RAYIC - A Numerical Code for the Study of Ion Cyclotron Heating of Large Tokamak Plasmas

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#### Abstract

The code RAYIC models coupling, propagation and absorption of e.m. waves in large axisymmetric plasmas in the ion cyclotron frequency domain. It can be used both to investigate the waves behaviour, and as a source of the power deposition profiles for use in transport codes.

The present user manual, after a brief summary of the physical model, presents the structure of RAYIC, the complete list of input-output variables (calling sequence), and some examples of the output which can be obtained from the code.

#### § 1 - INTRODUCTION

RAYIC is a numerical code developed for the study of Ion Cyclotron Heating of large Tokamak plasmas. It combines a careful application of the Eikonal approximation (ray tracing and power transport) with a 3-D semi-analytic solution of Maxwell equations near the antenna and around singular layers (ion cyclotron and two-ion hybrid resonances). Thus the code can be used to obtain detailed information both on the propagation of the waves and on the power deposition profiles, under a large range of conditions.

The present report is intended as "user manual" for the code. The physical model on which the code is based has been discussed in two previous reports /1, 2/, and will therefore be only briefly summarized in Section 2.

Section 3 describes the structure of the code. Section 4 presents the complete list of input variables which must be specified to run a case, and describes the output and the plots which can be obtained. Finally, Section 5 describes the reference runs which are built in the code. A few comparisons with similar codes /3, 4/ will be made in Section 2.

#### § 2 - The model

The core of RAYIC is a ray-tracing subroutine, which follows the propagation of the fast Alfvén wave in an arbitrary axisymmetric configuration

$$X = X(\psi, \vartheta)$$
  $Z = Z(\psi, \vartheta)$  (1)

(X,Z) are cartesian coordinates in the meridian crosssection,  $(0 \le \psi \le 1)$  labels magnetic surfaces, and  $\theta$  is the poloidal angle (Fig. 1). The metric of the (non-orthogonal) coordinates  $\psi$ ,  $\theta$ ,  $\theta$ , and the expression for the static magnetic field components in terms of the toroidal current density and the shape of the magnetic surfaces are detailed in /1/, Section 5.

The plasma dispersion relation is written in the warm plasma approximation, neglecting the electron inertia:

$$H(\omega, \vec{k}) = Am_{\perp}^4 - Bm_{\perp}^2 + C = 0$$
 (2)

The expressions for A, B, C in terms of n, and the plasma parameters are given in /1/, Eqs. (2) to (5). To cope with arbitrary plasma cross-sections, the ray equations are written in an explicitly covariant form,

$$\frac{d\psi/dz}{dz} = \frac{\partial H}{\partial k_{\psi}} \qquad \frac{d\theta}{dz} = \frac{\partial H}{\partial k_{\theta}} \qquad \frac{\partial H}{\partial k_{\theta}} \qquad \frac{\partial H}{\partial k_{\theta}} = \frac{\partial H}{\partial k_{\theta}} = \frac{\partial H}{\partial k_{\theta}} \qquad \frac{\partial H}{\partial k_{\theta}} = \frac$$

where  $k_{\psi}$ ,  $k_{\theta}$  are the covariant components of the wave-vector; the independent variable is then changed to the wave phase  $\sigma(\psi, \theta) = \int (k_{\psi} d\psi + k_{\theta} d\theta)$  by dividing Eqs. (3) throughout with

$$D = \frac{d\sigma}{d\tau} = \widetilde{k}_{\psi} \frac{\partial H}{\partial \widetilde{k}_{\psi}} + \widetilde{k}_{\theta} \frac{\partial H}{\partial \widetilde{k}_{\theta}}$$
 (4)

The explicit form of the equations thus obtained, and the relations between the covariant and physical components of  $\vec{k}$  are given in /1/, Section 6, Eqs. (1) to (28). The choice of  $\sigma$  as independent variable minimizes the number of derivatives to be evaluated, scales all variables automatically in the most natural way, and makes it easy to visualize wavefronts and ray pencils. It has moreover the advantage that breakdowns of the Eikonal approximation are automatically detected /6/.

The power transport equation takes into account ion cyclotron damping at the fundamental and at the first harmonic, and electron Landau and Transit Time damping (Ref. /1/, Section 6, Eqs. (29) to (31), and Section 4, Eqs. (10) to (14)).

The initial conditions for the ray and power transport equations are provided by a 3-D plane layered model of the antenna, described in /1/, Section 7. This model assumes

that the current distribution in the antenna is known (numerical codes for the self-consistent evaluation of the antenna loading and current distribution are available /5/). The output of the antenna subroutine consists of the spectral distribution of the radiated power among partial waves with different toroidal wavenumber n  $\boldsymbol{\varphi}$  , and, for each n  $\boldsymbol{\varphi}$  , the distribution of the power flux across a wavefront in the poloidal direction.

It is appropriate to recall here that we consider this decomposition of the radiated field in partial waves uniform in the toroidal direction

$$\overrightarrow{E}(\vec{r}) = \sum_{m} \overrightarrow{E}_{m}(\psi_{i}\theta) e^{i(m_{\beta}\beta + \sigma_{m_{\beta}})}$$
(5)

as essential, to deal with the fact that in this direction the antenna is always much shorter than the wavelength, both in vacuum and in the plasma (this is not the case in the poloidal direction, due to the large refractive index in the plasma). Recently, the Authors of Ref. /3/ have shown numerically that at least in a plane-layered geometry with uniform or linear profiles of n<sub>1</sub><sup>2</sup>, ray tracing applied to the field as a whole gives a good approximation to the exact solution even in the diffraction-dominated limit. Nevertheless, in the absence of a general proof of this rather surprising result, we prefer to avoid what amounts to a systematic violation of the indetermination principle. Note that the computer time requirements of the two approaches

are comparable: the need for scanning the toroidal wavenumber n  $\varphi$  is compensated by the fact that for each n  $\varphi$  we have to follow only a few rays in one meridian crosssection, ignoring the  $\varphi$ -coordinate altogether.

Integration of the ray equations is interrupted when the ray reaches a cut-off or a resonance. In the first case it is possible to instruct the code to resume integration, assuming specular reflection of the wave at the interruption point. It is not recommended, however, to follow the rays for more than two or three transits, since standing wave effects cannot be taken into account by the antenna model.

Integration is also interrupted when for some species

$$\left|\frac{\omega-m\Omega_{\alpha'}}{R_{\mu}U_{\mu'}}\right| \leq 2 \quad (n=1,2)$$
 (6)

Inside a layer defined by this inequality, ray tracing fails because of the large antihermitean part of the dielectric tensor. The complex dispersion relation, however, shows that  $\vec{k}$  and the field polarization vary little within the layer. Thus the ray is assumed to cross the Doppler-broadened cyclotron resonance along a straight segment; only the power transport equation is integrated within the layer, interpolating linearly between the values  $\vec{k}$  and polarizations at the entry and exit points.

This procedure usually gives excellent agreement with analytic estimates /2/. It fails however if the resonance is too broad ( $\Delta k_{\shortparallel}/k_{\shortparallel}$   $\stackrel{>}{\sim}$  0.2), or if the ray arrives on the resonance at grazing angle  $(k_{\chi} >> k_{\chi})$ : in this case the integration cannot be resumed. It also fails in a more fundamental way if the cyclotron resonance is too close to a wave singularity (two-ion hybrid resonance or confluence with a Bernstein wave near  $\omega = 2\Omega_{ci}$ ) not smeared off by sufficient Doppler broadening of the cyclotron resonance. In this case, ion cyclotron absorption is first evaluated assuming constants  $\vec{k}$  and polarizations, then the wave resonance is treated as if well separated from the cyclotron resonance. This procedure is only qualitatively justified; it applies however only to a narrow transition range of k,-values, so that no large uncertainty in the global power balance is thereby introduced. (The most difficult case is pure second harmonic heating at temperatures well below 1 keV).

The evaluation of power deposition at two-ion hybrid resonances is based on a study of these singularities, described in Ref. /2/. The amount of power in the reflected and transmitted Alfvén waves, and the amount coupled to the slow (acoustic or Alfvén) wave is evaluated using analytic expressions given in this Reference (Section 5). It is moreover assumed that the slow wave is rapidly absorbed, and the corresponding power is distributed between

the different species of particles in proportion to the antihermitean part of the dielectric tensor. As long as the wave resonance is well separated from cyclotron resonances, therefore, most of this power goes to the electrons. As k<sub>n</sub> increases, ion cyclotron absorption sets in, until the wave resonance is smeared out altogether.

No attempt is made to restart ray tracing after encountering a wave resonance. In view of the limited applicability of ray-tracing to multiple transit situations, we have not considered worth-while implementing the complicated reinitialization required for this purpose (in particular, both a transmitted and a reflected ray should be followed in most cases). However the power absorbed from the transmitted or reflected wave at the nearby fundamental resonance of the minority ions (and/or first harmonic of the majority) is taken into account in the power balance, using analytic estimates given in Ref. /2/.

In some cases, particularly in plasmas with circular cross-section, almost anastygmatic focusing of the ray pencil near the centre of the plasma is observed. This does not imply a break down of the Eikonal approximation, except that in reality the diameter of the illuminated region cannot be smaller than about one wavelength. When this focalization occurs near a resonance, therefore, straight application of ray tracing leads to a sharp localization

of the power deposition which is artificial to some extent. To avoid this, it is possible to instruct the code to smear out the power deposited by each ray at resonances (both cyclotron and hybrid) over a region one wavelength in diameter, with a Gaussian profile. This smoothing also helps to reduce possible jumpiness of the calculated power deposition profiles arising from the fact that only a finite number of rays can be followed.

The limitations of the model are well known /1-2/.

In the first place, it is obviously necessary that the plasma be large and dense enough to accommodate at least a few wavelengths. In addition, the power balance is only reliable if absorption is sufficiently strong, since no account can be taken of selective eigenmode excitation. Even in the presence of such modes, however, RAYIC can give information on the wave propagation, and estimates correctly the single-transit absorption for each partial wave separately.

For the moment, no attempt has been made to couple RAYIC with the solution of the appropriate quasilinear Fokker-Planck equation /4/, and damping is evaluated for Maxwellian distribution functions. It is however possible to simulate suprathermal populations, by introducing for example two minority species with identical masses and charges, but different temperatures and concentrations. Work to complete the code in this sense is planned.

#### § 3 - The code

RAYIC has been conceived so that it can be easily used either as an independent code or as part of a larger package, e.g. a Tokamak transport code. To facilitate coupling with other codes, all input parameters for a run of RAYIC have to be specified by the user program before calling RAYIC itself, whereby complete freedom is left on how the specification is implemented. In the standard form, the "user" is a MAIN program, in which the required parameters are entered by FORTRAN statements. With this MAIN as a guideline, it should be easy to construct the interface subroutine to couple RAYIC to another code. For the same purpose, the input variablles are collected together in a few labeled COMMONs (together with the output variables) and are not altered during execution by RAYIC. In addition, the profile variables (densities, temperatures, current density) have to be specified at the points of a discrete mesh rather than as analytic functions (of course it is possible to obtain these discrete representation from analytic expressions, as it is done in the standard version). The continuous and smooth profiles required for ray integrations are produced within RAYIC by cubic spline interpolation. In practically all cases, moreover, spline interpolation runs faster than direct evaluation from analytic expressions.

RAYIC is divided into three essentially independent parts, namely the ray-tracing package proper, including the treatment of singular layers, and two auxiliary packages, dealing with the antenna and with the graphical output, respectively. In this way, it should be easy to modify the antenna model, if required. Adapting the code to the plotting software available at different computer installations should also be reasonably easy.

The modular structure of RAYIC also allows adapting the code to other frequency ranges. Thus a complete version for Lower Hybrid waves (RAYLH) and a simpler version (circular plasma cross-section only) for electron cyclotron frequencies are available.

RAYIC is written in standard FORTRAN, compatible with both the H and 77 versions. It is about 9500 lines in length, including comments, and has 34 subroutines and 7 functions (plus 3 user-defined functions, cf. Section 4). It uses about 1100 kbyites memory when running on a CRAY1 computer. No auxiliary storage (disk, magnetic tapes) is required.

Always on a CRAY1, the CPU time required by a typical run (11 values of  $n_{\varphi}$ , 8 rays for each  $n_{\varphi}$ ) is about 30 sec. It decreases to 5 to 10 secs for a run exploring only one value of  $n_{\varphi}$ , but with a substantial amount of graphical output. Included in these times is the evaluation of the antenna.

The predictor-corrector subroutine which integrates the ray equations chooses the step to keep accuracy (relative) better in principle than 10<sup>-6</sup> (10<sup>-4</sup> for the power transport equation). One has further to check the accumulated error after a relatively long integration; this is done by comparing the dispersion polynominal H, Eq. (2), to its largest term:

$$\varepsilon = \left| H / \operatorname{Kax} \left( A n_{\perp}^4, B n_{\perp}^2, C \right) \right|$$
 (7)

 $\epsilon$  is typically less than  $10^{-9}$  at the starting point (where  $\vec{n}$  is evaluated by a rapidly convergent Newton iteration) and usually remains well below  $10^{-5}$  throughout. It might become somewhat larger if ray tracing is pursued too close to a singular surface or a cut-off, where the Eikonal approximation breaks down anyhow.

We proceed now to a brief description of the principal subroutines of RAYIC. A complete alphabetic list of all subroutines, each with a short description, and with all calls, is given in Appendix 2.

a) Initialization. The first subroutine called by

RAYIC is normally INITAL, which evaluates the required dimensionless parameters, sets initial values

for the control and output variables, and monitors the

following tasks by calling the appropriate sub
routines:

- a) evaluation of the metric coefficients associated with the MHD equilibrium (METRIN);
- b) normalization of the profiles  $(n,T,B_{\Theta})$  and evaluation of the coefficients for spline interpolation (PROFIN, CUBSPL);
- c) establishing the position of singular layers (CYTWIN);
- d) evaluation of the antenna spectrum and radiation pattern (ANTIC)

If one of the three reference runs is required, the call to INITAL is preceded by a call to subroutine STANDP, which enters the corresponding data (cfr. Section 5). After the initialization is completed, the main parameters characterizing the run are printed out by subroutine OUTRUN, which also provides the final output at the end of the run.

b) Ray tracing is monitored by subroutine RAYPAT, whose first task is to establish the initial position, wave-vector and power transported for each ray, thereby initializing the dependent variables. RAYPAT then let the ray be advanced by successive (user-specified) increments Δσ of the independent variable, by calling the ordinary differential equation integration subroutine HPCSD. This procedure is continued until either all power is absorbed, or a failure of the Eikonal

approximation is detected (by subroutine OUTPUT, cfr. below). If this failure is due to a low-density cut-off or a cyclotron resonance layer, the appropriate reinitialization is performed (in the second case by calling subroutine CYCRES), and integration is restarted; otherwise the next ray is initialized.

HPCSD is a second-order predictor-corrector subroutine, written by Dr. O.Debarbieri. It needs two user-defined subroutines, which have to be declared as external by the user modulus. The first (here DERHIC) has to provide the rhs of the differential equations

$$\frac{dy'}{dx} = f_j(x, y_1, ..., y_N)$$
 (8)

DERHIC first evaluates the metric at the point reached by the integration (by calling COORDS); then the plasma parameters there (PROFIX, which in turn uses the cubic-spline subroutines INTERV and VALSPL), the physical components of the wavevector (WAVECT), and finally the dielectric tensor and its derivatives, field polarization and damping (DISPIC). With these elements, it evaluates the r.h.s. of the ray and power transport equations.

