Proteostasis impairment in protein misfolding and aggregation diseases

Mark S. Hipp^{1,2}, Sae-Hun Park^{1,2} and F. Ulrich Hartl^{1,2}

¹Department of Cellular Biochemistry, Max Planck Institute of Biochemistry, Am Klopferspitz 18, 82152 Martinsried, Germany ²Munich Cluster for Systems Neurology (SyNergy), 80336 Munich, Germany

Correspondence to hipp@biochem.mpg.de, rpark@biochem.mpg.de or uhartl@biochem.mpg.de

Abstract

Cells possess an extensive network of components to safeguard proteome integrity and maintain protein homeostasis (proteostasis). When this proteostasis network (PN) declines in performance, as may be the case during aging, newly-synthesized proteins are no longer able to fold efficiently and metastable proteins lose their functionally active conformations, particularly under conditions of cell stress. Apart from loss-offunction effects, a critical consequence of PN deficiency is the accumulation of cytotoxic protein aggregates, which are also associated with many age-dependent neurodegenerative diseases and other medical disorders. Here we discuss recent evidence that the chronic production of aberrantly folded and aggregated proteins in these diseases is harmful by overtaxing PN capacity, setting in motion a vicious cycle of increasing proteome imbalance that eventually leads to PN collapse and cell death.

Keywords:

Proteostasis, protein aggregation, protein (mis)folding, degradation, molecular chaperones, neurodegenerative disease

Aggregates and proteostasis

The bulk of cellular functions are carried out by proteins. To maintain protein homeostasis (or proteostasis [1]) – the state of proteome balance – mammalian cells must ensure that more than 10,000 different proteins fold and assemble efficiently upon synthesis and preserve their functionally active states in a wide range of environmental and metabolic conditions. This is a challenging task, since proteins are only marginally stable at physiological temperature and are constantly at risk of misfolding. In addition, the concentration and subcellular localization of each individual protein species needs to be carefully controlled. Proteostasis is maintained by a plethora of factors, including molecular chaperones and their regulators as well as the machineries of proteolytic degradation (~1400 proteins in mammalian cells [2, 3]). An emerging concept of recent research is that these components function as a coordinated proteostasis network (PN). The organizational principle of this network is far from being understood but it is clear that the PN has evolved to ensure proteome integrity and prevent the accumulation of aberrant conformational states, specifically protein aggregates, which are increasingly recognized as the cause of cytotoxicity in neurodegenerative diseases and other medical disorders, ranging from Alzheimer's disease (AD) to type 2 diabetes [4].

We define a protein aggregate as any association of two or more protein molecules in a non-native conformation. Aggregates cover a range of structures, from amorphous assemblies to highly ordered fibrils (amyloid) with cross- β -structure. The propensity of a specific protein to aggregate is governed primarily by the chemical properties of its amino acid sequence, the conformational stability of its folded state and its cellular concentration [5, 6]. The extremely high total protein concentration in

cells (~300 g/L) results in excluded volume effects (macromolecular crowding) and substantially increases the tendency of non-native protein molecules to aggregate compared to dilute solutions [7]. Moreover, ~30% of proteins in higher eukaryotes contain extensive intrinsically unstructured regions (>30 amino acids in length) [8]. These proteins are often metastable and some of them are particularly toxic when they aggregate, like α -synuclein in Parkinson's disease (PD) and A β and Tau in AD. The presence of aggregates is indicative of proteostasis imbalance. However, aggregate formation is not only the *result* of insufficient proteostasis capacity, but can also be the *cause* of PN imbalance by overburdening available chaperone and degradation machineries, thereby enforcing a self-propagating cycle that eventually leads to proteostasis collapse and cell death (Figure 1).

In this review we summarize recent research addressing the interrelationship between protein aggregation and the functional status of the PN. We propose that the failure of cells to maintain proteostasis contributes to the toxic effects of protein aggregates in numerous diseases and is also a major driver of the aging process. Correcting PN imbalance pharmacologically [2] presents an opportunity for the development of novel therapeutic strategies.

Components of the PN

While it has long been known that a large fraction of proteins require chaperone assistance for initial folding, we are only beginning to realize the extent to which proteins rely on chaperone-dependent functions throughout their cellular lifetime in order to maintain or regain their biologically active conformations [1, 3]. Core activities of the chaperone machinery include aggregation prevention (so-called 'holdase' function), the ability to refold aberrantly folded states, and also the capacity

to actively dissociate certain protein aggregates (Figure 2). The archetypical holdase chaperones are the so-called small heat shock proteins (sHSPs), which buffer aggregation by binding non-native protein species via hydrophobic interactions [9]. They cooperate with Hsp70 chaperones, which refold proteins through ATP-dependent cycles of protein binding and release and are subject to regulation by multiple co-chaperones (Hsp40s and nucleotide exchange factors) [10]. The Hsp70s also participate in protein disaggregation, sometimes in cooperation with AAA ATPase-chaperones, such as Hsp104 in fungi [11]. The Hsp70 system also functions with Hsp90 and its multiple co-factors in folding and regulating many conformationally dynamic proteins, including kinases and other signaling molecules [12].

Protein synthesis and turnover must be carefully balanced and terminally misfolded proteins effectively removed by proteolysis to ensure proteostasis. Clearance of misfolded proteins is mainly performed by the ubiquitin-proteasome system (UPS) (Figure 2), comprising more than 600 proteins in human cells [13, 14]. Proteasome complexes are localized in the cytosol and nucleus. Proteins of the ER destined for degradation must first undergo retrotranslocation to the cytosol to gain access to the proteasome [15, 16]. Specific factors of the UPS cooperate closely with chaperone machinery. For example, the co-chaperone and ubiquitin ligase CHIP binds to Hsp70 and Hsp90, mediating ubiquitylation of faulty client proteins and guiding them to the proteasome [17]. Proteins that are unable to fold – due to mutation or amino acid misincorporation, or as a result of oxidative damage – will spend more time in the chaperone-bound state, thus increasing the chance of being recognized by CHIP and other ubiquitin ligases. Importantly, proteins need to be unfolded prior to proteasomal degradation in a reaction that is mediated by the AAA ATPase

components of the proteasome complex [18]. For this mechanism to be efficient, proteins must be delivered in a soluble, non-aggregated state and incipient aggregates [19, 20] may be actively dissociated by chaperone machinery to allow degradation via the UPS.

