

Symbolic Representation of  
Upward-Closed Sets

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## **Abstract**

Computing the set of states backwards reachable from a given *upward-closed* set of initial states is decidable for infinite-state systems like ‘unbounded’ Petri Nets, Vector Addition Systems, Lossy Petri Nets, and Broadcast Protocols. An abstract algorithm that solves the problem is given in [AČJT96, FS99]. When applied to this class of verification problems, traditional symbolic model checking methods suffer from the state explosion problem even for very small examples. We provide BDD-like data structure to represent in a compact way collections of upwards closed sets over numerical domains. This way, we turn the abstract algorithm of [AČJT96, FS99] into a practical method. Preliminary experimental results indicate the potential usefulness of our method.

## **Keywords**



# 1 Introduction

*Symbolic model checking* [BCB<sup>+</sup>90] has been successfully applied to verification of finite-state systems. This approach is based on the efficient representation of sets of states via *binary decision diagrams* (BDDs) [Bry86].

In the last years, many efforts have been made to extend the results and methods developed for finite-state systems to systems with *infinite-state* space. Many interesting theoretical results have been obtained for systems with data variables ranging over integer values and with infinite state-space (e.g. [AČJT96, AJ99, BF99, BM99, BW94, BW98, CJ98, EFM99, FS99]). This class of systems comprises well-known examples like Vector Addition Systems [Min67], Petri Nets [KM69] with an unbounded number of tokens, Integral Relational Automata [Čer94], and more recent examples like Broadcast Protocols [EN98] and Lossy Petri Nets [BM99].

Computing the set of states that are backwards reachable from a given *upward-closed* set of ‘final’ states is *decidable* for all previous systems [AČJT96, BM99, EFM99, Fin90, FS99]. The abstract algorithm of [AČJT96, FS99] solves the problem through the computation of the closure of the *predecessor* operator wrt. a given upward-closed set of states. The algorithm can be used to check, e.g., invariant properties like *mutual exclusion* [DEP99] and *coverability for markings of Petri Nets* [AJKP98].

As in the finite-state case, the success of symbolic model checking for this class of problems depends on the data structures used as implicit representation of sets of states. Over numerical domains, upward-closed sets can be represented via a sub-class of integer arithmetic constraints (see e.g. [AJ99, DEP99]). In this setting, the state-space generated by the abstract algorithm of [AČJT96, FS99] can be represented as a large *disjunction* of arithmetic constraints.

In [DEP99], the authors tested constraint-based model checking methods over integers (based on a solver for Presburger arithmetic [Bul98]) and reals (based on polyhedra [HHW97]) on verification problems that can be expressed via upward-closed sets. Though the examples in [DEP99] would be considered of negligible size in finite-state model checking (e.g. 6 transitions and 10 variables, cf. [BCB<sup>+</sup>90]), All methods suffer from the state explosion problem<sup>1</sup>. Some of the experiments required (when terminating) execution times in the order of days.

Based on these observations, it seems natural to look for BDD-like data structures to represent ‘compactly’ the generalization of boolean formulas we are interested in.

In this paper we propose a new symbolic representation for upward-closed sets based on the *sharing trees* of Zampuniéris and Le Charlier [ZL94].

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<sup>1</sup>One would say ‘symbolic state explosion problem’, in fact, the above cited methods operate on implicit representations of infinite sets of states.

Sharing trees are acyclic graphs used to represent large sets of tuples, e.g., of integer numbers. The intuition behind the choice of this data structure is the following. An upward-closed set  $U$  is determined by its finite set of generators (tuples of integers), say  $gen(U)$ . Thus, we can represent the set  $U$  via a sharing tree  $S_U$  whose paths correspond to the generators  $gen(U)$ .

This way, we managed to turn the abstract algorithm of [AČJT96, FS99] into a ‘practical method’ working on the examples in [DEP99] in acceptable time cost.

Technically, our contributions are as follows.

- We introduce a logic (the logic  $\mathcal{U}$ ) where collections of upward-closed sets can be represented as disjunctive formulas.  $\mathcal{U}$ -formulas are used for verification problems of infinite-state systems as boolean formulas are used for the finite-state case.
- We show that sharing trees can be used to obtain compact representations of  $\mathcal{U}$ -formulas (there exist a  $\mathcal{U}$ -formula that can be represented using a sharing tree whose size is logarithmic in the size of the formula). We show how basic operations on  $\mathcal{U}$ -formulas (e.g. conjunction and disjunction) can be implemented symbolically on the corresponding sharing trees. Sharing trees can be viewed as the BDDs for  $\mathcal{U}$ -formulas.
- In practical cases (e.g., during the symbolic computation of the closure of the predecessor operator), sharing trees may still become very large. For this reason, we propose polynomial time algorithms that can be used to eliminate redundant paths. As we prove in the paper, the problem of removing all redundancies from a sharing tree representing a  $\mathcal{U}$ -formula is co-NP hard (in the size of the sharing tree).
- The same techniques can be used to give sufficient conditions for the subsumption test of sharing trees representing  $\mathcal{U}$ -formulas (i.e. for the termination test of the algorithm of [AČJT96]). The complete test is co-NP hard in the size of the sharing trees.

As an application of our method, we have implemented the algorithm of [AČJT96] in the case of Vector Addition Systems. The implementation makes use of the sharing tree library of [Zam97]. First experimental results indicate the potential usefulness of our method.

**Plan of the Paper.** In Section 2, we define the logic  $\mathcal{U}$ . In Section 3, we introduce the symbolic representation of  $\mathcal{U}$ -formulas via sharing trees. In Section 4, we define simulation relations for nodes of sharing trees and discuss their application in the operations for  $\mathcal{U}$ -formulas. In Section 5, we define a symbolic model checking procedure for Vector Addition Systems. In Sections 6, we present related work. In Sections 7, we address some conclusions and future perspectives for our work.

## 2 The Logic of Upward-Closed Sets

In this section we introduce the logic  $\mathcal{U}$  that we use to define collections of upward-closed sets. Let  $V = \{x_1, \dots, x_k\}$  be a finite set of variables. Furthermore, let  $\mathbb{Z} = \mathbb{Z} \cup \{-\infty\}$ . The set of  $\mathcal{U}$ -formulas is defined by the following grammar.

$$\Phi ::= x_i \geq c \mid \Phi \wedge \Phi \mid \Phi \vee \Phi \mid \exists_{x_i+c} \Phi,$$

where  $c \in \mathbb{Z} \cup \{-\infty\}$ .  $\mathcal{U}$ -formulas are interpreted over  $\mathbb{Z}^k$ . We use  $\mathbf{t}$  to denote the valuation  $\langle t_1, \dots, t_k \rangle$ , where  $t_i \in \mathbb{Z}$  is the valuation for variable  $x_i$ . We consider the following order over tuples:  $\mathbf{t} \preceq \mathbf{t}'$  iff  $t_i \leq t'_i$  for  $i : 1, \dots, k$  ( $-\infty \leq c$  for  $c \in \mathbb{Z}$ ). When restricted to positive values,  $\preceq$  is a well-quasi ordering (see e.g. [AČJT96, FS99]). Given a tuple  $t$ , we define  $\mathbf{t}^\uparrow$  as the *upward-closed set* generated by  $\mathbf{t}$ , namely,  $\mathbf{t}^\uparrow = \{ \mathbf{t}' \mid \mathbf{t} \preceq \mathbf{t}' \}$ .

