

# INSTITUT FÜR PLASMAPHYSIK

## GARCHING BEI MÜNCHEN

### The Correlation Function in a Plasma. Part III.

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IPP/6/8

October 1963

*Die nachstehende Arbeit wurde im Rahmen des Vertrages zwischen dem Institut für Plasmaphysik GmbH und der Europäischen Atomgemeinschaft über die Zusammenarbeit auf dem Gebiete der Plasmaphysik durchgeführt.*

## Summary

We study a one species homogeneous plasma outside equilibrium. We discuss the normalisation of the correlation function which leads to a condition on the Fourier transform in space of the density correlation  $G(\vec{k})$  for  $\vec{k} \rightarrow 0$ . We compute  $G(\vec{k})$  by two different methods and connect the result with the fluctuation spectrum of the electric field. Integrals involved in the general case are evaluated for small  $k$ . This result introduces a limitation on the behaviour of the velocity distribution for large velocities.

It is shown that fluctuation spectra may be obtained also from test particle calculations. The test particle problem helps furthermore to understand the limitation mentioned above.

Finally we use  $G(\vec{k})$  to compute the interaction part of the equation of state.

## 1. Introduction.

The system considered here is a homogeneous infinite electron plasma in a continuous neutralizing positive background. Collective effects leading to Debye screening are taken into account.

We have seen in Part I, section 5, that the correlation function in such a plasma relaxes to an asymptotic function in the fastest time scale  $\tau_0$ , i.e. in a time roughly of the order  $\omega_p^{-1}$ , the inverse of the plasma frequency, while the distribution function  $F \equiv F_1$  varies in the much slower time scale  $\tau_1$  and reaches thermodynamic equilibrium in a time of the order  $n \tau_d^3 \omega_p^{-1}$ , where  $n \tau_d^3$ , the number of particles in a Debye sphere, is much larger than unity.<sup>1,2,3)</sup>

It is therefore possible and in agreement with the ideas of Bogoliubov<sup>4)</sup> to define a meta-equilibrium plasma in which all  $S$ -body functions  $F_S$  ( $S \geq 2$ ) are functionals of the one body distribution function  $F$  which itself is in lowest order of the small parameter  $(n \tau_d^3)^{-1}$  constant in time.

It is well known that the validity of these concepts is restricted to stable initial distributions that only allow for damped plasma oscillations on basis of the linearized Vlasov equation. ( See Part I section 5 and Appendix B).

Our purpose here is to discuss the normalisation condition for the pair correlation function and its relation to complete screening ( section 2), to give a complete solution for the correlation function by two different methods ( sections 3 and 4), to connect the results with fluctuations of the electric field ( section 5) and the test particle problem ( section 6), and to show that the theory gives only correct results in view of complete screening and physically acceptable fluctuation spectra, if certain conditions on the distribution function are imposed.  
(Section 7)

Finally we use our results for the calculation of the interaction part in the equation of state obtained from the virial theorem derived in a new way exhibiting more clearly its validity outside thermodynamic equilibrium ( section 8).

## 2. Normalisation of the pair correlation.

It has been observed by A.Schlüter<sup>\*</sup>) that the limit hierarchy of eq. (I. 2.5) is exact ( without the limit  $N, V \rightarrow \infty$  ) for renormalized  $s$ -body functions  $F_s^*$  given by

$$F_s^* = \frac{N!}{(N-s)! N^s} F_s = \frac{N! V^s}{(N-s)! N^s} \int D(1, \dots, N) d\xi_{s+1} \dots d\xi_N \quad (2.1)$$

the notation being as in Part I, section 2.

We clearly have

$$F_1^* = F_1 \quad F_2^* = \left(1 - \frac{1}{N}\right) F_2 \quad (2.2)$$

and therefore the normalisations

$$\frac{1}{V} \int F_1^*(1) d\xi_1 = 1 \quad (2.3)$$

$$\frac{1}{V} \int F_2^*(1, 2) d\xi_2 = \left(1 - \frac{1}{N}\right) F_1^*(1)$$

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<sup>\*</sup>) Private communication. See also Reference 5.



Defining the correlation by

$$F_2^*(1,2) = F_1^*(1) F_1^*(2) + g^*(1,2) \quad (2.4)$$

we see from eq. (2.3)

$$\int g^*(1,2) d\xi_2 = -\frac{1}{n} F_1^*(1), \quad n = \frac{N}{V} \quad (2.5)$$

For  $F_1^* \equiv F_1 \equiv F$  and  $g^*$  we have the usual equations (I. 2.14) and (I. 2.15) where the integrations have to be carried out over the entire velocity space and over the volume  $V$  in configuration space.

Omitting zero order correlations we expand the dimensionless correlation  $\hat{g}^*(1,2)$  as

$$\hat{g}^*(1,2) = \varepsilon \hat{g}^{*(1)}(1,2) + \varepsilon^2 \hat{g}^{*(2)}(1,2) + \dots, \quad (2.6)$$

$$\varepsilon = (n z_d^3)^{-1}$$

In the dimensionless notation we measure distances, velocities and times in units of  $z_d$  (Debye length),  $v_T$  (thermal velocity) and  $z_d v_T^{-1} = \omega_p^{-1}$  respectively.

The normalisation (2.5) reads then

$$\int \hat{g}^{*(0)}(1,2) d\xi_2^0 = -\varepsilon \hat{F}^0(1) \quad (2.7)$$

It is clear from eq. (2.6) that this implies

$$\int \dot{g}^{(1)}(1,2) d\xi_2^0 = -\dot{F}(1)$$

$$\int \dot{g}^{(2)}(1,2) d\xi_2^0 = 0, \quad r \geq 2 \quad (2.8)$$

The function  $\dot{g}^{(1)}(1,2)$  obeys the following equation  
( $\tau_0$  is the fastest time scale and corresponds to  $\omega_P \tau$ , see Part I)

$$\left( \frac{\partial}{\partial \tau_0} + \vec{v}_1 \cdot \frac{\partial}{\partial \vec{x}_1} + \vec{v}_2 \cdot \frac{\partial}{\partial \vec{x}_2} \right) \dot{g}^{(1)}(1,2) - \frac{1}{4\pi} \frac{\partial \phi_{12}}{\partial \vec{x}_1} \cdot \left( \frac{\partial}{\partial \vec{v}_1} - \frac{\partial}{\partial \vec{v}_2} \right) \dot{F}(1) \dot{F}(2) - \frac{1}{4\pi} \frac{\partial \dot{F}(1)}{\partial \vec{v}_1} \cdot \int \frac{\partial \phi_{13}}{\partial \vec{x}_1} \dot{g}^{(1)}(2,3) d\xi_3^0 - \frac{1}{4\pi} \frac{\partial \dot{F}(2)}{\partial \vec{v}_2} \cdot \int \frac{\partial \phi_{23}}{\partial \vec{x}_2} \dot{g}^{(1)}(1,3) d\xi_3^0 = 0 \quad (2.9)$$

The integrations are over the entire  $\vec{v}_3$ -space and over the reduced volume  $V \tau_d^{-3}$  in dimensionless configuration space.

Writing

$$\dot{g}^{(1)} = \dot{g}^{(1,0)} + \lambda \dot{g}^{(1,1)} + \dots$$

where<sup>5)</sup>

$$\lambda = \tau_d^{-3} V = (\epsilon N)^{-1} \ll 1$$

we get from eq. (2.8) in the limit  $\lambda \rightarrow 0$  the same equation for  $g^{(1,0)}$  with integrations over configuration space extended to infinity. This new equation is the usual one which we want to treat. The question arises which normalisation condition exists for  $g^{(1,0)}$ .