Larger protein aggregates and insoluble inclusions, resistant to dissociation, can be removed by autophagy and lysosomal degradation, the other major pathway of proteolysis (Figure 2). Autophagy involves the engulfment of material by a double membrane vesicle (the autophagosome) that subsequently fuses with the lysosome [21]. Unlike the non-specific autophagy of bulk cytoplasm, aggregates are subject to selective autophagy. This process is assisted by the Hsp70 chaperone system, involving ubiquitylation of target proteins by CHIP and recruitment of the autophagic ubiquitin adaptor p62 by the Hsp70 co-factor Bag-3 [22]. Alternatively, Bag-3 may mediate selective autophagy independent of substrate ubiquitylation [23]. There is also evidence that protein aggregates are first actively concentrated in aggresomes [24] or juxtanuclear quality control compartments (JUNQs) [25] by cytoskeletonbased transport processes [26, 27], followed by recruitment of autophagic machinery [28]. Aggresome and JUNQ formation are thought to allow the deposition of aggregated proteins in a non-toxic storage form until sufficient capacity for degradation is available [29]. Some misfolded protein species are delivered directly to lysosomes by cytosolic Hsp70 in a manner involving recognition of a specific peptide motif, KFERQ, present in many proteins [30].

Age-dependent proteostasis decline

Age is the major risk factor for numerous aggregate deposition diseases, including AD, PD, Huntington's disease (HD) and other degenerative disorders. Studies in

lower invertebrates such as the nematode C. elegans suggest that this is due to a decline in the capacity of aging cells and tissues to maintain proteostasis and to respond adequately to protein conformational stresses by upregulating PN machinery [31-33]. Whether an active aging program or simply lack of evolutionary pressure underlies this deterioration is unclear. It has been argued that organisms gained evolutionary advantage by devoting more resources to propagating the germ line than to maintaining the integrity of the somatic proteome ('disposable soma theory' [34]). Indeed, in C. elegans proteostasis deteriorates dramatically soon after progeny have been produced [33]. It has also been suggested that pluripotent stem cells dedicate considerably more resources to proteome maintenance than differentiated cells [35]. Although in mammals the age-dependent proteostasis decline is probably more protracted [36] than in a short-lived metazoan like C. elegans, the accumulation of aberrant protein species eventually exhausts the capacity of the PN and results in cellular dysfunction [32, 37]. Not surprisingly, this problem manifests itself most severely in post-mitotic cells (neurons and muscle cells) which lack the ability to remove aggregates by retaining them in the mother cell during cell division [38, 39].

Aggregate deposition diseases, including the major age-dependent neurodegenerative disorders, are typically associated with a gain-of-toxic-function, in contrast to loss-of-function diseases like cystic fibrosis [1], in which specific proteins – unable to fold due to mutation – are removed by degradation. While a connection between proteostasis decline and aggregation can be observed in most of the major degenerative diseases [40, 41], the analysis of pathological polyQ proteins in various model systems has provided the best evidence to date that age-dependent proteostasis decline is a prerequisite for disease manifestation and that protein aggregation in turn causes proteostasis impairment [42-45] (Figure 1). PolyQ-expansion diseases,

including HD) (Box 1), are inherited in a dominant manner and no sporadic cases are known, unlike most other aggregate deposition disorders. In contrast to the familiar forms of AD, PD and amyotrophic lateral sclerosis (ALS), HD is caused by mutation of a single gene. Moreover, the clear correlation between polyQ repeat length and aggregation propensity and the inverse correlation between repeat length and the age of disease onset greatly facilitates the development of disease models.

Cellular dysfunction and cell death in neurodegenerative disease appears to be mainly caused by a subset of highly toxic aggregate species, including diffusible, oligomeric forms that lack ordered fibrillar topology [6] (Figure 2A). These toxic species are structurally ill-defined but are thought to expose hydrophobic amino acid residues on unpaired β -strands that provide 'sticky' surfaces for aberrant interactions with other proteins or cellular membranes [6, 46, 47]. Multiple endogenous proteins, often newly-synthesized or containing extensive disordered regions, as well as certain chaperones have been found associated with such aggregates [48]. Importantly, there is increasing evidence that sequestration of oligomers into large insoluble deposits is protective [49], presumably by reducing the interactive, solvent exposed surface area of the aggregates. However, while inclusion formation may be actively promoted by the PN of aged cells as a last resort [42], these deposits are unlikely to be entirely harmless.

Mechanisms of proteostasis impairment

Observations in cellular and organismal models indicate that the chronic production of misfolded and aggregated proteins compromises central functions of the PN, including the capacity of cells to fold proteins, clear misfolded proteins (Figure 3A,B) and to respond to conformational stress by upregulating PN machinery (Figure 3C)

[43-45]. How exactly the aberrant proteins target the PN is not clear in most cases, but it is plausible that they unduly occupy, sequester or otherwise functionally impair PN components, rendering them unavailable for use by other clients (chaperone titration). These clients comprise primarily the 'metastable proteome', a set of structurally dynamic proteins that need constant chaperone surveillance. Importantly, the acute accumulation of misfolded proteins under stress conditions (such a heat stress) causes the rapid induction of PN components to reestablish proteome balance. However, this fails to occur when aberrant protein species are produced chronically, as in disease or during aging [48, 50-52] (Figure 3C). One possible explanation is that under chronic stress, key components of stress signaling pathways can no longer be maintained in a functionally active state. For example, NF-Y, a transcription factor involved in Hsp70 expression is sequestered by polyQ aggregates [53], and heat-shock transcription factor 1 (HSF-1), the key regulator of the cytosolic stress response, is itself a metastable protein whose level is regulated by chaperones and proteasomal degradation [54, 55].

