text{NS}}^{SO(3)}(q; r) \\
 &\quad - \chi_{\text{NS}}^{SO(7)}(e^{2\pi i} q; \mathbf{y}) \chi_{\text{NS}}^{SO(3)}(e^{2\pi i} q; r)], \\
 \chi_{\text{R}}^{\mathcal{N}_{8d}=1} |_{\text{GSO}}(q; \mathbf{y}, r) &= \frac{1}{2} \chi_{\text{R}}^{SO(7)}(q; \mathbf{y}) \chi_{\text{R}}^{SO(3)}(q; r).
 \end{aligned} \tag{6.43}$$

Let us display the first four coefficients of the power series expansion in  $q$ <sup>33</sup>:

$$\begin{aligned}
 &\chi^{\mathcal{N}_{8d}=1}(q; \mathbf{y}, r) \\
 &= \underbrace{\left( \sum_{j=1}^3 (y_j^2 + y_j^{-2}) + r^2 + r^{-2} + \frac{1}{2} \prod_{j=1}^3 (y_j + y_j^{-1})(r + r^{-1}) \right)}_{16 \text{ massless states}} q^0 \\
 &\quad + \underbrace{\llbracket 0, 0, 0; 0 \rrbracket q}_{256 \text{ states at level 1}} + \underbrace{(\llbracket 0, 0, 0; \pm 2 \rrbracket + \llbracket 1, 0, 0; 0 \rrbracket)}_{2304 \text{ states at level 2}} q^2 \\
 &\quad + (\llbracket 0, 0, 0; \pm 4 \rrbracket + \llbracket 1, 0, 0; \pm 2 \rrbracket + \llbracket 0, 0, 1; \pm 1 \rrbracket) \\
 &\quad + \llbracket 2, 0, 0; 0 \rrbracket + \llbracket 0, 0, 0; 0 \rrbracket q^3 + \mathcal{O}(q^4).
 \end{aligned} \tag{6.44}$$

The pairing of opposite  $U(1)_R$  charges  $\pm Q$  motivates the following shorthand:

$$\llbracket a_1, a_2, a_3; \pm Q \rrbracket := \begin{cases} \llbracket a_1, a_2, a_3; Q \rrbracket + \llbracket a_1, a_2, a_3; -Q \rrbracket & \text{for } Q \neq 0, \\ \llbracket a_1, a_2, a_3; 0 \rrbracket & \text{for } Q = 0. \end{cases} \tag{6.45}$$

<sup>32</sup> Recall that the semicolon in  $\llbracket a_1, a_2, a_3; b \rrbracket$  separating the  $U(1)_R$  quantum number  $b$  from the  $SO(7)$  Dynkin labels  $a_1, a_2, a_3$  eliminates potential confusion with  $\mathcal{N}_{10d} = 1$  supercharacters (6.2).

<sup>33</sup> Again, there is a subtlety in applying the above formula to the massless R sector; see the footnote before (4.4). However, this can be fixed easily: one can simply add to it  $\frac{1}{2} \prod_{j=1}^3 (y_j - y_j^{-1})(r - r^{-1})$  to get the correct massless character in R sector.

Table 11

 $\mathcal{N}_{8d} = 1$  multiplets occurring up to mass level six.

$\alpha' m^2$	Representations of $\mathcal{N}_{8d} = 1$ super-Poincaré
1	$[[0, 0, 0; 0]]$
2	$[[0, 0, 0; \pm 2]] + [[1, 0, 0; 0]]$
3	$[[0, 0, 0; \pm 4]] + [[1, 0, 0; \pm 2]] + [[0, 0, 1; \pm 1]] + [[2, 0, 0; 0]] + [[0, 0, 0; 0]]$
4	$[[0, 0, 0; \pm 6]] + [[1, 0, 0; \pm 4]] + [[0, 0, 1; \pm 3]] + [[2, 0, 0; \pm 2]] + [[1, 0, 0; \pm 2]] + 2[[0, 0, 0; \pm 2]]$ $+ [[1, 0, 1; \pm 1]] + [[0, 0, 1; \pm 1]] + [[3, 0, 0; 0]] + 2[[1, 0, 0; 0]] + [[0, 1, 0; 0]] + [[0, 0, 0; 0]]$
5	$[[0, 0, 0; \pm 8]] + [[1, 0, 0; \pm 6]] + [[0, 0, 1; \pm 5]] + [[2, 0, 0; \pm 4]] + [[1, 0, 0; \pm 4]] + 2[[0, 0, 0; \pm 4]]$ $+ [[1, 0, 1; \pm 3]] + 2[[0, 0, 1; \pm 3]] + [[3, 0, 0; \pm 2]] + [[2, 0, 0; \pm 2]] + 3[[1, 0, 0; \pm 2]] + 2[[0, 1, 0; \pm 2]]$ $+ [[0, 0, 0; \pm 2]] + [[2, 0, 1; \pm 1]] + 2[[1, 0, 1; \pm 1]] + 3[[0, 0, 1; \pm 1]] + [[4, 0, 0; 0]] + 2[[2, 0, 0; 0]]$ $+ [[1, 1, 0; 0]] + 3[[1, 0, 0; 0]] + [[0, 1, 0; 0]] + [[0, 0, 2; 0]] + 4[[0, 0, 0; 0]]$
6	$[[0, 0, 0; \pm 10]] + [[1, 0, 0; \pm 8]] + [[0, 0, 1; \pm 7]] + [[2, 0, 0; \pm 6]] + [[1, 0, 0; \pm 6]]$ $+ 2[[0, 0, 0; \pm 6]] + [[1, 0, 1; \pm 5]] + 2[[0, 0, 1; \pm 5]] + [[3, 0, 0; \pm 4]] + [[2, 0, 0; \pm 4]]$ $+ 3[[1, 0, 0; \pm 4]] + 2[[0, 1, 0; \pm 4]] + 2[[0, 0, 0; \pm 4]] + [[2, 0, 1; \pm 3]] + 3[[1, 0, 1; \pm 3]] + 3[[0, 0, 1; \pm 3]]$ $+ [[4, 0, 0; \pm 2]] + [[3, 0, 0; \pm 2]] + 3[[2, 0, 0; \pm 2]] + 2[[1, 1, 0; \pm 2]] + 5[[1, 0, 0; \pm 2]] + [[0, 1, 0; \pm 2]]$ $+ 2[[0, 0, 2; \pm 2]] + 4[[0, 0, 0; \pm 2]] + [[3, 0, 1; \pm 1]] + 2[[2, 0, 1; \pm 1]] + 4[[1, 0, 1; \pm 1]]$ $+ [[0, 1, 1; \pm 1]] + 5[[0, 0, 1; \pm 1]] + [[5, 0, 0; 0]] + 2[[3, 0, 0; 0]] + [[2, 1, 0; 0]] + 4[[2, 0, 0; 0]]$ $+ [[1, 1, 0; 0]] + [[1, 0, 2; 0]] + 5[[1, 0, 0; 0]] + 5[[0, 1, 0; 0]] + [[0, 0, 2; 0]] + 3[[0, 0, 0; 0]]$

The supermultiplets up to level six are listed in [Table 11](#), some of their scattering amplitudes are discussed in [\[43,44\]](#). The branching process obviously increases the number and diversity of multiplets compared to the ten-dimensional analogue, cf. [Table 8](#). This is why we do not repeat the higher level analysis carried out for the  $d = 10$  ancestor in dimensionally reduced settings.

Note that this partition function can also be obtained by branching the  $SO(9)$  representations appearing in the  $\mathcal{N}_{10d} = 1$  partition function [\(6.4\)](#) into  $SO(7) \times U(1)_R$  representations. In terms of characters, one simply maps  $SO(9)$  fugacities into  $SO(7) \times U(1)_R$  fugacities; a possible fugacity map is as follows:

$$z_1 = y_1, \quad z_2 = y_2, \quad z_3 = y_3, \quad z_4 = s, \quad (6.46)$$

where  $z_1, \dots, z_4$  are fugacities of  $SO(9)$ ,  $y_1, y_2, y_3$  are fugacities of  $SO(7)$  and  $s$  is a fugacity of  $U(1)_R$ . For example,

$$\begin{aligned} [1, 0, 0, 0]_{\mathbf{z}} &= 1 + \frac{1}{z_1^2} + z_1^2 + \frac{1}{z_2^2} + z_2^2 + \frac{1}{z_3^2} + z_3^2 + \frac{1}{z_4^2} + z_4^2 \\ &= 1 + \frac{1}{y_1^2} + y_1^2 + \frac{1}{y_2^2} + y_2^2 + \frac{1}{y_3^2} + y_3^2 + \frac{1}{s^2} + s^2 \\ &= [1, 0, 0; 0]_{\mathbf{y};s} + [0, 0, 0; +2]_{\mathbf{y};s} + [0, 0, 0; -2]_{\mathbf{y};s}, \end{aligned} \quad (6.47)$$

where the notation  $[b_1, b_2, b_3; Q]$  denotes the  $SO(7) \times U(1)_R$  representation.

