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## **A Feasibility Study of Tungsten-Fiber-Reinforced Tungsten Composites with Engineered Interfaces**

**IPP 17 / 26**  
**Februar, 2011**

TECHNISCHE UNIVERSITÄT MÜNCHEN

Max-Planck-Institut für Plasmaphysik

A Feasibility Study of  
Tungsten-Fiber-Reinforced Tungsten  
Composites with Engineered Interfaces

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Vollständiger Abdruck der von der Fakultät für Maschinenwesen der Technischen Universität München zur Erlangung des akademischen Grades eines

Doktor-Ingenieurs

genehmigten Dissertation.

Vorsitzender: Univ.-Prof. Dr.-Ing. H. Baier

Prüfer der Dissertation: 1. Hon.-Prof. Dr.-Ing., Dr.-Eng. (Japan) H. H. Bolt

2. Univ.-Prof. Dr. mont. habil. E. Werner

Die Dissertation wurde am 25.10.2010 bei der Technischen Universität München eingereicht und durch die Fakultät für Maschinenwesen am 11.02.2011 angenommen.



To my family



## Kurzfassung

Wolfram gilt als ein viel versprechender Kandidat für den Einsatz als Struktur- und Armierungsmaterial im Divertor eines thermonuklearen Fusionsreaktors. Allerdings ist seine Sprödigkeit (unzureichende Zähigkeit) der größte Nachteil bei einem Einsatz unter den anspruchsvollen thermomechanischen Bedingungen. Die mit konventionellen Ansätzen bisher erreichte Zähigkeit ist immer noch unzureichend für die Anforderungen. Deshalb gibt es einen hohen Bedarf an der Erforschung neuer Mechanismen zur Zähigkeitsteigerung, welche auch unter versprödenden Bedingungen aktiv bleiben.

In dieser Arbeit wird eine solche zähigkeitssteigernde Methode vorgeschlagen. Sie basiert auf einer Verstärkung mit Wolframfasern ( $W_f/W_m$ -Verbundwerkstoff) mit speziell beschichteten Grenzflächen. Die Methode ist analog dem zähigkeitssteigernden Mechanismus von keramikfaserverstärkten Keramiken (FCMC), der auf Energiedissipation durch kontrollierte Ablösungen und Reibung an der Faser/Matrix-Grenzfläche basiert. Die Brucheigenschaften der eingebrachten Grenzfläche sind die Schlüsselfaktoren, welche die Gesamtzähigkeit des Verbundes bestimmen. In dieser Arbeit wird eine ausführliche Analyse des Bruchverhaltens diverser Grenzflächen von  $W_f/W_m$ -Verbundwerkstoffen durchgeführt, um die Realisierbarkeit eines zähigkeitsgesteigerten  $W_f/W_m$ -Verbundwerkstoffes zu zeigen.

Kommerziell erhältlicher Wolframdraht wurde für die Faserverstärkung und dichtes Wolfram wurde als Matrix gewählt. Basierend auf duktilem Kupfer, sprödem Zirkon- und Erbiumoxid, schmierendem Kohlenstoff und deren Kombinationen wurden insgesamt 14 verschiedene Beschichtungen als Grenzflächen untersucht. Die Grenzflächen wurden in einer Magnetronbeschichtungsanlage auf die Fasern aufgebracht. Die Wolframmatrix wurde durch chemische Gasphasenabscheidung (CVD) mit der vollen Dichte von  $19.3 \pm 0.2 \text{ g/cm}^3$  um die Fasern herum abgeschieden.

Die Eigenschaften der Grenzflächen wurden mit Hilfe von Faserdurchdruckversuchen (Push-out Test) an Einzelfaser- $W_f/W_m$ -Verbundwerkstoffen. Die Ergebnisse zeigen, dass die Grenzflächenbruchenergien das Kriterium für eine Rissablenkung erfüllen.

Die Struktur der Grenzflächen wurde sowohl vor als auch nach den Durchdruckversuchen mit Hilfe eines Rasterelektronenmikroskopes analysiert, das mit einem fokussierten Ionenstrahl zum freischneiden der Grenzflächen ausgerüstet ist. Die Ergebnisse lassen darauf schließen, dass sich die Versagenszone übereinstimmend mit

den Ergebnissen der Grenzflächenparameterbestimmung ausbildet. Die Ablenkung eines Risses an der Grenzfläche wurde durch einen 3-Punkt-Biegversuch unmittelbar gezeigt. Hierbei zeigte sich ein Verhalten ähnlich zähigkeitsgesteigertem FCMCs.

Grenzflächen aus Zirkon- und Erbiumoxid zeigten eine höhere thermische Stabilität, während Kupfer- und Kohlenstoffgrenzflächen stärker durch eine Wärmebehandlung beeinflusst wurden.

Die Vorhersage der mechanischen Eigenschaften für  $W_f/W_m$ -Verbundwerkstoffen mit mehreren Fasern lassen darauf schließen, dass das Versagensverhalten des  $W_f/W_m$ -Verbundwerkstoffes dem typisch „zäher“ Werkstoffe entsprechen wird. Dies ist in guter Übereinstimmung mit den ermittelten Grenzflächeneigenschaften und den Ergebnissen der Rissablenkungsuntersuchung und unterstützt die ursprüngliche Motivation dieser Arbeit.

## Abstract

Tungsten is a primary candidate for the structural and armor materials for the divertor of thermonuclear fusion reactors. However, the brittleness (lack of toughness) is the most critical drawback of tungsten under such complex thermo-mechanical operating conditions. The achieved and the projected toughness of tungsten based on conventional approaches are insufficient for the design requirements. Therefore, there is an urgent need to explore novel toughening mechanisms for tungsten to retain its toughness even under conditions of embrittlement.

In this work, such a toughening method for tungsten is proposed based on the reinforcement of tungsten fibers ( $W_f/W_m$  composites) and engineered interfaces. The underlying toughening mechanism is analogous to that of a fiber-reinforced ceramic matrix composite (FCMC), which relies on energy dissipation by controlled debonding and friction at the fiber/matrix interfaces. The fracture properties of the engineered interface are the key factors controlling the overall composite toughness. In this work, intensive analysis has been performed on the fracture behavior of various engineered interfaces of  $W_f/W_m$  composites for exploring the feasibility of producing a toughened  $W_f/W_m$  composite.

Commercial tungsten wires (fibers) were selected as reinforcement, while dense tungsten was chosen as the matrix. Based on the brittle zirconia and erbia, the ductile copper, the lubricating carbon, and their combinations, 14 types of coatings were used as interfaces. The interface coatings were deposited successfully via magnetron sputter deposition and the tungsten matrix was fabricated via chemical vapor deposition (CVD) with full density,  $19.3 \pm 0.2 \text{ g/cm}^3$ .

The interfacial parameters were calibrated by means of a fiber push-out test on a single-fiber  $W_f/W_m$  composite. The results showed that the interfacial fracture energy of employed interfaces satisfied the fracture criteria for the crack deflection.

Microscopic analysis of the interface structures was carried out before and after the push-out test by means of scanning electron microscope (SEM) equipped with focused ion beam (FIB) engraving the embedded interface domains. The results of the interfacial debonding location were in accordance with the interfacial parameter calibration results. The interfacial crack deflection was also directly demonstrated by a three-point bending (3PB) test, which coincided exactly with the typical behavior of a toughened FCMC.



Higher thermal stability was found in the zirconia and the erbia interfaces, while the copper and carbon interfaces were affected more by the heat treatment.

Mechanical property prediction of the  $W_f/W_m$  composites with multiple fibers indicated that the stress-strain curves of the involved  $W_f/W_m$  composites were of typical 'tough' material type, which agreed well with the interfacial parameter calibration results and the interfacial crack deflection demonstration results, supporting the primary motivation of this thesis.

## Symbol list

$B_1$  : constant,  $= E_m \nu_f / [E_m(1 - \nu_f) + E_f(1 + \nu_m)]$

$B_2$  :  $= 1 - 2\nu_f B_1$

$\Delta$  : average energy absorption,  $\text{kJ/m}^2$

$c$  : crack length, m

$c_*$  : critical crack length, m

$c_f$  : fiber volume fraction

$c_m$  : matrix volume fraction

$d_i$  : diameter of the indenter head,  $\mu\text{m}$

$d_f$  : diameter of fiber,  $\mu\text{m}$

$d_0$  : displacement in the dynamic event,  $\mu\text{m}$

$D_f$  : diameter of the specimen holder (down side),  $\mu\text{m}$

$D_m$  : diameter of the specimen,  $\mu\text{m}$

$E$  : Young's modulus, GPa

$E_m$  : matrix Young's modulus, GPa

$E_f$  : fiber Young's modulus, GPa

$E_c$  : composite Young's modulus, GPa

$E'$  :  $= E / (1 - \nu^2)$  in plain strain, GPa

$\zeta_m$  : matrix shear modulus,  $\approx E_m / [2(1 + \nu_m)]$ , GPa

$\Gamma$  : energy release rate,  $\text{J/m}^2$

$\Gamma_D$  : debonding energy release rate,  $=\Gamma_i$  in  $W_f/W_m$  composite,  $J/m^2$

$\Gamma_c$  : critical energy release rate, or fracture energy,  $J/m^2$

$\Gamma_i$  : the mixed-mode fracture energy of the interface,  $J/m^2$

$G_i$  : the mode II fracture energy of the interface, assumed to be equal to  $\Gamma_i$ ,  $J/m^2$

$\Gamma_f$  : the mode I fracture energy of fiber,  $J/m^2$

$\Gamma_m$  : the mode I fracture energy of matrix,  $J/m^2$

$H$  : specimen thickness, mm

$K$  : stress intensity factor,  $MPa \cdot m^{1/2}$

$K_I$  : fracture toughness of the single-fiber  $W_f/W_m$  composite,  $MPa \cdot m^{1/2}$

$K_c$  : critical stress intensity factor, or fracture toughness,  $MPa \cdot m^{1/2}$

$K_f$  : fiber fracture toughness,  $MPa \cdot m^{1/2}$

$K_m$  : matrix fracture toughness,  $MPa \cdot m^{1/2}$

$k$  : constant,  $=E_m \nu_f / [E_m (1 - \nu_f) + E_f (1 + \nu_m)]$

$L$  : embedded length, mm

$L'$  : stretched length, m

$l$  : sliding (debonding) length, mm

$\bar{l}_s$  : associated spacing of  $\sigma_{sat}$ ,  $\mu m$

$P$  : applied load, N

$P_i$  : initial debonding load, N

$P_d$  : partial debonding load, N

$P_{max}$  : maximum debonding load, N

$P_{fr}$  : maximum frictional sliding load, N

$\Delta P$  : the difference between  $P_{max}$  and  $P_{fr}$ , N

$p$  : applied pressure, MPa  
 $p_d$  : maximum stress at the complete debonding,  $= P_{\max} / \pi r_f^2$ , MPa  
 $p_R$  : residual stress, MPa  
 $\Omega$  : crack resistance  
 $\bar{R}$  : effective matrix radius, mm  
 $R$  : composite cylinder radius, mm  
 $r_f$  : fiber radial,  $\mu\text{m}$   
 $S$  : diameter of the specimen holder (upside), mm  
 $U$  : energy change of a load plate per unit thickness, J/m  
 $v_i$  : indenter velocity,  $\mu\text{m/s}$   
 $X$  : acoustic dissipation factor  
 $\chi$  : crack coefficient  
 $\alpha_1$  : elastic mismatch of the interface,  $= (E_f - E_m) / (E_f + E_m)$   
 $\alpha_2$  : elastic constant parameter in shear-lag theory  
 $\gamma$  : surface energy  
 $\varepsilon_{mc}$  : composite strain at matrix cracking, %  
 $\varepsilon_{sat}$  : composite strain at saturating matrix cracking, %  
 $\varepsilon_y$  : composite yield strain, %  
 $\varepsilon_u$  : composite ultimate strain, %  
 $\varepsilon_{f-u}$  : fiber ultimate strain, %  
 $\mu$  : friction coefficient  
 $\nu$  : Poisson's ration  
 $\nu$  : spring stretched length, m

$\sigma_i$  : cluster deviation of the push-out data

$\sigma$  : applied stress, far field average stress, MPa

$\sigma_y$  : main applied stress component (parallel to the interface), MPa

$\sigma_x$  : stress component acting normal to the interface, MPa

$\sigma_{mc}$  : matrix cracking stress, MPa

$\sigma_{sat}$  : saturating matrix cracking stress, MPa

$\sigma_y$  : composite yield stress, MPa

$\sigma_{f-yield}$  : fiber yield stress, MPa

$\sigma_u$  : composite ultimate stress, MPa

$\sigma_D$  : debonding stress,  $= 2c_f \sqrt{\frac{E_f E_c \Gamma_D}{c_m E_m r_r}}$ , MPa

$\sigma_S$  : sliding stress,  $= \left( \frac{2c_f E}{c_m E_m} \right) \frac{\tau_R}{\rho}$ , MPa

$\sigma_{f-u}$  : fiber ultimate stress, MPa

$\sigma_*$  : critical crack stress, MPa

$\sigma_0$  : interfacial residual stress, MPa

$\sigma_r$  : radial stress, MPa

$\sigma_R$  : interface radial stress, MPa

$\sigma_p$  : stress caused by Poisson's effect, MPa

$\sigma_z$  : applies axial stress, MPa

$\sigma_{z,\Delta T}$  : thermal mismatch radial stress, MPa

$\sigma_f^\infty$  : far-field average fiber stress, MPa

$\sigma_m^\infty$  : far-field average matrix stress, MPa

$\tau_d$  : interfacial shear strength, MPa

$\tau_{fr}$  : interfacial sliding shear stress, MPa

$\tau_0$  : residual shear stress,  $=\sigma_0 \times \mu$ , MPa

$\tau_R$  : asperity caused shear stress,  $=\sigma_R \times \mu$ , MPa

$\tau_{sliding}$  : average sliding stress, MPa

$\tau_{\Delta T}$  : thermal mismatch shear stress, MPa

$\tau_{R,\Delta T}$  : total shear stress consisting of  $\tau_R$  and  $\tau_{\Delta T}$ , MPa

$\tau_D$  : cohesive shear strength,  $=\left(\frac{\rho c_m E_m}{2c_f E}\right)\sigma_D$ , MPa

$$\sigma_A : = \frac{2c_f E_c \sqrt{E_f \tau_R}}{c_m E_m}$$

$$\xi : = 2\mu B_1 l_1 / r_f$$

$$\rho : = \left[ \frac{2\xi_m E_c}{c_m E_m E_f \log(R/r)} \right]^{1/2}$$

## Subscripts:

*i* indenter

*i* initial

0 original

*f* fiber

*m* matrix

*c* composite

*mc* matrix cracking

max maximum

min minimum

*d* debonding

*fr* friction

*y* yielding

*u* ultimate

*sat* saturating

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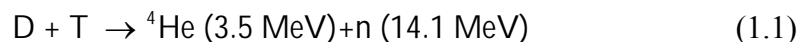
# Chapter 1

## Introduction

### 1.1 Motivation

The first realistic attempt to solve the world's energy problems by means of controlled thermonuclear fusion dates back to the 1950s [1]. In order to understand the underlying physical principles and technological capabilities of fusion, intensive activities have been carried out worldwide with a steady increase in performance over the decades. Several experimental fusion devices of different types and scales have been built with the main aim to understand the underlying physics and the material-related issues which are critical for the extreme loading conditions [2-7]. The International Thermonuclear Experimental Reactor (ITER), is currently under construction and aiming at exceeding the break-even point, meaning more output power would be generated than input power consumed [8]. Already, research and development for a Demonstration Power Plant (DEMO), the successor to ITER, which is aimed at demonstrating the feasibility of fusion as a commercial power source, has begun.

The most easily achievable fusion reaction for energy generation on earth is the fusion of the hydrogen isotopes deuterium (D) and tritium (T), due to their large cross-section at relatively moderate temperatures of about 100 MK [9]. The reaction products are helium and a high-energy neutron:



The hot plasma is thermally insulated from the surrounding materials via magnetic confinement [9]. Heat is generated by neutrons and highly energetic particles impacting the walls (blanket and divertor). The heat is then removed by coolants which, in turn, produce conventional electrical energy via steam generators.

The hottest wall region in a fusion reactor is the divertor located at the bottom of the vacuum chamber. The divertor system (consisting of the baffle, dome, inner and outer wing, as well as the vertical target) is responsible for both heat removal and exhausting the He ash, the unburnt D and T, and other impurities [10]. The choice of materials is limited to those that meet certain requirements including mechanical and thermo-physical

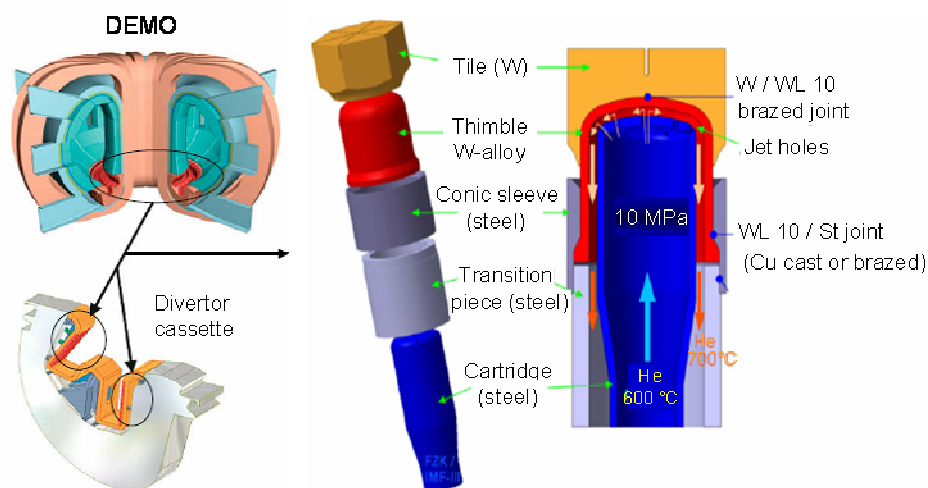
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properties, radiation effects, chemical compatibility and corrosion resistance, material availability, cost and joining technology, as well as safety and waste disposal requirements [11, 12].

For DEMO, surface heat fluxes up to  $15 \text{ MW/m}^2$  are expected in the divertor region [13]. Tungsten (W) has been selected as a primary candidate for the structural and armor materials for the divertor (see Table 1.1, the divertor modes according to the European Power Plant Conceptual Study (PPCS)) due to its unique thermo-physical properties (high conductivity and low expansion coefficient at high temperature, high strength and high melting point, and excellent surface erosion resistance) [14-17]. Nearly 15% of the total fusion thermal power has to be removed efficiently via the divertor—from the W armor to the coolant flowing through the structure material tubes [18]. Among the concept-designs of the divertor, the helium (He)-cooled divertor designs (Modes B and C according to PPCS, see Table 1.1) are one of the most attractive modes due to their high operation reliability and thermodynamic efficiency [18-19]. E.g., Fig. 1.1 shows the schematic drawing of the EU-HEMJ (He-cooled modular divertor with multiple-jet cooling) finger concept of a He-cooled W-alloy divertor system [20, 21]. The high-flux testing results of the mockups based on this design show that although the He-jet-cooling system can achieve the required divertor performance under heat flux of  $10 \text{ MW/m}^2$ , its operation reliability was extremely limited by the pre-existing defects caused by construction and the cracks formed due to the heat flux in the W structural alloys [21-23]. The simulation results based on an optimized concept (integrated plate/finger concept) showed that for He inlet/outlet temperatures of  $600 \text{ }^\circ\text{C}/700 \text{ }^\circ\text{C}$ , respectively, and a surface heat flux of  $10 \text{ MW/m}^2$ , the maximum W-alloy temperature is  $1241 \text{ }^\circ\text{C}$ , and the maximum combined stress is  $435 \text{ MPa}$  [24]. Therefore, the W structural material has to show stable, good performances under conditions with wide working temperature ranges from ( $600 \text{ }^\circ\text{C}$ , due to the joining to the ODS (oxide dispersion strengthening) steel) to  $1300 \text{ }^\circ\text{C}$  (due to the recrystallization of W), high pressures (He gas,  $\geq 10 \text{ MPa}$ ), and high thermal stresses ( $\sim 450 \text{ MPa}$ , caused by joining to ODS) and the heat flux impact during operation) [19-25]. Under such complex thermo-mechanical operating conditions, W is faced with a number of drawbacks: brittleness at “low” temperatures, overall low fracture toughness, DBTT (ductile brittle transition temperature) well above room temperature, and embrittlement due to neutron irradiation (production of lattice defects) and recrystallization [14-17]. Therefore, the most serious issue of W being used as plasma facing components (especially structural materials) is the low fracture toughness (low ductility).

**Table 1.1.** Operating conditions and requirements of the divertor component according to PPCS [14-17].

Parameters	Mode A	Mode B	Mode C	Mode D
Armor material	W	W	W	W
Structure material	Copper alloy (CuCrZr)	Tungsten at high heat removal part and advanced ODS as back-bone		SiC <sub>f</sub> /SiC
Divertor peak load	15 MW/m <sup>2</sup>	>10 MW/m <sup>2</sup>	>10 MW/m <sup>2</sup>	>5 MW/m <sup>2</sup>
Coolant	Water	He	He	He or liquid metal
Inlet/outlet temperature	140/325 °C	600/700 °C	600/700 °C	600 °C ~/-
Average neutron wall load	2.2 MW/m <sup>2</sup>	2.0 MW/m <sup>2</sup>	2.2 MW/m <sup>2</sup>	2.4 MW/m <sup>2</sup>
Highest structure material operation temperature	~550 °C	1200~1300 °C	1200~1300 °C	1200~1300 °C

**Figure 1.1.** Schematic drawing of the EU-HEMJ finger concept of a He-cooled W-alloy divertor system. WL 10: W-1% La<sub>2</sub>O<sub>3</sub>; St joint: steel joint [20-21]

In order to obtain safe and more reliable operating conditions, greater efforts and increasing budgets have been devoted to improve the ductility of tungsten. The “grain boundary designs”—the optimization of grain size and shape, grain boundary character and impurities, as well as dislocation density and arrangement—are the most widely employed methods [26-29]. Metallurgical techniques, such as dispersion (La<sub>2</sub>O<sub>3</sub>), doping (K), or alloying (Re), have been adopted to improve the grain boundary character and impurity; but approaches based on those techniques show no significant improvement in the low-temperature brittleness of tungsten [26-28]. An ultra-fine grain (UFG)

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microstructure created via severe plastic deformation (SPD), which has been adopted to increase the dislocation density resulted in an increase of the tungsten fracture toughness by a factor of five (to  $\sim 30 \text{ MPa}\cdot\text{m}^{1/2}$ ), but only at room temperature [29]. UFG-W alloys, which combine both redistribution of impurities and high dislocation densities were previously thought to exhibit a high fracture toughness. However, after being exposed to  $1200 \text{ }^\circ\text{C}$  for 1 h the fracture toughness of potassium-doped tungsten alloys treated by SPD is reduced severely due to recrystallization, since the onset of recovery and recrystallization is shifted towards lower temperatures due to the stored energy after SPD [14].

In spite of intensive research efforts, the hitherto achieved tungsten toughness based on these conventional approaches is still insufficient for the design requirements. Microstructure instability and embrittlement due to neutron irradiation are the main unsolved concerns. Additionally, requirements due to plasma compatibility and low activation for neutron irradiation impose a severe limitation on the selection of chemical compositions, so that the availability of metallurgical techniques is also strongly restricted. Therefore, there is an urgent need to explore an innovative toughening mechanism which functions effectively even under neutron irradiation and in the embrittling temperature regime.

For the last two decades, active research efforts have been conducted to develop long fiber-reinforced ceramic matrix composites (FCMCs) for high-temperature structural applications [30]. The toughness of FCMCs has been notably improved, and overall mechanical performance was successfully approved in several industrial applications (e.g., brake disks of aircrafts or racing cars) [31-53]. The working principle of a high toughness FCMC is the non-plastic energy dissipation caused by the controlled interfacial cracking (debonding) and the subsequent frictional sliding at the fiber/matrix interfaces [30-54]. When a running matrix crack meets an array of fibers oriented perpendicularly to the crack plane, the crack can deflect along the interfaces, provided a specific mechanical fracture condition is satisfied. Then the fibers bridge the opening primary crack and suppress its dynamic propagation. As the applied load is increased, the crack opens further and the interfacial debonding continues, leading to a frictional fiber pull-out. The energy consumed by the crack deflection and fiber sliding is a measure of apparent toughness. The toughening mechanism of FCMCs is now well understood in terms of the fracture mechanics, where mechanical fracture properties of the interface are the determining parameters. The details about the FCMCs toughening mechanism are discussed in chapter 2.

This toughening mechanism of FCMCs can also apply to brittle tungsten, provided that the reinforcing fibers are strong enough and that the fiber/matrix interfaces satisfy

the energy-dissipation criteria. Tungsten-fiber-reinforced tungsten matrix composites ( $W_f/W_m$ ) are a candidate in this context. Commercially available tungsten wires are generally very strong (tensile strength  $>2.5$  GPa) and more ductile (fracture strain  $>2\%$ ). These beneficial properties are due to the structure being extremely textured and fine grained. Hence, the original toughness of the tungsten wires can be utilized until the wires become fully embrittled during fusion operation. The amount of its contribution to the total toughness of a  $W_f/W_m$  composite will depend on the volume fraction of the fibers. On the other hand, the overall chemical purity will only be modified slightly by interface coating because thin films ( $<1 \mu\text{m}$ ) are used. The fracture toughness of the  $W_f/W_m$  composite is predicted to be improved when the interface coating between the fiber and the matrix introduces energy consumption by interfacial debonding and frictional fiber sliding. There is no previous publication existing in the literature dealing with these kinds of tungsten composites. The idea of a novel  $W_f/W_m$  composite based on a fundamentally different toughening mechanism is the motivation for the present work.

## 1.2 Objective and strategy

The goal of this thesis is to demonstrate the feasibility of synthesizing a toughened  $W_f/W_m$  composite using the FCMCs toughening mechanism. A suitable interface between the  $W$  fiber and the  $W$  matrix is a basic requirement for achieving the high fracture toughness. Therefore, in order to optimize the interface between  $W$  fiber and  $W$  matrix to produce the aforementioned energy dissipation, the main focus of this work lies in the investigation of the interfacial fracture behavior of  $W_f/W_m$  composites with various engineered interfaces.

In this work, the main areas of interest in exploring the interfacial fracture behavior of the  $W_f/W_m$  composites are:

1. Design and synthesis of the  $W_f/W_m$  composites. The basic composite model—the single-filament (fiber)  $W_f/W_m$  composite model—is designed for the investigation of the interfacial fracture behavior. Various materials are selected as interfaces since there is no literature about interfaces of  $W_f/W_m$  composites.

2. Investigation of the interfacial fracture properties of  $W_f/W_m$  composites. A fiber push-out test is a convenient method to extract the fracture parameters of an interface. Interfacial parameters are determined by calibrating the experimental data from the extensive push-out tests with theoretical models. Additionally, a miniaturized three-point bending test is employed for direct observation of the interfacial debonding behavior when the interface is enduring a tensile load. Mechanical tests are performed on the



## 1.2 Objective and strategy

specimens after heat treatment to explore the thermal stability of the interfaces of  $W_f/W_m$  composites.

3. Microstructure analysis of the interfaces of  $W_f/W_m$  composites. Microstructure analysis of the interface domains is carried out before and after the push-out test by means of scanning electron microscopy (SEM) and focused ion beam (FIB) preparation. The energy dispersive x-ray spectroscopy (EDXS) and secondary ion mass spectrometry (SIMS) are adopted for demonstrating the chemical composition and distribution of the interfaces.

4. Mechanical property prediction of the  $W_f/W_m$  composite with multiple fibers. Mechanical properties of the  $W_f/W_m$  composite with multiple fibers was predicted based on results obtained from the single filament  $W_f/W_m$  composite.

The theoretical background on the FCMCs toughening mechanism, the  $W_f/W_m$  composite interface selection, the  $W_f/W_m$  composite fabrication, the push-out test, the three point bending test, the mechanical property prediction, and the microstructure identification techniques are described in detail in chapter 2. The material and experimental details are described in chapter 3. The results and relevant discussions of the microstructure and chemical composition analysis of the interface, the interfacial fracture behavior investigation, the interface thermal stability analysis, as well as the mechanical property prediction of the  $W_f/W_m$  composites with multiple fibers are presented in chapter 4 and chapter 5, respectively. In chapter 6, a summary of this thesis and concluding remarks are given.

## Chapter 2

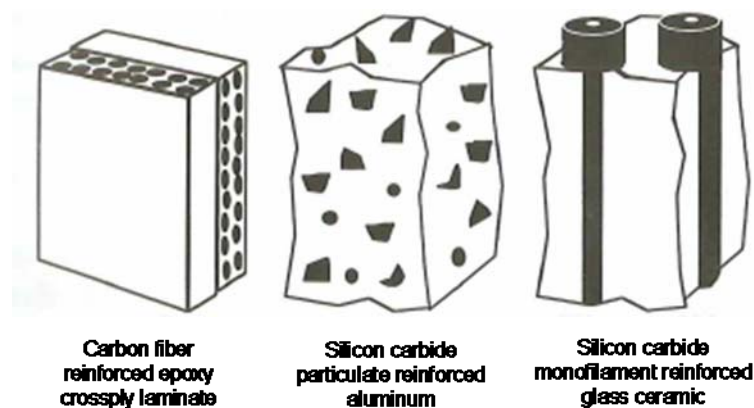
### Background knowledge

#### 2.1 $W_f/W_m$ composite concept

##### 2.1.1 General view of composite

Composite materials are formed by combining two or more materials to meet a specific engineering role by exploiting the desirable properties of the components, whilst minimizing the harmful effects of their less desirable properties [55, 56].

The composites are classified according to the type of matrix material: Polymer Matrix Composites (PMCs), such as glass fiber reinforced polymers; Metal Matrix Composites (MMCs), such as aluminium reinforced with ceramic particles or short fiber; Ceramic Matrix Composites (CMCs), such as SiC whisker reinforced alumina [57]. Fig. 2.1 shows examples of typical microstructures for the three main classes, grouped according to the nature of the matrix.



**Figure 2.1.** Schematic depiction of representative polymer, metal and ceramic matrix composites [57]

PMCs are aiming to elevate the strength and the stiffness of the materials by incorporating high stiffness fibers or particles since polymers have lower strength and modulus. MMCs can achieve desirable features such as low density, tailored thermal

## 2.1 $W_f/W_m$ composite concept

expansion, conductivity, as well as high stiffness and strength due to the incorporation of the reinforcement. For example, the superalloys used in jet engines can easily withstand temperatures up to 800°C and can be operated up to 1100°C with oxidation-resistant coatings [58]. However, beyond this temperature, one must use ceramic materials. Ceramic materials have high strength, low density, chemical inertness and even high stiffness of extreme high temperature. However, these attractive properties come with one deadly flaw, namely: an utter lack of toughness. Therefore, CMCs are aiming to toughen the matrix by incorporating fibers in the ceramic and thus exploit the attractive high-temperature strength and environmental resistance of composite without risking catastrophic failures [57, 58].

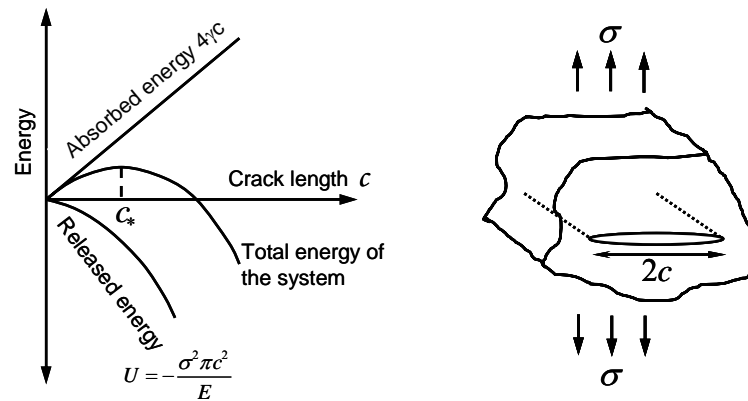
Fiber-reinforced ceramic matrix composites (FCMCs) constitute one of the most popular techniques of composite design. It is based on taking advantage of the high strength and high stiffness of the fibers, which are combined with the matrix in order to obtain a combination of mechanical properties that cannot be achieved with either constituent alone. The fibers can be continuous-fiber (long-fiber), short-fiber (whiskers). The arrays of fibers can be random, parallel, laminate, woven, braided or knitted. The following parts will mainly focus on parallel arranged continuous-fiber reinforced ceramic matrix composites to introduce the concept of tungsten fiber reinforced tungsten matrix composite.

### 2.1.2 FCMC toughening mechanism

#### 2.1.2.1 Basic concept of toughness

Toughness, is defined as the amount of energy per volume that a material can absorb before rupturing. It represents the resistance to fracture of a material when stressed.

The most well known ‘fracture mechanics’ is given by Griffith theory (1920) [59]. Griffith fracture theory points out that a crack cannot propagate unless the energy of the system is thereby decreased. The energy being released when a crack advances comes from the associated release of stored elastic strain in the surrounding materials (plus any work done by the loading system). If this is insufficient to counterbalance the energy absorbed in the material through the creation of new fracture surfaces and associated internal damage or deformation processes, then the crack cannot advance. In many materials, efficient mechanisms for internal energy absorption are stimulated by the high stresses at a crack tip, so that the energy balance for crack propagation is often unfavorable and so they exhibit high toughness (resistance to fracture). This is particularly true for most metals, since the dislocation motion which occurs is highly effective in this regard.



**Figure 2.2.** Schematic plot of the two contributions to the energy associated with the presence of the crack in a brittle material, as a function of crack length. A crack of length,  $c_*$  or larger will grow spontaneously, with a reduction in the total energy [57]

In brittle materials, such as glass, in which energy absorption processes are not readily stimulated, the only significant energy penalty of crack propagation comes from the new surface area of the crack faces. Griffith showed that the change in the stored energy of a loaded plate of unit thickness, caused by the introduction of an interior crack of length  $2c$ , is given by

$$U = -\frac{\sigma^2 \pi c^2}{E} \quad (2.1)$$

where  $\sigma$  is the applied stress and  $E$  is the Young's modulus. The other contribution to the overall energy change is that required to create the new surface area, which is positive and has a value of  $4\gamma c$ , where  $\gamma$  is the surface energy. The dependence of these two contributions on the length of the crack is shown in Fig. 2.2 [57]. Only cracks longer than a critical length,  $c_*$ , will grow spontaneously (with reduction in net energy). This critical length is found by differentiating the total energy with respect to crack length and setting the result equal to zero, leading to

$$c_* = \frac{2\gamma E}{\sigma^2 \pi} \quad (2.2)$$

This approach was extended by Irwin (1948) [59] to encompass tougher materials. This surface energy term  $2\gamma$  is supplemented by other contributions to energy absorption in the vicinity of an advancing crack tip. For a given applied stress and pre-existing crack size, an expression can be obtained from Eq. (2.2) for the energy release rate,  $\Gamma$ , which has units of  $\text{J/m}^2$

$$\Gamma = \frac{\sigma^2 \pi c}{E} \quad (2.3)$$

## 2.1 $W_f/W_m$ composite concept

For fracture to occur, this must exceed a critical value, sometimes referred to as the crack resistance,  $\Omega$ . This critical value represents the total energy absorbed, per unit of crack advance area and is often termed  $\Gamma_c$ , the critical energy release rate, or fracture energy. Values of  $\Gamma_c$  are fairly easy to obtain experimentally. For example, the work done in a tension or bending test is given by the area under a load-displacement curve and, provided this energy is all permanently absorbed in the specimen, the fracture energy is then found by simply dividing by the area created through the failure. Tough (ductile) metals have fracture energies of 30~100 kJ/m<sup>2</sup>; whereas a brittle material, such as glass, can have a value as low as 0.01 kJ/m<sup>2</sup>. Rearranging Eq. (2.3), the stress necessary to cause spontaneous fracture in a component with a pre-existing crack of size  $c$  ( $2c$  if internal) can be written as

$$\sigma_* = \sqrt{\frac{\Gamma_c E}{\pi c}} \quad (2.4)$$

This approach is particularly useful in practical terms, because attention is diverted to the complex problem of the precise nature of the stress field close to the tip of the crack to a more global approach involving macroscopic quantities which are measurable experimentally. However, there is still interest in the phenomena occurring locally near the crack tip. A useful link is provided between the energy and stress field approaches by the concept of a stress intensity factor  $K$ . This parameter, which largely evolved from the work of Irwin in the 1950s [59] can be expressed as

$$K = \sigma \sqrt{\pi c} \quad (2.5)$$

It therefore encompasses the effects of both the applied load and the pre-existing crack size, with the relative weighting that these two parameters have in determining the value of  $\Gamma$ , the energy release rate ( see Eq. (2.3)), which characterizes the severity of the stress field around the crack tip. A critical value can be identified, corresponding to the case where the associated value of  $\Gamma$  reaches  $\Gamma_c$

$$K_c = \sigma_* \sqrt{\pi c} = \sqrt{E \Gamma_c} \quad (2.6)$$

This critical stress intensity factor is often known as the fracture toughness. For tough materials, the fracture toughness can exceed 100 MPa·m<sup>1/2</sup>, while a brittle material might typically have a value around 1 MPa·m<sup>1/2</sup>.

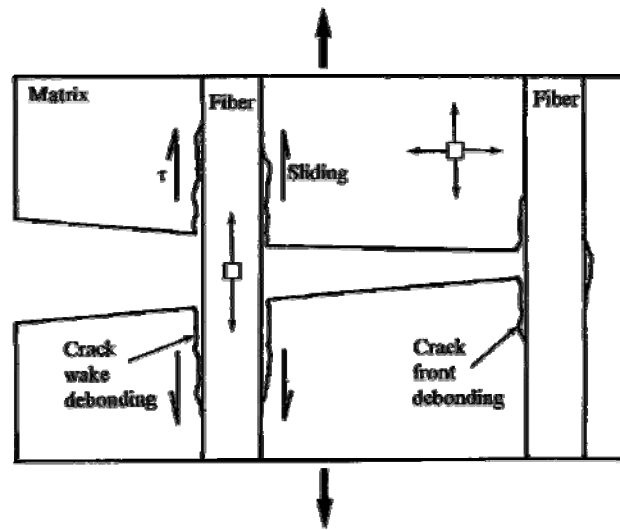
### 2.1.2.2 FCMC fracture toughness

During fracture of a brittle material, energy is consumed in creating the new surface area of the crack faces. In a FCMC, the energy consumed by crack deflection (new

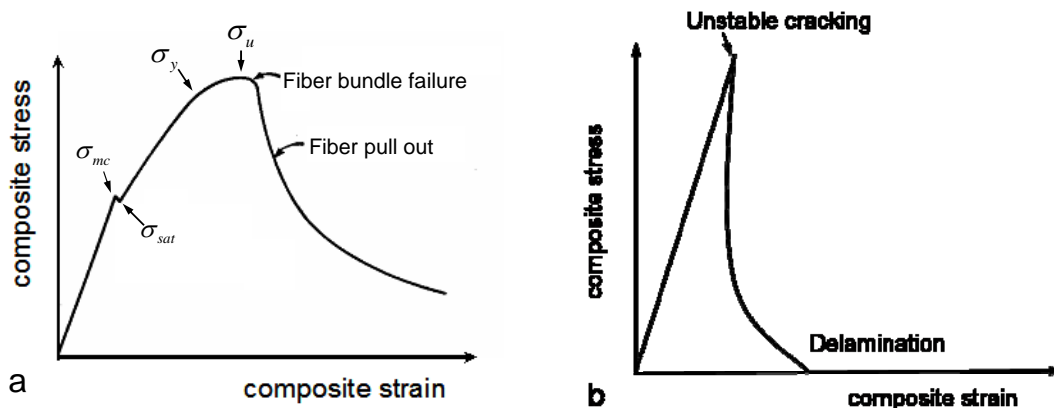
surface creation) and fiber frictional sliding along the interfaces between the fiber and the matrix leads to a high tolerance for the fracture of the composite that in turn, leads to a high fracture resistance (fracture energy), although neither fiber nor matrix are tough materials. Practical evidence of this toughening effect is not scarce. A composite made from glass fiber and epoxy resin has a fracture energy comparable with those of metals ( $\Gamma_c \sim 50 \text{ kJ/m}^2$ ), even though the constituents are both brittle ( $\Gamma_c \sim 0.01\text{-}0.1 \text{ kJ/m}^2$ ). This high toughness is closely linked with interfacial effects [57].

Figure 2.3 is a typical schematic illustration of an aligned ceramic-matrix composite reinforced with continuous fibers in the longitudinal direction under a tensile stress. Its corresponding stress-strain characteristics are shown in Fig. 2.4 a. At a stress of  $\sigma_{mc}$ , the stress-strain curve shows a change in the slope (a dip), indicating an occurrence of matrix cracking (normally, reinforcement (fiber) has higher toughness and a larger strain-to-fracture value than those of the matrix). The initial matrix cracking can occur at different locations simultaneously and thus introduce multiple cracking. As the multiple cracking develops, the slip zones of neighboring cracks overlap and produce a shielding effect. After the shielding process is completed, a saturation crack density results. This occurs at a stress of  $\sigma_{sat}$  (see Fig. 2.4 a). When the propagating primary matrix cracks meet the array of fibers standing perpendicular to the crack faces, they can deflect along the vertical interfaces when a specific fracture mechanical condition is satisfied (see Fig. 2.3). Then the strong fibers collectively bridge the primary cracks suppressing their dynamic extensions. The stress-strain curve continues to rise until, at a stress marked  $\sigma_y$ , the fibers start to yield, followed by  $\sigma_u$ , the fiber bundle fails (see Fig. 2.4 a). Subsequently, the phenomenon of fiber pull-out (frictional sliding out of the matrix) starts. The extent of this fiber pull-out region depends critically on the interfacial frictional resistance. Thus the fiber/matrix interfacial properties have a strong influence on the stress-strain curve of the composite. If the interface bonding is too strong, the matrix cracking will be accompanied by a small amount of fiber pull-out, which is an undesirable characteristic from a toughness viewpoint. The total amount of the consumed energy by crack deflection and fiber frictional sliding is a measure of the apparent toughness. The increased fracture toughness is a result of the controlled fracture behavior of the composite, while an unreinforced matrix or a reinforced matrix with an interface between fiber and matrix which does not introduce interfacial debonding fails catastrophically (see Fig. 2.4 b).

## 2.1 $W_f/W_m$ composite concept



**Figure 2.3.** Schematic illustration of ceramic-matrix composite reinforced with continuous fiber [41]



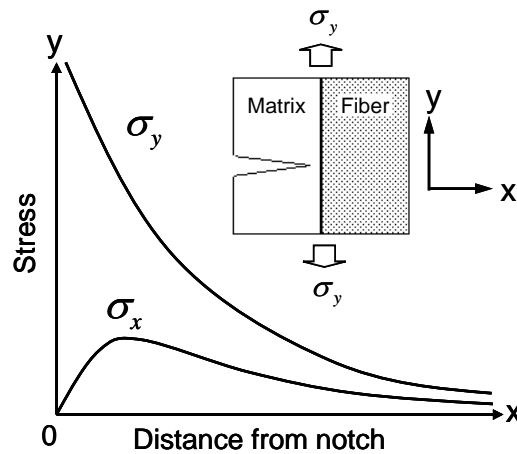
**Figure 2.4.** Schematic illustrating the range of stress-strain characteristic exhibited by ceramic-matrix composite: a) 'Tough' composite; b) 'Brittle' composite [41]

It is apparent that the area under the stress-strain curve in Fig. 2.4 a is much larger than that in Fig. 2.4 b, indicating that the composite with interfacial debonding has a higher fracture energy  $\Gamma_c$  which indicates higher fracture toughness  $K_c$ . The interfacial debonding (crack deflection) characteristic is the prerequisite for realizing an increased fracture toughness of a composite. The crack deflection criteria are discussed below.