Much research in recent years has focused on understanding how protein aggregation interferes with the function of the UPS. Ubiquitin is present in the inclusions of nearly all neurodegenerative disease proteins, consistent with the view that these proteins fail to be degraded when they accumulate to levels that exceed proteasome capacity [56, 57]. A decline in proteasome activity during aging [58, 59] would explain why aging is a key risk factor for protein aggregation. Conversely, there is evidence that aggregation is not the result of a malfunctioning UPS but is actually its cause. This view is supported by findings that the expression of structurally unrelated aggregation-prone proteins prevents other proteins from being proteasomally degraded. Examples include polyQ-expansion proteins, the disease-

associated prion protein Prp^{Sc}, mutants of superoxide dismutase 1 (SOD1) linked with ALS and mutants of rhodopsin linked to autosomal dominant retinitis pigmentosa [60-64]. Evidence has been presented that the aggregates engage the proteasome but cannot be unfolded, thus 'choking' the system [65] and interfering with the entry of other substrates [66]. However, polyQ aggregates do not inhibit proteasome function in vitro [61, 67] and polyQ proteins are efficiently degraded in yeast and mammalian cells when they are targeted to the proteasome by N- or C terminal degradation signals [44, 68].

How then does protein aggregation compromise the UPS? Support for a mechanism in which the aggregates sequester and functionally deplete critical components of the PN was recently provided using a yeast model (Figure 3). It was shown that expression of polyQ-expanded huntingtin exon 1 fragment causes the stabilization of terminally misfolded proteins that normally undergo rapid degradation via the UPS [44]. This effect was mediated by binding of the Hsp40 chaperone Sis1 to soluble polyQ oligomers and its sequestration into insoluble inclusions. Sis1 is an essential but low abundant regulator of the Hsp70 chaperone system, and homologs of Sis1 are potent modulators of polyQ aggregation in mammalian cells when overexpressed [44, 69]. Surprisingly, Sis1 was found to be rate-limiting for the transport of several cytosolic misfolded proteins into the nucleus (Box 2), where they are degraded by nuclear proteasomes [44, 70, 71]. Even when Sis1 was only mildly depleted by the polyQ aggregates, misfolded proteins aggregated in the cytosol, indicating that Sis1 has a critical function in the PN [44]. Interestingly, the human Sis1 homolog, DnaJB1, was found in polyQ inclusions of postmortem brain tissue from spinocerebellar ataxia type 3 patients [72], suggesting that proteostasis impairment resulting from Hsp40 sequestration is relevant in disease. Chaperone

sequestration by aggregation-prone proteins is likely to play a role in various neurodegenerative diseases. For example, the aggregates of mutant SOD1, associated with ALS, sequester Hsc70 and its nucleotide exchange factor Hsp110 [73]. Similar findings were made with the aggregates of engineered β -sheet proteins that form amyloid-like inclusions [48].

Maintaining the solubility of a chronically expressed mutant protein, such as polyQ-expanded huntingtin, may divert considerable PN resources from the rest of the proteome. Indeed, expression of mutant huntingtin has been shown to interfere with the folding and conformational maintenance of endogenous (or exogenously expressed) metastable proteins [43-45], and with specific chaperone functions, such as the uncoating of clathrin cages in endocytosis[74]. Notably, due to its high degree of interconnectedness and inbuilt redundancy, the PN is robust and able to buffer the deleterious consequences of aberrant protein species for long periods of time (up to decades in humans). However, when the network becomes critically overloaded, it can no longer stabilize its metastable, aggregation-prone clients (Figure 3). Consequently, these proteins will increasingly populate non-native states that would normally be degraded but now accumulate as ubiquitylated species in aggregates [61]. When the network is overwhelmed, proteostasis collapse can be rapid and dramatic, as observed in the *C. elegans* model [33]. This loss of proteome stability contributes critically to cellular dysfunction and demise.

Mutations of PN components as cause for disease

The importance of proteostasis impairment in the pathogenesis of aggregate deposition disorders is reinforced by the existence of familial forms of neurodegeneration which are caused by mutation of PN components [75]. For

example, loss-of-function mutations in the ubiquitin ligase PARKIN and the PARKIN-related kinase PINK1 are the cause of early-onset PD [76, 77]. PARKIN cooperates with PINK1 in the ubiquitylation and selective autophagy of mitochondria (mitophagy). Defects in this pathway result in accumulation of damaged mitochondria, disturbances in calcium homeostasis and oxidative stress [78]. The motor neuron disease ALS can also be caused by mutations in PN components. Dominant mutations causing ALS have been mapped to several factors, including ubiquilin-2, a protein that recruits proteasome complexes to ubiquitylated proteins; sequestosome-1 (p62), a ubiquitin binding protein required for autophagy of aggregates; and VCP (p97/Cdc48), a AAA ATPase that functions in ERAD [79]. Marinesco-Sjoegren syndrome, a rare autosomal recessive disorder characterized by cerebellar ataxia, is caused by loss of function mutations of the HSPA5 cochaperone SIL1, which is required for protein translocation and folding in the endoplasmic reticulum [80, 81]. Mutations of the mitochondrial chaperonin Hsp60 cause autosomal dominant spastic paraplegia [82] and an autosomal-recessive neurodegenerative disorder linked to brain hypomyelination and leukodystrophy [83].

The PN as a target for pharmacological intervention

The physiological regulation of the PN is complex and involves several interconnected pathways, some of which are inducible by cellular stress. These signaling pathways regulate specific transcriptional programs to adjust proteostasis capacity at the level of protein synthesis, folding and degradation machineries. They include the cytosolic heat-shock response (HSR), the unfolded protein responses (UPR) of the ER and mitochondria, and additional pathways involved in inflammation and the responses to oxidative stress and caloric restriction [2]. The

complex mechanisms by which these forms of stress are sensed and the different signals integrated into a cellular response are only partially understood. For example, multiple signals from the cell membrane, cytosol, endomembrane system and nucleus feed into the cytosolic stress response that is executed by HSF-1 [54, 55]. In *C. elegans* the stress response pathways are also coordinated at the organismal level by cell non-autonomous mechanisms involving neuronal communication and humoral factors [84, 85]. There is also evidence that different cell types and distinct brain regions vary in their proteostasis capacity and their ability to respond to different forms of stress [36, 43, 86, 87]. A precise definition of these differences might help to explain why certain cell types are more vulnerable to aberrant protein folding than others, and why ubiquitously expressed proteins only aggregate in certain tissues.