The second (here OUTPUT), monitors the integration for accuracy, occurrence of reflections or singularities, etc., and prints partial results when required. It also ensures that the power absorbed since the last step is distributed between the various species (by calling subroutine ABSORB) and that partial results are stored for subsequent graphical display (by calling OUTGRA).

If a failure is detected, OUTPUT calls subroutine TIHRES, which checks whether this is due to a two-ion hybrid resonance, and, if this is the case, evaluates the appropriate power balance. Note that enabling the code to recognize wave resonances was one of the major difficulties. In some very special cases (e.g. hybrid resonances involving impurity minorities with very localized density profiles) it might still happen that such an occurrence is not properly recognized. In principle, this should not happen in any physically relevant situation.

The evaluation of the field radiated by the antenna is performed by subroutine ANTIC. The field is Fourier decomposed in partial waves with space dependence exp i(n  $\varphi$   $\varphi$  + k $_{\Theta}$  y), and for each component (n  $\varphi$ , k $_{\Theta}$ ) the surface impedance of the plasma is evaluated by integrating the full wave Maxwell

equations in a cold plasma with the appropriate density profile, but neglecting curvature (slab 3-dimensional model). The integration is performed again by the predictor-corrector subroutine HPCSD, with subroutines FUANTY and OUTANT as externals. For each  $n_{\varphi}$ , all partial waves  $k_{\Theta}$  are explicitly summed to find  $E_{\Theta}(n_{\varphi},y)$ ,  $B_{Z}(n_{\varphi},y)$ , and thereby the distribution of Poynting flux on the asymptotic wavefront.

This procedure fails if a wave resonance  $n_{\parallel}^2 = \epsilon_{_{XX}}$  occurs within the near-field region. In this case ANTIC prints a message, and returns control to INITAL, which fills the lacking information with model functions:

$$P(m_{\varphi}) = C \cdot \left(\frac{\sin m_{\varphi}(w/R)}{m_{\varphi}(w/R)}\right)^{2}$$

$$P_{\psi}(m_{\varphi}, \theta) = C\left(\frac{\sinh y}{hy}\right)^{2}$$
(9)

where w and h are the width and half-height of the antenna element, respectively. This makes it possible to obtain information on the subsequent behaviour of the waves, although the power balance is obviously meaningless in this case.

d) Details on the possibilities offered by the graphical output package will be given in Section 4,e). The package consists of subroutines OUTGRA (interface with the main package), GERGRA (execution of the plots), GRAPHS (opening a new page and drawing one curve) and PLOPSI (specially devoted to displaying the MHD configuration.

It must be noted that GERGRA, GRAPHS and PLOPSI by necessity contain calls to plotting subroutines (for drawing axes, printing numbers and symbols, drawing continuous curves between arrays of points, etc.) which are installation-dependent. Normally only these calls (marked by comments) have to be modified when RAYIC is to be installed on a new computer site. In some cases, two additional calls might be necessary, to open and close the plot file respectively. It is recommended to place these calls in the user program, respectively before and after the call to RAYIC.

## § 4 - Input-output of the RAYIC code

The Input-output variables of RAYIC are collected together in a few labelled COMMONS, reproduced in Table I. In this section, we describe these variables in sufficient details to facilitate running the code. An alphabetic list of these variables with a short explanation is also given in Appendix I.

## a) The equilibrium configuration

The MHD equilibrium configuration is described parametrically in the form (1), with

$$X(\psi,\theta) = \left[\sum_{m=1}^{-M} \left(\sum_{m=1}^{N} b_{\chi}(m,n) \psi^{m}\right) \cos(m-1)\theta\right] \cos\theta$$

 $Z(\psi, \theta) = \left[ \sum_{m=1}^{M} \left( \sum_{n=1}^{N} b_{Z}(m, n) \psi^{m} \right) \cos(m-1) \theta \right] \sin \theta$ 

with M  $\leq$  5 and N  $\leq$  3. From the point of view of the user, this amounts to specify the two arrays  $b_X$  (= = BTPX(5,3)) and  $b_Z$  (= BTPZ(5,3)). Equations(10) are sufficiently general to cover most analytic approximations to the solutions of the Grad-Shafranov equation (for the case of circular or elliptic plasmas, cfr. also below). Actually, in most cases, Eqs. (10) contain much more parameters than required: the code automatically sets M and N to the lowest values really used, thus avoiding to sum lines or columns which contain only zeros.

To define the MHD equilibrium completely, one has also to specify the toroidal radius  $R_{\rm T}$  (=RTORUS), the toroidal magnetic field  $B_{\rm O}$  (=BZERO), and the  $\widehat{\mathcal{O}}$ -averaged current density profile  $J_{\mathcal{O}}(\psi)$  (=PJAVG(101)) (for the last quantity, crf. b)).

For consistency with common practice,  $R_{\rm T}$  is defined as the distance of the geometric centre of the plasma from the vertical axis, and  $B_{\rm O}$  is the magnetic field at this point. On the other hand, Eqs. (10) put the origin of X,Z at the magnetic axis; the position of the latter and the plasma radius and ellipticity are evaluated by the code using the identities

$$\alpha = \frac{1}{2} \left[ X(1,0) - X(1,\pi) \right] \tag{11}$$

$$e = \frac{Z\left(1, \frac{11}{2}\right)}{a}$$
 (12)

$$\Delta = \frac{1}{2} \left[ X(1,0) + X(1,\pi) \right] \tag{13}$$

It is possible to normalize the coefficients  $b_X$  and  $b_Z$  in two different ways. If NRMRAD = 0, they have to be given in meters. If NRMRAD = 1, they can be arbitrarily normalized (i.e. up to a common factor), and the user must specify the plasma radius a (=RPLASH), in meters.

If it is desired to enter the arrays  $b_X$  and  $b_Z$  directly, the control parameter NEQUIL has to be set equal to zero. For simple circular or elliptic cross-section, however, it is possible to shortcircuit this procedure, by putting NEQUIL = 1. One has then to specify, in addition to a and  $R_T$ , also the shift of the magnetic axis  $\Delta$  (=RSHIFT, meters) and the ellipticity e (=POLASP, dimensionless). The few non-vanishing elements of the arrays  $b_X$  and  $b_Z$  are in this case evaluated by the code.

#### b) The plasma

Up to 8 ion species are allowed (NSPEC  $\leq$  8). Each species must be characterized by its mass  $A_i$  (=ATM(8)) and charge  $Z_i$  (=AZI(8)) in atomic units. It is moreover required to specify which ion species is to be considered as reference species (MAINSP, crf. below).

The density and temperature profiles and the toroidal current density J  $_{\varphi}$  have to be specified at equidistant points of a discrete mesh in  $\psi$ :

$$\psi_{j} = (J-1) \Delta \psi \tag{14}$$

The number of points in the mesh (NPROF) should lie between 11 and 101. The required arrays are:

$$m_{e}(\psi_{j}) \Rightarrow PNE (tot) (cm^{-3})$$
 $T_{e}(\psi_{j}) \Rightarrow PTE (tot) (keV)$ 
 $m_{i}(\psi_{j}) \Rightarrow PNI (tot, 8) (cm^{-3})$ 
 $T_{i}(\psi_{j}) \Rightarrow PTI (tot, 8) (keV)$ 
 $T_{i}(\psi_{j}) \Rightarrow PJAVG(\omega_{i}) (arbitrary units)$ 

(for convenience, the mesh array  $\psi_j = PPSI(101)$  is also included in the input-output variables, but needs not be initialized by the user).

Two options are offered for the normalization of the profile functions (15). If the control integer IPROEQ is set to zero, all tables (except for the density of the reference ion species MAINSP and  $J_{\boldsymbol{Q}}$  ) have to be separately specified in physical units. In this case, the code ensures charge neutrality by evaluating the density profile of the reference species. Alternatively, if IPROEQ = 1, only the density and temperature profiles of the electrons, and the temperature profile of the reference ion species need to be specified, and can be arbitrarily normalized. In this case, one has to enter also the central values of  $n_{\alpha}(0)$  (=DENC),  $T_{\rho}$ (o) (=TEMPEC) and  $T_{i}$ (o) (=TEMPIC(8)), as well as the central concentrations  $v_i = n_i(0)/n_e(0)$ (=ACONC(8)). In this case, ion density profiles are taken to be proportional to the electron density profile, and ion temperature profiles are taken proportional to the temperature profile of the reference species. Charge neutrality is ensured by reevaluating the concentration of the reference species. When several species are present, taking IPROEQ = 1 makes the code run somewhat faster. Because of the role of the reference species in ensuring charge neutrality, it is recommended to choose this species to coincide with the "majority" species. For convenience, the values  $n_{\bf i}$  (0) (=DENIC(8)) are also included in the input-output commons, but need not be specified by the user.

The current density profile  $J_{\varphi}(\psi)$  can always be entered in arbitrary units. It is therefore required to specify the total toroidal current  $I_{Q}$  (=TCURR, Ampères).

## c) The waves\_

The h.f. waves are characterized by the applied frequency f (=FREQCY, hz), the total power coupled  $P_{O}$  (=POWER, MW) and by the geometric and electric characteristics of the antenna.

The frequency f can be entered in two ways. If the control integer IRESP is set equal to zero, f has to be given in hz. If IRESP is a positive integer, on the other hand, the frequency will be chosen by the code so that ion species IRESP has the (NHARH-1)-cyclotron

harmonic at a distance  $X_{\mbox{Res}}$  (=XRES) from the geometric centre of the device.

 $P_{O}$  (=POWER) is the total power launched by the antenna, in MW. When running RAYIC alone, i.e. not coupled e.g. with a transport code, it is advisable to choose  $P_{O}$  = 1 MW, and to interpret the results as normalized "per MW coupled".

For the evaluation of the power spectrum in n  $_{\varphi}$  and of the poloidal distribution of Poynting flux, the antenna is assumed to consist of N (NANTS) elements, uniformly spaced around the torus. Each element is a rectangular strip of width w (=WIDTH) in the toroidal direction, and length  $2h_a$  (h = HEIGHT) in the poloidal direction; it is mounted with its centre at an angle 0=0 (=THANTN, deg; e.g. 0 = 0 for antennas centered at the outer equatorial position). The distance from the plasma 0 (=DISTAP) and from the wall 0 (=DISTAW) have also to be specified (all dimensions in meters). As already mentioned, the position of the Faraday shield is assumed to coincide with the plane of the strip. Different elements can be excited with a phase difference 0 (=DPHASE, degs.)

The current distribution in each element of the antenna is assumed to have the form (the same in all elements)

$$J_{y}(y,z) = Cf(y) \cdot g(z) \tag{16}$$

and the user is required to provide the complex Fourier transforms of f(y) and g(z) according to the definitions

$$\widehat{f}(ny) = \int_{h_{\alpha}}^{h_{\alpha}} dy f(y) e^{iny} \frac{\omega}{c} y$$

$$\widetilde{g}(n_{\beta}) = \int_{-w_{\alpha}/2}^{+w_{\alpha}/2} dz g(z) e^{in_{\beta}} \frac{z}{RT}$$
(17)

(we recall that y is in the poloidal, z in the toroidal direction). The format of these function is described in Subsection f. The constant C is <u>not</u> required, since the radiated spectrum is normalized to POWER.

Note that for  $\Delta \phi = 0^{\circ}$  or  $\Delta \phi = 180^{\circ}$  symmetry imposes strict selection rules on  $n_{\varphi}$ : thus for  $\Delta \phi = 0^{\circ}$  only partial waves with  $n_{\varphi} = 0$ ,  $\pm N_a$ ,  $\pm 2 N_a$ , etc., for  $\Delta \phi = 180^{\circ}$  only partial waves with  $n_{\varphi} = \pm N_a/2$ ,  $\pm 3 N_a/2$ , etc. are excited. Since  $w_a << c/f$ , moreover, the  $n_{\varphi}$  spectrum is rather broad, and hardly affected by  $\Delta \phi$ . To get rapidly an idea of the spectrum, it is possible to stop RAYIC just after the evaluation of the antenna, by setting JOUTA = -1. Detailed information on the coupling is then printed. The other options for JOUTA are JOUTA = 1, in which case the same antenna information is printed, and then RAYIC proceeds to ray tracing, and JOUTA = 0, in which case only a short summary of the antenna parameters is printed.

It is not always useful to follow all partial waves, particularly when the above selection rules apply. Thus RAYIC can be instructed to follow only partial waves with n  $\varphi$  given by

$$m_{\phi} = m_{\phi 1} + (J - 1) \Delta n_{\phi} \quad J = 1, ... N_{\phi} \quad (18)$$

where  $n_{\varphi,1}$  (=NPHI1),  $\Delta n_{\varphi}$  (=JUMPHI) and  $N_{\varphi}$  (=NUMPHI) have to be specified by the user. If  $\Delta \phi = 0^{\circ}$  or  $180^{\circ}$  and  $\mathcal{N}_{a} = 0^{\circ}$  or  $180^{\circ}$ , the symmetry  $(m_{\varphi}, \mathcal{N}) \longleftrightarrow (-m_{\varphi}, -\mathcal{N})$  allows to consider only positive values of  $n_{\varphi}$ . The necessary renormalization when  $\Delta \phi = 0^{\circ}$  is automatically carried out by the code. It is also necessary to specify the number of rays to be followed for each  $n_{\varphi}$  (NTHIN). NUMPHI cannot exceed 51 and NTHIN cannot exceed 11; typical choices are NUMPHI = 11 with JUMPHI = 4, and NTHIN = 8.

Finally, one must specify the maximum number of reflections allowed to each ray (MAXREF), and whether smoothing of the power deposition is required (ISMOOT = 1) or not (ISMOOT = 0).

#### d) Control of the output

Several options are offered for the printed output: assigning to the integer IPRINT values from 0 to 3 increases the amount of information printed. The normal

choice is IPRINT = 1. In this case, all the globel parameters (MHD configuration, central densities, temperatures and concentrations, frequency, antenna dimensions; location of resonances and cut-offs in the equatorial plane) are first printed. If NUMPHI = 1, detailed information on each ray is printed at intervals DEXKPR of the independent variable  $\sigma$  (actually DEYKPR =  $\Delta\sigma/2\pi$ , i.e. intervals are measured in wavelengths), namely, in successive lines:

- a)  $\sigma/2\pi$ ,  $\dot{\psi}$ ,  $\dot{\vartheta}$ , X, Z, the power transported
- b) n<sub>"</sub>, n<sub>1</sub>, n<sub>4</sub>, n<sub>χ</sub>, n<sub>χ</sub>
- c)  $\omega/k_{"}v_{the}$ , the power deposited in the electron since the last step (only if  $|\omega/k_{"}v_{the}| \le 5$ )
- d)  $(\omega n\Omega) \mid k_{"thi} \mid$  and the power deposited in the ion species i since the last step (only if  $\mid \omega n\Omega \rangle / k_{"}v_{thi} \le 5$  for n=1 or 2).