#### 6.4. Six-dimensional $\mathcal{N}_{6d} = (1, 1)$ spectra

Six-dimensional Type I compactifications with sixteen supercharges are said to possess  $\mathcal{N}_{6d} = (1, 1)$  SUSY. The spacetime symmetry branches to  $SO(9) \rightarrow SO(5) \times SO(4)_R$ , i.e. two Cartan generators of ten-dimensional Lorentz group take the role of R symmetry generators probing fugacities  $r_1, r_2$  of  $SO(4)_R \cong SU(2)_R \times SU(2)_R$ . The fundamental supermultiplet of the  $\mathcal{N}_{6d} = (1, 1)$  super-Poincaré group has the following  $SO(5) \times SU(2)_R \times SU(2)_R$  particle content:

$$\begin{aligned}
 Z(\mathcal{N}_{6d} = (1, 1)) &:= [2, 0] \cdot [0, 0]_R + [0, 2] \cdot [0, 0]_R \\
 &+ [0, 2] \cdot [1, 1]_R + [1, 0] \cdot [1, 1]_R \\
 &+ [1, 0] \cdot ([2, 0]_R + [0, 2]_R) + [0, 0] \cdot [2, 2]_R \\
 &+ [0, 0] \cdot [1, 1]_R + [0, 0] \cdot [0, 0]_R \\
 &+ [1, 1] \cdot ([1, 0]_R + [0, 1]_R) \\
 &+ [0, 1] \cdot ([2, 1]_R + [1, 2]_R + [1, 0]_R + [0, 1]_R).
 \end{aligned} \tag{6.48}$$

Note that the R-symmetry characters  $[\dots]_R$  carry a subscript to avoid confusion with the Lorentz symmetry of identical rank.

The most general multiplet follows from (6.48) by taking tensor products with  $SO(5) \times SU(2)_R \times SU(2)_R$  representations, this leads to the supercharacter

$$\llbracket a_1, a_2; b_1, b_2 \rrbracket := Z(\mathcal{N}_{6d} = (1, 1)) \cdot [a_1, a_2] \cdot [b_1, b_2]_R. \tag{6.49}$$

The six-dimensional partition function is obtained from its ten-dimensional ancestor (6.3) by singling out two internal factor  $\chi_{NS,R}^{SO(3)}$  within  $\chi_{NS,R}^{SO(9)}(\mathbf{y}) = \prod_{k=1}^4 \chi_{NS,R}^{SO(3)}(y_k)$  and reinterpreting their second argument as an R-symmetry fugacity:

$$\begin{aligned}
 \chi^{\mathcal{N}_{6d}=(1,1)}(q; \mathbf{y}, \mathbf{r}) &= \chi_{NS}^{\mathcal{N}_{6d}=(1,1)}|_{\text{GSO}}(q; \mathbf{y}, \mathbf{r}) + \chi_R^{\mathcal{N}_{6d}=(1,1)}|_{\text{GSO}}(q; \mathbf{y}, \mathbf{r}), \\
 \chi_{NS}^{\mathcal{N}_{6d}=(1,1)}|_{\text{GSO}}(q; \mathbf{y}, \mathbf{r}) &= \frac{1}{2} q^{-\frac{1}{2}} [\chi_{NS}^{SO(5)}(q; \mathbf{y}) \chi_{NS}^{SO(5)}(q; \mathbf{r}) - \chi_{NS}^{SO(5)}(e^{2\pi i} q; \mathbf{y}) \chi_{NS}^{SO(5)}(e^{2\pi i} q; \mathbf{r})], \\
 \chi_R^{\mathcal{N}_{6d}=(1,1)}|_{\text{GSO}}(q; \mathbf{y}, \mathbf{r}) &= \frac{1}{2} \chi_R^{SO(5)}(q; \mathbf{y}) \chi_R^{SO(5)}(q; \mathbf{r}).
 \end{aligned} \tag{6.50}$$

Its  $q$  expansion starts like<sup>34</sup>

$$\begin{aligned}
 \chi^{\mathcal{N}_{6d}=(1,1)}(q; \mathbf{y}, \mathbf{r}) &= \underbrace{\left( \sum_{j=1}^2 (y_j^2 + y_j^{-2}) + \sum_{j=1}^2 (r_j^2 + r_j^{-2}) + \frac{1}{2} \prod_{j=1}^2 (y_j + y_j^{-1}) \prod_{j=1}^2 (r_j + r_j^{-1}) \right)}_{16 \text{ massless states}} q^0 \\
 &+ \underbrace{\llbracket 0, 0; 0, 0 \rrbracket q}_{256 \text{ states at level 1}} + \underbrace{(\llbracket 0, 0; 1, 1 \rrbracket + \llbracket 1, 0; 0, 0 \rrbracket)}_{2304 \text{ states at level 2}} q^2 \\
 &+ (\llbracket 0, 0; 2, 2 \rrbracket + \llbracket 1, 0; 1, 1 \rrbracket + \llbracket 0, 1; 1, 0 \rrbracket \\
 &+ \llbracket 0, 1; 0, 1 \rrbracket + \llbracket 2, 0; 0, 0 \rrbracket + \llbracket 0, 0; 0, 0 \rrbracket) q^3 + \mathcal{O}(q^4),
 \end{aligned} \tag{6.51}$$

and supermultiplets at higher levels  $\leq 5$  are listed in Table 12.

Note that this partition function can also be obtained by branching the  $SO(9)$  representations appearing in the  $\mathcal{N}_{10d} = 1$  partition function (6.4) into  $SO(5) \times SU(2)_R \times SU(2)_R$  representations. In terms of characters, one simply maps  $SO(9)$  fugacities into  $SO(5) \times SU(2)_R \times SU(2)_R$  fugacities; a possible fugacity map is as follows:

<sup>34</sup> Again, there is a subtlety in applying the above formula to the massless R sector; see the footnote before (4.4). However, this can be fixed easily: one can simply add to it  $\frac{1}{2} \prod_{j=1}^2 (y_j - y_j^{-1}) \prod_{j=1}^2 (r_j - r_j^{-1})$  to get the correct massless character in R sector.

Table 12

$\mathcal{N}_{6d} = (1, 1)$  multiplets occurring up to mass level five.

$\alpha' m^2$	Representations of $\mathcal{N}_{6d} = (1, 1)$ super-Poincaré
1	$[[0, 0; 0, 0]]$
2	$[[0, 0; 1, 1]] + [[1, 0; 0, 0]]$
3	$[[0, 0; 2, 2]] + [[1, 0; 1, 1]] + [[0, 1; 1, 0]] + [[0, 1; 0, 1]] + [[2, 0; 0, 0]] + [[0, 0; 0, 0]]$
4	$[[0, 0; 3, 3]] + [[1, 0; 2, 2]] + [[0, 1; 2, 1]] + [[0, 0; 2, 0]] + [[0, 1; 1, 2]] + [[2, 0; 1, 1]] + [[1, 0; 1, 1]]$ $+ 2[[0, 0; 1, 1]] + [[1, 1; 1, 0]] + [[0, 1; 1, 0]] + [[0, 0; 0, 2]] + [[1, 1; 0, 1]] + [[0, 1; 0, 1]] + [[3, 0; 0, 0]]$ $+ 2[[1, 0; 0, 0]] + [[0, 2; 0, 0]]$
5	$[[0, 0; 4, 4]] + [[1, 0; 3, 3]] + [[0, 1; 3, 2]] + [[0, 0; 3, 1]] + [[0, 1; 2, 3]] + [[2, 0; 2, 2]] + [[1, 0; 2, 2]]$ $+ 2[[0, 0; 2, 2]] + [[1, 1; 2, 1]] + 2[[0, 1; 2, 1]] + 2[[1, 0; 2, 0]] + [[0, 0; 2, 0]] + [[0, 0; 1, 3]] + [[1, 1; 1, 2]]$ $+ 2[[0, 1; 1, 2]] + [[3, 0; 1, 1]] + [[2, 0; 1, 1]] + 3[[1, 0; 1, 1]] + 2[[0, 2; 1, 1]] + 2[[0, 0; 1, 1]] + [[2, 1; 1, 0]]$ $+ 2[[1, 1; 1, 0]] + 3[[0, 1; 1, 0]] + 2[[1, 0; 0, 2]] + [[0, 0; 0, 2]] + [[2, 1; 0, 1]] + 2[[1, 1; 0, 1]] + 3[[0, 1; 0, 1]]$ $+ [[4, 0; 0, 0]] + 2[[2, 0; 0, 0]] + [[1, 2; 0, 0]] + [[1, 0; 0, 0]] + 2[[0, 2; 0, 0]] + 3[[0, 0; 0, 0]]$

$$z_1 = y_1, \quad z_2 = y_2, \quad z_3 = r_1 r_2, \quad z_4 = r_1 r_2^{-1}, \tag{6.52}$$

where  $z_1, \dots, z_4$  are fugacities of  $SO(9)$ ,  $y_1, y_2$  are fugacities of  $SO(5)$ , and  $r_1, r_2$  are fugacities for the two  $SU(2)_R$  factors. For example,

$$\begin{aligned} [1, 0, 0, 0]_z &= 1 + \frac{1}{z_1^2} + z_1^2 + \frac{1}{z_2^2} + z_2^2 + \frac{1}{z_3^2} + z_3^2 + \frac{1}{z_4^2} + z_4^2 \\ &= 1 + \frac{1}{y_1^2} + y_1^2 + \frac{1}{y_2^2} + y_2^2 + (r_1 + r_1^{-1})(r_2 + r_2^{-1}) \\ &= [1, 0; 0, 0]_{y;r} + [0, 0; 1, 1]_{y;r}, \end{aligned} \tag{6.53}$$

where the notation  $[a_1, a_2; b_1, b_2]$  denotes the  $SO(5) \times SU(2)_R \times SU(2)_R$  representation.