Seeking ways to pharmacologically upregulate proteostasis capacity may open up new opportunities to combat neurodegeneration and other aggregate deposition diseases [1, 2]. This may be achieved by intervening at multiple sites of the PN or by using chemical chaperones that stabilize misfolded proteins. Induction of the cytosolic stress response by small molecule compounds or overexpressing members of the Hsp70 system has been shown to be beneficial in cells expressing different aggregation-prone proteins, to prevent the formation of toxic aggregates [69, 88-90] and increase the formation of (presumably less toxic) inclusion bodies [51]. The chemical chaperone 4-phenylbutyrate helps to restore ER proteostasis in metabolic diseases like type 2 diabetes [91], and upregulation of ER folding capacity by activating the ER stress response can improve the secretion of certain disease proteins such as mutant α 1-antitrypsin and mitigate lysosomal enzyme deficiencies [92]. Induction of the ER stress factor XBP1s can even prevent amyloid- β neurotoxicity in model systems for AD [93]. Phramacologically prolonging the transient attenuation of

translation that occurs upon ER stress may be employed to adjust protein production rates to levels manageable by available chaperones [94]. Increasing proteolytic capacity provides an alternative approach to maintaining proteostasis. This may be achieved by induction of autophagy [95] or by inhibiting specific deubiquitinating enzymes, thereby increasing the rate at which the UPS clears misfolded proteins [96].

Beyond counteracting the toxic effects of aggregating disease proteins, enhancing proteostasis capacity also extends lifespan and preserves the responsiveness of model organisms to acute stress [97]. Conversely, the chronic presence of aggregates can suppress the ability of cells to adequately respond to stress [48], supporting the view that protein aggregation is a major driver of the aging process. Studies in mice showed that small molecule activators of the stress response, while effective early in disease, may lose efficacy during disease progression and aging [98]. On the other hand, sustained aggregate stress may result in a disproportionate response. For example, the long-term down-regulation of translation caused by chronic ER stress can be especially detrimental to neuronal cells [99, 100] which rely critically on ongoing translation for functionality. It will be crucial to unravel the mechanisms by which protein aggregation deregulates stress response pathways and undermines the cellular defense against toxic protein species. In any case, attempts to improve proteostasis pharmacologically would likely have to occur at an early stage of disease before the manifestation of severe cellular dysfunction.

Concluding remarks

Impairment of proteostasis is now being recognized as a basic mechanism by which chronic protein misfolding and toxic aggregation cause cellular dysfunction, facilitating the manifestation and progression of numerous neurodegenerative and

other aggregate deposition diseases. Due to the interconnected nature of the machineries of protein folding and degradation, deficiencies in specific PN components, for example as the result of their sequestration by aggregates, can have global detrimental effects. This sets in motion a self-propagating cycle that exacerbates proteome imbalance and eventually leads to proteostasis collapse and cell death. The gradual accumulation of proteome damage in post-mitotic tissues coupled with the age-dependent decline in proteostasis capacity and deregulation of stress response pathways can explain why age is the major risk factor for aggregate deposition diseases. Searching for effective ways to pharmacologically upregulate rate-limiting PN components may provide a viable strategy for therapeutic intervention. However, achieving this goal requires a detailed understanding of the organization and hierarchy of the PN and the signaling pathways underlying its control.

Figure 1: Self-propagating cycle of proteostasis decline in disease and aging. Chronic expression of aberrantly folded protein species caused by disease, aging or external stress reduces proteostasis capacity by sequestering or otherwise inhibiting PN components. This results in misfolding and aggregation of endogenous proteins. These additional misfolded species in turn engage the proteostasis network (PN titration model), thereby reducing available proteostasis capacity further and driving a positive feedback loop that eventually leads to proteostasis collapse.

Figure 2: The proteostasis network (PN).

(A) The PN maintains protein homeostasis by controlling the levels of functional proteins and preventing the formation of toxic aggregates. This is achieved by integrating three branches of the PN: (1) protein synthesis, the chaperone pathways for the folding of newly-synthesized proteins and intracellular trafficking (PN branch of biogenesis; green), (2) the chaperone pathways for the remodeling of misfolded proteins and protein disaggregation (PN branch of conformational maintenance; blue), and (3) the pathways of protein degradation by the ubiquitin proteasome system (UPS) and autophagy (PN branch of degradation; red). Toxic aggregates (mainly diffusible, oligomeric states) may be converted to less toxic, insoluble inclusions of amorphous or fibrillar (amyloid-like) structure.

(**B**) Chaperones have important functions in all three branches of the PN. The approximate numbers of PN components in the human proteome are indicated. Adapted and modified from [3].

Figure 3: Mechanisms of proteostasis impairment.

(A) Homeostasis. The capacity of the chaperone network is sufficient to correctly fold the majority of newly synthesized proteins. The limited fraction of proteins that cannot be successfully folded upon synthesis (~5-10% of total) [101, 102] are degraded by the UPS, a process that may contribute to production of antigenic peptides [103].

(B) PN impairment by aggregate formation. Chaperone components are engaged and functionally depleted by soluble and insoluble protein aggregates (chaperone titration model). This prevents successful folding of endogenous chaperone clients and results in the accumulation of folding intermediates and misfolded states which are ubiquitylated and directed towards proteasomal degradation. When the accumulation of misfolded proteins exceeds the capacity of the UPS, additional protein aggregates containing ubiquitylated and non-ubiquitylated protein molecules form. These aggregates engage additional PN components, exacerbating PN impairment. Formation of insoluble inclusions may serve a protective role by binding less PN components.