It is clear that  $g^{(1,0)}$  as a function of the distance  $\vec{x}_1 - \vec{x}_2 = \vec{x}_{12}$  should have a finite range of the order unity ( or  $\sim 1$  for  $g^{(1,0)}$  ). Otherwise the expansion in  $\epsilon$  has no sense. Let us assume furthermore

$$g^{(1,1)} = \chi_0(\vec{v}_1, \vec{v}_2, \vec{z}_0) + \chi_1(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \vec{z}_0)$$

where  $\chi_0$  is independent of  $\vec{x}_{12}$  and  $\chi_1(\vec{x}_{12})$  has a finite range of the order unity. Then we clearly have

$$\lim_{\lambda \rightarrow 0} \int g^{(1,1)}(1,2) d\xi_2^0 = \int g^{(1,0)}(1,2) d\xi_2^0 + \int \chi_0(1,2) d^3 v_2^0$$

where the integration in the right-hand side extends to infinity for both velocity and space variables.

Therefore the function  $g^{(1,0)}$  does not obey the normalisation condition in the first line of eq. (2.8) in general. This is only the case if  $\chi_0 = 0$ , i.e. if the correlation has no part ( of order  $\lambda$  or  $N^{-1}$  ) with a non-zero average over the entire configuration space.

The case  $\chi_0 = 0$  corresponds to complete screening of the electric field of every particle. This may be seen from eqs. (2.2) and (2.4) which imply

$$F_2(1,2) = \frac{N}{N-1} \{ F(1)F(2) + g^{(1,2)} \} \quad (2.10)$$

If particle 1 is completely shielded, i.e. exactly compensated by the lack of other electrons (compared with the average density) in its neighbourhood, then one has far from particle 1 one electron more than corresponds with the average density. Therefore the conditional probability density for finding particle 2 somewhere in phase space far from particle 1 is equal to the distribution function for a modified system with the reduced volume  $V - V/N$ .

Therefore

$$x_{12} \rightarrow \infty, \quad F_2(1,2) \rightarrow \frac{N}{N-1} F(1) F(2) \quad (2.11)$$

or, by eq.(2.10)

$$\vec{x}_{12} \rightarrow \infty, \quad g^*(1,2) \rightarrow 0$$

We have left again the dimensionless notation.

Eq.(2.11) is the product law for probabilities with a correction connected with the right counting of the particles. The relation between complete screening and normalisation of  $g^*(1,2)$  is further clarified in section 6 where we calculate the field of a test particle.

We expect complete screening for the asymptotic  $g^{*(1)}(\tau_0 \rightarrow \infty)$ . Therefore we expect  $g^{*(1,0)}$  to satisfy the normalisation (2.5) in the limit  $\tau_0 \rightarrow \infty$  but not necessarily for finite  $\tau_0$ . Introducing the Fourier-Laplace transform

$$\hat{g}(\vec{k}, \vec{v}_1, \vec{v}_2, p) = \frac{1}{8\pi^3} \int_0^\infty d\tau_0 \int d^3x_{12} g^{*(1,0)}(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \tau_0) \exp(-i\vec{k} \cdot \vec{x}_{12} - p\tau_0) \quad (2.12)$$

we find again eq. (I. 5.29),

The asymptotic correlation

$$\hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2) = \lim_{p \rightarrow 0+} p \hat{g}(\vec{k}, \vec{v}_1, \vec{v}_2, p)$$

is the solution of the integral equation (I.5.33) or

$$\begin{aligned} \hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2) = \lim_{\delta \rightarrow 0+} \frac{u_p^2}{u_1 - u_2 - i\delta} \left[ \frac{1}{8\pi^3 n} \left\{ F(2) \frac{\partial F(1)}{\partial u_1} \right. \right. \\ \left. \left. - F(1) \frac{\partial F(2)}{\partial u_2} \right\} + \frac{\partial F(1)}{\partial u_1} h_A^*(\vec{k}, \vec{v}_2) \right. \\ \left. - \frac{\partial F(2)}{\partial u_2} h_A(\vec{k}, \vec{v}_1) \right] \end{aligned} \quad (2.13)$$

where

$$u_p^2 = \frac{\omega_p^2}{k^2} \quad u = \frac{\vec{k} \cdot \vec{v}}{k} \quad h_A(\vec{k}, \vec{v}_1) = \int \hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2) d^3 v_2 \quad (2.14)$$

The normalisation condition corresponding to eq.(2.5)

is

$$h_A(\vec{k} \rightarrow 0, \vec{v}_1) = -\frac{1}{8\pi^3 n} F(1) \quad (2.15)$$

Indeed one finds from eq. (2.13) that the left-hand side is only bounded for  $k \rightarrow 0$  if the expression between square brackets in the right-hand side vanishes, which condition leads to eq. (2.15)

### 3. Calculation of the pair correlation - Integral equation method.

We start from eq. (2.13) and drop the subscript A and the argument  $\vec{k}$  which occurs only as a parameter.

Following Lenard<sup>6)</sup> we obtain

$$\begin{aligned} h(\vec{v}) \frac{\partial \tilde{F}(u)}{\partial u} - H(u) \frac{\partial F(\vec{v})}{\partial u} = - \frac{1}{8\pi^3 n} \left\{ \right. \\ \left. \frac{\partial \tilde{F}(u)}{\partial u} F(\vec{v}) - \tilde{F}(u) \frac{\partial F(\vec{v})}{\partial u} \right\} 2\pi i u_p^2 \cdot \\ \cdot \frac{\partial \tilde{F}^-(u)}{\partial u} \left\{ 1 + 2\pi i u_p^2 \frac{\partial \tilde{F}^-(u)}{\partial u} \right\}^{-1} \end{aligned} \quad (3.1)$$

$$\begin{aligned} H(u) = 2\pi i u_p^2 \left[ \frac{1}{8\pi^3 n} \left\{ \tilde{F}^-(u) \frac{\partial \tilde{F}(u)}{\partial u} \right. \right. \\ \left. \left. - \tilde{F}(u) \frac{\partial \tilde{F}^-(u)}{\partial u} \right\} + \frac{\partial \tilde{F}(u)}{\partial u} H^-(u) \right. \\ \left. - \frac{\partial \tilde{F}^-(u)}{\partial u} H(u) \right] \end{aligned} \quad (3.2)$$

where  $u, u_p$  and  $h$  are defined in eq. (2.14) and  $\tilde{F}(u)$  and  $H(u)$  by

$$\begin{aligned} \tilde{F}(u) &= \int F(\vec{v}) \delta(\vec{x} \cdot \vec{v} - u) d^3v \\ H(u) &= \int h(\vec{v}) \delta(\vec{x} \cdot \vec{v} - u) d^3v \\ \vec{x} &= \frac{\vec{k}}{k} \end{aligned} \quad (3.3)$$

Moreover we have introduced negative frequency parts of functions in eqs. (3.1), (3.2). For real  $u$  the positive and negative frequency parts of a function  $\psi(u)$  satisfy the relations <sup>7,8)</sup>

$$\begin{aligned}\psi^+(u) + \psi^-(u) &= \psi(u) \\ \psi^+(u) - \psi^-(u) &= \frac{1}{\pi i} P \int_{-\infty}^{+\infty} \frac{\psi(u')}{u' - u} du' \quad (3.4)\end{aligned}$$

It is easy to see that  $\psi^+(u)$  and  $\psi^-(u)$  as defined by eq. (3.4) can be continued analytically in the complex  $u$ -plane as

$$\begin{aligned}\psi^+(u) &= \frac{1}{2\pi i} \oint_{-\infty}^{+\infty} \frac{\psi(u')}{u' - u} du' \\ \psi^-(u) &= -\frac{1}{2\pi i} \oint_{-\infty}^{+\infty} \frac{\psi(u')}{u' - u} du' \quad (3.5)\end{aligned}$$

and that  $\psi^+(u)$  and  $\psi^-(u)$  are analytic without singularities in upper- and lower half plane respectively. The symbols  $\oint$  and  $\oint$  denote integration below and above the pole  $u' = u$  respectively.