### 6.5. Four-dimensional $\mathcal{N}_{4d} = 4$ spectra

Finally, four-dimensional theories with maximal  $\mathcal{N}_{4d} = 4$  SUSY follow from the ten-dimensional ancestor through compactification on  $T^6$ . The internal rotation group is identified with the R symmetry  $SO(6)_R$ , its characters are denoted by  $[b_1, b_2, b_3]_R$ . The universal partition function decomposes into characters of the  $\mathcal{N}_{4d} = 4$  super-Poincaré algebra, the fundamental one being

$$\begin{aligned} Z(\mathcal{N}_{4d} = 4) &= [0]([0, 0, 2]_R + [0, 2, 0]_R + [2, 0, 0]_R + 2) \\ &\quad + [2][0, 1, 1]_R + 2[2][1, 0, 0]_R + [4] \\ &\quad + [1]([0, 0, 1]_R + [0, 1, 0]_R + [1, 0, 1]_R + [1, 1, 0]_R) \\ &\quad + [3]([0, 0, 1]_R + [0, 1, 0]_R). \end{aligned} \tag{6.54}$$

Any other supermultiplet follows by taking a tensor product of (6.54) with the  $SO(3) \times SO(6)_R$  representation  $[n][b_1, b_2, b_3]_R$  of the Clifford vacuum,

$$[n; b_1, b_2, b_3] := Z(\mathcal{N}_{4d} = 4) \cdot [n][b_1, b_2, b_3]_R. \tag{6.55}$$

The four-dimensional partition function is obtained through the usual procedure from the ten-dimensional ancestor (6.3), this time we have to interpret three factors of  $\chi_{NS,R}^{SO(3)}$  as carrying R-symmetry fugacities  $r_j$ :

$$\begin{aligned}
 \chi^{\mathcal{N}_{4d}=4}(q; y, \mathbf{r}) &= \chi_{\text{NS}}^{\mathcal{N}_{4d}=4}|_{\text{GSO}}(q; y, \mathbf{r}) + \chi_{\text{R}}^{\mathcal{N}_{4d}=4}|_{\text{GSO}}(q; y, \mathbf{r}), \\
 \chi_{\text{NS}}^{\mathcal{N}_{4d}=4}|_{\text{GSO}}(q; y, \mathbf{r}) &= \frac{1}{2}q^{-\frac{1}{2}}[\chi_{\text{NS}}^{SO(3)}(q; y)\chi_{\text{NS}}^{SO(7)}(q; \mathbf{r}) \\
 &\quad - \chi_{\text{NS}}^{SO(3)}(e^{2\pi i}q; y)\chi_{\text{NS}}^{SO(7)}(e^{2\pi i}q; \mathbf{r})], \\
 \chi_{\text{R}}^{\mathcal{N}_{4d}=4}|_{\text{GSO}}(q; y, \mathbf{r}) &= \frac{1}{2}\chi_{\text{R}}^{SO(3)}(q; y)\chi_{\text{R}}^{SO(7)}(q; \mathbf{r}).
 \end{aligned} \tag{6.56}$$

The power series in  $q$  starts with<sup>35</sup>

$$\begin{aligned}
 &\chi^{\mathcal{N}_{4d}=4}(q; y, r_j) \\
 &= \underbrace{\left(y^2 + y^{-2} + \sum_{j=1}^3(r_j^2 + r_j^{-2}) + \frac{1}{2}[1]_y \prod_{j=1}^3(r_j + r_j^{-1})\right)}_{16 \text{ massless states}} q^0 + \underbrace{[0; 0, 0, 0]}_{256 \text{ states at level 1}} q \\
 &\quad + \underbrace{([0; 1, 0, 0] + [2; 0, 0, 0])}_{2304 \text{ states at level 2}} q^2 + ([0; 0, 0, 0] + [0; 2, 0, 0] + [1; 0, 0, 1]) \\
 &\quad + ([1; 0, 1, 0] + [2; 1, 0, 0] + [4; 0, 0, 0])q^3 + \mathcal{O}(q^4),
 \end{aligned} \tag{6.57}$$

the coefficients of  $q^4$  and  $q^5$  can be found in Table 13. The explicit vertex operators from the first level are listed in Section 4 of [33].

Note that this partition function can also be obtained by branching the  $SO(9)$  representations appearing in the  $\mathcal{N}_{10d} = 1$  partition function (6.4) into  $SO(3) \times SO(6)_R$  representations. In terms of characters, one simply maps  $SO(9)$  fugacities into  $SO(3) \times SO(6)_R$  fugacities; a possible fugacity map is as follows:

$$z_1 = r_1, \quad z_2 = r_2, \quad z_3 = r_3, \quad z_4 = y, \tag{6.58}$$

where  $z_1, \dots, z_4$  are fugacities of  $SO(9)$ ,  $r_1, r_2, r_3$  are fugacities of  $SO(6)_R$  and  $y$  is a fugacity of  $SO(3)$ . For example,

$$\begin{aligned}
 [1, 0, 0, 0]_{\mathbf{z}} &= 1 + \frac{1}{z_1^2} + z_1^2 + \frac{1}{z_2^2} + r_2^2 + \frac{1}{z_3^2} + z_3^2 + \frac{1}{z_4^2} + z_4^2 \\
 &= \frac{1}{r_1^2} + r_1^2 + \frac{1}{r_2^2} + r_2^2 + \frac{1}{r_3^2} + r_3^2 + \left(1 + \frac{1}{y^2} + y^2\right) \\
 &= [0; 1, 0, 0]_{r; y} + [2; 0, 0, 0]_{r; y},
 \end{aligned} \tag{6.59}$$

where the notation  $[a; b_1, b_2, b_3]$  denotes the  $SO(3) \times SO(6)_R$  representation for which the  $SO(3)$  representation is  $[a]$  and  $SO(6)_R$  representation is  $[b_1, b_2, b_3]_R$ .

## 7. Conclusion

We have investigated model independent superstring states common to all Type I compactifications that preserve  $\mathcal{N}_{4d} = 1$  and  $\mathcal{N}_{6d} = (1, 0)$  SUSY, respectively, and identified the underlying

<sup>35</sup> Again, there is a subtlety in applying the above formula to the massless R sector; see the footnote before (4.4). However, this can be fixed easily: one can simply add to it  $\frac{1}{2}(y - y^{-1}) \prod_{j=1}^3(r_j - r_j^{-1})$  to get the correct massless character in R sector.

Table 13

 $\mathcal{N}_{4d} = 4$  multiplets occurring up to mass level 5.

$\alpha' m^2$	Representations of $\mathcal{N}_{4d} = 4$ super-Poincaré
1	$[[0; 0, 0, 0]]$
2	$[[0; 1, 0, 0]] + [[2; 0, 0, 0]]$
3	$[[0; 0, 0, 0]] + [[0; 2, 0, 0]] + [[1; 0, 0, 1]] + [[1; 0, 1, 0]] + [[2; 1, 0, 0]] + [[4; 0, 0, 0]]$
4	$[[0; 0, 1, 1]] + 2[[0; 1, 0, 0]] + [[0; 3, 0, 0]] + [[1; 0, 0, 1]] + [[1; 0, 1, 0]] + [[1; 1, 0, 1]] + [[1; 1, 1, 0]]$ $+ 3[[2; 0, 0, 0]] + [[2; 1, 0, 0]] + [[2; 2, 0, 0]] + [[3; 0, 0, 1]] + [[3; 0, 1, 0]]$ $+ [[4; 1, 0, 0]] + [[6; 0, 0, 0]]$
5	$4[[0; 0, 0, 0]] + [[0; 0, 0, 2]] + [[0; 0, 1, 1]] + [[0; 0, 2, 0]] + [[0; 1, 0, 0]] + [[0; 1, 1, 1]]$ $+ 2[[0; 2, 0, 0]] + [[0; 4, 0, 0]] + 3[[1; 0, 0, 1]] + 3[[1; 0, 1, 0]] + 2[[1; 1, 0, 1]]$ $+ 2[[1; 1, 1, 0]] + [[1; 2, 0, 1]] + [[1; 2, 1, 0]] + 2[[2; 0, 0, 0]] + 2[[2; 0, 1, 1]] + 5[[2; 1, 0, 0]]$ $+ [[2; 2, 0, 0]] + [[2; 3, 0, 0]] + 2[[3; 0, 0, 1]] + 2[[3; 0, 1, 0]] + [[3; 1, 0, 1]] + [[3; 1, 1, 0]]$ $+ 3[[4; 0, 0, 0]] + [[4; 1, 0, 0]] + [[4; 2, 0, 0]] + [[5; 0, 0, 1]] + [[5; 0, 1, 0]]$ $+ [[6; 1, 0, 0]] + [[8; 0, 0, 0]]$

super-Poincaré multiplets at individual mass levels. Part of our results are the associated unrefined partition functions together with their asymptotics for large mass levels, see (4.8)–(4.17) and (5.5)–(5.12). The refined versions of the universal partition functions are given by (4.4) and (5.3) and rewritten in terms of super-Poincaré characters in (4.39), (4.61), (4.62), (5.23) and (5.41). Moreover, we have presented dimensional reductions of the universal  $\mathcal{N}_{6d} = (1, 0)$  and  $\mathcal{N}_{10d} = 1$  spectra to even dimensions  $d \geq 4$  in Sections 5.5, 6.3, 6.4 and 6.5.

Multiplicity generating functions for individual supermultiplets tend to stabilize in the regime where the spin  $j$  (or more generally the first  $SO(d-1)$  Dynkin label) is comparable to the mass level  $M = \alpha' m^2$ . More specifically, the validity for the stable pattern roughly ranges between  $\frac{1}{2}(M - M_0) \lesssim j \lesssim M - M_0$  where the offset  $M_0$  depends on the remaining super-Poincaré quantum numbers of the multiplets beyond the spin. In the mathematically most tractable  $\mathcal{N}_{4d} = 1$  case, we have derived closed formulae (4.63) and (4.64) for the leading Regge trajectory. In the highest-dimensional scenarios with given number of supercharges –  $\mathcal{N}_{4d} = 1$ ,  $\mathcal{N}_{6d} = (1, 0)$  and  $\mathcal{N}_{10d} = 1$  – we extracted both leading and subleading Regge trajectories from explicitly computed multiplicities up to level  $\alpha' m^2 = 25$ , see Sections 4.5, 5.4 and 6.2.