Satisfiability of a formula wrt. a valuation  $\mathbf{t}$  is defined as follows:

- $\mathbf{t} \models x_i \geq c$  iff  $t_i \geq c$ ;
- $\mathbf{t} \models \Phi_1 \wedge \Phi_2$  iff  $\mathbf{t} \models \Phi_1$  and  $\mathbf{t} \models \Phi_2$ ;
- $\mathbf{t} \models \Phi_1 \vee \Phi_2$  iff  $\mathbf{t} \models \Phi_1$  or  $\mathbf{t} \models \Phi_2$ ;
- $\mathbf{t} \models \exists_{x_i+c} \Phi$  iff  $\mathbf{t}' \models \Phi$  and  $\mathbf{t}'$  is obtained from  $\mathbf{t}$  replacing  $t_i$  with  $t_i + c$ .

The formula  $\exists_{x+c} \Phi[x]$  corresponds to the formula with explicit equality  $\exists_{x'} . x' = x + c \wedge \Phi[x'/x]$ .

The *denotation* of a formula  $\Phi$ , namely  $\llbracket \Phi \rrbracket$ , is defined as the set of all evaluations  $\mathbf{t}$  such that  $\mathbf{t} \models \Phi$ . A formula  $\Phi_1$  is *subsumed* by a formula  $\Phi_2$ , written  $\Phi_1 \models \Phi_2$ , if  $\llbracket \Phi_1 \rrbracket \subseteq \llbracket \Phi_2 \rrbracket$ . Two formulas are equivalent if their denotations coincide. The class of  $\mathcal{U}$ -formulas denotes all upward-closed set over  $\mathbb{Z}^k$ , i.e., all sets  $I \subseteq \mathbb{Z}^k$  such that if  $\mathbf{t} \in I$  then  $\mathbf{t}^\uparrow \subseteq I$ .

All formulas can be reduced to *disjunctive formulas*, i.e., to formulas having the following form<sup>2</sup>:

$$\bigvee_{i \in I} (x_1 \geq c_{i,1} \wedge \dots \wedge x_k \geq c_{i,k}).$$

*Notation.* In the rest of the paper we use  $\Phi, \Psi$ , etc. to denote arbitrary  $\mathcal{U}$ -formulas, and  $\phi, \psi$ , etc. to denote disjunctive formulas.

The set of *generators* of a disjunctive formula  $\varphi$  are defined as

$$\text{gen}(\varphi) = \{ \langle c_1, \dots, c_k \rangle \mid (x_1 \geq c_1 \wedge \dots \wedge x_k \geq c_k) \text{ is a disjunct in } \varphi \}.$$

<sup>2</sup>Adding formulas of the form  $x_i \geq -\infty$  when necessary.

Thus, disjunctive formulas are in one-to-one correspondence with their set of generators. The minimal elements (wrt.  $\preceq$ ) of  $gen(\varphi)$  are denoted by  $min(\varphi)$ . Note that  $\llbracket \varphi \rrbracket = \bigcup_{\mathbf{t} \in min(\varphi)} \mathbf{t}^\uparrow$ . We say that a disjunctive formula is in *normal form* whenever  $gen(\varphi) = min(\varphi)$ . As an example, consider the formula  $\varphi = (x \geq 1 \wedge y \geq 2) \vee (x \geq 3 \wedge y \geq 1) \vee (x \geq 2 \wedge y \geq 0)$ . Then,  $gen(\varphi) = \{\langle 1, 2 \rangle, \langle 3, 1 \rangle, \langle 2, 0 \rangle\}$ , and  $min(\varphi) = \{\langle 1, 2 \rangle, \langle 2, 0 \rangle\}$ , i.e.,  $\varphi$  is *not* in normal form. A graphical representation of the upward-closed set generated by  $\varphi$  is given in Fig. 1.

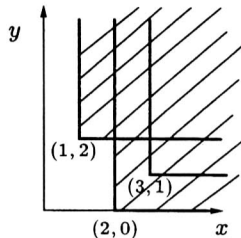


Figure 1: Generators and minimal points.

## 2.1 Operations on formulas in disjunctive form

**Disjunction and Conjunction.** Formulas in disjunctive form are closed under  $\vee$ .

Furthermore, given the disjunctive formulas  $\varphi$  and  $\psi$ , the disjunctive formula for  $\varphi \wedge \psi$  is defined as follows:  $\bigvee_{\mathbf{t} \in gen(\varphi), \mathbf{t}' \in gen(\psi)} (x_1 \geq \max(t_1, t'_1) \wedge \dots \wedge x_k \geq \max(t_k, t'_k))$ , i.e.,  $gen(\varphi \wedge \psi) = \{\mathbf{s} \mid \exists \mathbf{t} \in gen(\varphi), \mathbf{t}' \in gen(\psi) \text{ and } s_i = \max(t_i, t'_i)\}$ . Note that the resulting formula may not be in normal form.

**Quantification.** The formula  $\exists_{x_i+c} \varphi$  is equivalent to the formula  $\varphi'$  obtained from  $\varphi$  by replacing every atom  $x_i \geq d$  with  $x_i \geq d - c$ , i.e.,  $gen(\exists_{x_i+c} \varphi) = \{\mathbf{t}' \mid t'_i + c = t_i, t'_j = t_j \text{ } j \neq i, \mathbf{t} \in gen(\varphi)\}$ .

**Satisfiability.** Given a valuation  $\mathbf{t}$ , we first note that  $\mathbf{t} \models \varphi$  iff there exists  $\mathbf{t}' \in gen(\varphi)$  such that  $\mathbf{t}' \preceq \mathbf{t}$ . Thus, checking  $\mathbf{t} \models \varphi$  can be done in time linear in the size of  $\varphi$ .

**Subsumption.** Let  $\varphi$  and  $\psi$  be in disjunctive form. We can check  $\varphi \models \psi$  in time quadratic in the size of the formulas. In fact,  $\varphi \models \psi$  holds iff for all  $\mathbf{t} \in gen(\varphi)$  there exists  $\mathbf{t}' \in gen(\psi)$  such that  $\mathbf{t}' \preceq \mathbf{t}$ .

It is important to remark that the subsumption test is much harder for *arbitrary*  $\mathcal{U}$ -formulas, as stated in the following theorem.

**Theorem 2.1** Given two arbitrary  $\mathcal{U}$ -formulas  $\Phi$  and  $\Psi$ , checking that  $\Phi \models \Psi$  is co-NP complete in the size of the formulas.

**Proof 2.1** The complement of the problem is in NP. In fact, we can decide it by ‘guessing’ a valuation and testing if satisfies  $\Phi$  but not  $\Psi$  in time linear in the size of the formulas. To prove hardness, we use a reduction from the *validity* problem (see e.g. [Joh90]) for propositional formulas in disjunctive normal form. Given a propositional formula  $F = D_1 \vee \dots \vee D_n$  where each disjunct  $D_i$  is a conjunction of literals over the set of propositional variables  $p_1, \dots, p_m$ , is  $F$  true for all valuations?

The reduction works as follows. Given a propositional formula  $F$ , we build a  $\mathcal{U}$ -formula  $\Phi_{val(F)}$  (polynomial in the size of  $F$ ) that represents all valuations that make  $F$  true. Then, we reduce validity for  $F$  to the subsumption problem  $\Phi_{val(true)} \models \Phi_{val(F)}$ .