We note that integration by parts in eq. (3.5) leads to

$$\frac{\partial \psi^{+(-)}(u)}{\partial u} = \left\{ \frac{\partial \psi(u)}{\partial u} \right\}^{+(-)}$$

Lenard<sup>6)</sup> proved that  $H(u)$  is a real function. Because only the imaginary part of  $h(\vec{v})$  plays a role in the kinetic equation (I.5.35), Lenard only needed eq. (3.1) and not the solution of eq. (3.2). If one is interested in the correlation itself however, it is important to

to solve eq. (3.2). In order to do this we use the first line of eq. (3.4) and write eq.(3.2) in the form ( see also Ref.9)

$$\frac{H^+(u)}{Z^+(u)} + \frac{H^-(u)}{Z^-(u)} = \frac{2\pi i u_p^2}{8\pi^3 n Z^+(u) Z^-(u)} \cdot \left\{ \tilde{F}^-(u) \frac{\partial \tilde{F}(u)}{\partial u} - \tilde{F}(u) \frac{\partial \tilde{F}^-(u)}{\partial u} \right\} \quad (3.6)$$

with

$$Z^\pm(u) = 1 \mp 2\pi i u_p^2 \frac{\partial \tilde{F}^\pm(u)}{\partial u} \quad (3.7)$$

The terms of the left-hand side of eq.(3.6) are positive- and negative frequency functions provided that  $Z^+(u)$  and  $Z^-(u)$  have no zeros in upper- and lower half  $u$ -plane respectively.

We note that  $Z^-(u)$  is identical to the dielectric constant  $\epsilon(\vec{k}, u)$  of eq.(I.5.37) and  $Z^+(u)$  to its complex conjugate  $\epsilon^*(\vec{k}, u)$ . It follows therefore from the discussion in Part I, section 5, and from

$$Z^-(u) = \{ Z^+(u^*) \}^*$$

that our proviso is fulfilled if  $F(\vec{k}, u)$  obeys the Penrose criterium<sup>10)</sup>, eq. (I.5.40), for every direction of  $\vec{k}$ . The solution of eq.(3.2) is obtained directly by taking the positive- and negative frequency parts of the right-hand side of eq. (3.6)

$$H(u) = Z^+(u) [r.h.s. (3.6)]^+ + Z^-(u) [r.h.s. (3.6)]^-$$



Performing some rearrangements described in the Appendix we arrive at the result

$$H(u) = - \frac{2\pi i u_p^2}{8\pi^3 n} \frac{\partial \tilde{F}(u)}{\partial u} \left[ \left\{ \frac{\tilde{F}(u) \partial \tilde{F}^-(u)/\partial u}{\partial \tilde{F}(u)/\partial u Z^-(u)} \right\}^+ - \left\{ \frac{\tilde{F}(u) \partial \tilde{F}^+(u)/\partial u}{\partial \tilde{F}(u)/\partial u Z^+(u)} \right\}^- \right] \quad (3.8)$$

or

$$8\pi^3 n H(u) = - \tilde{F}(u) + \frac{\partial \tilde{F}(u)}{\partial u} \left[ \left\{ \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u Z^-(u)} \right\}^+ + \left\{ \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u Z^+(u)} \right\}^- \right] \quad (3.9)$$

Special attention is paid in this paper to the purely configurational correlation function

$$G(\vec{k}) = \int_{-\infty}^{+\infty} H(\vec{k}, u) du \quad (3.10)$$

It may be obtained from eq.(3.8) or (3.9) by an interchange of the order of integration, i.e. the  $u$ -integration is carried out before the integrations connected with the + and - signs. The result may be written in the alternative forms

$$G(\vec{k}) = - \frac{2\pi i u_p^2}{8\pi^3 n} \int_{-\infty}^{+\infty} \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \cdot \left\{ \frac{(\partial \tilde{F}^-(u)/\partial u)^2}{Z^-(u)} - \frac{(\partial \tilde{F}^+(u)/\partial u)^2}{Z^+(u)} \right\} du \quad (3.11)$$

and

$$8\pi^3 n G(\vec{k}) = -1 + \int_{-\infty}^{+\infty} \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \cdot \left\{ \frac{\partial \tilde{F}^-(u)/\partial u}{Z^-(u)} + \frac{\partial \tilde{F}^+(u)/\partial u}{Z^+(u)} \right\} du \quad (3.12)$$

The results of eqs.(3.11) and (3.12) have been obtained also by Wolff<sup>11)</sup> by a different method.

Equations (3.8) up to (3.12) are very appropriate if one wants to calculate the integrals in special cases by means of contour integration.

Eg.(3.12) may, however, be transformed into a much simpler form

$$8\pi^3 n G(\vec{k}) = -1 + \int_{-\infty}^{+\infty} \frac{\tilde{F}(u)}{\lambda^2(u) + \mu^2(u)} du \quad (3.13)$$

with

$$\begin{aligned} \lambda(u) &= \text{Re } Z^-(u) = 1 - u_p^2 P \int_{-\infty}^{+\infty} \frac{\partial \tilde{F}(u')/\partial u'}{u' - u} du' \\ \mu(u) &= \text{Im } Z^-(u) = \pi u_p^2 \frac{\partial \tilde{F}(u)}{\partial u} \end{aligned} \quad (3.14)$$

It is difficult to carry out the integration in eq.(3.13) for all  $k$ . But a simple result may be obtained for small  $k$ . On first sight the integral is proportional to  $u_p^{-4}$  ( or  $k^4$  ) if  $\vec{k} \rightarrow 0$ . However, the resonance behaviour of the integrand can give a larger contribution.

For large  $u$  we have  $\lambda(u) \simeq 1 - u_p^2/u^2$  and  $\mu(u)$  very small. The integrand in eq. (3.13) has therefore sharp peaks at  $u = \pm u_p$  if  $u_p \gg v_T$  ( $v_T$  : thermal velocity). This leads to

$$u_p \rightarrow \infty, \quad 8\pi^3 n \bar{G}(\vec{k}) \simeq -1 + \frac{1}{2u_p} \left\{ \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=u_p} + \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=-u_p} \right\} \quad (3.15)$$

This result is correct as long as the second term in the right-hand side is larger than  $u_p^{-4}$  for large  $u_p$ .

We remark that, if  $H(u)$  is known from eq. (3.8) or (3.9),  $h(\vec{v})$  follows from eq. (3.1) and  $\hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2)$  from eq. (2.13). The function  $\bar{G}(\vec{k})$  is not sufficient for determining  $\hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2)$ .

#### 4. Calculation of the pair correlation-Frequency spectrum method.

Following Rostoker<sup>12)</sup> we introduce an asymptotic correlation in phase space and time  $W(\vec{x}_1, \vec{v}_1, t | \vec{x}_2, \vec{v}_2, t')$ . It is the correlation part of the probability density for finding particle 1 in a phase space cell centered around  $(\vec{x}_1, \vec{v}_1)$  at time  $t$  and particle 2 near  $(\vec{x}_2, \vec{v}_2)$  at a later time  $t'$ . In a homogenous plasma we clearly have

$$W(\vec{x}_1, \vec{v}_1, t | \vec{x}_2, \vec{v}_2, t') = W(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \tau)$$

with

$$\vec{x}_{12} = \vec{x}_1 - \vec{x}_2 \quad \tau = t' - t$$

and

$$W(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \tau=0) = g^{(1)}(1, 2) \quad (4.1)$$

$g^{*(1)}(1,2)$  being the first order asymptotic correlation of the static type discussed in the preceding sections.