### 2.1.2.3 Crack deflection criteria

Cook and Gordon (1964) [58] analyzed the phenomenon of crack deflection or the formation of secondary cracks at a weak interface in terms of the stress state at the crack tip. Considering a fiber/matrix interface perpendicular to the main advancing crack, at the

tip of any crack, a triaxial stress state (plane strain) or a biaxial stress (plane stress) is present. Fig. 2.5 shows schematically the stress distribution at a crack tip. The main applied stress component,  $\sigma_y$ , has a very high value at the crack tip, and decreases sharply as the distance from the crack tip lengthens. The stress component acting normal to the interface,  $\sigma_x$ , is zero at the crack tip; it rises to a maximum value at a short distance from the crack tip and then falls off in a manner similar to  $\sigma_y$ . Now, it is easy to visualize that if the interface tensile strength is less than the maximum value of  $\sigma_x$ , then the interface will fail in front of the crack tip. According to the estimates of Cook and Gordon, an interfacial strength of 1/5 or less than that of the main stress component,  $\sigma_y$ , will cause the opening of the interface in front of the crack tip [58].



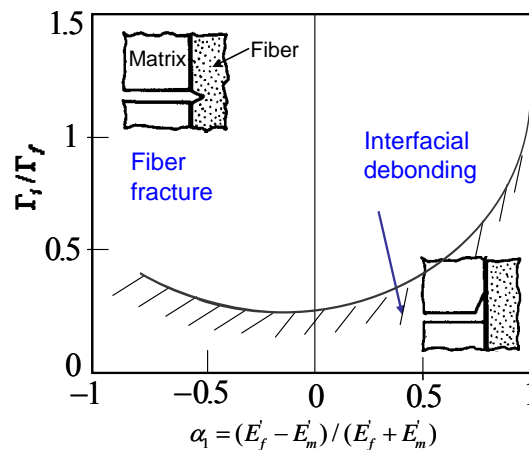
**Figure 2.5.** Stress distributions at a crack tip [58]

More sophisticated analyses of crack interaction with an interface have been proposed. One of the most popular results was made by He and Hutchinson (1989) [60]. Their results give the conditions for fiber/matrix debonding in terms of the energy requirements; see Fig. 2.6, a plot is made of  $\Gamma_i/\Gamma_f$  vs.  $\alpha_1$ , where  $\Gamma_i$  is the mixed-mode interfacial fracture energy,  $\Gamma_f$  is the mode I fracture energy of the fiber, and  $\alpha_1$  is a measure of elastic mismatch as defined in the Fig. caption. There are three basic modes for the crack propagation: mode I (opening mode) corresponds to normal separation of the crack faces under the action of tensile stresses; mode II (sliding mode) corresponds to longitudinal shearing of the crack faces in a direction normal to the crack front; mode III (tearing mode) corresponds to lateral shearing parallel to the crack front [59]. Interfacial cracks often propagate under mixed-mode loading conditions which contains both mode I and mode II. This plot shows the conditions under which the crack will deflect along the interface or propagate through the interface into the fiber. For all values of  $\Gamma_i/\Gamma_f$ , area below the dashed line, interface debonding is predicted. For the special case of zero

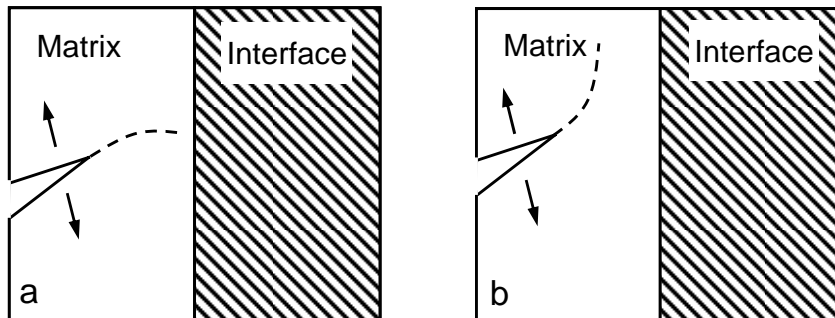


## 2.1 $W_f/W_m$ composite concept

elastic mismatch, i.e., for  $\alpha_1 = 0$ , the fiber/matrix interface will debond for  $\Gamma_i/\Gamma_f$  less than about 0.25. Conversely, for  $\Gamma_i/\Gamma_f$  greater than 0.25, the crack will propagate across the fiber. In general, for the elastic mismatch, with  $\alpha_1$  greater than zero, the minimum interfacial toughness required for interface debonding increases, i.e. a high modulus fiber tends to favor debonding. One shortcoming of this analysis is that it treats the fiber and matrix as isotropic materials, which is not always true, especially for the fiber. But this criterion is still a good guideline for high toughness composite design.



**Figure 2.6.** Fiber/matrix debonding criterion in terms of the energy requirements. ‘m’ and ‘f’ represent matrix and fiber respectively.  $E' = E / (1 - \nu^2)$  in plain strain, with  $\nu$  as the Poisson’s ratio [60]



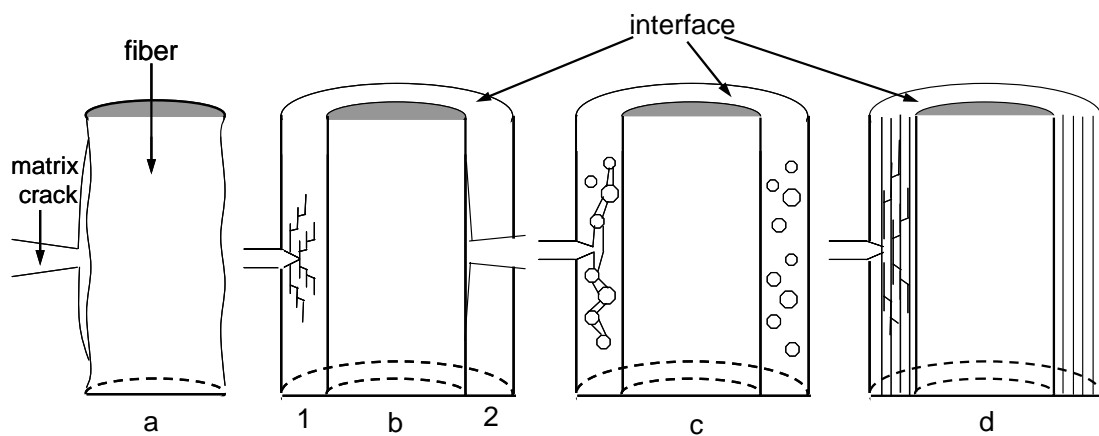
**Figure 2.7.** Propagation of slant crack in the proximity of an interface: a) matrix is stiffer than interface; b) matrix is more compliant than interface

Sometimes it happens that the crack at an interface deflects back into the original material and grows approximately parallel to the interface in its vicinity (of course it may turn in this way even before reaching the interface). This can occur if the fracture toughness of the matrix is lower than that of the interface,  $\Gamma_m < \Gamma_i$ . A crack generally has a greater tendency to deflection if it lies in a more compliant material: if a crack approaches perpendicularly to an interface behind which there is a stiffer material, the

stress intensity factor near the interface diminishes, as if the crack were repelled by that material. Fig. 2.7 shows the schematic image of these crack propagation types. Therefore, in order to achieve interfacial debonding, the interface should satisfy another criterion apart from  $\Gamma_i / \Gamma_f < 0.25$ : the fracture energy of the interface must be less than that of the matrix [61].

#### 2.1.2.4 FCMC interface and interfacial property evaluation

In order to generate the energy dissipation, a weak interface is usually adopted between the fiber and the matrix in a FCMC. The interface can be classified into four types according to the mode of energy dissipation. Fig. 2.8 shows the schematic drawings of each type. Type a) adopts no real interface coating but utilizes the surface roughness of fiber and matrix to form a weak bonding; type b 1) adopts a weak interface layer which may allow the microcracks diffuse in the layer; type b 2) utilizes the weak bonding between fiber and interface coating leading to a debonding between them; type c) adopts the interface coating with porous or particles leading to a crack deflection along these weak locations; type d) utilizes heterogeneous multilayer structure coating leading to a crack deflection in the multiple barriers (multiple inner interfaces).



**Figure 2.8.** Interface bonds formed: a) by fiber surface roughness; b 1) by microcracks diffusing in the interface, b 2) by debonding between fiber and interface; c) by crack deflection in weak locations in a porous layer; d) by crack deflection in a multiple barrier [62]

When a fiber-reinforced composite is bearing a load, the interface transfers stress between fiber and matrix. In a perfect bonded interface, the stress transfer is an elastic transfer; while after an interfacial debonding event, the stress transfer is governed by the Coulomb friction law. In a practical case, the load transfer process contains both types and is complex. The interfacial bonding and debonding behaviors have strong influence on the mechanical properties of the composite. There are several interfacial parameters

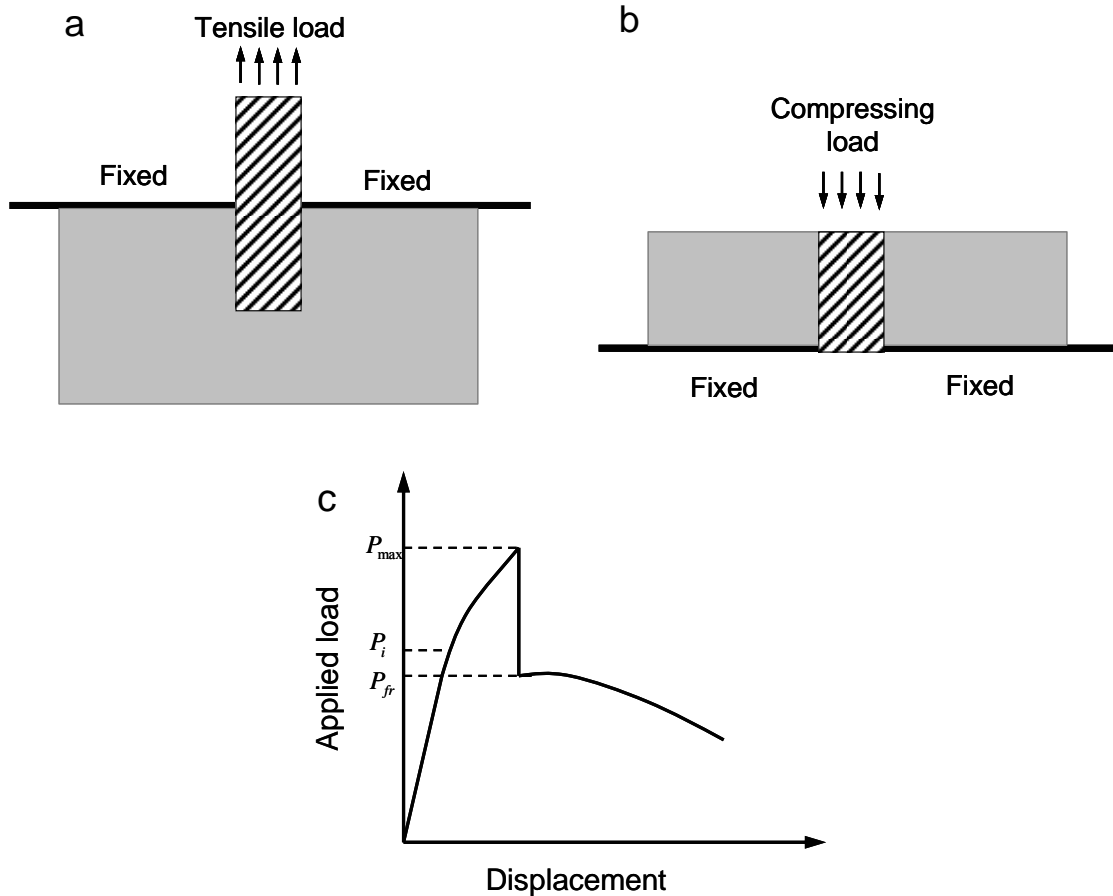
## 2.1 $W_f/W_m$ composite concept

which represent the interfacial properties: interfacial shear strength,  $\tau_d$ , which is defined as the maximum average shear stress that a fiber/matrix interface can afford before it begins to fail; interface radial stress,  $\sigma_R$  which is defined as the radial stress generated by surface roughness of the debonded surfaces; interfacial residual stress,  $\sigma_0$ , which is a radial stress on the interface generated due to thermal expansion mismatch between the fiber and the matrix; interfacial friction coefficient  $\mu$ , which is defined as the ratio between the radial stresses and the shear stresses of the interface; asperity caused shear stress  $\tau_R$ , which is generated by  $\tau_R = \sigma_R \times \mu$ , also known as roughness caused shear stress; interfacial fracture energy,  $\Gamma_i$  which is defined as the critical energy release rate of the interfacial debonding process.

In order to obtain the values of these interfacial parameters and to evaluate the interfacial properties, a great amount of effort has been made to establish micromechanical models of the load transfer across the interface. Test methods including the single fiber compression test, the fiber fragmentation test, the fiber pull-out test, the fiber push-out (or indentation) test and the slice compression test are widely used [63]. Among these methods, fiber pull-out test and fiber push-out test are the most popular and well established techniques for evaluating interfacial properties using single filament (fiber) composite.

A single fiber pull-out test is performed as follows: a single fiber, half embedded into a matrix, is extracted under a tensile load, until the fiber debonds from the matrix and is pulled out. While a single fiber push-out test is conducted as follows: a thin slice specimen with the fiber central axis normal to the specimen surface is fixed; a compressed load is applied on the embedded fiber until the fiber debonds from the matrix and is pushed out. The recorded load-displacement curve can be interpreted using theoretical models. Fig. 2.9 shows the schematic illustrations of the load distribution of the fiber pull-out test and push-out test as well as their representative load-displacement curve. In principle, pull-out and push-out tests relate to similar micromechanics, but a push-out test has greater advantages being more convenient to implement.

Therefore, this work adopted the single fiber push-out test to explore the interfacial properties of the  $W_f/W_m$  composite. The details of interfacial parameters calibration based on the single fiber push-out test will be discussed in chapter 2.3.1.



**Figure 2.9.** Schematic exhibitions of the loading situation of the fiber pull-out test and push-out test: a) pull-out test; b) push-out test; c) representative load-displacement curve,  $P_i$  indicates the load of initial debonding event,  $P_{max}$  is the maximum debonding load and  $P_{fr}$  is the fiber frictional sliding load

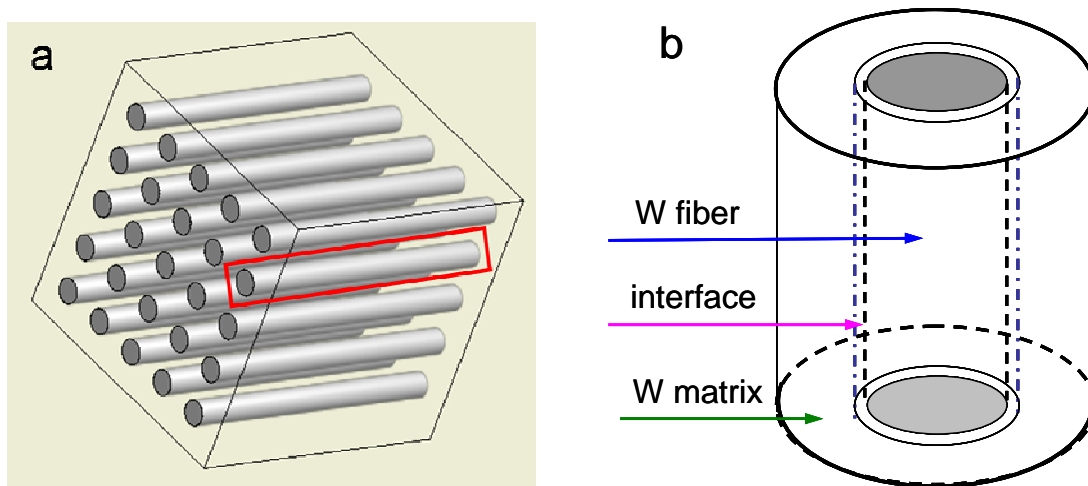
### 2.1.3 $W_f/W_m$ composite design

Tungsten is a high atomic number metal. The biggest problem for tungsten as a structure material for Plasma Facing Components (PFCs) is brittleness, which is similar to that for ceramics. It is a reasonable expectation to be able to fabricate high-toughness tungsten by utilizing the FCMCs toughening mechanism. Therefore, the concept of tungsten fiber reinforced tungsten matrix ( $W_f/W_m$ ) composite was created.

Figure 2.10 a is the designed structure of a  $W_f/W_m$  composite with fiber volume fraction  $c_f=0.6$ . The commercial tungsten fiber (wire) was selected as the reinforcement; dense tungsten was chosen as the matrix. Fig. 2.10 b shows the single-fiber  $W_f/W_m$  composite model used in this work. Commercially available tungsten wires are generally very strong and have more ductility (tensile strength:  $> 2.5$  GPa). Hence the original toughness of tungsten wires can be utilized until the wires become fully embrittled

## 2.1 $W_f/W_m$ composite concept

during service. On the other hand, the overall chemical purity will be just slightly modified by interface coating because only thin films ( $<1\ \mu\text{m}$ ) are used. Increased fracture toughness is expected for the  $W_f/W_m$  composite, as the interface coating introduces interfacial properties satisfying the crack deflection criteria.



**Figure 2.10.** Schematic designed structure of the  $W_f/W_m$  composite: a) multi-filament; b) single filament

Thus, the most critical issue is to select the proper interface materials. Since there is no earlier publication dealing with these kinds of tungsten composites and no information about the interface selection for the  $W_f/W_m$  composite, a variety of materials are considered in this work regarding the interface types of FCMC (see Fig 2.8). Type a) is not suitable for  $W_f/W_m$  composite since the fiber and matrix will diffuse into each other at a high working temperature if there is no interlayer between W fiber and W matrix. Thus, the interface materials are selected concerning types b), c), and d), and discussed as follows.

### Firstly, oxide coatings

Oxides are usually brittle and contain pores and microcracks (fit types b 1) and c ). The oxide coating interfaces are expected to introduce the crack deflection behavior for a composite.  $ZrO_2$  and  $Er_2O_3$  were selected as the interface material for the  $W_f/W_m$  composite due to their high thermal stability and low activation due to irradiation [64-68]. The thickness of the interface normally affects the interfacial properties and toughness of the composite [63]. Therefore,  $ZrO_2$  coating with 150 nm, 450 nm, and 950 nm, and  $Er_2O_3$  coating with 600 nm, and 1000 nm, respectively, were fabricated in order to analyze the thickness effect.

### Secondly, ductile coatings

Copper (Cu) is a typical ductile material. No phase exists containing Cu and W thus no chemical bonding is expected between Cu and W [68]. The weak bonding between Cu and W is expected to generate the debonding between fiber and interface coating (fit type b 2) ). Besides, higher energy dissipation is expected due to the strong plastic deformation of Cu. Moreover, the very high thermal conductivity of Cu is useful for the  $W_f/W_m$  composite to be used as structural material for a high heat impact component. Therefore, Cu with 150 nm, and 450 nm, respectively, were selected as the interface coating.

Thirdly, lubricating coating

Carbon is a typical lubricating material. It has been widely used in the CMC research area for decades [69-71]. The graphite structure provides small combining power between the hexagonal planes which is expected to generate the microcracks along the hexagonal planes (fit type b 1) ). Therefore, carbon with a thickness of 600 nm was selected as the candidate for the interface material.

Fourthly, multilayer structure coatings

High energy dissipation is expected in a multilayer structure interface (see Fig. 2.8, type d). Therefore, based on the selected coatings above,  $ZrO_2/Zr$  multilayer,  $ZrO_2/W$  multilayer,  $Er_2O_3/W$  multilayer,  $Er/W$  multilayer,  $Cu/W$  multilayer were designed as the interface.

More details about the interface structure are discussed in chapter 3.

## 2.2 $W_f/W_m$ composite fabrication

### 2.2.1 Interface coating fabrication-magnetron sputtering

Sputter deposition is one of the most popular physical vapor deposition (PVD) techniques and is widely used for coatings (thin films) fabrication. PVD is a vacuum-based coating technology to produce thin films of various materials onto various surfaces.

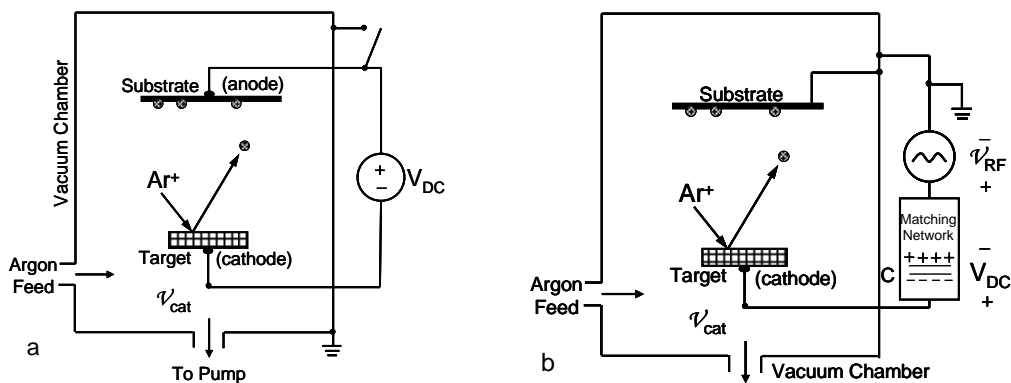
In a sputtering process ions are supplied by an inert gas ionized through a glow discharge (a current flowing through a low-pressure gas, plasma) between the target as cathode and the substrate (specimen) holder or the chamber wall as anode. The generated ions are accelerated toward the cathode (the target) and sputter atoms out of the target materials. The neutral target atoms impinge on a substrate (specimen), forming a coating [72].

According to the plasma creation and sustainment, the sputtering can be classified as DC (direct current) sputtering and RF (radio frequency) sputtering. DC sputtering refers

## 2.2 $W_f/W_m$ composite fabrication

to a DC discharge with the plasma created and sustained by a DC power source, while RF discharge is with an RF power source [72, 73]. Fig. 2.11 shows the schematic drawing of the arrangements of these sputtering processes.

The deposition rate is affected by the sputtering yield, the gas pressure, and the bias (a negative voltage between substrate and the ground). More information refers to Ohring [73].



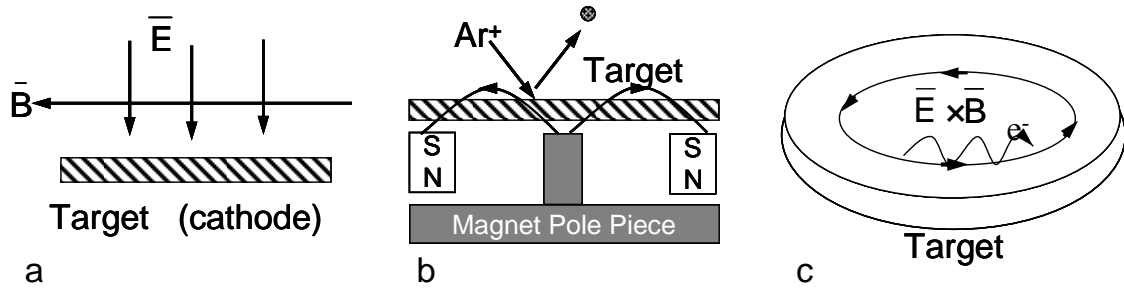
**Figure 2.11.** Schematic fashion of categories: a) DC, DC sputtering utilizes a DC gaseous discharge. Ions strike the target (the cathode of the discharge), which is the deposition source. The substrate and/or the vacuum chamber walls may be the anode; b) RF, in RF sputtering, there are typically a small area cathode (the target) and a large area anode, in series with a blocking capacitor (C). The capacitor is actually part of an impedance-matching network that improves the power transfer from the RF source to the plasma discharge [72]

Magnetrons make use of the fact that a magnetic field parallel to the target surface can constrain electron motion to the vicinity of the target. Electrons trapped by the magnetic field increase the probability of an ionizing electron-atom collision occurring. The increased ionization efficiency of a magnetron results in a dense plasma at the target region. This, in turn, leads to increased ion bombardment of the target, giving higher sputtering rates and, therefore, higher deposition rates at the substrate. In addition, the increased ionization efficiency achieved in the magnetron mode allows the discharge to be maintained at lower operating pressures (typically,  $10^{-3}$  mbar, compared to  $10^{-2}$  mbar) and lower operating voltages (typically, -500V, compared to -2 to -3 kV) than in the basic sputtering mode [74]. Fig. 2.12 shows the basic magnetic field arrangement during the sputtering process.

Presently, a lot of progress has been made in magnetron sputtering. Such as, the unbalance magnetron sputtering deposits dense films without introducing excessive intrinsic stress; multi-cathode sputtering devices deposit different layers on substrates without opening the vacuum chamber and changing cathodes; reactive sputtering

deposits compounds on substrates by sputtering from metallic targets in the presence of a reactive gas (usually mixed with the inert working gas, mostly Ar) [73-75].

The magnetron sputtering device utilized in this work is the ‘Discovery<sup>®</sup>18 Multi-Cathode DC/RF-Denton Vacuum system, It has 4 cathodes and an oxygen gas supply system.



**Figure 2.12.** Different magnetic field styles of magnetron sputtering: a) in the planar magnetron sputtering arrangement, whether DC or RF, a static magnetic field is created parallel to the surface of the sputtering target to retain secondary electrons in that region; b) an annular design, such as the one shown here schematically in exploded cross section, is often employed; c) the electron drift in the  $-\vec{E} \times \vec{B}$  direction, actually executing a cycloidal path [72]

### 2.2.2 $W_f/W_m$ composite synthesis-chemical vapor deposition

Tungsten has one property similar to ceramics, which is its extremely high melting temperature (3410°C). Therefore, the CMCs fabrication technologies are good candidates to synthesize  $W_f/W_m$  composite.

Cold pressing and sintering, hot pressing (slurry infiltration, hot isostatic pressing (HIP) et al.), reaction bonding processes, infiltration and directed oxidation are the conventional processing techniques of CMCs fabrication. Details can be found in Chawla [58]. Another group of techniques called in situ chemical reaction techniques are also a popular CMCs synthesis process. It mainly refers to chemical vapor deposition (CVD) and chemical vapor infiltration (CVI).

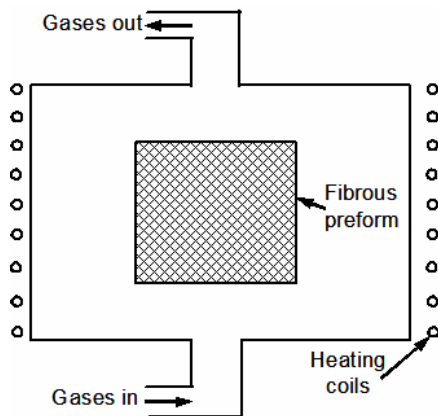
The CVD process involves the dissociation and/or chemical reactions of gaseous reactants in an activated (heat, light, plasma) environment, followed by the formation of a stable solid product. The deposition involves homogeneous gas phase reactions, which occur in the gas phase, and/or heterogeneous chemical reactions which occur on/near the vicinity of a heated surface leading to the formation of powders or films, respectively [76]. The reaction of CVD process can be classed as: pyrolysis, reduction, oxidation, compound formation, disproportionation, and reversible transfer. More details can be found in Ohring [73]. When CVD is used to impregnate large amounts of matrix material in fibrous preforms, it is called chemical vapor infiltration (CVI) [58].



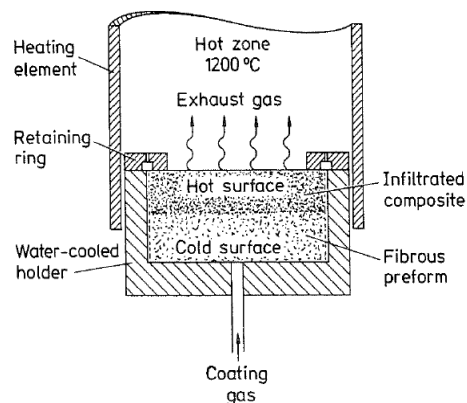
## 2.2 $W_f/W_m$ composite fabrication

Simply put, during the CVI process a solid material is deposited from gaseous reactants onto a heated substrate. A typical CVD or CVI process would require a reactor with the following parts [58]:

1. A vapor feed system.
2. A CVD reactor in which the substrate is heated and gaseous reactants are fed.
3. An effluent system where exhaust gases are handled.



**Figure 2.13.** Schematic of an isothermal chemical vapor infiltration [58]



**Figure 2.14.** Schematic of a chemical vapor infiltration process with pressure and temperature gradients [58]

The basic chemistry to make a bulk ceramic matrix in and around fibers in a preform remains the same. One can synthesize a variety of ceramic matrixes such as oxides, glasses, ceramic, and intermetallics by CVD. Commonly, the process involves an isothermal decomposition of a chemical compound in the vapor form to yield the desired ceramic matrix on and in between the fiber in a preform. Fig. 2.13 shows a schematic of such an isothermal process. Normally, the CVI process is very slow because it involves diffusion of the reactant species products. The CVI process of making a ceramic matrix is, indeed, a kind of low-stress and low-temperature CVD process, and thus avoids some of the problems associated with high-temperature ceramic processing.

Another disadvantage of CVI is, when the CVI process is carried out isothermally, surface pores tend to close first, restricting the impregnation, surface machining, and re-infiltration to obtain an adequate density. Therefore, techniques like forced gas flow or a temperature gradient are applied to avoid this effect. A schematic of one version of this process is shown in Fig. 2.14. A graphite holder in contact with a water-cooled metallic gas distributor holds the fibrous preform. The bottom and side surfaces thus stay cool while the top of the fibrous preform is exposed to the hot zone, creating a steep thermal gradient. The reactant gaseous mixture passes unreacted through the fibrous preform

because of the low temperature. When these gases reach the hot zone, they decompose and deposit on and between the fibers to form the matrix. As the matrix material gets deposited in the hot portion of the preform, the preform density and thermal conductivity increase and the hot zone moves progressively from the top of the preform toward the bottom, when the composite is formed completely at the top and is no longer permeable, the gases flow radially through the preform, exiting from the vented retaining ring.

This variant of CVI, which combines forced gas flow and temperature gradient, avoids some of the problems mentioned earlier. Under these modified conditions, 70% to 90% dense SiC and Si<sub>3</sub>N<sub>4</sub> matrices can be impregnated. And the impregnation time can be radically reduced.

As discussed above, the advantages of a CVI technique or any variant thereof include:

Good mechanical properties at high temperature.

Large, complex shapes can be produced in a near-net shape.

Considerable flexibility in the fibers and matrices that can be used (oxide and nonoxide).

Among the disadvantages, one should mention that the process is slow and expensive.

In this thesis, only a single filament composite, which is deposited tungsten matrix on the filament in the center, will be fabricated. The planned tungsten matrix thickness is 1~1.5 mm, the deposition speed and expense is also acceptable. Further more, the matrix with a low inner defect and good mechanical properties deposited by CVD process is best suited to analyze the fundamental interfacial behaviors of W<sub>f</sub>/W<sub>m</sub> composite. Therefore, CVD is adopted as the fabrication technique for the deposition of W matrix.

Tungsten matrix is deposited using the reduction of tungsten halide in hydrogen at reduced pressure. WF<sub>6</sub> has a lower deposition temperature (550 °C) than WCl<sub>6</sub> (1000 °C), and it is used widely as the precursor for the deposition of W films for metallization of ICs (integrated circuits) to replace Al films [76]. The chemical reaction of the deposition of W matrix is



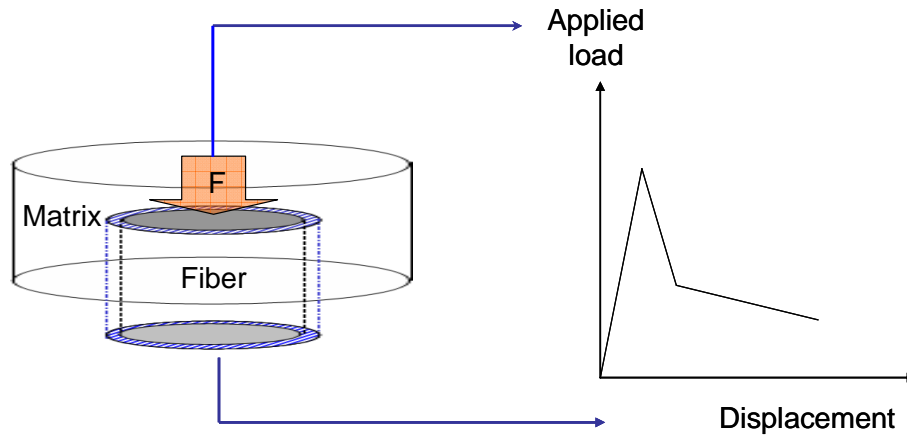
## 2.3 Interfacial property identification

### 2.3.1 Push-out test

The fiber push-out test is usually conducted in an instrumented indentation device in which load is applied on one end of the fiber cross section. The load and the fiber end displacement are recorded dynamically producing a characteristic load-displacement

### 2.3 Interfacial property identification

curve which reveals the bonding quality and sliding behavior of the pushed out interface. Fig. 2.15 is the general illustration of a push-out experiment. A push-out test can best reflect the properties of the interface in an actual composite.



**Figure 2.15.** Illustration of the push-out process

In the course of the fiber push-out process, the applied load is in equilibrium with the resisting shear stress along the interface. The shear stress is generated by both the chemical (or/and mechanical) bonding and friction at the debonded interface. Generally, an ideal fiber push-out process can be divided into four distinct stages. An illustration of these stages and their corresponding interfacial shear stress distributions are shown in Fig. 2.16 with the corresponding schematic load-displacement curve in Fig. 2.17 [77].

Initially, the applied load increases linearly as the whole specimen undergoes purely elastic deformation (stage 1, until  $P_i$ ) until the interface begins to fail when the maximum shear stress in the interface reaches the debonding strength  $\tau_d$  of the interface. The initial debonding location is normally near the free surface of the fiber end (see Fig. 2.16, stage 1) if the fiber embedded length (specimen thickness) is large enough. The parallel direction along the interface is labeled as the  $z$  direction. The interfacial shear stress distribution shows an exponential decrease from the maximum value  $\tau_d$  until it reaches 0 at a certain depth along  $z$  direction.

Some researchers [78-80] suggest the presence of a radial stress caused by the thermal expansion coefficient mismatching between the fiber and matrix, namely, the residual stress  $\sigma_0$ , which will generate residual shear stress ( $\tau_0$ ) along the interface according to  $\tau_0 = \sigma_0 \times \mu$ . Therefore, the residual shear stress exists in the whole process of the push-out test. In Fig. 2.16,  $\sigma_0$  is indicated while the residual shear stress  $\tau_0$  is not presented in the interfacial shear stress distributions. Upon the onset of interface cracking, the total stiffness is reduced which can be observed as a kink in the curve. Sometimes the

deviation of the slope from the initial linearity may not be so conspicuous if the frictional resistance is strong (e.g. due to larger  $\sigma_0$  or roughness of the debonded interfaces).

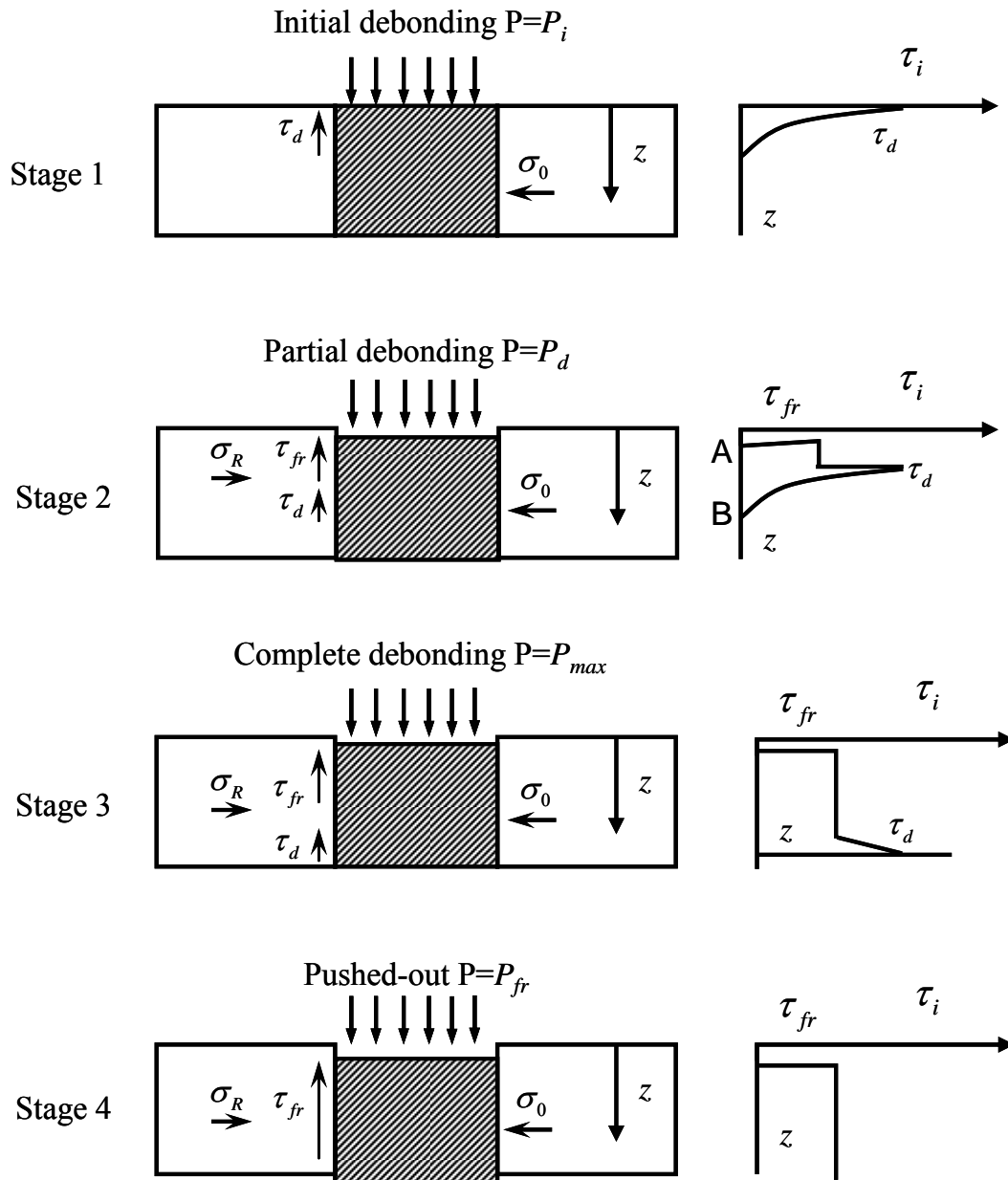
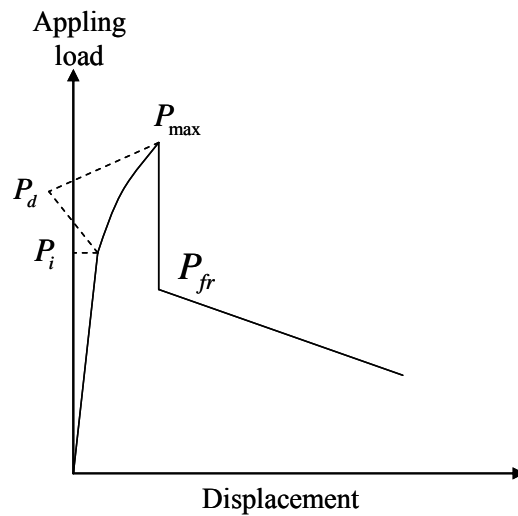


Figure 2.16. Illustration of stages in push-out test process [77]

### 2.3 Interfacial property identification



**Figure 2.17.** Schematic drawing of load-displacement curve in push-out test

Secondly, after the initiation of the cracking, cracking continues to propagate along the whole interface until the interface is completely debonded (stage 2, from  $P_i$  to  $P_d$ ). The interfacial shear stress distribution in stage 2 contains two parts. One is the area which is bearing a steady friction stress (Fig. 2.16, stage 2, from the fiber end until point A) and the other is the area that starts from the debonding (cracking) end (point A), where the interfacial shear stress equals  $\tau_d$ , until the shear stress decreases to 0 in a certain depth along the  $z$  direction (Fig. 2.16, stage 2, point B). The steady friction stress  $\tau_{fr}$  is normally related to the asperity caused shear stress of the interface  $\tau_R$  (sometimes associated by the residual shear stress  $\tau_0$ ) and the Poisson effect shear stress  $\tau_p$ . It can be imagined that along with the debonding propagation, the area which is bearing a steady friction stress will be expanded and point A and point B will be shifted forward along the  $z$  direction. During this progressive debonding process, energy is dissipated both by frictional sliding and shear fracture. The applied load in this so-called progressive debonding process is normally called the partial debonding load.

Thirdly, when the crack is propagating close to the other free surface of the specimen, in front of the moving crack tip the shear stress profile has a maximum due to the fracture resistance and the stress concentration caused by free surface edges. As soon as the crack front has swept the full interface, the load drops abruptly since the contribution of the fracture resistance vanishes (stage 3,  $P_{max}$ ). The applied load at the moment  $P_{max}$  is normally called maximum load or complete debonding load.

Finally, after debonding is complete, the fiber is sliding out of the matrix. The applied load is resisted by the friction stress generated along the debonded interface, which

diminishes gradually due to the decrease of the contact area (stage 4, after  $P_{fr}$ ). The initial sliding load  $P_{fr}$  is normally called frictional sliding load.

The fact is that these 4 stages are not easily distinguishable from each other since the micromechanics of the push-out process is quite complicated and is affected by many practical aspects. For example, the initial debonding load cannot be detected if the sliding friction of the debonded interfaces is too large; the progressive debonding process would be not displayed when the cracking propagates very fast, which would be due to the small thickness of the specimen, inner defects of the interface and the stress concentration caused by free surfaces. The recorded displacement of the fiber end can deviate from the actual one due to the bending effects caused by the thin sample thickness.

Here we clarify several terms as follows.

$P_i$  : initial debonding load

$P_d$  : partial debonding load

$P_{max}$  : maximum debonding load

$P_{fr}$  : frictional sliding load

These loads can be read directly from the load-displacement curve of a push-out test. They are strongly related to the interfacial parameters. A lot of effort had been spent to explore the interfacial parameters by interpreting the experimental push-out data within the framework of the theoretical models [85-110]. Some of these models were successfully adopted by many researchers to determine the interfacial fracture behaviors [111-145]. In this work, the interfacial parameters of each interface will be determined by interpreting the push-out data with specific models.

As discussed in chapter 2.1.2.4, the representative parameters of an interface are: the interfacial shear strength  $\tau_d$ , interface radial stress  $\sigma_R$ , thermal residual stress  $\sigma_0$ , asperity caused shear stress  $\tau_R$ , friction coefficient  $\mu$ , and interfacial fracture energy (or critical energy release rate)  $\Gamma_i$ . In the case of the  $W_f/W_m$  composites, there exists no thermal residual stress since both the fiber and the matrix are of the same material and the contribution of the thin interface coating to the thermal residual stress may be ignored. Therefore,  $\tau_d$ ,  $\sigma_R$ ,  $\tau_R$ , and  $\Gamma_i$  are the critical parameters to determine the interfacial fracture behavior of the  $W_f/W_m$  composites. Their calibration procedures are discussed in the following.