Without loss of generality, we assume that *all variables* occur in each disjunct of  $F$  either as  $p$ ,  $\neg p$  or *any*( $p$ ). The latter means that  $p$  can take any value (note: it is equivalent to say that  $p$  *does not* occur in the disjunct). The formula *true* is equivalent to *any*( $p_1$ )  $\wedge \dots \wedge$  *any*( $p_m$ ). To encode the valuation for  $p_i$ , we use two variables from  $V$ , namely  $v_i$  and  $w_i$ . We use the formula  $\Phi_1 = (v_i \geq 1 \wedge w_i \geq 0)$  when  $p_i$  evaluates to *true*;  $\Phi_2 = (v_i \geq 0 \wedge w_i \geq 1)$  when  $p_i$  evaluates to *false*. Note that neither  $\Phi_1 \models \Phi_2$  nor  $\Phi_2 \models \Phi_1$ . We use the disjunction  $(v_i \geq 1 \wedge w_i \geq 0) \vee (v_i \geq 0 \wedge w_i \geq 1)$  when  $p_i$  can be evaluated either to *true* or *false*. The previous encoding allows us to keep the size of  $\Phi_{val(F)}$  polynomial in the size of  $F$ .

Formally, the formula  $\Phi_{val(F)}$  is defined by induction on  $F$  as follows:  
 $\Phi_{val(D_1 \vee D_2)} = \Phi_{val(D_1)} \vee \Phi_{val(D_2)}$ ,  $\Phi_{val(C_1 \wedge C_2)} = \Phi_{val(C_1)} \wedge \Phi_{val(C_2)}$ ,  $\Phi_{val(p_i)} = (v_i \geq 1 \wedge w_i \geq 0)$ ,  $\Phi_{val(\neg p_i)} = (v_i \geq 0 \wedge w_i \geq 1)$ ,  $\Phi_{val(any(p_i))} = (v_i \geq 0 \wedge w_i \geq 1) \vee (v_i \geq 1 \wedge w_i \geq 0)$ .

Based on this construction, it is easy to check that  $F$  is valid if and only if  $\Phi_{val(true)} \models \Phi_{val(F)}$ .  $\square$

**Reduction in normal form.** Given a disjunctive formula  $\varphi$  we can reduce it in normal form by eliminating all ‘redundant’ generators from  $gen(\varphi)$ , i.e., all  $\mathbf{t} \in gen(\varphi)$  such that there exists  $\mathbf{t}' \in gen(\varphi)$ ,  $\mathbf{t} \neq \mathbf{t}'$ ,  $\mathbf{t}' \preceq \mathbf{t}$ . The reduction can be done in time quadratic in the size of  $\varphi$ .

All previous operations depend on the set of ‘generators’ of disjunctive formulas. In the following section we introduce a special data structure, called sharing tree [ZL94], for handling large set of generators. We show how to use this data structure to represent and manipulate symbolically formulas of the logic  $\mathcal{U}$ .

### 3 Sharing Trees

In this paper we specialize the original definition of [ZL94] as follows. We call a  $k$ -sharing tree a rooted directed acyclic graph  $(N, V, root, end, val, succ)$  where  $N = \{root\} \cup N_1 \dots \cup N_k \cup \{end\}$  is the finite set of *nodes*, ( $N_i$  is the

set of nodes of *layer i* and, by convention,  $N_0 = \{root\}$  and  $N_{k+1} = \{end\}$ ,  $val : N \rightsquigarrow \mathbb{Z} \cup \{\top, \perp\}$  is a labeling function for the nodes, and  $succ : N \rightsquigarrow 2^N$  defines the successors of a node. Furthermore,

1.  $val(n) = \top$  if and only if  $n = root$ ;
2.  $val(n) = \perp$  if and only if  $n = end$ ;
3.  $succ(end) = \emptyset$ ;
4. for  $i : 0, \dots, k$ , for all  $n \in N_i$ ,  $succ(n) \subseteq N_{i+1}$  and  $succ(n) \neq \emptyset$ ;
5. for all  $n \in N$ , for all  $n_1, n_2 \in succ(n)$ , if  $n_1 \neq n_2$  then  $val(n_1) \neq val(n_2)$ .
6. for  $i : 0, \dots, k$ , for all  $n_1, n_2 \in N_i$  s.t.  $n_1 \neq n_2$ , if  $val(n_1) = val(n_2)$  then  $succ(n_1) \neq succ(n_2)$ .

In other words, a  $k$ -sharing tree is an acyclic graph with root and terminal node such that: all nodes of layer  $i$  have successors in the layer  $i + 1$  (cond. 4); a node cannot have two successors with the same label (cond. 5); finally, two nodes with the same label in the same layer do not have the same set of successors (cond. 6).

We say that  $S$  is a pre-sharing tree if it respects conditions (1)-(4) but possibly not (5) and (6).

**Notation.** In the rest of the paper we use  $root^S$ ,  $N^S$ ,  $succ^S$  etc. to refer to the root, set of nodes, successor relation etc. of the sharing-tree  $S$ .

A path of a  $k$ -sharing tree is a sequence of nodes  $\langle n_1, \dots, n_m \rangle$  such that  $n_{i+1} \in succ(n_i)$   $i : 1, \dots, m-1$ . Paths will represent tuple of size  $k$  of integer numbers. Formally, we use  $elem(S)$  to denote the set of elements represented by the  $k$ -sharing tree  $S$ :

$$elem(S) = \{ \langle val(n_1), \dots, val(n_k) \rangle \mid \langle \top, n_1, \dots, n_k, \perp \rangle \text{ is a path of } S \}.$$

Condition 5 and 6 ensure the maximal sharing of prefixes and suffixes among the paths (elements) of the sharing tree. We define the ‘size’ of a sharing tree as the number of its *nodes* and *edges*. Note that the number of tuples in  $elem(S)$  can be exponentially larger than the size of  $S$ .

As shown in [ZL94], given a set of tuples  $F$  of size  $k$ , there exists a unique (modulo isomorphisms of graphs) sharing tree such that  $elem(S) = F$ . In the same paper the authors give algorithms for the basic set-operations on the set of elements represented by the sharing trees. The table in Fig. 2 gives the specification and the complexity in terms of the size of sharing trees, for the operation we will consider in the rest of the paper: union, intersection, emptiness, containment, and equality test. In [ZL94], the authors show that the operations in Fig. 2 can be safely applied to pre-sharing trees that satisfy condition 5 only. The cost for intersection and union depends also

Operation	Specification	Complexity
<i>union</i>	$elem(union(S, T)) = elem(S) \cup elem(T)$	$\mathcal{O}(\max(E_S, E_T) + Red)$
<i>intersection</i>	$elem(intersection(S, T)) = elem(S) \cap elem(T)$	$\mathcal{O}(\min(E_S, E_T) + Red)$
<i>membership</i>	$member(\mathbf{t}, S) \text{ iff } \mathbf{t} \in elem(S)$	$\mathcal{O}(size(\mathbf{t}))$
<i>containment</i>	$contained(S, T) \text{ iff } elem(S) \subseteq elem(T)$	$\mathcal{O}(E_S)$
<i>emptiness</i>	$is\_empty(S) \text{ iff } elem(S) = \emptyset$	$\mathcal{O}(const)$

Figure 2: Operations on the sharing trees  $S$  and  $T$ :  $E_S = \text{No. edges of } S$ .

on the cost  $Red$  in Fig. 2, of re-arranging condition 6. This task can be achieved using the algorithm presented in [ZL94] with cost quadratic in the number of nodes of the resulting sharing trees. Finally, given a node  $n$  of the  $i$ -th layer of a  $k$ -sharing tree  $S$ , the sub-(sharing)tree  $S_n$  rooted at  $n$  is the  $k - i + 1$ -sharing tree obtained as follows. We first isolate the graph rooted at  $n$  and consisting of all nodes reachable from  $n$  (this subgraph has  $k - i + 1$  layers and a terminal node). Then, we add a layer with the single node  $root$  and we set  $succ(root) = \{n\}$ .