Identifying the super-Poincaré covariant spectrum in scenarios with different numbers of supercharges provides a significant step towards a better understanding of the string S-matrix. As pointed out in [43], cubic tree level vertices among all the massive states are the seeds for superstring amplitudes of higher multiplicity and genus. The results of this work appear inspiring to push this programme further, using on-shell superspace techniques in various dimensions [38,42]. Refined partition functions as computed here serve as generating functions for helicity supertraces [45] which allow to disentangle contribution of individual supermultiplets to loop amplitudes.

Extending flat space results as presented in this work to curved spacetime provides an exciting direction of further research. Anti-de-Sitter backgrounds are of particular interest in view of their conjectured correspondence to conformal field theories [46,47]. For instance, the model independent higher spin string spectrum at the first massive level in  $AdS_3 \times S^3$  compactifications with pure NSNS background has been pioneered in [48]. This is a motivating starting point towards generalizations to non-zero RR flux and superstrings in  $AdS_5 \times S^5$ , see [49] for a review. Also, we would like to mention Ref. [50] which extracts information on the  $AdS_5 \times S^5$  Kaluza Klein excitations from the  $\mathcal{N}_{10d} = 1$  flat space spectrum, in particular from its large spin regime investigated in detail here. Finally it would be also very interesting to explore the extended sym-



metry structure of the universal higher spin states in supersymmetric string compactifications, in analogy to the  $\mathcal{W}_N$ -symmetries in three-dimensional higher spin theories on  $AdS_3$  [51–53].

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## Appendix A. Notation and conventions

Unless stated otherwise, the following notation and conventions will be used throughout the paper.

### A.1. Group and representation theoretic objects

- The plethystic exponential of a multivariate function  $f(t_1, \dots, t_n)$  that vanishes at the origin,  $f(0, \dots, 0) = 0$ , is defined as

$$\text{PE}[f(t_1, \dots, t_n)] = \exp\left(\sum_{k=1}^{\infty} \frac{1}{k} f(t_1^k, \dots, t_n^k)\right). \quad (\text{A.1})$$

The fermionic plethystic exponential is defined by

$$\text{PE}_F[f(t_1, \dots, t_n)] = \exp\left(\sum_{k=1}^{\infty} \frac{(-1)^{k+1}}{k} f(t_1^k, \dots, t_n^k)\right). \quad (\text{A.2})$$

- An irreducible representation of a simple group  $G$  can be denoted by its highest weight vector.
  - With respect to a basis consisting of the fundamental weights (the  $\omega$ -basis), we write the highest vector as  $[a_1, \dots, a_r]$  with  $r = \text{rank } G$ . This is also known as the *Dynkin label*.
  - With respect to a basis of the dual Cartan subalgebra (the  $e$ -basis), we write the highest vector as  $(\lambda_1, \dots, \lambda_r)$ .
  - Note that we use the round brackets to distinguish the latter from the former for which the square brackets are used.
- For  $SO(2n+1)$ , the label  $[a_1, a_2, \dots, a_n]$  is related to the label  $(\lambda_1, \lambda_2, \dots, \lambda_n)$  by the formula

$$\begin{aligned} \lambda_i &= a_i + a_{i+1} + \dots + a_{n-1} + \frac{1}{2}a_n, \quad 1 \leq i \leq n-1, \\ \lambda_n &= \frac{1}{2}a_n, \end{aligned} \quad (\text{A.3})$$

or equivalently

$$\begin{aligned} a_i &= \lambda_i - \lambda_{i+1}, \quad 1 \leq i \leq n - 1, \\ a_n &= 2\lambda_n. \end{aligned} \tag{A.4}$$

- Note that a representation is uniquely specified by its character. We use the notation  $[a_1, a_2, \dots, a_r]_{\mathbf{y}}$  (resp.  $(\lambda_1, \dots, \lambda_r)_{\mathbf{y}}$ ) to denote the character of the representation  $[a_1, a_2, \dots, a_r]$  (resp.  $(\lambda_1, \dots, \lambda_r)$ ) written in terms of the variables  $\mathbf{y} = (y_1, \dots, y_r)$ . Whenever there is no potential confusion, we drop the subscript  $\mathbf{y}$  to avoid cluttered notation.
- A representation of a product group  $G_1 \times G_2$  is denoted by  $[a_1, \dots, a_{r_1}; b_1, b_2, \dots, b_{r_2}]$  where  $[a_1, \dots, a_{r_1}]$  is an irreducible representation of  $G_1$  and  $[b_1, \dots, b_{r_2}]$  is that of  $G_2$ . We use a semi-colon (;) to separate each representation.
- We use the notation  $[n]$  to denote the  $(n + 1)$ -dimensional irreducible representation of  $SU(2)$  and  $SO(3)$ . Its character is given by

$$[n]_{\mathbf{y}} = \sum_{k=-n/2}^{+n/2} y^{2k}. \tag{A.5}$$

- The character of the vector representation of  $SO(2n + 1)$ , with  $n > 1$ , is taken to be

$$(1, 0, \dots, 0)_{\mathbf{y}} = [1, 0, \dots, 0]_{\mathbf{y}} = 1 + \sum_{k=1}^n (y_k^2 + y_k^{-2}). \tag{A.6}$$

In general, the character of the irreducible representation  $(\lambda_1, \dots, \lambda_n)$  of  $SO(2n + 1)$  is given by the Weyl character formula:

$$(\lambda_1, \dots, \lambda_n)_{\mathbf{y}} = \frac{\det(y_j^{2(\lambda_i+n-i+\frac{1}{2})} - y_j^{-2(\lambda_i+n-i+\frac{1}{2})})_{i,j=1}^n}{\det(y_j^{2(n-i+\frac{1}{2})} - y_j^{-2(n-i+\frac{1}{2})})_{i,j=1}^n}. \tag{A.7}$$

- The choice of the character in (A.6) has a great advantage: One can relate the character of the vector representation of  $SO(2n + 1)$  to that of the vector representation of  $SO(3)$  in a simple way:

$$[1, 0, \dots, 0]_{\mathbf{y}}^{SO(2n+1)} = \sum_{k=1}^n [2]_{y_k}^{SO(3)} - (n - 1). \tag{A.8}$$

As we shall see in subsequent sections, this helps simplify a number of computations.

- The Haar measures of  $SO(3)$  and  $SU(2)$  are taken to be

$$\int d\mu_{SO(3)}(\mathbf{y}) = \int d\mu_{SU(2)}(\mathbf{y}) = \frac{1}{2} \frac{1}{2\pi i} \oint_{|y|=1} \frac{d\mathbf{y}}{y} (1 - y^2)(1 - y^{-2}). \tag{A.9}$$

In general, the Haar measure for  $SO(2n + 1)$  can be written as

$$\int d\mu_{SO(2n+1)}(\mathbf{y}) = \int d\mu_{SO(3)}(y_1) \cdots \int d\mu_{SO(3)}(y_n) \rho(\mathbf{y}), \tag{A.10}$$

where

$$\rho(\mathbf{y}) = \frac{1}{n!} \prod_{1 \leq i < j \leq n} (1 - y_i^2 y_j^2)(1 - y_i^{-2} y_j^{-2})(1 - y_i^2 y_j^{-2})(1 - y_i^{-2} y_j^2). \tag{A.11}$$

A.1.1. Special functions

- The  $q$ -Pochhammer symbols are defined as

$$(a; q)_n = \prod_{k=0}^{n-1} (1 - aq^k), \quad (a; q)_\infty = \prod_{k=0}^{\infty} (1 - aq^k). \tag{A.12}$$

- Our conventions for the Dedekind eta and the Jacobi theta functions are<sup>36</sup>

$$\eta(q) = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n) = q^{\frac{1}{24}}(q; q)_\infty, \tag{A.13}$$

$$\vartheta_1(y, q) = -iq^{\frac{1}{8}}(y^{\frac{1}{2}} - y^{-\frac{1}{2}}) \prod_{n=1}^{\infty} (1 - q^n)(1 - yq^n)(1 - y^{-1}q^n), \tag{A.14}$$

$$\vartheta_2(y, q) = q^{\frac{1}{8}}(y^{\frac{1}{2}} + y^{-\frac{1}{2}}) \prod_{n=1}^{\infty} (1 - q^n)(1 + yq^n)(1 + y^{-1}q^n), \tag{A.15}$$

$$\vartheta_3(y, q) = \prod_{n=1}^{\infty} (1 - q^n)(1 + yq^{n-1/2})(1 + y^{-1}q^{n-1/2}), \tag{A.16}$$

$$\vartheta_4(y, q) = \prod_{n=1}^{\infty} (1 - q^n)(1 - yq^{n-1/2})(1 - y^{-1}q^{n-1/2}). \tag{A.17}$$

In terms of an infinite sum, the Jacobi theta functions can be written as

$$\vartheta \begin{bmatrix} a \\ b \end{bmatrix} (y, q) = \sum_{m \in \mathbb{Z}} q^{\frac{1}{2}(m-a/2)^2} (e^{-i\pi b y})^{(m-a/2)}, \tag{A.18}$$

where

$$\vartheta_1 = \vartheta \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \quad \vartheta_2 = \vartheta \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \quad \vartheta_3 = \vartheta \begin{bmatrix} 0 \\ 0 \end{bmatrix}, \quad \vartheta_4 = \vartheta \begin{bmatrix} 0 \\ 1 \end{bmatrix}. \tag{A.19}$$

- The Appell–Lerch sum is defined as follows [41]<sup>37</sup>:

$$\mu(u, \tau) = -\frac{e^{i\pi u}}{\vartheta_1(y, q)} \sum_{m \in \mathbb{Z}} (-1)^m \frac{e^{\pi i m(m+1)\tau + 2\pi i m u}}{1 - e^{2\pi i m \tau + 2\pi i u}}, \tag{A.20}$$

where

$$y = \exp(2\pi i u), \quad q = \exp(2\pi i \tau). \tag{A.21}$$

<sup>36</sup> These conventions are related to, for example, those adopted in Appendix A of [54] by  $y = \exp(2\pi i v)$ ,  $q = \exp(2\pi i \tau)$ . We refer the reader to this reference for further properties of such functions.

