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INFINITESIMAL ROTATION:

ON THE CONVERSE PROBLEM

P. Javel

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Die nachstehende Arbeit wurde im Rahmen des Vertrages zwischen dem Max-Planck-Institut für Plasmaphysik und der Europäischen Atomgemeinschaft über die Zusammenarbeit auf dem Gebiete der Plasmaphysik durchgeführt.

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ABSTRACT

The problem of finding a vector of constant length verifying

$$\frac{d\vec{v}}{ds} = \omega(s) \times \vec{v}$$

has been reduced by introducing the referential built on the eigenvectors of the singular operator.

$$\Omega \equiv \vec{\omega} \times ;$$

This procedure represents a natural introduction of complex vectorial spaces in three dimensional geometry.

INTRODUCTION

The infinitesimal rotation of a three dimensional real vector with constant length is described through the equation

$$\frac{d\vec{V}}{ds} = \vec{\omega} \times \vec{V} \quad V(s), \omega(s)$$

in the case of one parameter dependent vector field; (the Serret-Frenet equations for example is an example of the resolvent matrix system associated with \vec{V}). The converse problem of finding a set of independent vectors \vec{V} , when $\vec{\omega}$ is given, plays an important role in mechanics and electrodynamics. The usual way to solve this problem by serie expansions in operator space (e^{Ω} operatorial definition) brings difficulties in the discussion of concepts related to the solutions of our equation. In order to avoid these obstacles, a direct method to reduce the problem, based on the use of the eigenvectors of the $\Omega \equiv \vec{\omega} \times$ operator, has been worked out, that inlights the role of complex vectorial spaces and their geometrical significance.

Reduction:

$$\frac{d\vec{V}}{ds} = \omega(s) \times \vec{V} \tag{1}$$

We shall suppose that V is an unit vector $|V| = 1$ which does not restrict the problem. We have to solve a linear system of equations with non constant parameters that we may also write in matricial form, with the usual representation of vectors as column matrices and their transposed \bar{v} as row matrices.

$$\vec{V} \rightarrow v \equiv \begin{pmatrix} v^1 \\ v^2 \\ v^3 \end{pmatrix} \quad \bar{v} \equiv \overbrace{v^1 \ v^2 \ v^3}$$

(\dot{v}) denoting the d/ds derivation

$$\dot{v} = \omega v \tag{1'}$$

$$\begin{pmatrix} \dot{v}^1 \\ v^2 \\ v^3 \end{pmatrix} = \begin{pmatrix} 0 & -\omega_z & \omega_y \\ \omega_z & 0 & \omega_x \\ -\omega_y & \omega_x & 0 \end{pmatrix} \begin{pmatrix} v^1 \\ v^2 \\ v^3 \end{pmatrix}$$

The asymmetric operator Ω is singular ($|\Omega| = 0$) but it indeed possesses three eigenvectors.

	eigenvalues
$e_0 = \vec{n}$	$f = 0$
$e_+ = \frac{1}{\sqrt{2}} \vec{n} \times \vec{a} + j \vec{n} \times (\vec{n} \times \vec{a}) $	$f = -j$
$e_- = \frac{1}{\sqrt{2}} \vec{n} \times \vec{a} - j \vec{n} \times (\vec{n} \times \vec{a}) $	$f = +j$

(2)

with $\vec{\omega} = \omega \vec{n}$ $\omega^2 = \omega_x^2 + \omega_y^2 + \omega_z^2$ and $\vec{n}^2 = 1$

\vec{a} being any vector, real or complex. In order to have a set of unitary vectors e_0, e_+, e_- , we shall take $\hat{a} = \vec{a} \vec{a}^* = 1$