If NUMPHI > 1 this information is given only at the initial and final position for each ray. In all cases, moreover, a message is printed at particular occurrences (resonances, reflections, etc.) At hybrid resonances moreover the optical thicknesses  $\eta_1, \eta_2$  (Ref. /2/ Eqs. (67)), the reflection, transmission and absorption coefficients, and the power deposited in each species are given (coupling to slow or acoustic waves is included in the absorption coefficient).

Finally the power balance is displayed: first the power deposition profiles (W/cm³, versus  $\psi$ ); then the balance for each partial wave n $_{\varphi}$  (only if NUMPHI > 1), finally the global balance.

If IPRINT = 0, all information on ray-tracing is skipped, and only the run parameters and the power balance are printed.

With IPRINT = 2 one obtains, in addition to the global run parameters, the output of the matrices  $b_X$  and  $b_Z$ , and the density, temperature and poloidal magnetic field profiles versus  $\psi$ ; moreover information on rays is supplemented by elements of the dielectric tensor, polarizations and accuracy.

Finally when IPRINT = 3, all details are printed each time HPCSD has completed one integration step. This choice is included for testing, but should be used only exceptionally, since it gives rise to extremely long outputs.

The graphical output is executed if the integer IGRAPH is set equal to unity. It consists of up to 18 plots, as follows. A first page presents a summary of the run parameters. Then, if the integer IPLOPR is set equal to 1, normalized profiles of  $n_e(\psi)$ ,  $T_e(\psi)$ ,  $T_i(\psi)$  for i = MAINSP, and of the safety factor  $q(\psi)$  (MHD definition) are given.

If NUMPHI = 1, it follows a plot of the rays and wavefronts in the meridian cross-section, and up to 14 plots of various quantities along the rays. The user chooses which of these plots are executed by setting equal to unity the appropriate entry of the two-index array IPLOXY(I,J),  $1 \le I \le 2$ ,  $1 \le J \le 7$ , according to the following table:

first	index	I =	1	abscissa	is	O/27
			2			4
	*					o di Santa di Tanana
second	index	J =	1 - (eq.	ordinate	is	n_
			2			n <sub>II</sub>
			3			<sup>n</sup> ψ
			4			$^{\mathrm{m}}\Theta$
			5			$n_{X}$
			6			$^{\rm n}{_{ m Z}}$
			7	Pov	ver	transported.

Thus IPLOXY(2,1) = 1 causes the display of  $n_{\perp}(\psi)$ , IPLOXY(7,2) = 1 the display of the transported power versus phase, etc.

If NUMPHI > 1, the amount of output (and storage memory) for these plots would become too large; thus it is not possible to obtain detailed plots in this case. The suggested procedure is to run a complete case (scanning  $n_{\varphi}$ ) first, to obtain the power balance; then, if it appears interesting, to run a few typical values of  $n_{\varphi}$  separately, to visualize ray

behaviour. Actually all quantities except power deposition depend only weakly on n  $_{\wp}$  .

The last graph (executed also if NUMPHI > 1) is a plot of the power deposition profiles (versus  $\psi$ ) for electrons and ions.

A set of such plots for a single partial wave is presented in Figs. 2 to 19.

## e) Output variables

The principal output variables from RAYIC are the power deposition profiles  $W_e(\psi)$  (= PWE(101)) and  $W_i(\psi)$  (= PWI(101,8)). They represent averages over each magnetic surface, and are given in  $W/cm^{-3}$ . For convenience in subsequent use of these arrays, they are collected in a separate COMMON, labelled /OUTPIC/.

In addition, the following quantities have been included among the input-output COMMON variables, since they can be useful to understand the details of the energy balance:

The array of values  $n_{\varphi}(J)$  (Eq. (10); =NPHI(51)); for each  $n_{\varphi}$ , the power coupled  $P(n_{\varphi})$  (normalized to POWER taking into account symmetry of the spectrum if required; =PWCPL(51)) and the power still not absorbed at the end point of all rays (=PWTRA(51)).

The angular position of the starting points of the NTHIN rays (= THSTRT(11)) and the fraction of power flux attributed to each ray (normalized separately to unity for each value of  $n_{\phi}$ ). Of course all these values are evaluated by RAYIC, and need not to be initialized by the user.

## f) User-defined functions

The user is requested to provide three functions, to specify the shape of the initial wavefront and the current distribution in the antenna elements.

f1) FUNCTION PSIWFR(THETA, DPSDTH) must define the shape of the first wavefront,

$$\psi = \psi_{w}(\vartheta) \tag{19}$$

( $\psi_{w} \Rightarrow PSIWFR$ ;  $\vartheta \Rightarrow THETA$ , in radiants). Moreover, to enable RAYIC to evaluate the direction of  $\vec{k}$  at the starting point of each ray, the derivative  $\partial \psi_{w}/\partial \theta$  (= DPSDTH) is also required).

Strictly speaking,  $\psi_w(\vartheta)$  should follow from the evaluation of the asymptotic field of the antenna (and should in principle be a different function for each value of n<sub>(\*)</sub>). However, the antenna model neglects the curvature of the real geometry. What is more im-

portant, there is always some ambiguity in the definition of a wavefront from an "exact" field distribution. This ambiguity is irrelevant within the Eikonal approximation, but becomes in principle unavoidable as soon as one tries to use shaping of  $\psi_w(\theta)$  to simulate diffraction effects. For this reason, and unless there are documented grounds for a different choice, it is recommended to put simply

$$\psi_{\omega}(\vartheta) \equiv \psi_{0} \qquad \frac{\partial \psi_{\omega}}{\partial \vartheta} = 0 \qquad (20)$$

The complex functions FUNCTION CFUNJY(ZNY) and FUNCTION CFUNJZ(NPH) must define the complex Fourier transforms of the current distribution in each antenna elements, according to the definitions (8) and (9) above. The arguments are  $n_y$  (= ZNY,real) and  $n_y$  (= NPH,integer).

## § 5 - Test runs

Three test runs are available, and can be obtained by setting the integer JSTAND equal to 1, 2 or 3 respectively. The parameters for these runs are entered through the subroutine STANDP, and override any other parameter entered by the user.

The three runs have in common the JET-like equilibrium MHD configuration of Figs. 1 and  $\bf 3$ , and the density and temperature profiles of Fig.  $\bf 9$ ; moreover, they share the following set of parameters:

BZERO	=	3.45 T	RTORUS	=	3 m
RPLASM	=	1.2 m	TCURR	=	5·10 <sup>6</sup> A
DENC	=	5·10 <sup>13</sup>	TEMPEC	=	1.7 keV
NANTS	= "	4	DPHASE	=	0
THANTN	=	0.	WIDTH	=	O.4 m
HEIGHT	=	O.8 m	DISTAP	=	0.05 m
DISTAW	=	O.1 m	POWER	=	1 MW
NEQUIL	=	0	IRESP	=	0
MAXREF	=	_1	ISMOOT	=	1
NUMPHI	=	1	NPHI1	=	0
JUMPHI	=	4	NTHIN	=	8
IPRINT	=	1	CIGRAPH	=	1
IPLOPR	=	1	JOUTA	=	0
IPROEQ	=	1	NPROF	=	41

a) JSTAND =  $1 - 2 \% He_3^{++}$  in a  $H^+$  plasma. The other parameters are as follows:

NSPEC	=	2	MAINSP	= ;	1
ATM (1)	= 1	1	AZI(1)	=	1
ATM(2)	=	3	AZI(2)	=	2
ACONC (2)	=	0.02	TEMP C(1	) =	1.7 keV
TEMPIC (2	2) =	5.1 keV	FREQCY	=	35·10 <sup>6</sup> Hz

The energy balance is as follows:

Power coupled

1.000 MW

Absorbed

O.4451 MW

by the electrons 0.07834 MW

by He<sub>3</sub><sup>++</sup> ions

O.3667 MW

The deposition profiles are given in Fig. 20, and the power balance as a function of n  $_{\wp}$  in Fig. 21 (only n  $\geq$  0 are shown) .

b) JSTAND = 2 - pure  $D^+$  plasma,  $\omega = 2\Omega_D$ .

The other parameters are as follows

NSPEC = 1

MAINSP

ATM(1) = 2

AZI(1) = 1

TEMPIC(1) = 1.7 keV FREQCY =  $50 \cdot 10^6$  Hz.

The power balance is

Power coupled 1 MW

Absorbed

O.2714 MW

by the electrons 0.08764 MW

by D<sup>+</sup> ions

O.1837 MW

The deposition profiles are given in Fig. 22, and the power balance as a function of n & in Fig. 23.

c) JSTAND =  $3 - 5 \% H^+$  in a  $D^+$  plasma.

The other parameters are:

NSPEC = 2 MAINSP = 1

ATM(1) = 2 AZI(1) = 1

 $ATM(2) = 1 \qquad AZI(1) = 1$ 

ACONC(2) = 0.05 TEMPIC(1) = 1.7 keV

TEMPIC(2) = 5.1 keV FREQCY =  $50 \cdot 10^6 \text{ Hz}$ 

The power balance is

Power coupled 1 MW

Absorbed 0.7311 MW

by the electrons 0.1694 MW

by D<sup>+</sup> ions 0.02098 MW

by H<sup>+</sup> ions 0.5397 MW.

The deposition profiles are given in Fig. 24, and the power balance as a function of n  $\varphi$  in Fig. 25.

#### Acknowledgements

We are indebted to Dr. M.Ottaviani for its invaluable collaboration on the physics of wave resonances /2/, and several other contributions and discussions about the physics of IC waves. We also thank the JET HF group for constant interest in this work, and particularly Dr. P. Lallia, for many discussions and suggestions for the improvement of the code.

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# Figure Captions

- Fig. 1 Geometry of the MHD configuration and definition of the variables of Section 4,a)
- Figs. 2 to 19 Complete set of graphical output for a single partial wave:
  - 1 Summary of the run parameters
  - 2 Normalized profiles (n,T and safety factor)
  - 3 Wave rays and wavefronts
  - $4 n_1$  versus  $\sigma/2\pi$
  - $5 n_{\parallel} \quad \sigma/2\pi$
  - 6 n "  $\sigma/2\pi$
  - 7  $m_{\Theta}$  "  $\sigma/2\pi$
  - $8 n_X$  "  $\sigma/2\pi$
  - 9  $n_Z$  "  $\sigma/2\pi$
  - 10 Power transported versus  $\sigma/2\pi$
  - 11 n versus
  - 12 n<sub>"</sub> "
  - 13 n
  - 14 m<sub>e</sub> '
  - 15 n<sub>x</sub> '
  - 16 n<sub>Z</sub> '
  - 17 Power transported versus
  - 18 Power deposition profiles

- Fig. 20 Spectral power balance for the standard run  $n^{O}$  1
- Fig. 21 Power deposition profiles for the standard run  $n^{O}$  1
- Fig. 22 Spectral power balance for the standard run  $n^{O}$  2
- Fig. 23 Power deposition profiles for the standard run  $n^{\circ}$  2
- Fig. 24 Spectral power profiles for the standard run  $n^{\circ}$  3
- Fig. 25 Power deposition profiles for the standard run  $n^{O}$  3.

TABLE I - Input-output Commons of RAYIC

INF	JI-OUIPUI COMMON	BLOCKS FOR	RAYIC
EQUILIERIUM PAR			
COMMON /CPLD:	EZERO, POLASP, ETPX (5,3), NRMBAD, NEQUIL		RPIASE, TCUBE, RSHIPT, BTPZ (5, 3), JSTAND,
PLASMA PARAMETE	RS	in the time the time the time the time the time the time time time time time time time tim	
CCMMON /CFIF.	AR/ DENC, AIM(8), DENIC(8), ACONC(8), MAINSE		TEMPEC, AZI(8), TEMPIC(8), NSPEC,
PROFILE TABLES			
CCMMON /CPFC	PPSI (101), PNF (101), FNI (101,8), NPROF,		PJAVG(101), PIE(101), PTI(101,8), IPRCEQ
FREQUENCY AND I	NITIAL VALUES		
CCMMON /CPOW + + + + + + +	PREQCY, FCHEE, PWCPL (51), THSTRT (11), NPHI1, JUMPHI, IRESP, MAXREF,		XRES, POYNT (51, 11), PWIRA (51), NPHI (51), NUMPHI, NIHIN, NHARM, ISMOOT
ANTENNA PARAMET	FFS		
CCMMON /CANI	IC/ WIDTH, THANTN, DISTAP, NANTS		HEIGTH, DPHASE, DISTAW,
CCNTECL OF THE	001201		
COMMON /CPRI	IC/ DEYKPR,  JCUTA,  IPLOPR,		IPRINT, IGRAPH, IPLCXY (2,7)
OUTPUT TABLES F	FOM RAYIC		
COMMON /OUTP	IC/ PWF (101),	•	PWI (101,8)

\*\*\*\*\*\*\*\*\*\*\*\*

APPENDIX I - ALPHABETIC INDEX OF THE INPUI-COTPUT VARIABLES OF THE RAYIC CCDE.

\*

- (IN) = INPUT quantity normally to be explicitly entered by the USER before calling "SUBROUTINE EAVIC" (ignored in some cases, as indicated)
- (--) = INPUT quantity normally entered by an indirect procedure, as indicated; can be explicitly entered by the user only at an entry point within SUBROUTINE "RAYIC", or an interior level SUPROUTINE
- (OU) = OUIPUI quantity
- (S) = Scalar quantity
- (V1) = Vector quantity, 1 index
- (V2) = Vector quantity, 2 indexes

\*

- (V1) ACCNC (IN) CONCENTRATION OF ION SPECIES (NI/NE)
  INDEX FECH 1 TO NSPEC
  REQUIRED ONLY IF IPROEQ=1
- (V1) ATM (IN) ATOMIC MASS OF IONS (ATOMIC UNITS)
  INDEX FECH 1 TO MAK (NSPEC, IRESP)
- (V1) AZI (IN) CHARGE CF IONS (ATOMIC UNITS)
  INDEX FROM 1 TO MAX (NSPEC, IRESP)
- (S) BZERO (IN) TOROIDAL MAGNETIC FIELD ON AXIS, TESLA
- (V2) BTPX (IN) COEFFICIENTS OF THE REPRESENTATION OF THE FUNCTION X (PSI, THETA) (IGNORED IF NEQUIL=1) FIRST INDEX FROM 1 TO 5 THETA HARBONIC SECND INDEX FROM 1 TO 3 PSI POWER
- (V2) BIPZ (IN) CCEFFICIENTS OF THE REPRESENTATION OF THE FUNCTION Z (PSI, THETA) (IGNORED IF NECULI=1) FIRST INDEX FROM 1 TO 5 THETA HARMONIC SECND INDEX FROM 1 TO 3 PSI FOWEF
- (S) DENC (--) CENTRAL ELECTRON DENSITY (CM-3)
  [NCRMALLY EVALUATED FROM PNE (1)]
- (V1) DENIC (--) CENTRAL DENSITY OF ICN SPECIES (CM-3)
  INCEX FROM 1 TO NSPEC
  EVALUATED FROM ACONC & DENC IF IFFCEC=1
  FROM PNI(1,1) IF IPRCEQ=0
- (S) DEYKPR (IN) STEP OF THE PHASE (IN WAVELENGTHS) BETWEEN FARTIAL PRINTCUTS
  IGNORED IF "NUMPHI-GT-1"
- (S) DISTAP (IN) DISTANCE ANTENNA-PLASMA (METERS)
  IGNOBED IF "NANTS-EQ-0"
- (S) DISTAW (IN) DISTANCE ANTENNA-WALL (METERS)
  IGNORED IF "NANTS\_EQ\_O"