<sup>37</sup> The notation in this paper and that in Proposition 1.4 of [41] can be related as follows. Our notation is on the left-hand sides of the following equalities:  $\mu(u, q) = \mu(u, u, q)$ , and  $\vartheta_1(u, \tau) = -\vartheta(u, \tau)$ .

## Appendix B. Data tables for super-Poincaré multiplicities

This appendix contains data tables for multiplicities of super-Poincaré representations up to mass level  $\alpha' m^2 = 25$ . We only display tables for the ancestor theories with 4, 8 and 16 supercharges, respectively, since these highest-dimensional theories organize the states in the most economic number of supermultiplets. Particular attention is paid to stable patterns, i.e. to the asymptotics of multiplicity generating functions for large spins and mass levels. Further tables of this kind which were displayed in an earlier version of the file can now be found in an AUXILIARY FILE 1.

Each of the following tables is devoted to family of supermultiplets whose quantum numbers differ in the first  $SO(d-1)$  Dynkin label and match in the remaining  $SO(d-1)$  and R-symmetry quantum numbers. Rows are associated with mass levels, and columns are associated with the value of the first  $SO(d-1)$  Dynkin label to which we loosely refer to as the spin. Independently of spacetime dimensions and supercharges, the multiplicity generating functions  $G_{\dots}(q)$  tend to stabilize for large values of the spin and the mass level in the limit where both of them are uniformly increased. This leading Regge trajectory (corresponding to the  $\tau_1^{\dots}(q)$  contribution in (4.74), (5.42) and (6.34)) is exact when numbers occur repeatedly along diagonal lines in the tables, these entries are marked in red.

Moreover, once the asymptotic numbers in red are subtracted from the data outside the first stable region, further subleading trajectories emerge. The leftover after this subtraction tends to stabilize along lines where the mass level grows twice as fast as the spin. This can be understood as the second Regge trajectory (corresponding to the  $\tau_2^{\dots}(q)$  contribution in (4.74), (5.42) and (6.34)) with slope  $\frac{1}{2}$  and subtractive sign. Its region of exact validity is highlighted in blue.

### B.1. 4 Supercharges in four dimensions

Tables B.1, B.2 are based on the  $\mathcal{N}_{4d} = 1$  partition function (4.4), organized in terms of multiplicity generating functions  $G_{n,Q}(q)$ , see (4.39).

### B.2. 8 supercharges in six dimensions

Tables B.3, B.4, B.5 are based on the  $\mathcal{N}_{6d} = (1, 0)$  partition function (5.3), organized in terms of multiplicity generating functions  $G_{n_1, n_2, p}(q)$ , see (5.23).

### B.3. 16 Supercharges in ten dimensions

Tables B.6–B.9 are based on the  $\mathcal{N}_{10d} = 1$  partition function (6.3), organized in terms of multiplicity generating functions  $G_{n_1, n_2, n_3, n_4}(q)$ , see (6.16).

## Appendix C. Deriving the asymptotic formulae for $\mathcal{N}_{4d} = 1$ multiplicity generating functions

In this appendix, we derive the asymptotic results on multiplicity generating function  $G_{n,Q}(q)$  in the limit  $n \rightarrow \infty$  presented in Section 4.4.

In what follows, we will exploit the  $n \rightarrow \infty$  behavior of objects  $T_p(m, k) := \binom{m}{k} - \binom{m}{k-p}$ ,

Table B.1

$\alpha' m^2$	[[1; 2]]	[[3; 2]]	[[5; 2]]	[[7; 2]]	[[9; 2]]	[[11; 2]]	[[13; 2]]	[[15; 2]]	[[17; 2]]	[[19; 2]]	[[21; 2]]	[[23; 2]]
1	0											
2	0											
3	1	0										
4	2	2	0									
5	6	6	2	0								
6	17	15	8	2	0							
7	38	43	22	8	2	0						
8	89	101	62	24	8	2	0					
9	195	233	152	71	24	8	2	0				
10	411	512	361	176	73	24	8	2	0			
11	843	1089	803	430	185	73	24	8	2	0		
12	1694	2231	1734	978	456	187	73	24	8	2	0	
13	3302	4483	3602	2146	1053	465	187	73	24	8	2	0
14	6336	8758	7304	4525	2343	1079	467	187	73	24	8	2
15	11919	16795	14402	9300	4997	2420	1088	467	187	73	24	8
16	22053	31582	27835	18548	10383	5200	2446	1090	467	187	73	24
17	40173	58428	52685	36227	20921	10878	5277	2455	1090	467	187	73
18	72204	106359	98044	69217	41236	22068	11083	5303	2457	1090	467	187
19	128014	191004	179419	129896	79473	43785	22569	11160	5312	2457	1090	467
20	224337	338384	323661	239545	150345	84906	44955	22774	11186	5314	2457	1090
21	388651	592391	575773	435174	279322	161591	87520	45458	22851	11195	5314	2457
22	666314	1025226	1011672	779119	510970	301946	167204	88696	45663	22877	11197	5314
23	1131024	1755809	1756589	1377070	920804	555389	313632	169841	89199	45740	22886	11197
24	1902209	2976969	3017219	2404087	1637411	1006121	579053	319310	171019	89404	45766	22888
25	3170935	5000934	5129359	4150179	2874993	1798156	1052851	590920	321953	171522	89481	45775

Table B.2

$\alpha' m^2$	[[0; 1]]	[[2; 1]]	[[4; 1]]	[[6; 1]]	[[8; 1]]	[[10; 1]]	[[12; 1]]	[[14; 1]]	[[16; 1]]	[[18; 1]]	[[20; 1]]
1	1	0									
2	0	2	0								
3	3	2	3	0							
4	3	11	4	3	0						
5	15	20	18	5	3	0					
6	21	58	39	21	5	3	0				
7	66	115	105	49	22	5	3	0			
8	112	274	223	135	52	22	5	3	0		
9	267	543	521	296	146	53	22	5	3	0	
10	487	1159	1066	698	330	149	53	22	5	3	0
11	1027	2248	2258	1467	786	341	150	53	22	5	3
12	1872	4483	4465	3133	1682	821	344	150	53	22	5
13	3684	8456	8874	6300	3637	1774	832	345	150	53	22
14	6654	16077	16929	12629	7413	3868	1809	835	345	150	53
15	12430	29505	32174	24376	15014	7960	3961	1820	836	345	150
16	22104	54085	59444	46663	29304	16246	8195	3996	1823	836	345
17	39831	96778	109017	86997	56583	31974	16809	8288	4007	1824	836
18	69495	172263	195931	160521	106459	62184	33250	17045	8323	4010	1824
19	121751	301246	348996	290518	197927	117845	64978	33817	17138	8334	4011
20	208588	523209	612069	520208	360936	220529	123748	66270	34053	17173	8337
21	356951	896281	1063839	917434	650566	404759	232640	126586	66838	34146	17184
22	601090	1524153	1825894	1601735	1154779	733851	428967	238668	127882	67074	34181
23	1008432	2562971	3106955	2761714	2027692	1310137	781160	441385	241522	128450	67167
24	1670909	4278549	5231334	4717314	3515675	2312784	1400641	806110	447457	242819	128686
25	2755277	7075262	8737282	7973033	6035514	4030732	2482787	1449609	818653	450315	243387

Table B.3

$\alpha' m^2$	[[0, 2; 0]]	[[1, 2; 0]]	[[2, 2; 0]]	[[3, 2; 0]]	[[4, 2; 0]]	[[5, 2; 0]]	[[6, 2; 0]]	[[7, 2; 0]]	[[8, 2; 0]]	[[9, 2; 0]]	[[10, 2; 0]]	[[11, 2; 0]]
1	0											
2	1	0										
3	1	1	0									
4	4	2	1	0								
5	6	7	2	1	0							
6	19	13	8	2	1	0						
7	34	38	16	8	2	1	0					
8	81	79	48	17	8	2	1	0				
9	156	184	103	51	17	8	2	1	0			
10	332	378	252	113	52	17	8	2	1	0		
11	636	813	530	279	116	52	17	8	2	1	0	
12	1276	1623	1171	604	289	117	52	17	8	2	1	0
13	2404	3290	2395	1350	631	292	117	52	17	8	2	1
14	4614	6386	4962	2816	1427	641	293	117	52	17	8	2
15	8537	12406	9823	5912	3001	1454	644	293	117	52	17	8
16	15853	23445	19436	11896	6361	3078	1464	645	293	117	52	17
17	28748	44075	37346	23836	12913	6549	3105	1467	645	293	117	52
18	52034	81247	71315	46446	26104	13368	6626	3115	1468	645	293	117
19	92579	148705	133388	89732	51295	27149	13556	6653	3118	1468	645	293
20	163950	268145	247448	169908	99935	53631	27607	13633	6663	3119	1468	645
21	286638	479693	451900	318623	190744	104983	54682	27795	13660	6666	3119	1468
22	498178	848018	818105	588270	360520	201413	107347	55140	27872	13670	6667	3119
23	856969	1487396	1462590	1075628	670688	382510	206529	108401	55328	27899	13673	6667
24	1465054	2583018	2592572	1942043	1235427	715151	393379	208899	108859	55405	27909	13674
25	2483037	4452127	4547623	3474093	2246578	1323605	737611	398523	209953	109047	55432	27912