The vectors $\vec{l} = \vec{n} \times \vec{a}$

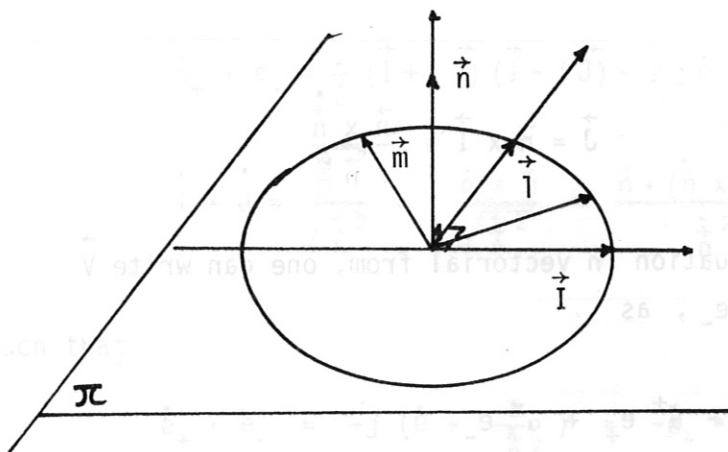
$$\vec{m} = \vec{n} \times (\vec{n} \times \vec{a}) = \vec{n} \times \vec{l}$$

are then defined to a rotation around \vec{n} : introducing the unit vectors.

$$\vec{I} = \frac{\vec{n}}{\sqrt{\vec{n}^2}}$$

$$\vec{J} = \vec{n} \times \vec{I} = \frac{\vec{n} \times \vec{n}}{\sqrt{\vec{n}^2}}$$

situated in the same plane as \vec{l} and \vec{m}



we may write then

$$e_+ = \frac{1}{\sqrt{2}} (\vec{I} + j\vec{m}) = \frac{1}{\sqrt{2}} (\vec{I} + j\vec{J}) e^{-j\theta}$$

$$e_- = \frac{1}{\sqrt{2}} (\vec{I} - j\vec{m}) = \frac{1}{\sqrt{2}} (\vec{I} - j\vec{J}) e^{+j\theta} \quad (3)$$

Remark: These relations are true even if the vector \vec{n} has a non constant direction.

The indeterminacy about e_+ and e_- is then represented by the arbitrariness of θ . One can resume what has been said as follows.

$$\begin{aligned} e_0 &= \vec{n} & \vec{\omega} \times \vec{n} &= 0 \\ e_+ &= \frac{1}{\sqrt{2}} (\vec{I} + j\vec{J}) e^{j\theta} & \vec{\omega} \times e_+ &= -je_+ \\ e_- &= \frac{1}{\sqrt{2}} (\vec{I} - j\vec{J}) e^{-j\theta} & \vec{\omega} \times e_- &= je_- \end{aligned}$$

$$e_0 \cdot e_0 = 1 \quad \bullet \text{ designing the euclidian scalar product}$$

$$e_+ \cdot e_+ = 0 \quad \underline{e_+ \cdot e_- = e_- \cdot e_+ = 1}$$

$$e_- \cdot e_- = 0$$

$$\vec{I} = \frac{\dot{\vec{n}}}{\sqrt{\dot{\vec{n}}^2}} \quad \vec{J} = \vec{n} \times \vec{I} = \frac{\vec{n} \times \dot{\vec{n}}}{\sqrt{\dot{\vec{n}}^2}}$$

Coming back to our equation in vectorial form, one can write \vec{V} in Term of e_0 , e_+ , e_- , as

$$\vec{V} = \alpha^0 e_0 + \alpha^+ e_+ + \alpha^- e_-$$

$$|\vec{V}| = 1 \rightarrow \alpha_0^2 + 2\alpha^+ \alpha^- = 1$$

(4)

The equation (1) becomes:

$$\dot{\alpha}^0 e_0 + \dot{\alpha}^+ e_+ + \dot{\alpha}^- e_- + \alpha^+ \dot{e}_+ + \alpha^- \dot{e}_- + j\omega (\alpha^+ e^+ - \alpha^- e^-) = 0$$

