

Adriana Sikora, Margit Palzenberger, Kai Geschuhn

Management von Article Processing Charges- Herausforderungen für Bibliotheken

103. Bibliothekartag | 3.-6. Juni 2014, Bremen



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digital library

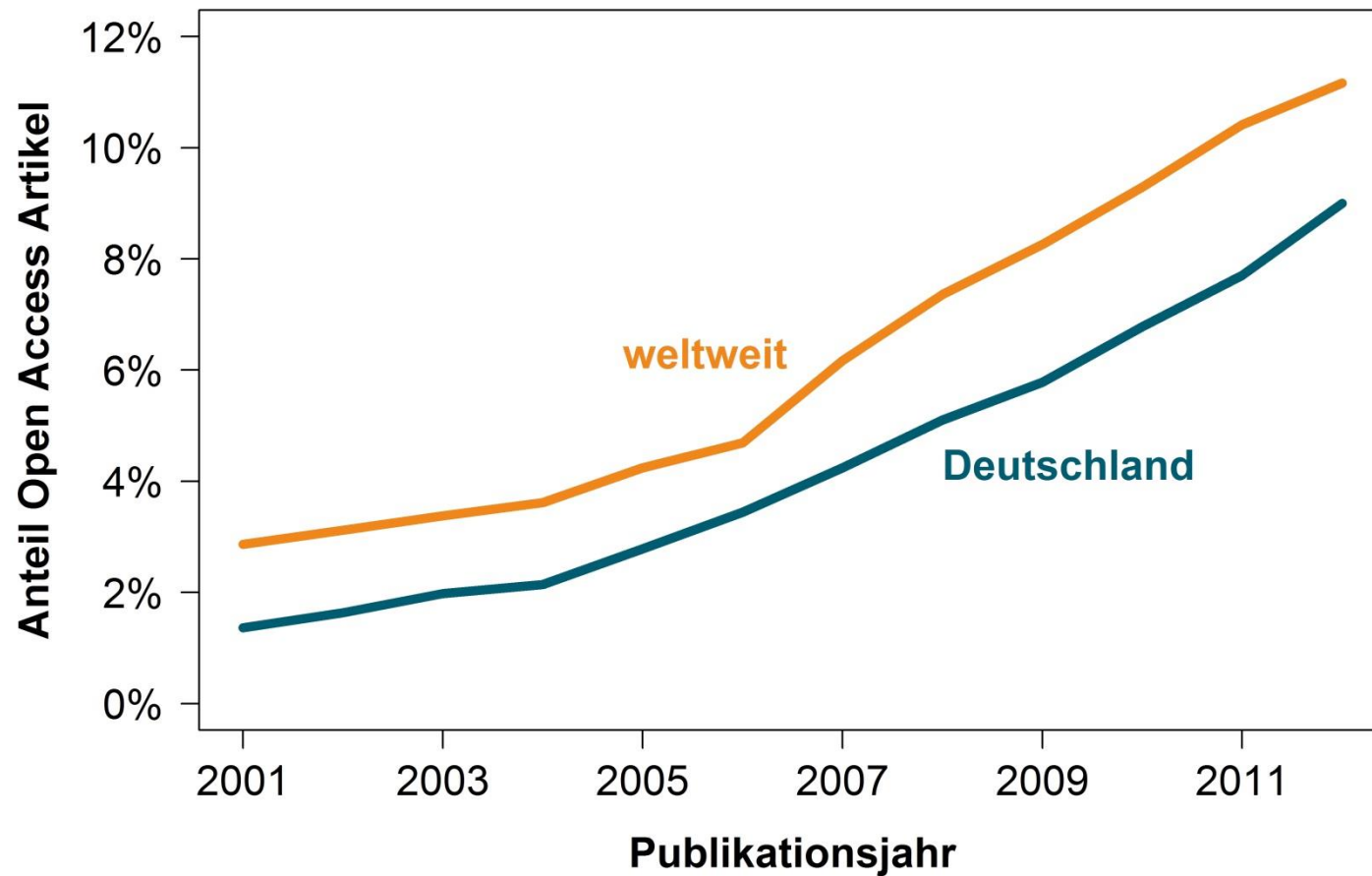
Inhalt

- **Warum ist APC-Management ein Thema für Bibliotheken?**
 - Hintergrund: OA Rahmenverträge an der MPDL
- **Aus der Praxis: Phasen, Akteure und Hürden der zentralen APC-Kostenübernahme**
- **Lösungsansätze: Die internationale Initiative ESAC**

- Warum ist APC-Management ein Thema für Bibliotheken?
- OA Rahmenverträge an der MPDL

Open Access wächst dynamisch

Open Access Gold Anteil in Web of Science (articles, reviews)