Table B.4

$\alpha' m^2$	[[0, 0; 2]]	[[1, 0; 2]]	[[2, 0; 2]]	[[3, 0; 2]]	[[4, 0; 2]]	[[5, 0; 2]]	[[6, 0; 2]]	[[7, 0; 2]]	[[8, 0; 2]]	[[9, 0; 2]]	[[10, 0; 2]]	[[11, 0; 2]]
2	0											
3	1	0										
4	0	2	0									
5	3	3	3	0								
6	4	9	4	3	0							
7	13	20	17	5	3	0						
8	20	50	34	19	5	3	0					
9	53	101	93	43	20	5	3	0				
10	93	224	192	115	45	20	5	3	0			
11	203	449	446	252	125	46	20	5	3	0		
12	369	924	903	589	275	127	46	20	5	3	0	
13	743	1798	1920	1241	659	285	128	46	20	5	3	0
14	1355	3523	3792	2664	1405	683	287	128	46	20	5	3
15	2585	6673	7601	5410	3071	1476	693	288	128	46	20	5
16	4662	12617	14601	10981	6311	3245	1500	695	288	128	46	20
17	8585	23303	28083	21538	13007	6741	3317	1510	696	288	128	46
18	15272	42800	52540	41953	25810	13982	6916	3341	1512	696	288	128
19	27351	77315	97864	79808	50933	28012	14422	6988	3351	1513	696	288
20	47902	138661	178789	150444	97964	55666	29010	14598	7012	3353	1513	696
21	83950	245476	324415	278690	186802	107982	57944	29451	14670	7022	3354	1513
22	144814	431357	580136	511315	349601	207363	112896	58952	29627	14694	7024	3354
23	249137	750026	1029661	925300	648055	391117	217862	115197	59394	29699	14704	7025
24	423589	1294613	1806340	1658994	1183895	730037	412771	222852	116206	59570	29723	14706
25	717200	2214733	3145140	2940833	2142556	1343353	774118	423453	225163	116648	59642	29733



Table B.5

$\alpha' m^2$	[[0, 1; 1]]	[[1, 1; 1]]	[[2, 1; 1]]	[[3, 1; 1]]	[[4, 1; 1]]	[[5, 1; 1]]	[[6, 1; 1]]	[[7, 1; 1]]	[[8, 1; 1]]	[[9, 1; 1]]	[[10, 1; 1]]	[[11, 1; 1]]
1	0											
2	1	0										
3	1	2	0									
4	4	3	2	0								
5	8	9	4	2	0							
6	18	23	12	4	2	0						
7	39	51	31	13	4	2	0					
8	82	114	76	34	13	4	2	0				
9	165	249	174	85	35	13	4	2	0			
10	333	519	391	203	88	35	13	4	2	0		
11	652	1064	843	465	212	89	35	13	4	2	0	
12	1260	2137	1776	1024	495	215	89	35	13	4	2	0
13	2396	4202	3645	2203	1102	504	216	89	35	13	4	2
14	4499	8128	7330	4609	2399	1132	507	216	89	35	13	4
15	8321	15488	14450	9428	5080	2478	1141	508	216	89	35	13
16	15236	29063	28022	18898	10511	5280	2508	1144	508	216	89	35
17	27556	53844	53451	37201	21297	10997	5359	2517	1145	508	216	89
18	49336	98540	100527	71985	42376	22425	11198	5389	2520	1145	508	216
19	87449	178260	186521	137212	82828	44899	22915	11277	5398	2521	1145	508
20	153595	319063	341843	257835	159430	88321	46042	23116	11307	5401	2521	1145
21	267352	565412	619252	478197	302417	171054	90889	46533	23195	11316	5402	2521
22	461595	992485	1109824	876142	565992	326453	176672	92036	46734	23225	11319	5402
23	790578	1726764	1968850	1587104	1046065	614658	338400	179255	92527	46813	23234	11320
24	1343972	2979088	3459778	2844391	1910959	1142740	639492	344063	180403	92728	46843	23237
25	2268336	5098709	6025145	5046950	3452679	2099666	1193279	651564	346650	180894	92807	46852

Table B.6

$\alpha' m^2$	[[0, 1, 0, 0]]	[[1, 1, 0, 0]]	[[2, 1, 0, 0]]	[[3, 1, 0, 0]]	[[4, 1, 0, 0]]	[[5, 1, 0, 0]]	[[6, 1, 0, 0]]	[[7, 1, 0, 0]]	[[8, 1, 0, 0]]	[[9, 1, 0, 0]]	[[10, 1, 0, 0]]	[[11, 1, 0, 0]]	[[12, 1, 0, 0]]	[[13, 1, 0, 0]]	[[14, 1, 0, 0]]
3	0														
4	1	0													
5	1	1	0												
6	1	2	1	0											
7	2	2	2	1	0										
8	5	5	3	2	1	0									
9	7	9	6	3	2	1	0								
10	13	17	12	7	3	2	1	0							
11	21	29	23	13	7	3	2	1	0						
12	37	54	42	26	14	7	3	2	1	0					
13	60	90	77	48	27	14	7	3	2	1	0				
14	101	159	137	92	51	28	14	7	3	2	1	0			
15	165	268	243	163	98	52	28	14	7	3	2	1	0		
16	274	457	422	298	178	101	53	28	14	7	3	2	1	0	
17	441	760	732	522	326	184	102	53	28	14	7	3	2	1	0
18	717	1276	1248	924	580	341	187	103	53	28	14	7	3	2	1
19	1149	2088	2121	1592	1032	608	347	188	103	53	28	14	7	3	2
20	1847	3443	3551	2750	1801	1092	623	350	189	103	53	28	14	7	3
21	2928	5585	5929	4656	3134	1912	1120	629	351	189	103	53	28	14	7
22	4647	9060	9790	7886	5361	3351	1972	1135	632	352	189	103	53	28	14
23	7310	14538	16095	13160	9148	5762	3464	2000	1141	633	352	189	103	53	28
24	11482	23301	26221	21906	15414	9894	5982	3524	2015	1144	634	352	189	103	53
25	17908	36995	42535	36063	25846	16754	10303	6095	3552	2021	1145	634	352	189	103

Table B.7

$\alpha' m^2$	[[0, 0, 1, 0]]	[[1, 0, 1, 0]]	[[2, 0, 1, 0]]	[[3, 0, 1, 0]]	[[4, 0, 1, 0]]	[[5, 0, 1, 0]]	[[6, 0, 1, 0]]	[[7, 0, 1, 0]]	[[8, 0, 1, 0]]	[[9, 0, 1, 0]]	[[10, 0, 1, 0]]	[[11, 0, 1, 0]]	[[12, 0, 1, 0]]	[[13, 0, 1, 0]]	[[14, 0, 1, 0]]
4	0														
5	1	0													
6	0	1	0												
7	3	1	1	0											
8	2	4	1	1	0										
9	7	6	5	1	1	0									
10	10	15	7	5	1	1	0								
11	22	24	20	8	5	1	1	0							
12	30	51	33	21	8	5	1	1	0						
13	64	85	73	38	22	8	5	1	1	0					
14	97	164	125	83	39	22	8	5	1	1	0				
15	179	276	249	148	88	40	22	8	5	1	1	0			
16	282	502	431	297	158	89	40	22	8	5	1	1	0		
17	496	842	803	529	321	163	90	40	22	8	5	1	1	0	
18	784	1473	1379	993	578	331	164	90	40	22	8	5	1	1	0
19	1335	2449	2462	1748	1099	602	336	165	90	40	22	8	5	1	1
20	2117	4164	4181	3153	1951	1149	612	337	165	90	40	22	8	5	1
21	3497	6853	7238	5454	3559	2058	1173	617	338	165	90	40	22	8	5
22	5546	11401	12131	9549	6218	3770	2108	1183	618	338	165	90	40	22	8
23	8981	18557	20509	16261	10990	6637	3878	2132	1188	619	338	165	90	40	22
24	14141	30342	33931	27794	18890	11791	6849	3928	2142	1189	619	338	165	90	40
25	22570	48846	56288	46628	32585	20406	12218	6957	3952	2147	1190	619	338	165	90

Table B.8

$\alpha' m^2$	[[0, 0, 0, 2]]	[[1, 0, 0, 2]]	[[2, 0, 0, 2]]	[[3, 0, 0, 2]]	[[4, 0, 0, 2]]	[[5, 0, 0, 2]]	[[6, 0, 0, 2]]	[[7, 0, 0, 2]]	[[8, 0, 0, 2]]	[[9, 0, 0, 2]]	[[10, 0, 0, 2]]	[[11, 0, 0, 2]]	[[12, 0, 0, 2]]	[[13, 0, 0, 2]]	[[14, 0, 0, 2]]
5	0														
6	1	0													
7	1	1	0												
8	3	2	1	0											
9	4	6	2	1	0										
10	10	9	7	2	1	0									
11	16	22	12	7	2	1	0								
12	32	40	29	13	7	2	1	0							
13	52	80	55	32	13	7	2	1	0						
14	98	141	115	62	33	13	7	2	1	0					
15	160	267	211	132	65	33	13	7	2	1	0				
16	286	463	409	249	139	66	33	13	7	2	1	0			
17	469	835	733	491	266	142	66	33	13	7	2	1	0		
18	805	1431	1351	900	531	273	143	66	33	13	7	2	1	0	
19	1314	2489	2375	1685	985	548	276	143	66	33	13	7	2	1	0
20	2199	4199	4218	3018	1864	1025	555	277	143	66	33	13	7	2	1
21	3558	7131	7270	5438	3378	1951	1042	558	277	143	66	33	13	7	2
22	5837	11842	12571	9530	6148	3560	1991	1049	559	277	143	66	33	13	7
23	9361	19709	21279	16701	10888	6520	3647	2008	1052	559	277	143	66	33	13
24	15106	32300	35990	28688	19266	11624	6704	3687	2015	1053	559	277	143	66	33
25	23999	52855	59966	49138	33418	20692	11999	6791	3704	2018	1053	559	277	143	66