Taking the euclidian scalar product of this equation successively with e_0 , e_+ , e_- one obtain three equations for α^0 , α^+ , α^-

$$\begin{aligned} \dot{\alpha}^0 + (\dot{e}_+ \cdot e_0) \alpha^+ + (\dot{e}_- \cdot e_0) \alpha^- &= 0 \\ \dot{\alpha}^+ + (\dot{e}_0 \cdot e_+) \alpha^0 + (\dot{e}_+ \cdot e_+) \alpha^+ + j\omega \alpha^+ &= 0 \\ \dot{\alpha}^- + (\dot{e}_0 \cdot e_-) \alpha^0 + (\dot{e}_- \cdot e_-) \alpha^- - j\omega \alpha^- &= 0 \end{aligned} \tag{5}$$

having noticed that $\dot{e}_- \cdot e_- = \dot{e}_+ \cdot e_+ = \dot{e}_0 \cdot e_0 = 0$

evaluating $\dot{e}_0 \cdot e_+$, $\dot{e}_0 \cdot e_-$, $\dot{e}_+ \cdot e_- = -\dot{e}_- \cdot e_+$

$$\dot{e}_0 \cdot e_+ = \frac{\dot{\vec{n}} \cdot e^{2-j\theta}}{\sqrt{2\dot{\vec{n}}^2}} = \frac{\sqrt{\dot{\vec{n}}^2}}{2} e^{-j\theta} = v_+ = \hat{v} e^{-j\theta}$$

$$\dot{e}_0 \cdot e_- = \frac{\dot{\vec{n}} \cdot e^{2+j\theta}}{\sqrt{2\dot{\vec{n}}^2}} = \frac{\dot{\vec{n}}^2}{2} e^{+j\theta} = v_- = \hat{v} e^{+j\theta}$$

$$\dot{e}_+ \cdot e_- = \frac{1}{2} (\vec{I} + j\vec{J}) (\vec{I} - j\vec{J}) - 2j\dot{\theta} = -j(\dot{\theta} + \vec{I} \cdot \vec{J})$$

$$\vec{I} \cdot \vec{J} = \frac{\dot{\vec{n}} \times \dot{\vec{n}}}{\sqrt{\dot{\vec{n}}^2}} = \frac{\dot{\vec{n}} \cdot (\dot{\vec{n}} \times \dot{\vec{n}})}{\dot{\vec{n}}^2} = \frac{\partial}{\dot{\vec{n}}^2}$$

such that

$$\dot{e}_+ \cdot e_- = -j(\dot{\theta} + \frac{\partial}{\dot{\vec{n}}^2}) = -\dot{e}_- \cdot e_+$$

(5) gives

$$\begin{aligned} \dot{\alpha}^0 - v_+ \alpha^+ - v_- \alpha^- &= 0 \\ \dot{\alpha}^+ + j\mu \alpha^+ + v_- \alpha^0 &= 0 \\ \dot{\alpha}^- - j\mu \alpha^- + v_+ \alpha^0 &= 0 \end{aligned}$$

$$\begin{aligned} \mu &= \omega - \frac{(\dot{\vec{n}}, \dot{\vec{n}}, \dot{\vec{n}})}{\dot{\vec{n}}^2} - \dot{\theta} \\ &= \omega' - \dot{\theta} \end{aligned} \quad (6)$$

or in matrix form

$$\begin{pmatrix} \dot{\alpha}^0 \\ \dot{\alpha}^+ \\ \dot{\alpha}^- \end{pmatrix} = \begin{pmatrix} 0 & v_+ & v_- \\ -v_- & -j\mu & 0 \\ -v_+ & 0 & j\mu \end{pmatrix} \begin{pmatrix} \alpha^0 \\ \alpha^+ \\ \alpha^- \end{pmatrix} \quad (6')$$

One remarks that this linear matrix is still antihermitian due to the fact that what has been operated is equivalently written in matrix form as (with $v = s\alpha$);

$$\dot{\alpha} = (\dot{s}^+ s + j\dot{\omega}) \alpha \quad \text{where} \quad s \equiv \begin{pmatrix} e_1^0 & e_1^+ & e_1^- \\ e_2^0 & e_2^+ & e_2^- \\ e_3^0 & e_3^+ & e_3^- \end{pmatrix}$$

$$\dot{\omega} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & j\omega & 0 \\ 0 & 0 & -j\omega \end{pmatrix}$$