From the previous definition,  $elem(S_n)$  consists of all tuples of the form  $\langle val(n), m_{i+1}, \dots, m_k \rangle$  obtained from tuples  $\langle m_1, \dots, val(n), m_{i+1}, \dots, m_k \rangle$  of  $elem(S)$ .

### 3.1 Symbolic representation of $\mathcal{U}$ -formulas

We first show how to represent  $\mathcal{U}$ -formulas in disjunctive form, and then show how to define disjunction, conjunction, subsumption and reduction in normal form over the resulting data structure.

Let  $\varphi$  be a  $\mathcal{U}$ -formula in disjunctive form over  $x_1, \dots, x_k$ . We define  $S_\varphi$  as the  $k$ -sharing tree such that  $elem(S_\varphi) = gen(\varphi)$ . The *denotation* of a  $k$ -sharing tree  $S$  is then defined as  $\llbracket S \rrbracket = \bigcup_{\mathbf{t} \in elem(S)} \mathbf{t}^\dagger$ . Clearly,  $\llbracket \varphi \rrbracket = \llbracket S_\varphi \rrbracket$ . We say that  $S_\varphi$  is *irredundant* if  $\varphi$  is in normal form, i.e., there exists no  $\mathbf{t} \in elem(S_\varphi)$  such that  $\mathbf{t}' \preceq \mathbf{t}$  for  $\mathbf{t}' \in elem(S_\varphi)$  distinct from  $\mathbf{t}$ . The following proposition explains the advantages of using sharing trees for representing  $\mathcal{U}$ -formulas.

**Proposition 3.1** There exist a disjunctive formula in normal form  $\varphi$  such that the corresponding sharing tree  $S_\varphi$  has size (no. of nodes and arcs) logarithmic in the size of  $\varphi$ .

**Proof 3.1** Consider the  $\mathcal{U}$ -formulas  $\Phi_{val(D)}$  we used in the proof of Theorem 2.1 to represent all evaluations that satisfy a disjunct  $D$  of a *propositional formula*  $F$  in DNF. The transformation of  $\Phi_{val(D)}$  to a disjunctive formula gives a formula  $\varphi$  exponential in the size of  $\Phi_{val(D)}$ . However, the representation of  $\varphi$  using a sharing tree  $S_\varphi$  is polynomial in the size of the *original* formula  $\Phi_{val(D)}$ , i.e., logarithmic in  $\varphi$ . In other words, we can build  $S_\varphi$

‘directly’ from  $\Phi_{val(D)}$  (the formal proof is by induction on the structure of the formula).

As an example, consider the  $\mathcal{U}$ -formula  $\Phi_{val(true)} = \bigvee_{i=1}^m (v_i \geq 1 \wedge w_i \geq 0) \vee (v_i \geq 0 \wedge w_i \geq 1)$ . The corresponding disjunctive formulas  $\varphi$  (obtained by distributing  $\wedge$ ) is  $\bigvee_{c,d \in \{0,1\}} \bigwedge_{i=1}^m (v_i \geq c \wedge w_i \geq d)$ , i.e., one conjunct for each possible valuation for the variables  $p_1, \dots, p_m$  of  $F$  (exponential in  $m$ ). Note that  $\varphi$  is in normal form. On the other hand, the sharing tree  $S_\varphi$  has size polynomial in  $\Phi_{val(true)}$ , i.e., logarithmic in  $\varphi$ , as shown in Fig. 3 (each layer has two nodes and at most four arcs).  $\square$

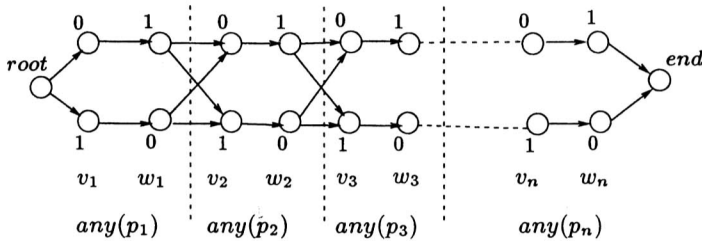


Figure 3: Sharing tree for  $\Phi_{val(true)}$ .

### 3.2 Symbolic Operations for $\mathcal{U}$ -formulas

In this section we show how operations on the disjunctive formulas  $\varphi$  and  $\psi$  can be defined symbolically on their representations  $S_\varphi$  and  $S_\psi$ . We use the term *symbolically* because the algorithms that we propose work directly on the graphs  $S_\varphi$  and  $S_\psi$  and not by enumerating the elements that they represent.

**Disjunction.** Let  $S_\varphi$  and  $S_\psi$  be the  $k$ -sharing trees representing the formulas (in disjunctive form)  $\varphi$  and  $\psi$ . To build a sharing tree with  $elem(S_{\varphi \vee \psi}) = gen(\varphi) \cup gen(\psi)$ , we define  $S_{\varphi \vee \psi}$  as  $union(S_\varphi, S_\psi)$ , where  $union$  is the operation in Fig. 2. In [ZL94], it has been show that the size of the sharing-tree  $S_{\varphi \vee \psi}$  is at most quadratic in the size of  $S_\varphi$  and  $S_\psi$ , and can be computed in time quadratic in the size of the input sharing-trees.

**Conjunction.** Given  $S_\varphi$  and  $S_\psi$ , we build  $S_{\varphi \wedge \psi}$  as follows. We first define a pre-sharing tree  $P$  with the following components: (i)  $N^P = \{root\} \cup N_1 \cup \dots \cup N_k \cup \{end\}$  with  $N_i = \{(n, m) \mid n \in N_i^{S_\varphi}, m \in N_i^{S_\psi}\}$  (i.e. a node in  $P$  correspond to a pair consisting of a node of  $S_\varphi$  and a node of  $S_\psi$  (at the same layer)); (ii)  $val^P((n, m)) = \max(val^{S_\varphi}(n), val^{S_\psi}(m))$ , and (iii) for all  $(n, m) \in N_1 \cup \dots \cup N_n$ , we set  $succ^P((n, m)) = \{(n', m') \mid n' \in succ^{S_\varphi}(n), m' \in succ^{S_\psi}(m)\}$ ,  $succ^P(root) = N_1$ , and for all  $(n, m) \in N_k$



we set  $\text{succ}^P((n, m)) = \{\text{end}\}$ . We obtain the sharing-tree  $S_{\varphi \wedge \psi}$  from  $P$  by enforcing conditions (5-6) of Section 3 with the algorithms proposed in [ZL94].

**Quantification.** Given the sharing tree  $S_\varphi$  we build the sharing tree  $S_{\exists_{x+c}.\varphi}$  with  $\text{elem}(S_{\exists_{x+c}.\varphi}) = \text{gen}(\exists_{x+c}.\varphi)$  as follows.  $S_{\exists_{x+c}.\varphi}$  has the same components as  $S_\varphi$  except from the valuation function: for every node  $n \in N^{S_{\exists_{x+c}.\varphi}}$   $\text{val}^{S_{\exists_{x+c}.\varphi}}(n) = \text{val}^{S_\varphi}(n) - c$ . This way, we obtain a well-formed sharing tree. The complexity of the construction is linear in the number of nodes of  $S_\varphi$ , i.e., potentially logarithmic in the number of its elements.

**Satisfiability.** Checking that  $\mathbf{t} \models \varphi$  on the sharing tree  $S_\varphi$  has cost linear in the size of  $\varphi$ , i.e., following from Remark 3.1, possibly logarithmic in the size of  $\varphi$ . In fact, the following theorem holds.

**Theorem 3.1** Let  $S$  be a  $k$ -sharing tree and  $\mathbf{t}$  be a vector of length  $k$ . We can check if  $\mathbf{t}$  is subsumed by  $S$  in time linear in the number of edges of  $S$ .