Quelle: MPDL Datenanalyse, Margit Palzenberger

Publikationsmarkt im Umbruch

Subskriptionsmodell

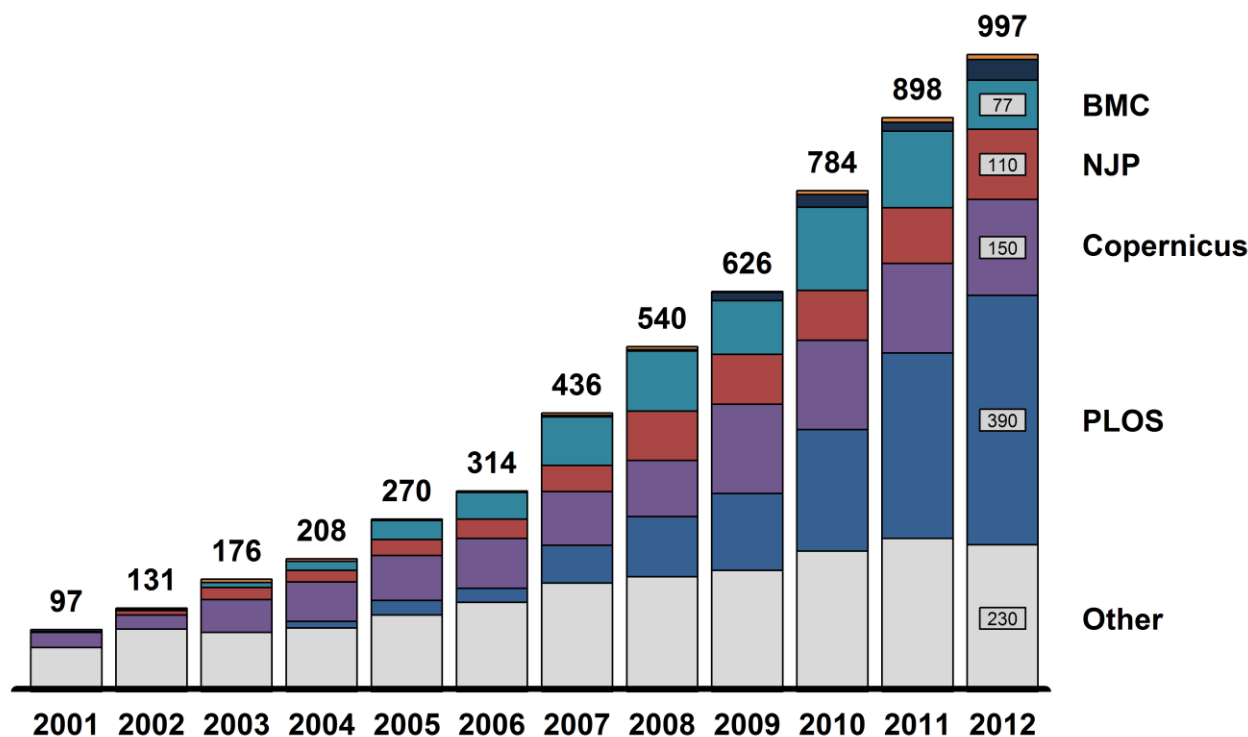
- Geschäftsmodell im Umbruch
- Zunehmend unter Legitimationsdruck

Transformation

Open Access
Geschäftsmodell im
dynamischen
Wachstum

Open Access Publikationen an der Max-Planck-Gesellschaft

MPG Published Articles - Open Access Gold annual number of articles and reviews as indexed in Web of Science



Quelle: MPDL Datenanalyse, Margit Palzenberger

Source: MPDL analysis

Open Access-Rahmenverträge

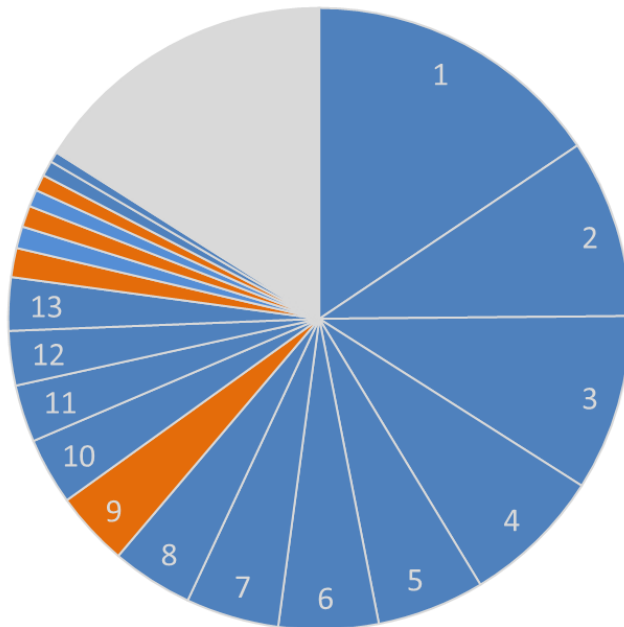
- Vereinbarungen mit OA-Verlagen über die zentrale Kostenübernahme von Open Access-Publikationsgebühren (auch APCs) durch die MPDL

Ziele (Service)

- Ermöglichung und Förderung des OA-Publizierens durch zentrale Kostenübernahme
- Abbau administrativer Hürden für MPG-Autoren
- Logische Erweiterung des bibliothekarischen Serviceportfolios: Von „read-access“ zu „write-access“

Von “read access” zu “write access”

MPG publications by provider / OA Gold articles and reviews in Web of Science 2012



Quelle: MPDL Datenanalyse, Margit Palzenberger

Für Bibliotheken zunehmend relevant:

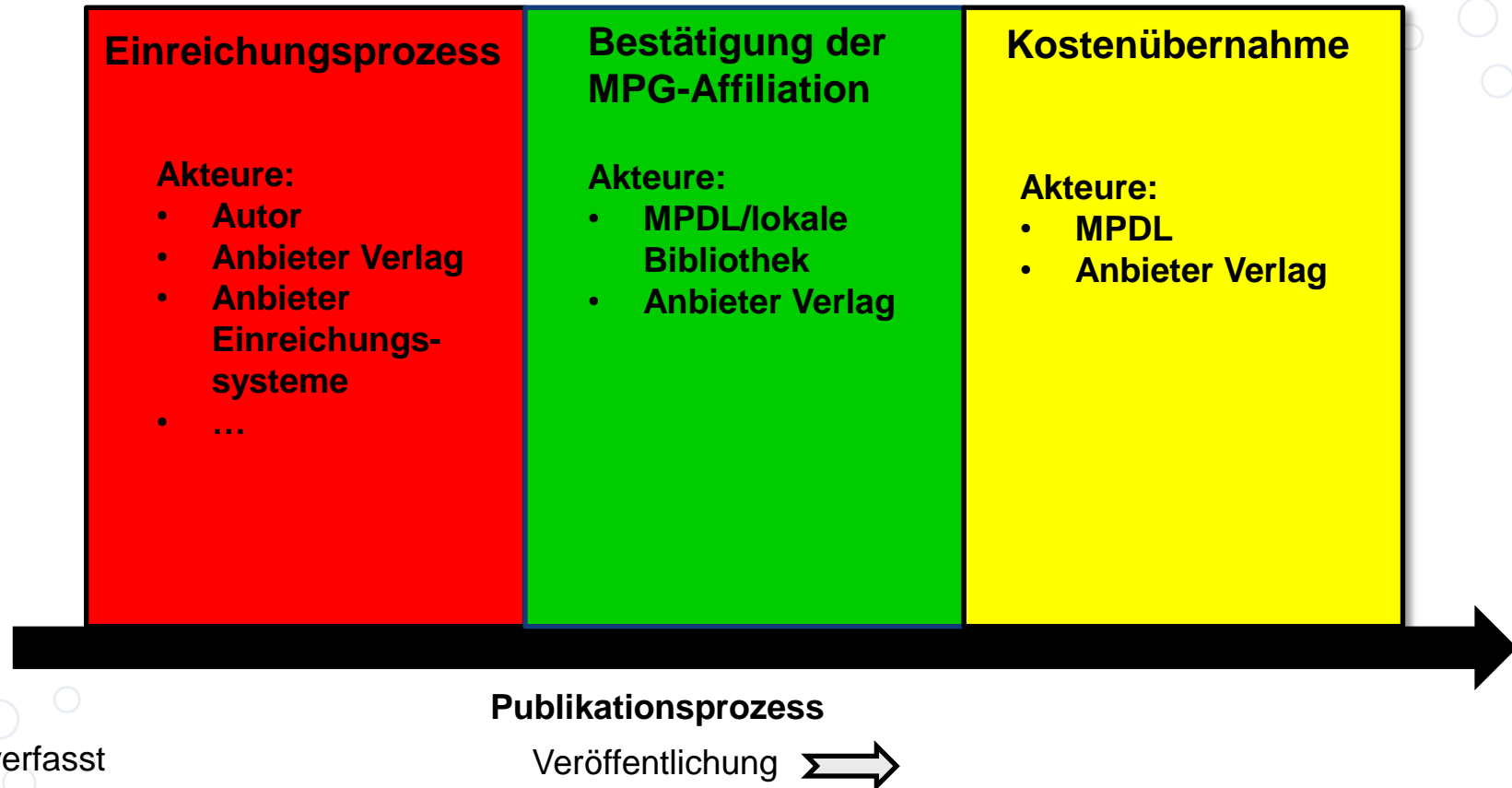
- Nicht mehr nur: “Was lesen meine Nutzer?”
- Sondern auch: “Wo publizieren meine Nutzer?”

Ziele (strategisch)

- Umstellung des Publikationsmarktes auf OA ist angestrebtes und erwartetes Ziel
- Erforderlich sind deshalb vollständiges Kostencontrolling (Finanzierung von Lizenzen und APCs aus einem Etat) sowie die Entwicklung von Geschäftsgängen, Prozessen, Kriterien...

- Aus der Praxis:
Phasen, Akteure und Hürden der
zentralen APC-Kostenübernahme

Akteure und Phasen bei der zentralen Kostenübernahme (aus der MPG-Sicht)