Table B.9

$\alpha' m^2$	[[0, 0, 0, 1]]	[[1, 0, 0, 1]]	[[2, 0, 0, 1]]	[[3, 0, 0, 1]]	[[4, 0, 0, 1]]	[[5, 0, 0, 1]]	[[6, 0, 0, 1]]	[[7, 0, 0, 1]]	[[8, 0, 0, 1]]	[[9, 0, 0, 1]]	[[10, 0, 0, 1]]	[[11, 0, 0, 1]]	[[12, 0, 0, 1]]	[[13, 0, 0, 1]]	[[14, 0, 0, 1]]
2	0														
3	1	0													
4	0	1	0												
5	1	1	1	0											
6	2	2	1	1	0										
7	2	4	3	1	1	0									
8	4	7	5	3	1	1	0								
9	8	12	10	6	3	1	1	0							
10	12	22	19	11	6	3	1	1	0						
11	20	38	35	22	12	6	3	1	1	0					
12	34	66	62	43	23	12	6	3	1	1	0				
13	54	113	112	77	46	24	12	6	3	1	1	0			
14	89	190	197	142	85	47	24	12	6	3	1	1	0		
15	147	318	342	256	158	88	48	24	12	6	3	1	1	0	
16	233	532	587	452	288	166	89	48	24	12	6	3	1	1	0
17	376	877	1001	792	517	304	169	90	48	24	12	6	3	1	1
18	603	1438	1686	1376	916	550	312	170	90	48	24	12	6	3	1
19	954	2345	2823	2354	1610	983	566	315	171	90	48	24	12	6	3
20	1511	3795	4684	4003	2789	1740	1016	574	316	171	90	48	24	12	6
21	2383	6105	7716	6745	4795	3037	1808	1032	577	317	171	90	48	24	12
22	3727	9775	12620	11265	8164	5260	3169	1841	1040	578	317	171	90	48	24
23	5821	15552	20513	18678	13782	9019	5514	3237	1857	1043	579	317	171	90	48
24	9050	24624	33121	30757	23075	15332	9498	5647	3270	1865	1044	579	317	171	90
25	13998	38797	53183	50273	38366	25850	16217	9754	5715	3286	1868	1045	579	317	171

$$\begin{aligned}
 T_{2n+2}(2m+1, m+n+1-k) &\sim \binom{2m+1}{m+n+1-k}, \\
 T_{2n+2}(2m, m+n-k) &\sim \binom{2m}{m+n-k}
 \end{aligned}
 \tag{C.1}$$

assuming that  $m, k \geq 0$ .

*C.1. Warm-up: Multiplicities of  $[[2n+1, 0]]$  and  $[[2n, 1]]$  as  $n \rightarrow \infty$*

In order to get familiar with the asymptotic methods in the  $\mathcal{N}_{4d} = 1$  context, we shall first of all discuss the large spin regime of supermultiplets with  $U(1)_R$  neutral Clifford vacuum.

The multiplicity generating function for the representation  $[[2n+1, 0]]$  can be written as

$$G_{2n+1,0}(q) = \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(m, -p-1, k; q) + \sum_{k=0}^{\infty} \sum_{p=0}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(p, p, k; q),
 \tag{C.2}$$

where the function  $\mathfrak{M}_{[[2n+1,2Q]]}$  and  $\mathfrak{M}_{[[2n+1,2Q]]}$  are defined in (4.59) and (4.60) and, as  $n \rightarrow \infty$ ,

$$\begin{aligned}
 \mathfrak{M}_{[[2n+1,0]]}(m, p, k; q) &\sim (-1)^{-m-p} \left[ F_{k,p}^{\text{NS}}(q) \binom{m-p}{2m+1} \binom{2m+1}{m+n+1-k} \right. \\
 &\quad \left. + F_{k,p}^{\text{R}}(q) \binom{m-p}{2m} \binom{2m}{m+n-k} \right].
 \end{aligned}
 \tag{C.3}$$

Note that the binomial coefficient  $\binom{\alpha}{\beta}$  increases as  $\beta$  increases from 0 to  $\lfloor \alpha/2 \rfloor$  and then decreases as  $\beta$  increases from  $\lfloor \alpha/2 \rfloor + 1$  to  $\alpha$ .

Observe that  $\mathfrak{M}_{[[2n+1,0]]}(m, -p-1, k; q)$  is sharply peaked near  $(m, p, k) = (0, 0, n)$  for  $n$  large. Therefore, the dominant contribution to the first set of summations in (C.2) comes from

$$\begin{aligned}
 &\sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{k=0}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(m, -p-1, k; q) \\
 &\sim \sum_{m=0}^{\lfloor \epsilon_1 \rfloor} \sum_{p=0}^{\lfloor \epsilon_2 \rfloor} \sum_{k=\lfloor n(1-\epsilon_3) \rfloor}^{\lfloor n(1+\epsilon_3) \rfloor} \mathfrak{M}_{[[2n+1,0]]}(m, -p-1, k; q) \quad \text{any } \epsilon_1, \epsilon_2, \epsilon_3 > 0, n \rightarrow \infty \\
 &\sim \sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{\delta=-\infty}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(m, -p-1, n+\delta; q), \quad n \rightarrow \infty.
 \end{aligned}
 \tag{C.4}$$

In the limit of large  $k$ , we can use asymptotic formulae (4.23) and (4.28) for  $F_{k,p}^{\text{NS}}(q)$  and  $F_{k,p}^{\text{R}}(q)$ . The summation over  $\delta$  from  $-\infty$  to  $\infty$  can be readily computed using the fact that

$$\begin{aligned}
 \sum_{\delta=-\infty}^{\infty} q^{\delta} \binom{2m}{m-\delta} &= \sum_{\delta=-m}^m q^{\delta} \binom{2m}{m-\delta} = q^{-m} (1+q)^{2m}, \\
 \sum_{\delta=-\infty}^{\infty} q^{\delta} \binom{2m+1}{m-\delta+1} &= \sum_{\delta=-(m+1)}^{m+1} q^{\delta} \binom{2m+1}{m-\delta+1} = q^{-m} (1+q)^{2m+1}.
 \end{aligned}
 \tag{C.5}$$

Next, the summation over  $m$  from 0 to  $\infty$  can be computed using the following identities:

$$\begin{aligned} \sum_{m=0}^{\infty} (-q)^{-m} (1+q)^{2m} \binom{1+m+p}{2m} &= (-q)^{-p-1} \frac{1-q^{2p+3}}{1-q}, \\ \sum_{m=0}^{\infty} (-q)^{-m} (1+q)^{2m+1} \binom{1+m+p}{1+2m} &= (-q)^{-p} \frac{1-q^{2p+2}}{1-q}. \end{aligned} \tag{C.6}$$

Thus, from (C.4), we find that

$$\begin{aligned} &\sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{k=0}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(m, -p-1, k; q) \\ &= \frac{(1-q)^2 q^{n-\frac{1}{2}}}{2(q; q)_{\infty}^6} \{u_1(\sqrt{q})\vartheta_2(1, q)^2 - [u_2(\sqrt{q})\vartheta_3(1, q)^2 - u_2(-\sqrt{q})\vartheta_4(1, q)^2]\}, \end{aligned} \tag{C.7}$$

where the functions  $u_1(q)$  and  $u_2(q)$  are defined as follows:

$$\begin{aligned} u_1(q) &= \sum_{p=0}^{\infty} q^{2(p+\frac{3}{2})^2} \frac{1-q^{4p+6}}{(1+q^{2p+2})(1+q^{2p+4})}, \\ u_2(q) &= \sum_{p=0}^{\infty} q^{2(p+1)^2} \frac{1-q^{4p+4}}{(1+q^{2p+1})(1+q^{2p+3})}. \end{aligned} \tag{C.8}$$

It remains unclear whether  $u_1(q)$  and  $u_2(q)$  can be written in terms of known functions (if this is useful at all). In practice, it is easy to compute the power series  $u_1(q)$  and  $u_2(q)$  up to a high order in  $q$ . Moreover, their asymptotic formulae can be easily derived in the limit  $q \rightarrow 0$ . We shall come back to this point later.

Let us now examine the second set of summations in (C.2). The function  $\mathfrak{M}_{[[2n+1,0]]}(p, p, k; q)$  is sharply peaked near  $(p, k) = (0, n)$  for large  $n$ . Thus,

$$\begin{aligned} \sum_{k=0}^{\infty} \sum_{p=0}^{\infty} \mathfrak{M}_{[[2n+1,0]]}(p, p, k; q) &\sim \mathfrak{M}_{[[2n+1,0]]}(0, 0, n; q), \quad n \rightarrow \infty \\ &= \frac{1}{4(q; q)_{\infty}^6} \frac{(1-q)^3}{1+q} q^{n-\frac{1}{4}} \vartheta_2(1, q)^2. \end{aligned} \tag{C.9}$$

From (C.2), we simply add (C.4) and (C.9) together and obtain the expression (4.72) for  $Q_{2n+1,0}$ , in agreement with the stable pattern in Table 3.

From recurrence relation (4.35) for  $G_{n,Q}$ , the asymptotic behavior of multiplicity generating functions  $U(1)_R$  charge  $Q = 1$  is given by

$$G_{2n,1}(q) = \frac{1}{2} [F_{n,0}^{NS}(q) - G_{2n-1,0}(q) - G_{2n+1,0}(q)]. \tag{C.10}$$

Using the asymptotics  $G_{2n-1,Q} \sim q^{-1} G_{2n+1,Q}$  as well as (4.72) for  $G_{2n+1,Q}$  and (4.23) for  $F_{n,0}^{NS}$ , we arrive at (4.73). This also agrees with the stable pattern tabulated in Appendix B.1.