**Proof 3.2** We exhibit an algorithm linear in the size of the sharing tree. The algorithm is based on a layer-by-layer comparison of the nodes of the sharing-tree and the components of the vector: at a given layer, we mark all nodes that belong to a path (tuple) that is candidate to subsume the vector. Specifically, we first mark  $\text{root}_S$ . Then, if a node  $m$  at the  $i$ -th layer of  $S$  is marked, we mark all successors  $n$  of  $m$  such that  $t_{i+1} \geq \text{val}(n)$ . At the end of the procedure, the vector  $\mathbf{t}$  is subsumed by  $S$  if and only if the node  $\text{end}_S$  is marked (note: we assume that  $t_0 = \top$  and  $t_{k+1} = \perp$ ).  $\square$

**Subsumption.** The subsumption problem is harder: the best possible algorithm for subsumption is exponential in the size of the trees, as shown by the following theorem.

**Theorem 3.2** The subsumption problem for two (irredundant)  $k$ -sharing trees is co-NP complete in the size of the sharing trees.

**Proof 3.3** We can decide the complement of the problem by guessing a tuple of length  $k$  and testing (following from Prop. 3.1, in linear time) that it is subsumed by  $S_1$  and not by  $S_2$ . To prove hardness, we use a reduction from *validity* as in Theorem 2.1. More precisely, given a propositional formula in DNF  $F = D_1 \vee \dots \vee D_n$  we define the sharing tree  $S_{\text{val}(F)}$  that encode all evaluations that satisfy  $F$  and then reduce validity to the subsumption test between  $S_{\text{val}(\text{true})}$  and  $S_{\text{val}(F)}$ . Following the proof of Theorem 2.1, we first build the two  $\mathcal{U}$ -formulas  $\Phi_{\text{val}(\text{true})}$  and  $\Phi_{\text{val}(F)}$  that encode the valuations that make  $\text{true}$  and  $F$  true, respectively. Following from Prop. 3.1, we know that the sharing tree  $T_{\text{val}(\text{true})}$  (with root  $r_t$  and terminal node  $e_t$ )

that encode  $\Phi_{val(true)}$ , and the sharing tree  $S_i$  (with root  $r_i$  and terminal node  $e_i$ ) that encode  $\Phi_{val(D_i)}$  have size polynomial in  $F$ . (Note: in Prop. 3.1, the sharing tree  $S_{val(F)}$  is obtained through the expansion of  $\Phi_{val(F)}$  to a disjunctive formula.) It remains now to define the an ‘irredundant’ sharing tree  $S_{val(F)}$ . Note that the *union* operation of Fig. 2 does not guarantee that the result will be an irredundant tree. Thus, instead of using *union* we proceed as follows. By construction, every sharing tree  $S_i$  is irredundant. Based on this fact, we first *merge* all  $S_i$ ’s into a single sharing tree  $S_{val(F)}$  with root  $r$  and terminal node  $e$  such that  $succ(r) = \{r_1, \dots, r_n\}$ ,  $succ(e_1) = \{e\}, \dots, succ(e_n) = \{e\}$ . To ensure that for all pairs of distinct tuples (paths)  $\mathbf{t}, \mathbf{t}'$  of  $S_{val(F)}$   $\mathbf{t} \not\preceq \mathbf{t}'$  and  $\mathbf{t}' \not\preceq \mathbf{t}$ , we set  $val(r_i) = i$  and  $val(e_i) = 2n + 1 - i$  (i.e., from ‘left-to-right’: increasing values for the  $r_i$ ’s; decreasing values for the  $e_i$ ’s). Clearly, the resulting sharing tree is irredundant and has polynomial size in the size of  $F$ . Similarly, we define  $S_{val(true)}$  as the sharing tree with root  $r'$  and terminal node  $e'$  obtained from  $T_{val(true)}$  setting  $succ(r') = \{r_t\}$  and  $succ(e'_t) = \{e_t\}$ . Finally, since the values of the nodes  $r'$  and  $e'$  should not influence the comparison of the paths of  $S_{val(true)}$  and  $S_{val(F)}$ , we set  $val(r') = val(e') = 2n + 1$  (a value strictly greater than the max label in  $S_{val(F)}$ ). We give an example of the

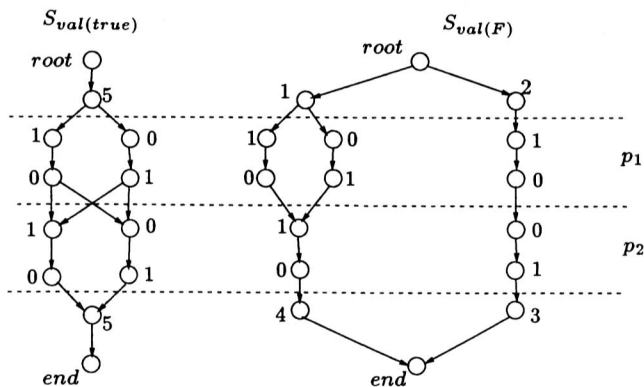


Figure 4: Validity of  $F = p_2 \vee (p_1 \wedge \neg p_2)$  is reduced to  $S_{val(true)} \models S_{val(F)}$ .

reduction in Fig. 4. □

Following from the previous result, the cost of checking subsumption may be exponential in the number of edges of the input sharing trees. The result follows from the fact that  $\mathcal{U}$ -formulas in disjunctive form can be represented compactly via sharing-trees.

**Reduction in normal form.** Let  $S_\varphi$  be the sharing tree associated to a disjunctive formula  $\varphi$ . We consider now the following problem: what is the complexity of computing the sharing-tree for the normal form of  $\varphi$  (i.e. the

sharing-tree  $S$  such that  $elem(S) = min(\varphi)$ ? The following theorem shows that it is as hard as checking subsumption.

**Theorem 3.3** Given a  $k$ -sharing tree  $S$ , computing the irredundant  $k$ -sharing tree  $S'$  such that  $\llbracket S \rrbracket = \llbracket S' \rrbracket$  is co-NP hard.

**Proof 3.4** Following [Joh90], we exhibit a polynomial-time Turing reduction from VALIDITY (co-NP complete). As in the proof of theorem 3.2, given a formula  $F$  we build the irredundant sharing tree  $S_{val(F)}$  and  $S_{val(true)}$  (with size polynomial in  $F$ ). We build an Oracle Turing Machine (OTM) for testing validity of  $F$  as follows. Let us assume that we have an oracle that yields the sharing tree  $R$  obtained from  $S$  after removing all redundant tuples. Following the idea of the proof of Theorem 3.2, we first define the sharing tree  $S$  obtained merging  $S_{val(true)}$  and  $S_{val(F)}$  in time and size polynomial in the size of  $S_{val(true)}$  and  $S_{val(F)}$ . Then, we invoke the oracle with  $S$  as input. By construction of  $S$ , the oracle returns the sharing tree  $R$  obtained from  $S$  by removing all tuples of  $S_{val(true)}$  in  $S$  subsumed by tuples in  $S_{val(F)}$ . Finally, we compute  $T = intersect(S_{val(true)}, R)$  without adjusting the conditions 5-6 (i.e. we obtain a pre-sharing tree) in time polynomial in the minimum between the size of  $S_{val(true)}$  and  $R$  (see Fig. 2). As shown in [ZL94], this step is possible and avoids the cost  $Red$  of Fig. 2. Finally, we check emptiness of the pre-sharing tree  $T$  in constant time (see Fig. 2). Clearly,  $T$  is empty if and only if  $F$  is valid. Thus, for every input formula  $F$ , the resulting OTM runs in polynomial time in the size of  $F$  and returns ‘yes’ if and only if  $F$  is valid.  $\square$

Let  $S_1$  and  $S_2$  be two  $k$ -sharing trees. Note that, if  $elem(S_1) \subseteq elem(S_2)$  then  $\llbracket S_1 \rrbracket \subseteq \llbracket S_2 \rrbracket$ . Besides giving a sufficient condition for checking subsumption, the previous fact suggests a possible strategy to reduce the cost of the ‘complete’ test. We first compute  $T = minus(S_1, S_2)$  (polynomial in the size of  $S_1, S_2$ ) and then test  $T \models S_2$  on the (possibly) smaller sharing tree  $T$ .