(1) interfacial shear strength  $\tau_d$

Greszczuk and Lawrence had developed the earliest model which is related to interfacial shear strength  $\tau_d$  by using shear-lag assumptions [81-83]. This model indicates that in pull-out test the maximum load  $P_{max}$  has a relationship with the

### 2.3 Interfacial property identification

embedded fiber length  $L$  as

$$P_{\max} = \frac{2\pi r_f \tau_d}{\alpha_2} \tanh(\alpha_2 L) \quad (2.8)$$

where  $P_{\max}$  is the applied load at the onset moment of interface debonding,  $r_f$  is the fiber radius,  $\alpha_2$  is a shear-lag parameter due to the interface shear modulus and thickness, and  $L$  is the initially embedded filament length. This equation can also be used for push-out tests by replacing  $L$  with the specimen thickness  $H$ .  $\tau_d$  and  $\alpha_2$  can be determined by fitting the experimentally measured  $P_{\max} - H$  data with this equation. To this end, extensive push-out tests have to be carried out for the different specimen thicknesses. The least-square method is used to obtain the optimum value of  $\tau_d$ , leading to the best fit line to the experimental data. The details about the curve fitting principle are shown in Appendix A.

(2) Interface radial stress  $\sigma_R$ , friction coefficient  $\mu$ , and asperity caused shear stress  $\tau_R$

Shetty [84] analyzed the frictional sliding problem using the shear-lag model and derived an equation that related the maximum friction sliding load  $P_{fr}$  and the embedded fiber length  $L$ :

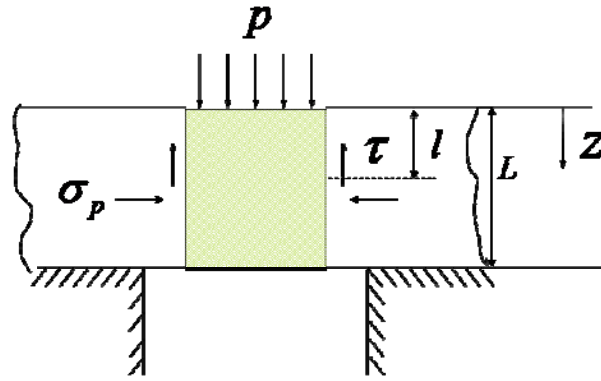
$$P_{fr} = \frac{\pi r_f^2 \sigma_0}{k} \left[ \exp\left(\frac{2\mu k L}{r_f}\right) - 1 \right] \quad (2.9)$$

It was assumed that after complete debonding, the applied load  $P_{fr}$  was resisted only by the interfacial friction. In Shetty's original formulation,  $\sigma_0$  denoted the residual clamping stress (normally compressive) due to the thermal expansion mismatch.  $\mu$  is the friction coefficient at the debonded interface.  $k$ , a non-dimensional elastic parameter,  $k = E_m \nu_f / [E_m(1 - \nu_f) + E_f(1 + \nu_m)]$ , where  $\nu$  and  $E$  represent the Poisson ratio and Young's modulus, respectively. The subscripts  $f$  and  $m$  indicate fiber and matrix.  $r_f$  is the fiber radius.

In the case of the  $W_f/W_m$  composites,  $\sigma_0$  is neglectable. However, Eq. (2.9) can still find validity for the  $W_f/W_m$  composite when the clamping stress  $\sigma_0$  is reinterpreted as interface radial stress  $\sigma_R$ . In the following we discuss this aspect in detail.

A schematic illustration of a push-out test in the final sliding stage is shown in Fig. 2.18. It is assumed that the applied pressure  $p$  is balanced entirely on the frictional shear stress  $\tau_{fr}$  which can be expressed by the Coulomb friction law

$$\tau_{fr} = \mu \sigma_r \quad (2.10)$$



**Figure 2.18.** Schematic image of stresses distribution of the push-out process

In the absence of a thermal expansion mismatch stress, the (compressive) radial stress  $\sigma_r$  can be regarded as a superposition of the Poisson effect and the interface radial stress  $\sigma_R$ :

$$\sigma_r = \sigma_p + \sigma_R \quad (2.11)$$

The stress contribution due to the Poisson effect  $\sigma_p$  is given by

$$\sigma_p = k\sigma_z \quad (2.12)$$

where  $\sigma_z$  denotes the applied axial stress. In a push-out loading case,  $\sigma_p$  and  $\sigma_z$  are always compressive.

The force equilibrium condition based on the shear-lag model is expressed by

$$-\pi r_f^2 d\sigma_z = 2\pi r_f \tau_{fr} dz \quad (2.13)$$

Substituting Eqs. (2.10), (2.11), and (2.12) into (2.13) and integrating with the boundary condition  $\sigma_z = p$  at  $z = 0$ , one obtains the solution for the fiber axial stress:

$$\sigma_z = \frac{1}{k}(\sigma_R + kp) \exp\left(-\frac{2\mu kz}{r_f}\right) - \sigma_R \quad (2.14)$$

For a given applied pressure  $p$ , there is a finite fiber length  $z = l$  at which the axial stress reduces to zero. This length is defined as the sliding length

$$l = \frac{r_f}{2\mu k} \ln\left(\frac{\sigma_R + kp}{\sigma_R}\right) \quad (2.15)$$

When the sliding length  $l$  is equal to the full embedded depth  $L$ , the applied load reaches its maximum—then the maximum load  $P_{fr}$  is given by the following equation which has a identical form as the Shetty's original solution except for the  $\sigma_R$  term:



### 2.3 Interfacial property identification

$$P_{fr} = \frac{\pi r_f^2 \sigma_R}{k} \left[ \exp\left(\frac{2\mu k L}{r_f}\right) - 1 \right] \quad (2.16)$$

To this end,  $\sigma_R$  and  $\mu$  can be determined by curve fitting, by using the exponential relationship based on the measured  $P_{fr} - L$  data.

#### (3) Interfacial fracture energy $\Gamma_i$

Liang and Hutchinson [80] developed a model to estimate the interfacial fracture energy under push-out loading (mode II) which is expressed as

$$p_d = p_R + 2 \sqrt{\frac{G_i E_f}{B_2 r_f}} e^{\xi} + \frac{\tau_0}{\mu B_1} (e^{\xi} - 1) \quad (2.17)$$

where

$$\xi = 2\mu B_1 l / r_f,$$

$$B_1 = E_m \nu_f / [E_m (1 - \nu_f) + E_f (1 + \nu_m)],$$

$$B_2 = 1 - 2\nu_f B_1.$$

$l$  denotes the fully embedded fiber length which is equal to specimen thickness  $H$ , while  $\mu$  is the friction coefficient,  $\tau_0$  is the residual shear stress which is replaced by asperity caused shear stress  $\tau_R$  in this work,  $G_i$  denotes the interfacial fracture energy under shear debonding mode which is assumed to be equal to  $\Gamma_i$  in this work, and  $p_d$  is the peak applied stress on the fiber which is equal to the maximum debonding load  $P_{max}$  divided by the cross section area of the fiber:  $p_d = P_{max} / \pi r_f^2$ ,  $p_R$  is the residual axial stress which is negligible for the  $W_f/W_m$  composite. Hence Eq. (2.17) can be simplified to

$$p_d = 2 \sqrt{\frac{\Gamma_i E_f}{B_2 r_f}} e^{\xi} + \frac{\tau_R}{\mu B_1} (e^{\xi} - 1) \quad (2.18)$$

The second term in the Eq. (2.18) is exactly the transformative form of Eq. (2.16). The first term stands for the contribution of the interfacial fracture energy to the debonding resistance. It is possible to calibrate the value of  $\Gamma_i$  by means of the curve fitting method using Eq. (2.18) based on experimental  $p_d - H$  data when  $\tau_R$  and  $\mu$  are known.

At first glance, it may seem sensible to make a curve fitting using Eq. (2.16) to determine  $\tau_R$  and  $\mu$ , then make a curve fitting with Eq. (2.18) to obtain  $\Gamma_i$ . However, since these two theoretical models describe the interfacial properties on an equal footing,

there is no prerequisite to which parameter should be estimated first. Therefore, it is necessary to make a curve fitting on these two equations simultaneously.

To this end, a special curve fitting process–Bayesian parameter estimation with uniform priors based on so called rejection posterior sampling was applied. The interfacial parameters  $\sigma_R$ ,  $\mu$ , and  $\Gamma_i$  were obtained simultaneously. The details about the curve fitting program can be found in Appendix A.

Alternatively, Clyne [143] suggested an alternative model for the interface fracture energy based on an energy balance approach. This model yields a relationship between  $\Gamma_i$  and  $p_d$ :

$$\Gamma_i = \frac{r_f}{4E_f} \left( \left( p_d - \sigma_{z,\Delta T} \right) e^{-\frac{2\mu B_1 H}{r_f}} + \frac{\tau_{0,\Delta T}}{\mu B_1} \left( e^{-\frac{2\mu B_1 H}{r_f}} - 1 \right) \right)^2 \quad (2.19)$$

where  $\sigma_{z,\Delta T}$  represents the thermal mismatch radial stress, and  $\tau_{R,\Delta T}$  is the total shear stress consisting of the asperity caused shear stress  $\tau_R$  and the thermal mismatch stress  $\tau_{\Delta T}$ . Since the  $\tau_{\Delta T}$  term is negligible in the present case, Eq. (2.19) reduce to

$$\Gamma_i = \frac{r_f}{4E_f} \left( p_d e^{-\frac{2\mu B_1 H}{r_f}} + \frac{\tau_R}{\mu B_1} \left( e^{-\frac{2\mu B_1 H}{r_f}} - 1 \right) \right)^2 \quad (2.20)$$

Eq. (2.20) has exactly the same form as Eq. (2.18) except for the B2 factor. In Eq. (2.20),  $p_d$  and  $H$  can be read directly from the push-out test results, while  $\mu$  and  $\tau_R$  can be obtained through curve fitting. Therefore,  $\Gamma_i$  is calculable. Each specimen with a specific thickness can produce one  $\Gamma_i$  value. The average value represents the mean fracture energy of the interfaces.

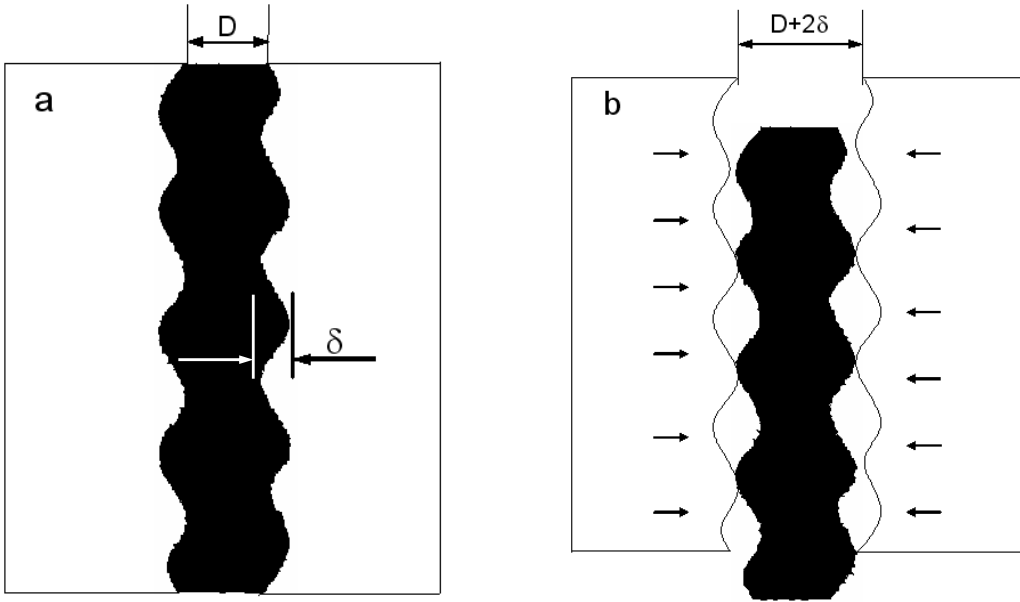
Based on the obtained interfacial fracture energy, one can predict the crack deflection of the  $W_f/W_m$  composites, regarding the interfacial debonding criteria as discussed in chapter 2.1.2.3.

### 2.3.2 Push-back test

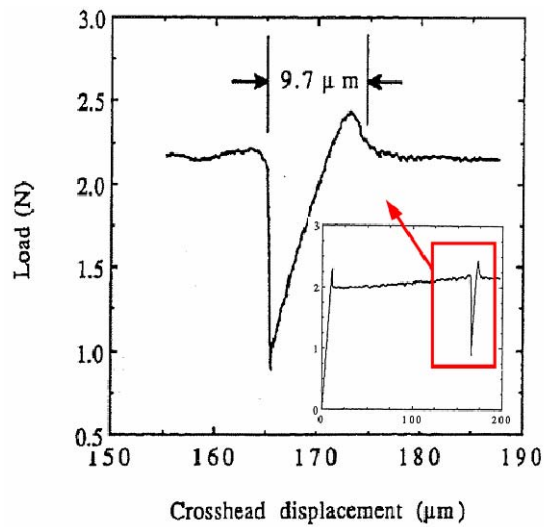
The push-back test is normally carried out on the specimen after a push-out test. In a push-back test, the load is applied at the opposite end (pushed out end) of the fiber on the specimen, the load and indenter displacement are recorded, generating a load-displacement curve, which indicates the friction contribution of the interface topography of the pushed out (debonded) interface. Several publications show that the push back test is helpful to analyze the radial stress caused by interfacial geometry mismatch (roughness) [146-148].

### 2.3 Interfacial property identification

Figure 2.19 is a schematic drawing of an interface region in the fiber-reinforced composite. Before being pushed (debonding), the irregularities on the fiber and matrix are perfectly matching (Fig. 2.19 a). After the debonding event, as the fiber moves, the surfaces' misalignment causes a radial displacement of the matrix resulting in a compressive radial stress at the interface (Fig. 2.19 b). This compressive radial stress increases the frictional sliding stress of the interface, which eventually leads to an increase of the longitudinal applied load during push-out (back) process. When the pushed out specimen is subjected to a push-back test, the fiber is pushed back into its original position. When the fiber reaches the exact original place, the irregularities on the fiber and the matrix surface realign and the friction associated with the misfit of these irregularities vanishes. The longitudinal applied load would drop at this moment. As the fiber passes its original position, the surfaces begin again to deviate from their alignment and the load (friction) rises. Fig. 2.20 shows a push-back load-displacement curve detected by Jero and Kerans [146], which shows the seating process. The seating phenomenon began with an abrupt drop as the fiber began to traverse its origin, which was followed by a rise to a peak above the initial value then a return to almost the initial level.



**Figure 2.19.** Schematis of an interface region: a) before debonding; b) after debonding [146]



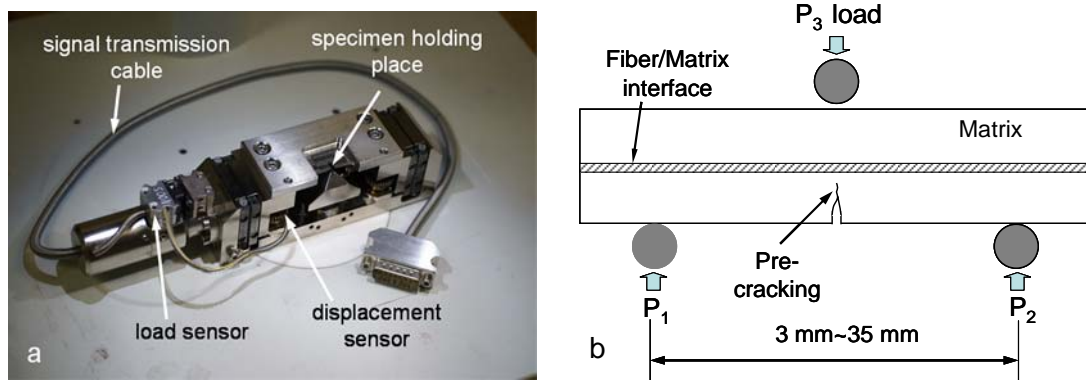
**Figure 2.20.** Push-back curve (reseat drop phenomenon) [146]

Further, apart from the “dropping gap” region, the rest part of the push-out (back) curve is also interesting. Cherouali [148] did analysis on “go and return” test (repeating push-out&back test). The interesting point of this result is that, the serrations of the curves progressively disappeared; this phenomenon is thought to be due to the abrasion of the surface roughness during the repeated sliding process. In this work, push-back test was applied as well.

### 2.3.3 Micromechanical test-three point bending test

In order to directly observe the interfacial debonding behavior, one miniaturized three-point bending (3PB) device was employed to provide the visual information about the crack deflecting along the interface when the interface was bearing a tensile load. Specimen with lengths from 4 mm to 30 mm can be tested using this 3PB device. This device consists of 3 main parts: loading supply system, which can provide a load up to 500 N; load-displacement recording system, which can record the values of the applied load and the displacement for calculating the fracture toughness of the specimen; an in-situ imaging system, to show the pictures of real time fracture behavior of the specimen. The in-situ imaging function is very important for this work, since it provides the possibility to observe the interfacial debonding behavior. When the sample is prepared as a half cylinder with half fiber in the half matrix, the interface between the fiber and matrix is visible. By setting a pre-cracking in the matrix and applying the load, the crack propagation along (or through) the interface is visible by utilizing the in-situ imaging. Fig. 2.21 shows the specimen holder of the device and the optimized design geometry of the testing sample.

## 2.4 Mechanical property prediction of composite with multiple fibers



**Figure 2.21.** 3PB test equipment. a) image of the specimen holder of the 3PB device; b) designed geography of the testing sample, shaded part in the middle of the specimen is the fiber

### 2.4 Mechanical property prediction of composite with multiple fibers

When the properties of the fiber, matrix and interface are available, the mechanical properties of a composite with multiple fibers are predictable. Therefore, apart from the interfacial debonding criterion verification and the direct crack deflection observation, one can predict the mechanical property of  $W_f/W_m$  composites with multiple fibers based on the obtained interfacial parameters. The most important mechanical behavior for a composite is the stress-strain curve. As shown in Fig. 2.4 a, a typical stress-strain curve of the toughened composite contains following critical quantities:

1.  $\sigma_{mc}$  : matrix cracking stress
2.  $\sigma_{sat}$  : matrix cracking saturation stress
3.  $\sigma_y$  : composite yielding stress ( requires fiber yielding stress  $\sigma_{f-yield}$  )
4.  $\sigma_u$  : composite ultimate stress (requires fiber ultimate stress  $\sigma_{f-u}$  )

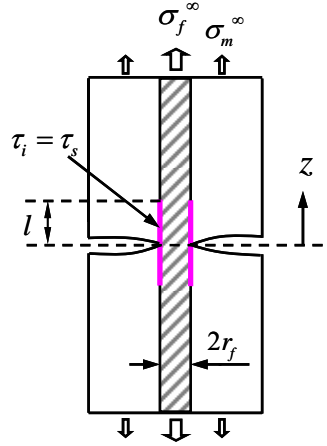
and their corresponding strains.

Therefore, the stress-strain curve of  $W_f/W_m$  composites can be predicted after estimating these quantities. The procedures are described in follows.

#### (1) Matrix cracking stress $\sigma_{mc}$

Considering an unidirectionally-aligned-fiber composite under tension containing a long matrix crack normal to the fiber direction, On can analyze the fiber-matrix interaction on the basis of a single composite cylinder. Fig. 2.22 shows the schematic image of the fiber and matrix [149]. Here,  $r_f$  is the fiber radius,  $c_f$  and  $c_m$  are the fiber and the matrix volume fractions, respectively, satisfying  $c_f + c_m = 1$ . A uniform axial strain is imposed at  $z = \infty$ , producing the far-field average fiber and matrix stresses

$\sigma_f^\infty$  and  $\sigma_m^\infty$ . Thus, the average composite stress  $\sigma = c_f \sigma_f^\infty + c_m \sigma_m^\infty$  is resisted by the fiber stress  $\sigma / c_f$  at the matrix crack. Axial sliding occurs at the fiber-matrix interface in the interval  $0 < z < l$ , where the longitudinal shear stress is equal to the limiting frictional stress. This stress is caused by the asperity caused shear stress  $\tau_R$  in the  $W_f/W_m$  composite. The magnitude of  $l$ , which could be zero, will come out from the solution.



**Figure 2.22.** Single composite cylinder model, fiber and matrix [149]

According to the shear-lag solution, the average fiber and matrix tensile stresses  $\sigma_f(z)$  and  $\sigma_m(z)$ , as well as the interface shear stress  $\tau_i(z)$ , are given in references [149, 150]:

for  $0 < z < l$

$$\sigma_f(z) = \sigma / c_f - 2\tau_R z / r_f$$

$$\sigma_m(z) = (2c_f / c_m)\tau_R z / r_f$$

$$\tau_i(z) = \tau_R \quad (2.21)$$

for  $z > l$

$$\sigma_f(z) = \sigma_f^\infty + \left[ (c_m / c_f)\sigma_m^\infty - 2\tau_R l / r_f \right] e^{-\rho(z-l)/r_f}$$

$$\sigma_m(z) = \sigma_m^\infty - \left[ \sigma_m^\infty - (2c_f / c_m)\tau_R l / r_f \right] e^{-\rho(z-l)/r_f}$$

$$\tau_i(z) = (\rho / 2) \left[ (c_m / c_f)\sigma_m^\infty - 2\tau_R l / r_f \right] e^{-\rho(z-l)/r_f} \quad (2.22)$$

## 2.4 Mechanical property prediction of composite with multiple fibers

$$\rho = \left[ \frac{2\zeta_m E_c}{c_m E_m E_f \log(\bar{R}/r_f)} \right]^{1/2},$$

$$\bar{R} = R - r_f \approx r_f / \sqrt{c_f}.$$

Here,  $\zeta_m$  is the matrix shear modulus,  $\zeta_m \approx E_m / [2(1+\nu_m)]$ .  $\nu_m$  is the matrix Poisson ratio;  $E_c$  is the Young's modulus of the composite,  $E_f$  and  $E_m$  are Young's modulus of the fiber and matrix, respectively;  $\bar{R}$  is the effective matrix radius and  $R$  is the composite radius.

Two definitions are made:

1) sliding stress  $\sigma_s$ : applied stress which leads the interfacial shear stress at  $z=0$  reaches interfacial friction stress  $\tau_{fr}$  (in  $W_f/W_m$  composite case  $=\tau_R$ ).

Assuming the debonding energy to be 0, the debonded length  $l$  will remain equal to zero until the interface shear stress at  $z=0$  reaches the interfacial friction stress  $\tau_R$ . This will happen when the applied stress  $\sigma$  reaches a critical value,  $\sigma_s$ . Applying  $\sigma = \sigma_s$ ,  $\tau_i(z) = \tau_R$ ,  $z = 0$ , and  $l = 0$  to Eq. (2.22), One can get

$$\sigma_s = \left( \frac{2c_f E}{c_m E_m} \right) \frac{\tau_R}{\rho}.$$

2) debonding stress  $\sigma_D$ : in the frictionless case, the debonded zone is propagating under a critical value  $\sigma_D$  of the average applied stress  $\sigma$  at  $z = \infty$ . An elementary energy release calculation gives

$$\sigma_D = 2c_f \sqrt{\frac{E_f E_c \Gamma_D}{c_m E_m r_f}}.$$

where  $\Gamma_D$  is the debonding energy release rate (equal to the interfacial fracture energy  $\Gamma_i$  in  $W_f/W_m$  composite). Its corresponding interfacial shear stress is known as the cohesive shear strength of the interface  $\tau_D$ .

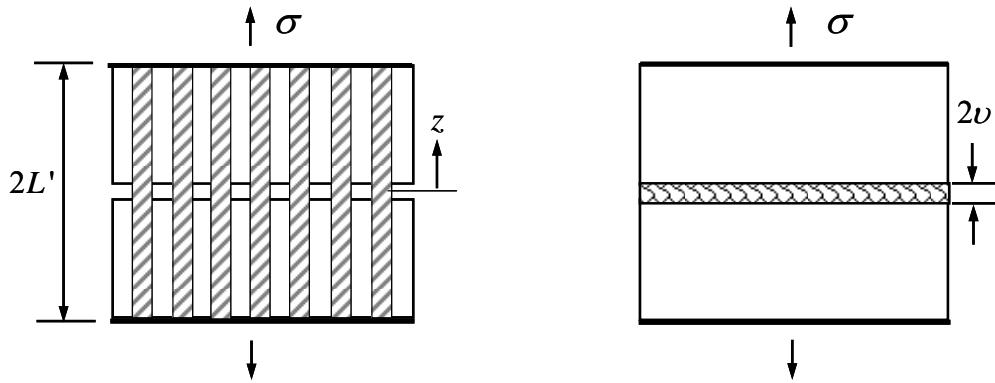
$$\tau_D = \left( \frac{\rho c_m E_m}{2c_f E_c} \right) \sigma_D.$$

A general criterion for continued interface debonding with both friction and debonding energy is formulated as follows.

In order to propagate the debonding, the interfacial shear stress  $\tau_i(l^+)$  at the debonding front needs to be  $\tau_i(l^+) = \max(\tau_R, \tau_D)$ . In the  $W_f/W_m$  composite case,  $\tau_D > \tau_R$ . Therefore, one can set  $\tau_i(l^+) = \tau_D$  in Eq. (2.22) in order to calculate the debonding length  $l$ , arriving at

$$l/a = \left( \frac{c_m E_m}{c_f E} \right) \frac{\sigma - \sigma_D}{2\tau_R} \quad (2.23)$$

The matrix-cracked composite (see Fig. 2.23) is stretched uniformly at  $z = \pm L'$  by an average stress  $\sigma$ . The same stress is applied to the spring model which consists of two homogenous slabs with the composite modulus  $E_c$ , connected by a spring that stretches by  $2\nu$ .



**Figure 2.23.** Fiber bridging prototype and spring model; the average applied stress is  $\sigma$  [149]

To allow for the identical final displacements in the model and the prototype, the spring stretch must satisfy

$$\nu = \int_0^{L'} \frac{\sigma_f(z)}{E_f} dz - \frac{\sigma L'}{E_c} \quad (2.24)$$

Applying Eqs. (2.21), (2.22), and (2.23) into Eq. (2.24), assuming  $L' \rightarrow \infty$ , one gets

$$\frac{\nu}{r_f} = 2 \left( \frac{\sigma_S}{\sigma_A} \right) \left( \frac{\sigma}{\sigma_A} \right) \quad \text{for } \sigma \leq \sigma_D \quad (2.25a)$$

$$\frac{\nu}{r_f} = \left( \frac{\sigma}{\sigma_A} \right)^2 - \left( \frac{\sigma_D}{\sigma_A} \right)^2 + 2 \left( \frac{\sigma_S}{\sigma_A} \right) \left( \frac{\sigma_D}{\sigma_A} \right) \quad \text{for } \sigma \geq \sigma_D \quad (2.25b)$$



## 2.4 Mechanical property prediction of composite with multiple fibers

$$\text{with } \sigma_A = \frac{2c_f E_c \sqrt{E_f \tau_R}}{c_m E_m}.$$

The matrix cracking stress  $\sigma_{mc}$  is defined as the value of the far-field average stress  $\sigma$  needed to propagate a single, long matrix crack through the composite, and is readily obtained by setting the crack front energy release rate  $c_m \Gamma_m$  equal to the rate of potential energy loss in the composite as the crack front advances. The potential energy release per unit crack advance is

$$Q(\sigma) = 2 \int_0^\sigma \nu(\sigma) d\sigma \quad (2.26)$$

Combining Eq. (2.25) and Eq. (2.26) gives

$$Q(\sigma) = \frac{2r_f}{\sigma_A} [\sigma_S \sigma^2] \quad \text{for } \sigma < \sigma_D \quad (2.27a)$$

$$= \frac{2r_f}{3\sigma_A^2} [\sigma^3 - 3\sigma\sigma_D(\sigma_D - 2\sigma_S) + \sigma_D^2(2\sigma_D - 3\sigma_S)] \quad \text{for } \sigma > \sigma_D \quad (2.27b)$$

This is actually the complementary energy of the springs, and the matrix cracking stress  $\sigma_{mc}$  satisfies

$$Q(\sigma_{mc}) = c_m \Gamma_m \quad (2.28)$$

Combining Eqs. (2.27b) and (2.28) (with  $\sigma_{mc}$  larger than  $\sigma_D$ ) one can obtain

$$\frac{2r_f}{3\sigma_A^2} [\sigma_{mc}^3 - 3\sigma_{mc}\sigma_D(\sigma_D - 2\sigma_S) + \sigma_D^2(2\sigma_D - 3\sigma_S)] = c_m \Gamma_m \quad (2.29)$$

By solving Eq. (2.29), one can get the values of  $\sigma_{mc}$  for the  $W_f/W_m$  composite with each interface. The corresponding strain  $\varepsilon_{mc}$ , can be calculate by

$$\varepsilon = \frac{\sigma_{mc}}{c_f E_f + c_m E_m}.$$

### (2) Matrix cracking saturation stress $\sigma_{sat}$

As multiple matrix cracking develops, the slip zones of neighboring cracks overlap and produce a shielding effect. When the shielding process is completed, a saturation crack density results. This occurs at a stress  $\sigma_{sat}$ , with an associated spacing  $\bar{l}_s$  given by [45]

$$\bar{l}_s / r_f = \chi \left[ \Gamma_m (1 - c_f)^2 E_f E_m / c_f \tau_R^2 E_c r_f \right]^{1/3} \quad (2.30)$$

where  $\chi$  is the crack coefficient. It depends on the arrangement of the cracks (period, random, etc.); and was suggested to be 1.6 [45].

$$\sigma_{sat} = \frac{c_f E_c (\tau_R \bar{l}_S / r_f)}{(1 - c_f) E_m} \quad (2.31)$$

The corresponding strain can be calculated via  $\varepsilon_{sat} = \sigma_{sat} / c_f E_f$ , since at that moment, the applied stress is resisted by the fiber.

### (3) Composite yielding stress $\sigma_y$

The composite yielding stress is defined as a stress where the composite starts to fail. At this moment, cracks propagate through the entire matrix; the stress is sustained by the fibers. Therefore, the composite yielding stress is given by  $\sigma_y = c_f \sigma_{f-yield} + \sigma_s$ . The corresponding strain can be calculated by  $\varepsilon_y = \sigma_{f-yield} / E_f$ .

### (4) Composite ultimate tensile strength $\sigma_u$

$\sigma_u$  is steered by the fiber ultimate stress  $\sigma_{f-u}$  since the fiber is bearing the whole load at the failure stage. Hence the ultimate stress can be obtained by  $\sigma_u = c_f \times \sigma_{f-u}$  and the ultimate strain is the failure strain of the W fiber.

## 2.5 Structure characterization method

### 2.5.1 Interface morphology characterization, SEM equipped with FIB

A high magnification scanning electron microscope (SEM), detecting secondary electrons (SE) and backscattered electrons (BSE), serves as a high resolution technique for studying the morphology and grain topography. With the additional feature of energy dispersive x-ray spectroscopy (EDXS), the composition of the surface structure can be determined. SEM images rely on imaging surfaces and 3D structures of the sample due to the high depth of the field and the brightness of tilted surface [73, 151].

The focused ion beam (FIB) uses a focused beam (beam size 4-6 nm) of gallium ions accelerated to an energy of 1-30 keV to sputter atoms from the substrate. Because the beam position, dwell time and size are well controlled, it can be applied to remove material locally in an accurately controlled manner, down to the nanometer scale. The FIB in combination with SEM is a great innovation in microscope technology. First, the FIB is used to cut and mill the desired location of the specimen, and then SEM images are taken. These images show the fresh section of the specimen and avoid any inaccurate

## 2.5 Structure characterization method

information cause by the damage from mechanical polishing, which happens quite often in conventional polishing technology. Besides which the cutting location is flexible, it can reveal the structure of a specific area, which is extremely convenient to analyze the interface between fiber and matrix. In this thesis, SEM images obtained after FIB processes are intensively used to demonstrate the microstructure of the interfaces.

### **2.5.2 Interface chemical characterization, EDXS, SIMS**

Energy dispersive x-ray spectroscopy (EDXS) is an analytical technique used for the elemental analysis or chemical characterization of a sample. As a type of spectroscopy x-rays emitted by the sample in response to being hit with charged particles are analyzed with respect to their energy. Its characterization capabilities are due to the fact that each element has a unique electronic structure allowing x-rays that are characteristic of an element's atomic structure to be identified.

Most EDXS analysis systems are combined with SEM. The electron beam serves to excite characteristic x-rays from the area of the specimen being probed; a detector is used to convert the x-ray energies into voltage signals; this information is sent to a pulse processor, which measures the signals and passes them onto an analyzer for data display and analysis. In this thesis, SEM-EDXS analysis is used to detect the elemental composition of the interface.

Secondary ion mass spectrometry (SIMS) is a technique used in materials science and surface science to analyze the composition of solid surfaces and thin films by sputtering the surface of the specimen with a focused primary ion beam and collecting and analyzing ejected secondary ions. These secondary ions are measured with a mass spectrometer to determine the elemental, isotopic, or molecular composition of the surface. The SIMS primary ion beam can be focused to less than 1  $\mu\text{m}$  in diameter. Controlling where the primary ion beam strikes the sample surface provides the microanalysis. SIMS has the ability to provide chemical information on polymers and composites by detection of ionized fragments [62]. In this thesis, the SIMS profile was adopted to investigate the chemical compositions of magnetron sputtering deposited interface coatings.

## Chapter 3

### Material and Experiment

#### 3.1 Material

##### 3.1.1 Fiber

Commercial tungsten (W) wire (see Fig. 3.1 a) was used as the reinforcement (fiber). The material parameters of W fiber are shown in Table 3.1.

**Table 3.1.** Material parameters of W fiber.

Diameter, $d_f$ ( $\mu\text{m}$ )	Fracture energy, $\Gamma_f$ ( $\text{J}/\text{m}^2$ )	Young's modulus, $E_f$ (GPa)	Poisson ratio, $\nu_f$	Yield strength, $\sigma_{f\text{-yield}}$ (MPa)	Ultimate strength, $\sigma_{f\text{-}u}$ (MPa)	Ultimate strain, $\varepsilon_{f\text{-}u}$ (%)
150	360	400	0.28	1727	2593	2.1

The fracture energy of the W fiber was taken from reference [152]: the fracture toughness  $K_f$  of the commercial W wire is about 12-14  $\text{MPa}\cdot\text{m}^{1/2}$ . It can be converted into fracture energy via  $\Gamma_f = K_f^2 / E_f$  with  $E_f = 400$  GPa, yielding a value of 360  $\text{J}/\text{m}^2$ .

The yield strength, the ultimate strength, and the ultimate strain of fiber were obtained by a tensile test. These values are comparable to the literature data [152-154].

##### 3.1.2 Interface

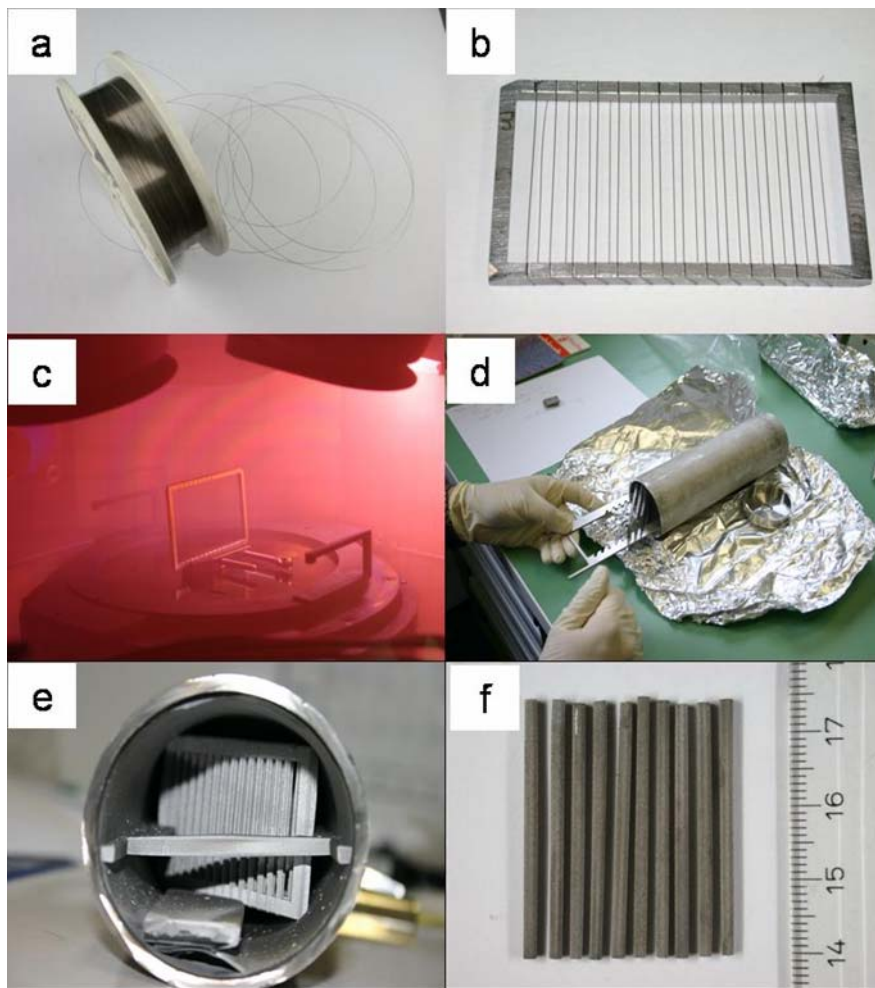
The interface of  $W_f/W_m$  composites were deposited by magnetron sputter deposition. The W fiber was wound on a stainless steel frame (Fig. 3.1 b) with a spacing of 3 mm to avoid coalescence during deposition. The wound frames were cleaned in an ultrasonic acetone bath. Then the complete frames were coated in a magnetron sputtering device with varying interface materials (Fig. 3.1 c). Every frame was pre-sputtered for cleaning by an argon (Ar) plasma. Also the sputter targets were pre-cleaned with a closed shutter. The oxide coatings were deposited by the introduction of oxygen as a reaction partner for the sputtered metal atoms. The oxidation reactions are as follows:

### 3.1 Material



Since the deposited oxide coatings are non-stoichiometric containing unknown oxygen content they are labeled  $\text{ZrO}_x$  and  $\text{ErO}_x$  instead of  $\text{ZrO}_2$  and  $\text{Er}_2\text{O}_3$ .

With every interface deposition a cleaned piece of a silicon wafer was placed beside the frame. This reference coating provides the information about its corresponding interface.



**Figure 3.1.**  $W_f/W_m$  composite synthesization process: a), commercial W wire; b), W wire wound on frame; c), interface was deposited by magnetron sputtering; d), frames were emplaced in the chamber; e), frames after CVD deposition; f),  $W_f/W_m$  composites

Some interfaces were deposited with an additional 100 nm W layer to protect the coating from oxidation (eg. The  $\text{ZrO}_x/\text{Zr}$  m, the Cu 480, the carbon, etc... interfaces).

Table 3.2 shows the information of the layer arrangement and deposition condition of each interface.

**Table 3.2.** Interface arrangement and depositing condition: the diameter of the targets is 15 cm; the background chamber pressure is  $5 \times 10^{-6}$  Pa; the rotation speed of the substrate holder is 6 upm. \*: Gas Seerving indicates the injected gas and amount; Power supply indicates the plasma creation and substation source; Pressure indicates the chamber pressure during the deposition; Voltages was the power supply of the magnetron sputtering device.

Interface name	Layer arrangement: material and thickness (nm)	Depositing conditions*				Total interface thickness (nm)
		Gas Serving (sccm)	Power supply	Pressure (Pa)	Voltage, DC (V)	
ZrO <sub>x</sub> 150	ZrO <sub>x</sub> , 150	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.67	405	150
ZrO <sub>x</sub> 450	ZrO <sub>x</sub> , 450	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.47	405	450
ZrO <sub>x</sub> 950	ZrO <sub>x</sub> , 950	Ar 60 O <sub>2</sub> 10	600 W bipulse	0.46	405	950
ZrO <sub>x</sub> &W 260	ZrO <sub>x</sub> , 125	Ar 10 O <sub>2</sub> 6	300 W RF, bias: 20 V	0.58	296	260
	W, 130	Ar 40	300 W DC	1.8	387	
ErO <sub>x</sub> 600	ErO <sub>x</sub> , 600	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.46	467	600
ErO <sub>x</sub> 1000	ErO <sub>x</sub> , 1000	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.46	463	1000
ZrO <sub>x</sub> /Zr m	ZrO <sub>x</sub> , 55×5	Ar 10 O <sub>2</sub> 6	300 W RF, bias: 20 V	0.58	301	640
	Zr, 35×5	Ar 10	200 W RF, bias: 20 V	0.33	286	
	W, 190	Ar 40	300 W DC	1.9	393	
ZrO <sub>x</sub> /W m	ZrO <sub>x</sub> , 100×5	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.47	405	900
	W, 100×4	Ar 160	300W DC	0.46	407	
ErO <sub>x</sub> /W m	ErO <sub>x</sub> , 100×5	Ar 160 O <sub>2</sub> 10	600 W bipulse	0.47	455	900
	W, 100×4	Ar 160 O <sub>2</sub> 10	300 W DC	0.46	401	
Er/W m	Er, 100×4	Ar 20	500 W DC	0.93	390	900
	W, 100×5	Ar 20	500 W DC	0.93	430	

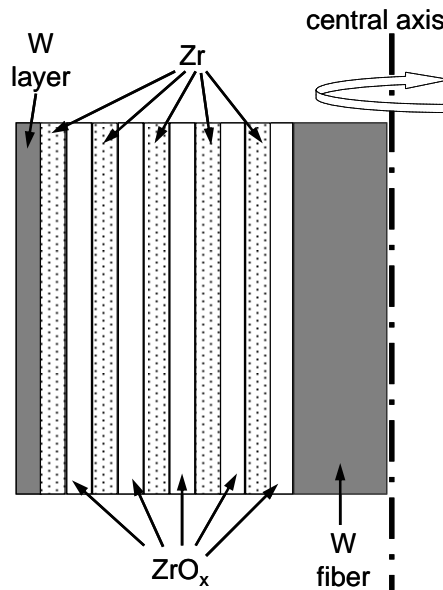
### 3.1 Material

**Table 3.2.** (continue).

Interface name	Layer arrangement: material and thickness (nm)	Depositing conditions*				Total interface thickness (nm)
		Gas Serving (sccm)	Power Supply	Pressure (Pa)	Voltage, DC (V)	
Cu/W m	Cu , 55×4	Ar 20	500 W DC	0.93	400	770
	W , 110×5	Ar 20	300 W DC	0.93	470	
Cu 170	W , 100	Ar 20	500 W DC	0.93	400	370
	Cu , 170	Ar 20	300 W DC	0.94	470	
	W , 100	Ar 20	500 W DC	0.93	400	
Cu 480	W , 100	Ar 20	500 W DC	0.93	400	680
	Cu , 480	Ar 20	300 W DC	0.94	470	
	W , 100	Ar 20	500 W DC	0.93	400	
carbon (C) quadplex	C , 100	Ar 20	200 W DC	0.95	750	1050
	W , 150	Ar 20	500 W DC	0.93	390	
	C , 600	Ar 20	500 W DC	0.95	750	
	W , 200	Ar 20	500 W DC	0.93	390	

In Table 3.2, the numbers after the coating names refer to the thicknesses of each interface and the “m” behind the coating names indicates that they are multilayer structures. For example, ‘ZrO<sub>x</sub> 150’ means that it has a single layer structure and its thickness is 150 nm; ‘ZrO<sub>x</sub>/Zr m’ means that it has a multilayer structure and contains the alternating ZrO<sub>x</sub> and Zr layers (5 ZrO<sub>x</sub> layers and 5 Zr layers, see Fig. 3.2).