$W(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \tau)$  satisfies the equation

$$\left( \frac{\partial}{\partial \tau} - \vec{v}_2 \cdot \frac{\partial}{\partial \vec{x}_{12}} \right) W(\vec{x}_{12}, \vec{v}_1, \vec{v}_2, \tau) + \frac{e^2}{m} \frac{\partial F(\vec{v}_2)}{\partial \vec{v}_2} \cdot \frac{\partial}{\partial \vec{x}_{12}} \left\{ \frac{F(\vec{v}_1)}{|\vec{x}_{12} + \vec{v}_1 \tau|} + n \int \frac{W(\vec{x}_{12} + \vec{x}', \vec{v}_1, \vec{v}_3, \tau)}{x'} d^3 x' d^3 v_3 \right\} = 0 \quad (4.2)$$

and its Fourier-Laplace transform defined by an equation of the type (2.12) may be obtained from

$$\hat{W}(\vec{k}, \vec{v}_1, \vec{v}_2, \rho) = \frac{1}{\rho - i \vec{k} \cdot \vec{v}_2} \left[ \hat{g}_A(\vec{k}, \vec{v}_1, \vec{v}_2) - \frac{i \omega_p^2}{k^2} \vec{k} \cdot \frac{\partial F(\vec{v}_2)}{\partial \vec{v}_2} \left\{ \frac{F(\vec{v}_1)}{8 \pi^3 n (\rho - i \vec{k} \cdot \vec{v}_1)} + \int \hat{W}(\vec{k}, \vec{v}_1, \vec{v}_3, \rho) d^3 v_3 \right\} \right] \quad (4.3)$$

We define the dynamic density correlation by

$$G_p(\vec{k}) = \int \hat{W}(\vec{k}, \vec{v}_1, \vec{v}_2, \rho) d^3 v_1 d^3 v_2 \quad (4.4)$$

and find from a straightforward calculation

$$G_{p=i k z + \delta}(\vec{k}) = \frac{2 \pi}{k Z^-(z)} \left\{ H^-(z) - \frac{2 \pi i u_p^2}{8 \pi^3 n} \tilde{F}^-(z) \frac{\partial \tilde{F}^-(z)}{\partial z} \right\} \quad (4.5)$$

and for  $G_{\rho=i k z-\delta}(\vec{R})$  just the complex conjugate of eq. (4.5) ( $z$  is real).

The inverse Laplace transform  $G(\vec{R}, \tau)$  may be written as

$$G(\vec{R}, \tau) = \frac{\kappa}{2\pi} \int G_z(\vec{R}) \exp(i k z \tau) dz \quad (4.6)$$

where

$$G_z(\vec{R}) = G_z^+(\vec{R}) + G_z^-(\vec{R}) = G_{\rho=i k z-\delta}(\vec{R}) + G_{\rho=i k z+\delta}(\vec{R})$$

For  $\tau > 0$  only  $G_z^-(\vec{R})$  gives a contribution in eq.(4.6), for  $\tau < 0$  only  $G_z^+(\vec{R})$  and for  $\tau = 0$  both  $G_z^+(\vec{R})$  and  $G_z^-(\vec{R})$ .

Using the relation between  $H^-(u)$  and  $H(u)$  given in eq.(3.2) one derives easily

$$G_z(\vec{R}) = \frac{2\pi}{\kappa} \frac{2\pi i u_p^2}{8\pi^3 \hbar} \frac{\tilde{F}(z)}{\partial \tilde{F}(z)/\partial z} \cdot \left[ \frac{(\partial \tilde{F}^+(z)/\partial z)^2}{Z^+(z)} - \frac{(\partial \tilde{F}^-(z)/\partial z)^2}{Z^-(z)} \right] \quad (4.7)$$

It is now obvious that from eq.(4.6) with  $\tau = 0$  the  $G(\vec{R})$  is obtained as given by eq.(3.11)

It is interesting that we succeeded in deriving  $G(\vec{R})$  without actually solving the integral equation (3.2) by considering dynamic correlation functions which contain more information about the system.

### 5. Relations between fluctuations and the correlation function.

The precise microscopic density of an actual system may be written as

$$n(\vec{x}, t) = \sum_{i=1}^N \delta\{\vec{x} - \vec{x}_i(t)\} \quad (5.1)$$

For the ensemble average  $\langle n(\vec{x}, t) \rangle$  we find of course

$$\langle n(\vec{x}, t) \rangle = n \equiv N/V$$

We now consider averages of the type

$$\begin{aligned} \langle n(\vec{x}, t) n(\vec{x}', t) \rangle &= \int \sum_{i=1}^N \sum_{j=1}^N \delta(\vec{x}_i - \vec{x}) \cdot \\ &\cdot \delta(\vec{x}_j - \vec{x}') D(1, \dots, N) d\xi_1 \dots d\xi_N \end{aligned}$$

and find using the symmetry of  $D$  with respect to interchange of particles

$$\begin{aligned} \langle n(\vec{x}) n(\vec{x}') \rangle &= N/V \delta(\vec{x} - \vec{x}') \int \delta(\vec{x} - \vec{x}_1) F(1) d\xi_1 \\ &+ N(N-1)/V^2 \int \delta(\vec{x}_1 - \vec{x}) \delta(\vec{x}_2 - \vec{x}') F_2^*(1, 2) d\xi_1 d\xi_2 \\ &= n \delta(\vec{x} - \vec{x}') + n^2 \int \delta(\vec{x}_1 - \vec{x}) \cdot \\ &\quad \delta(\vec{x}_2 - \vec{x}') F_2^*(1, 2) d\xi_1 d\xi_2 \end{aligned}$$

where  $F_2^*(1, 2)$  is defined by eq. (2.1) or (2.2).

We note that the functions  $F_2^*$  and not  $F_2$  have direct physical meaning in calculating ensemble averages.

Defining the Fourier transform

$$P(\vec{k}) = \frac{1}{8\pi^3} \int \{ \langle n(\vec{x}) n(\vec{x} + \vec{X}) \rangle - n^2 \} \cdot \exp(-i\vec{k} \cdot \vec{X}) d^3X \quad (5.2)$$

and using eqs. (2.4) and (3.10) we find

$$P(\vec{k}) = \frac{n}{8\pi^3} \{ 1 + 8\pi^3 n G(\vec{k}) \} \quad (5.3)$$

We may also define the Fourier transform of the actual density by

$$n(\vec{x}) - n = \int e(\vec{k}) \exp(i\vec{k} \cdot \vec{x}) d^3k \quad (5.4)$$

Substituting this in eq. (5.2) we obtain

$$P(\vec{k}) = \int \langle e(\vec{k}_1) e(\vec{k}) \rangle \exp\{i(\vec{k}_1 + \vec{k}) \cdot \vec{x}\} d^3k_1$$

or, because  $P(\vec{k})$  is independent of  $\vec{x}$ , integration over configuration space gives

$$P(\vec{k}) = \frac{8\pi^3}{V} \langle e(\vec{k}) e(-\vec{k}) \rangle$$

The reality of  $n(\vec{x}) - n$  in eq. (5.4) implies  $e(-\vec{k}) = e^*(\vec{k})$  and therefore

$$P(\vec{k}) = \frac{8\pi^3}{V} \langle |e(\vec{k})|^2 \rangle \quad (5.5)$$

Following quite similar lines we find for the fluctuations of the electric field

$$\langle E_\alpha(\vec{x}) E_\beta(\vec{x}') \rangle = \frac{2e^2 n}{\pi} \int \frac{k_\alpha k_\beta}{k^4} \cdot \left\{ 1 + 8\pi^3 n G(\vec{k}) \right\} \exp\{i\vec{k}_0 \cdot (\vec{x} - \vec{x}')\} d^3k \quad (5.6)$$