In the next section we give more interesting polynomial-time *sufficient conditions* for the subsumption test, based on a notion of *simulation* between nodes of  $k$ -sharing trees. We will see that this notion of simulation is also useful to reduce sharing-trees and “approximate” the reduction in normal form.

## 4 Simulations for nodes of a $k$ -sharing tree

In the previous section we have proved that the subsumption problem for two  $\mathcal{U}$ -formulas represented as sharing-trees and the computations of generators of the normal form of a  $\mathcal{U}$ -formula represented as a sharing-tree, are co-NP hard. In this section we will introduce ‘approximations’ of the subsumption

relation that can be tested more efficiently. More precisely, given two nodes  $n$  and  $m$  of a sharing tree  $S$  we are looking for a relation  $\overset{\mathcal{F}}{\sim}$  such that:  $n \overset{\mathcal{F}}{\sim} m$  ‘implies’  $\llbracket S_n \rrbracket \subseteq \llbracket S_m \rrbracket$ .

**Definition 4.1 (Forward Simulation)** Given two sharing tree  $S$  and  $T$ , let  $n$  be a node of the  $i$ -th layer of  $S$ , and  $m$  be a node of the  $i$ -th layer of  $T$ . We say that  $n$  is *simulated by*  $m$ , written  $n \overset{\mathcal{F}}{\sim} m$ , if  $val^S(n) \geq val^T(m)$  and for all  $s \in succ^S(n)$  there exists  $t \in succ^T(m)$  such that  $s \overset{\mathcal{F}}{\sim} t$ .

Note that, if  $S = T$  then the simulation relation is *reflexive* and *transitive*.

Let  $father(n)$  be the set of fathers of a node  $n$  at layer  $i$  ( $fathers(n) \subseteq N_{i-1}$ ). We define the backward simulation as follows:

**Definition 4.2 (Backward simulation)** Given two sharing tree  $S$  and  $T$ , let  $n$  be a node of the  $i$ -th layer of  $S$ , and  $m$  be a node of the  $i$ -th layer of  $T$ . We say that  $n$  is *backwards simulated by*  $m$ , written  $n \overset{\mathcal{B}}{\sim} m$ , if  $val^S(n) \geq val^T(m)$  and for all  $s \in fathers^S(n)$  there exists  $t \in fathers^T(m)$  such that  $s \overset{\mathcal{B}}{\sim} t$ .

The following result (taken from [HHK95]) shows that the previous simulations can be computed efficiently.

**Theorem 4.1 (From [HHK95])** The forward and backward simulation relations between the nodes of the sharing tree  $S$  and the nodes of the sharing tree  $T$  can be computed in  $O(m \cdot n)$  where  $m$  is the sum of the number of nodes in  $S$  and in  $T$ , and  $n$  is the sum of the number of edges in  $S$  and in  $T$ .

In the rest of this section we will focus on properties and algorithms for the forward simulation. The results and algorithms can be reformulated for the backward simulations by replacing the successor relation with the father relation.

## 4.1 Properties of the simulation

The following propositions relate subsumption and the simulation  $\overset{\mathcal{F}}{\sim}$ .

**Lemma 4.1** Given the sharing trees  $S$  and  $T$ , let  $S_n$  and  $T_m$  be the sub-sharing trees rooted at nodes  $n$  and  $m$ , respectively. If  $n \overset{\mathcal{F}}{\sim} m$  then  $\llbracket S_n \rrbracket \subseteq \llbracket S_m \rrbracket$ .

**Proof 4.1** We will show that for every node  $n$  of  $S$  in layer  $k - l$ , for  $0 \leq l \leq k$  the property is verified. We reason by induction on the value of  $l$ .

Base case:  $l = 0$ . Let us consider  $n \in N_{k-l}^S$  and  $m \in N_{k-l}^T$  such that  $n \overset{\mathcal{F}}{\sim} m$ . By definition of sharing trees, we have that  $succ^S(n) = \{end\}$ ,  $succ^T(m) = \{end\}$ ,  $elem(S_{end}) = \emptyset$ , and  $elem(T_{end}) = \emptyset$ . Thus,  $elem(S_n) =$

$\langle val^S(n) \rangle$  and  $elem(T_m) = \langle val^T(m) \rangle$ , and, by definition of  $\sim^F$ , we have that  $val^S(n) \geq val^T(m)$ .

Induction step:  $l > 0$ . By induction hypothesis, for all nodes  $n' \in N_{k-i}^S$  and  $m' \in N_{k-i}^T$ , with  $0 \leq i < l$ , we know that if  $n' \sim^F m'$  then  $\llbracket S_{n'} \rrbracket \subseteq \llbracket S_{m'} \rrbracket$ . Let us now consider  $n \in N_{k-l}^S$  and  $m \in N_{k-l}^T$  with  $n \sim^F m$ . By definition of  $\sim^F$ , we know that for  $s \in succ^S(n)$  there exists  $t \in succ^T(m)$  such that  $s \sim^F t$ . We also know that  $val^S(n) \geq val^T(m)$ . So  $elem(S_n) = \{\langle val^S(n) \rangle \times elem(S_{n'}) \mid n' \in succ^S(n)\}$  and  $elem(T_m) = \{\langle val^T(m) \rangle \times elem(T_{m'}) \mid m' \in succ^T(m)\}$ , and thus we have  $\llbracket S_n \rrbracket \subseteq \llbracket T_m \rrbracket$ .  $\square$

The converse does not hold (in accord with the co-NP hardness result for subsumption). As a counterexample, take the two trees in Fig. 5. The curly arrows represent the simulation relation between nodes of  $S$  and  $T$ . Note that none of the nodes of layer 2 in  $T$  simulates the single node of  $S$  at the same layer. However, the denotation of  $S$  are contained in that of  $T$ . In fact,  $\langle 1, 1, 2, 0 \rangle \preceq \langle 1, 2, 2, 1 \rangle$  and  $\langle 1, 0, 0, 2 \rangle \preceq \langle 1, 2, 1, 2 \rangle$ . The following

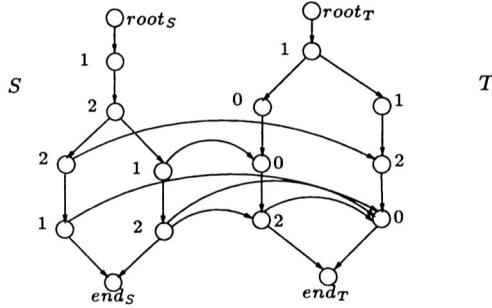


Figure 5: The forward simulation is incomplete wrt. subsumption.

theorem follows from Lemma 4.1.

**Theorem 4.2** Let  $roots_S, end_S$  and  $root_T, end_T$  be the root and terminal nodes of  $S$  and  $T$ , respectively. If  $roots_S \sim^F root_T$  then  $\llbracket S \rrbracket \subseteq \llbracket T \rrbracket$ . Symmetrically, if  $end_S \sim^B end_T$  then  $\llbracket S \rrbracket \subseteq \llbracket T \rrbracket$ .