The free choice of θ should be used in order to simplify (6)

1) Taking first $\theta = 0$

$$v \equiv \hat{v}$$

$$\mu = \omega - \frac{\partial}{n^2}$$

(6) is then reduced to

$$(6'') \begin{pmatrix} \alpha^0 \\ \alpha^+ \\ \alpha^- \end{pmatrix} = v \underbrace{\begin{pmatrix} 0 & 1 & 1 \\ -1 & 0 & 0 \\ -1 & 0 & 0 \end{pmatrix}}_A \begin{pmatrix} \alpha^0 \\ \alpha^+ \\ \alpha^- \end{pmatrix} - j\mu \underbrace{\begin{pmatrix} 0 & 0 & 0 \\ 0 & +1 & 0 \\ 0 & 0 & -1 \end{pmatrix}}_K \begin{pmatrix} \alpha^0 \\ \alpha^+ \\ \alpha^- \end{pmatrix}$$

Introducing the resolvent matrix Q defined as (Ref. 1)

$$\begin{pmatrix} \alpha^0 \\ \alpha^+ \\ \alpha^- \end{pmatrix} \equiv \rho \begin{pmatrix} \alpha_0^0 \\ \alpha_0^+ \\ \alpha_0^- \end{pmatrix} \rightarrow \text{initial values}$$

(7)

one get

$$\dot{Q} = v (A)Q - j\mu \hat{K} Q$$

$$\dot{Q}Q^{-1} = vA - j\mu \hat{K}$$

(8)

Introducing the matrix s_0 of the eigenvectors of A one may write A as:

$$vA = jv \sqrt{2} \underbrace{\begin{pmatrix} 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 1/\sqrt{2} & -1/2 & 1/2 \\ -1/\sqrt{2} & -1/2 & 1/2 \end{pmatrix}}_{s_0} \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \underbrace{\begin{pmatrix} 0 & 1/\sqrt{2} & -1/\sqrt{2} \\ -1/\sqrt{2} & -1/2 & -1/2 \\ -1/\sqrt{2} & 1/2 & 1/2 \end{pmatrix}}_{s_0^{-1}}$$

s_0 being a constant matrix one may transform our equations as

$$A = -\dot{s}_1 s_1^{-1} .$$

This procedure cuts short the general method described on Ref. 2.

$$s_1 = s_0 \begin{pmatrix} 1 & & \\ & e^{+j s v'} & \\ & & e^{-j s v'} \end{pmatrix} \quad \text{with} \quad v' = \sqrt{2} \cdot v$$

one has then:

$$\dot{Q} Q^{-1} + \dot{s}_1 s_1^{-1} = -j \mu K \tag{9}$$

operating two new changes of matrix

$$s_2 = \begin{pmatrix} 1 & & \\ & e^{j s \mu / 2} & \\ & & e^{-j s \mu / 2} \end{pmatrix} s_1 = \begin{pmatrix} 1 & & \\ & e^{j s \mu / 2} & \\ & & e^{-j s \mu / 2} \end{pmatrix} s_0 \begin{pmatrix} 1 & & \\ & e^{j s v'} & \\ & & e^{-j s v'} \end{pmatrix}$$

and $Q \equiv \begin{pmatrix} 1 & & \\ & e^{j s \mu / 2} & \\ & & e^{-j s \mu / 2} \end{pmatrix} Q_2$

(9) is reduced to:

$$\boxed{\dot{Q}_2 Q_2^{-1} = -\dot{s}_2 s_2^{-1}} \tag{10}$$

We have to find a new referential whose Cartan matrix is opposite to the known $\dot{s}_2 s_2^{-1}$

This problem is then the key for the solution and is discussed in (Ref. 2).