- (S) DPHASE (IN) PHASE DIFFERENCE IN THE EXCITATION OF ADIACENT ANIENNA ELEMENTS (DEGS)
  IGNORED IF "NANTS.EC.O"
- (S) FREQCY (IN) FREQUENCY (HZ)
  (IGNORED IF "IRESP. NE.O", SEE BEICW)
- (S) HEIGTH (IN) HALF-HEIGTH OF THE ANTENNA ELEMENT IN THE FOLOIDAL DIRECTICN (METERS)
  IGNORED IF "NANTS.EC.O"
- (S) IGRAPH (IN) CONTROL OF THE GRAPHICAL OUTPUT

  IGRAPH = 1 GRAPHS MADE

  IGRAPH = 0 GRAPHS NOT MADE

  "IGRAPH" IS PUT EQUAL TO ZERO IF "NUMPHI-GI-1"
- (S) IPLOPR (IN) CONTRCL OF THE PLOTS OF THE PROFILES

  IPLOPR = 1 PROFILES (NE, TE, TI, C) PICTIFE

  IFLOFF = 0 PROFILES NOT PLOTTED
- (V2) IPLOXY (IN) IIST CF THE PLOTS TO BE MADE
  IPLOXY (NXV, NYV) DIFFERENT FROM ZERC
  PRODUCES A PLOT WITH VARIABLE NXV AS
  ABSCISSA AND VARIABLE NYV AS CRDINATE,
  ACCORDING TO THE FOLLOWING TABLE:

NXV=1 INDEP. VAR. SEIKON (PHASE IN THE POLCIDAL FLAKE)

2 PSI (MAGNETIC SURFACES LAPPL)

NYV=1 DEP. VAR. SKP

SKPAR

SKF

SKF

SKF

4 SKTH (M-THETA)

5 SKX 6 SKZ 7 SECWER

- (S) IPRINT (IN) CONTROL OF THE OUTPUT DETAILS

  IPRINT = -1 OUTFUT SUPPRESSED

  IPRINT = 0 OUTPUT REDUCED

  IPFINT = 1 NORMAL CUTPUT

  IPRINT = 2 DETAILED OUTPUT

  IPRINT = 3 OUTPUT AT EACH CALL OF SUBROUTINE

  "DETAILO" BY SUBROUTINE "HPCSD" (FCF CHECKS)

  IN THIS CASE NO GRAPHS ARE MADE.
- (S) IPROEQ (IN) CCNTRCL CF THE ICN PEOFILE CHCICF

  IPROEQ = 0 SEPARATE PROFILES FCR EACH SPECIES

  IPROEQ = 1 SAME PROFILES FCR ALL SPECIES

  (THIS CHOICE SAVES CONSIDERABLE COMPUTE TIME)

  IF IPFOEQ = 1 SPECIFY TEMPIC(I) & ACONC(I)
- (S) IRESP (IN) CCNTRCL OF THE FREQUENCY CHCICE

  IRESP = 0 THE FREQUENCY IS GIVEN.

  IRESP > 0 THE FREQUENCY IS EVALUATED SO THAT

  FOR SPECIES "IRESP" THE "NHARM" IC HARMCKIC

  CCCURS AT POSITION "XRES"

  IF "IRESP.NE.O" SPECIFY "NHARM" AND "XRES"
- (S) ISMOOT (IN) CONTROL OF THE POWER DEPOSITION SMCCTHING

ISMOOT = 0 - POWER DEPOSITED AT THE CLCSEST PCINT CNIY

ISMOOT = 1 - POWER DEPOSITION SMCCTED CVER

CNE WAVFLENGTB

- (S) JOUTA (IN) CCNTECL OF THE OUTPUT OF THE ANTENNA EVALUATION

  JOUTA = 0 NO DETAILS ARE PRINTED

  JCUTA = 1 DETAILS ARE PRINTED

  JOUTA = 2 ALSO PX(Y) IS PRINTED

  JOUTA =-1 RAYPAT IS ARRESTED AFTER THE

  EVALUATION OF THE ANTENNA
- (S) JSTAND (IN) IDENTIFIES REFERENCE RUNS (CFR \*USERMAN\*)

  JSTAND = 0 PLASMA PARAMETERS ENTERED BY

  TEF USER

  JSTAND = 1,2 CR 3 REFERENCE RUNS (USER

  PARAMETERS IGNORED)
- (S) JUMPHI (IN) SIEP "NPHI(L+1)-NPHI(I)"
- (S) MAINSP (IN) MAJORITY SPECIES
  (CHARGE NEUTRALITY IMPLEMENTED EVALUATING
  THE SPATIAL DENSITY PROFILE OF MAINSE)
- (S) MAXREF (IN) N OF REFLECTIONS FRE VERTICAL PLANES
  AFTER WHICH INTEGRATION IS STOPPED
- (S) NAMIS (IN) NUMBER OF ELEMENTS OF THE ANTENNA
  IN THE PRESENT VERSION THE ELEMENTS ARE SUFFOSED
  TO BE SYMMETRICALLY DISPOSED ALONG THE TORUS.
  IF "NAMIS=O" THE ANTENNA EVALUATION IS SKIPFED,
  AND THE POWER IS DISTRIBUTED AMONG THE
  "NUMPHI" MODES AND THE "NTHIN" RAYS
  WITH A STANDARD CCS SQ. LAW
- (S) NEQUIL (IN) IDENTIFIES A STANDARD EQUILIBRIUM

  NEQUIL=C METRIC COEFFS. ENTERED BY THE USER

  NEQUII=T CIRCULAR OR ELLIPTIC CECSS-SECTION

  IN THIS CASE SPECIFY "RSHIFT" AND "FOLASF"
- (S) NHARM (IN) CRDER OF HARMONIC (NHARM=1 AT THE FUNDAMENTAL FESCHANCE, ETC.)
  IGNORED IF "IRESP=0"
- (V1) NPHI (--) VALUES OF THE TOROIDAL WAVENUMBER
  INDEX FECH 1 TO NUMPHI
  IN THE PRESENT VERSION THE VALUES "NFHI" ARE
  EVALUATED AS
  "NPHI(L) = NPHI1 + (L-1)\*JUMPHI"
- (S) NPHI1 (IN) NPHI(1)
- (S) NPROF (IN) N. OF POINTS IN THE PSI-MESH 10 < NFFCF < 101
- (S) NEMRAD (IN) NCRMALIZATION OF THE TABLE BTP

  NEMRAD=0 BTP IN METERS RPLASM IGNORED

  AS INPUT, REEVALUATED AS CUIPUT

  NEMRAD=1 BTP ARBITRARILY NCRMALIZED AS

  INPUT, FENORMALIZED BY THE CODE TO RPLASM
- (S) NSPEC (IN) N. CF ICN SPECIES PRESENT IN THE PLASMA (SHOULD NOT EXCEED 8)

- (S) NIHIN (IN) N. CF RAYS IN THE PCICIDAL SECTION
- (S) NUMPHI (IN) N. OF TOROIDAL WAVENUMBERS FOR WHICH RAY TRACING IS TO BE IMPLEMENTED
- (V1) PJAVG (IN) CURRENT DENSITY AT THE MESH PCINTS (AREITE-DKITS: IS THEN NCHMALIZED TO "TCUFR") THE PRESENT CHOICE IS "PJAVG = PIF\*\*1.5" INDEX FRCE 1 TC NPECF
- (V1) PNF (IN) ELECTRON DENSITY AT THE MESH PCINTS (CM-3) INCEX FROM 1 TO NPBOF
- (V2) PNI (IN) ION DENSITY AT THE MESH POINTS (ICNS/CM3)
  FIRST INDEX FROM 1 TO NSPEC
  SECOND INDEX FROM 1 TO NSPEC
- (S) POLASP (IN) POLOIDAL ASPECT RATIO (B/A)
- (S) PCWER (IN) TOTAL POWER COUPLED (NW)
- (V2) POYNT (OU) POLOIDAL DISTRIB. OF THE POWER FLUX (EVALUATED BY SUERCUTINE PWICPL) FIRST INDEX FROM 1 TO NPHI SECOND INDEX FROM 1 TO NTHIN
- (V1) PPSI (OU) MESH VALUES OF PSI (FQUIDISTANT)
  INCEX FROM 1 TO NPROF
  IPSI (1)=0. ON THE MAGNETIC AXIS
  IPSI (NPROP) =1. AT THE PLASMA EDGE
- (V1) PTE (IN) FLECTRON TEMPERATURE AT THE MESH PCINTS (KEV)
  INDEX FECH 1 TO NPFCF
- (V2) PTI (IN) ION TEMERATURE AT THE MESH POINTS (KEV)
  FIRST INDEX FROM 1 TO NPROF
  SECCND INDEX FROM 1 TO NSPEC
- (V1) PWCPL (OU) FCWEE CCUPLED TO EACH NPHI PARTIAL WAVE (MW)
  INCEX FROM 1 TO NUMPHI
  (EVALUATED BY THE SUBROUTINE PWICPL)
- (V1) PWE (OU) FCWEE DEPOSITED IN THE ELECTRONS AT THE MESH POINTS (W/CM3)
  INDEX FECH 1 TO NPRCF
- (V2) PWI (OU) FCWEE DEPOSITED IN THE IONSS AT THE MESH POINTS (W/CH3)
  FIRST INDEX FROM 1 TO NPROF SECOND INDEX FROM 1 TO NSPEC
- (V1) PWTRA (OU) POWER NOT ABSORBED FOR EACH NPHI (NW)
- (S) RPLASM (IN) FLASMA RADIUS, METERS
  (IGNOBED IF "IRESP < 0", SEE BELOW)
- (S) RSHIFT (IN) SHIFT OF THE MAGNETIC AXIS FROM THE GEC-METRIC CENTER (METERS)
- (S) RIORUS (IN) TOROIDAL RADIUS, METERS
- (S) TCURR (IN) TOROIDAL CURRENT, AMPS

- (S) TEMPEC (--) CENTRAL ELECTRON TEMPERATURE (KEV)
  (NCREALLY EVALUATED FRCM PIE(1))
- (V1) TEMPIC (IN) CENTRAL TEMPERATURE OF ICN SPECIES (KEV)
  INDEX FROM 1 TO NSPEC
  RECUIRED CNLY IF IPRCEQ=1
  FROM PII(1,1) IF IPRCEQ=0
- (S) THANTN (IN) FOLOIDAL ANGLE POSITION OF THE CENTRE OF THE ANTENNA ELEMENT
- (V1) THSTRT (--) ANGULAR POSITION OF THE RAY STARTING PCINTS
  IN THE FRESENT VERSICN THEY ARE UNIFORMLY
  SPACED FROM "THANIN-THAPER" TO "THANIN+THAFER"
  INDEX FECM 1 TO KTHIK
- (S) XRES (IN) POSITION OF THE RESCNANCE (CM. FROM AXIS)
  IGNORED IF "IRESP=0"
- (S) WIDTH (IN) WIDTH OF THE ANTENNA ELEMENT IN THE TOFOICAL DIRECTION (METERS)
  IGNORED IF "NANTS-EQ-O"

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

User-defined functions required by the RAYIC code

## FUNCTION PSINFR (THETA, ZDPSDT)

This function specifies the equation of the initial wavefront, psi = psi(theta). The input argument is IHETA (real, poloidal angle in rad.). The return arguments are

PSIWFE = psi(theta)

(real, flux variable psi normalized between 0 on the magnetic axis and 1 at the plasma edge), and the derivative ZDPSDT (real):

ZDPSDI = d(psi)/d(theta)

The name PSIMFF cannot be altered.

#### FUNCTION CFUNJY (ZNY)

This complex function must provide the y complex Fourier transform of the current distribution along each element of the antenna, as function of the poloidal wavenumber Ny. It is not necessary to normalize the Fourier transform.

Input argument is ZNY (real), the poloidal wave index (c\*ky/omega).
The output is the Fourier component CFUNJY, which must be declared as CCMPIEX.

The evaluation of CFUNJY usually requires the parameters of of the input-output COMMONS.

## FUNCTION CFUNJZ (NPH)

This complex function must provide the z complex Fourier transform of the poloidal current distribution in each antenna element (all elements identical), as function of the toroidal wavenumber Nphi. It is not necessary to normalize the Fourier transform.

Input argument is NPH (integer), the toroidal wavenumber. The output is the complex Fourier component CFUNJZ, which must be declared as complex.

The evaluation of CFUNJZ usually requires the parameters of of the input-output COMMONS.

The code is provided with independent versions of these user-defined modules, for use with the reference runs. They are named

FUNCTION FSIWFS FUNCTION CFNJZS FUNCTION CFNJYS

respectively; they can serve as guideline to the user to provide its cwn modules.

APPENDIX II - Index of the subroutine and functions of the RAYIC cod€

\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*

ABSORB (Subr): attributes the power absorbed along the ray to the different species, and monitors incidence on ion cyclotron resonance layers.

ABSORB is called by OUTPUT, CYCRES.

ANTIC (NBREAK) (Subr): provides the RAYIC package with the necessary information about the antenna. It evaluates the power spectrum over partial waves with different toroidal wavenumber n , and the poloidal distribution of the Poynting flux on the initial wavefront for each partial wave.

The argument NBREAK (output only) is returned as 0 if

integration was succsessful, as 1 otherwise.

ANTIC is called by INITAL.

ANTIC calls COORDS, CYIC2, ZBFAC2, POYNTN, CFUNJY, CFUNJZ (or CFNJYS, CFNJZS).

CFNJYS(ZNY) (Func): provides the y (theta) complex Fourier spectre of the poloidal current distribution in the antenna, for the reference runs (JSTAND = 1, 2, or 3) as a function of the poloidal wavenumber Ny = ZNY

CFNJYS is called by ANTIC. The call is skipped if

JSTAND = 0 (spectre entered by the USER) -

CFNJZS(NPH) (Subr): provides the z (phi) complex Fourier spectre of the poloidal current distribution in the antenna, for the reference runs (JSTAND = 1, 2, or 3) as a function of the toroidal wavenumber Nphi = NPH

CFNJZS is called by ANTIC. The call is skipped if JSTAND = 0 (spectre entered by the USER).

COORDS (Subr): evaluates the cartesian coordinates X, Z, as functions of the curvilinear coordinates psi and theta, and the elements of the metric of the (psi, theta) reference frame as needed to write the ray equations.

COORDS is called by INITAL, INIWFR, DERHIC, PLCPSI, ANTIC.

CUBSPL(H,C,NPROF) (Subr): evaluates the coefficients for the cubic spline interpolation. H is the mesh step, and NPROF the number of mesh points (it must not exceed 101). C(101,4) is a two index array: as input, the first column of C must contain the mesh values of the function to be interpolated. The coefficients for the interpolation are stored in the successive three culomns of C.

CUBSPL is called by PRCFIN-

CYCRES (Subr): re-initializes the ray at a transit through an ion cyclotron resonance, and evaluates the power deposited in the resonance layer.

CYCRES is called by RAYPAT.
CYCRES calls Subr. COORDS, PROFIX, DISPIC, AESORB, OUTPUT.

CYIC2(ZNZ2) (Subr): evaluates the complex surface impedance of the plasma for plane waves of toroidal index squared ZNZ2 and varying poloidal index.

CIYC2 is called by ANTIC.