We get therefore for the mean electrostatic energy density

$$\mathcal{E} = \frac{1}{8\pi} \langle E^2(\vec{x}) \rangle = \int W(\vec{k}) d^3k \quad (5.7)$$

with

$$W(\vec{k}) = \frac{e^2 n}{4\pi^2} \frac{1 + 8\pi^3 n G(\vec{k})}{k^2} = \frac{2\pi e^2 P(\vec{k})}{k^2} \quad (5.8)$$

We now want to express  $W(\vec{k})$  in terms of the Fourier transform of the electric field in order to derive eq. (5.8) more directly.

The total energy is

$$\begin{aligned} \mathcal{E} V &= \frac{1}{8\pi} \int \langle E^2(\vec{x}) \rangle d^3x \\ &= \frac{1}{8\pi} \int \langle \vec{E}(\vec{k}_1) \vec{E}(\vec{k}_2) \rangle \exp\{i(\vec{k}_1 + \vec{k}_2) \cdot \vec{x}\} d^3k_1 d^3k_2 d^3x \\ &= \pi^2 \int \langle \vec{E}(\vec{k}) \vec{E}(-\vec{k}) \rangle d^3k \\ &= \pi^2 \int \langle |\hat{E}(\vec{k})|^2 \rangle d^3k \end{aligned}$$



Therefore

$$W(\vec{k}) = \frac{\pi^2}{V} \langle |\hat{E}(\vec{k})|^2 \rangle \quad (5.9)$$

The Poisson equation now leads to eq.(5.8) as follows

$$W(\vec{k}) = \frac{\pi^2}{V} (4\pi e)^2 \frac{1}{k^2} \langle |\rho(\vec{k})|^2 \rangle = \frac{2\pi e^2 P(\vec{k})}{k^2}$$

Substituting eq. (3.13) into eq. (5.8) we have

$$W(\vec{k}) = \frac{m u_p^2}{16 \pi^3} \int \frac{\tilde{F}(u)}{\lambda^2(u) + \mu^2(u)} du \quad (5.10)$$

where  $\lambda(u)$  and  $\mu(u)$  are given in eq. (3.14).

For small  $k$  we find from eq. (3.15)

$$W(\vec{k}) \approx \frac{m u_p}{32 \pi^3} \left\{ \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=u_p} + \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=-u_p} \right\} \quad (5.11)$$

In equilibrium we recover the familiar result

$$W(\vec{k}) = \frac{1}{8\pi^3} \frac{\theta}{2} \frac{k_d^2}{k^2 + k_d^2} \quad (5.12)$$

$$k_d^2 = z_d^{-2} = \frac{4\pi n e^2}{\theta}$$

## 6. Test particle problem.

We consider a test particle performing a straight trajectory  $\vec{X} = \vec{x}_0 + \vec{v}_0 t$ .

The field particles have an equilibrium distribution

$F(\vec{v})$  with the normalisation  $\int F(\vec{v}) d^3v = 1$ .

The test particle causes a perturbation of the distribution of the field particles and an electric potential in the plasma.

The distribution function of the field particles takes the form

$$f(\vec{x}, \vec{v}, \vec{x}_0, \vec{v}_0, t) = F(\vec{v}) + f_1(\vec{x} - \vec{x}_0 - \vec{v}_0 t, \vec{v}, \vec{v}_0, t)$$

and the potential may be written as

$$\phi(\vec{x} - \vec{x}_0 - \vec{v}_0 t, \vec{v}_0, t)$$

It is simpler to describe the phenomena in the reference system of the test particle. In lowest order of  $\epsilon = (n r_D^3)^{-1}$  we may use the linearized Vlasov equation

$$\begin{aligned} \left( \frac{\partial}{\partial t} + \vec{v} \cdot \frac{\partial}{\partial \vec{x}} + \vec{v}_0 \cdot \frac{\partial}{\partial \vec{X}} \right) f_1(\vec{x} - \vec{X}, \vec{v}, \vec{v}_0, t) = \\ = - \frac{e}{m} \frac{\partial F(\vec{v})}{\partial \vec{v}} \cdot \frac{\partial \phi(\vec{x} - \vec{X}, \vec{v}_0, t)}{\partial \vec{X}} \end{aligned} \quad (6.1)$$

and the Poisson equation

$$\begin{aligned} \frac{\partial}{\partial \vec{X}} \cdot \frac{\partial \phi(\vec{x} - \vec{X}, \vec{v}_0, t)}{\partial \vec{X}} = 4\pi e \left[ \delta(\vec{x} - \vec{X}) \right. \\ \left. + n \int f_1(\vec{x} - \vec{X}, \vec{v}, \vec{v}_0, t) d^3v \right] \end{aligned} \quad (6.2)$$

It is already clear from eq. (6.2) that if  $\phi$  is completely shielded the integral in configuration space over the right-hand side should vanish and therefore

$$\int f_1(\vec{x}, \vec{v}, \vec{v}_0, t) d^3v d^3x = -\frac{1}{n} \quad (6.3)$$

This is similar to eq. (2.5) if one replaces  $g^{*(1,2)}$  by  $F(\vec{v}) f_1(\vec{x}, \vec{v}, \vec{v}_0, t)$

Indeed, performing a straightforward analysis along the lines of Rosenbluth and Rostoker<sup>13)</sup> we find in the limit  $t \rightarrow \infty$  for the Fourier transforms in space

$$\hat{\phi}(\vec{k}, u_0) = -\frac{e}{2\pi^2 k^2} \frac{1}{Z^-(\vec{k}, u_0)} \quad (6.4)$$

$$\int \hat{f}_1(\vec{k}, \vec{v}, u_0) d^3v = -\frac{1}{8\pi^3 n} \frac{2\pi i u_p^2 \partial \tilde{F}(\vec{x}, u_0) / \partial u_0}{Z^-(\vec{k}, u_0)} \quad (6.5)$$

Compare for the notation with eqs. (2.14), (3.3), (3.4), (3.5) and (3.7). It is seen immediately that

$$\int \hat{f}_1(\vec{k}=\vec{0}, \vec{v}, u_0) d^3v = -\frac{1}{8\pi^3 n}$$

in agreement with eq. (6.3).

It should be remarked that, although both  $f_1$  and the correlation function describe screening and satisfy the same normalisation corresponding to complete screening, there exists no simple relation between the two in

general. It is, however, possible to derive the fluctuation spectra  $P(\vec{k})$  and  $W(\vec{k})$  and therefore also the integrated correlation function  $G(\vec{k})$  from eq. (6.4) and (6.5).

From eq. (5.9) and a natural interpretation of averages in the test particle problem we get

$$W(\vec{k}) = \pi^2 n k^2 \int_{-\infty}^{+\infty} \hat{\phi}(\vec{k}, u_0) \hat{\phi}^*(\vec{k}, u_0) \tilde{F}(\vec{x}, u_0) du_0 \quad (6.6)$$

$nV \tilde{F}(u_0) du_0$  being the number of test particles in the range  $du_0$ , if one stops to distinguish test particles from field particles.