The microstructure images of each deposited interface are shown and discussed in chapter 4.1.



**Figure 3.2.** The designed layer arrangement of  $ZrO_x/Zr$  m interface

### 3.1.3 Matrix

The matrix was deposited via chemical vapor deposition (CVD) by Archer Technicoat Ltd., England.

The frames after interface deposition were placed in a specially designed tube (Fig. 3.1 d) for the W matrix deposition. The tube had two pipe connections on the opposite ends as inlet and outlet for the reactive gases. The gas flow direction was alternated frequently during the deposition for a more homogeneous coating along the assembly. Tungsten hexafluoride ( $WF_6$ ) as the tungsten precursor and hydrogen are guided through the reaction chamber which was heated to a temperature of 550 °C. The chemical reaction of the process is



After a processing time of 30 hours a W layer with a thickness of 1-1.5 mm was deposited on the fibers. The assembly was carefully cut open by wire erosion (Fig. 3.1 e). From every frame originated 35 mm long  $W_f/W_m$  sticks (Fig. 3.1 f) with the same nominal interface between fiber and matrix.

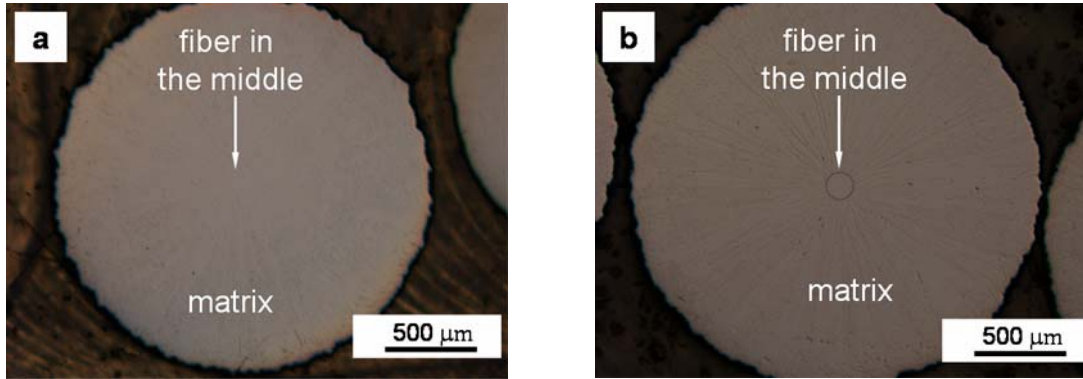
The material parameters of the W matrix are shown in Table 3.3. The density of W matrix was measured by Archimedes method. The fracture energy is calculated by a three point bending test (see chapter 4.4.2). The optical microscopy images (see Fig. 3.3) of W matrix shows that the CVD-deposited W matrix is dense and without any pores.



### 3.2 Testing specimen preparation

**Table 3.3.** Material parameters of W matrix deposited by CVD.

Density (g/cm <sup>3</sup> )	Fracture energy, $\Gamma_m$ (J/m <sup>2</sup> )	Young's modulus, $E_m$ (GPa)	Poisson ration, $\nu_m$
19.3±0.2	114	400	0.28



**Figure 3.3.** Top-views of a  $W_f/W_m$  composite specimen: a) grinded by 2  $\mu\text{m}$ -grinding paste; b) lightly etched by potassium ferricyanide ( $\text{K}_3[\text{Fe}(\text{CN})_6]$ ) solution

### 3.2 Testing specimen preparation

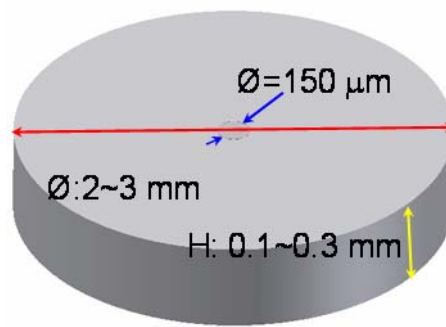
#### 3.2.1 Push-out (back) test specimen preparation

The push-out test was carried out utilizing a cylindrical tungsten carbide punch (diameter of 120  $\mu\text{m}$ ) as indenter to apply the load. The tungsten carbide punch had the maximum applicable load of 65 N and thus limited the specimen thicknesses below 0.35 mm. In order to prepare such thin specimens, the  $W_f/W_m$  composites were cut into thin slices by a diamond saw. These slices were then glued on to a specially designed polishing holder that had a stepped surface with a difference of 50  $\mu\text{m}$  per step. It allowed for the preparation of the polished samples with a difference in thickness of 50  $\mu\text{m}$ . The samples were glued with a polymer wax which can easily be dissolved in propanone without any damage to the polished surface. The slices were polished on both sides.

In order to clearly identify the interface location, the polished surfaces were slightly eroded by Potassium ferricyanide ( $\text{K}_3[\text{Fe}(\text{CN})_6]$ ) solution (see Fig. 3.3 b).

Figure 3.4 is a schematic drawing of the push-out test specimen.

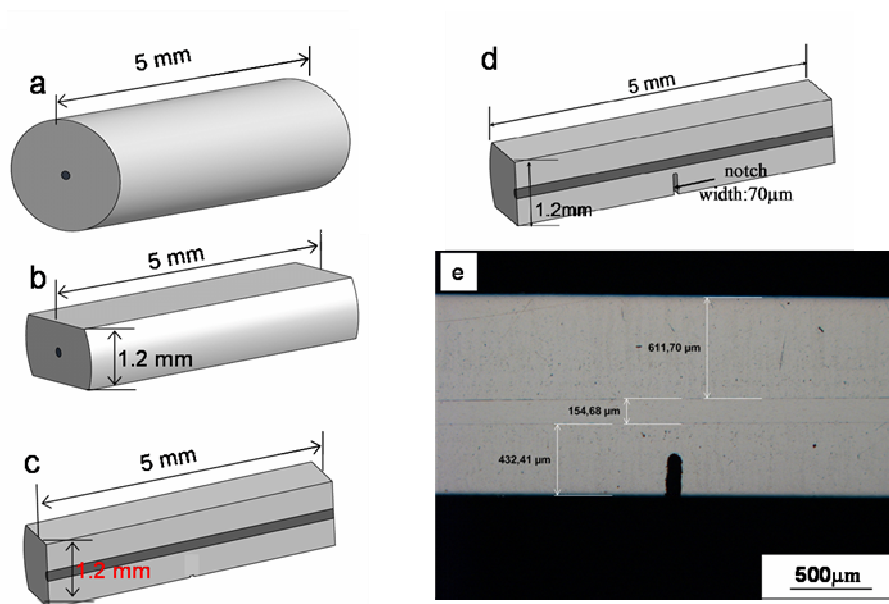
The pushed out samples were turned upside down with the protruded fiber carefully placed under the punch in order to carry out the push-back tests.



**Figure 3.4.** Schematic drawing of a push-out test specimen

### 3.2.2 Three point bending test specimen preparation

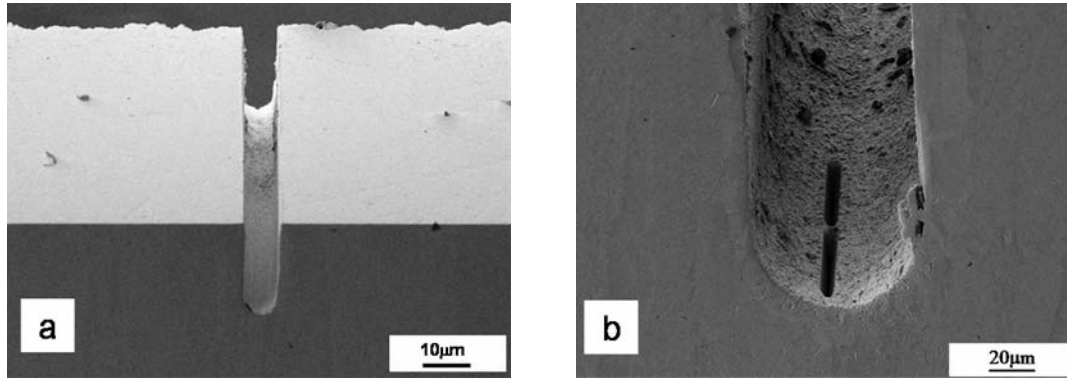
Figure 3.5 shows the process of preparing the three point bending (3PB) test specimens. Firstly, the  $W_f/W_m$  composite was cut into a cylinder with the length of 5 mm (Fig. 3.5 a); after that, the material of the up and downside of the cylinder was polished away resulting in two parallel planes (Fig. 3.5 b); subsequently, half of this cylinder was precisely removed by polishing under 90 degree to the applied planes with exactly half of the fiber remaining (Fig. 3.5 c); finally, a notch was grinded by a diamond wire in the middle of the half cylinder. The width of the notch was around  $70 \mu\text{m}$  while the depth was  $250\sim 300 \mu\text{m}$  (Fig. 3.5 d). Fig. 3.5 e is the front view optical micrograph of a specimen with the notch. It can be seen that the remaining fiber width is  $150 \mu\text{m}$  and the depth of the notch is  $280 \mu\text{m}$ .



**Figure 3.5.** Preparation processes of the 3PB testing specimen

### 3.3 Mechanical property investigation

As the notch cut by the diamond grinding would not be sharp enough to create a well-defined initial crack location, a pre-crack was formed by a focused ion beam (FIB) on the bottom of the wire grinded notch. Figs. 3.6 a and b show the images of a notch with and without a pre-crack on the bottom, respectively.



**Figure 3.6.** Notch bottom views of the specimens for 3PB test: a) a notch without pre-cracking; b) a notch with pre-cracking

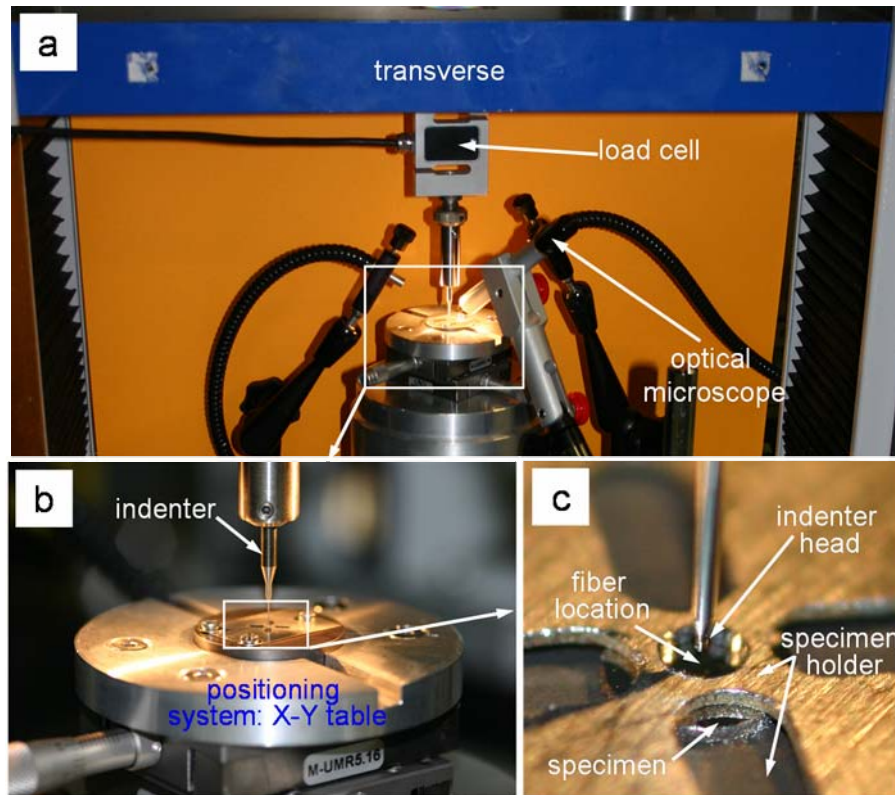
### 3.3 Mechanical property investigation

#### 3.3.1 Push-out test

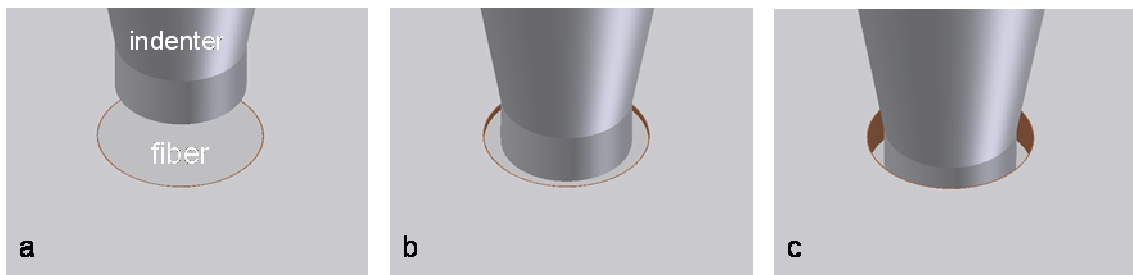
Figure 3.7 is the image of the push-out device. The push-out testing system is installed on an instrumented macro-indentation device ( $< 2$  kN). The device was equipped with an optical microscope (Fig. 3.7 a), positioning system (X-Y-table) (Figs. 3.7 b and c) and a load sensing unit (a standard load cell capable of a maximum load of 200 N) (Fig. 3.7 a).

The specimen was fixed on a specially designed holder under the assistance of the optical microscope concentrically over a  $400 \mu\text{m}$  hole by a metallic spring. The holder with the fixed specimen was then put on an X-Y-table below the indenter. With the positioning system it was ensured that the indenter would be placed concentrically over the fiber (Fig. 3.8 a). Starting the automated measurement the indenter moved gradually down against the fiber with a speed of  $1 \mu\text{m/s}$  (Figs. 3.8 b and c). Load, time and machine displacement were recorded by a computer program. The time interval between the two sampling points was 0.02 seconds. The fiber displacement (indenter-head displacement) was calculated by eliminating the contribution of the machine compliance. The machine compliance was measured by pressing the indenter on a stiff tungsten carbide plate using the same indentation system. The estimated device compliance was

1  $\mu\text{m}/\text{N}$ . The push-out test was manually terminated when the fiber was pushed out 40%~60% of the specimens' thickness.



**Figure 3.7.** Images of push-out device



**Figure 3.8.** The processes of the push-out test

Figure 3.9 shows the detailed structure of the push-out setup. The indenter diameter  $d_i$  was 120  $\mu\text{m}$  (subjected to the W fiber diameter of 150  $\mu\text{m}$ ) ensuring that the indenter head would not touch the interface region when it was pushing the fiber.  $D_f$ , the diameter of the hole where the pushed out fiber went through, was designed as 400  $\mu\text{m}$  to avoid any bending effects during the push-out process (details refer to Hutchinson [80]).

### 3.3 Mechanical property investigation

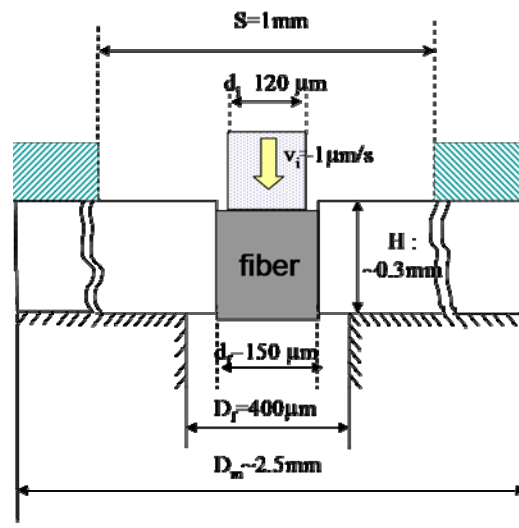


Figure 3.9. Dimensions of the push-out set

#### 3.3.2 Push-back test

The pushed out specimen was taken out of the specimen holder of the push-out test (Fig. 3.10 a.) and turned over leaving the pushed out fiber on the top side (Fig. 3.10 b), then followed the same steps as for the push-out test (Fig. 3.10 c).

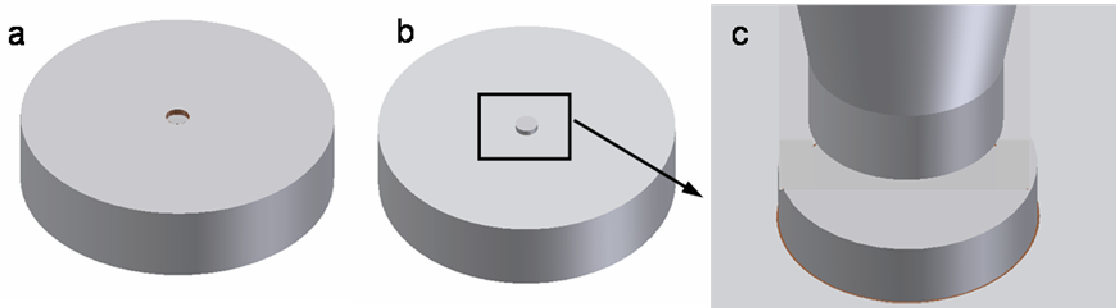
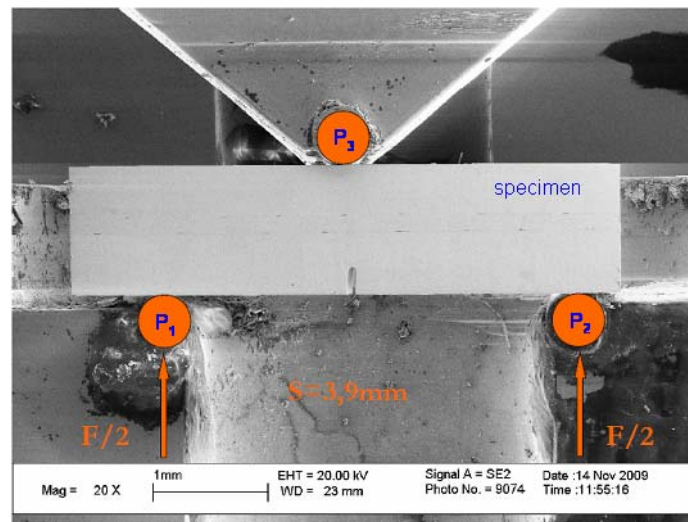


Figure 3.10. The processes of the push-out test

#### 3.3.3 Three point bending test

The three point bending (3PB) tests were carried out in a miniaturized testing setup inside a scanning electron microscope (SEM). The specimen was fixed in the specimen holder and then introduced to the vacuum chamber of the SEM. Fig. 3.11 shows the image of a specimen being held by 3 supporting points of the holder.  $P_3$  was motionless while  $P_1$  and  $P_2$  were movable. The loading system moved up the  $P_1$  &  $P_2$  platform gradually applying a load to the sample. The applied load and the corresponding displacement of the platform were recorded by a computer program. During the testing SEM-pictures were taken.

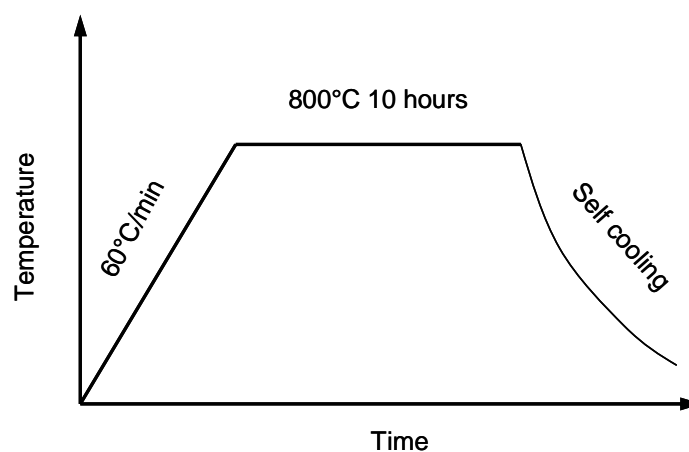


**Figure 3.11.** One specimen was held by supporting points of the holder in 3PB test

### 3.4 Thermal stability investigation

The specimens were put into a vacuum furnace for heat treatment. The chamber pressure was below  $1 \times 10^{-6}$  Pa. A temperature of 800 °C was reached with a heating rate of 60 K/min and was held for 10 hours. The specimens cooled down by furnace cooling at room temperature. The schematic image of the temperature curve is shown in Fig. 3.12.

The heat treated specimens were also push-out tested as previously described.

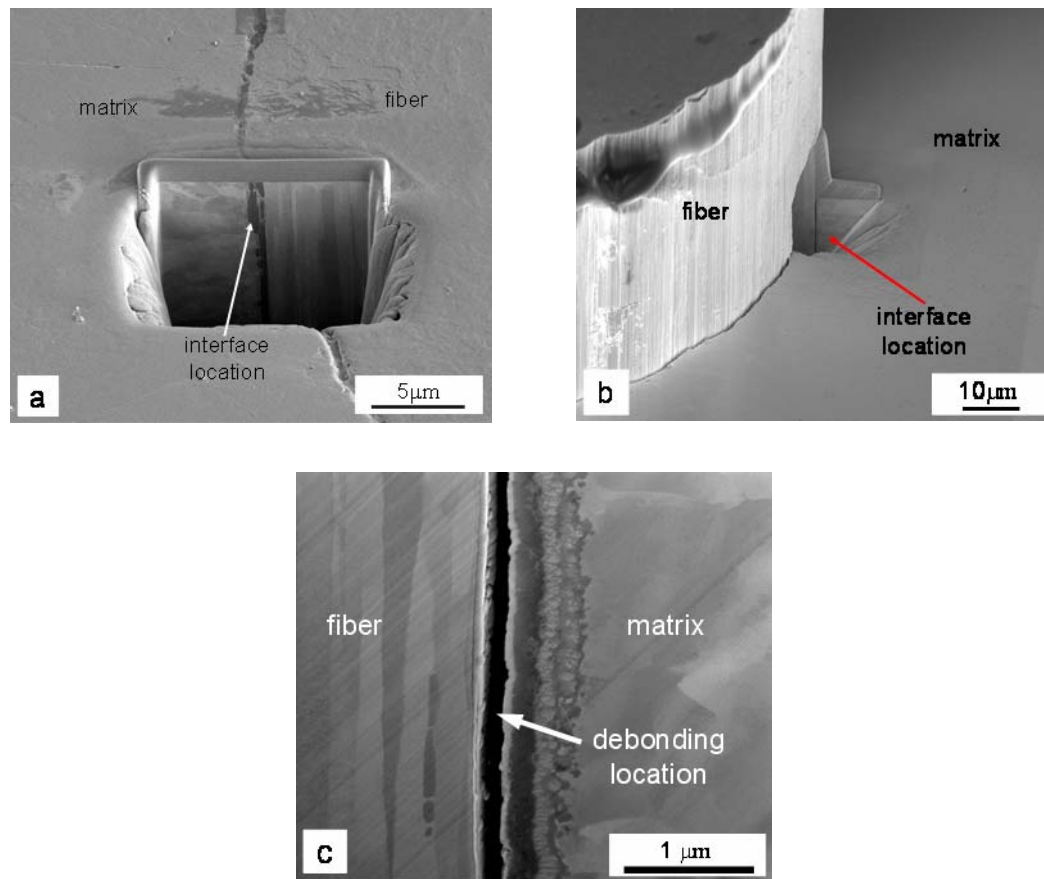


**Figure 3.12.** Schematic figure indicating the temperature arrangement in heat treatment process

### 3.5 Material microstructure and component investigation

#### 3.5 Material microstructure and component investigation

Two scanning electron microscopes (XL 30 ESEM from FEI operated at 20 kV and Helios Nanolab 600 from FEI operated at 10 kV, both equipped with EDXS) were used to analyze the interface morphology and chemical composition. The Helios device additionally offers a focused ion beam (FIB) equipment.



**Figure 3.13.** FIB cutting locations. a) cutting location before push-out; b) cutting location after push-out; c) magnified image of the cross-section in Fig. 3.13 b

Preparations of cross-sections of the interface were performed using FIB. Fig. 3.13 a shows the FIB cutting location of the interface between fiber and matrix before the push-out test while Fig. 3.13 b shows that after the push-out test. Fig. 3.13 c is the magnified image of the interface marked in Fig. 3.13 b. Subsequently, these cross-sections were analyzed by EDXS (including line scan, point scan, and area scan (mapping)). These images will be discussed extensively in chapter 4. The elemental compositions in some of the interfaces were cleared by SIMS (Atomika SIMS 4000). The results will also be illustrated in chapter 4.

## Chapter 4

### Results

#### 4.1 Microstructure and chemical composition of the interface

The interface can be sorted into four groups due to their structure type: oxide interface, multilayer interface, Cu interface, and carbon (C) interface. The results of microstructure and chemical composition of them are discussed separately in the following.

##### 4.1.1 Oxide interface

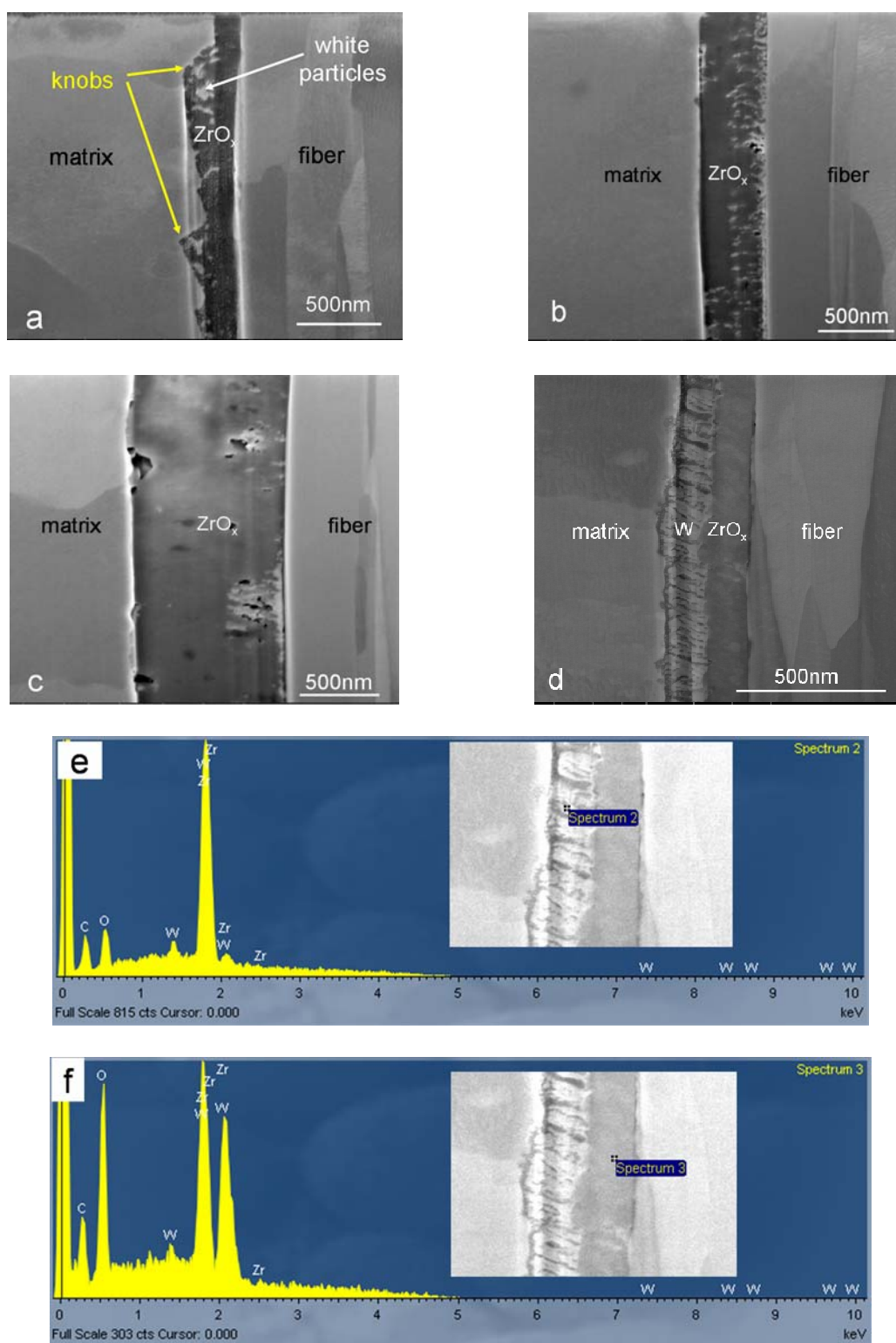
Figure 4.1 shows cross-section images of the  $ZrO_x$  interfaces with different thicknesses.

Figure 4.1 a shows that the  $ZrO_x$  150 was deposited with desired thickness and density. Some knobs were present on the outer side (facing to the CVD W) leading to the mechanical bonding between the  $ZrO_x$  150 coating and the W matrix. Figs. 4.1 b and c are SEM images of the  $ZrO_x$  interface with thickness of 450 nm and 950 nm, respectively. Both of these layers were deposited with the expected thickness and density. A few pores are found in the coatings together with small amounts of W (white particles). Another  $ZrO_x$ -based interface consists of one 125 nm  $ZrO_x$  layer and one 135 nm W layer, denoted as  $ZrO_x$ &W 260. A SEM image of this specimen is shown in Fig. 4.1 d. It can be seen that the interface has two layers, the brighter and the darker; both of these layers are dense and well-arranged. The EDXS point scan results indicate that the brighter layer consists of W (see Fig. 4.1 e) and the darker layer consists of  $ZrO_x$  (Fig. 4.1 f).

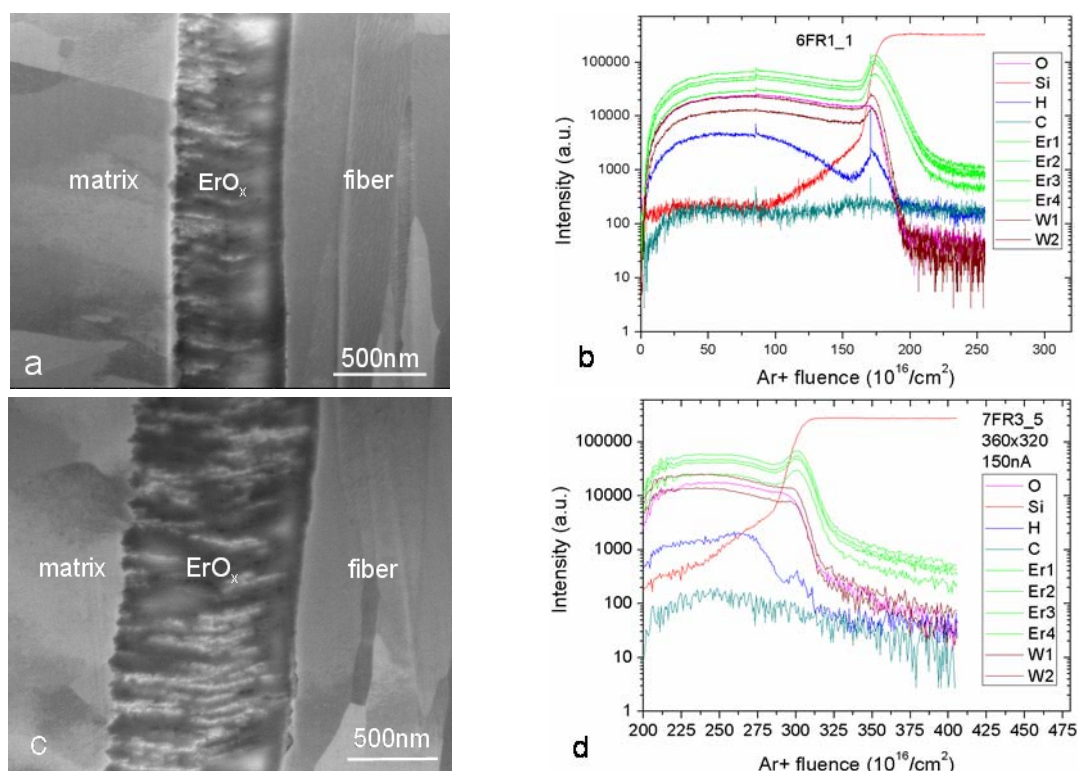
Figures 4.2 a and c show SEM images of the  $ErO_x$  interfaces with a thickness of 600 nm and 1000 nm, respectively: the interfaces are homogenous and dense; no porosity is found in the coatings. There are some bright phases regularly arranged in the  $ErO_x$  layers. The SIMS results (Figs. 4.2 b and d) (the SIMS analysis were done with the reference coatings deposited on the silicon substrates) indicate that there is W present in the  $ErO_x$  layers.



#### 4.1 Microstructure and chemical composition of the interface



**Figure 4.1.** Microstructure and EDXS results for the ZrO<sub>x</sub> interfaces: a) SEM image of the ZrO<sub>x</sub> 150 interface; b) SEM image of the ZrO<sub>x</sub> 450 interface; c) SEM image of the ZrO<sub>x</sub> 950 interface; d) SEM image of the ZrO<sub>x</sub>&W 260 interface; e) EDXS spectrum of ZrO<sub>x</sub> in Fig. 4.1 d; f) EDXS spectrum of W in Fig. 4.1 d



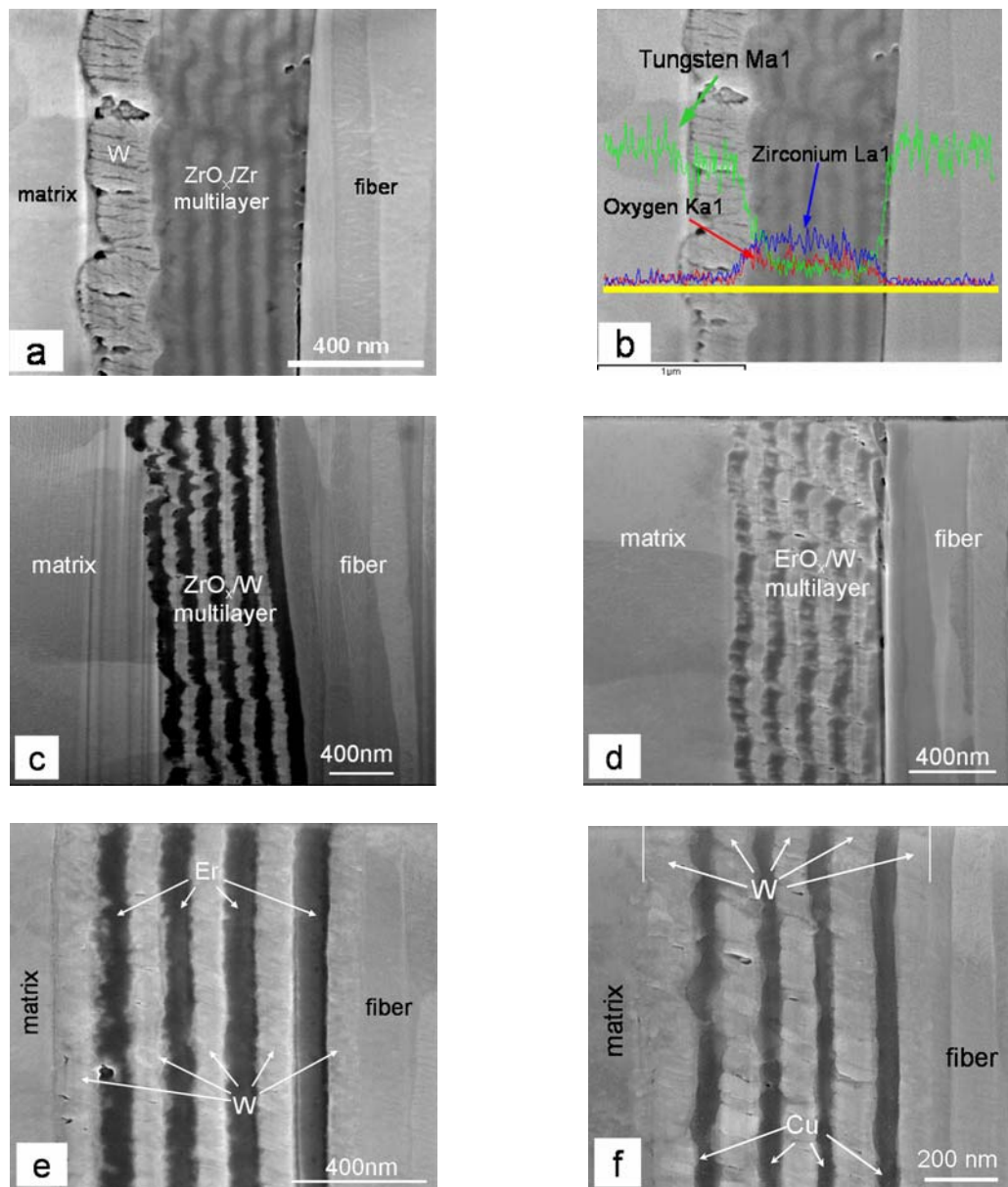
**Figure 4.2.** Microstructure and SIMS results of the  $\text{ErO}_x$  interfaces: a) cross-section image of the  $\text{ErO}_x$  600; b) SIMS spectra of  $\text{ErO}_x$  600 with deposition of the 1<sup>st</sup> 16 min; c) cross-section image of the  $\text{ErO}_x$  1000; d) SIMS spectra of the  $\text{ErO}_x$  1000

#### 4.1.2 Multilayer interface

A SEM image of the  $\text{ZrO}_x/\text{Zr}$  multilayer interface is shown in Fig. 4.3 a. The multilayer consists of five  $\text{ZrO}_x$  films (each 55 nm) and five Zr films (each 35 nm) in alternating way; the brighter layers are  $\text{ZrO}_x$  while the darker ones are Zr. The layer arrangement is in line with the design (see Fig. 3.2). The EDXS results also confirm the multilayer arrangement. In the Fig. 4.3 b, the yellow line indicates the EDXS scan location; the zirconium (blue) and the oxygen (red) spectra show alternative changes in the peak locations indicating the alternating distribution of  $\text{ZrO}_x$  and Zr.

Similar layer arrangements are found in the  $\text{ZrO}_x/\text{W}$  multilayer (Fig. 4.3 c, darker layers are  $\text{ZrO}_x$ , brighter layers are W),  $\text{ErO}_x/\text{W}$  multilayer (Fig. 4.3 d, darker layers are  $\text{ErO}_x$ , brighter layers are W), Er/W multilayer (Fig. 4.3 e) and Cu/W multilayer (Fig. 4.3 f).

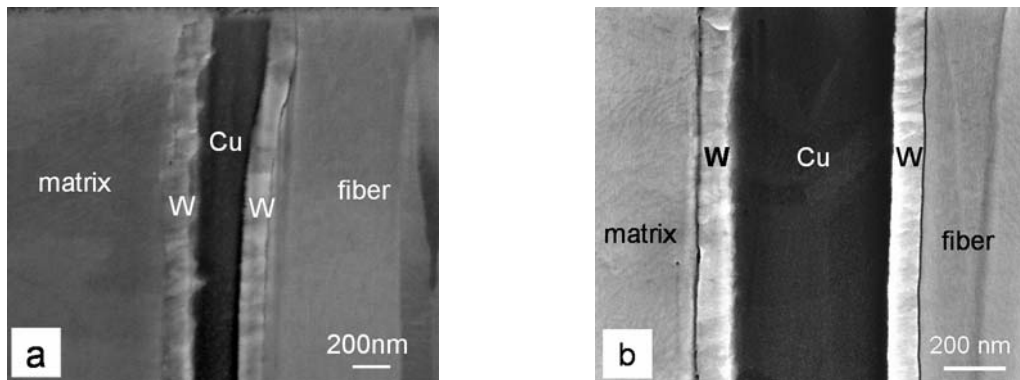
## 4.1 Microstructure and chemical composition of the interface



**Figure 4.3.** SEM images of multilayer interfaces: a) ZrO<sub>x</sub>/Zr m; b) EDXS results of the ZrO<sub>x</sub>/Zr m; c) ZrO<sub>x</sub>/W m; d) ErO<sub>x</sub>/W m; e) Er/W m; f) Cu/W m

### 4.1.3 Cu interface

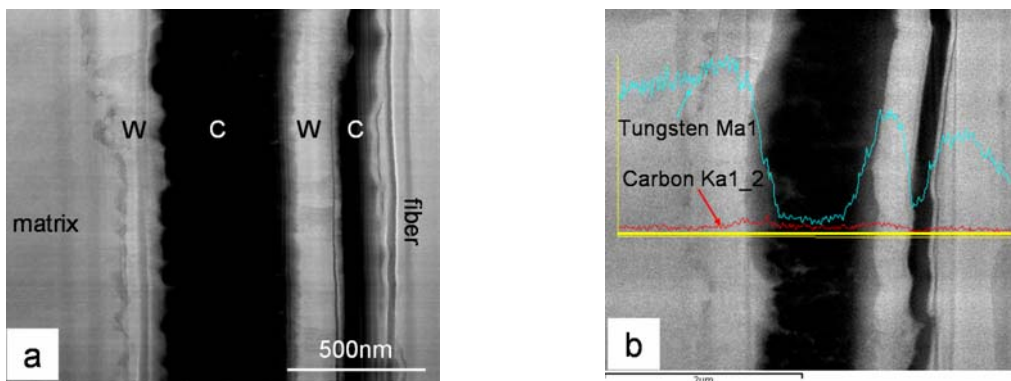
Figures 4.4 a and b show SEM images of the Cu interface with thickness of 170 nm and 480 nm, respectively. On both sides of the Cu coating, a W layer was deposited (100 nm). There is no obvious porosity found in either the Cu layers or the W layers.



**Figure 4.4.** SEM images of Cu interfaces: a) Cu 170; b) Cu 480

#### 4.1.4 Carbon interface

Figure 4.5 a shows a SEM image of the carbon (C) interface. The C interface contains four layers: pre-sputtered C (80 nm); pre-sputtered W (100 nm); main C (480 nm); and protection W (100 nm). The EDXS results (Figs. 4.5 b and c) also indicate chemical changes in the different layers.



**Figure 4.5.** SEM images of carbon interface: a) cross-section image of carbon interface coatings; b) EDXS spectra of the carbon interface

## 4.2 Push-out test

### 4.2.1 General description of the push-out curve of $W_f/W_m$ composite

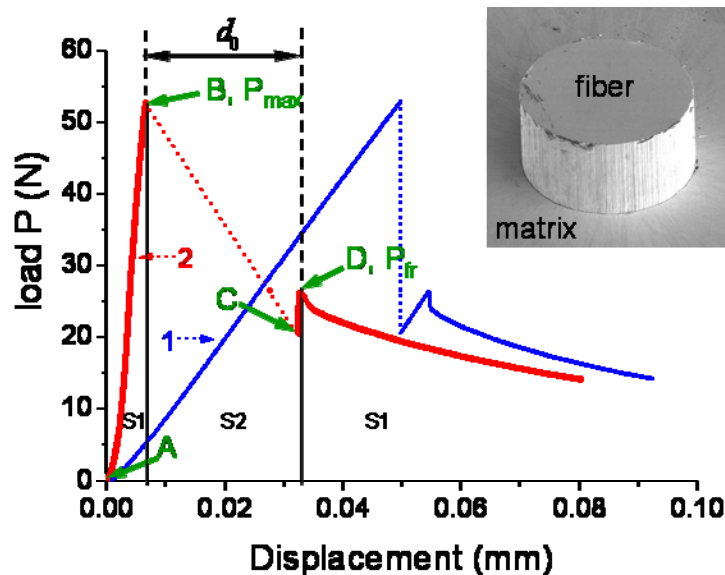
The push-out test produced typical data was recorded and is plotted in Fig. 4.6 (curve 1). The corrected curve (see Fig. 4.6, curve 2) is produced by subtracting the equipment compliance ( $1 \mu\text{m}/\text{N}$ ) from the original curve. A typical view of the pushed-out fiber after the push-out test is also included in Fig. 4.6. The dashed lines indicate that no data was detected by the computer recording program at those moments. This lack of data sampling was attributed to the time lag in the load control unit working in a

#### 4.2.1 General description of the push-out curve of $W_f/W_m$ composite

displacement-controlled mode being unable to catch up with the fast speed of the indenter movement.