(C) Acute vs. chronic stress. Eukaryotic cells contain multiple signaling mechanisms which respond to acute forms of conformational stress, such as the cytosolic heat stress response (HSR) and the unfolded protein response of the ER (UPR). Activation of these pathways by the accumulation of misfolded proteins results in rapid induction of PN components, especially chaperones and degradation machinery. At the same time, synthesis of the majority of other proteins is reduced, to free up PN capacity for the removal of misfolded proteins. However, this cellular state is only sustainable for a limited period of time, and if maintained for too long can result in apoptosis. Chronic stress, such as the constant production of protein aggregates during disease or aging, is thought to render cells stress-refractory, thereby enhancing proteome

imbalance.

Box 1: Huntington's disease

At least nine neurodegenerative diseases are associated with the expression of otherwise unrelated proteins containing polyglutamine (polyQ) expansion sequences. Among these, Huntington's disease (HD) is the most frequent affecting about 5-10 in 100,000 individuals. HD is associated with severe movement disorders and cognitive decline, and typically leads to death 15-20 years after the onset of symptoms. HD follows autosomal dominant inheritance and is caused by the expansion of a polyQ tract in the N-terminal exon of huntingtin, a ubiquitously expressed cytosolic protein of 3142 amino acids with unknown function. A characteristic feature of HD is the formation of detergent insoluble aggregates of mutant huntingtin in the nucleus and cytoplasm in a subset of neuronal cells in the cortex and striatum [104]. The length of the expanded polyQ stretch (38-100 Q and more) correlates with increased aggregation propensity [105] and is inversely correlated with the age of disease onset [106].

The formation of neuronal inclusions and toxic-gain-of-function pathology characteristic of HD can be reproduced in mouse models by expressing N-terminal fragments of huntingtin with an expanded polyQ tract [107]. Inclusions disappear when huntingtin expression is blocked [108]. Multiple lines of evidence suggest that polyQ expanded proteins titrate chaperones away from their clients, leading to proteostasis impairment [44, 74, 100, 109, 110]. Conversely, overexpression of various chaperones, such as members of the Hsp70 system, suppresses polyQ toxicity [3, 69, 75].

Box 2: The nucleus as quality control compartment

The proteostasis machinery in the nucleus differs from that of the cytosol in that no protein synthesis takes place in this compartment. In contrast to protein transport into the ER or mitochondria, the nuclear pore complexes allow the import of proteins in their folded and assembled states. Besides specific roles in histone remodeling, the nuclear chaperone machinery is therefore mainly involved in conformational protein maintenance and in the degradation of misfolded proteins. The nucleus is highly enriched in proteasome complexes [111] and contains specific ubiquitin ligases dedicated to quality control [112, 113]. During stress, import of most proteins into the nucleus is reduced but additional chaperones and proteasome complexes enter using specific import factors [114]. A substantial fraction of nuclear proteins are metastable. These proteins are conformationally destabilized upon stress and undergo proteasomal degradation [55].

Diseases associated with protein aggregation, such as HD, are often characterized by the presence of intranuclear inclusions. This may be explained by recent observations that misfolded cytosolic proteins, including mutants of huntigtin, are transported into the nucleus for proteasomal degradation [44, 71, 113, 115, 116]. When nuclear PN capacity is exhausted, misfolded proteins may form intranuclear inclusions. Since the autophagic machinery has no access to the nucleus, perhaps the only possibility to remove these aggregates is to transport them to the cytosol after disassembly of the nuclear envelope during mitosis. This would help to explain why postmitotic cells such as neurons are more vulnerable towards intranuclear inclusions.

Acknowledgements

We thank Yujin Kim, Lisa Vincenz and Jacob Verghese for critically reading the manuscript. Work in the authors' laboratory is supported by the Munich Cluster for Systems Neurology (SyNergy) and by grants from HFSP and ERC.

References

1 Balch, W.E., et al. (2008) Adapting proteostasis for disease intervention. Science 319, 916-919 2 Powers, E.T., et al. (2009) Biological and chemical approaches to diseases of proteostasis deficiency. Annu Rev Biochem 78, 959-991 3 Kim, Y.E., et al. (2013) Molecular Chaperone Functions in Protein Folding and Proteostasis. Annu Rev Biochem 82, 323-355 4 Ross, C.A. and Poirier, M.A. (2004) Protein aggregation and neurodegenerative disease. Nat Med 10 Suppl, S10-17 5 Ciryam, P., et al. (2013) Widespread aggregation and neurodegenerative diseases are associated with supersaturated proteins. Cell reports 5, 781-790 6 Chiti, F. and Dobson, C.M. (2006) Protein misfolding, functional amyloid, and human disease. Annu Rev Biochem 75, 333-366 7 Ellis, R.J. and Minton, A.P. (2006) Protein aggregation in crowded environments. Biol Chem 387, 485-497 8 Dunker, A.K., et al. (2008) Function and structure of inherently disordered proteins. Curr Opin Struct Biol 18, 756-764 9 Haslbeck, M., et al. (2005) Some like it hot: the structure and function of small heat-shock proteins. Nat Struct Mol Biol 12, 842-846 10 Kampinga, H.H. and Craig, E.A. (2010) The HSP70 chaperone machinery: J proteins as drivers of functional specificity. Nat Rev Mol Cell Biol 11, 579-592 11 Tyedmers, J., et al. (2010) Cellular strategies for controlling protein aggregation. Nat Rev Mol Cell Biol 11, 777-788 12 Taipale, M., et al. (2010) HSP90 at the hub of protein homeostasis: emerging mechanistic insights. Nat Rev Mol Cell Biol 11, 515-528 13 Hershko, A. and Ciechanover, A. (1998) The ubiquitin system. Annu Rev Biochem 67, 425-479 14 Varshavsky, A. (2012) The ubiquitin system, an immense realm. Annu Rev Biochem 81, 167-176 15 Smith, M.H., et al. (2011) Road to ruin: targeting proteins for degradation in the endoplasmic reticulum. Science 334, 1086-1090 16 Araki, K. and Nagata, K. (2011) Protein folding and quality control in the ER. Cold Spring Harb Perspect Biol 3, a007526 17 Kettern, N., et al. (2010) Chaperone-assisted degradation: multiple paths to destruction. Biol Chem 391, 481-489 18 Prakash, S. and Matouschek, A. (2004) Protein unfolding in the cell. Trends Biochem Sci 29, 593-600 19 Escusa-Toret, S., et al. (2013) Spatial sequestration of misfolded proteins by a dynamic chaperone pathway enhances cellular fitness during stress. Nat Cell Biol 15, 1231-1243 20 Spokoini, R., et al. (2012) Confinement to organelle-associated inclusion structures mediates asymmetric inheritance of aggregated protein in budding veast. Cell reports 2, 738-747