Substitution of eq.(6.4) in eq.(6.6) leads directly to eq.(5.10). The function  $\rho(\vec{k})$  defined in eq.(5.4) may be compared with

$$\rho'(\vec{k}, u_0) = \frac{1}{8\pi^3} + n \int \hat{f}_1(\vec{k}, \vec{v}, u_0) d^3v \quad (6.7)$$

where the first term of the right-hand side is the Fourier transform of a delta function and represents the contribution to the density of the test particle itself.

From eq.(6.5) we see that

$$\rho'(\vec{k}, u_0) = \frac{1}{8\pi^3 Z^-(\vec{k}, u_0)} \quad (6.8)$$

From eq. (5.5) and an averaging process as in eq.(6.6) follows

$$P(\vec{k}) = 8\pi^3 n \int_{-\infty}^{+\infty} e'(\vec{k}, u_0) e'^*(\vec{k}, u_0) \tilde{F}(\vec{x}, u_0) du_0 \quad (6.9)$$

Substitution of eq.(6.8) in eq.(6.9) shows that the relation (5.8) exists between this  $P(\vec{k})$  and the  $W(\vec{k})$  of eq.(5.10). This finishes the task of connecting the results of test particle calculations with the exact theory of the foregoing sections. It is clear that this connection exists as far as spatial correlations are concerned but not with respect to correlations in velocity space. The complete correlation function  $g(1,2)$  cannot be found from test particle calculations.

We shall derive now some special results for small  $k$  which allow for an interesting physical interpretation.

The energy per unit time emitted by a test particle due to the drag exerted by its asymmetric cloud is  $e\vec{v}_0 \cdot \frac{\partial \phi(\vec{x}-\vec{x}', \vec{v}_0)}{\partial \vec{x}'}$

The emission per unit time, unit volume and per Fourier mode is therefore, according to eq.(6.4), given by

$$W_e(\vec{k}) = \frac{e^2 n}{2\pi^2} \int_{-\infty}^{+\infty} \frac{i k u_0 \tilde{F}(\vec{x}, u_0)}{k^2 Z^-(\vec{k}, u_0)} du_0 \quad (6.10)$$

For small  $k$  the integrand has sharp peaks at  $u = \pm u_p$  and the integral is easily seen to yield

$$W_e(\vec{k}) \approx - \frac{k m u_p^4}{16 \pi^2} \left\{ \tilde{F}(\vec{x}, u_p) + \tilde{F}(\vec{x}, -u_p) \right\} \quad (6.11)$$

It is clear that the right-hand side corresponds to plasma waves emitted by fast particles with velocities in the neighbourhood of  $\pm u_p$ , the phase velocities of the waves. The energy density of the waves consists of two parts, namely the energy density of the electrostatic field  $E^2/8\pi$  and the kinetic energy density of the ordered motion connected with the electric current density. This energy density follows simply from

$$\frac{1}{2} n m \left( \frac{j}{en} \right)^2 = \frac{2\pi j'^2}{\omega_p^2} \simeq \frac{E^2}{8\pi} \quad (6.12)$$

because

$$\vec{j} = -\frac{1}{4\pi} \frac{\partial \vec{E}}{\partial t} \simeq -\frac{\omega_p}{4\pi} \vec{E}$$

Per unit volume and Fourier mode we therefore have from eq.(5.11) an energy density of the waves given by

$$2W(\vec{k}) \simeq \frac{m u_p}{16\pi^3} \left\{ \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=u_p} + \left| \frac{\tilde{F}(u)}{\partial \tilde{F}(u)/\partial u} \right|_{u=-u_p} \right\} \quad (6.13)$$

In thermodynamic equilibrium this is the Rayleigh Jeans spectrum<sup>\*</sup>)

$$2W(\vec{k}) \simeq \frac{\theta}{8\pi^3} \quad (6.14)$$

Applying now Kirchhoff's law separately to the waves

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\* Usually the Rayleigh Jeans spectrum contains an extra factor 2 in the right-hand side. This is correct for electromagnetic waves which have twice as many degrees of freedom than electrostatic waves because of the magnetic field.

in positive and negative direction we find from eqs.(6.11) and (6.13) the absorption coefficient  $A(\vec{k})$  as

$$A_{\pm}(\vec{k}) = \pi k u_p^3 \left| \frac{\partial \tilde{F}(\vec{k}, u)}{\partial u} \right|_{u=\pm u_p} = 2 \gamma_{L\pm}(\vec{k}) \quad (6.15)$$

where  $\gamma_L$  is the Landau damping coefficient.

This is exactly the absorption coefficient we should have expected.

In Ref. 12 and 13 Kirchhoff's law was already stated in the form ( for thermal equilibrium)

$$W_e(\vec{k}) = \frac{\theta}{8\pi^3} 2 \gamma_L(\vec{k}) \quad (6.16)$$

but no explanation was given there why the right-hand side contains  $2 W(\vec{k})$  instead of  $W(\vec{k})$ .

The physical interpretation of our small  $k$ -results is quite clear. Fast particles emit plasma waves which are absorbed again by the Landau damping mechanism. In the meta-equilibrium investigated here these processes balance each other and the energy density of the waves may be considered as following from Kirchhoff's law.

## 7. Discussion of the results.

It should be pointed out that the expressions  $H(\vec{k}, u)$  and  $G(\vec{k})$  do not necessarily converge uniformly to a limit if  $\vec{k} \rightarrow 0$ . It is true that if one takes first the limit  $\vec{k} \rightarrow 0$  before evaluating the integrals in in eqs.(3.8) up to (3.13) one finds

$$H(u) \rightarrow -\tilde{F}(u), \quad 8\pi^3 n G(\vec{k}) \rightarrow -1$$

This, however, is only correct if the integrands in eqs.(3.9) and (3.12) approach zero faster than  $|u|^{-1}$  for large  $|u|$ .

We examine in fact the three following points for  $\vec{k} \rightarrow 0$

- a) uniform convergence of  $H(\vec{k}, u)$
- b) uniform convergence of  $G(\vec{k}) \rightarrow -(\delta\pi^3 n)^{-1}$  which corresponds to the complete screening of a particle.
- c) finite limit for  $W(\vec{k})$

From eqs.(3.9),(3.12) and (5.10) it may be seen that in all these cases we have to impose a condition on expressions of the form  $u^n F(u) (\frac{\partial F}{\partial u})^{-1}$  when  $|u| \rightarrow \infty$ .

These conditions lead to an asymptotic behaviour of the form

$$F(u) \rightarrow P_m(u) \exp(-\alpha |u|^\beta)$$

where  $P_m(u)$  is an arbitrary polynomial and  $\alpha$  a positive number.