The push-out process can be divided into four phases (stages).

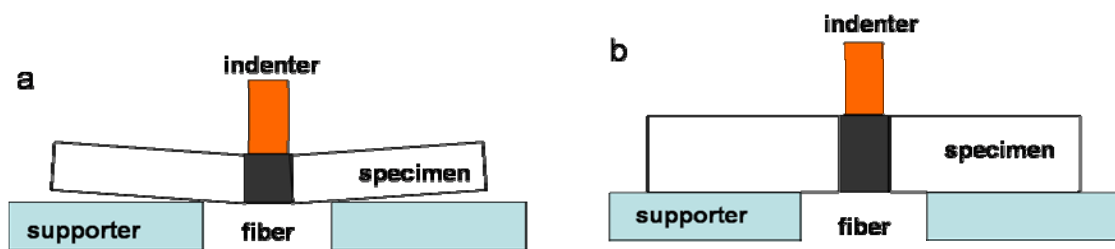
In the initial loading phase, the response is nearly linear elastic until the load reaches the maximum  $P_{max}$  at point B (phase 1). There is no noticeable change in slope prior to point B. This indicates that interfacial cracks were initiated upon reaching  $P_{max}$ , and rapid brittle rupture was triggered immediately. The ‘partial debonding’ stage (stage 2, as discussed in chapter 2.3.1) is not identifiable on this curve. From A to B, energy was stored due to the elastic deformation of the indenter and the interface. Subsequently, the stored strain energy was released in sudden bursts, causing the dynamic push-out movement from B to C until the fiber was fully decelerated by the frictional resistance (phase 2). The characteristic of this phase is similar to that of the ‘complete debonding’ stage (stage 3, as discussed in chapter 2.3.1), except a distinct displacement is present. The displacement  $d_0$  produced by the dynamic event is 32  $\mu\text{m}$  (duration is 0.04 seconds). A slight load raise is observed from C to D (phase 3) prior to the quasi-static progressive sliding stage. Beyond point D progressive frictional sliding of the filament continued (phase 4) –  $P_{fr}$  denotes the peak friction load (frictional sliding load). The load decreases gradually with the remaining contact area. This phase is the same as the ‘pushed-out’ stage (stage 4) as discussed in chapter 2.3.1.



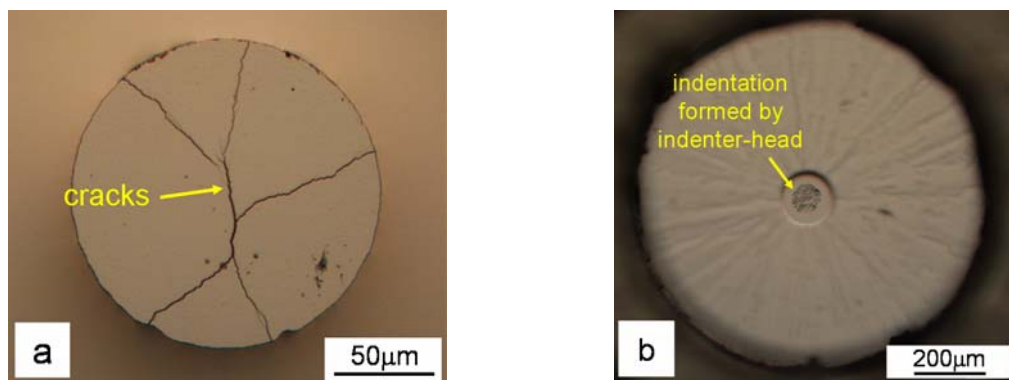
**Figure 4.6.** Push-out curves, original and corrected

During the push-out process, apart from the equipment compliance, the specimen bending effect can be significant [80, 120]. Fig. 4.7 shows the schematic view of a

specimen loaded by the indenter. The bending effect is strong when the specimen is thin. Very thin specimens can even cause the specimen to be crushed during the push-out test. Fig. 4.8 a shows a crushed Cu 480 specimen with thickness 0.11 mm: cracks appeared on the back side of the specimen. On the other hand, a very thick specimen can also cause problems. Fig. 4.8 b is the fiber surface image of a thick specimen after the push-out test: an indentation is clearly visible. This indentation was formed during the push-out test process since the indenter-head made a dent on the contacting area of the fiber when it was transforming the load to the fiber; the dent is larger when the load is bigger (thicker specimen). Therefore, specimens with moderate thickness can represent the true behavior of the interface during the push-out test. However, specimens of the same thickness also have several percent differences in the characteristic values (such as the maximum debonding load  $P_{max}$ , frictional sliding load  $P_{fr}$ ).



**Figure 4.7.** Bending effect during the push-out test: a) a thin specimen; b) a thick specimen



**Figure 4.8.** Top view images of specimens after the push-out test: a) thin specimen smashed during test; b) indentation on the fiber of the thick specimen

The area below the curves corresponds to the total amount of work done by the applied load, which is the total amount of energy consumed in the push-out process. The average energy absorption  $\Delta$  can be roughly estimated by dividing the consumed energy by the respective contacting area. The push-out curve can be divided into three parts, S1, S2, and S3 (see Fig. 4.6). The area of S1 can be estimated by approximating it

#### 4.2.1 General description of the push-out curve of $W_f/W_m$ composite

to a triangle. In the similar way, the area of S2 can be estimated by attributing it to a trapezoid. For S3, a cubic polynomial function can be used for fitting and integrating to estimate the area of S3. The total consumed energy  $S=S_1+S_2+S_3$ . The contacting area can be generate by  $2\pi r_f H$ , where  $r_f$  is the fiber radius, and  $H$  is the specimen thickness. Then, the average energy absorption  $\Delta = S / 2\pi r_f H$ .

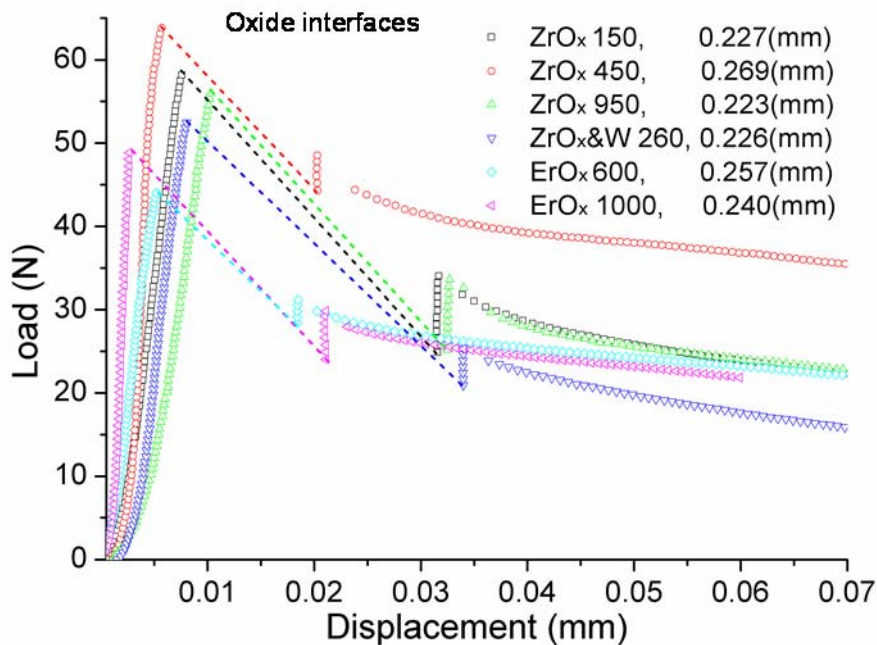
Apart from the average energy absorption estimation, the interface parameters—interfacial shear strength  $\tau_d$ , interface radial stress  $\sigma_R$ , friction coefficient  $\mu$ , asperity cause shear stress  $\tau_R$ , and interfacial fracture energy  $\Gamma_i$ — can be calibrated using a curve fitting method introduced in chapter 2.3.1.

In the following, the push-out test results comprising of the push-out curve and the average energy absorption, the interfacial parameter calibration, and the microstructure analysis of the interface after push-out test, are sorted into four groups—oxide interface, multilayer interface, Cu interface, and carbon interface—and discussed one by one.

### 4.2.2 Oxide interface

#### 4.2.2.1 Push-out curve

Figure 4.9 shows the load-displacement curves of the oxide interfaces.



**Figure 4.9.** Push-out curves for the oxide interfaces

In order to distinguish the curves from one to another, curves of the ZrO<sub>x</sub> 450, ZrO<sub>x</sub> 950 and ZrO<sub>x</sub>&W 260 interfaces were shifted 0.0005 mm, 0.001 mm, and 0.0015 mm along the displacement axis, respectively. It can be seen that all of the curves are of similar shape and exhibit comparable maximum loads.

Some difference are found in the slopes of the first phase among the curves as well as in the frictional sliding loads  $P_{fr}$ . The average energy absorption  $\Delta$  was estimated according to the corresponding curve for each interface. The results are shown in Table 4.1, together with the specimen thickness  $H$ , the maximum debonding load  $P_{max}$ , the frictional sliding load  $P_{fr}$ , and the dynamic displacement  $d_0$ . It can be seen that the ZrO<sub>x</sub> interfaces have a higher average energy than the ErO<sub>x</sub> interfaces.

**Table 4.1.** The specimen thickness  $H$ , the maximum debonding load  $P_{max}$ , the frictional sliding load  $P_{fr}$ , the dynamic displacement  $d_0$ , and the average energy absorption  $\Delta$  of oxide interfaces.

Interface	$H$ (mm)	$P_{max}$ (N)	$P_{fr}$ (N)	$d_0$ ( $\mu\text{m}$ )	$\Delta$ (kJ/m <sup>2</sup> )
ZrO <sub>x</sub> 150	0.227	58.2	34.5	23	20.7
ZrO <sub>x</sub> 450	0.269	63.8	48.6	15	23
ZrO <sub>x</sub> 950	0.223	56	33.6	23	20.5
ZrO <sub>x</sub> &W 260	0.226	52.4	25	25	17.3
ErO <sub>x</sub> 600	0.257	44	31.6	13	15.6
ErO <sub>x</sub> 1000	0.240	49	29	18	16.7

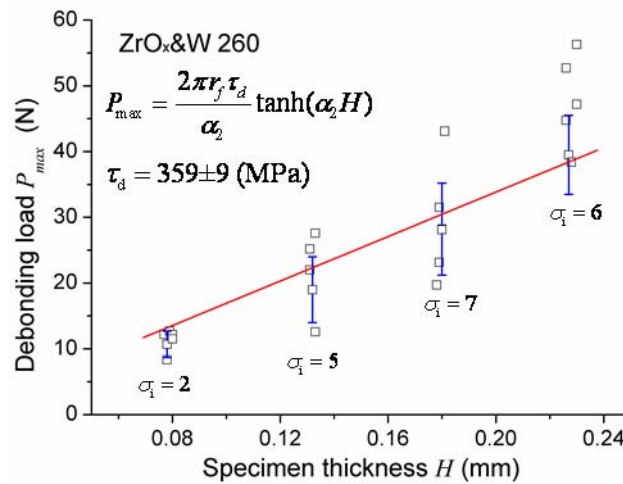
#### 4.2.2.2 Interfacial parameter calibration

##### (1) Interfacial shear strength $\tau_d$

The interfacial shear strength  $\tau_d$  can be calibrated by curve fitting using Eq. (2.8). To describe the fitting procedure, the ZrO<sub>x</sub>&W 260 interface case is discussed as an example: more than 20 pairs of  $P_{max}$  -  $H$  data were collected and plotted in Fig. 4.10. For each thickness, at least five tests were done. The least-square method was used to obtain the optimum value of  $\tau_d$ , leading to the best fit line to the experimental data. The deviation  $\sigma_i$  is set according to a cluster distribution (blue scatters). The calibrated  $\tau_d$  value of the ZrO<sub>x</sub>&W 260 interface is 385 MPa. The best fit line is shown in Fig. 4.10 (red line), as well.

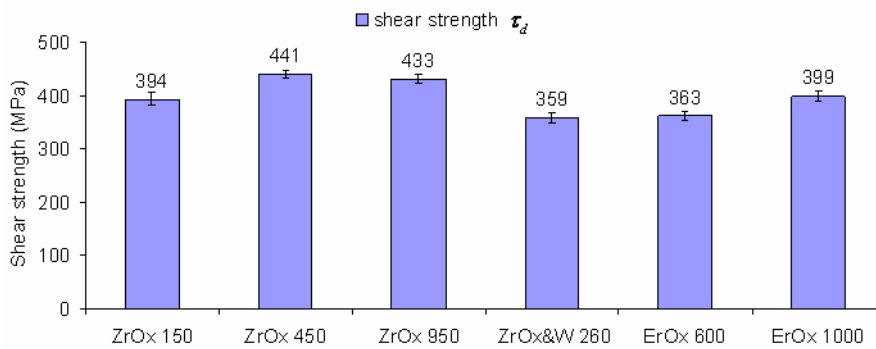


#### 4.2.2 Oxide interface



**Figure 4.10.** The collected complete debonding load  $P_{\max}$  vs. specimen thickness  $H$ , and curve fitting result for the ZrO<sub>x</sub>&W 260 interface

Using the same curve fittings procedure,  $\tau_d$  values were calibrated for all other oxide interfaces. A comparison of the results is shown in Fig. 4.11. The average interfacial shear strength  $\tau_d$  of oxide interfaces lies around 400 MPa. The highest  $\tau_d$  is observed from the ZrO<sub>x</sub> 450 interface, with a value of 441 MPa, and lowest  $\tau_d$  is found from ZrO<sub>x</sub>&W 260 interface, which is 359 MPa. The fact is that all of the oxide interfaces have the comparable shear strength, indicating the comparable bonding.



**Figure 4.11.** Curve fitting results of the interfacial shear strength  $\tau_d$  for the oxide interfaces

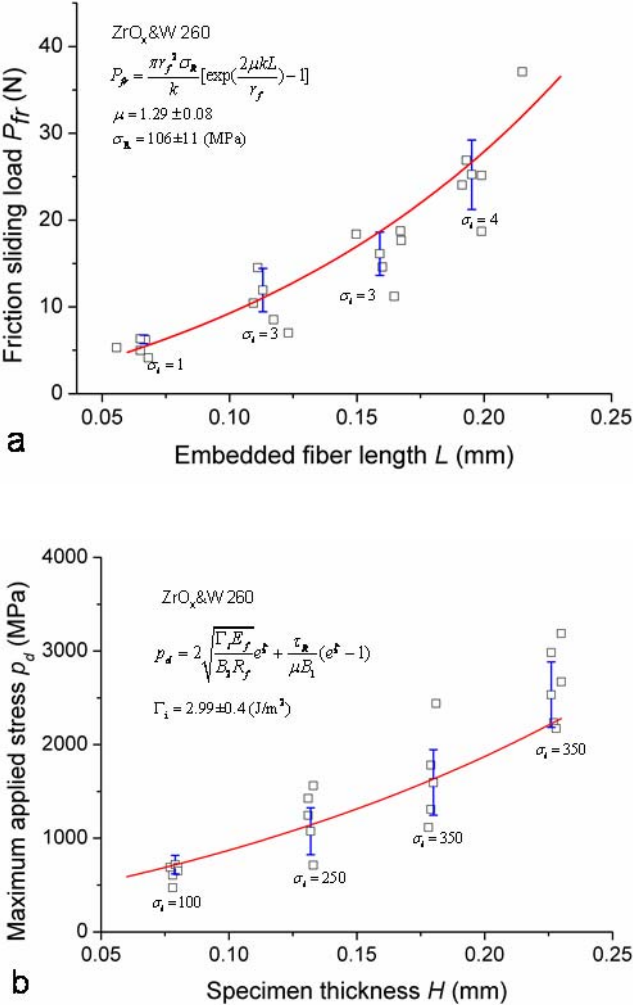
(2) Interface radial stress  $\sigma_R$ , friction coefficient  $\mu$ , asperity cause shear stress  $\tau_R$ , and interfacial fracture energy  $\Gamma_i$

The interfacial parameters  $\sigma_R$ ,  $\mu$ , and  $\Gamma_i$  were obtained simultaneously by curve fitting using Eqs. (2.16) and (2.18) as introduced in chapter 2.3.1.

Below, the results for the ZrO<sub>x</sub>&W 260 interface are discussed exemplarily. The  $P_{fr} - L$  data are collected and plotted in Fig. 4.12 a; the data of  $p_d - H$  are collected and plotted in Fig. 4.12 b. In principle, there is no limitation in the range of each parameter in

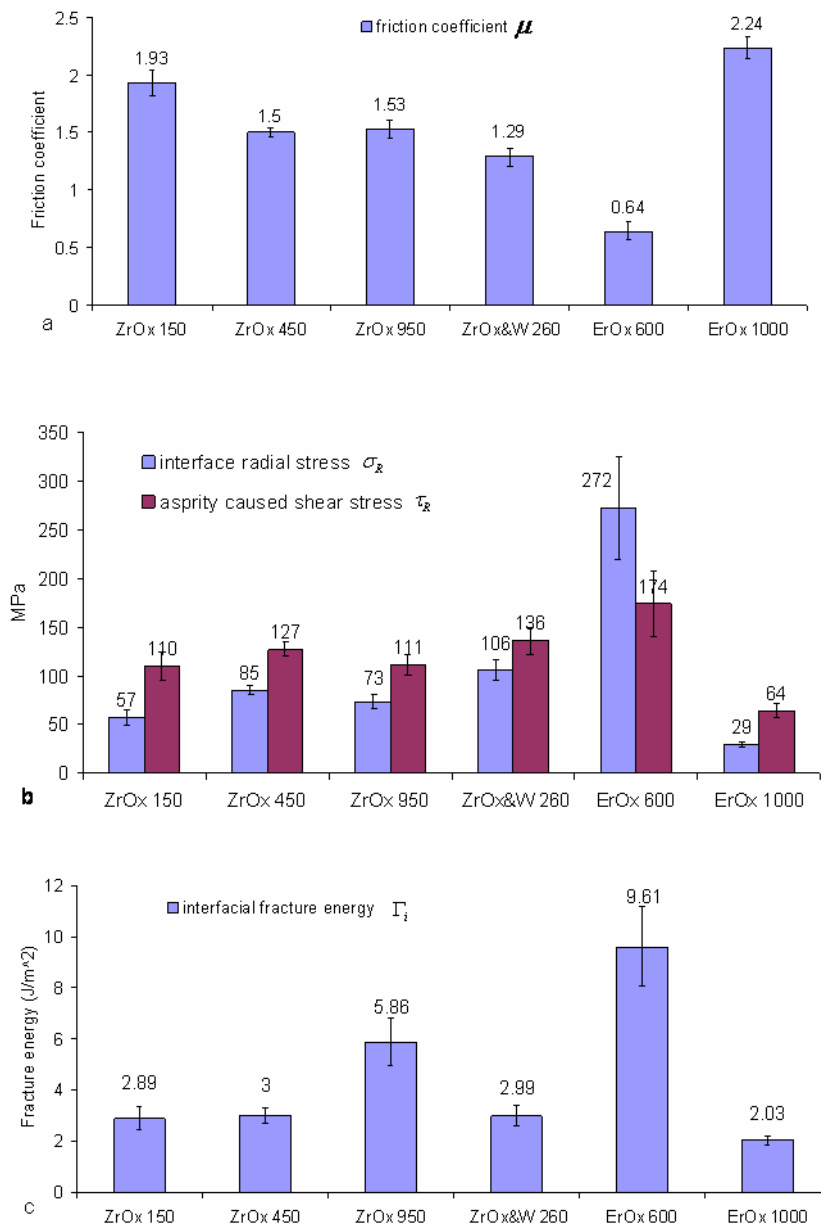
the curve fitting process. However, in order to save computation time, the parameters' value ranges were specified assuring a 'good fit' quality. The limit ranges for each parameter were chosen as follows:  $\mu$  : 0~3;  $\sigma_R$  : 0~500;  $\Gamma_i$  : 0~50.

The optimum values and the best fits (red lines) are shown in the corresponding figures. The results are as follows: the interface radial stress  $\sigma_R$  is 297 MPa; the interfacial friction coefficient  $\mu$  is 1.29; the asperity caused shear stress  $\tau_R$  can be calculated as  $\tau_R = \sigma_R \times \mu = 1.29 \times 10^6 = 136.7$  MPa; the fracture energy  $\Gamma_i$  is  $2.99 \pm 0.4$  J/m<sup>2</sup>. Following the same procedure, the curve fitting for the interfacial parameters,  $\sigma_R$ ,  $\mu$ , and  $\Gamma_i$  was applied to experimental data of all other oxide interfaces. The results are shown in Fig. 4.13.



**Figure 4.12.** Curve fitting results of the interfacial parameters  $\sigma_R$ ,  $\mu$ , and  $\Gamma_i$  based on the push-out data: a) recorded  $P_{fr}$  -  $L$  data and curve fitting results of  $\mu$  and  $\sigma_R$ ; b) recorded  $p_d$  -  $H$  data and curve fitting result of  $\Gamma_i$

## 4.2.2 Oxide interface



**Figure 4.13.** Curve fitting results for the oxide interfaces: a) friction coefficient  $\mu$ ; b) interface radial stress  $\sigma_R$  and asperity caused shear stress  $\tau_R$ ; c) interfacial fracture energy  $\Gamma_i$

In Fig. 4.13 a: lowest friction coefficient  $\mu$  is found from the ErO<sub>x</sub> 600 interface (0.64) while the largest  $\mu$  is observed from ErO<sub>x</sub> 1000 (2.24); ZrO<sub>x</sub> interfaces have friction coefficient larger than 1.5. In Fig. 4.13 b: the ErO<sub>x</sub> 600 interface has the highest interface radial stress  $\sigma_R$  (272 MPa) and asperity caused shear stress  $\tau_R$  (174 MPa), while ErO<sub>x</sub> 1000 has the lowest  $\sigma_R$  (29 MPa) and  $\tau_R$  (64 MPa). The  $\sigma_R$  of all the ZrO<sub>x</sub> interfaces lie around 70 MPa, while the  $\tau_R$  of them range from 110 MPa to 127 MPa. In Fig. 4.13 c: the ZrO<sub>x</sub> 150, ZrO<sub>x</sub> 450, and ZrO<sub>x</sub>&W 260 interfaces have very

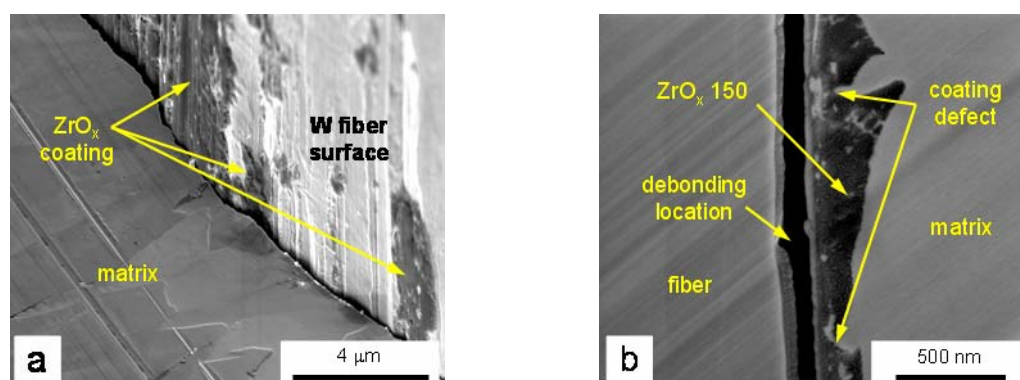
similar fracture energy  $\Gamma_i$  which is around  $3 \text{ J/m}^2$ ,  $\text{ZrO}_x$  950 has a  $\Gamma_i$  of  $5.96 \text{ J/m}^2$ . The  $\text{ErO}_x$  600 interface contains the largest  $\Gamma_i$  ( $9.61 \text{ J/m}^2$ ) among all the oxide interfaces, while the  $\text{ErO}_x$  1000 interface has the smallest  $\Gamma_i$  ( $2.03 \text{ J/m}^2$ ).

#### 4.2.2.3 Microstructure of the interface after the push-out test

Interfacial fracture energy is strongly related to the interfacial debonding behaviors, such as the debonding location, the fracture or deformation of interface coating, or the path of crack propagation. In the following, the microstructure analysis results of the oxide interfaces are shown to describe the debonding behaviors.

##### (1) $\text{ZrO}_x$ interfaces

Figure 4.14 shows SEM images of the  $\text{ZrO}_x$  150 interface after the push-out test. Fig. 4.14 a shows that the  $\text{ZrO}_x$  150 interface had a typical brittle fracture. The broken coating is partly attached to the fiber and partly to the matrix. The coating on the fiber shows a dusty structure without any plastic deformation. From the cross-section image of the interface (see Fig. 4.14 b), one can see that the debonding location lies in the  $\text{ZrO}_x$  150 layer (close to the fiber side); the interface between the  $\text{ZrO}_x$  coating and the matrix is not smooth; and defects in the coating may be the initial debonding sites for the rupture of  $\text{ZrO}_x$  150 coating. These findings indicate that the bonding strength of the W fiber and the  $\text{ZrO}_x$  coating, the  $\text{ZrO}_x$  coating and the W matrix, as well as the fracture strength of the  $\text{ZrO}_x$  coating itself are comparable.

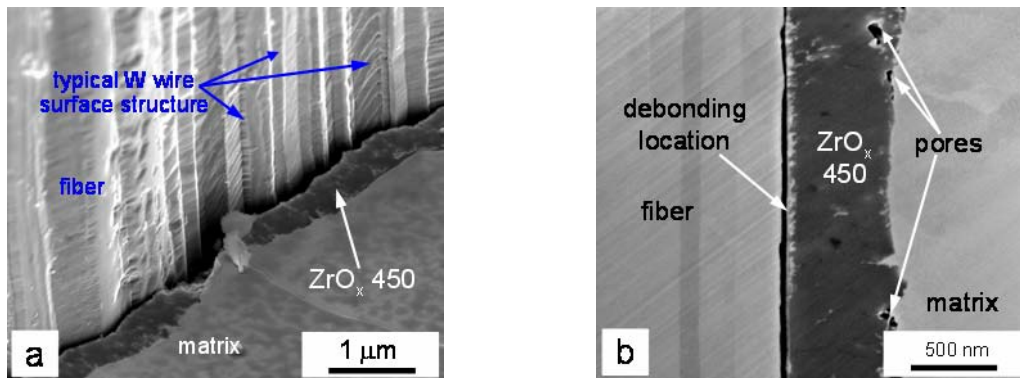


**Figure 4.14.** SEM images of  $\text{ZrO}_x$  150 specimen after the push-out test: a) the  $\text{ZrO}_x$  coating on the fiber shows a dust structure; b) the debonding location lies in the  $\text{ZrO}_x$  coating

Figure 4.15 shows microstructure images of the  $\text{ZrO}_x$  450 interface after the push-out test. Compared to the  $\text{ZrO}_x$  150 interface, the  $\text{ZrO}_x$  450 interface definitely shows a clear difference in the debonding location. Fig. 4.15 a indicates that the pushed out fiber has a clean surface, and the surface shows a typical W wire surface structure. There is no  $\text{ZrO}_x$

## 4.2.2 Oxide interface

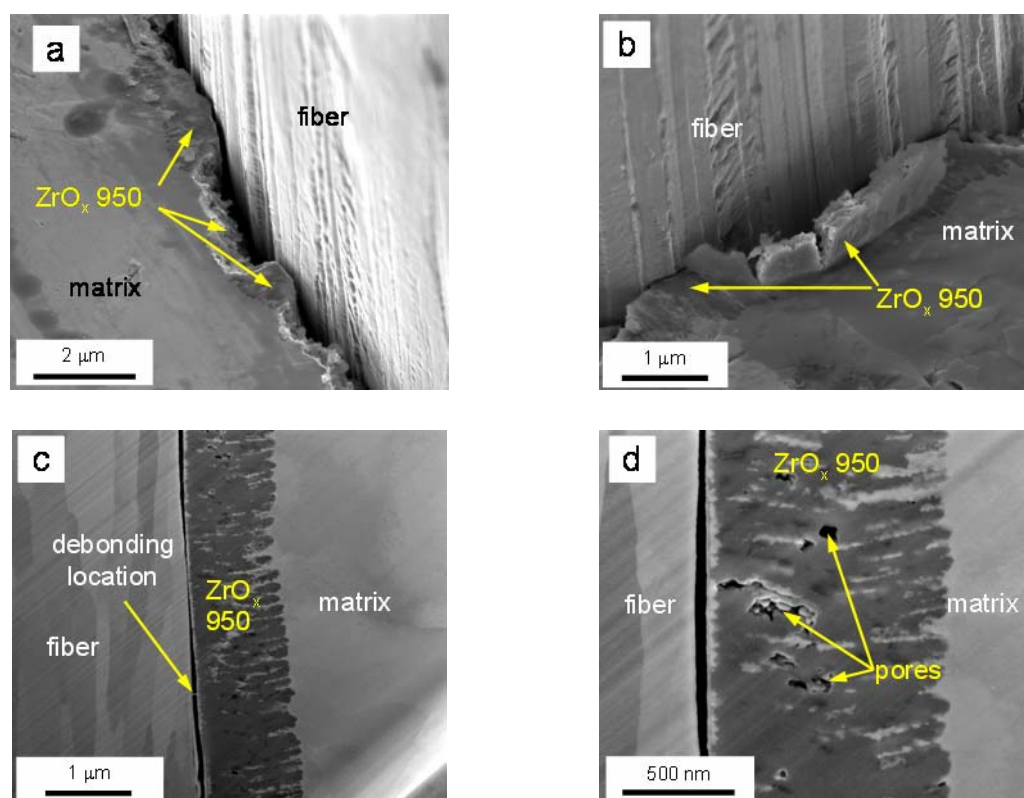
dust attached to the fiber. The main coating remains at the matrix side and exhibits no plastic deformation (see Fig. 4.15 a). From the cross-section images shown in Fig. 4.15 b, it becomes clear that, the debonding location lies between the W fiber surface and the  $ZrO_x$  450 coating; the coating itself was deposited with higher quality compared to the  $ZrO_x$  150 interface case; the coating contains fewer defects, and the side facing to the W matrix is smooth. The  $ZrO_x$  layer and the W matrix are well-bonded, although there is some small-scale porosity in this region (see Fig. 4.15 b). These phenomena indicate that an increase of the  $ZrO_x$  thickness leads to a stronger  $ZrO_x$  coating and better bonding between the  $ZrO_x$  coating and the W matrix. The deposition quality of  $ZrO_x$  450 coating is better than that of the  $ZrO_x$  150 coating, which may also lead to the observed strength increase. On the other hand, the debonding location dominates the interface between the W fiber and the  $ZrO_x$  coating which implies that the bonding strength of the W fiber and the  $ZrO_x$  coating is weaker than that of the  $ZrO_x$  coating and the W matrix.



**Figure 4.15.** SEM images of  $ZrO_x$  450 specimen after the push-out test: a) the  $ZrO_x$  coating stays in the W matrix and shows no dust structure; b) the debonding location lies between the W fiber and the  $ZrO_x$  coating

However, although the  $ZrO_x$  150 interface and the  $ZrO_x$  450 interface show different debonding locations, the fracture energy of these two are the same according to the curve fitting results ( $\Gamma_i = 2.89 \pm 0.5 \text{ J/m}^2$  for  $ZrO_x$  150 and  $\Gamma_i = 3 \pm 0.3 \text{ J/m}^2$  for  $ZrO_x$  450). This indicates that the fracture energy of the debonding in the  $ZrO_x$  150 coating is comparable to that of the debonding between the W fiber and the  $ZrO_x$  450 coating.

Figure 4.16 shows microstructure images of the  $ZrO_x$  950 interface after the push-out test.

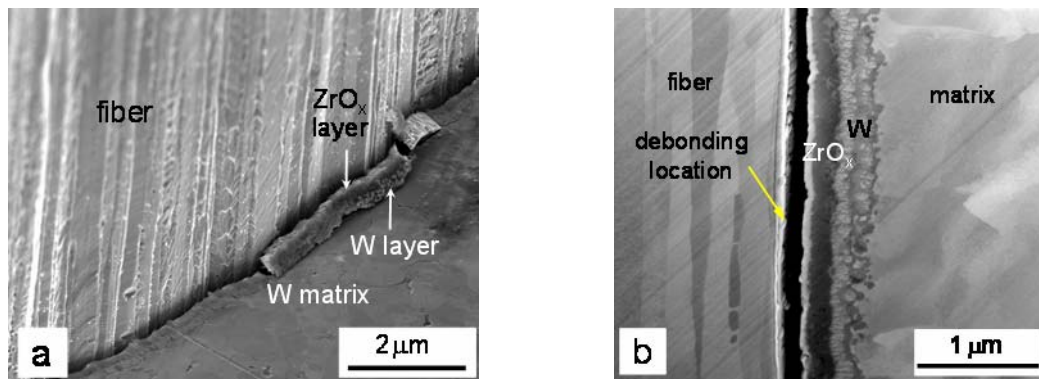


**Figure 4.16.** SEM images of  $ZrO_x$  950 specimen after the push-out test: a) and b) the  $ZrO_x$  950 coating was damaged during the push-out process; c) the debonding location lies between the W fiber and the  $ZrO_x$  coating; d) the porosity in  $ZrO_x$  coating

In Fig. 4.16 a and b, it can be observed that the pushed-out fiber has a clean surface, which exhibits the typical W wire surface structure (the same as shown in the  $ZrO_x$  450 case). The cross-section images show that the debonding location lies between the W fiber and the  $ZrO_x$  coating (see Fig. 4.16 c). These facts indicate the weakest bonding location in the  $ZrO_x$  950 interface is between the W fiber and the  $ZrO_x$  950 coating (the same as in  $ZrO_x$  450 interface). However, the  $ZrO_x$  950 interface shows significantly more damage in the coating than the  $ZrO_x$  450 interface: on the surface of the matrix, the damage of the  $ZrO_x$  950 coating can be observed (see Figs. 4.16 a and b). This damage is attributed to the porosity in the  $ZrO_x$  950 coating (see Fig. 4.16 d) which have caused many weak locations leading the crack propagation in the  $ZrO_x$  950 coating. Thus, during the push-out process, apart from the debonding of the W fiber and the  $ZrO_x$  950 coating, the cracks and damage were formed inside of the  $ZrO_x$  950 coating, which consumed a great amount of energy. Therefore, the  $ZrO_x$  950 interface has a higher fracture energy than the  $ZrO_x$  450 interface, which is in agreement with the curve fitting results ( $5.86 \pm 0.92 \text{ J/m}^2$  for  $ZrO_x$  950 interface and  $3 \pm 0.3 \text{ J/m}^2$  for  $ZrO_x$  450 interface).

#### 4.2.2 Oxide interface

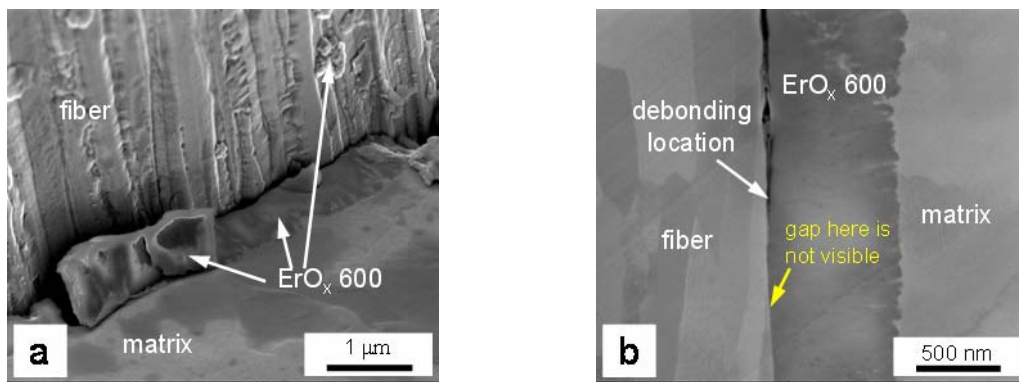
Figure 4.17 shows microstructure images of the  $ZrO_x$ &W 260 interface after the push-out test. This interface contains one 125 nm layer with  $ZrO_x$  and one 135 nm layer with W. The 135 nm W layer was specially designed for the comparison of interfacial properties between the single and double layers structures. In Fig. 4.17 it can be observed that the  $ZrO_x$ &W 260 interface shows a similar debonding situation as the  $ZrO_x$  450 interface: the main debonding occurs between the W fiber and the  $ZrO_x$  coating (see Fig. 4.17 b); while no obvious plastic deformation of the interface is observed (see Fig. 4.17 a). This is in accordance with the curve fitting result, which shows that these two interfaces have a similar fracture energy ( $2.99 \pm 0.4 \text{ J/m}^2$  for  $ZrO_x$ &W 260 interface and  $3 \pm 0.4 \text{ J/m}^2$  for the  $ZrO_x$  450 interface). Obviously, the adoption of the W layer did not notably affect the debonding behavior.



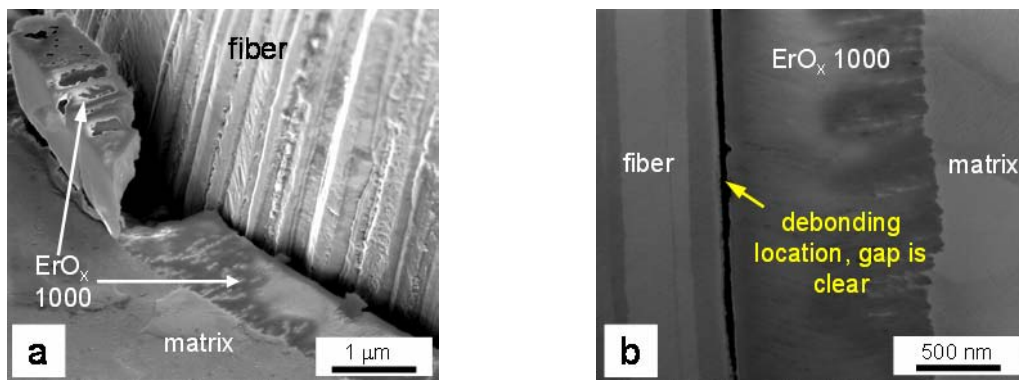
**Figure 4.17.** SEM images of  $ZrO_x$ &W 260 specimen after the push-out test: a)  $ZrO_x$  &W 260 coating remained in the W matrix; b) the debonding location lies between the W fiber and the  $ZrO_x$  coating

#### (2) $ErO_x$ interfaces

Figure 4.18 shows microstructure images of the  $ErO_x$  600 interface after the push-out test, and Fig. 4.19 shows those of the  $ErO_x$  1000 interface. The  $ErO_x$  600 and  $ErO_x$  1000 interfaces have similar debonding locations, situated between the W fiber and the  $ErO_x$  coating (see Fig. 4.18 b and Fig. 4.19 b). Their debonding behavior is also similar to that of the  $ZrO_x$  interfaces. From the cross-section images, it is found that the gap between the fiber and the  $ErO_x$  600 coating (see Fig. 4.18 b) is much smaller than that between the fiber and the  $ErO_x$  1000 coating (see Fig. 4.19 b). This may indicate that, the contact of the debonded interfaces is tighter in the  $ErO_x$  600 interface than in the  $ErO_x$  1000 interface, leading to a larger friction and fracture energy. The results of asperity caused shear stress  $\tau_R$  and interfacial fracture energy  $\Gamma_i$  which were obtained by curve fitting method indeed indicate that the  $ErO_x$  600 interface had a higher  $\tau_R$  and  $\Gamma_i$  than the other interfaces (see Fig. 4.13).



**Figure 4.18.** SEM images of  $\text{ErO}_x$  600 specimen after the push-out test. a)  $\text{ErO}_x$  600 coating damage; b) the debonding location lies between the W fiber and the  $\text{ErO}_x$  coating



**Figure 4.19.** SEM images of  $\text{ErO}_x$  1000 specimen after the push-out test. a)  $\text{ErO}_x$  1000 coating damage; b) the debonding location lies between the W fiber and the  $\text{ErO}_x$  coating

Thus, it can be concluded as following: during the push-out process, specimens with oxide interfaces show a similar debonding behavior; the debonding locations lie mainly between the W fiber and the oxide coatings; the microcracks were formed in the oxide coatings due to the porosity and particles. The interfacial debonding and microcrack formation caused energy consumption. These results are in accordance with the interface design motivation (fit types b 1), b2), and c) ).

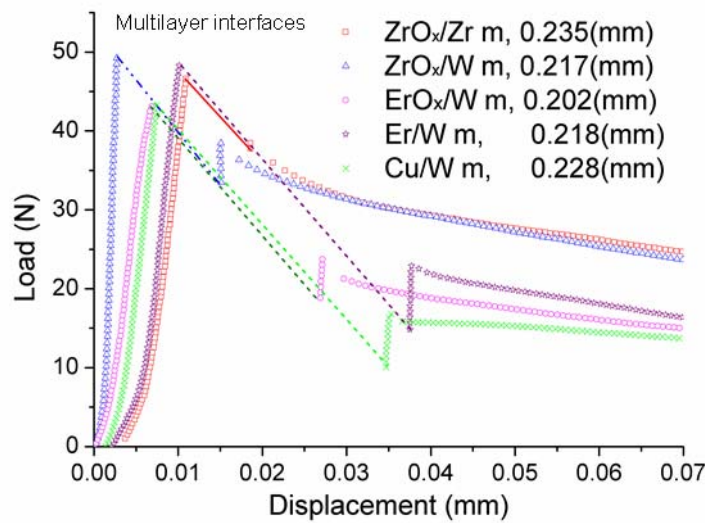
### 4.2.3 Multilayer interface

#### 4.2.3.1 Push-out curve

Similarly to the oxide interfaces, push-out curves for the multilayer interfaces are plotted in Fig. 4.20. The estimated results of the average energy absorption  $\Delta$ , as well as the specimen thickness  $H$ , the maximum debonding load  $P_{\max}$ , the frictional sliding load  $P_{fr}$ , and the dynamic displacement  $d_0$  are shown in Table 4.2.



### 4.2.3 Multilayer interface



**Figure 4.20.** Push-out curves for the multilayer interfaces

**Table 4.2.** The specimen thickness  $H$ , the maximum debonding load  $P_{\max}$ , the frictional sliding load  $P_{fr}$ , the dynamic displacement  $d_0$ , and the average energy absorption  $\Delta$  of multilayer interfaces.