CYIC2 calls HPCSD

DERHIC(X) (Subr): provides the rhs of the ray equations needed by the predictor-corrector integrating subroutine HPCSD. The real argument X is the current value of the Eikonal function (independent variable).

DERHIC is called by RAYPAT, HPCSD.
DERHIC calls CCCRDS, PROFIX, WAVECT, DISPIC

CYTWIN (Subr): locates the position of the cyclotron resonances and two-ion hybrid resonances (for output and for use in subroutine TIHBES).

CYTWIN is called by INITAL.

DISPIC(IENTRY) (Subr): evaluates the dieletric response of the plasma:

a) the dimensionless elements of the dielectric tensor at the current position;

b) the dispersion function and its derivatives;

c) the electric field polarization and the antihermitean part of the dielectric tensor.

If IENTRY = 1 a), b) and c) are evaluated

If IENTRY = 0 c) is skipped
If IENTRY = -1 b) is skipped

DISPIC is called by INIWFR, DERHIC.

DISPIC calls ZETA

FUNNTY(X) (Sulr): provides the rhs of the differential equations for the evaluation of the surface impedance of the plasma to IC waves. The real argument X is the distance from the plasma edge.

FUANTY is called by HPCSD.

GERGRA (Subr): executes the graphical output. It is subdivided into the following sections:

ENTRY GCROSS - Plots rays and wavefronts in the poloidal cross section.

ENTRY GSCALE - evaluates the scale for the plots of the wavevector components, etc.

ENTRY GKAPPA - Executes the plots of the wavector components and of the power flux along rays.

ENTRY GTITLE - Provides the title page of the graphical output with a resumee of the run data.

ENTRY GRPROF - Plots the profiles of density, temperature, and safety factor versus psi-

ENTRY GRAPOW - Plots the profiles of power absorption.

GERGRA is called by OUIGRA-GERGRA calls GRAPHS, PLOPSI-

In addition, GERGRA calls subroutines of a Plot library of the local computer facility.

GRAPHS (Subr): executes the plot of a curve through the array of points (X(j),Y(j))-

GRAPHS is called by GERGRA.
GRAPHS calls subroutines of a Plot Library of the local
computer facility.

HPCSD (Subr): general purpose subroutine for the integration of a system of first order differential equations:

dy/dx = f(x,y)(y and f are vectors with dimension up to 10 in the present version). HPCSD uses a predictor-corrector method of fourth order. Its use is fully described in the FORTRAN listing. This subroutine has been written by Dr. 0. Debarbieri.

HPCSD is called by ANTIC, RAYPAT.
HPCSD calls FUANTY, OUTANT, DERHIC, OUTPUT.

INITAL (Subr): provides the main initialization for a run of the RAYIC program. It can be divided into the following sections:

a) check charge neutrality (density profile of the ion species MAINSP)

b) choose the frequency and normalize distances

c) enter the parameters of the MHD equilibrium

d) prepare the spline coefficients of the profiles

e) locate the ion cyclotron resonances, and the two-ion hybrid resonances and cut-offs

f) initialize the output tables

INITAL is called by RAYIC.

INITAL calls METRIN, COORDS, INVERT, CYTWIN, FROFIN, ANTIC, OUTGEA.

INIWFR (Subr): determines the normal to the initial wavefront (direction of the wavevector) and solves the dispersion relation there (modulus of the wavevector) to provide the initial values for a ray.

INIWFR is called by BAYPAT-

INIWFR calls COORDS, PROFIX, DISPIC, WAVECT.

In addition, subroutine INIWFR calls the user-defined function PSIWFR, or, if JSTAND is not zero, the code-provided function PSIWFS.

INTERV (REM, NFROF, RM, LEFT) (Subr): determines the mesh point This subroutine determines the mesh point LEFT closest LEFT closest to point RM, in the mesh array RMM. NPRCF is the number of point effectively used in the mesh.

INTERV is called by PRCPIX.

INTHRM (ISPEC, ZOPXI, ZASUM) (Subr): evaluates the integral This subroutine evaluates the integral entering the in the expression for the absorption at the first cyclotron harmonics by ion species ISPEC. ZOPXI is the factor in the argument of the Zeta-function (denominator of the integrand): ZASUM is the return value of the integral.

INTHRM is called by TIHRES.
INTHRM calls ZETA

METRIN (Subr): Normalizes the tables of coefficients of the parametric representation of the MHD equilibrium, to be used in COCRDS, WAVECT AND INVERT.

METRIN is called by INITAL.

OUTANT(X) Cutrut subroutine of the antenna package. Monitors the presence of a resonance layer in the near field region of the antenna.

OUTANT is called by HPCSD.

OUTGRA(IENTRY) (Subr): interface between the Ray-tracing package and the graphical output package:

IENTRY = 1 - initializes the plot tables to zero.

IENTRY = 2 - stores the profiles of density,

temperature, etc.
IENTRY = 3 - stores the position and the wavevector
along the rays as integration proceeds;

IENTRY = 4 - stores the power deposition profiles.
IENTRY = 5 - monitors the execution of the graphical

OUTGRA is called by INITAL, RAYPAT, OUTPUT.
CUTGRA calls GERGRA (all its entries).

OUTPUT(X) (Subr): output subroutine for the ray-tracing package. It tests for failures, reflection, absorption, and monitors storing the results for the graphical output. The real argument X is the current value of the Fikonal function (independent variable).

OUTPUT is called by HPCSD.
OUTPUT calls TIHRES, ABSORB, OUTGRA.

OUTRUN(IENTRY) (Subr): provides the printed output of the run parameters (IENTRY = 1); the printed output of the power deposition profiles (IENTRY = 2); and the output of the TIBRES subroutine (IENTRY = 3).

CUTRUN is called by RAYPAT, TIBRES.

PLOPSI (Subr): executes the plots of the plasma meridian cross-section. It draws NCOPSI equidistant magnetic surfaces (including the plasma edge) by tracing NPTHEI dots equidistant in theta. The plasma edge is drawn as a continuous curve.

PLOPSI is called by GERGRA. PLOPSI calls COORDS.

POYNTN(LPHI) (Subr): evaluates the poloidal distribution of Poyinting flux on the first wavefront for the partial wave of toroidal wavenumber LPHI.

PCYNIN is called by ANTIC.

PROFIN (SUBB): transfers the density, temperature, and poloidal/toroidal magnetic field profiles from the the input arrays to private arrays of the package RAYIC and monitors the evaluation of the coefficients for the cubic spline interpolations. It also evaluates the volume between magnetic surfaces psi and psi + dpsi, the area of the psi magnetic surface, etc., to obtain the poloidal magnetic field profile from the current density profile.

PROFIN is called by INITAL.
PROFIN calls CUBSPL, CUTGRA.

PROFIX (Subr): evaluates the values of density, temperature, rotational transform, etc., at the current position psi, theta, using the spline interpolation initialized by subroutine PROFIN.

PROFIX is called by INIWER, DERHIC. PROFIX calls INTERV, VALSPL.

PRCNES(PSI) (Func): provides the standard electron density profile for the reference runs (JSTAND = 1, 2, or 3), as a function of the flux variable PSI.

FRCNES is called by STANDP.

The call is skirred if JSTAND = 0, in which case the profiles are to be entered by the USER.

PROTES(FSI) (Func): provides the standard electron temperature profile for the reference runs (JSTAND = 1, 2, or 3), as a function of the flux variable PSI.

PROTES is called by STANDP.

The call is skipped if JSTAND = 0, in which case the profiles are to be entered by the USER.

PROTIS(PSI) (Func): provides the standard ion temperature profile for the reference runs (JSTAND = 1, 2, or 3), as a function of the flux variable PSI.

PROTIS is called by STANDP.

The call is skipped if JSTAND = 0, in which case the profiles are to be entered by the USER.

PSIWFS(THEIA, DPSDIH) (Func): provides the first wavefront psi(THEIA) (FSIWFE), and the derivative d(psi)/d(theta) (DPSDIH), for the reference runs.

PSIMPS is called by INIMPR.

The call is skipped if JSTAND = 0, in which case the USER must provide instead FUNCTION PSIWFR (THETA, DPSDIH).

RAYIC (Subr): main subroutine for the implementation of ray tracing. It is called by the USER after input of the run parameters.

RAYIC calls STANDP, INITAL, OUTRON, RAYPAT, CUTGRA. Subroutine RAYIC contains the only breaking point of the ray-tracing package.

RAYPAT (Subr): monitors the integration of the ray equations (loops over toroidal wavenumber and over initial theta), and the crossing of cyclotron resonances when required.

RAYPAT calls INIWFR, DERHIC, HPCSD, CYCRES, CUIGFA.

STANDP (Subr): provides a standard set of parameters for the reference runs, according to the following scheme:

JSTAND=1 2% HE3 minority in Hydrogen JSTAND=2 pure D, 2D harmonic heating JSTAND=3 5% H minority in Deuterium

STANDP is called by RAYIC.

The call is skipped if JSTAND = 0, in which case the run parameters are to be entered by the USER.

STANDP calls FRCNES, PROTES, PRCTIS.

TIHRES (Subr): evaluates reflection, transmission and absorption as a wavefront hits a Two-Ion Hybrid Layer.

TIHRES is called by CUTPUT.

TIHRES calls INTHRE, OUTRON.

VALSPL(H,C,I,JDERIV) (Func): returns the interpolated value VALSPL of the JDERIV-derivative (the function, if JDERIV = 0) of the mesh-function stored in the first column of the array C. I is the mesh point closest to the left, H is the distance from this point.

VALSPL is called by PROFIX.

WAVECT (Sulr): evaluates the physical components of the wavevector and its derivatives in the psi, theta frame and in the 'Stix' frame.

WAVECT is called by INIMPR, DERHIC.

ZBFACT(ZNZ2) (Subr): evaluates the form factor due to the wall-plasma 'leaking guide', appearing in the expression for the power spectrum from the antenna.

ZBFACT is called by ANTIC.

ZITA(XN, ZR, DZR, WZ) (Subr): evaluates the real part of the Plasma Dispersion Function for real argument, and its derivatives as needed by the Dispersion Function of IC waves, according to the following definitions:

XR = real argument x
ZR = Re(-x\*Z(x))
DZR = Re(-x\*x\*Z\*(x))
WZ = DZR - ZR
where Z(x) is defined as in Fried and Conte.
ZETA is called by DISPIC, INTHEM.

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according to the following definitions:

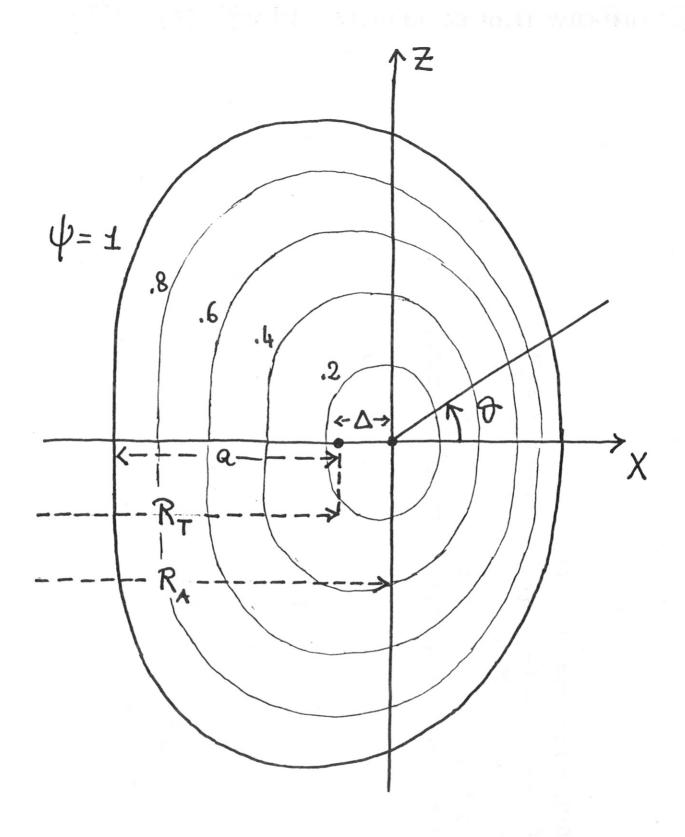
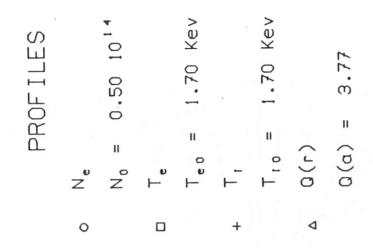


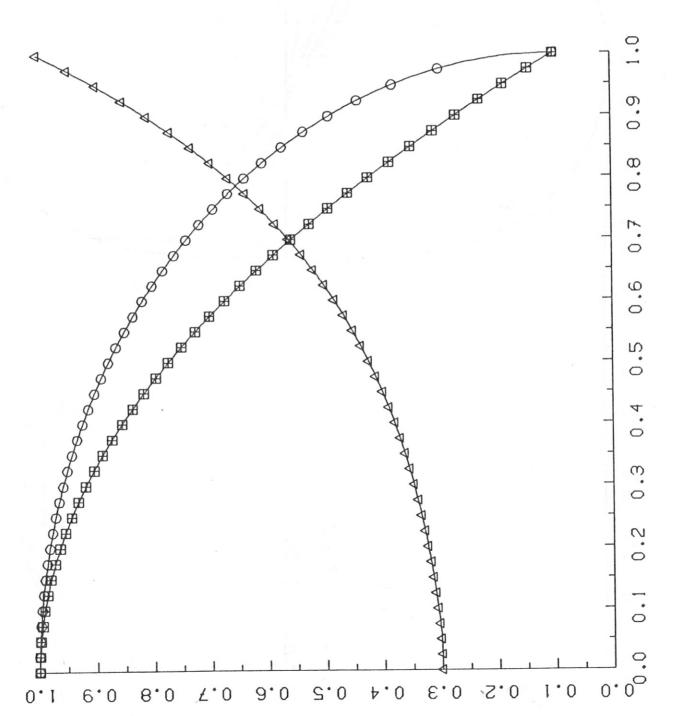
FIG. 1

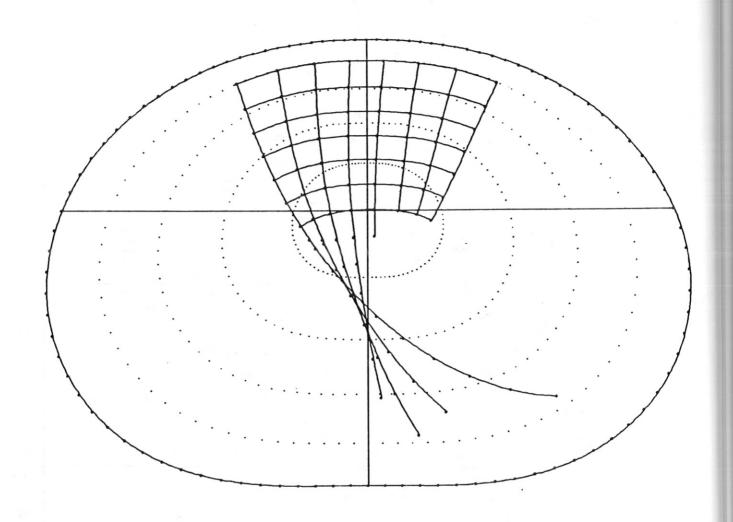
B(axis) 3.16 T, Current 5.00 Ma, Q(0) = 1.13, Q(a) = 3.77 3.00 M, Plasma Radius 1.20 M Frequency 50.00 Mhz, Power 1.00 Mw Torus Radius