The values for  $n$  and  $\beta$  are indicated below for the three cases:

$$\begin{array}{ll} \text{a)} & n = 0 \quad \beta > 1 \\ \text{b)} & n = -1 \quad \beta > 0 \\ \text{c)} & n = 1 \quad \beta \geq 2 \end{array} \quad (7.1)$$

We consider as example the often used class of distribution functions<sup>11)</sup>

$$F(\vec{v}) = \frac{C_2}{(v^2 + a^2)^2}, \quad \tilde{F}(u) = \frac{A_2}{(u^2 + a^2)^{2-1}}, \quad A_2 = \frac{\pi C_2}{2-1} \quad (7.2)$$



which violates all conditions (7.1) and leads to both an incomplete screening and a divergence of the long wavelength energy density components. We find namely in this case

$$\begin{aligned} G(\vec{k}) &= -\frac{\lambda}{8\pi^3 n} \frac{k_0^2}{k^2 + k_0^2} \\ W(\vec{k}) &= \frac{ne^2}{4\pi^2 k^2} \frac{k^2 + (1-\lambda)k_0^2}{k^2 + k_0^2} \\ k_0^2 &= \frac{(2z-3)\omega_p^2}{a^2} \quad \lambda = \frac{z-3/2}{z-1} \end{aligned} \quad (7.3)$$

It is interesting to note that the violation of the normalisation condition  $G(\vec{k}=0) = -(8\pi^3 n)^{-1}$  (complete screening) is removed if one uses the non-uniform convergence of  $G(\vec{k})$  in order to write

$$G(\vec{k}) = -\frac{1}{8\pi^3 n} \left\{ \frac{\lambda k_0^2}{k^2 + k_0^2} + (1-\lambda)\delta_{k,0} \right\} \quad (7.4)$$

where  $\delta_{k,0}$  is a Kronecker delta corresponding to  $V^{-1}$  in configuration space. This has clearly no sense because the basic equations were valid in the limit  $V \rightarrow \infty$  but it shows that the results do not violate the neutrality condition for the system as a whole. For finite  $V$  the  $\vec{k}$ -spectrum is discrete and one finds also from eq.(7.4) that  $W(\vec{k})$  is proportional to  $V^{2/3}$  for small (discrete)  $k$ .

In order to get a physical insight in our difficulties we should realize that the usual treatment is a first order theory in a small parameter  $\mathcal{E}$ . It is a good approximation only for  $\mathcal{E} < \mathcal{E}_0$ , say, where  $\mathcal{E}_0$  may still be a function of  $k$ . We suggest that at least for some velocity distributions  $\mathcal{E}_0(k) \rightarrow 0$ , if  $k \rightarrow 0$ .

This situation is well known in another related problem namely the damping of plasma oscillations. In zero order of  $\mathcal{E}$  one finds the Landau damping which is exponentially small for small  $K$ <sup>8,14)</sup> In first order of  $\mathcal{E}$  Ichikawa<sup>15)</sup> finds a damping proportional to  $K^2$ . Therefore the validity of the zero order theory is clearly restricted to a range of  $\mathcal{E}$  which decreases with decreasing  $K$ .

We notice that our difficulties with small  $K$ -components are associated with large velocity properties of the distribution function. For example one may visualize the meta-equilibrium spectrum as resulting from the competition between the emission of electrostatic waves by fast particles and their absorption by the Landau damping mechanism as described in section 6. The breakdown of the expansion in  $\mathcal{E}$  is connected with the fact that for small  $K$  other mechanisms (like the collisional damping of Ichikawa) take over Landau damping. To each new absorption effect corresponds a new emission effect. At thermal equilibrium the resulting spectrum is always the Rayleigh Jeans one no matter which processes are involved but this is no more true outside thermodynamic equilibrium.

Of course, as soon as we cut off the velocity distribution all difficulties disappear for small enough  $\mathcal{E}$ , the critical  $\mathcal{E}_0$  decreasing for increasing cut-off velocity.

#### 8. Equation of state.

An interesting application of the correlation function may be found in the interaction part of the equation of state as given by the virial theorem. Usually the virial theorem is derived by means of a

time averaging process on the equations of motion of the particles. It is a bit unsatisfactory that the interaction part of the equation of state is to be calculated with the aid of the correlation function usually obtained as an ensemble average. Although one should expect time- and ensemble averages to be equal, this has never been proven and it is therefore more elegant to derive the virial theorem purely by means of ensemble averages. This is done in this section. The procedure shows moreover more clearly under which conditions the resulting equation is valid. It is shown for instance that the virial theorem is also valid in our meta-equilibrium situation.

We start from the Liouville equation

$$\left[ \frac{\partial}{\partial t} + \sum_{i=1}^N \left\{ \vec{v}_i \cdot \frac{\partial}{\partial \vec{x}_i} - \frac{1}{m} \sum_{j \neq i, j=1}^N \frac{\partial \phi_{ij}}{\partial \vec{x}_i} \cdot \frac{\partial}{\partial \vec{v}_i} + \frac{1}{m} \vec{K}_i \cdot \frac{\partial}{\partial \vec{v}_i} \right\} \right] D(1, \dots, N) = 0 \quad (8.1)$$

where  $\phi_{ij}$  is the interaction potential between particles  $i$  and  $j$  and  $\vec{K}_i$  the external force on particle  $i$ .

We perform the following manipulations:

- multiplication of eq.(8.1) with  $\sum_{k=1}^N \vec{x}_k \cdot \vec{v}_k$  and integration over the entire  $\Gamma$ -space.
- Reduction of the sums by means of the symmetry of  $D$  in its arguments.
- Demonstration that some terms vanish, because they can be written as integrals over the divergence of a vectorfield vanishing at infinity or at the surface of the system.

The result is in the notation of section 2

$$\begin{aligned}
 & n \frac{\partial}{\partial t} \int \vec{x}_1 \cdot \vec{v}_1 F(1) d\xi_1 + n \int \vec{x}_1 \cdot \vec{v}_1 \vec{v}_1 \cdot \frac{\partial F(1)}{\partial \vec{x}_1} d\xi_1 \\
 & - \frac{n^2}{m} \int \vec{x}_1 \cdot \vec{v}_1 \frac{\partial \phi_{12}}{\partial \vec{x}_1} \cdot \frac{\partial F_2^*(1,2)}{\partial \vec{v}_1} d\xi_1 d\xi_2 + \\
 & + \frac{n}{m} \int \vec{K}(\vec{x}_1) \cdot \frac{\partial F(1)}{\partial \vec{v}_1} \vec{x}_1 \cdot \vec{v}_1 d\xi_1 = 0
 \end{aligned} \tag{8.2}$$

The first term vanishes in the approximation where  $F(1)$  is stationary, i.e. in our meta-equilibrium. It vanishes also for distribution functions isotropic in velocity - or configuration space even if they were non-stationary.

We introduce

$$\vec{K}(\vec{x}) = - \frac{\partial \phi_e(\vec{x})}{\partial \vec{x}} + \vec{K}_w(\vec{x}) \tag{8.3}$$

where  $\phi_e(\vec{x})$  is some external potential and  $\vec{K}_w(\vec{x})$  the wall force on a particle, which is assumed to be zero everywhere in the system except in a small layer around the system where it increases very fast to infinity. This implies that  $F(1) = 0$  if particle 1 is at the surface of the system and  $F_2(1,2) = 0$  if particle 1 or 2 is at the surface.