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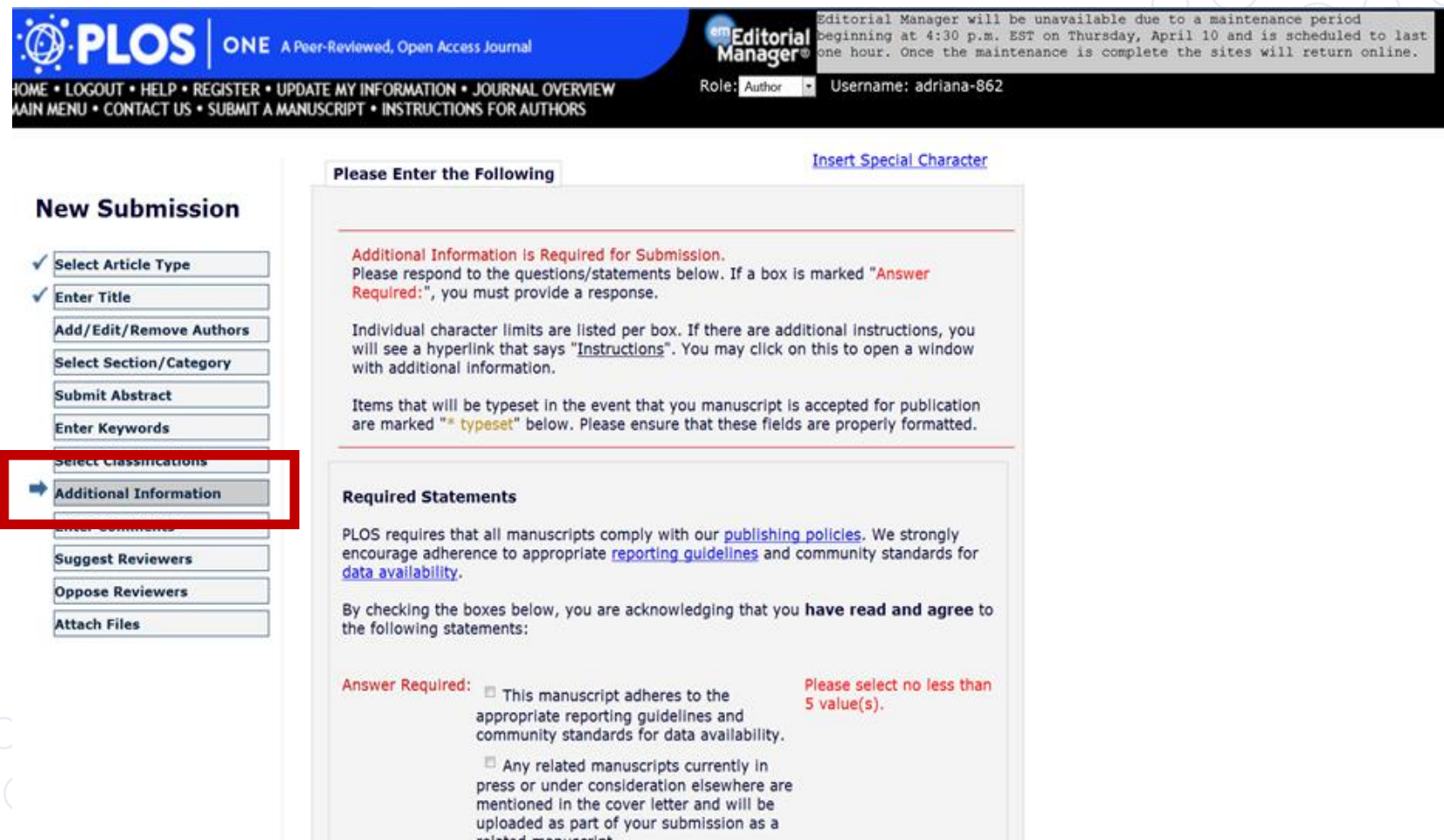
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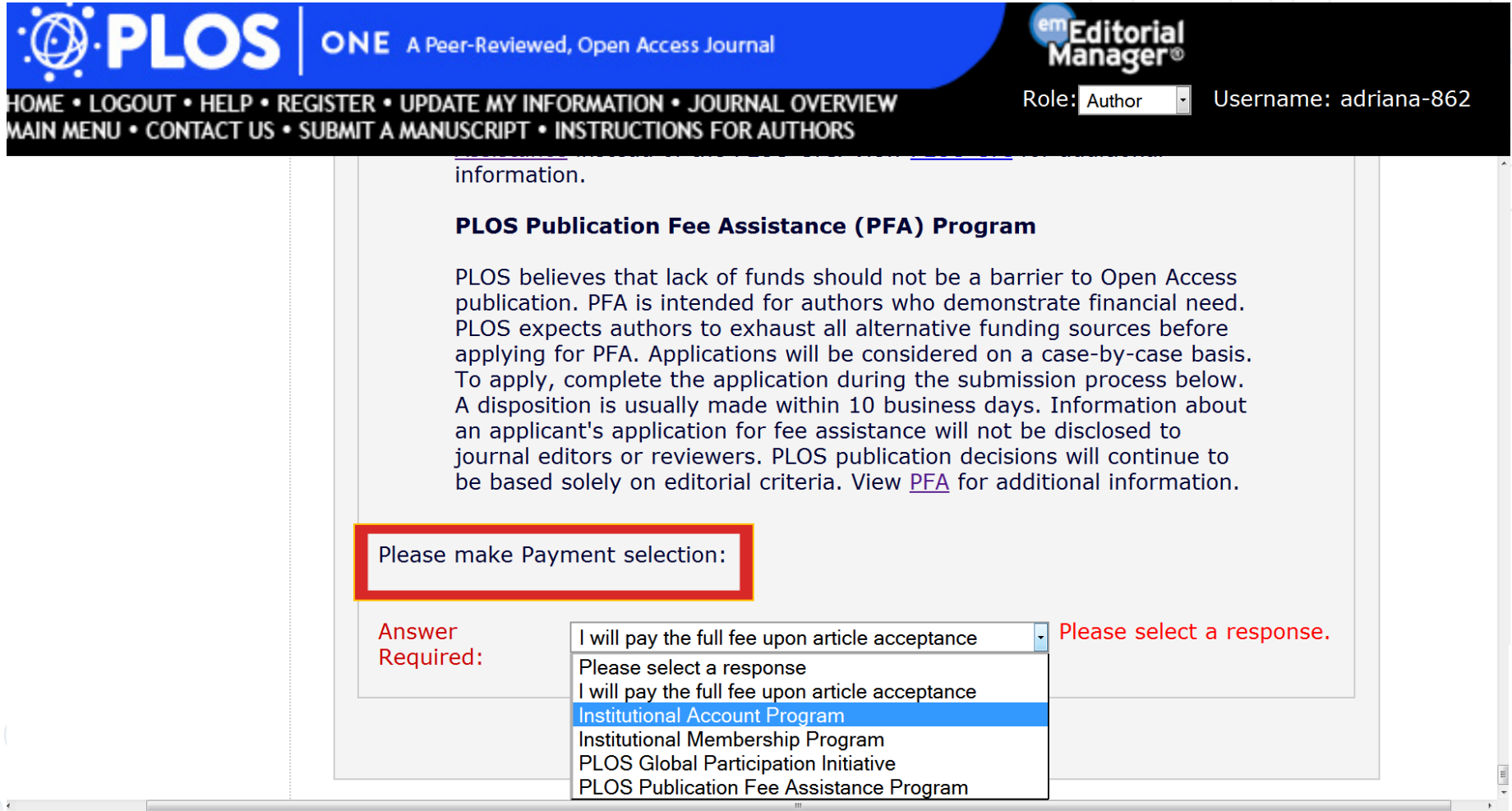
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Frontiers	Submitting System
IOP	Submitting System