The previous theorem gives us sufficient conditions for testing subsumption.

## 4.2 Use of simulations to remove redundancies

As for the subsumption test, the simulations we introduced in Section 4 can be used to ‘approximate’ the exact normalization procedure. For this purpose, we introduce a rule that allows us to exploit the information given from (one of) the simulation relation(s) in order to ‘locally’ remove edges of a sharing tree.

**Definition 4.3 (Edge Removal)** Given a sharing tree  $S$  with node  $N$  and successors  $\text{succ}$ , let us assume that for  $n \in N$  there exist  $s, t \in \text{succ}(n)$  ( $s \neq t$ ) such that  $s \sim^{\mathcal{F}} t$ . Then, we define  $\text{remove}(S, n)$  as the pre-sharing tree with successor relation  $\text{succ}'$  obtained from  $S$  by setting  $\text{succ}'(n) = \text{succ}(n) \setminus \{s\}$ .

The following proposition states the ‘correctness’ of the previous rule.

**Proposition 4.1** (1)  $S$  and  $\text{remove}(S, n)$  have the same denotations, i.e.,  $\llbracket S \rrbracket \equiv \llbracket \text{remove}(S, n) \rrbracket$ ; (2) the simulation relation  $\sim^{\mathcal{F}}$  for  $S$  and  $\text{remove}(S, n)$  coincides.

**Proof 4.2** (1) By definition of  $\text{remove}$ . (2) Let  $S' = \text{remove}(S, n)$ . We first note that: (\*)  $\text{succ}(n)$  cannot become empty after removing the edge of  $n$  that satisfy the condition above: if we remove  $t$  from  $\text{succ}(n)$  then there exists  $t' \in \text{succ}'(n)$  s.t.  $t \sim^{\mathcal{F}} t'$ . Now, per absurdum, let us assume that, for  $n, m$  in  $N$ ,  $n$  is simulated by  $m$  in  $S_n$  but not in  $S'$ . By definition, there exists  $s \in \text{succ}(n)$  that is simulated by  $t \in \text{succ}(m)$ , whereas, none of the nodes in  $\text{succ}'(m)$  simulates  $s$ , i.e.,  $t$  has been removed from  $\text{succ}(m)$  after the application of the rule. Following from observation (\*), there exists  $t' \in \text{succ}'(m)$  such that  $t \sim^{\mathcal{F}} t'$ . By transitivity, it follows that  $s \sim^{\mathcal{F}} t'$  contradicting the hypothesis. Vice versa, let us assume that for two nodes  $n, m \in N$ ,  $n$  is not simulated by  $m$  at step in  $S_n$  but it is in  $S'$ . This means that we have removed a successor  $s$  of  $n$  that was not simulated by any of the successors of  $m$ . By definition, there exists  $s' \in \text{succ}(n)$  s.t.  $s \sim^{\mathcal{F}} s'$ . Following from (\*), we can choose  $s'$  such that  $s' \in \text{succ}'(n)$ . Since we assume that  $n \sim^{\mathcal{F}} m$  in  $S'$ , it follows that  $s' \sim^{\mathcal{F}} t$  for some  $t \in \text{succ}'(m)$  and, by transitivity,  $s \sim^{\mathcal{F}} t$  in  $S_n$  contradicting the hypothesis. Thus, the simulation is invariant under application of  $\text{remove}$ .  $\square$

A possible strategy to apply Def. 4.3 consists of the ‘on-the-fly’ removal of edges during the computation of the simulation relation. Specifically, during a bottom-up traversal of the sharing tree, we first apply Rule 4.3 to every node of a layer, then compute the simulation relation for the nodes of the same layer, move to the next layer, and so on. The rule to remove edges is applied exhaustively at each step. In fact, given  $s \in \text{succ}(n)$ , let us assume that there exists  $u, t \in \text{succ}(n)$  such that  $u \sim^{\mathcal{F}} s$ , and  $s \sim^{\mathcal{F}} t$ . By transitivity,  $u \sim^{\mathcal{F}} t$  holds, as well, i.e., we can still remove  $u$  after having removed  $s$ .

Note that the pre-sharing tree  $\text{remove}(S, n)$  may violate the condition 6 of the definition of sharing trees (Section 3). For instance, removing the node with label 6 from the sharing tree in Fig. 6 makes the two nodes with label 2 (belonging to vectors that are not in the subsumption relation) have the same set of successors. As already mentioned in the course of the paper, condition 6 can be restored using an algorithm proposed [ZL94].

Similar algorithms can be defined for the *backward* simulation.

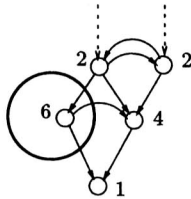


Figure 6: Violation of cond. 6 after removing two edges and one node.

It is important to note that, though an application of Rule 4.3 does not change the forward simulation (Fact (2) of Prop. 4.1), it may change the backward simulation (and, vice versa, the removal of edges according to the backward relation may change the forward simulation). An example is

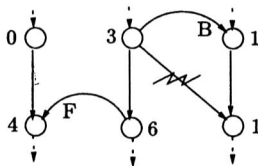


Figure 7: Removing edge  $3 \rightarrow 5$  changes the forward simulation.

given in Fig. 7, where  $B$  and  $F$  represent backward and forward simulation, respectively. Since  $3 \overset{B}{\sim} 1$ , we can remove the arc  $3 \rightarrow 5$ , doing so we will add  $\langle 0, 3 \rangle$  to  $\overset{F}{\sim}$ .

As a consequence, we get better and better results iterating the application of the algorithm for removing edges for the backward-forward simulations.

A simplified version of Rule 4.3 that requires only a local test for every node of a sharing tree is given as follows.

**Definition 4.4 (Local Edge Removal)** Given a sharing  $S$  tree with node  $N$  and successors  $\text{succ}$ , let assume that for  $n \in N$  there exist  $s, t \in \text{succ}(n)$  ( $s \neq t$ ) such that  $\text{val}(s) \geq \text{val}(t)$  and  $\text{succ}(s) \subseteq \text{succ}(t)$ . Then, we define  $\text{local\_remove}(S, n)$  as the pre-sharing tree with successor relation  $\text{succ}'$  obtained from  $S$  by setting  $\text{succ}'(n) = \text{succ}(n) \setminus \{s\}$ .

Though less effective than Rule 4.3, Rule 4.4 can be paired with it in order to simplify the computation of the simulation.

In the following section we show how to incorporate the previous ideas in a model checking procedure for an example of integer system.

## 5 Invariant Checking for Vector Addition Systems

A Vector Addition System (VAS) consists of  $n$  variables  $x_1, \dots, x_n$  ranging over positive integers, and  $m$  transition rules given as guarded command over the data variables. For every  $j$ , transition  $i$  contains a guard  $x_j \geq c_{i,j}$  and an assignment  $x_j := x_j + d_{i,j}$ ; if  $d_{i,j} < 0$  then  $c_{i,j} \geq d_{i,j}$ . States are tuples of *positive* numbers and executions are sequences of tuples  $t_0 t_1 \dots t_i \dots$  where  $t_{i+1}$  is obtained from  $t_i$  by applying (non-deterministically) one of the transition rules. The predecessor operator  $pre$  takes as input a set of states (tuples)  $F$  and returns the set of predecessors of  $F$ . Properties like *mutual exclusion* and *coverability* can be represented through upward-closed sets [AČJT96, DEP99]. Checking safety properties expressed as upward-closed set for Petri Nets is decidable using the following algorithm taken from [AČJT96]. Let  $F$  be an upward-closed set (denoting unsafe states). To test the safety property ‘always  $\neg(F)$ ’ we compute symbolically the closure of the relation  $pre$ , say  $pre^*(F)$ , and then we check that the initial configuration is not part of the resulting set. From [AČJT96],  $pre^*(F)$  is still an upward-closed set. The termination test is based on the containment relation, namely we stop the computation whenever  $pre^{n+1}(F) \subseteq \bigcup_{i=0}^n pre^i(F)$ .