21 Kundu, M. and Thompson, C.B. (2008) Autophagy: basic principles and relevance to disease. *Annual review of pathology* 3, 427-455

22 Arndt, V., *et al.* (2010) Chaperone-assisted selective autophagy is essential for muscle maintenance. *Curr Biol* 20, 143-148

23 Gamerdinger, M., *et al.* (2011) BAG3 mediates chaperone-based aggresometargeting and selective autophagy of misfolded proteins. *EMBO Rep* 12, 149-156 24 Kopito, R.R. (2000) Aggresomes, inclusion bodies and protein aggregation. *Trends Cell Biol* 10, 524-530

25 Kaganovich, D., *et al.* (2008) Misfolded proteins partition between two distinct quality control compartments. *Nature* 454, 1088-1095

26 Specht, S., *et al.* (2011) Hsp42 is required for sequestration of protein aggregates into deposition sites in Saccharomyces cerevisiae. *J Cell Biol* 195, 617-629

27 Johnston, J.A., *et al.* (1998) Aggresomes: a cellular response to misfolded proteins. *J Cell Biol* 143, 1883-1898

28 Iwata, A., *et al.* (2005) HDAC6 and microtubules are required for autophagic degradation of aggregated huntingtin. *J Biol Chem* 280, 40282-40292

29 Sontag, E.M., *et al.* (2014) Sorting out the trash: the spatial nature of eukarvotic protein quality control. *Curr Opin Cell Biol* 26C, 139-146

30 Dice, J.F. (2007) Chaperone-mediated autophagy. Autophagy 3, 295-299

31 Morley, J.F., *et al.* (2002) The threshold for polyglutamine-expansion protein aggregation and cellular toxicity is dynamic and influenced by aging in Caenorhabditis elegans. *Proc Natl Acad Sci U S A* 99, 10417-10422

32 David, D.C., *et al.* (2010) Widespread protein aggregation as an inherent part of aging in C. elegans. *PLoS Biol* 8, e1000450

33 Ben-Zvi, A., *et al.* (2009) Collapse of proteostasis represents an early molecular event in Caenorhabditis elegans aging. *Proc Natl Acad Sci U S A* 106, 14914-14919

34 Kirkwood, T.B. (1977) Evolution of ageing. *Nature* 270, 301-304

35 Vilchez, D., *et al.* (2012) Increased proteasome activity in human embryonic stem cells is regulated by PSMD11. *Nature* 489, 304-308

36 Carnemolla, A., *et al.* (2014) Contesting the dogma of an age-related heat shock response impairment: implications for cardiac-specific age-related disorders. *Hum Mol Genet*

37 Demontis, F. and Perrimon, N. (2010) FOXO/4E-BP signaling in Drosophila muscles regulates organism-wide proteostasis during aging. *Cell* 143, 813-825 38 Liu, B., *et al.* (2010) The polarisome is required for segregation and retrograde transport of protein aggregates. *Cell* 140, 257-267

39 Rujano, M.A., *et al.* (2006) Polarised asymmetric inheritance of accumulated protein damage in higher eukaryotes. *PLoS Biol* 4, e417

40 Douglas, P.M. and Dillin, A. (2010) Protein homeostasis and aging in neurodegeneration. *J Cell Biol* 190, 719-729

41 Ciechanover, A. and Brundin, P. (2003) The Ubiquitin Proteasome System in Neurodegenerative Diseases: Sometimes the Chicken, Sometimes the Egg. *Neuron* 40, 427-446

42 Cohen, E., *et al.* (2009) Reduced IGF-1 signaling delays age-associated proteotoxicity in mice. *Cell* 139, 1157-1169

43 Gupta, R., *et al.* (2011) Firefly luciferase mutants as sensors of proteome stress. *Nat Methods* 8, 879-884

44 Park, S.H., *et al.* (2013) PolyQ Proteins Interfere with Nuclear Degradation of Cytosolic Proteins by Sequestering the Sis1p Chaperone. *Cell* 154, 134-145

45 Gidalevitz, T., *et al.* (2006) Progressive disruption of cellular protein folding in models of polyglutamine diseases. *Science* 311, 1471-1474

46 Kayed, R., *et al.* (2003) Common structure of soluble amyloid oligomers implies common mechanism of pathogenesis. *Science* 300, 486-489

47 Miller, J., *et al.* (2011) Identifying polyglutamine protein species in situ that best predict neurodegeneration. *Nature chemical biology* 7, 925-934

48 Olzscha, H., *et al.* (2011) Amyloid-like aggregates sequester numerous metastable proteins with essential cellular functions. *Cell* 144, 67-78

49 Arrasate, M., *et al.* (2004) Inclusion body formation reduces levels of mutant huntingtin and the risk of neuronal death. *Nature* 431, 805-810

50 Blake, M.J., *et al.* (1991) Concomitant decline in heat-induced hyperthermia and HSP70 mRNA expression in aged rats. *The American journal of physiology* 260, R663-667

51 Bersuker, K., *et al.* (2013) Heat shock response activation exacerbates inclusion body formation in a cellular model of Huntington disease. *J Biol Chem* 288, 23633-23638

52 Hay, D.G., *et al.* (2004) Progressive decrease in chaperone protein levels in a mouse model of Huntington's disease and induction of stress proteins as a therapeutic approach. *Hum Mol Genet* 13, 1389-1405