The second term in eq. (8.2) may be written as ( in index notation and without the subscript 1)

$$\begin{aligned}
 & n \int x_\alpha v_\alpha v_\beta \frac{\partial F}{\partial x_\beta} d\xi = n \int x_\alpha \frac{\partial \langle v_\alpha v_\beta \rangle}{\partial x_\beta} d^3x = \\
 & = n \int \left\{ \frac{\partial}{\partial x_\beta} (x_\alpha \langle v_\alpha v_\beta \rangle) - \langle v_\alpha^2 \rangle \right\} d^3x = -n \int \langle v_\alpha^2 \rangle d^3x \tag{8.4}
 \end{aligned}$$

because  $\langle v_\alpha v_\beta \rangle = \int v_\alpha v_\beta F d^3v$  vanishes at the surface. The third term in eq. (8.2) becomes for central interaction forces

$$\begin{aligned} \frac{n^2}{m} \int \vec{x}_1 \cdot \vec{v}_1 \frac{\partial \phi_{12}}{\partial \vec{x}_1} \cdot \frac{\partial F_2^*}{\partial \vec{v}_1} d\xi_1 d\xi_2 &= \\ &= -\frac{n^2}{m} \int \vec{x}_1 \cdot \frac{\partial \phi_{12}}{\partial \vec{x}_1} F_2 d\xi_1 d\xi_2 = \\ &= -\frac{n^2}{2m^2} \int z \frac{\partial \phi_{12}(z)}{\partial z} \mu(\vec{z}, \vec{x}_1) d^3x_1 d^3x_2 \end{aligned} \quad (8.5)$$

where

$$\vec{z} = \vec{x}_1 - \vec{x}_2 \quad \mu(\vec{z}, \vec{x}_1) = \int F_2(z) d^3v_1 d^3v_2 \quad (8.6)$$

The last term in the left-hand side of eq.(8.2) may be transformed as

$$\begin{aligned} \frac{n}{m} \int \vec{K}(\vec{x}) \cdot \frac{\partial F(\xi)}{\partial \vec{v}} \vec{x} \cdot \vec{v} d\xi &= \\ &= -\frac{n}{m} \int \vec{x} \cdot \vec{K}(\vec{x}) F(\xi) d\xi = -\frac{1}{m} \int n(\vec{x}) \vec{x} \cdot \vec{K}(\vec{x}) d^3x \end{aligned} \quad (8.7)$$

where  $n(\vec{x}) = n \int F(\xi) d^3v$  is the local density.

We substitute eq.(8.3) in the right-hand side of eq.(8.7) and notice that  $n(\vec{x}) \vec{K}_w(\vec{x})$  is the force per unit volume exerted by the walls on the system. It exists only in the small boundary layer and may be identified with  $-\vec{n} p dS$  where  $p$  is the hydrostatic pressure of the system exerted on the walls,  $dS$  a surface element and  $\vec{n}$  the unit vector normal to it. If  $\rho$  is position independent we now have

$$\int n(\vec{x}) \vec{x} \cdot \vec{K}_w(\vec{x}) d^3x = -\rho \int \vec{x} \cdot \vec{n} dS = -3\rho V \quad (8.8)$$

If  $p$  does depend on position eq.(8.8) defines an average hydrostatic pressure.

From eqs. (8.2) up to (8.8) we derive the quite general equation of state

$$\begin{aligned} p = & \frac{1}{3} nm \frac{1}{V} \int \langle v^2 \rangle d^3x \\ & - \frac{n^2}{6V} \int z \frac{\partial \phi_{12}(z)}{\partial z} \mu(\vec{z}, \vec{x}_1) d^3x_1 d^3x_2 \\ & - \frac{1}{3V} \int n(\vec{x}) \vec{x} \cdot \frac{\partial \phi_e(\vec{x})}{\partial \vec{x}} d^3x \end{aligned} \quad (8.9)$$

In the case of a homogeneous electron gas and a neutralizing continuous background we write

$$\mu(\vec{z}) = 1 + \Gamma(\vec{z}) \quad (8.10)$$

where  $\Gamma(\vec{z})$  is the configurational correlation function and we identify  $\phi_e(\vec{x})$  with the potential of the background

$$\phi_e(\vec{x}) = -n \int \phi_{12}(z) d^3x_2 \quad (8.11)$$

Then the third term of the right-hand side of eq.(8.9) cancels against that part of the second term which comes from the constant in eq.(8.10). Extending the volume integrals to infinity and using the smallness of the range of  $\Gamma(\vec{z})$  we get

$$p = \frac{1}{3} nm \langle v^2 \rangle - \frac{n^2}{6} \int z \frac{\partial \phi_{12}(z)}{\partial z} \Gamma(\vec{z}) d^3z \quad (8.12)$$

Substituting the Coulomb potential and Fourier transforms we may write

$$\rho = \frac{1}{3} n m \langle v^2 \rangle + \frac{n e^2}{12 \pi^2} \int \frac{8 \pi^3 n G(\vec{k})}{k^2} d^3 k \quad (8.13)$$

where  $G(\vec{k})$  is given in e.g. eq.(3.13).

For isotropic distributions  $G(\vec{k})$  depends only on the magnitude  $k$  and eq.(8.13) may be written as

$$\rho = \frac{1}{3} n m \langle v^2 \rangle \left( 1 - \frac{\alpha}{24 \pi n z_d^3} \right) \quad (8.14)$$

In thermal equilibrium  $\alpha=1$  corresponding to the usual result of the Debye Hückel theory and for the class of distribution functions (7.2) we find

$$\alpha = 3 \left( 3 \frac{2z-3}{2z-5} \right)^{1/2} \frac{z-3/2}{z-1}, \quad z=3, 4, \dots$$

if the Debye length is defined by

$$z_d^2 = \frac{\langle v^2 \rangle}{\omega_p^2} = \frac{3 a^2}{(2z-5) \omega_p^2}$$

#### Acknowledgement

I want to thank Dr. M. Feix for many valuable discussions. A considerable part of the work on the correlation functions has been done in cooperation with him.<sup>2)</sup>

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Appendix. Solution of the Integral Equation (3.6).

From eq. (3.6) we have

$$8\pi^3 n H^+ = 2\pi i u_p^2 Z^+ \left[ \frac{\tilde{F}^- - \frac{\partial \tilde{F}^-}{\partial u} - \tilde{F}^+ \frac{\partial \tilde{F}^-}{\partial u}}{Z^+ Z^-} \right]^+$$

and a similar equation for  $H^-$ . We have omitted the argument  $u$  for the sake of brevity.

We express  $\frac{\partial \tilde{F}^-}{\partial u}$  and  $\frac{\partial \tilde{F}^+}{\partial u}$  in terms of  $Z^\pm$

by means of eq. (3.7) and obtain

$$\begin{aligned} 8\pi^3 n H^+ &= Z^+ \left[ \frac{\tilde{F}^- - \tilde{F}^- Z^+ - \tilde{F}^+ Z^-}{Z^+ Z^-} \right]^+ \\ &= -\tilde{F}^+ + \left( \frac{\tilde{F}^-}{Z^+ Z^-} \right)^+ Z^+ \end{aligned}$$

Adding the corresponding equation for  $H^-$  and using eq. (3.7) in the form

$$Z^- = Z^+ - 2\pi i u_p^2 \frac{\partial \tilde{F}^-}{\partial u}$$

we see that

$$8\pi^3 n H = -\tilde{F}^+ + \frac{\tilde{F}^-}{Z^-} - 2\pi i u_p^2 \frac{\partial \tilde{F}^-}{\partial u} \left( \frac{\tilde{F}^-}{Z^+ Z^-} \right)^-$$

or, using again eq. (3.7) in order to split up the denominator  $Z^+ Z^-$ ,

$$8\pi^3 n H = -\tilde{F}^+ + \frac{\tilde{F}^-}{Z^-} - \frac{\partial \tilde{F}^-}{\partial u} \left\{ \frac{\tilde{F}^-}{\frac{\partial \tilde{F}^-}{\partial u}} \left( \frac{1}{Z^+} - \frac{1}{Z^-} \right) \right\}^-$$

By means of the identity

$$\frac{\tilde{F}}{Z^-} \equiv \frac{\partial \tilde{F}}{\partial u} \left\{ \left( \frac{\tilde{F}}{\partial \tilde{F} / \partial u Z^-} \right)^+ + \left( \frac{\tilde{F}}{\partial \tilde{F} / \partial u Z^-} \right)^- \right\}$$

we now arrive directly at eq. (3.9).

The same identity again with unity instead of  $Z^-$  leads with the aid of eq.(3.7) from eq.(3.9) to eq.(3.8).