**U-Logic based Model Checking.** Let  $\varphi_U$  a  $\mathcal{U}$ -formula representing a collection of upward-closed set  $U$ . The predecessor relation for VAS can be represented as the following  $\mathcal{U}$ -formula:

$$pre(\varphi_U) = \bigvee_{i=1, \dots, m} (\varphi_i \wedge \exists_{x_1+d_{i,1}} \dots \exists_{x_k+d_{i,k}} \varphi_U),$$

where  $\varphi_i = x_1 \geq c_{i,1} \wedge \dots \wedge x_n \geq c_{i,n}$ . In other words, by using the results in the previous sections, starting from  $S_{\varphi_U}$  we can compute the sharing tree  $S_{\varphi_{pre(U)}}$  that represents  $pre(U)$ . The termination test is implemented by the subsumption test for sharing trees. The algorithms based on the simulations that we described in this paper can be used for a weaker termination test and for removing redundancies from the intermediate results.

### 5.1 Some Experimental Results

We have tested the examples in [DEP99] using a prototype implementation based on the library of [Zam97]. The results are shown in Fig. 8: the flag LR denotes the use of the local reduction of Def. 4.4; the field FSR denotes the frequency in the use of the reduction based on the forward simulation of Def. 4.3; ET denotes the execution time needed to reach a fixpoint; IN denotes the number of disjuncts of the formula denoting the input set of states. Examples 1-2 have been tested starting from one conjunctive formula (involving all variables of the systems); example 3 has been tested starting from 2 disjuncts. NE denotes the number of disjuncts (i.e., tuples of a



Example	NV	NR	NS	LR	FSR	IN	ET	NE	Nodes	Ratio
1	13	6	1	no	--	24	39s	7563	4420	4%
1	13	6	1	yes	5	24	68s	727	1772	12%
2	20	4	1	no	--	26	13s	1347	5545	20%
2	20	4	1	yes	5	26	44s	1172	5333	22%
3	25	4	2	no	--	31	120s	3682	15315	16%
3	25	4	2	yes	5	31	680s	2339	11647	19%

Figure 8: Execution times on examples of VAS: NV=No. Variable; NR=No. Rules; IN=No. Disjuncts Init. Formula; ET=Execution Time; LR=Use of loc.reduction; FSR=Freq. sim. reduction (--=not used); NS=No Steps; NE=No. Elem.; Ratio=Nodes/(NV\*NE).

sharing tree) used to represent the fixpoint. In the first experiment of every example, we do not remove the redundancies from  $S_{\cup, pre^i(U)}$ , whereas in the second experiment we apply the reduction based on the forward simulation (every 5 steps).

Example (1) corresponds to the manufacturing system of [DEP99]. Sharing trees allows us to dramatically speed up the computation (see [DEP99] for the execution times of other methods). Simulation-based reduction (every 5 steps) allows us to reduce the set of states of a factor of ten (note: removing *all* redundancies yields 450 elements). The other examples (2-3) give an idea of the ratio Nodes/NV\*NE of the sharing trees obtained for NV=20 and NV=25, respectively. We did not manage to handle these examples with other methods in acceptable time.

## 6 Related Work

In [AČJT96, AJ99], the authors introduce a symbolic representation (*constraint* system) for collections of upward-closed sets. Their representation corresponds to disjunctive  $\mathcal{U}$ -formulas. As mentioned in the introduction, the traditional symbolic methods for handling linear constraints (e.g., *Presburger or real solvers* and *polyhedra*) suffer from the state-explosion problem when applied to this type of ‘constraints’ (see [DEP99]). In [DEP99], a more efficient representation based on sequences of pairs *bitvector-constant* is proposed for representing the state-space of broadcast protocols, and, as special case, of VAS. In this paper we have shown how to obtain more compact and efficient representations via sharing trees.

In [Zam97, GGZ95], the authors apply sharing trees to represent the state-space of concurrent systems: a *state* is a *tuple* of values and a *set* of states is represented as a *sharing tree*. Note the difference with our approach. We represent a *set of states* via a *tuple*, and *collections of sets* of states via a *sharing tree*. The complexity issues are different when lifting the

denotation to collections of sets of states (see Section 3). In [Zam97], Zampuni eris makes an accurate comparison between sharing trees and *binary decision diagrams* (BDDs) [Bry86]. When the aim is to represent tuples of (unbounded) integers (as in our case), the layered structure of sharing trees allows optimizations that seem more difficult using BDDs (or extensions like *multi-valued* DDs [SKMB90] or *multi-terminal* DDs [CFZ96]).

Our approach shares some similarities with recent work on *interval decision diagrams* (IDDs) [ST98, ST99] and *clock decision diagrams* (CDDs) for timed automata [BLP<sup>+</sup>99]: all approaches make use of *acyclic* graphs to represent disjunctions of interval constraints. However, the use of simulations as abstractions for handling efficiently large disjunctions has not been considered in the other approaches. More experimentations are needed for a better comparison of all these methods. Finally, the PEP tool [Gra97] provides a BDD-based model checking method for Petri Nets (with a fixed-a-priori number of tokens) [Wim97]. The method works via a translation to SMV [McM93]. We are not aware of BDDs-based representations for the ‘constraints’ we are interested in, e.g., for verification problems of Petri Nets with a possibly unbounded number of tokens.

## 7 Conclusions and Future Work

We have proposed a new symbolic representation for ‘constraints’, we called  $\mathcal{U}$ -formulas, that can be used in verification problems for infinite-state integer systems (e.g., coverability of Petri Nets). The representation is based on the sharing trees of Zampuni eris and Le Charlier. For our purposes, we lift the denotation of a sharing tree to sets of upward-closed ‘generated’ by the tuples contained in the sharing tree. We have studied the theoretical complexity of the operations for sharing trees wrt. this denotation. Furthermore, we have given sufficient conditions for testing subsumption (co-NP hard for  $\mathcal{U}$ -formulas) we discover thanks to the view of  $\mathcal{U}$ -formulas as acyclic graphs. In fact, the conditions are based on simulations relations for nodes of sharing trees.

Though the termination test for the algorithm of [A JT96] applied to collections of upward-closed sets ( $\sim \mathcal{U}$ -formulas  $\sim$  sharing trees) may be very costly<sup>3</sup>, testing for membership of the initial configuration (when it can be expressed with a conjunctive formula) can be done efficiently (Theorem 3.1). This gives us an efficient method to detect *violations* of safety properties.

The implementation is currently being optimized, but the preliminary experimental results are already promising. The type of optimizations we are interested in are: heuristics for finding ‘good’ orderings of variables; symbolic representation of the transition system (e.g. PADs [ST99]); partial

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<sup>3</sup>Quadratic for disjunctive formulas, but disjunctive formulas suffers from the state explosion; exponential for sharing trees or arbitrary  $\mathcal{U}$ -formulas.

order reductions (see e.g. [AJKP98] for an application to the coverability problem of Petri Nets).

Finally, it would be interesting to extend our techniques to interval constraints.

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Execution time: User 5.7e+02sec System: 2.3e-01sec Total: 5.7e+02sec



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