53 Yamanaka, T., *et al.* (2008) Mutant Huntingtin reduces HSP70 expression through the sequestration of NF-Y transcription factor. *Embo J* 27, 827-839 54 Anckar, J. and Sistonen, L. (2011) Regulation of HSF1 function in the heat stress response: implications in aging and disease. *Annu Rev Biochem* 80, 1089-1115

55 Raychaudhuri, S., *et al.* (2014) Interplay of Acetyltransferase EP300 and the Proteasome System in Regulating Heat Shock Transcription Factor 1. *Cell* 156, 975-985

56 Lowe, J., *et al.* (1988) Ubiquitin is a common factor in intermediate filament inclusion bodies of diverse type in man, including those of Parkinson's disease, Pick's disease, and Alzheimer's disease, as well as Rosenthal fibres in cerebellar astrocytomas, cytoplasmic bodies in muscle, and mallory bodies in alcoholic liver disease. *The Journal of pathology* 155, 9-15

57 Waelter, S., *et al.* (2001) Accumulation of mutant huntingtin fragments in aggresome-like inclusion bodies as a result of insufficient protein degradation. *Mol Biol Cell* 12, 1393-1407

58 Tonoki, A., *et al.* (2009) Genetic evidence linking age-dependent attenuation of the 26S proteasome with the aging process. *Mol Cell Biol* 29, 1095-1106

59 López-Otín, C., *et al.* (2013) The Hallmarks of Aging. *Cell* 153, 1194-1217 60 Bennett, E.J., *et al.* (2007) Global changes to the ubiquitin system in Huntington's disease. *Nature* 448, 704-708

61 Hipp, M.S., *et al.* (2012) Indirect inhibition of 26S proteasome activity in a cellular model of Huntington's disease. *J Cell Biol* 196, 573-587

62 Kristiansen, M., *et al.* (2007) Disease-associated prion protein oligomers inhibit the 26S proteasome. *Mol Cell* 26, 175-188

63 Urushitani, M., *et al.* (2002) Proteasomal inhibition by misfolded mutant superoxide dismutase 1 induces selective motor neuron death in familial amyotrophic lateral sclerosis. *J Neurochem* 83, 1030-1042

64 Illing, M.E., *et al.* (2002) A rhodopsin mutant linked to autosomal dominant retinitis pigmentosa is prone to aggregate and interacts with the ubiquitin proteasome system. *J Biol Chem* 277, 34150-34160

65 Venkatraman, P., *et al.* (2004) Eukaryotic proteasomes cannot digest polyglutamine sequences and release them during degradation of polyglutamine-containing proteins. *Mol Cell* 14, 95-104

66 Deriziotis, P., *et al.* (2011) Misfolded PrP impairs the UPS by interaction with the 20S proteasome and inhibition of substrate entry. *Embo J* 30, 3065-3077 67 Bennett, E.J., *et al.* (2005) Global impairment of the ubiquitin-proteasome system by nuclear or cytoplasmic protein aggregates precedes inclusion body formation. *Mol Cell* 17, 351-365

68 Juenemann, K., *et al.* (2013) Expanded Polyglutamine-containing N-terminal Huntingtin Fragments Are Entirely Degraded by Mammalian Proteasomes. *J Biol Chem* 288, 27068-27084

69 Hageman, J., *et al.* (2010) A DNAJB chaperone subfamily with HDACdependent activities suppresses toxic protein aggregation. *Mol Cell* 37, 355-369 70 Summers, D.W., *et al.* (2013) The Type II Hsp40 Sis1 cooperates with Hsp70 and the E3 ligase Ubr1 to promote degradation of terminally misfolded cytosolic protein. *PLoS One* 8, e52099

71 Prasad, R., *et al.* (2010) A nucleus-based quality control mechanism for cytosolic proteins. *Mol Biol Cell* 21, 2117-2127

72 Chai, Y., *et al.* (1999) Analysis of the role of heat shock protein (Hsp) molecular chaperones in polyglutamine disease. *J Neurosci* 19, 10338-10347 73 Wang, J., *et al.* (2009) Progressive aggregation despite chaperone associations of a mutant SOD1-YFP in transgenic mice that develop ALS. *Proc Natl Acad Sci U S A* 106, 1392-1397

74 Yu, A., *et al.* (2014) Protein aggregation can inhibit clathrin-mediated endocytosis by chaperone competition. *Proc Natl Acad Sci U S A* 111, E1481-1490 75 Broadley, S.A. and Hartl, F.U. (2009) The role of molecular chaperones in human misfolding diseases. *FEBS Lett* 583, 2647-2653

76 Kitada, T., *et al.* (1998) Mutations in the parkin gene cause autosomal recessive juvenile parkinsonism. *Nature* 392, 605-608

77 Leroy, E., *et al.* (1998) The ubiquitin pathway in Parkinson's disease. *Nature* 395, 451-452

78 Winklhofer, K.F. (2014) Parkin and mitochondrial quality control: toward assembling the puzzle. *Trends Cell Biol*

79 Robberecht, W. and Philips, T. (2013) The changing scene of amyotrophic lateral sclerosis. *Nat Rev Neurosci* 14, 248-264

80 Anttonen, A.-K., *et al.* (2005) The gene disrupted in Marinesco-Sjögren syndrome encodes SIL1, an HSPA5 cochaperone. *Nature genetics* 37, 1309-1311 81 Senderek, J., *et al.* (2005) Mutations in SIL1 cause Marinesco-Sjogren syndrome, a cerebellar ataxia with cataract and myopathy. *Nat Genet* 37, 1312-1314

82 Hansen, J.J., *et al.* (2002) Hereditary spastic paraplegia SPG13 is associated with a mutation in the gene encoding the mitochondrial chaperonin Hsp60. *American journal of human genetics* 70, 1328-1332

83 Magen, D., *et al.* (2008) Mitochondrial hsp60 chaperonopathy causes an autosomal-recessive neurodegenerative disorder linked to brain hypomyelination and leukodystrophy. *American journal of human genetics* 83, 30-42