Ions:, A 2.00, Z 1.00, Conc. 1.00, T(0) 1.70 KEV, Pw 0.21 Mw

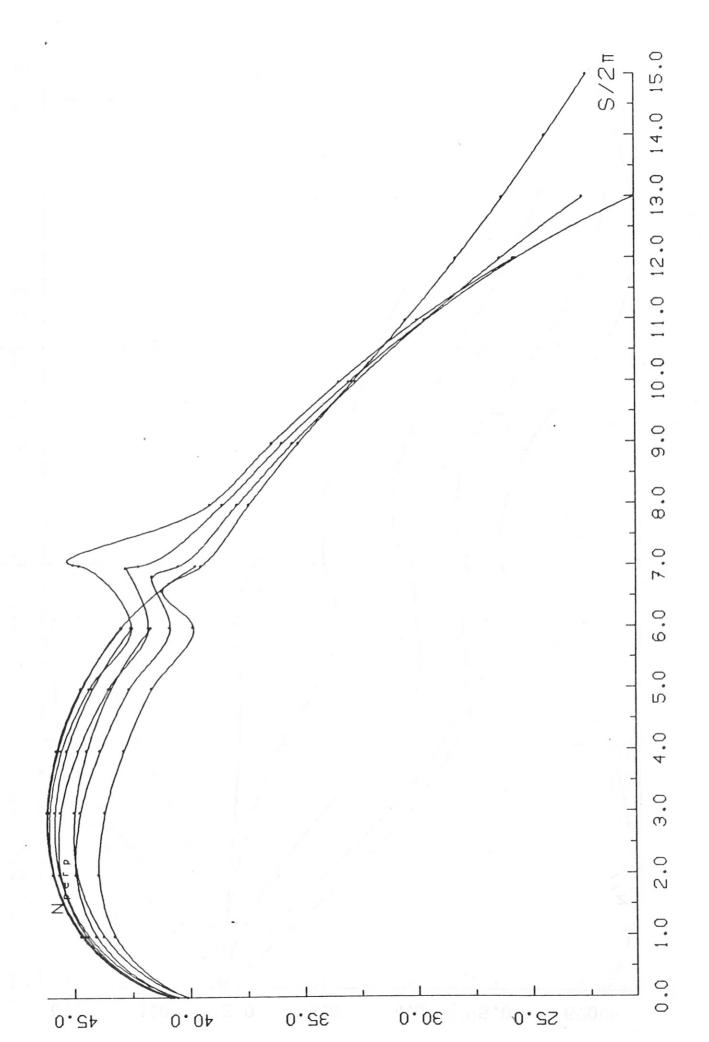
Elec: N(0) 0.50 1014 Cm-3, T(0) 1.70 KEV, Pw 0.09 Mw