PLoS	Submitting System
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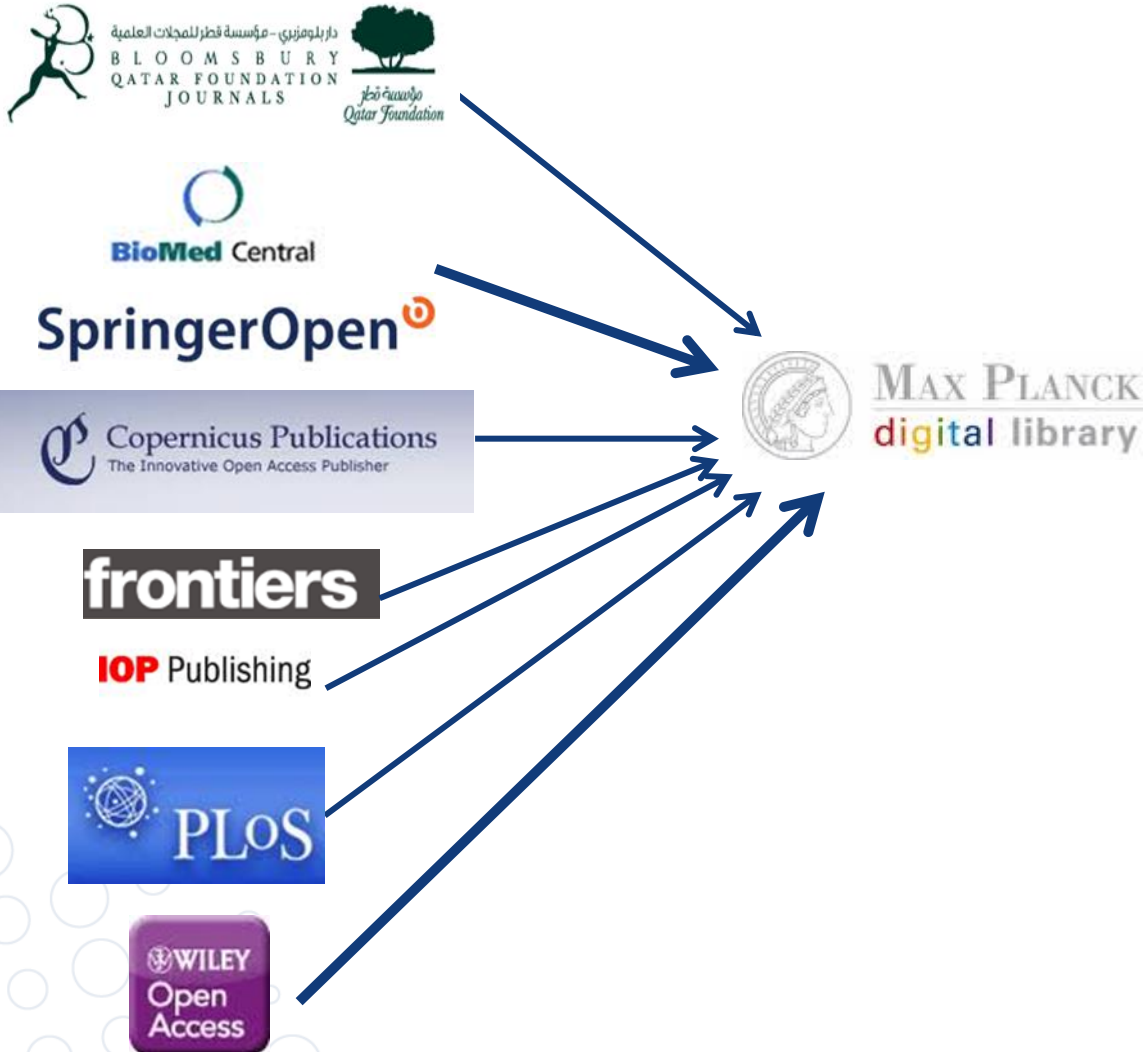
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- Versteckte Kostenübernahme-Funktion
- Autor benötigt Informationen über die Kostenübernahme-Programme
- ...