Interface	$H$ (mm)	$P_{\max}$ (N)	$P_{fr}$ (N)	$d_0$ ( $\mu\text{m}$ )	$\Delta$ ( $\text{kJ/m}^2$ )
ZrO <sub>x</sub> /Zr m	0.235	46.3	37.8	7	18.3
ZrO <sub>x</sub> /W	0.217	49.2	38.3	12	21.9
ErO <sub>x</sub> /W m	0.202	42.8	23.5	21	16
Er/W m	0.218	48.3	22.9	27	16.8
Cu/W m	0.228	43.5	16.8	28	13.1

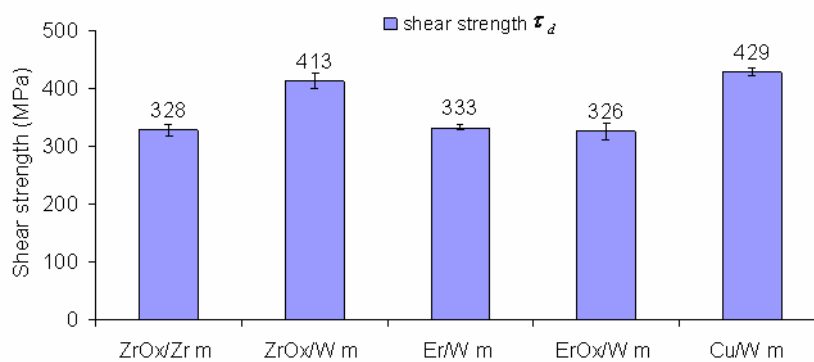
The multilayer interfaces have a similarity to the push-out curves of the oxide interfaces. The maximum debonding loads of all multilayer interface specimens are comparable, the friction loads  $P_{fr}$  are different. The ZrO<sub>x</sub>/Zr m and ZrO<sub>x</sub>/W m interfaces have much higher friction loads  $P_{fr}$  than the other multilayer interfaces. The displacements  $d_0$  produced by the dynamic events of the ZrO<sub>x</sub>/Zr m and ZrO<sub>x</sub>/W m interfaces are much smaller than the others. Further more, the third phase of the push-out process is not visible in the curve of ZrO<sub>x</sub>/Zr m interface (red squares). This could be due to the large roughness of the debonded interfaces. The ZrO<sub>x</sub>-based multilayer interfaces have larger average energy absorption than the ErO<sub>x</sub> ones. Cu/W multilayer has the lowest average energy absorption among the multilayer interfaces.

### 4.2.3.2 Interfacial parameter calibration

The interfacial parameters of multilayer interfaces were calibrated using the same procedure as discussed in chapter 4.2.2.2.

#### (1) Interfacial shear strength $\tau_d$

The results of interfacial shear strength of multilayer interfaces are shown in Fig. 4.21. The average shear strength  $\tau_d$  of the multilayer interfaces is 350 MPa. Cu/W multilayer interface and the ZrO<sub>x</sub>/W multilayer interfaces have the highest two  $\tau_d$ , 429 MPa and 413 MPa, respectively. The other multilayer interfaces have  $\tau_d$  of around 330 MPa. The  $\tau_d$  of the multilayer interfaces are comparable to that of the oxide interfaces.



**Figure 4.21.** Curve fitting results of the interfacial shear strength  $\tau_d$  for the multilayer interfaces

#### (2) Interface radial stress $\sigma_R$ , friction coefficient $\mu$ , asperity caused shear stress $\tau_R$ , and interfacial fracture energy $\Gamma_i$

The calibrated results of the interface radial stress  $\sigma_R$ , the friction coefficient  $\mu$ , the asperity caused shear stress  $\tau_R$ , and the interfacial fracture energy  $\Gamma_i$  for the multilayer interfaces are shown in Fig. 4.22.

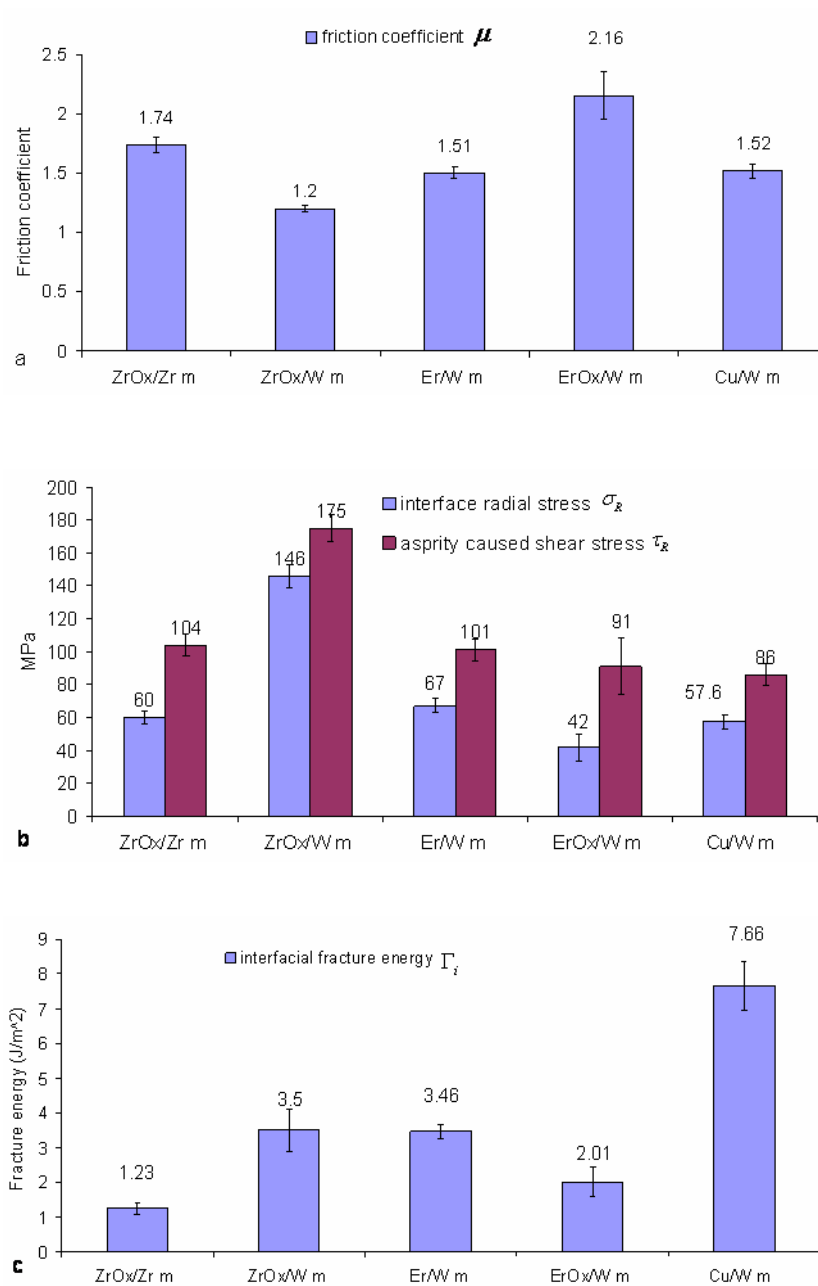
All of the multilayer interfaces have  $\mu$  larger than 1 (see Fig. 4.22 a). The ErO<sub>x</sub>/W m interface have a  $\mu$  larger than 2. ZrO<sub>x</sub>/W 260 interface had the lowest  $\mu$  among the multilayer interfaces, which is 1.2. The  $\mu$  of the multilayer interfaces are relatively larger than those of the oxide interfaces.

The highest  $\sigma_R$  and  $\tau_R$  are found in the ZrO<sub>x</sub>/W m interface, while other multilayer interfaces have comparable  $\sigma_R$  (around 60 MPa) and  $\tau_R$  (around 100 MP) (see Fig. 4.22 b)

The Cu/W m interface has the highest fracture energy among the multilayer interfaces, which is 7.66 J/m<sup>2</sup>, comparable to the ErO<sub>x</sub> 600 interface. The other multilayer interfaces

### 4.2.3 Multilayer interface

have fracture energy lying around  $3 \text{ J/m}^2$ , which is similar to that of the  $\text{ZrO}_x$  interfaces (see Fig. 4.22 c).

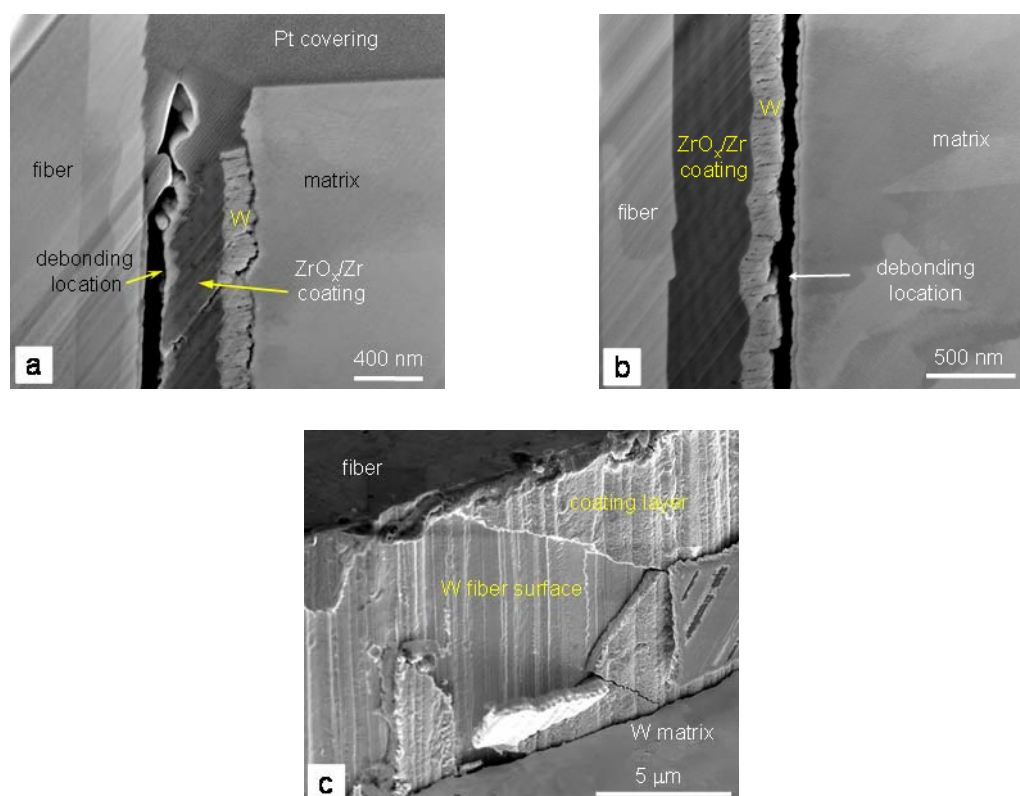


**Figure 4.22.** Curve fitting results for the multilayer interfaces: a) the friction coefficient  $\mu$ ; b) the interface radial stress  $\sigma_R$  and the asperity caused shear stress  $\tau_R$ ; c) the interfacial fracture energy  $\Gamma_i$

#### 4.2.3.3 Microstructure of the interface after the push-out test

Figure 4.23 shows microstructure images of the  $\text{ZrO}_x/\text{Zr}$  multilayer interface after the push-out test. Figs. 4.23 a and b are the cross-section images at different cutting locations,

showing different debonding locations. One debonding location lies between the W fiber and the interface coating (see Fig. 4.23 a) while another location lies between the interface coating and the W matrix (see Fig. 4.23 b). This finding is confirmed by the overview image of the  $ZrO_x/Zr$  multilayer interface after the push-out test (see Fig. 4.23 c). Fig. 4.23 c shows that debonding happened on both sides of the  $ZrO_x/Zr$  multilayer coating and that the interface coating underwent a brittle fracture during the push-out process.



**Figure 4.23.** SEM images of  $ZrO_x/Zr$  m specimen after the push-out test: a) the debonding location is between the W fiber and the  $ZrO_x/Zr$  m coating; b) the debonding location is between the  $ZrO_x/Zr$  m coating and the W matrix; c)  $ZrO_x/Zr$  m coating is flaked away from W fiber

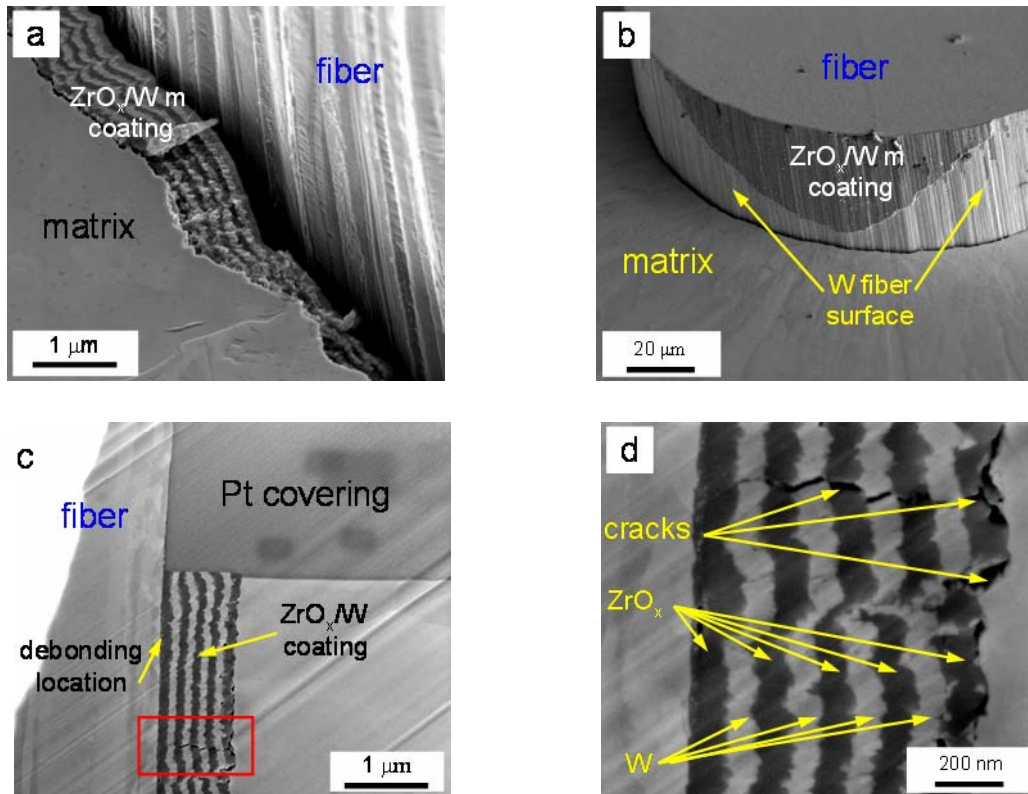
Figure 4.24 shows microstructure images of the  $ZrO_x/W$  m interface after the push-out test. Its debonding feature is relatively similar to that of the  $ZrO_x/Zr$  m interface (see Figs. 4.24 a and b). There are also some cracks present in the  $ZrO_x/W$  multilayer coating (see Figs. 4.24 c and d).

The  $ErO_x/W$  m interface shows a debonding behavior quite similar to that of the  $ZrO_x/W$  m interface (see Fig. 4.25), while the  $Er/W$  m interface shows a different one (see Fig. 4.26): the debonding location lies mainly in one Er layer; the crack extends

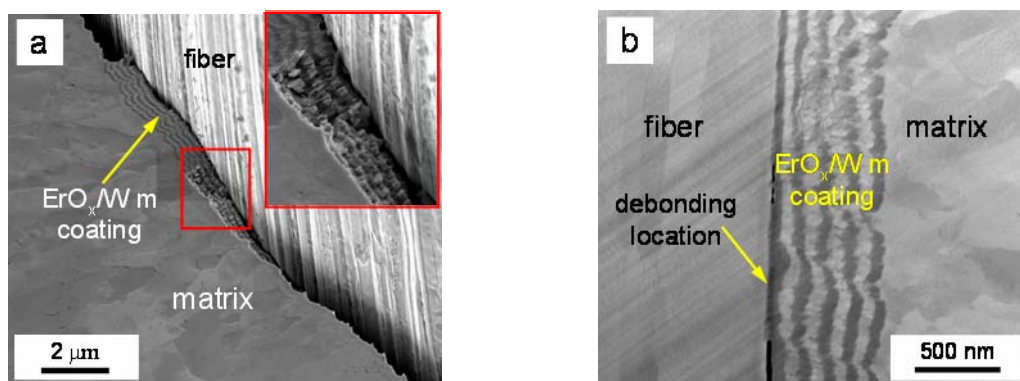
### 4.2.3 Multilayer interface

through the multilayer changing the debonding path from one Er layer to another, which indicates that the Er layer is the weakest layer in the Er/W multilayer coating.

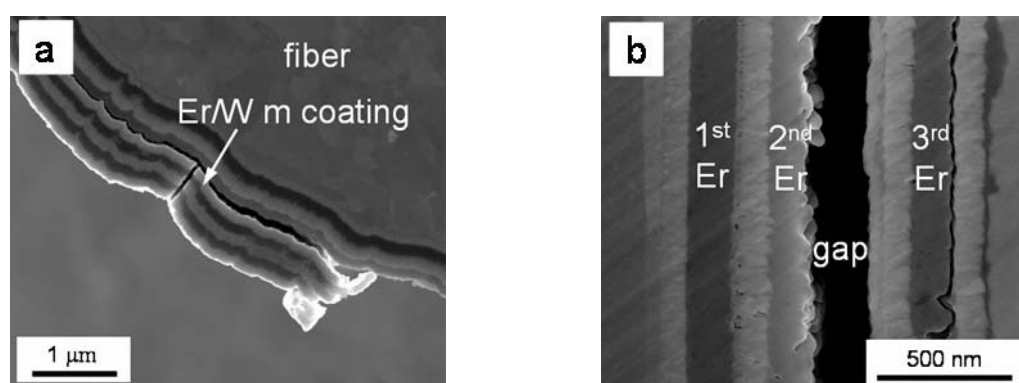
The debonding behavior of Cu/W m interface is discussed in the next chapter.



**Figure 4.24.** SEM images of ZrO<sub>x</sub>/W m interface specimen after push-out test: a) ZrO<sub>x</sub>/W m coating remained in the W matrix; b) part of the ZrO<sub>x</sub>/W m coating attached to the W fiber; c) the debonding location lies between the W fiber and the ZrO<sub>x</sub>/W m coating; d) micro-cracks in the ZrO<sub>x</sub>/W m



**Figure 4.25.** SEM images of ErO<sub>x</sub>/W m interface specimen after the push-out test: a) step-like structure in the ErO<sub>x</sub>/W m coating; b) the debonding location is between the W fiber and the ErO<sub>x</sub>/W m coating



**Figure 4.26.** SEM images of Er/W m specimen after the push-out test: a) cracks lie in the Er/W multilayer coating; b) the debonding location lies in 2<sup>nd</sup> Er layer

Thus, it can be concluded that during the push-out process, specimens with multilayer interfaces ( $ZrO_x/Zr m$ ,  $ZrO_x/W m$ , and  $ErO_x/W m$ ) have similar debonding locations, which are either lying between the W fiber and the interface coating or between the interface coating and the W matrix; crack deflection (step-like structure) occurred in the multilayers. These interfacial fracture behaviors coincided with the motivation of the interface design (fit types b2) and d). The Er/W m interface specimen contains crack propagation in the multilayer coating and the debonding in the Er layers, which fits to types b 1) and d).

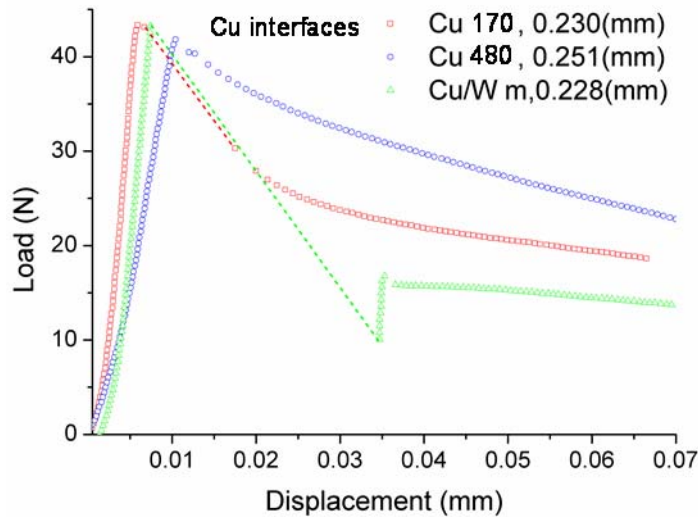
## 4.2.4 Cu interface

### 4.2.4.1 Push-out curve

Figure 4.27 shows the push-out curves of specimens with the Cu interfaces, which include Cu 170, Cu 480, and Cu/W m. Table 4.3 shows the information about average energy absorption  $\Delta$ , the specimen thickness  $H$ , the maximum debonding load  $P_{max}$ , the frictional sliding load  $P_{fr}$ , and the dynamic displacement  $d_0$  of each Cu interface. In the initial loading phase (see Fig. 4.27), the three interfaces showed similar linear responses to that of the oxide interfaces and multilayer interfaces. The Cu 170 and the Cu/W m interfaces have steeper slopes than the Cu 480 interface. The copper coatings were annealed in the CVD fabrication process and are thus supposed to be very soft. Therefore, a great plastic flow is expected in the load bearing process. Since the fiber displacement includes the compliance of the specimen, the shear strain of the coating layers, as well as the deformation or fracture of the coating. The Cu 480 interface had a large plastic deformation leading to a low slope in the first loading phase. The Cu 170 and Cu/W m interfaces did not undergo a large plastic flow as that of the Cu 480

#### 4.2.4 Cu interface

interface due to the limited thickness of the Cu coatings (170 nm for the Cu 170 and 100 nm for the Cu/W m). The yield stress of the copper film increases by several orders of magnitude when its thickness is reduced below micrometer range due to the severely limited dislocation movement in the thickness direction [73].



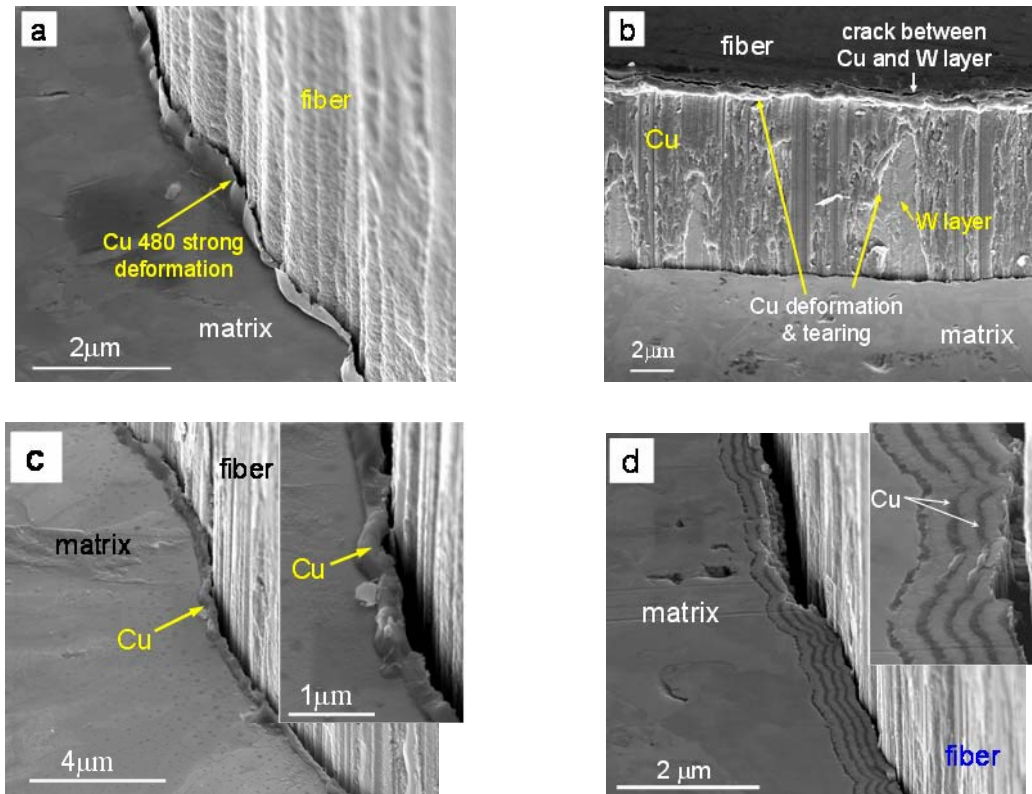
**Figure 4.27.** Push-out curves for the Cu interfaces

**Table 4.3.** The specimen thickness  $H$ , the maximum debonding load  $P_{max}$ , the frictional sliding load  $P_{fr}$ , the dynamic displacement  $d_0$  and the average energy absorption  $\Delta$  of Cu interfaces.

Interface	$H$ (mm)	$P_{max}$ (N)	$P_{fr}$ (N)	$d_0$ ( $\mu\text{m}$ )	$\Delta$ ( $\text{kJ/m}^2$ )
Cu/W m	0.228	43.5	16.8	28	13.1
Cu 170	0.230	43.1	30.45	11.5	15.8
Cu 480	0.251	42	-	-	17.2

After the complete debonding event, the curve of Cu/W m interface shows a characteristic which is typical of the oxide interfaces. The friction load  $P_{fr}$  is clearly distinguishable. It indicates that Cu/W m interface has a brittle fracture behavior although it contains Cu (Fig. 4.27 green triangles). The characteristic shape of the curve suggests that the capability of the plastic flow of the Cu in the Cu/W multilayer coating was strongly restricted by the size effect. The Cu 170 interface has another curve shape. It has a limited dynamic movement but no load rise. This phenomenon is believed to be due to the plastic deformation of the Cu coating which consumed a lot of stored energy. However, the Cu 480 interface shows a unique curve shape: it has no dynamic movement, and its sliding load is difficult to distinguish from the debonding load. Such behavior would be caused by a strong deformation of Cu both before and after complete

debonding. The explanations given above are supported by the microstructure investigation results. Fig. 4.28 shows SEM images of the Cu interfaces after the push-out test.



**Figure 4.28.** SEM images of the specimens with Cu interfaces after the push-out test: a) strong deformation of the Cu 480 layer; b) teared Cu 480 layer; c) moderate deformation of Cu 170; d) limited deformation of the Cu in Cu/W m interface

The Cu in the Cu 480 (see Figs. 4.28 a and b) specimen shows strongest plastic deformation and the Cu in the Cu 170 (see Fig. 4.28 c) specimen shows a moderate plastic deformation, while the Cu deformation in the Cu/W m specimen (see Fig. 4.28 d) is greatly restricted.

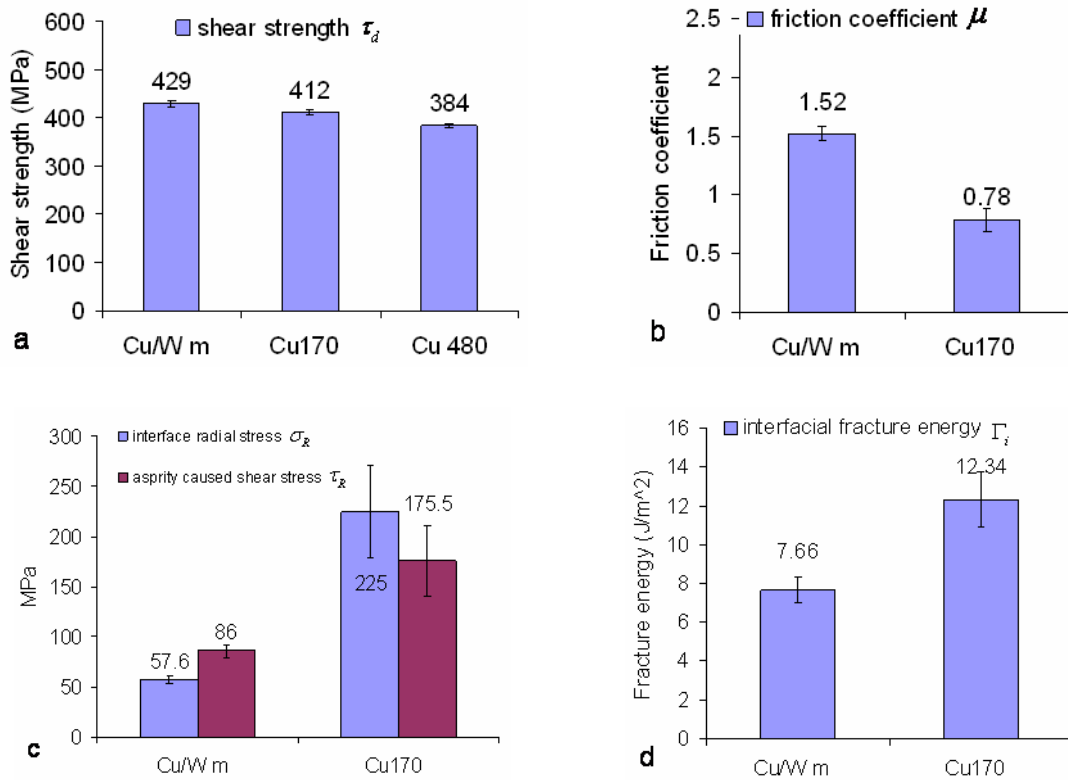
The microstructure investigation results are also in agreement with the average energy absorption  $\Delta$  estimation results. The different amount of Cu deformation caused different average energy absorption ( $17.2 \text{ kJ/m}^2$  for Cu 480,  $15.8 \text{ kJ/m}^2$  for Cu 170, and  $13.1 \text{ kJ/m}^2$  for Cu/W m interfaces).

#### 4.2.4.2 Interfacial parameter calibration

The curve fitting results of the interfacial shear strength  $\tau_d$ , the interface radial stress  $\sigma_R$ , the friction coefficient  $\mu$ , the asperity caused shear stress  $\tau_R$ , and the interfacial fracture energy  $\Gamma_i$  of the Cu interfaces are shown in Fig. 4.29.



#### 4.2.4 Cu interface



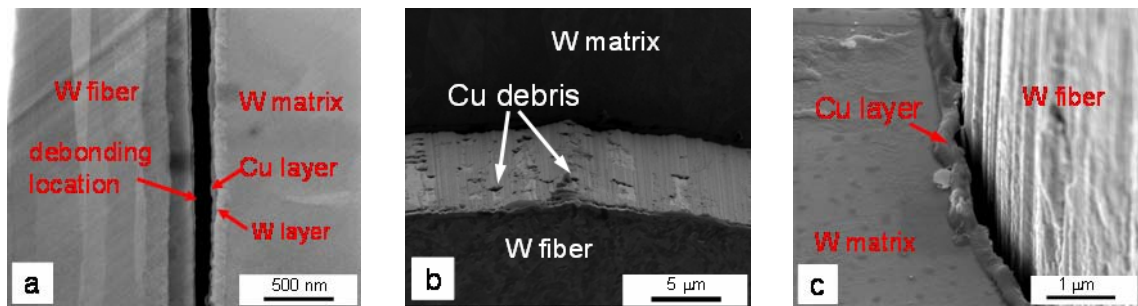
**Figure 4.29.** The curve fitting results for Cu interfaces: a) the interfacial shear strength  $\tau_d$ ; b) the friction coefficient  $\mu$ ; c) the interface radial stress  $\sigma_R$  and the asprity caused shear stress  $\tau_x$ ; d) the interfacial fracture energy  $\Gamma_i$

Since the Eqs. (2.16) and (2.18) were derived within the framework of the linear elastic fracture mechanics and elastic shear-lag assumption, its validity is limited within a small scale yielding case. Thus this approach is less adequate for the Cu 480 interface due to the large scale plastic flow. Therefore, the  $\sigma_R$ ,  $\mu$ ,  $\tau_x$ , and  $\Gamma_i$  were only calibrated for Cu/W m and Cu 170 interfaces. The lowest load bearing ability is found in Cu 480 interface due to the large deformation of Cu (shear strength  $\tau_d$  is 384 MPa). The Cu/W m and Cu 170 interfaces have relatively high  $\tau_d$ , 429 MPa and 412 MPa, respectively. All Cu interfaces have much higher interfacial fracture energy compared to the oxide interfaces and multilayer interfaces. Cu 170 has extremely high fracture energy which is 12.34 J/m<sup>2</sup>. Cu/W m has a fracture energy of 7.66 J/m<sup>2</sup>, which is two times larger than the average fracture energy of the oxide interfaces (3 J/m<sup>2</sup>).

##### 4.2.4.3 Microstructure of the interface after the push-out test

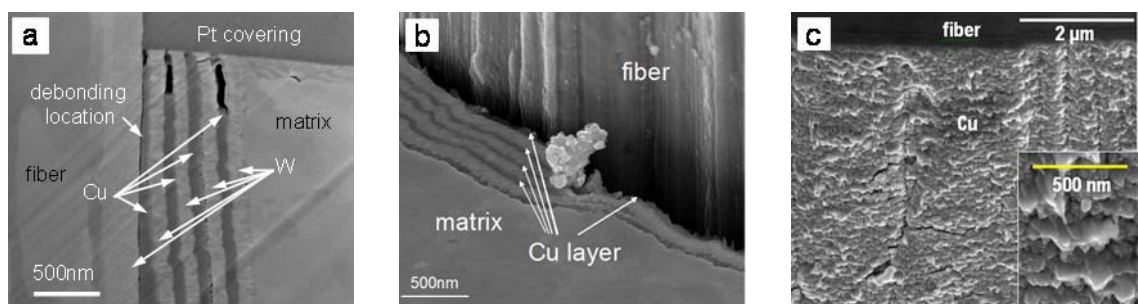
Figure 4.30 shows SEM images of the Cu 170 specimen after the push-out test. The debonding location is in the Cu layer (see Fig. 4.30 a); the Cu layer was split due to the shear stress, part of it attached to the fiber while the other part of it remained in the

matrix (see Fig. 4.30 b); and the Cu coating close to the sample surface was squeezed out when it was subjected to a strong shear stress due to its ductile property (see Fig. 4.30 c). All these findings indicate that a great amount of energy absorption is required for the failure of Cu during the push-out test. It can be concluded that the high interfacial fracture energy of the Cu 170 may be due to the deformation and rupture of the Cu.



**Figure 4.30.** SEM images of the Cu 170 specimen after the push-out test: a) the debonding location lies in the Cu layer; b) debris on the fiber; c) Cu layer is squeezed out

Figure 4.31 shows SEM images of the Cu/W multilayer specimen after the push-out test. Fig. 4.31 a shows a cross-section image of the interface indicating that the debonding location is in the first Cu layer (counting from the fiber side). However, the debonding location changes from one Cu layer to another (see Fig. 4.31 b). This is also confirmed by the step-like structure of the Cu/W multilayer interface shown in Fig. 4.28 d. The deformation of the Cu is relatively limited due to the small width of the Cu layer (see Fig. 4.31 c). Nevertheless, crack progression in the multilayer, as well as fracture and deformation of the Cu layer, cause relatively large amounts of energy absorption.



**Figure 4.31.** SEM image of the Cu/W m specimen after the push-out test: a) the debonding location lies in the 1<sup>st</sup> Cu layer; b) the debonding location changed from the 1<sup>st</sup> Cu layer into the 4<sup>th</sup> Cu layer; c) limited deformation of the Cu layer

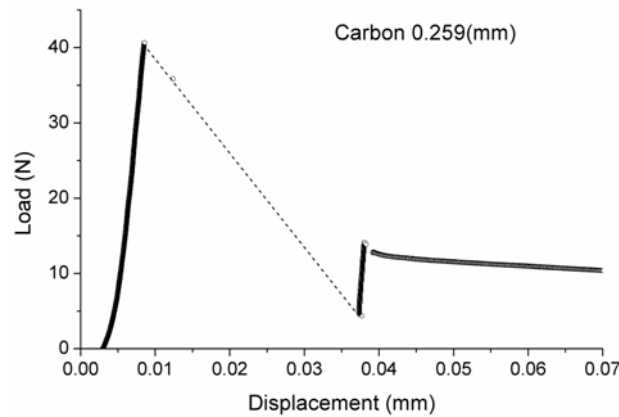
## 4.2.5 Carbon interface

The specimens with Cu interfaces show expected interfacial fracture behavior which are in accordance to the interface design motivation (fit types b) and d) ).

### 4.2.5 Carbon interface

#### 4.2.5.1 Push-out curve

A push-out curve of the carbon (C) coating is shown in Fig. 4.32. The curve shape is similar to that of the other brittle debonding case. However, the values of maximum debonding load  $P_{max}$  ( 40.5 N) and the maximum friction load  $P_{fr}$  (14.2 N) are much smaller than the other interfaces. The average energy absorption  $\Delta$  and dynamic displacement  $d_0$  of the C interface specimen are 9.8 kJ/m<sup>2</sup> and 30  $\mu$ m, respectively. Since magnetron-sputtered carbon is amorphous, which is much softer than the oxide coating, a lower load bearing capability is expected.



**Figure 4.32.** Push-out curve for the specimen with carbon interface

#### 4.2.5.2 Interfacial parameter calibration

The calibrated results of interfacial parameters for the carbon interface are shown in Table 4.4.

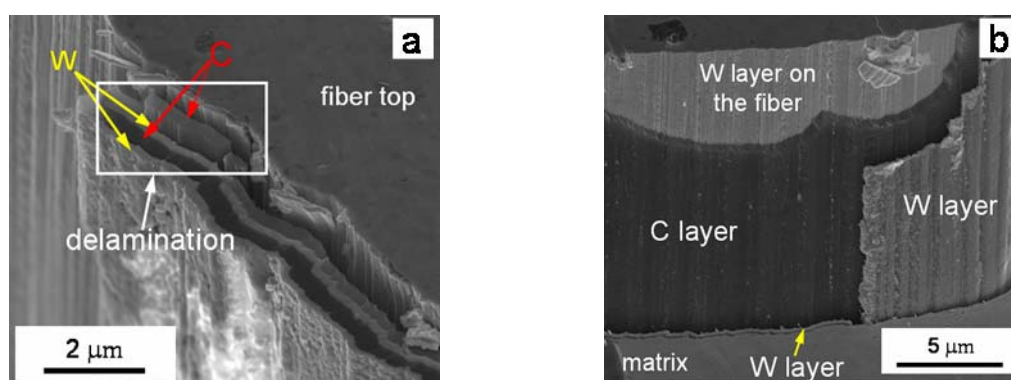
**Table 4.4.** The calibrated results of interfacial parameters of the C interface.

Interfacial shear strength, $\tau_d$ (MPa)	Friction coefficient, $\mu$	Interface radial stress, $\sigma_R$ (MPa)	Asperity caused shear stress, $\tau_R$ (MPa)	Interfacial fracture energy, $\Gamma_i$ (J/m <sup>2</sup> )
284±5	1.04±0.06	71±8	74±8	7.4±0.7

It can be found that the C interface has relatively low shear strength and asperity caused shear stress but very high fracture energy compared to the other interfaces discussed above.

### 4.2.5.3 Microstructure of the interface after push-out test

The microstructure images of the C interface after the push-out test show that the interlayers were delaminated during the push-out process (see Fig. 4.33 a), indicating that the bonding is not strong between the layers. Due to the lubricating property of carbon, there is no dust or debris in the delaminated layers. The debonding mainly happened along the carbon layers (see Fig. 4.33 b). The fiber was sliding out in this lubricating environment, and therefore, the friction coefficient was low. The large fracture energy may be due to the interlayer delamination and the rupture of the C coating. The interfacial debonding behavior of C interface fits to the type b 1) (see Fig. 2.8).



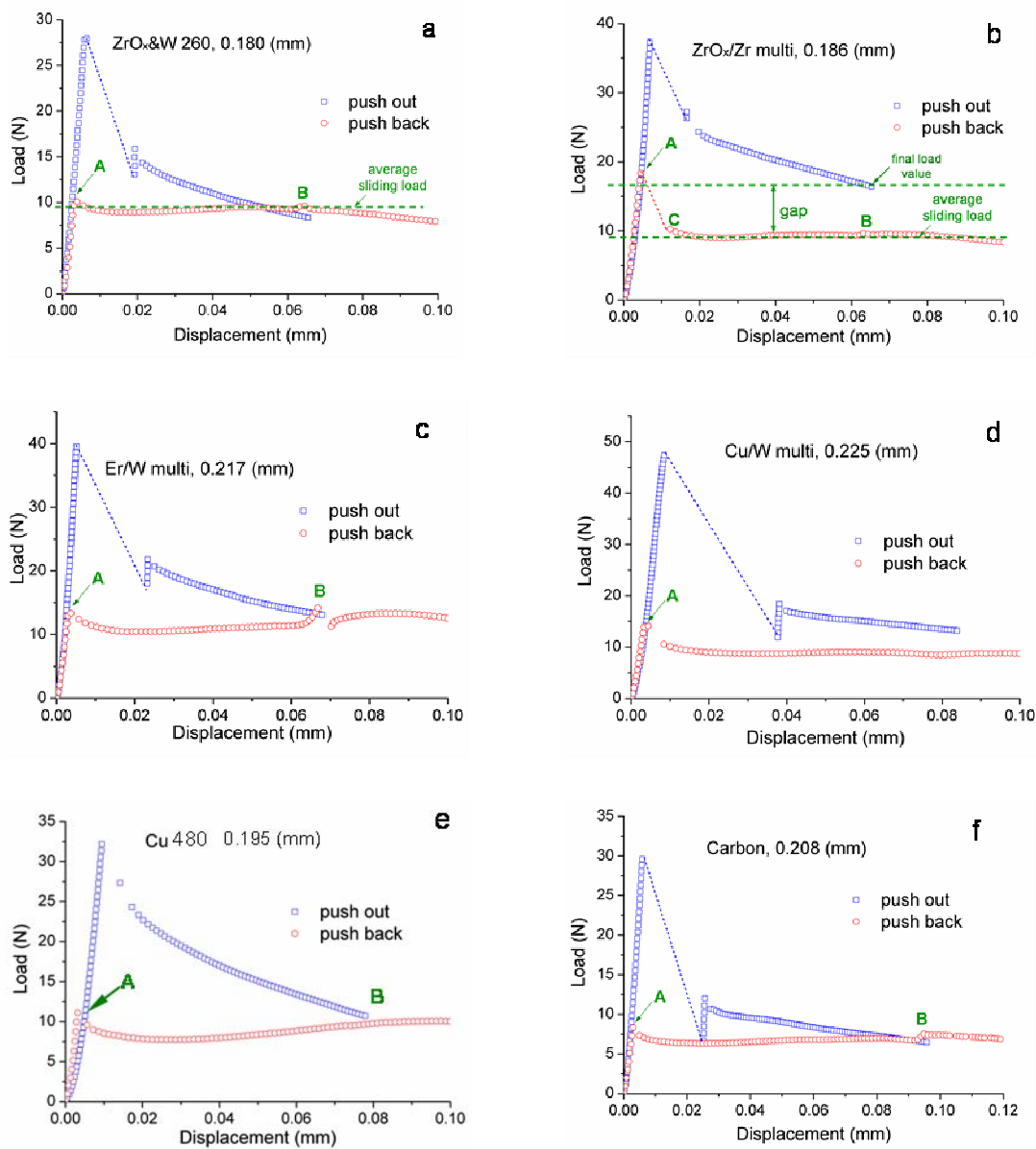
**Figure 4.33.** SEM image of carbon interface specimen after the push-out test: a) delamination of the interface coating; b) the debonding location lies along the carbon layer rather than in the carbon layer

## 4.3 Push-back test

The representative push-back curves for each interface type are shown in Fig. 4.34. For comparison, the corresponding push-out curves are also included.

Figure 4.34 a shows the result for the  $ZrO_x$ &W 260 interface. The push-back curve shows a similar linear load-displacement relationship as the one for the push-out until the load reaches a certain value (point A). The load decreases slightly after point A (due to the change from static to dynamic friction) and remains at a certain value until the fiber is pushed into its original position (point B). The load has a small hump up at point B since the fiber has to move over the originally matched position (at point B) and begin to undergo a new mismatch (after point B). The average sliding load (see Fig. 4.34 a) represents the friction of the interfaces when the fiber was sliding in the matrix. The value of the average sliding load is approximately equal to the load value of the final stage of the push-out test. This coherence is due to the identical embedded length in the two cases.