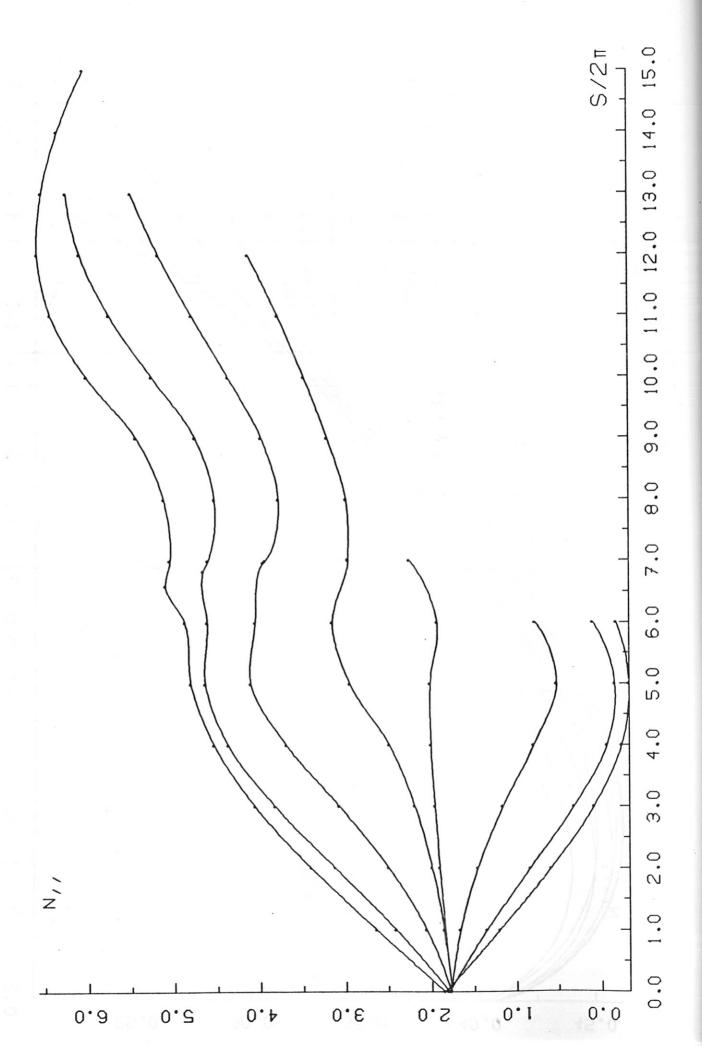


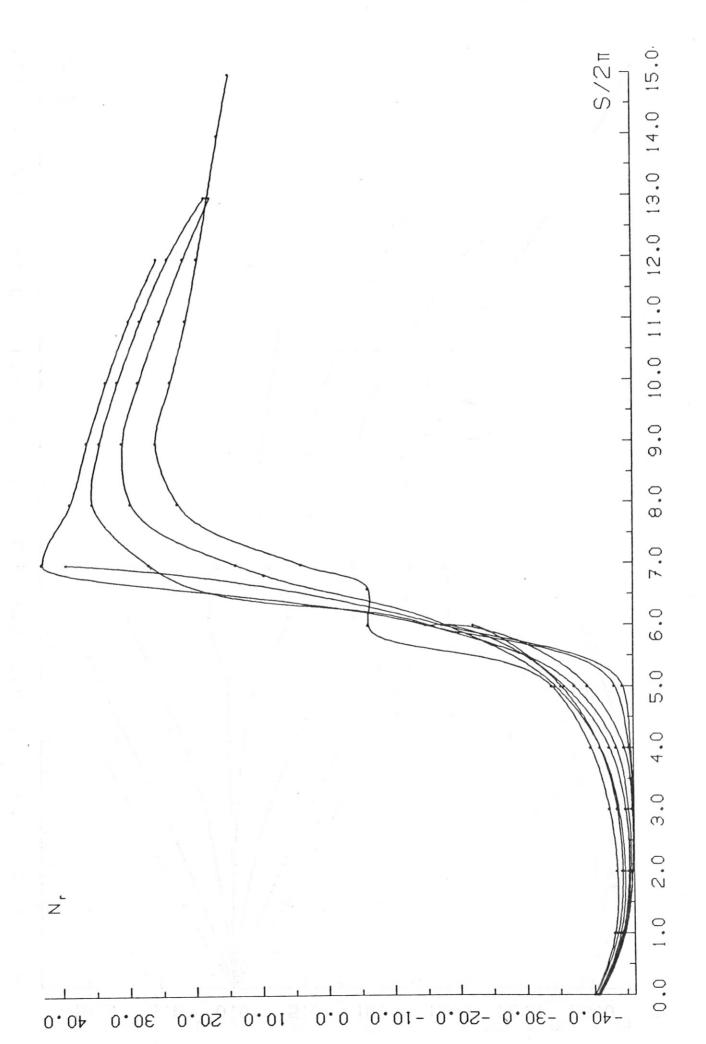


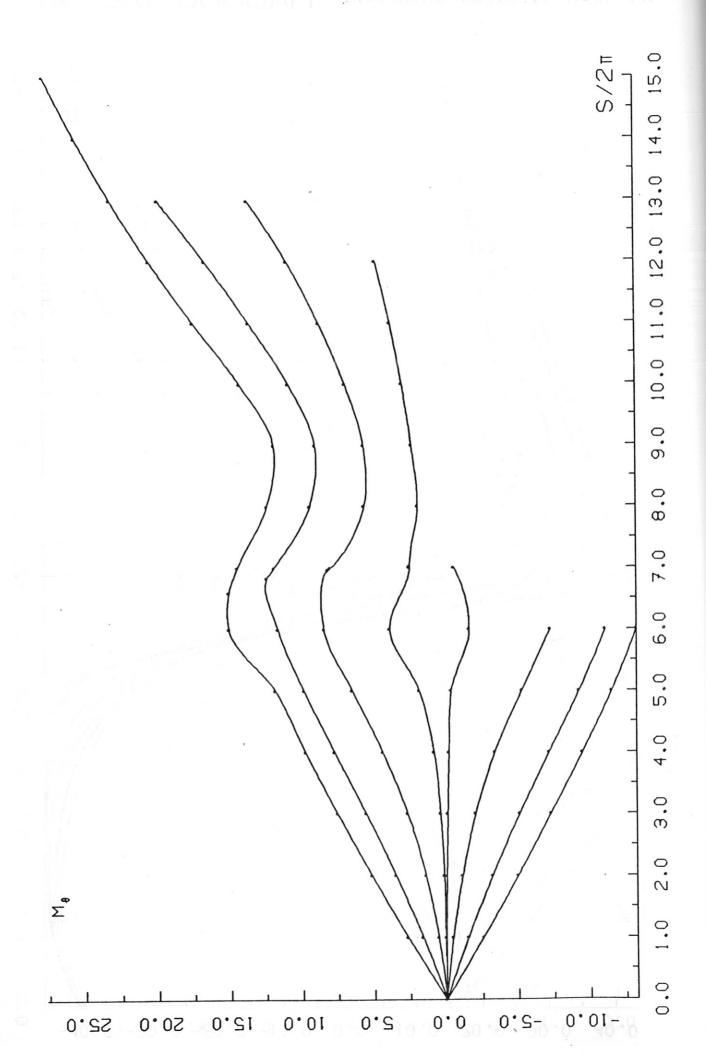


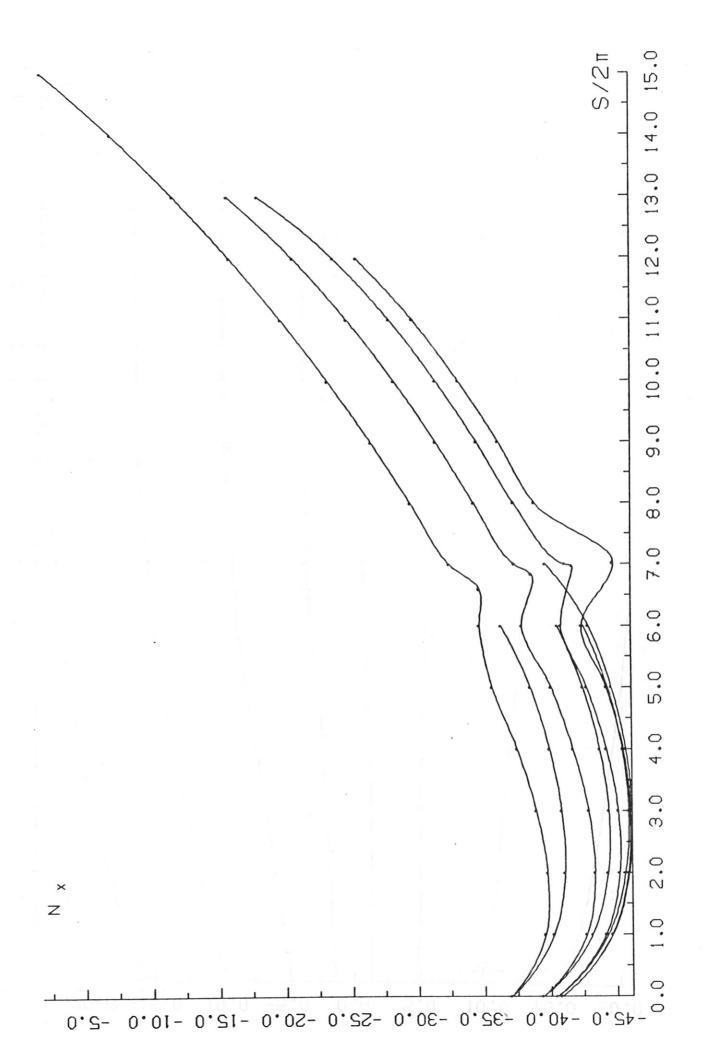
$$N_{\phi} = 8.00$$
  
 $\Delta S = 1.00$ 

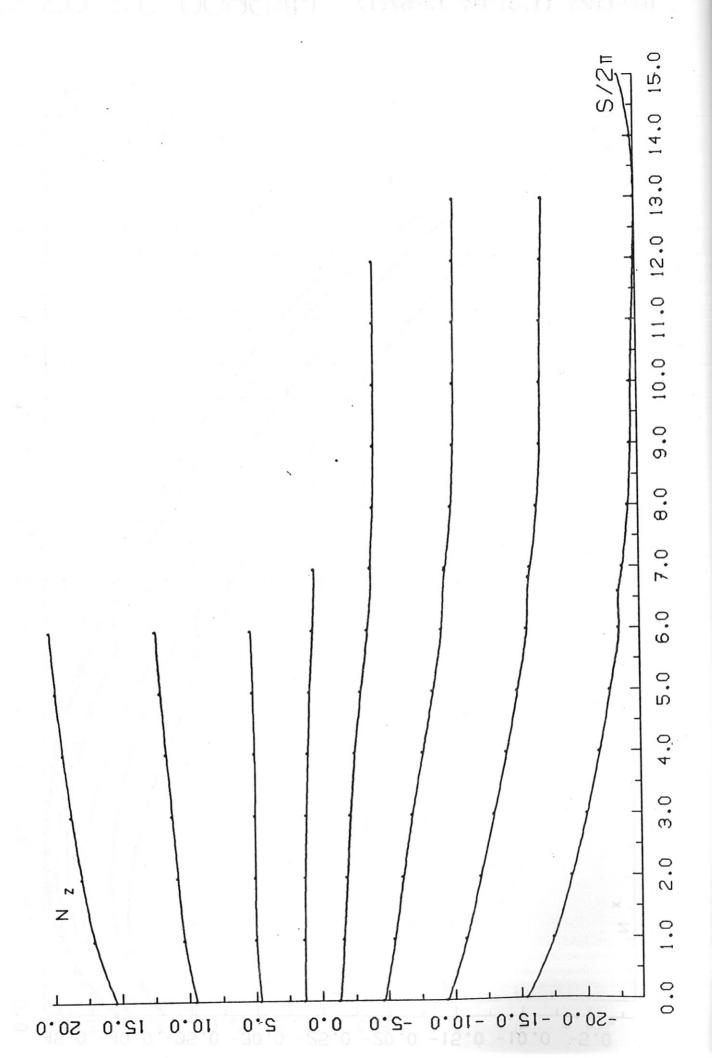


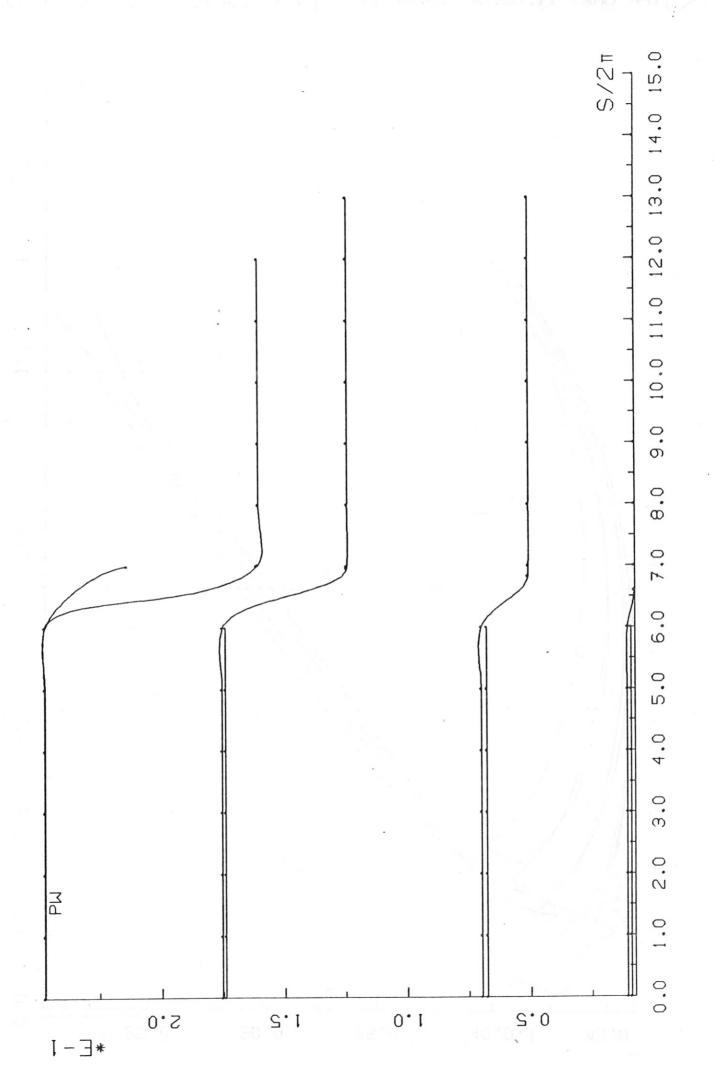


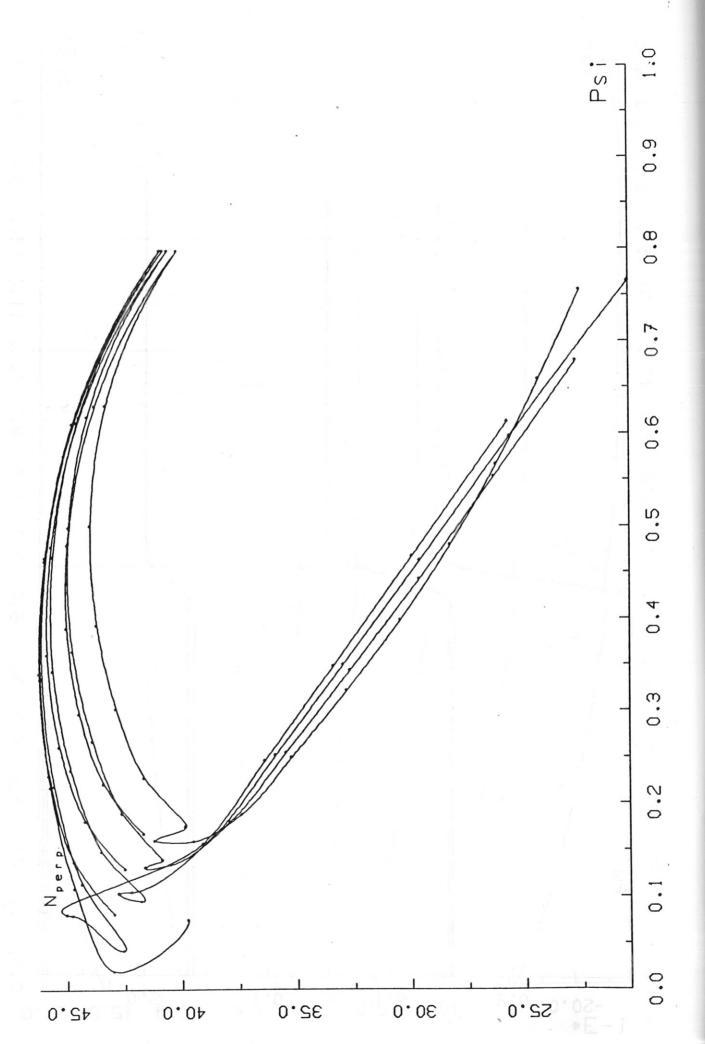


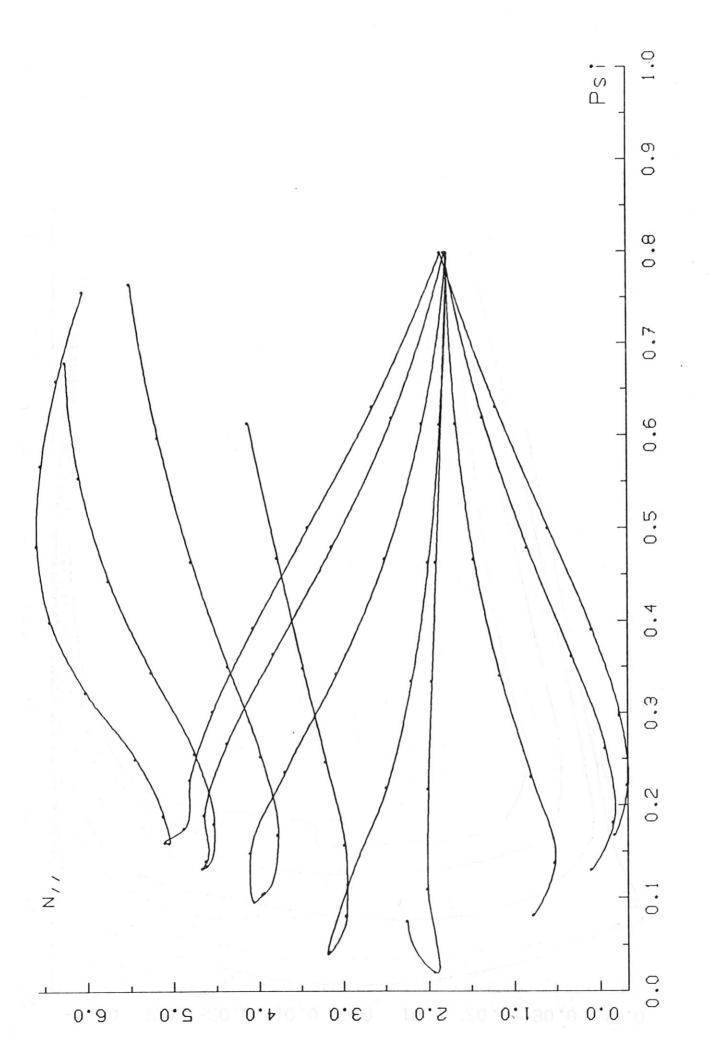


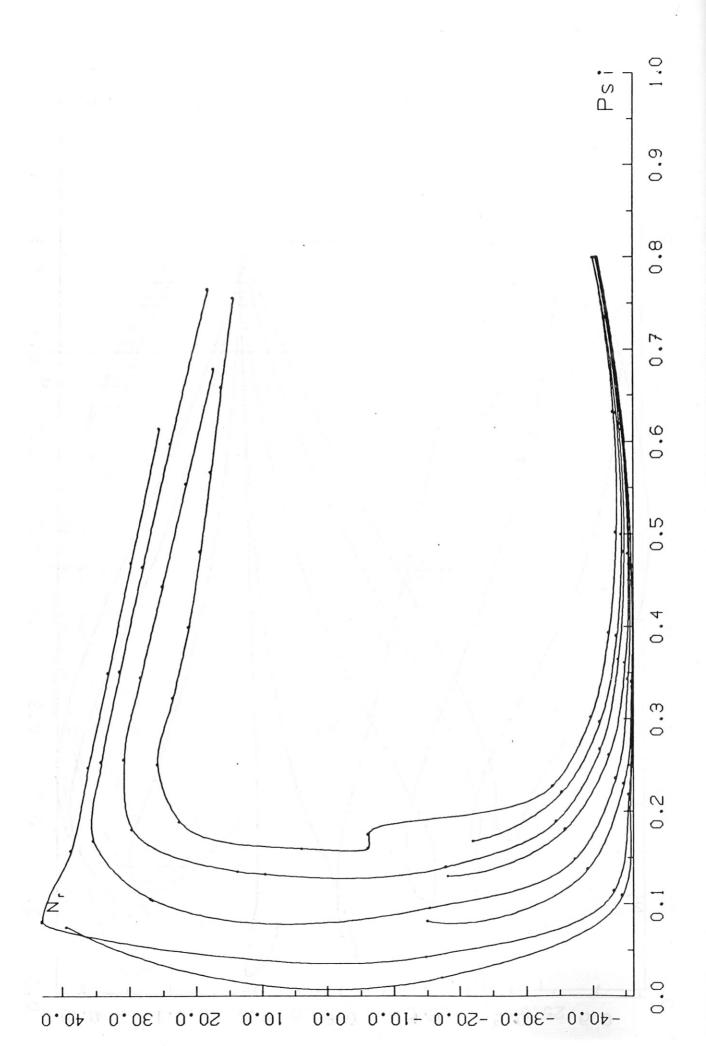


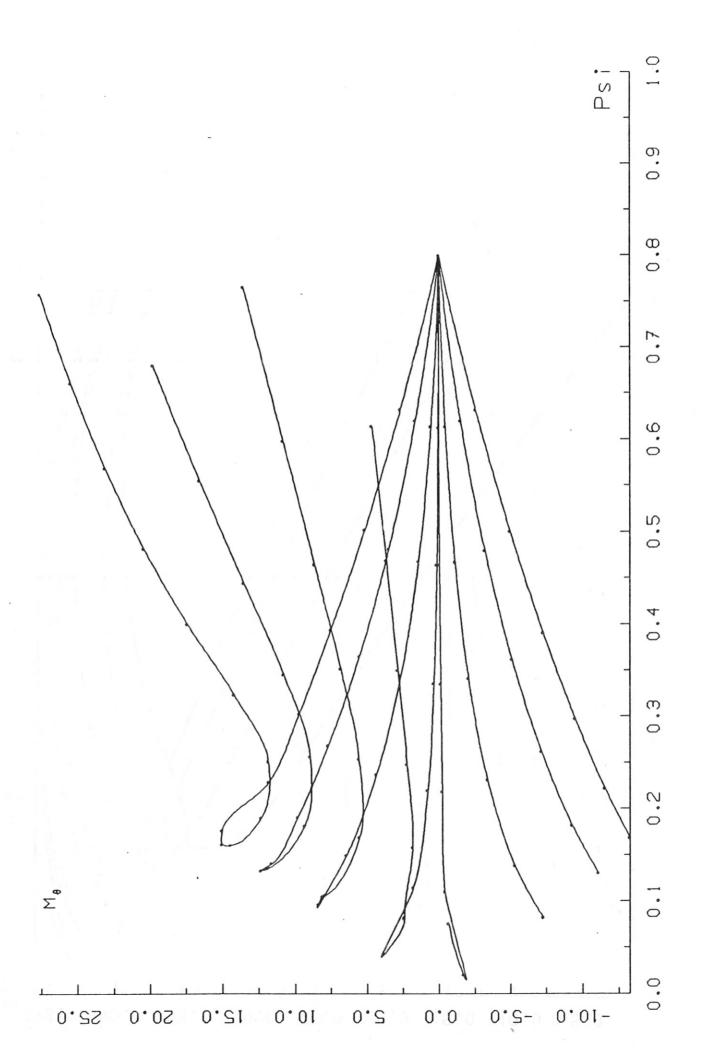