*C.2. Multiplicities of  $\llbracket 2n + 1, 2Q \rrbracket$  and  $\llbracket 2n, 2Q + 1 \rrbracket$  as  $n \rightarrow \infty$ ,  $Q = \mathcal{O}(1)$*

This subsection generalizes the asymptotic results from the  $Q = 0$  (or  $Q = 1$ ) sector to generic  $U(1)_R$  charges. The multiplicity generating function for  $\llbracket 2n + 1, 2Q \rrbracket$  can be written as

$$G_{2n+1,2Q}(q) = \sum_{k=0}^{\infty} \sum_{m=0}^{\infty} \left[ \sum_{p=0}^{\infty} \{ \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, -p-1, k; q) + \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m+p, p, k; q) \} + \sum_{p=0}^{Q-1} \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, m+p+1, k; q) \right] \tag{C.11}$$

where the  $\mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}$  function follows the following  $n \rightarrow \infty$  behavior:

$$\mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, p, k; q) = (-1)^{Q-m-p} \left[ F_{k,p}^{\text{NS}}(q) \binom{Q+m-p}{2m+1} \binom{2m+1}{m+n+1-k} + F_{k,p}^{\text{R}}(q) \binom{Q+m-p}{2m} \binom{2m}{m+n-k} \right]. \tag{C.12}$$

The dominant contribution to  $G_{2n+1,2Q}(q)$  comes from

$$\begin{aligned} G_{2n+1,2Q}(q) &\sim \sum_{m=0}^{\infty} \sum_{p=0}^{\lfloor \epsilon_2 \rfloor} \sum_{k=\lfloor n(1-\epsilon_1) \rfloor}^{\lfloor n(1+\epsilon_1) \rfloor} [\mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, -p-1, k; q) + \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m+p, p, k; q)] \\ &\quad + \sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \sum_{k=\lfloor n(1-\epsilon_1) \rfloor}^{\lfloor n(1+\epsilon_1) \rfloor} \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, m+p+1, k; q), \quad \epsilon_1, \epsilon_2 > 0, n \rightarrow \infty \\ &\sim \sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{\delta=-\infty}^{\infty} [\mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, -p-1, n+\delta; q) + \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m+p, p, n+\delta; q)] \\ &\quad + \sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \sum_{\delta=-\infty}^{\infty} \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, m+p+1, n+\delta; q), \quad n \rightarrow \infty. \end{aligned} \tag{C.13}$$

The first set of summations can be evaluated as follows:

$$\begin{aligned} &\sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{\delta=-\infty}^{\infty} \mathfrak{M}_{\llbracket 2n+1,2Q \rrbracket}(m, -p-1, n+\delta; q) \\ &= \frac{(1-q)^2 q^{n-Q-\frac{1}{2}}}{2(q; q)_{\infty}^6} \{ u_1(\sqrt{q}, Q) \vartheta_2(1, q)^2 - [u_2(\sqrt{q}, Q) \vartheta_3(1, q)^2 - u_2(-\sqrt{q}, Q) \vartheta_4(1, q)^2] \}, \end{aligned} \tag{C.14}$$

where



$$\begin{aligned}
 u_1(q, Q) &= \sum_{p=0}^{\infty} q^{2(p+\frac{3}{2})^2} \frac{1 - q^{4p+4Q+6}}{(1 + q^{2p+2})(1 + q^{2p+4})}, \\
 u_2(q, Q) &= \sum_{p=0}^{\infty} q^{2(p+1)^2} \frac{1 - q^{4p+4Q+4}}{(1 + q^{2p+1})(1 + q^{2p+3})}.
 \end{aligned}
 \tag{C.15}$$

The next set of summations in (4.62) can be evaluated as follows:

$$\begin{aligned}
 &\sum_{m=0}^{\infty} \sum_{p=0}^{\infty} \sum_{\delta=-\infty}^{\infty} \mathfrak{M}_{[2n+1,2Q]}(m + p, p, n + \delta; q) \\
 &= \frac{(-1)^Q (1 - q)^3 q^{n-\frac{3}{2}}}{2(q; q)_{\infty}^6} \{v_1(\sqrt{q}, Q) \vartheta_2(1, q)^2 \\
 &\quad + [v_2(\sqrt{q}, Q) \vartheta_3(1, q)^2 - v_2(-\sqrt{q}, Q) \vartheta_4(1, q)^2]\},
 \end{aligned}
 \tag{C.16}$$

where<sup>38</sup>

$$\begin{aligned}
 v_1(q, Q) &= \sum_{p=0}^{\lfloor Q/2 \rfloor} \frac{q^{2(p-\frac{1}{2})^2} (1 + q^2)^{2p}}{(1 + q^{2p-2})(1 + q^{2p})} \binom{Q}{2p} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p-Q \\ p+1/2, p+1 \end{matrix}; \frac{(1+q)^2}{4q} \right], \\
 v_2(q, Q) &= \sum_{p=0}^{\lfloor Q/2 \rfloor} \frac{(1+q)q^{2p^2} (1 + q^2)^{2p}}{(1 + q^{2p-1})(1 + q^{2p+1})} \binom{Q}{2p+1} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p+1-Q \\ p+1, p+3/2 \end{matrix}; \frac{(1+q)^2}{4q} \right].
 \end{aligned}
 \tag{C.18}$$

The last set of summations in (4.62) can be evaluated as follows:

$$\begin{aligned}
 &\sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \sum_{\delta=-\infty}^{\infty} \mathfrak{M}_{[2n+1,2Q]}(m, m + p + 1, n + \delta; q) \\
 &= \frac{(-1)^Q (1 - q)^3 q^{n-\frac{7}{4}}}{2(q; q)_{\infty}^6} \{w_1(\sqrt{q}, Q) \vartheta_2(1, q)^2 \\
 &\quad + q^{\frac{9}{4}} [w_2(\sqrt{q}, Q) \vartheta_3(1, q)^2 - w_2(-\sqrt{q}, Q) \vartheta_4(1, q)^2]\},
 \end{aligned}
 \tag{C.19}$$

where

$$w_1(q, Q) = \sum_{m=0}^{\infty} \sum_{p=0}^{Q-1} \frac{(-1)^{p+1} q^{1+2(1+m+p)^2-2m} (1 + q^2)^{2m} \binom{Q-1-p}{2m}}{(1 + q^{2(m+p)})(1 + q^{2(1+m+p)})},$$

<sup>38</sup> Upon obtaining the hypergeometric functions, we make use of the following identities for  $p \geq 0$ :

$$\begin{aligned}
 &\sum_{m=0}^Q (-1)^m q^{-m} (1 + q)^{2m} \binom{Q+m}{2p+2m} = \binom{Q}{2p} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p-Q \\ p+1/2, p+1 \end{matrix}; \frac{(1+q)^2}{4q} \right], \\
 &\sum_{m=0}^Q (-1)^m q^{-m} (1 + q)^{2m+1} \binom{Q+m}{1+2p+2m} = \binom{Q+1}{2p+1} {}_3F_2 \left[ \begin{matrix} 1, Q+1, 2p+1-Q \\ p+1, p+3/2 \end{matrix}; \frac{(1+q)^2}{4q} \right].
 \end{aligned}
 \tag{C.17}$$

$$w_2(q, Q) = q^{-\frac{9}{2}} \sum_{m=0}^{Q-1} \sum_{p=0}^{Q-1} \frac{(-1)^{p+1} q^{2(m+p+\frac{3}{2})^2-2m} (1+q^2)^{2m+1} \binom{Q-1-p}{1+2m}}{(1+q^{1+2m+2p})(1+q^{3+2m+2p})}. \quad (\text{C.20})$$

Combining the three sets of summations into (4.62), we have

$$\begin{aligned} G_{2n+1,2Q}(q) &= \frac{(1-q)^2 q^n}{2q^{\frac{3}{2}}(q; q)_\infty^6} \{ \vartheta_2(1, q)^2 [q^{1-Q} u_1(\sqrt{q}, Q) \\ &\quad + (-1)^Q (1-q) (v_1(\sqrt{q}, Q) + q^{-1/4} w_1(\sqrt{q}, Q))] \\ &\quad + \vartheta_3(1, q)^2 [-q^{1-Q} u_2(\sqrt{q}, Q) + (-1)^Q (1-q) (v_2(\sqrt{q}, Q) + q^2 w_2(\sqrt{q}, Q))] \\ &\quad + \vartheta_4(1, q)^2 [q^{1-Q} u_2(-\sqrt{q}, Q) - (-1)^Q (1-q) (v_2(-\sqrt{q}, Q) \\ &\quad + q^2 w_2(-\sqrt{q}, Q))] \} \end{aligned} \quad (\text{C.21})$$

which exactly (4.63) with the definition (4.65) for the function  $\mathcal{F}(q, Q)$  in the curly brackets. Note that this formula reproduces (4.72) when  $Q = 0$ .

This allows to quickly infer asymptotic  $[[2n, 2Q + 1]]$  multiplicities through the recursion (4.38) and the asymptotic relations  $G_{2n+2,2Q+1}(q) \sim q G_{2n,2Q+1}(q)$  as  $n \rightarrow \infty$ :

$$G_{2n,2Q+1}(q) \sim \frac{1}{1+q} [F_{n,Q+1}^R(q) - G_{2n+1,2Q}(q) - G_{2n+1,2Q+2}(q)]. \quad (\text{C.22})$$

The asymptotic formula (4.28) for  $F_{n,Q+1}^R(q)$  and the definition (4.65) for the function  $\mathcal{F}(q, Q)$  then leads to (4.64).

## Appendix D. Supplementary material

Supplementary material related to this article can be found online at <http://dx.doi.org/10.1016/j.nuclphysb.2013.08.003>.

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