### 4.3 Push-back test



**Figure 4.34.** Push-back test results: a) ZrO<sub>x</sub>&W 260 interface; b) ZrO<sub>x</sub>/Zr multilayer interface; c) Er/W multilayer interface; d) Cu/W multilayer interface; e) Cu 480 interface; f) carbon interface

Figure 4.34 b shows the push back result of the ZrO<sub>x</sub>/Zr m interface specimen. There is an obvious load drop after having reached point A. The average sliding load value (see Fig. 4.34 b) did not reach the final load value of the push-out test: the gap between the two values is larger than 6 N. This may be explained as follows. When the fiber was moving along in the opposite direction after the push-out test, it caused heavy damage to the debonded interfaces. The load drop after point A is an indication of a second

debonding, after which, the interfaces are damaged more severely, leading to a smaller friction roughness at these debonded interfaces.

The Er/W multilayer interface (see Fig. 4.34 c) shows push-back behavior similar to that of the ZrO<sub>x</sub>&W 260 interface specimen.

The Cu interfaces (Cu/W m in Fig. 4.34 d and Cu 480 in Fig. 4.34 e) show a different behavior. There is no obvious load change when the fiber reaches its original position; it is difficult to detect point B. This phenomenon is caused by the plastic deformation of the Cu coating, which produced similar friction values for both the matched or non-matched positions.

The carbon interface (Fig. 4.34 f) shows push-back behavior similar to that of the ZrO<sub>x</sub>&W 260 interface specimen.

There is no obvious reseating drop in any of the W<sub>f</sub>/W<sub>m</sub> composites.

According to the push-back curves, the average sliding stress  $\tau_{sliding}$  of the debonded interfaces can be estimated by dividing the average friction loads by their corresponding contacting area. The estimated values are shown in Table 4.5. It is found that specimens with the brittle interfaces (ZrO<sub>x</sub>&W 260, ZrO<sub>x</sub>/Zr m and Er/W m) have similar average sliding stress whereas the carbon interface specimen has the smallest sliding stress and the Cu interfaces (Cu/W m, Cu 480) specimens have a moderate sliding stress.

**Table 4.5.** Average sliding stress  $\tau_{sliding}$  calculated according to push-back test curves.

Interface	Sliding length (mm)	Average sliding load (N)	Average sliding stress, $\tau_{sliding}$ (MPa)
ZrO <sub>x</sub> &W 260	0.180	9.2	108
ZrO <sub>x</sub> /Zr m	0.186	9.2	105
Er/W m	0.217	10.8	106
Cu/W m	0.225	8.8	83
Cu 480	0.195	8.7	95
carbon	0.208	6.7	68

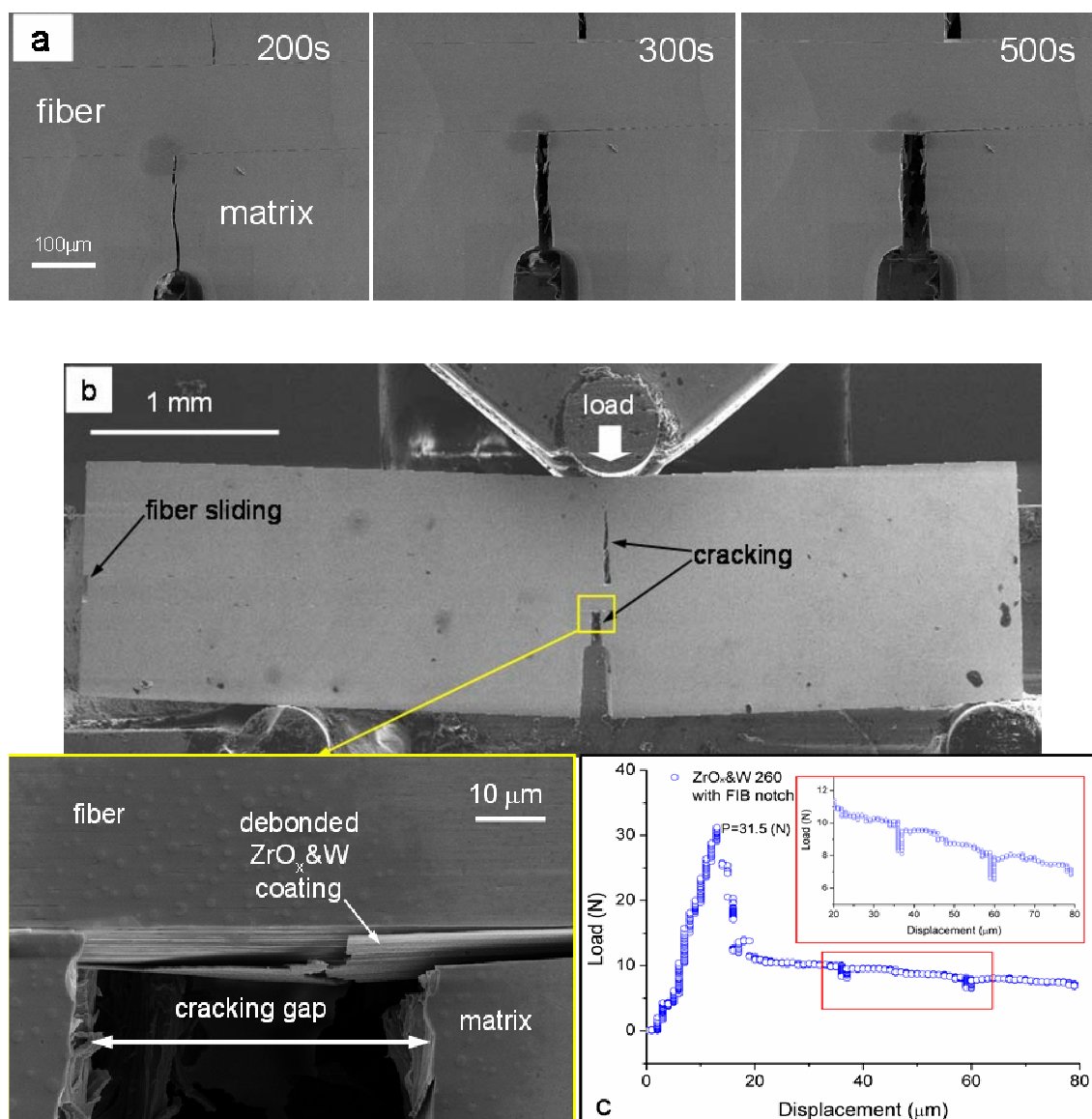
## 4.4 Three points bending test

### 4.4 Three points bending test

#### 4.4.1 Direct demonstration of the crack deflection

The direct observation of the crack deflection was performed using in-situ SEM imaging during the three points bending (3PB) test. The load and the corresponding platform displacement were recorded to generate the load-displacement curve.

Figure 4.35 shows the  $W_f/W_m$  composite with an oxide interface ( $ZrO_x$ &W 260) during the 3PB test.



**Figure 4.35.** SEM images of  $W_f/W_m$  composite with the  $ZrO_x$ &W 260 interface during the 3-point bending test: a) the crack propagation; b) the interfacial debonding; c) the load-displacement curve

In order to introduce a precise initial crack and well-defined crack propagation, a sharp notch was cut via the FIB technique on the bottom of the diamond-grinded notch (see chapter 3.2.2). Fig. 4.35 a shows the crack propagation process (images were taken at different testing times), while Fig. 4.35 b shows images at the end of the test. The load-displacement curve of this specimen is included as well (see Fig. 4.35 c).

The crack deflected along the interface when it met the interface (see Fig. 4.35 b). The fiber contained neither crack nor clear deformations. At one end of the specimen, the fiber glided into the interior from the original location (pull-in), which indicates that the interfacial debonding propagated through the entire half of the specimen (see Fig. 4.35 b). The interface debonding and fiber sliding led to the limited fiber strain. The interfacial debonding behavior was also supported by the load-displacement curve result. In Fig. 4.35 c, the applied load raised until 31.5 N then dropped to 10 N, indicating the initial propagation of the crack. Then, the crack propagated progressively causing gradual decrease of the load (see Fig. 4.35 c).

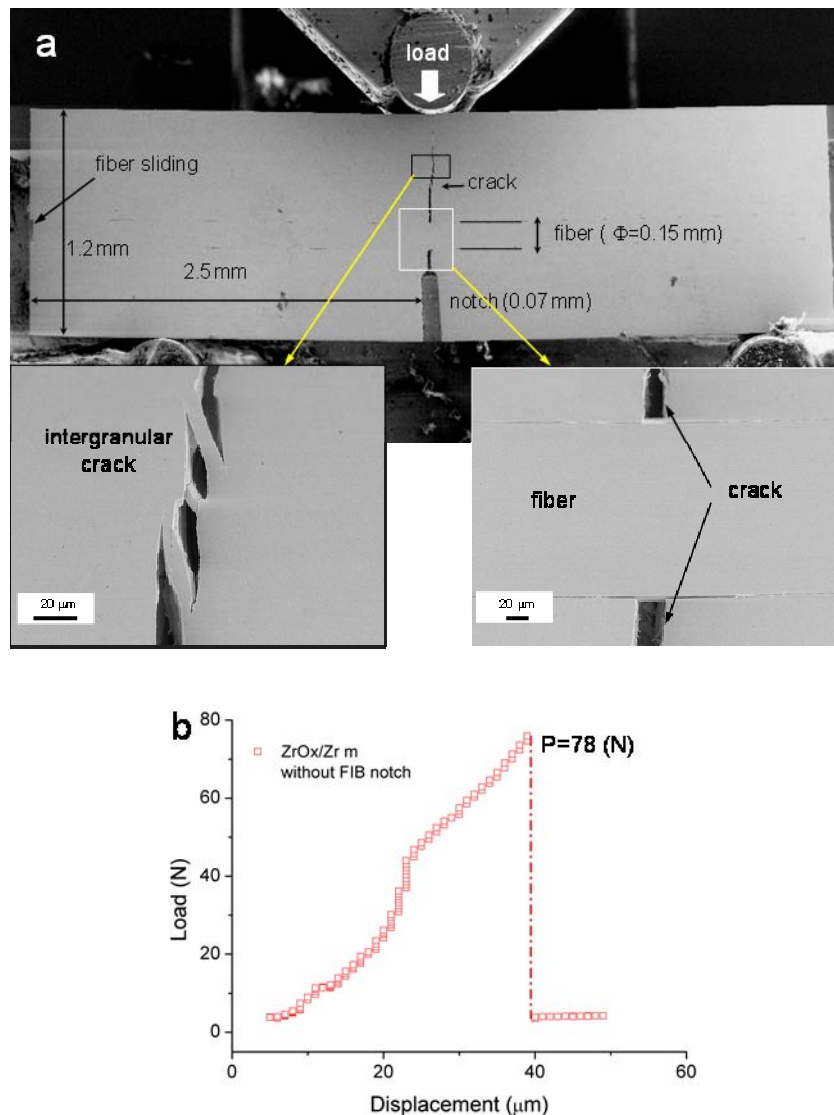
Figure 4.36 a and shows the SEM images of  $W_f/W_m$  composite with a multilayer interface ( $ZrO_x/Zr m$ ) after the 3PB test while Fig. 4.36 b contains the load-displacement curve.

For comparison, the initial crack of  $ZrO_x/Zr m$  specimen was introduced by diamond grinding with a notch width of 70  $\mu m$ .

The crack propagation process was not observed during the test. Until the applied load reached the rupture value (110 N) there was no sign of any failure (see Fig. 4.36 b). When the load reached 110 N, a transverse crack was abruptly produced from the notch root and propagated rapidly in opening mode perpendicular to the specimen axis but without cutting the fiber. The sharp crack plane extended though the matrix surrounding the fiber. This abrupt rupture was in accordance with the load-displacement curve result—no gradual load decrease was observed after the fracture. The crack in the matrix is typical of an intergranular type (see Fig. 4.36 a). No crack or clear deformation was found in the fiber, while fiber pull-in was observed at one end of the specimen (see Fig. 4.36 a), which is exactly the same as the  $ZrO_x&W 260$  specimen case. The  $ZrO_x&W 260$  specimen and the  $ZrO_x/Zr m$  specimen had similar interfacial debonding behavior during the 3PB test, while the FIB notch had introduced the progressive crack propagation.

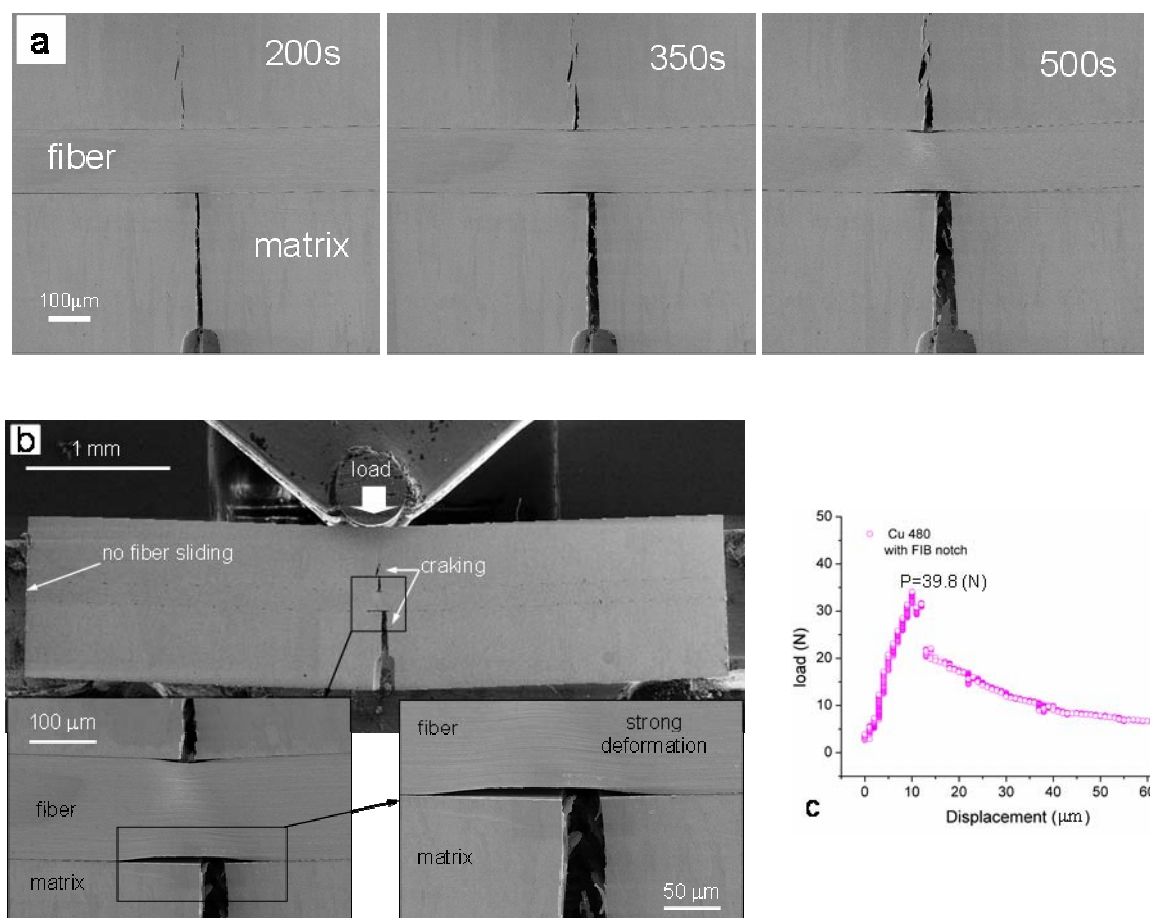


#### 4.4 Three points bending test



**Figure 4.36.** a) SEM images of  $W_f/W_m$  composite with the  $ZrO_x/Zr$  multilayer interface after the 3PB test; b) the load-displacement curve

Figures 4.37 a and b show SEM images of Cu 480 interface specimen during the 3PB test while Fig. 4.37 c illustrates the load-displacement curve. The initial crack was created via the FIB technique on the bottom of the diamond grinding notch. Therefore, the crack propagation was also observed (see Fig. 4.37 a). Fig. 4.37 b shows images at the end of the test which indicates that: the interfacial debonding occurred during 3PB test; the crack started at the bottom of the notch, then extended around the fiber and finally appeared on the other side of the matrix; there is no obvious cracking in the fiber. Unlike the brittle interface specimen, the Cu 480 interface specimen has no fiber dislocation at the specimen end, but a rather strong fiber deformation in the specimen center.



**Figure 4.37.** SEM images of  $W_f/W_m$  composite with the Cu 480 interface during the 3PB test: a) the crack propagation; b) the interfacial debonding and strain in the fiber; c) the load-displacement curve

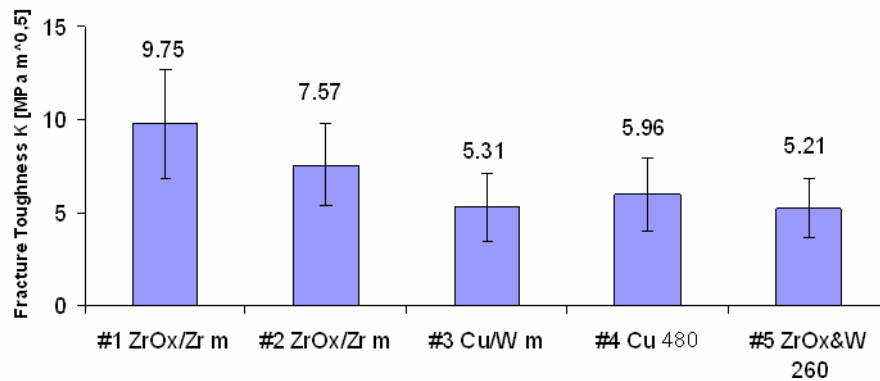
#### 4.4.2 Load-displacement curve—W matrix fracture toughness

The load-displacement curve contains information about the fracture toughness of the specimen.

The 3PB test is widely used to measure material fracture toughness when specimens are prepared according to certain dimension requirements [155]. The fracture toughness of the specimen can be calculated using the maximum bearing load as well as the specimen dimension data. Five specimens were subjected to the 3PB test. The calculated fracture toughness  $K_I$  of each specimen are shown in Fig. 4.38: the average value is  $6.76 \text{ MPa}\cdot\text{m}^{1/2}$ . In the  $W_f/W_m$  composite, the specimen was held by the W fiber at the end of the 3PB test; the maximum applied load was sustained mainly by the matrix. Therefore, the obtained fracture toughness represents the fracture toughness of the W matrix. Indeed, this calculated value is consistent with results obtained in other works [156]. Converted to a fracture energy, it becomes  $114 \text{ J/m}^2$ .

## 4.5 Thermal stability investigation

Therefore, the fracture energy of the W matrix in this thesis is determined to be  $114 \text{ J/m}^2$ .



**Figure 4.38.** Fracture toughness  $K_I$  of  $W_f/W_m$  composites obtained by the 3PB test

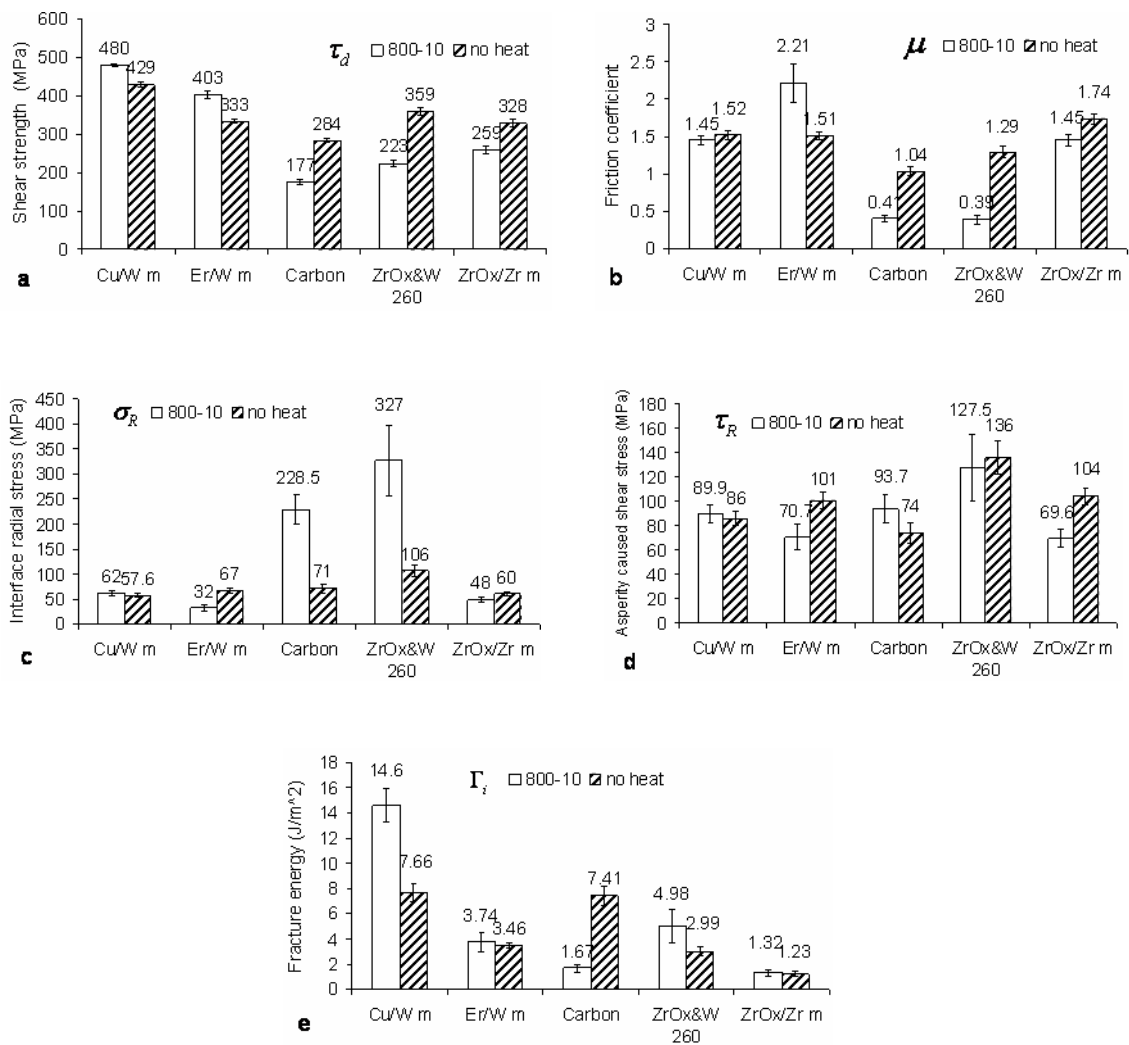
## 4.5 Thermal stability investigation

In order to examine the thermal stability of these  $W_f/W_m$  composites, some specimens were selected and put into a vacuum furnace for 10 hours at  $800 \text{ }^\circ\text{C}$ .

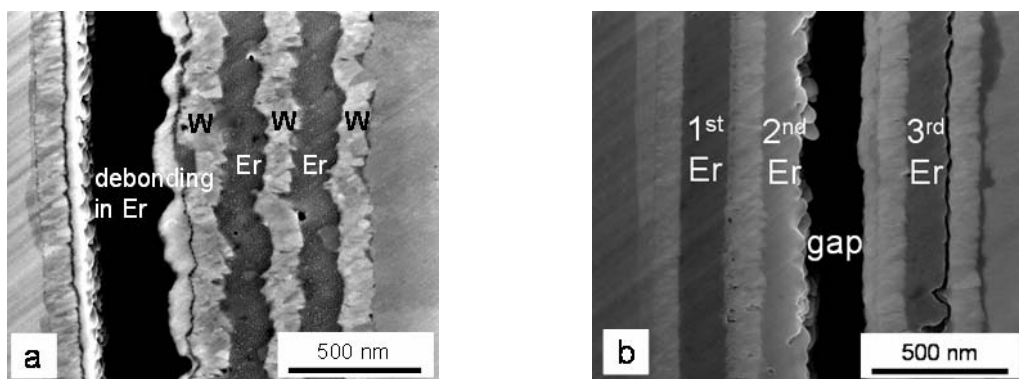
The same push-out test and curve fitting method were applied to the specimens after the heat treatment. The results are shown in Fig. 4.39, together with the results of the specimens without heat-treatment.

Most interfaces exhibit no significant changes in terms of interface shear strength  $\tau_d$  and asperity caused shear stress  $\tau_R$ , although there are some changes where the interface radial stress  $\sigma_R$  and friction coefficient  $\mu$  are concerned. The Er/W m interface, the ZrO<sub>x</sub>&W 260 interface and the ZrO<sub>x</sub>/Zr m interface show very similar fracture energy  $\Gamma_i$  compared to the corresponding interface without heat treatment, while the Cu/W m interface and the carbon interface show clear changes compared to the ones without heat treatment. These phenomena can be explained with the assistance of a microstructure investigation.

The cross-section images of the Er/W multilayer, the ZrO<sub>x</sub>&W 260, and the ZrO<sub>x</sub>/Zr multilayer interfaces are shown in Figs. 4.40, 4.41, and 4.42, respectively, including the images with and without heat treatment. It is apparent that similar debonding locations occurred in the three interfaces both with and without heat treatment although the grain growth was found in the Er/W multilayer and ZrO<sub>x</sub>&W 260 interfaces; and diffusion occurred in the ZrO<sub>x</sub>/Zr multilayer interface. These findings indicate that apart from the few changes in terms of the microstructure, these three interfaces have a good thermal stability in terms of the debonding location, and eventually in terms of the fracture energy.

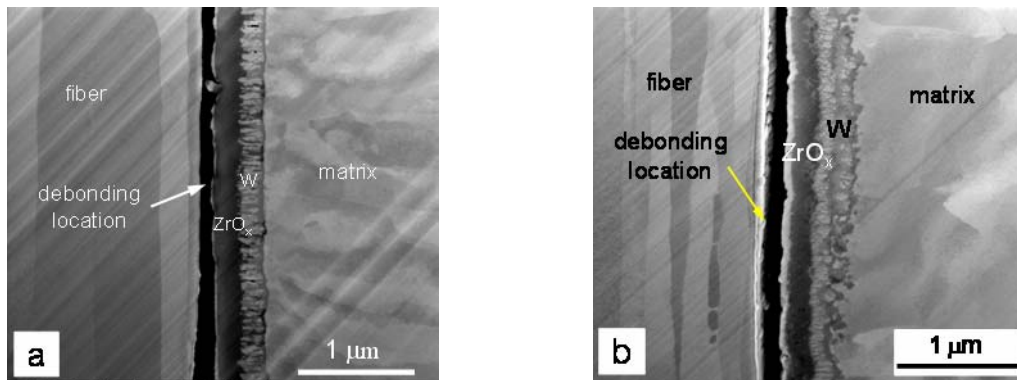


**Figure 4.39.** Comparisons of interfacial parameters of specimens with and without heat treatment: a) the interfacial shear strength  $\tau_d$ ; b) the friction coefficient  $\mu$ ; c) the interface radial stress  $\sigma_R$ ; d) the asperity caused shear stress  $\tau_R$ ; e) the interfacial fracture energy  $\Gamma_i$

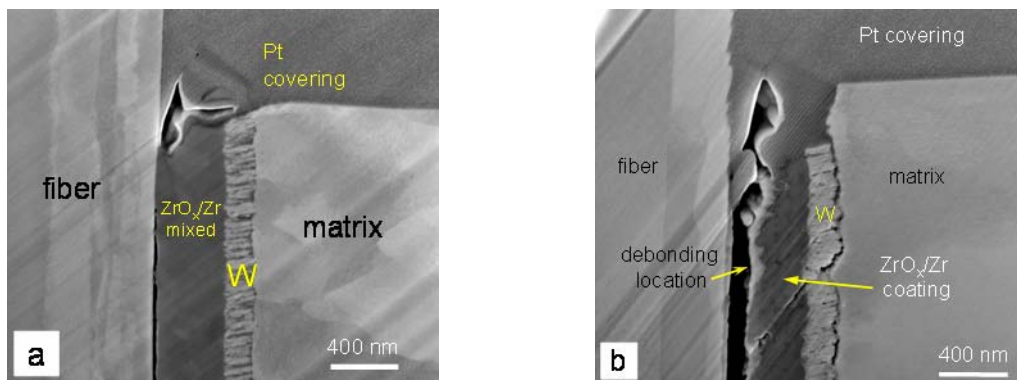


**Figure 4.40.** SEM images of Er/W m interface: a) with heat treatment; b) without heat treatment

#### 4.5 Thermal stability investigation



**Figure 4.41.** SEM images of the ZrO<sub>x</sub>&W 260 interface: a) with heat treatment; b) without heat treatment

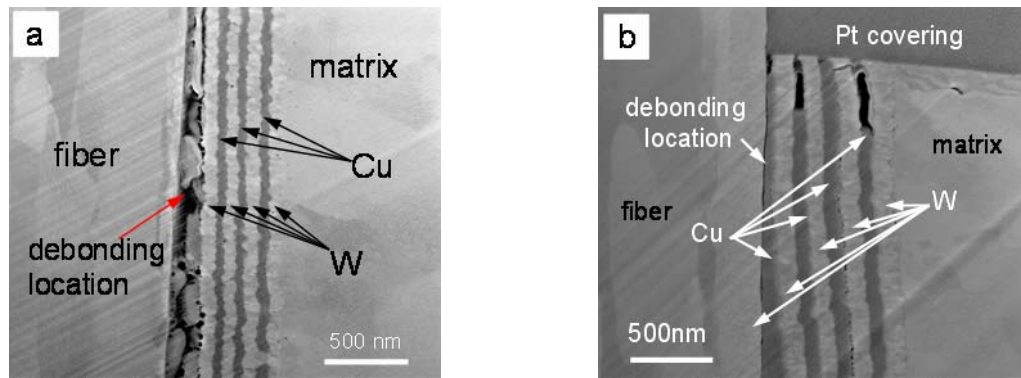


**Figure 4.42.** SEM images of the ZrO<sub>x</sub>/Zr m interface: a) with heat treatment; b) without heat treatment

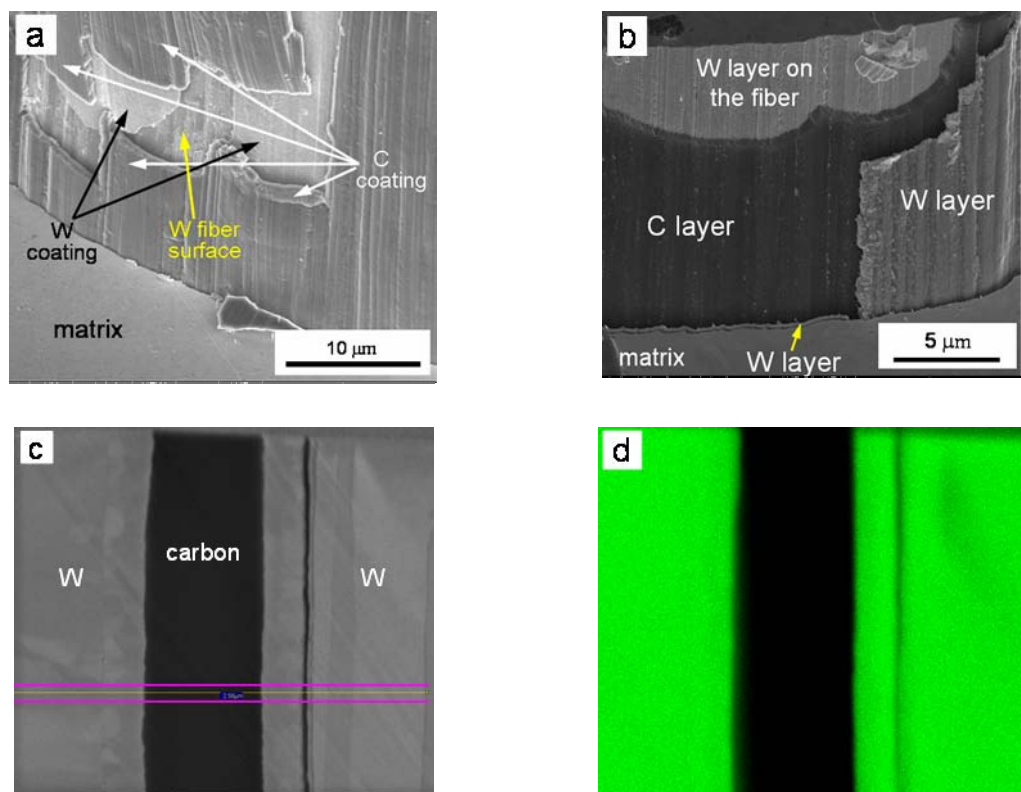
Figure 4.43 shows cross-section images of the specimen with and without heat treatment for the Cu/W multilayer interface. Before the heat treatment, the multilayer was amorphyously arranged (see Fig. 4.43 b). After heat treatment, grain growth occurred in both Cu and W layers although the multilayer structure remains unchanged (see Fig. 4.43 a). More damage was found in the W layer (see Fig. 4.43 a) indicating the strength of the W layer decreased due to the grain growth.

No obvious change in the debonding location was found in the carbon layer. The interlayer delamination and carbon layer rupture occurred in both with and without heat treatment (see Figs. 4.44 a and b). On the other hand, the grain growth was found in the W layer. (see Fig. 4.44 c). The chemical analysis results (see Fig. 4.44 d) show that the layer arrangement after heat treatment stays the same as the one without heat treatment except some diffusion occurred on the edges of the layers. It is well-known that magnetron sputtering deposited carbon is amorphous carbon which contains small amount of graphite structure. When the specimens were heated to 800°C for 10 hours,

part of amorphous carbon was transformed into a graphite structure. Graphite has less toughness and strength than amorphous carbon. Therefore, the interface shows a lower fracture energy and interfacial shear strength after the heat treatment. Further, graphite has a much better lubricative property, which leads the decrease of the friction coefficient.



**Figure 4.43.** SEM images of the Cu/W m interface: a) with heat treatment; b) without heat treatment



**Figure 4.44.** a) carbon rupture in the specimen after heat treatment; b) interface delamination in the specimen before heat treatment; c) cross-section image of the carbon interface after heat treatment; d) EDXS mapping of W in area shown in Fig. 4.44 c

#### 4.6 Mechanical property prediction of $W_f/W_m$ composite

It can be concluded that, among the investigated interfaces, the oxide coating ( $ZrO_x$  & W 260), the multilayer coatings (Er/W m and  $ZrO_x/Zr$  m), show a good thermal stability in terms of the interfacial fracture behavior, while the interfacial fracture behavior of the Cu/W m interface and the carbon interface were strongly affected by the heat treatment.

#### 4.6 Mechanical property prediction of $W_f/W_m$ composite

Based on the properties of fiber, matrix, and interface described above, the mechanical property (stress-strain curve) of  $W_f/W_m$  composite with each interface can be predicted. Following the procedure introduced in chapter 2.4, the results of the critical stress and strain are shown as follows.

The utilized material parameters for fiber and matrix were read from Table 3.1 and Table 3.3, respectively. The interfacial parameters (asperity caused shear stress  $\tau_R$  and interfacial fracture energy  $\Gamma_i$ ) were taken from the calibration results in chapter 4.2. The fiber volume fraction is assumed as 0.6.

##### (1) Matrix cracking stress $\sigma_{mc}$ and strain $\varepsilon_{mc}$

The matrix cracking stress  $\sigma_{mc}$  was calculated by solving Eq. (2.29). The corresponding strain  $\varepsilon_{mc}$ , was calculate by

$$\varepsilon = \frac{\sigma_{mc}}{c_f E_f + c_m E_m}.$$

Table 4.6 shows the calculated results of  $\sigma_{mc}$  and  $\varepsilon_{mc}$ .

##### (2) Matrix cracking saturation stress $\sigma_{sat}$ and stain $\varepsilon_{sat}$

The matrix cracking saturation stress  $\sigma_{sat}$  was calculated using Eq. (2.31) while the corresponding strain was calculated via  $\varepsilon_{sat} = \sigma_{sat} / c_f E_f$ . The results of  $\sigma_{sat}$  and  $\varepsilon_{sat}$  are shown in Table 4.7.

##### (3) Composite yielding stress $\sigma_y$ and strain $\varepsilon_y$

The composite yielding stress is given by  $\sigma_y = c_f \sigma_{f-yield} + \sigma_s$ , where  $\sigma_{f-yield}$  is the fiber yielding stress. The corresponding strain is calculated by  $\varepsilon_y = \sigma_{f-yield} / E_f$ . The result of  $\sigma_y$  is shown in Table 4.8. For  $W_f/W_m$  composite,  $\varepsilon_y = 4.29 \times 10^{-3}$ .

**Table 4.6.**  $\sigma_{mc}$  and  $\varepsilon_{mc}$  values of each interface.

Interface	$\tau_R$ (MPa)	$\Gamma_i$ (J/m <sup>2</sup> )	$\sigma_A$ (MPa)	$\sigma_D$ (MPa)	$\sigma_S$ (MPa)	$\sigma_{mc}$ (MPa)	$\varepsilon_{mc}$ ( $\times 10^{-3}$ )
ZrO <sub>x</sub> 150	110	2.89	19899	235	78	677.07	1.69
ZrO <sub>x</sub> 450	127	3	21382	240	90	720.32	1.8
ZrO <sub>x</sub> 950	111	5.86	19990	335	79	594.48	1.49
ZrO <sub>x</sub> &W 260	136	2.99	22126	240	97	743.48	1.86
ErO <sub>x</sub> 600	174	9.61	25027	430	124	684.24	1.71
ErO <sub>x</sub> 1000	64	2.03	15179	197	46	549.68	1.37
ZrO <sub>x</sub> /Zr m	104	1.23	19349	154	74	696.22	1.74
ZrO <sub>x</sub> /W m	175	3.5	25099	259	124	823.12	2.06
Er/W m	101	3.46	19068	258	72	634.65	1.59
ErO <sub>x</sub> /W m	91	2.01	18100	196	65	643.32	1.60
Cu/W m	86	7.66	17595	384	61	414.20	1.03
Cu 170	176	12.34	25171	487	125	608.33	1.52
carbon	74	7.41	16322	377	53	362.01	0.90

**Table 4.7.**  $\sigma_{sat}$  and  $\varepsilon_{sat}$  values of each interface.

Interface	$\tau_R$ (MPa)	$\bar{l}_s$ ( $\mu\text{m}$ )	$\sigma_{sat}$ (MPa)	$\varepsilon_{sat}$ ( $\times 10^{-3}$ )
ZrO <sub>x</sub> 150	110	285.02	627.05	2.61
ZrO <sub>x</sub> 450	127	258.98	657.81	2.74
ZrO <sub>x</sub> 950	111	283.31	628.94	2.62
ZrO <sub>x</sub> &W 260	136	247.43	673.00	2.80
ErO <sub>x</sub> 600	174	209.95	730.60	3.04
ErO <sub>x</sub> 1000	64	408.96	523.47	2.18
ZrO <sub>x</sub> /Zr m	104	295.88	615.43	2.56
ZrO <sub>x</sub> /W m	175	209.14	732.01	3.05
Er/W m	101	301.71	609.46	2.54
ErO <sub>x</sub> /W m	91	323.43	588.64	2.45
Cu/W m	86	335.85	577.65	2.41
Cu 170	176	208.35	733.40	3.06
carbon	74	371.24	549.43	2.29



#### 4.6 Mechanical property prediction of $W_f/W_m$ composite

**Table 4.8.**  $\sigma_y$  values of each interface.

Interface	$\sigma_s$ (MPa)	$\sigma_y$ (MPa)
ZrO <sub>x</sub> 150	78	1098
ZrO <sub>x</sub> 450	90	1110
ZrO <sub>x</sub> 950	79	1099
ZrO <sub>x</sub> &W 260	97	1117
ErO <sub>x</sub> 600	124	1144
ErO <sub>x</sub> 1000	46	1066
ZrO <sub>x</sub> /Zr m	74	1094
ZrO <sub>x</sub> /W m	124	1144
Er/W m	72	1092
ErO <sub>x</sub> /W m	65	1085
Cu/W m	61	1081
Cu 170	125	1145
carbon	53	1073

#### (4) Composite ultimate tensile strength $\sigma_u$

$\sigma_u$  is steered by the fiber ultimate stress  $\sigma_{f-u}$  since the fiber is bearing the whole load at the failure stage. The failure strain of the W fiber is determined to be the ultimate strain of the composite. According to the tensile test result  $\sigma_{f-u}=2593$  MPa while the fiber failure strain is 2.1%.  $\sigma_u$  is obtained by  $\sigma_u=c_f \times \sigma_{f-u}=1555.8$  MPa and  $\varepsilon_y=2.1\%$  is determined for the  $W_f/W_m$  composites.

The stress-strain curves of the  $W_f/W_m$  composites with different interfaces were predicted based on the characteristic stresses and strains calculated above. As the representative examples, stress-strain curves of specimens with the ZrO<sub>x</sub> 450 interface, the Cu 170 interface, and the carbon interface, are shown in Fig. 4.45. It should be mentioned that the fiber pull-out stage were drawn schematically; the actual curve form depends on the interface radial stress, friction coefficient, fiber pull-out length, etc.... It can be seen that all of these composites show typical stress-strain curve of a toughened composite, as given in chapter 2, Fig. 2.4 a.

The composite properties are strongly affected by the fiber volume fraction. The stress-strain curves of the  $W_f/W_m$  composites with the ZrO<sub>x</sub> 450 interface are shown in Fig. 4.46 for different fiber volume fractions. The fracture toughness of the composites increases with the increase of fiber volume. This result is well-understood for two

reasons: firstly, based on the fibers with the same diameter, the increase of the fiber volume leads to a greater interface volume, which indicates an increase in energy absorption due to the crack deflection and frictional fiber sliding; secondly, the W fiber is stronger and tougher than the W matrix, large amount of the W fiber contribute more to the toughness of the composites.