Fazit:

Der Einreichungsprozess ist der zentrale Einstiegspunkt für ein reibungsloses APC-Management!



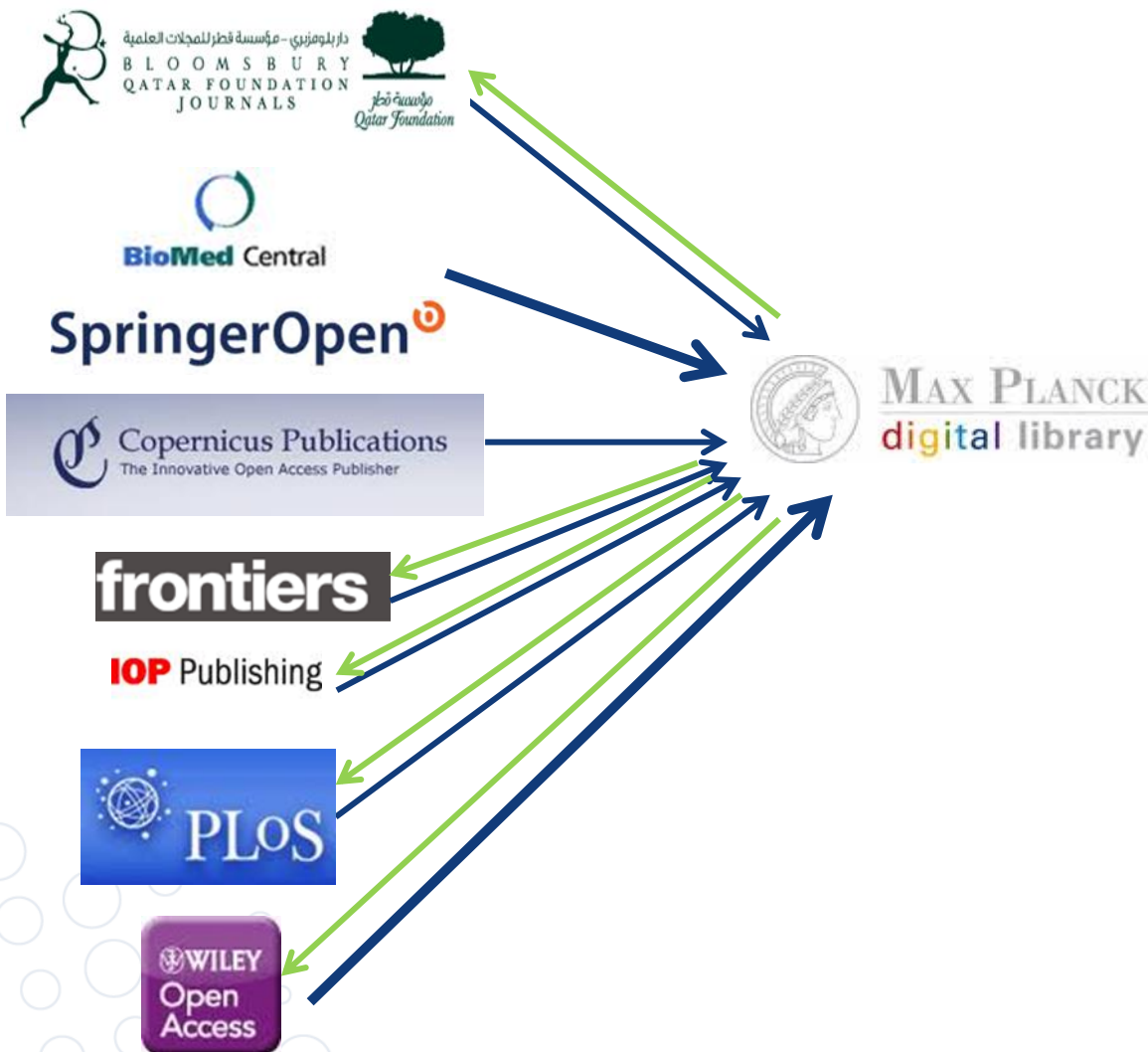
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Bestätigung der MPG-Affiliation

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Copernicus	Email nach der Einreichung	Erstautor
Frontiers	Email in regelmäßigen Abständen	Corresponding Author / Hauptteil des Artikels stammt von einem MPG-Autor
IOP	Statement halbjährlich	Corresponding Author / Senior Author
PLoS	Statement monatlich	Corresponding Author
Wiley	Email nach der Einreichung	Submitting Author / Hauptteil des Artikels stammt von einem MPG-Autor