Remark: The original matrix being antihermitian

$$s_2^{-1} \equiv s_2^+ \quad \text{and} \quad Q_2^{-1} \equiv Q_2^+$$

such that (10) is equivalent to

$$\dot{Q}_2 Q_2^+ \equiv -\dot{s}_2 s_2^+ \tag{10'}$$

$\dot{Q}_2 Q_2^+$ being also antihermitian

2) A second choice for θ , namely

$$\dot{\theta} = \omega - \frac{\partial}{\hbar^2} \quad \text{which gives} \quad \mu \equiv 0$$

reduces (6) to

$$\begin{aligned} \dot{\alpha}^0 &= v_+ \alpha^+ + v_+ \alpha^- \\ \dot{\alpha}^+ &= -v_- \alpha^0 & \alpha^+ &= -fv_- \alpha^0 \\ \dot{\alpha}^- &= -v_+ \alpha^0 & \alpha^- &= -fv_+ \alpha^0 \end{aligned}$$

We have for α_0 the following integro differential equation:

$$\boxed{\dot{\alpha}^0 + v_+ f v_- \alpha^0 + v_- f v_+ \alpha^0 = 0} \tag{11}$$

v_+ and v_- being complex conjugate

(11) may be transformed as

$$\alpha^0 \alpha^0 + \alpha^0 v_+ \int v_- \alpha^0 + v_- \alpha^0 \int v_+ \alpha^0 = 0$$

$$\frac{1}{2} (\alpha^0)^2 + (\int \alpha^0 v_+ \times \int \alpha^0 v_-) = 0$$

$$\alpha^0{}^2 + 2 \int \alpha^0 v_+ \times \int \alpha^0 v_- = K$$

(12)

Whether (10) or (12) is easier to solve at last approximatively, depends surely on v_+ .

We may remark, as conclusion, that the solution of the second order parametric equation $y'' \equiv k^2(x)y$ leads to a similar integro differential equation so that our problem has a very strong affinity with the latest Ref. 2.

Indeed the form of (11) suggests the introduction of two auxiliary functions λ, γ such that

$$\int v_+ \alpha^0 = (\lambda \dot{\alpha}^0 + \gamma) \tag{13}$$

by derivation one get

$$v_+ \alpha^0 = \lambda \ddot{\alpha}^0 + \dot{\lambda} \dot{\alpha}^0 + \dot{\gamma} \tag{13'}$$

and from (11)

$$\dot{\alpha}^0 + (v_- \lambda + v_+ \lambda^*) \dot{\alpha}^0 + v_- \gamma + v_+ \gamma^* = 0$$

which may be solved by taking

$$\begin{aligned} v_- \gamma + v_+ \gamma^* &= 0 \\ v_- \lambda + v_+ \lambda^* &= -1 \end{aligned} \tag{15}$$

writing

$$\gamma = \hat{\gamma} e^{j\chi}$$

$$\lambda = \hat{\lambda} e^{j\psi}$$

and having

$$v_+ = \hat{v} e^{-j\theta}$$

(15) gives

$$\cos(\theta + \chi) = 0 \quad \rightarrow$$

$$\chi = \frac{\pi}{2} - \theta$$

$$\hat{v} \hat{\lambda} \cos(\theta + \chi) = -1 \quad \rightarrow$$

$$\psi = \pi - \theta$$
$$\lambda = \frac{1}{\hat{v}}$$

$\hat{\gamma}$ being arbitrary we shall take $\hat{\gamma} = \underline{1}$

so that (13') gives

$$(\lambda \dot{\alpha}^0) - v_+ \alpha^0 = 0$$

$$\frac{d}{ds} \left(\lambda \frac{d}{ds} \alpha^0 \right) - v_+ \alpha^0 = 0$$

Our problem is then reduced to the solution of a Sturm-Liouville equation; that is a third way to approach it.

Ref. 1 Pease. Methods of Matrix Algebra

Ref. 2 P. Javel. On Linear Parametric Differential Systems.
IPP Bericht. In preparation



$$y = \begin{pmatrix} a & b \\ c & d \end{pmatrix} x + \begin{pmatrix} e \\ f \end{pmatrix}$$