84 Taylor, Rebecca C. and Dillin, A. (2013) XBP-1 Is a Cell-Nonautonomous Regulator of Stress Resistance and Longevity. *Cell* 153, 1435-1447

85 van Oosten-Hawle, P., *et al.* (2013) Regulation of organismal proteostasis by transcellular chaperone signaling. *Cell* 153, 1366-1378

86 Kern, A., *et al.* (2010) HSF1-controlled and age-associated chaperone capacity in neurons and muscle cells of C. elegans. *PLoS One* 5, e8568

87 Tsvetkov, A.S., *et al.* (2013) Proteostasis of polyglutamine varies among neurons and predicts neurodegeneration. *Nat Chem Biol* 9, 586-592

88 Schaffar, G., *et al.* (2004) Cellular toxicity of polyglutamine expansion proteins: mechanism of transcription factor deactivation. *Mol Cell* 15, 95-105 89 Auluck, P.K., *et al.* (2002) Chaperone suppression of alpha-synuclein toxicity in a Drosophila model for Parkinson's disease. *Science* 295, 865-868

90 Sittler, A., *et al.* (2001) Geldanamycin activates a heat shock response and inhibits huntingtin aggregation in a cell culture model of Huntington's disease. *Hum Mol Genet* 10, 1307-1315

91 Ozcan, U., *et al.* (2006) Chemical chaperones reduce ER stress and restore glucose homeostasis in a mouse model of type 2 diabetes. *Science* 313, 1137-1140 92 Mu, T.W., *et al.* (2008) Chemical and biological approaches synergize to ameliorate protein-folding diseases. *Cell* 134, 769-781

93 Casas-Tinto, S., *et al.* (2011) The ER stress factor XBP1s prevents amyloidbeta neurotoxicity. *Hum Mol Genet* 20, 2144-2160

94 Tsaytler, P., *et al.* (2011) Selective inhibition of a regulatory subunit of protein phosphatase 1 restores proteostasis. *Science* 332, 91-94

95 Sarkar, S., *et al.* (2009) Rapamycin and mTOR-independent autophagy inducers ameliorate toxicity of polyglutamine-expanded huntingtin and related proteinopathies. *Cell Death Differ* 16, 46-56

96 Lee, B.H., *et al.* (2010) Enhancement of proteasome activity by a small-molecule inhibitor of USP14. *Nature* 467, 179-184

97 Gidalevitz, T., *et al.* (2011) The stress of protein misfolding: from single cells to multicellular organisms. *Cold Spring Harb Perspect Biol* 3

98 Labbadia, J., *et al.* (2011) Altered chromatin architecture underlies progressive impairment of the heat shock response in mouse models of Huntington disease. *J Clin Invest* 121, 3306-3319

99 Sidrauski, C., *et al.* (2013) Pharmacological brake-release of mRNA translation enhances cognitive memory. *eLife* 2, e00498

100 Leitman, J., *et al.* (2013) Soluble forms of polyQ-expanded huntingtin rather than large aggregates cause endoplasmic reticulum stress. *Nat Commun* 4, 2753 101 Vabulas, R.M. and Hartl, F.U. (2005) Protein synthesis upon acute nutrient

restriction relies on proteasome function. *Science* 310, 1960-1963

102 Duttler, S., *et al.* (2013) Principles of cotranslational ubiquitination and quality control at the ribosome. *Mol Cell* 50, 379-393

103 Rock, K.L., *et al.* (2014) Re-examining class-I presentation and the DRiP hypothesis. *Trends in immunology*

104 DiFiglia, M., *et al.* (1997) Aggregation of huntingtin in neuronal intranuclear inclusions and dystrophic neurites in brain. *Science* 277, 1990-1993

105 Scherzinger, E., *et al.* (1999) Self-assembly of polyglutamine-containing huntingtin fragments into amyloid-like fibrils: implications for Huntington's disease pathology. *Proc Natl Acad Sci U S A* 96, 4604-4609

106 Gusella, J.F. and MacDonald, M.E. (2006) Huntington's disease: seeing the pathogenic process through a genetic lens. *Trends Biochem Sci* 31, 533-540

107 Mangiarini, L., *et al.* (1996) Exon 1 of the HD gene with an expanded CAG repeat is sufficient to cause a progressive neurological phenotype in transgenic mice. *Cell* 87, 493-506

108 Yamamoto, A., *et al.* (2000) Reversal of neuropathology and motor dysfunction in a conditional model of Huntington's disease. *Cell* 101, 57-66 109 Shirasaki, Dyna I., *et al.* (2012) Network Organization of the Huntingtin Proteomic Interactome in Mammalian Brain. *Neuron* 75, 41-57 110 Hartl, F.U., *et al.* (2011) Molecular chaperones in protein folding and

proteostasis. *Nature* 475, 324-332

111 Wojcik, C. and DeMartino, G.N. (2003) Intracellular localization of proteasomes. *The international journal of biochemistry & cell biology* 35, 579-589 112 Rosenbaum, J.C., *et al.* (2011) Disorder targets misorder in nuclear quality control degradation: a disordered ubiquitin ligase directly recognizes its misfolded substrates. *Mol Cell* 41, 93-106

113 Iwata, A., *et al.* (2009) Intranuclear degradation of polyglutamine aggregates by the ubiquitin-proteasome system. *J Biol Chem* 284, 9796-9803

114 Kose, S., *et al.* (2012) Hikeshi, a nuclear import carrier for Hsp70s, protects cells from heat shock-induced nuclear damage. *Cell* 149, 578-589

115 Heck, J.W., *et al.* (2010) Cytoplasmic protein quality control degradation mediated by parallel actions of the E3 ubiquitin ligases Ubr1 and San1. *Proc Natl Acad Sci U S A* 107, 1106-1111

116 Guerriero, C.J., *et al.* (2013) Hsp70 targets a cytoplasmic quality control substrate to the San1p ubiquitin ligase. *J Biol Chem* 288, 18506-18520













Chronic