Bestätigung der MPG-Affiliation

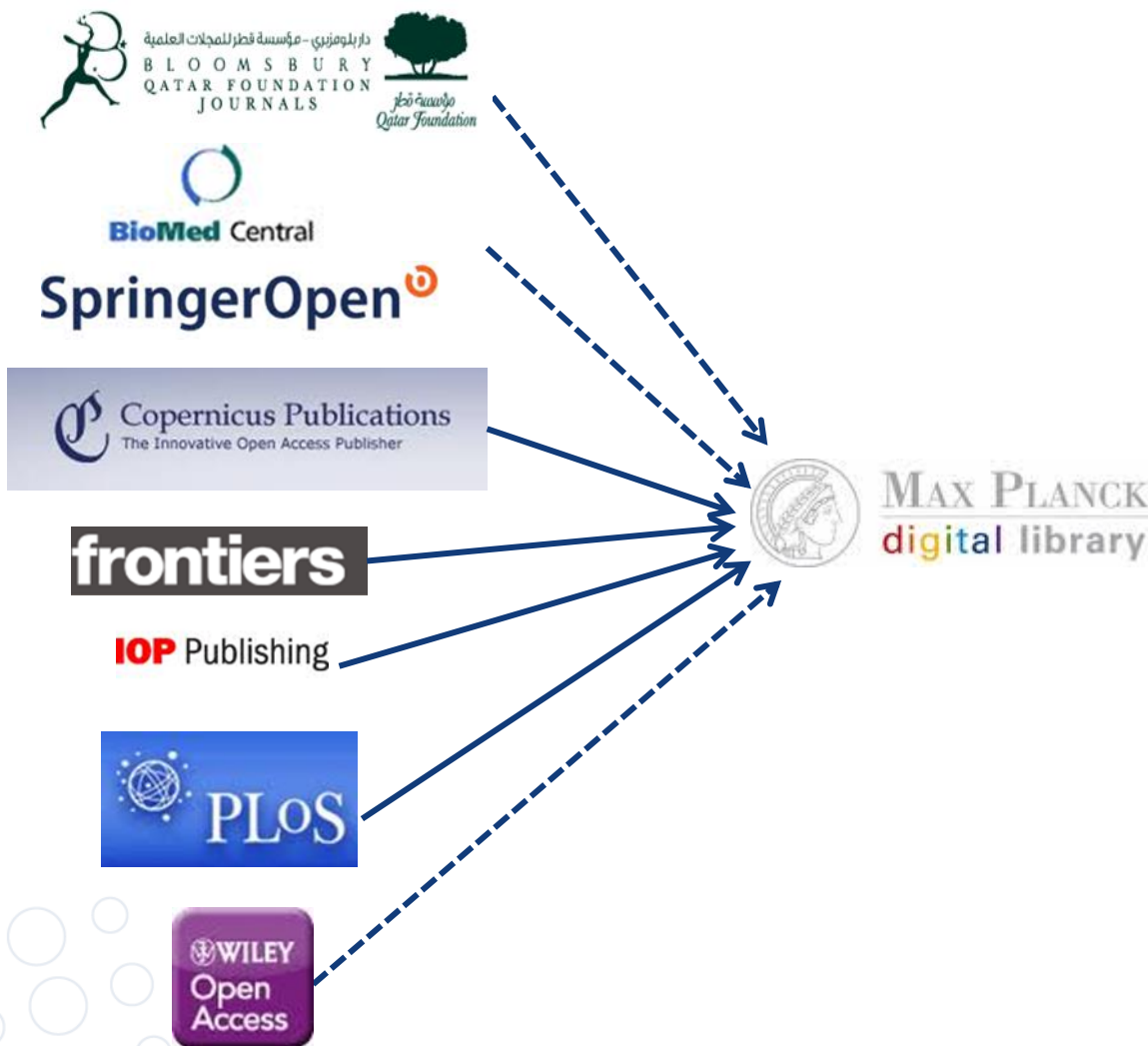


1 → Prüfung der MPG-Affiliation über Mitarbeiterverzeichnisse auf Instituts-Homepages

Wenn 1 zu keinem Ergebnis führt → 2

2 → Prüfung der MPG-Affiliation durch die lokale Bibliothek/Verwaltung

Kostenübernahme

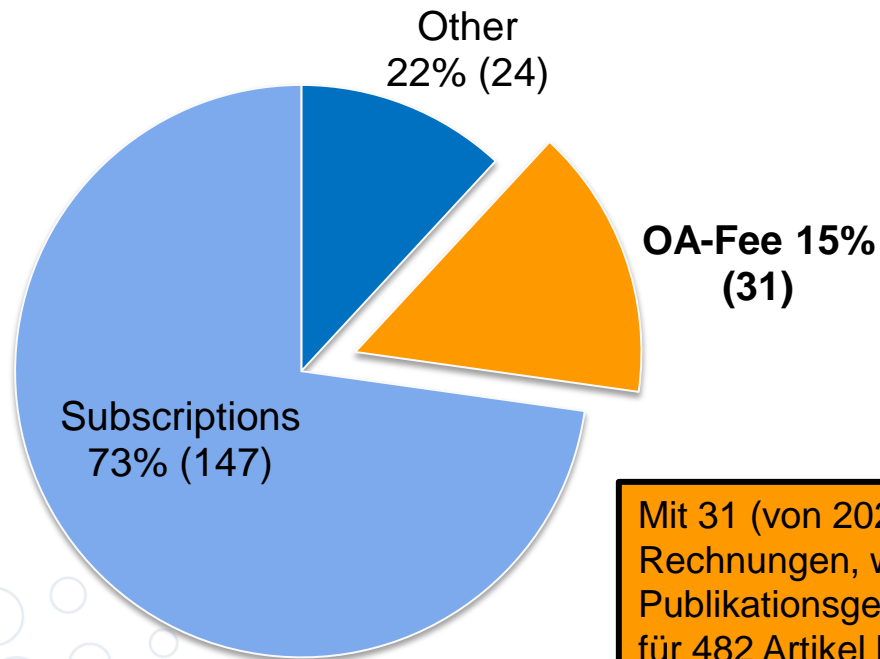


Anbieter	Zeitfenster (Rechnung)
Bloomsbury Qatar Foundation Journals (BQFJ)	<u>Vorauszahlung</u>
BMC/ SpringerOpen	<u>Vorauszahlung</u>
Copernicus	vierteljährlich
Frontiers	monatlich
IOP	halbjährlich
PLoS	monatlich
Wiley	<u>Vorauszahlung</u>

Kostenübernahme

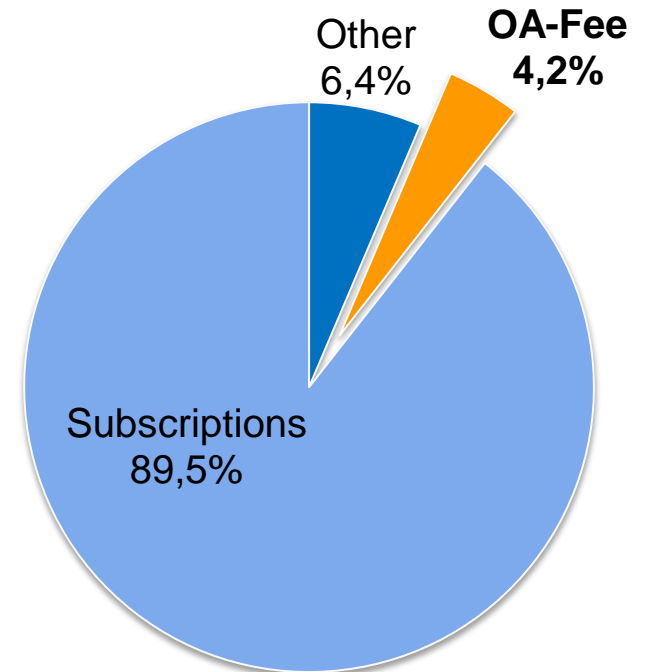
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Rechnungen