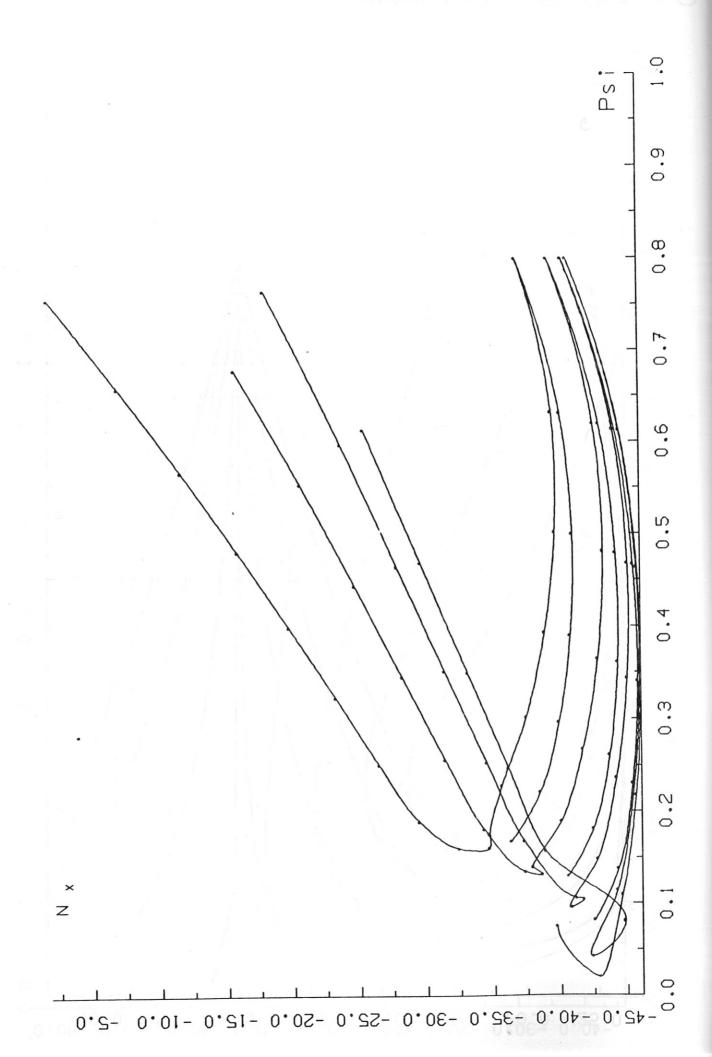


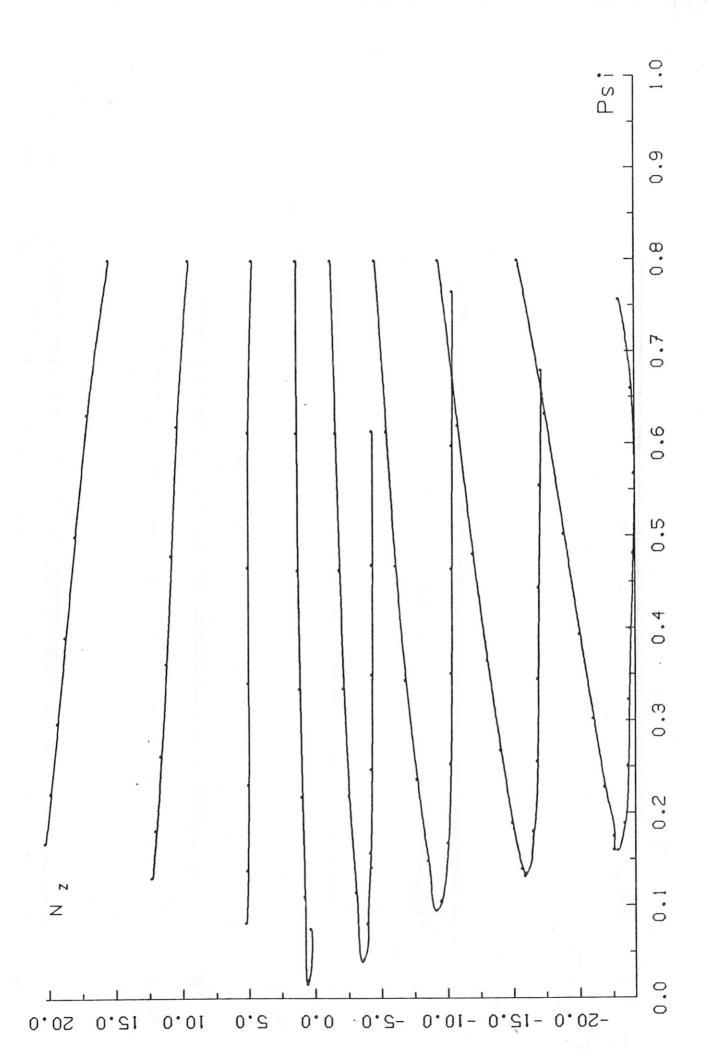


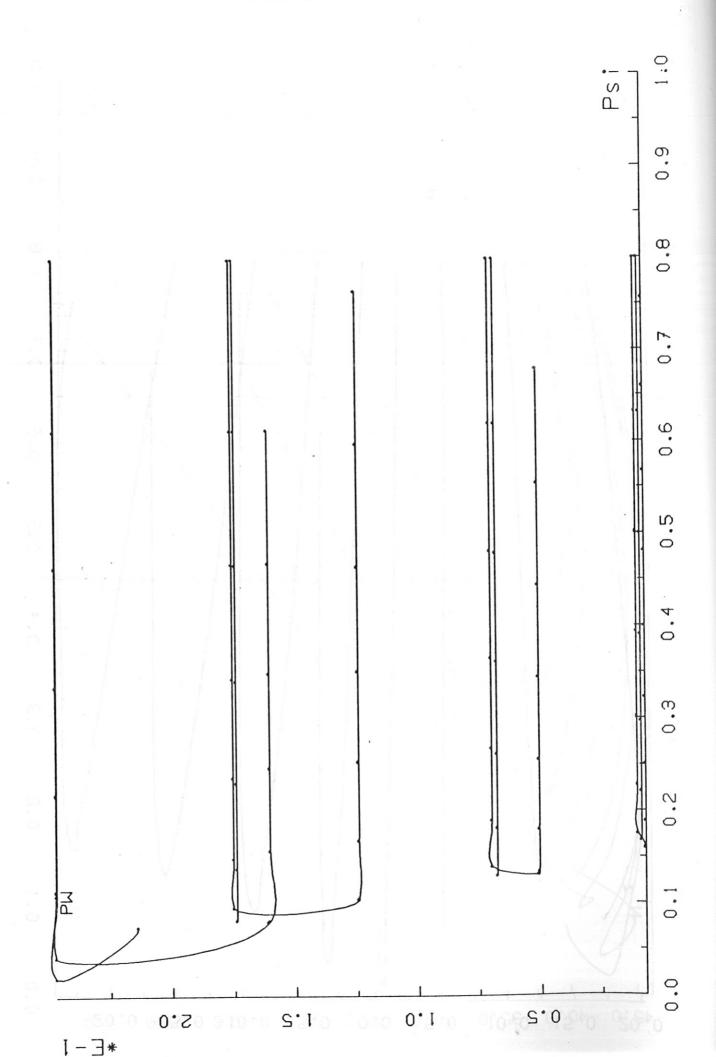


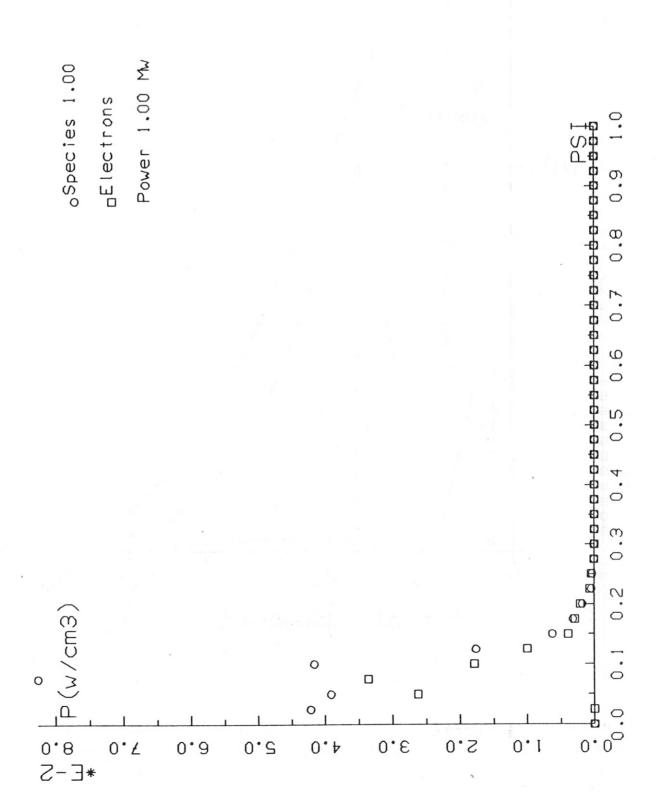


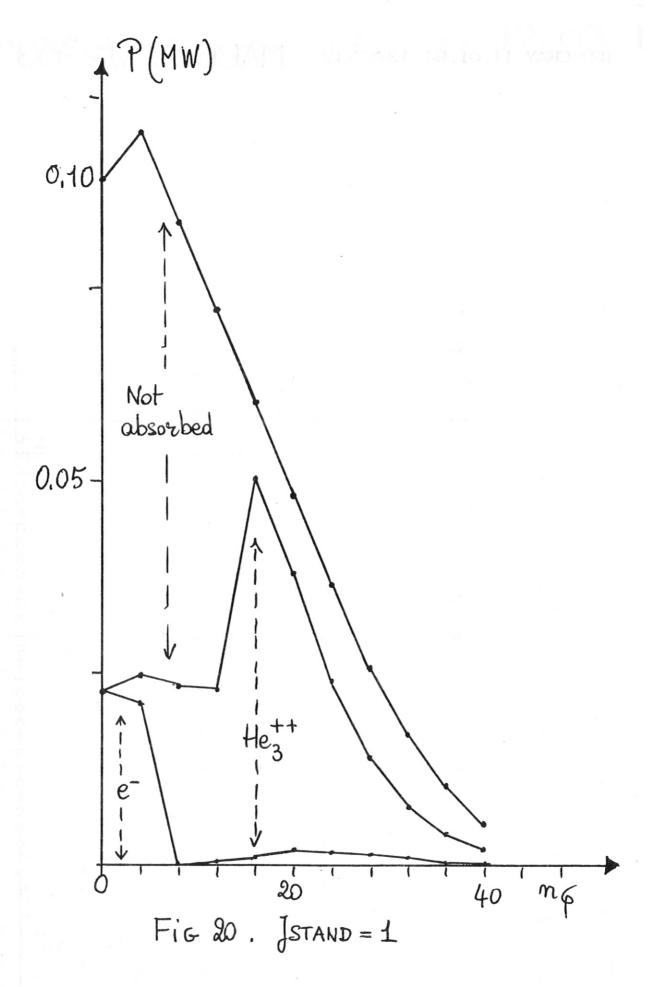


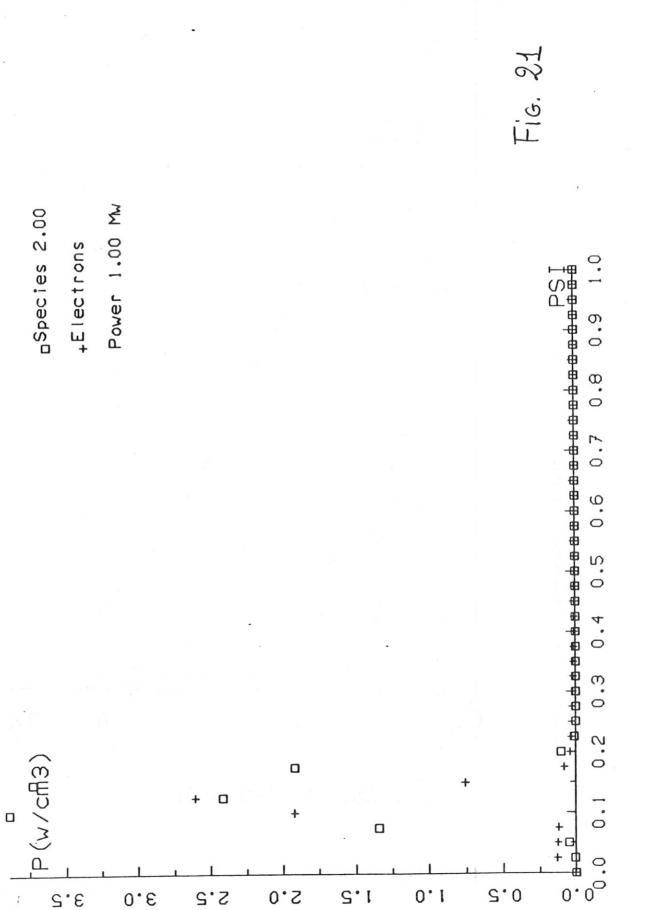












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0.ε

2.5

5.0

0.1

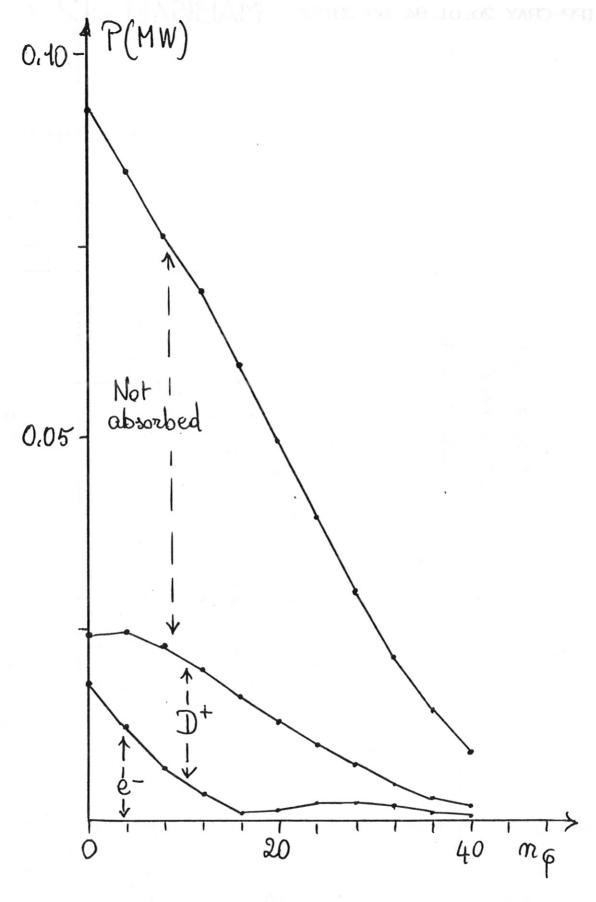
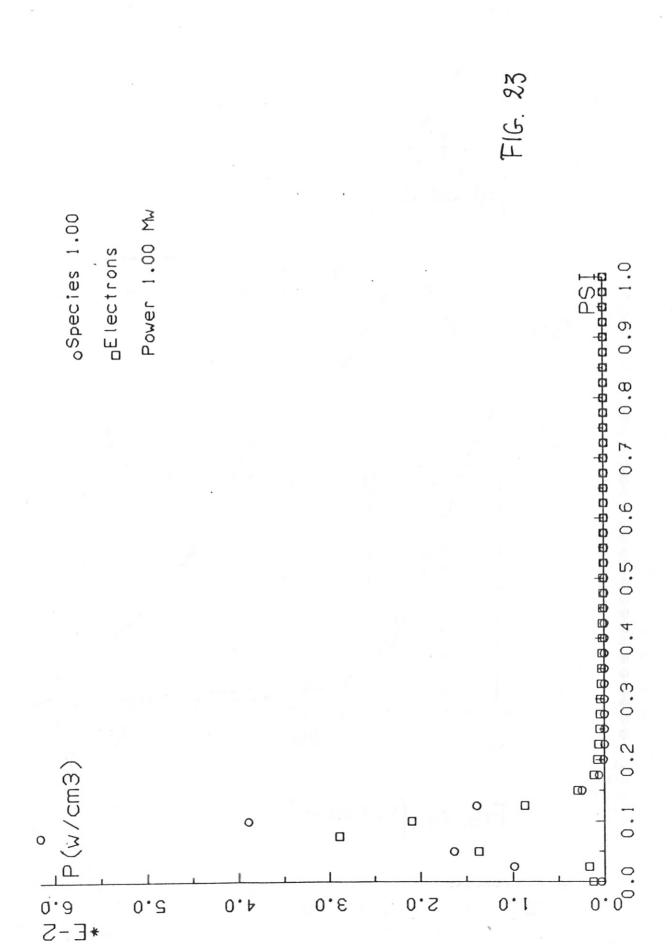


Fig 22. JSTAND = 2



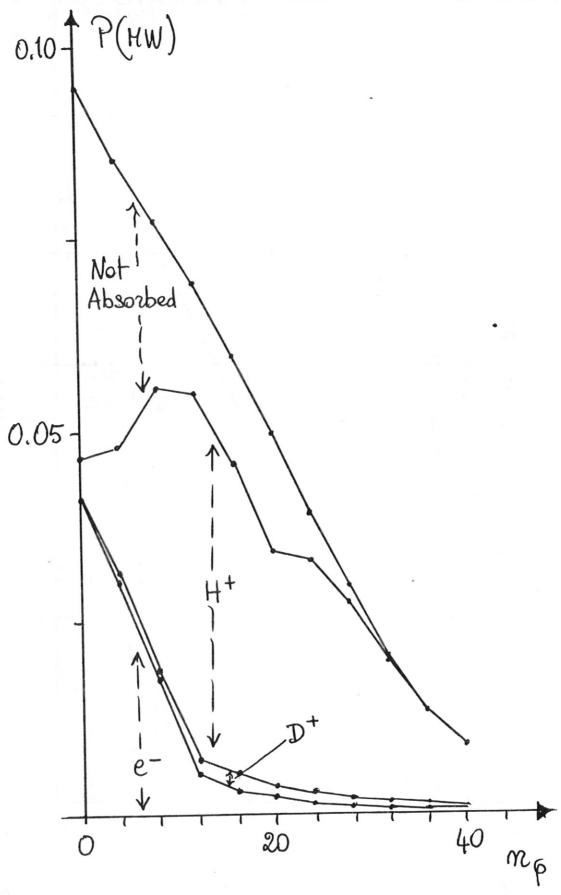


Fig. 24 JSTAND = 3