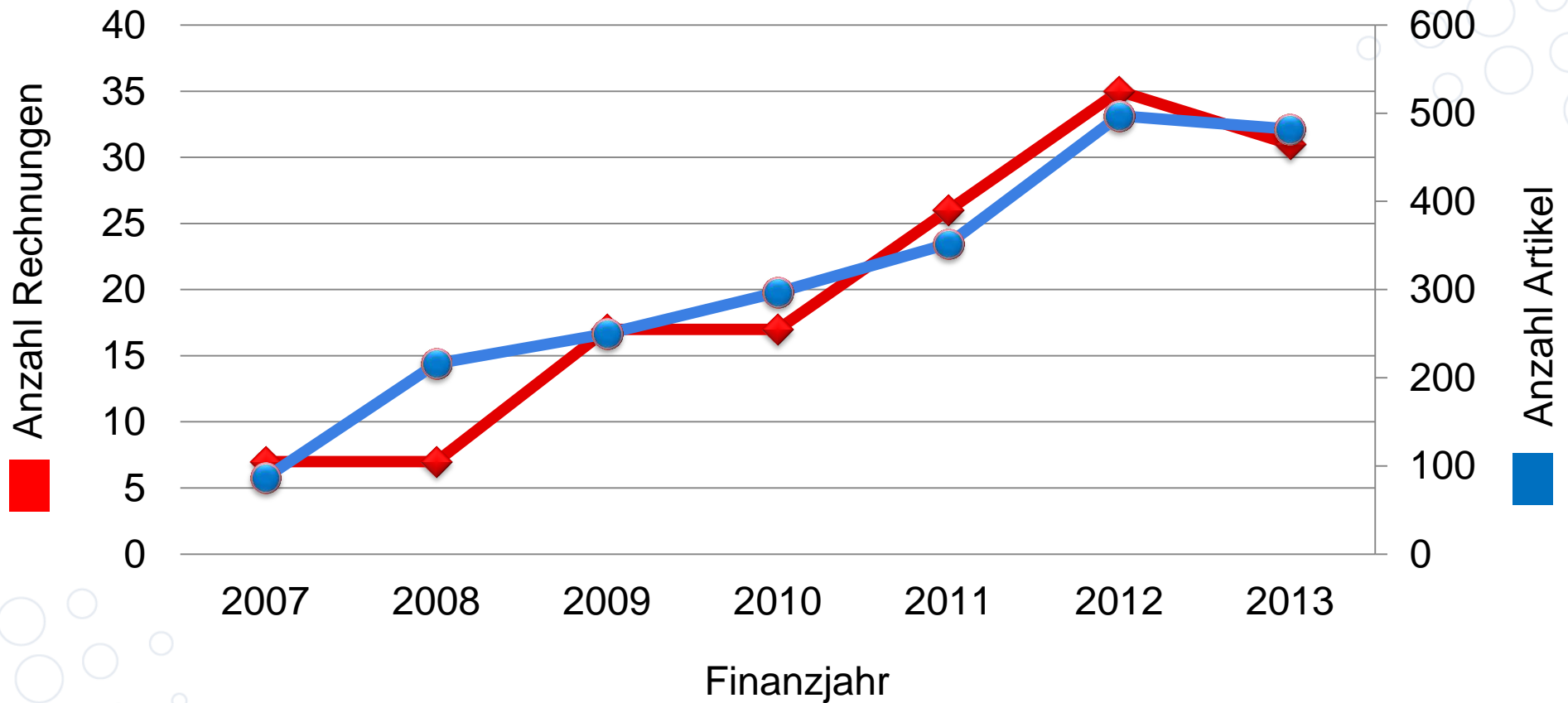
Mit 31 (von 202) Rechnungen, wurden Publikationsgebühren für 482 Artikel bezahlt.

Budget



Kostenübernahme

Entwicklung Anzahl Rechnungen / Anzahl bezahlter Artikel



Kostenübernahme

Anforderungen an die Anbieter für die Rechnungsstellung:

- Formal korrekt ausgestellte Rechnungen
- Listung der „wichtigen“ Metadaten der Publikation
- Transparente Rechnungsbeträge (Nachvollziehbarkeit, leichte Prüfbarkeit)

Weitere Anforderungen:

- Sammelrechnungen
- Maschinenlesbares Reporting (jährlich/nach Bedarf)
- Schnelle Reaktionszeiten (Ansprechpartner)
- **Billing starts at the moment of submission!!!**

Kostenübernahme

Weitere Erfahrungen

Zeitfaktor:

Der Aufwand eine APC-Rechnung zu bearbeiten ist höher als die Bearbeitung einer Subskriptions-Rechnung (e-only).

Wandel:

Die Prozesse sind immer noch in einer Aufbauphase. Änderungen fallen bei allen Akteuren an.

Kommunikation:

Die enge Zusammenarbeit mit dem Anbieter gehört zum Alltag.

- Lösungsansätze

APC-Management- Eine Aufgabe für „Intermediaries“?



SWETS

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Open Access Services

Vorbehalte:

- Wie gelangen Drittanbieter an die Daten?
- Möglicher Widerwille von Verlagen, Kerngeschäft auszulagern
- Mögliche Vorbehalte bei Institutionen, Datenhoheit zu verlieren
- Gefahr erneuter Markttransparenz durch mangelnde Vergleichbarkeit, verschiedene Systeme

ESaC *Efficiency and Standards for Article Charges*

- Hervorgegangen aus einem Workshop zu APC Management mit internationalen Teilnehmern Nov 2013, Berlin
- OA-Verlage, Forschungsförderer, wiss. Einrichtungen mit APC-Budget/ Bibliotheken
- Ziele (kurzfristig): Adressieren und Kommunizieren der Aspekte, die mit dem Handling von Artikelgebühren verbunden sind
- Ziele (längerfristig): Optimierung, ggf. Standardisierung, effiziente Workflows, einheitliches Reporting

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In Kürze unter www.esac-initiative.org

Vielen Dank für Ihre Aufmerksamkeit.

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