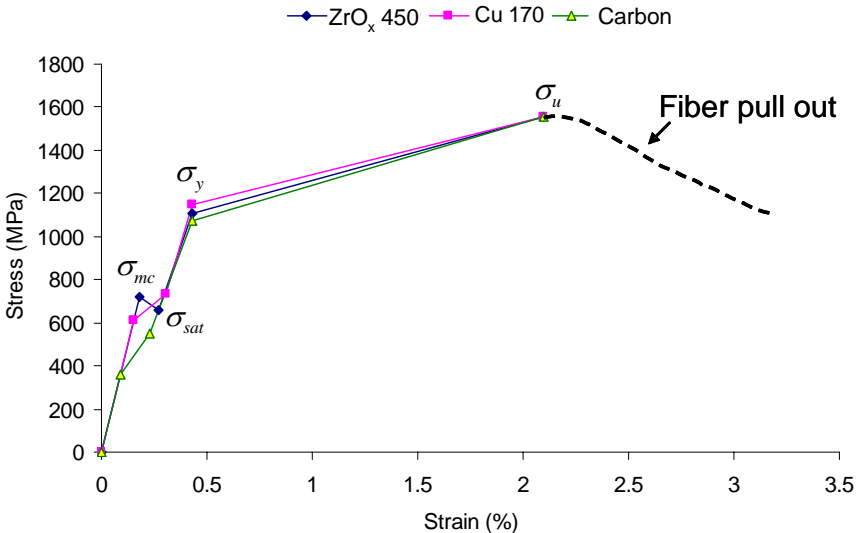


Figure 4.45. Stress-strain curves of  $W_f/W_m$  composites with different interfaces

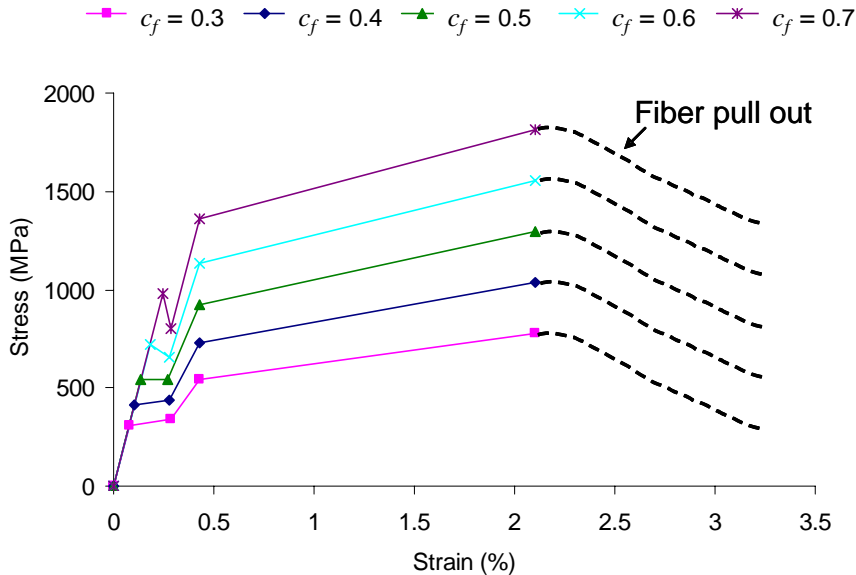


Figure 4.46. Stress-strain curves of  $W_f/W_m$  composites with different fiber volume fractions



## Chapter 5

### Discussion

#### 5.1 Microstructure and chemical composition of the interface

The microstructure and chemical composition analysis results show that all the interfaces were deposited with the desired chemical composition and distribution. The porosity was found in the  $ZrO_x$  interfaces and the W particles were present in the  $ErO_x$  interfaces. These expected weak interfaces provided the desired environment for the crack deflection. The multilayer interfaces ( $ZrO_x/Zr_m$ ,  $ZrO_x/W_m$ ,  $ErO_x/W_m$ ,  $Er/W_m$ , and  $Cu/W_m$ ), the ductile interfaces (Cu 170 and Cu 480), and the lubricating interface (C) were deposited with expected structures. Thus, in principle, all of the interfaces of  $W_f/W_m$  composite in this work can be fabricated desirable via magnetron sputter deposition.

#### 5.2 Push-out (back) curve

##### 5.2.1 Push-out curve and average energy absorption

No ‘partial debonding’ stage but a ‘load raise’ stage was observed in the push-out curves of  $W_f/W_m$  composites (see chapter 4.2). The catastrophic shear fracture behavior can be attributed to the thin-thickness effect of  $W_f/W_m$  composite specimens. In the first phase, the energy was stored due to the compliance of the indenter as well as the shear strain of the interface. When the load reached a critical value  $P_{max}$ , the cracks were initiated (possibly on both free surface edges) and rapidly propagated with the released strain energy, causing the dynamic push-out movement. The ‘load raise’ was a result mainly due to the interlocking of the debonded interfaces caused by a sudden termination of the fast fiber movement. In addition, the static friction force had to be overcome.

The average energy absorption  $\Delta$  is a comprehensive parameter indicating the energy consumption ability of an interface during the push-out process. The results of average energy absorption of the 14 interfaces are collected in Table 5.1. The  $ZrO_x$ -based interfaces (both oxide and multilayer interfaces) show a similar average energy absorption which is around  $20 \text{ kJ/m}^2$ . The  $ErO_x$ -based interfaces (both oxide and

## 5.2 Push-out (back) curve

multilayer interfaces) have an average energy absorption of 17 kJ/m<sup>2</sup>. The Cu interfaces (pure Cu and Cu/W m) contain lower average energy absorption ranges from 13-17 kJ/m<sup>2</sup>. The carbon interface has the lowest average energy absorption among all interfaces, which is less than 10 kJ/m<sup>2</sup>.

It can be concluded that the ZrO<sub>x</sub> coating lead to a high energy absorption in the push-out process due to its ceramic characteristic while the carbon coating caused a low energy absorption due to its lubricating property.

**Table 5.1.** Average energy absorption  $\Delta$  of each interface.

Interface	Average energy absorption $\Delta$ (kJ/m <sup>2</sup> )	Interface	Average energy absorption $\Delta$ (kJ/m <sup>2</sup> )
ZrO <sub>x</sub> 150	20.7	ZrO <sub>x</sub> /Zr m	18.3
ZrO <sub>x</sub> 450	23.0	ZrO <sub>x</sub> /W m	21.9
ZrO <sub>x</sub> 950	20.5	ErO <sub>x</sub> /W m	16.0
ZrO <sub>x</sub> &W 260	17.3	Er/W m	16.8
ErO <sub>x</sub> 600	15.6	Cu/W m	13.1
ErO <sub>x</sub> 1000	16.7	Cu 170	15.8
carbon	9.8	Cu 480	17.2

### 5.2.2 Push-back curve and average sliding stress

No obvious reseating drop was observed in the push-back curve of the W<sub>f</sub>/W<sub>m</sub> composites. In reference [146] it was reported that SiC fiber/Borosilicate glass composites have a reseating drop when the fiber moves to its original position, with a load decrease of more than 1 N and a reseating gap of 9.7  $\mu$ m during the push-back process; for monofilament SiC/Borosilicate composite processed by hot pressing sintering, reference [148] gives a load decrease of 1.5 N and a reseating gap of 15  $\mu$ m. Such reseating phenomena are mainly due to the roughness of the debonded interface. According to the push-back behavior of the W<sub>f</sub>/W<sub>m</sub> composites, it is believed that the friction caused by the roughness of the debonded interfaces is fairly small. Therefore, the friction during the sliding process is thought to be caused mainly by the Poisson effect as well as by damage on the contacting interfaces in the W<sub>f</sub>/W<sub>m</sub> composites.

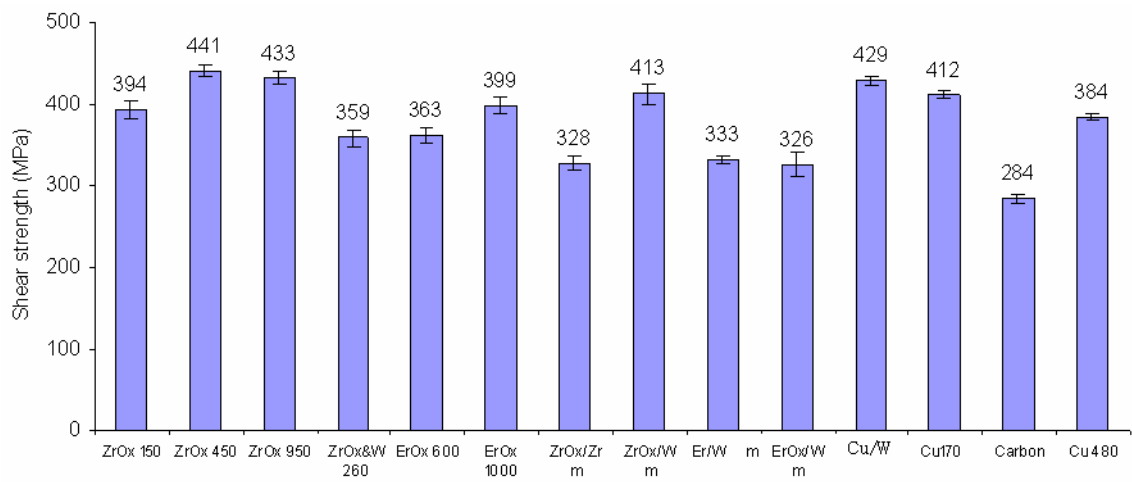
The results of the average sliding stress indicate that specimens with ZrO<sub>x</sub>&W 260, ZrO<sub>x</sub>/Zr m, and Er/W m interfaces have a similar average sliding stress. This fact indicates that these brittle interfaces have similar interfacial roughness during the sliding stage. The carbon interface specimen has the smallest sliding stress probably due to its

lubricating property. The Cu interfaces (Cu/W<sub>m</sub>, Cu 480) specimens have a moderate sliding stress due to the plastic flow of the Cu.

## 5.3 Interfacial parameters

### 5.3.1 Interfacial shear strength

Figure 5.1 shows the interfacial shear strength  $\tau_d$  of all the adopted interfaces.



**Figure 5.1.** Shear strength  $\tau_d$  of each interface

The average interfacial shear strength of these interfaces lies around 350 MPa. The highest shear strength was observed from the ZrO<sub>x</sub> 450 interface, with a value of 441 MPa. The other interfaces have comparable shear strengths with a spread of less than 10%. However, the carbon interface has the lowest shear strength with less than 300 MPa.

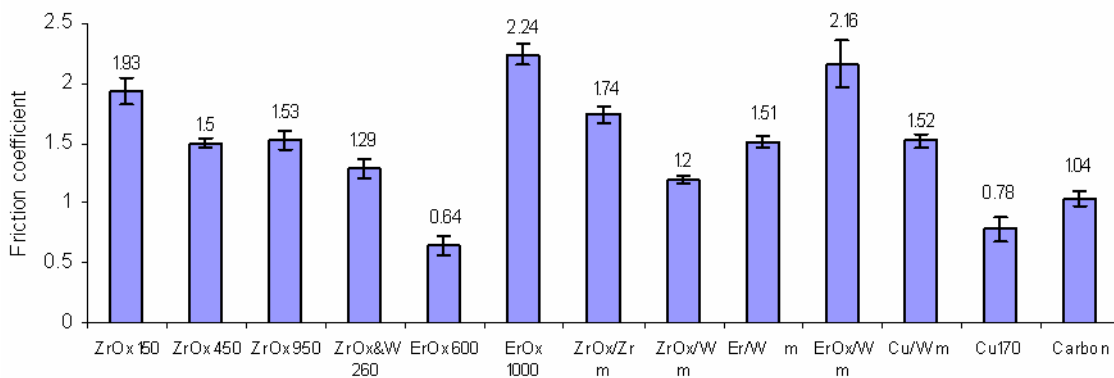
These values are fairly moderate compared to the interfaces of other fiber-reinforced composites, for example: carbon-coated-glass-fiber-reinforced cement composites (50 MPa) [128] or SiC-fiber reinforced titanium composites (500 MPa) [144].

### 5.3.2 Interfacial friction coefficient

Figure 5.2 shows a comparison of the interfacial friction coefficient values for the adopted interfaces. Most of the friction coefficients of the W<sub>f</sub>/W<sub>m</sub> composite interfaces are larger than 1, being much larger than the typical friction coefficient values (0.25~0.6) [138, 157]. The friction coefficient  $\mu$  is a dimensionless scalar value which describes the ratio of the drag force of friction between two contacting bodies and the normal force pressing them together. The coefficient of friction is an empirical quantity—it has to be

### 5.3 Interfacial parameters

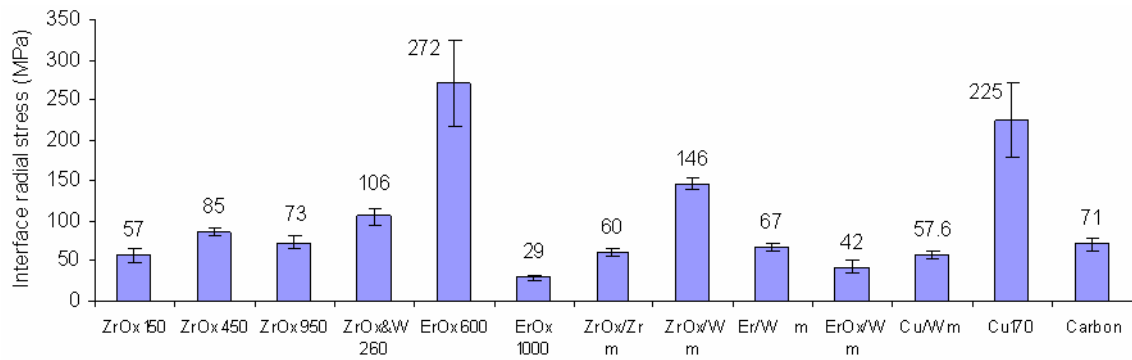
measured experimentally and cannot be found through calculations alone. Rougher surfaces tend to have higher effective values. Most dry materials in combination have friction coefficient values between 0.3 and 0.6. While in most relevant applications  $\mu < 1$ , a value above 1 merely implies that the force required to slide an object on a underlying surface is greater than the normal force applied to the object. However, when the contacting surfaces are conjoined, Coulomb friction becomes a very poor approximation (for example, adhesive tape resists sliding even when there is no normal force, or a negative normal force) [158]. In this case, the ‘frictional’ force may depend strongly on the area of contact as well as the contact interface conditions (interlocking particles and debris). Obviously, for  $W_f/W_m$  composite interfaces, the curve fitting results seem to indicate that the friction during the push-out process is of an adhesive type. The roughness and debris generated by the dislocation of the initially matched surfaces may have caused an adhesive type of friction leading to quite large friction coefficients.



**Figure 5.2.** Friction coefficient  $\mu$  of each interface

#### 5.3.3 Interface radial stress

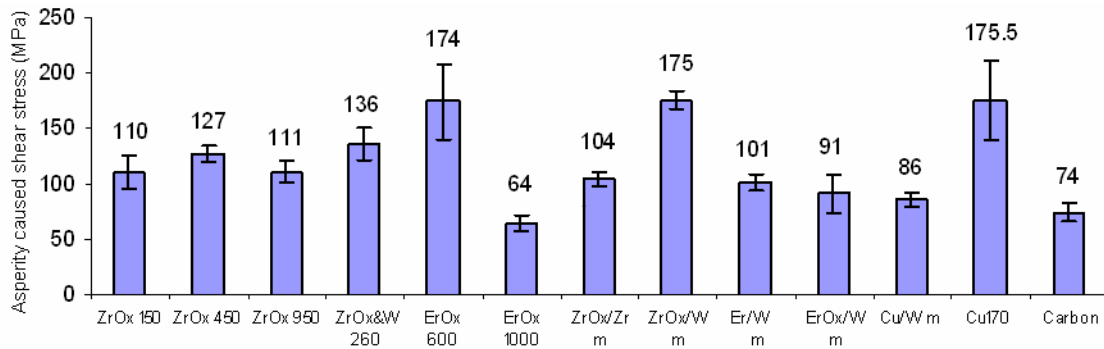
Since the thermal residual stress is neglected, the roughness caused interface radial stress  $\sigma_r$  is the unique radial stress in the  $W_f/W_m$  composites. Fig. 5.3 shows the comparison of  $\sigma_r$  for all the interfaces. In Fig. 5.3 the ErO<sub>x</sub> 600 (272 MPa) and Cu 170 (225 MPa) interfaces show a rather large  $\sigma_r$  compared to the other interfaces. The ZrO<sub>x</sub>&W 260 interface has the third largest one (146 MPa). Apart from these three interfaces, the other interfaces show interface radial stresses lower than 100 MPa.



**Figure 5.3.** Interface radial stress  $\sigma_R$  of each interface

### 5.3.4 Asperity caused shear stress

The asperity caused shear stress  $\tau_R$  was computed as the product of the interface radial stress  $\sigma_R$  and the friction coefficient  $\mu$ . Fig. 5.4 shows the comparison of  $\tau_R$  for the interfaces. The  $\tau_R$  results show a value distribution similar to that of the interface radial stress results. The ErO<sub>x</sub> 600, Cu 170, and ZrO<sub>x</sub>/W 260 interfaces had the greatest  $\tau_R$  values, 174 MPa, 175.5 MPa, and 175 MPa, respectively. All the other interfaces had a comparable  $\tau_R$  values ranging from 70 MPa to 130 MPa. Clearly, the gap between the largest and the smallest  $\tau_R$  is smaller than that of the interface radial stress  $\sigma_R$ .  $\tau_R$  is the critical interfacial parameter which is used for the mechanical property prediction of the composite with multiple fibers.



**Figure 5.4.** Asperity caused shear stress  $\tau_R$  of each interface

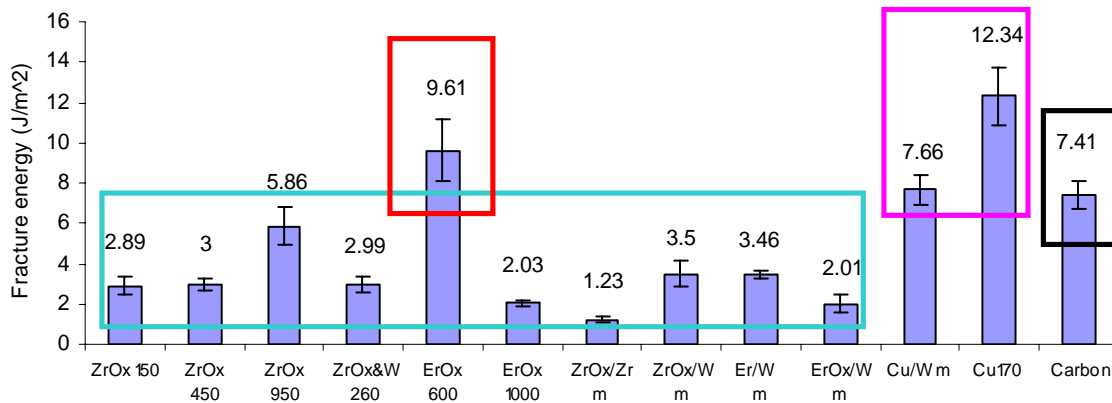
### 5.3.5 Interfacial fracture energy

Interfacial fracture energy  $\Gamma_i$  is one of the most important interfacial parameters controlling the interfacial debonding and crack deflection in a composite. Fig. 5.5 shows a comparison of the  $\Gamma_i$  for each interface. It can be seen that the Cu interfaces have a very high fracture energy. In particular, the Cu 170 interface has the highest fracture energy, 12.34 J/m<sup>2</sup>, while the Cu/W m interface has a fracture energy of 7.66 J/m<sup>2</sup>. On the



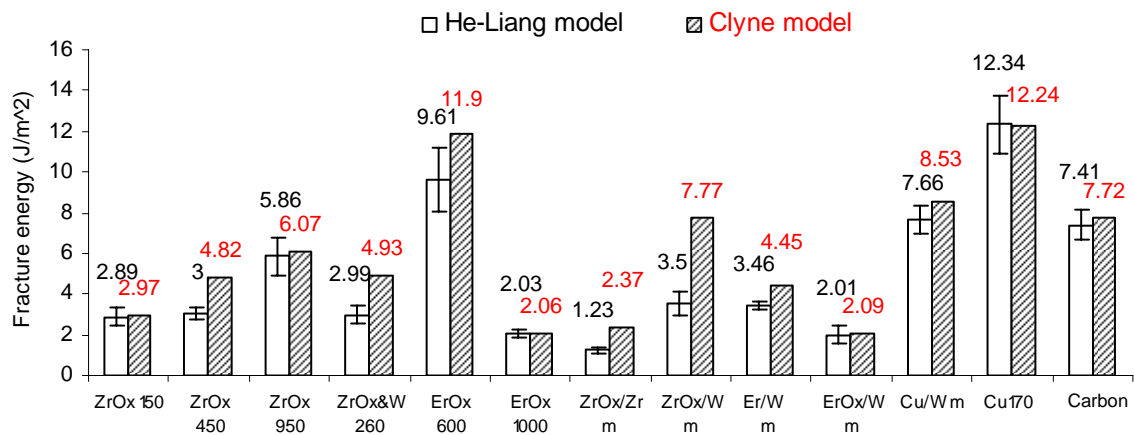
### 5.3 Interfacial parameters

other hand, the carbon interface also has a relatively high fracture energy:  $7.41 \text{ J/m}^2$ . The other brittle interfaces (oxide interfaces and the multilayer interfaces), show a very similar fracture energy which is around  $3 \text{ J/m}^2$ , while the  $\text{ErO}_x$  600 interface specimen is an exception: its fracture energy is  $9.61 \text{ J/m}^2$ . These results are in agreement with the results of the microstructure analysis of interfaces after the push-out test. The microstructure analysis results indicated that, the oxide interfaces and multilayer interfaces had typical brittle debonding during the push-out test, which contained debonding locations mainly lying either between the interface coatings and the fiber or between the matrix and the interface coatings. The brittle interfacial debonding led to the low fracture energy of the interfaces. The Cu interfaces had debonding locations in the Cu coating and strong plastic deformation of the Cu, which led to the high interfacial fracture energy. The layer denomination and fracture of the carbon coating led to the high interfacial fracture energy for the carbon interface.



**Figure 5.5.** Interfacial fracture energy  $\Gamma_i$  obtained by curve fitting of each interface based on Liang-Hutchinson's model

Alternatively, following Clyne [143],  $\Gamma_i$  values were calculated using Eq. (2.20). The results are shown in Fig. 5.6, together with the results from the curve fitting based on Liang-Hutchinson's model: the average value obtained from Clyne's model agrees quite well with the results from Liang-Hutchinson's model, which support the reliability of the results calibrated in this work.



**Figure 5.6.** Comparison of the interfacial fracture energy  $\Gamma_i$  obtained using different models

#### 5.4 Interfacial debonding realization—criteria verification

As discussed in chapter 2.1.2, the interfacial debonding is the prerequisite for realizing increased fracture toughness for a composite. Based on the interfacial fracture energy data obtained above, one can predict the interfacial debonding behavior of the  $W_f/W_m$  composites, regarding the fracture-mechanical criterion. The criterion states that the ratio of the interfacial fracture energy  $\Gamma_i$  to that of the fiber fracture energy  $\Gamma_f$  should be less than 0.25.

In Table 5.2, the fracture energy of each interface is compared with that of the W fiber and W matrix. The listed interfacial fracture energy was obtained by curve fitting using Liang-Hutchinson's model. From the data it can be inferred that the ratio of the interface fracture energy and the W fiber fracture energy lies between 0.003 and 0.034 satisfying the 0.25 criterion. On the other hand, all the interfaces have fracture energy much smaller than that of the W matrix, which satisfies the requirement of avoiding the crack deflecting back to the matrix as explained in chapter 2.1.2.3. These results suggest that all of these interfaces would most likely endow the  $W_f/W_m$  composite with pseudo-toughness by allowing the extending matrix cracks to deflect along the interfaces. It should be noted that the fracture energy of these interfaces are smaller than that of the fiber by a factor of 100. Indeed, some FCMC materials showing a high damage tolerance also have a very small interfacial fracture energy.

The fact that the interfacial debonding criterion was satisfied is major evidence for the feasibility of realizing an enhanced-toughness tungsten composite material based on the mechanism of FCMC toughening.

## 5.4 Interfacial debonding realization—criteria verification

**Table 5.2.** Fracture energies of the interfaces, the W fiber and the matrix, combined with their ratios.

Interfaces	Fracture energy $\Gamma_i$ (J/m <sup>2</sup> )	$\frac{\Gamma_i}{\Gamma_f}$ $\Gamma_f=360$ (J/m <sup>2</sup> )	$\frac{\Gamma_i}{\Gamma_m}$ $\Gamma_m=114$ (J/m <sup>2</sup> )
ZrO <sub>x</sub> 150	2.89	0.008	0.025
ZrO <sub>x</sub> 450	3	0.008	0.026
ZrO <sub>x</sub> 950	5.86	0.016	0.051
ZrO <sub>x</sub> &W 260	2.99	0.008	0.026
ErO <sub>x</sub> 600	9.61	0.026	0.084
ErO <sub>x</sub> 1000	2.03	0.005	0.017
ZrO <sub>x</sub> /Zr m	1.23	0.003	0.01
ZrO <sub>x</sub> /W m	3.5	0.01	0.03
Er/W m	3.45	0.01	0.03
ErO <sub>x</sub> /W m	2.01	0.005	0.017
Cu/W m	7.66	0.021	0.067
Cu 170	12.34	0.034	0.108
carbon	7.41	0.02	0.065

## 5.5 Crack deflection demonstration

The crack deflections were directly observed in specimens with an oxide interface (ZrO<sub>x</sub>&W 260), a multilayer interface (ZrO<sub>x</sub>/Zr m), and a Cu interface (Cu 480). Fiber sliding (pull-in) occurred in the ZrO<sub>x</sub>&W 260 interface and ZrO<sub>x</sub>/Zr m interface specimens. The Cu 480 interface specimen had a large strain in the fiber center while no fiber sliding was detected. This may be due to the large interfacial fracture energy of Cu 480 interface. The interfacial debonding started in the middle of the Cu 480 interface specimen, and propagated along the interface. Such debonding propagation consumed a great amount of energy due to the high interfacial fracture energy. Therefore, there was not enough energy left for driving the debonding through the entire half of the specimen, and eventually, for fiber dislocation at the end of specimen. Since the fiber had no chance to glide from its original location, the fiber had to be elongated when the tested specimen was bent. Thus, a large strain occurred in the middle of fiber.

The micrographs obtained by the in-situ imaging clearly reveal that the primary matrix crack was deflected along the interface leading to a controlled debonding at the interface while the fiber bridged the opening crack. This cracking feature coincides exactly with the typical toughening mechanism of a FCMC. This result may be direct evidence to support the approach to produce a high-toughness tungsten composite material.

### **5.6 Mechanical property of $W_f/W_m$ composite with multiple fibers**

The stress-strain curves of the  $W_f/W_m$  composites with multiple fibers (see Fig. 4.45)—as predicted based on the interfacial parameters obtained in this work—were typical for a toughened composite (see Fig. 2.4 a). The stress-strain curve for different fiber volume concentrations (see Fig. 4.46) indicated that the fracture toughness of the composites increases with the increase of the fiber volume. The stress-strain prediction results indicate the FCMCs toughening mechanism applies to the  $W_f/W_m$  composites with the engineered interfaces involved in this work, thus, support the possibility of fabricating a toughened tungsten utilizing the FCMC toughening mechanism.



## Chapter 6

### Conclusion

For the structural material used in the divertor of thermonuclear fusion reactors, a novel toughening method for tungsten was proposed based on the reinforcement of tungsten fibers ( $W_f/W_m$  composite) and engineered interfaces. The underlying toughening mechanism is analogous to that of a fiber-reinforced ceramic matrix composite (FCMC), which relies on energy dissipation by the controlled debonding and friction at the fiber/matrix interfaces. The goal of this thesis was to demonstrate the feasibility of producing a toughened  $W_f/W_m$  composite using the FCMC toughening mechanism. In order to optimize the interface between the W fiber and the W matrix to produce the energy dissipation, the main focus of this work lies in the investigation of the interfacial fracture behavior of  $W_f/W_m$  composites with various engineered interfaces.

The commercial tungsten wires (fibers) were selected as reinforcement; dense tungsten was chosen as the matrix. The employed interfaces contain: Oxide coatings ( $ZrO_x$  150,  $ZrO_x$  450,  $ZrO_x$  950,  $ZrO_x$ &W 260,  $ErO_x$  600, and  $ErO_x$  1000), multilayer coatings ( $ZrO_x/Zr$  m,  $ZrO_x/W$  m,  $ErO_x/W$  m,  $Er/W$  m, and  $Cu/W$  m), ductile coatings (Cu 170 and Cu 480), and a lubricating coating (C). They were deposited via magnetron sputter deposition, while the tungsten matrix was fabricated via chemical vapor deposition (CVD). Fiber push-out tests were conducted extensively on single-fiber  $W_f/W_m$  composites to determine the interfacial parameters by interpreting the experimental data with the theoretical models. Additionally, a miniaturized three-point bending (3PB) test was employed for the direct demonstration of the crack deflection phenomena when an interface was enduring a tensile load. Push-out tests were also conducted on the specimens after heat treatment (800 °C for 10 h) to explore the thermal stability of the interfaces. Microscopic analysis of the interface structures was carried out before and after the push-out tests by means of scanning electron microscope (SEM) equipped with focused ion beam (FIB) engraving the embedded interface domains. Energy dispersive x-ray spectroscopy (EDXS) and secondary ion mass spectrometry (SIMS) were used for clarifying the chemical compositions and distributions of the interface coatings.

## 6 Conclusion

In the following, the main results of this work are discussed.

### **Composite synthesis**

Commercial tungsten wires with diameters of 150  $\mu\text{m}$ ,  $>2.5$  MPa tensile strength, and  $>2.1\%$  failure strain were used as the fibers. The tungsten matrix, fabricated via CVD, had a density of  $19.3\pm 0.2$   $\text{g}/\text{m}^3$ . Various interface coatings were deposited via magnetron sputter deposition. The  $\text{ZrO}_x$  interfaces ( $\text{ZrO}_x$  150,  $\text{ZrO}_x$  450,  $\text{ZrO}_x$  950, and  $\text{ZrO}_x\&\text{W}$  260) were successfully deposited with few pores present in the coatings. The  $\text{ErO}_x$  interfaces ( $\text{ErO}_x$  600 and  $\text{ErO}_x$  1000) were deposited with the expected thicknesses with a small amount of W particles. The multilayer interfaces ( $\text{ZrO}_x/\text{Zr}$  m,  $\text{ZrO}_x/\text{W}$  m,  $\text{ErO}_x/\text{W}$  m,  $\text{Er}/\text{W}$  m, and  $\text{Cu}/\text{W}$  m), the ductile interfaces (Cu 170 and Cu 480), and the lubricating interface (C) were deposited with expected distributions and fewer defects. Thus, in principle, the single-fiber  $W_f/W_m$  composites can be fabricated well with the methods used in this work.

### **Push-out (back) curves**

The oxide interfaces and the multilayer interfaces had push-out curves with similar debonding characteristics, where no obvious progressive debonding was detected. The  $\text{Cu}/\text{W}$  m interface had similar push-out curve as the oxide ones, since the deformation of the Cu layer in the  $\text{Cu}/\text{W}$  m interface was strongly limited by the thickness restrictions of the Cu layers (100 nm). The Cu 480 and the Cu 170 interfaces had push-out curves indicating strong deformation of the Cu 480 layer and moderate deformation of the Cu 170 layer. The C interface showed similar debonding as with the oxide but had relatively low complete debonding and friction loads, indicating a low load bearing ability of the C coating deposited via magnetron sputtering. The  $\text{ZrO}_x$  coating led to a high energy absorption in push-out process due to its ceramic characteristic while the carbon coating caused a low energy absorption due to its lubricating property.

The push-back curves of the  $W_f/W_m$  composites showed no obvious reseating drop as described in literature for some other FCMCs, which indicates that the friction during the sliding process in the  $W_f/W_m$  composites was caused mainly by the Poisson effect as well as the damage on the contacting interfaces. The average sliding stresses of the debonded interfaces estimated according to the push-back curves showed that the brittle interfaces ( $\text{ZrO}_x\&\text{W}$  260,  $\text{ZrO}_x/\text{Zr}$  m, and  $\text{Er}/\text{W}$  m) had a similar average sliding stress being larger than 100 MPa; the C interface had the lowest average sliding stress of 68 MPa; and the Cu interfaces (Cu 480 and  $\text{Cu}/\text{W}$  m) had a moderate average sliding stress around of 90 MPa.

### Interfacial parameters analysis

The interfacial parameters were obtained by interpreting the push-out data with the theoretical models through curve fitting.

The results for the average interfacial shear strength  $\tau_d$  indicated that most of these interfaces had a shear strength around 350 MPa. The highest shear strength was observed from the ZrO<sub>x</sub> 450 interface, with a value of 441 MPa, while the other interfaces had comparable shear strengths with a spread of less than 10%. However, the carbon interface had the lowest shear strength with less than 300 MPa. These values are fairly moderate compared to the interfaces of other fiber-reinforced composites.

The interfacial friction coefficient  $\mu$  of different interfaces show that most of the friction coefficients of the interfaces of the W<sub>f</sub>/W<sub>m</sub> composites were extremely high (larger than 1), which may indicate that the friction during the push-out process was of an adhesive type caused by undulation and debris generated by the dislocation of the initially matched surfaces.

The results for the interface radial stress  $\sigma_r$  show that the ErO<sub>x</sub> 600 and Cu 170 interfaces had the largest two interface radial stresses, 272 MPa and 225 MPa, respectively. The ZrO<sub>x</sub>&W 260 interface had the third largest one (146 MPa). Apart from these three interfaces, the other interfaces exhibited radial stresses lower than 100 MPa.

The asperity caused shear stress  $\tau_r$  results show a value distribution similar to that of the interface radial stress results: The ErO<sub>x</sub> 600, Cu 170, and ZrO<sub>x</sub>/W 260 interfaces had the largest asperity caused shear stress, 174 MPa, 175.5 MPa, and 175 MPa, respectively. All of the other interfaces had comparable asperity caused shear stress ranging from 70 MPa to 130 MPa. Clearly, the gap between the largest and the smallest asperity caused shear stress is less than that of the interface radial stress.

The results for the fracture energy  $\Gamma_i$  indicate that the Cu based-interfaces had very high fracture energies, the Cu 170 interface with 12.34 J/m<sup>2</sup>, and the Cu/W m interface with 7.66 J/m<sup>2</sup>; the carbon interface also had a relatively high fracture energy: 7.41 J/m<sup>2</sup>; the other brittle interfaces (the oxide and multilayer interfaces) showed very similar fracture energies around 3 J/m<sup>2</sup>.

### Interfacial debonding location identification

Interfacial debonding locations identified via SEM equipped with FIB indicated that the brittle interfaces (the oxide interfaces and the multilayer interfaces) contained debonding locations mainly lying either between the interface coatings and the fiber or between the matrix and the interface coatings, while the debonding locations in the Cu interfaces and the carbon interface were present in the interface coatings. Those results are in accordance with the fracture energy calibration results.



## 6 Conclusion

### **Crack deflection verification**

The estimated ratios of the interface fracture energies and the W fiber fracture energy lie between 0.003 and 0.034, which satisfy the interfacial debonding criterion. Additionally, the interface fracture energies were much smaller than that of the W matrix thus satisfying the criterion of avoiding the crack deflecting back to the matrix. Those results suggest that all of the considered interfaces would most likely endow the  $W_f/W_m$  composite with pseudo-toughness by allowing the extending cracks to deflect along the interfaces.

### **Direct demonstration of interfacial crack deflection**

Interfacial crack deflections were observed directly in the  $ZrO_x$ &W 260 interface, the  $ZrO_x/Zr$  m interface, and the Cu 480 interface specimens via a miniaturized 3PB test. Significant fiber sliding (fiber pull-in) was found in the specimens with  $ZrO_x$ &W 260 and  $ZrO_x/Zr$  m interfaces. A large strain was found in the middle region of the fiber in the Cu 480 interface specimen, however, no fiber pull-in was observed.

This cracking feature coincided exactly with the typical toughened FCMC, which can be used as direct evidence to support our approach in the realization of a high-toughness tungsten composite material.

### **Interfaces thermal stabilities**

The interfacial parameters obtained from the specimens after heat treatment (800 °C for 10 h) show that the oxide interfaces and multilayer interfaces had good thermal stability in terms of the interfacial fracture behavior, while the interfacial fracture behavior of the Cu/W m and the carbon interfaces were strongly affected by the heat treatment due to grain growth and phase change, respectively.

### **Mechanical property prediction of the $W_f/W_m$ composites with multiple fibers**

The stress-strain curves of the  $W_f/W_m$  composites with multiple fibers—as predicted based on the interfacial parameters obtained in this work—were typical for a toughened composite. This indicates the FCMCs toughening mechanism applies to the  $W_f/W_m$  composites with the engineered interfaces involved in this work, thus, supports the possibility of fabricating a toughened tungsten utilizing the FCMCs toughening mechanism.

**Concluding remarks**

Enhancing the properties of tungsten materials for their practical use in the divertor of thermonuclear fusion reactors is very challenging. There is an urgent need to explore new toughening mechanisms which function effectively even under neutron irradiation and in the embrittling temperature regime.

In this work, a novel toughening method for tungsten, which retains toughness even under embrittlement conditions, was proposed and developed based on the reinforcement of tungsten fibers ( $W_f/W_m$  composite) and engineered interfaces. This work opened a new pathway to improve the toughness of tungsten as a structural material.

Technologies such as the magnetron sputtering, and CVD are well-developed and suitable for the synthesis of  $W_f/W_m$  composites. The satisfying development, characterization, implementation, and mechanical testing of the single-fiber  $W_f/W_m$  composites demonstrate that the manufacturing of a toughened tungsten structural material by utilizing the FCMCs toughening mechanism for the divertor of thermonuclear fusion reactors is feasible.



# List of publications and conference contributions

## Publications

1. J. Du, T. Höschen , M. Rasinski , S. Wurster , W. Grosinger , J.-H. You. Feasibility study of a tungsten wire-reinforced tungsten matrix composite with  $ZrO_x$  interfacial coatings. *Composites Science and Technology* , 70: 1482–1489, 2010.
2. J. Du, T. Höschen, M. Rasinski, J.-H. You. Interfacial fracture behavior of tungsten wire/tungsten matrix composites with copper-coated interfaces. *Materials Science and Engineering A*, 527: 1623–1629, 2010.
3. J. Du, T. Höschen, M. Rasinski, J.-H. You. Fracture Behavior of Engineered Fiber/Matrix Interfaces in Fibrous Tungsten-Tungsten Composites. *Journal of nuclear materials (14th International Conference on Fusion Reactor Materials, Sapporo, Japan)*.

## Oral conference presentation

J. Du, T. Höschen, M. Rasinski, J.-H. You, A. Brendel, F. Koch, G. Matern, Fracture Behavior of  $ZrO_x$ -based interfaces in Fibrous Tungsten/Tungsten Composites. *European Congress on Advanced Materials and Processes*, 7-10 September 2009, Glasgow, United Kingdom.

## Poster presentation

J. Du, T. Höschen, M. Rasinski, J.-H. You. Fracture Behavior of Engineered Fiber/Matrix Interfaces in Fibrous Tungsten-Tungsten Composites. *14th International Conference on Fusion Reactor Materials*, 7-12, September, 2009, Sapporo, Japan.



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## Appendix

This section serves to state the theory and define the terminology employed in the following. A more in depth coverage of the theory is provided by e.g.[1,2]. In Bayesian probability theory (BPT), the viability of a hypothesis  $H$  (e.g. parameter values) is assessed by calculating the probability of the hypothesis given the observed data  $D$  and any background information  $I$ . Following Jeffreys [3] we write such a probability as  $P(H | D, I)$ . The BPT rests on two rules [4] for manipulating conditional probabilities. The sum rule states that the probabilities of a proposition  $H$  and the proposition that  $H$  is false (signified by  $\bar{H}$ ) add up to unity:

$$P(H | I) + P(\bar{H} | I) = 1 \quad (\text{A-1})$$

Throughout this work, we will be concerned with exclusive and exhaustive hypotheses, so that if one particular hypothesis is true, all the others are false. For such hypotheses the normalization rule

$$\sum_i P(H_i | I) = 1 \quad (\text{A-2})$$

holds. The second rule is the product rule which states that a joint probability or probability density function  $P(H | D, I)$  can be factorized such that one of the propositions becomes part of the condition (i.e. moves right of the vertical bar). Due to the symmetry with respect to  $H$  and  $D$ , this can be done in two ways:

$$P(H | D, I) = P(H | I)P(D | H, I) = P(D | I)P(H | D, I) \quad (\text{A-3})$$

Comparison of the two equivalent decompositions in (A-3) yields Bayes' theorem

$$P(H | D, I) = \frac{P(H | I)P(D | H, I)}{P(D | I)} \quad (\text{A-4})$$

Bayes' theorem relates the likelihood  $P(D | H, I)$  to the posterior probability  $P(H | D, I)$ . The posterior probability distributions provide the full description of our state of knowledge about  $H$ . It is often necessary to summarize the distribution in terms of a few numbers. The most common description is given by the mean value of the posterior. Other possible choices are the position of the most probable value of the posterior (also termed maximum a posteriori (MAP) estimate) or the median of the distribution. For a symmetric distribution the mean value and the median coincide. All of those numbers may be strongly misleading in the case of skew or multimodal distributions. Eq.(A-4) reveals also that the maximum-likelihood (ML) estimate is

## Appendix

usually different from the posterior estimate except for the special case of a constant prior. The maximum-likelihood estimate obtained by maximizing the likelihood function is often mistaken as the most probable estimate given the data. This is not so: The obtained hypothesis is the one that would make the observed data most probable. This is logically quite different. The quantity that is required (the most probable estimate given the data) is instead given by the posterior probability  $P(H|D,I)$ . It is related to the likelihood function through the prior probability  $P(H|I)$ . From a different point of view Bayes' theorem is a recipe for learning. Initially available prior knowledge about the hypothesis  $H$  coded in the distribution  $P(H|I)$  is modified by the new information provided by the measured data  $D$  to its posterior distribution  $P(H|D,I)$ . The last quantity to be explained in Eq. (A-4) is  $P(D|I)$ . It follows from the marginalization rule which is itself a consequence of the sum and product rule. The extremely important marginalization rule tells how to remove an 'unwanted' nuisance variable from a Bayesian calculation and can be considered as a generalized formula for error propagation:

$$P(D|M,I) = \sum_I P(H_i|M,I)P(D|H_i,M,I) \quad (\text{A-5})$$

Here we have split off the model  $M$  which specifies the bunch of hypotheses  $H_i$  we are considering from the general background information  $I$ . That is, the denominator of Bayes' theorem, which does not depend on  $H$  plays the role of a normalization constant. An additional significance of the evidence derived from Eq.(A-5) is the probability of the data averaged over all hypotheses in the class specified by  $M$ . Therefore the evidence is of vital importance for model comparison but is not considered in the following.

To employ the Bayesian analysis a physical model (functional relationship) is needed, relating the parameters to be determined with the data.

For the curve fitting of Eq. (2.8), the functional relationship is given by

$$P_A(h_i) = f(h_i; \underline{\theta}_A, I) + \eta_A = 471 * \theta_1 \tanh(\theta_2 * h_i) + \eta_A \quad (\text{A-6})$$

where  $P_A(h_i)$  is the recorded complete debonding load for the specimen thickness of  $h_i$ , and the parameters of this model  $\underline{\theta}_A = \{\theta_1, \theta_2\}$  are  $\theta_2$  the shear-lag parameter and  $\theta_1$  the product of interfacial shear strength (MPa) divided by  $\theta_2$ . The measurement noise  $\eta_A$  for the data points  $P_A(h_i)$   $i = 1, 2, 3 \dots N_{\text{data}}$  (typically the number of tested specimens) is assumed to be Gaussian in with mean zero and standard deviation  $\sigma_i$  for all cases in this work.

For the curve fitting of Eq. (2.16), the functional relationship is given by

$$P_B(l_i) = f(l_i; \underline{\theta}_B, I) + \eta_B = 0.129 * \theta_3 * [\exp(3.64 * \theta_4 * l_i) - 1] + \eta_B \quad (\text{A-7})$$

where  $P_B(l_i)$  is the friction load for the sliding length  $l_i$ , and the parameters of this model  $\underline{\theta}_B = \{\theta_3, \theta_4\}$  are  $\theta_3$  the interface radial stress and  $\theta_4$  the friction coefficient.

For the curve fitting of Eq. (2.18), the functional relationship is given by

$$P_C(h_i) = f(h_i; \underline{\theta}_C, I) + \eta_c = 146 * \sqrt{\theta_5} * \exp(3.64 * \theta_4 * h_i) - \frac{\theta_3}{0.1365} [\exp(3.64 * \theta_4 * h_i) - 1] + \eta_c \quad (\text{A-8})$$

where  $P_C(h_i)$  is the peak-applied stress with specimen thickness of  $h_i$ , and the parameters of this model  $\underline{\theta}_C = \{\theta_3, \theta_4, \theta_5\}$  are  $\theta_3$  the interface radial stress,  $\theta_4$  the friction coefficient, and  $\theta_5$  the interfacial fracture energy. In this work Eqs. (A-7) and (A-8) were **jointly** estimated.

The distribution of the noise  $\eta_i$  is assumed to be Gaussian, thus the generic likelihood function for all three cases is given by

$$P(\underline{d} | \underline{f}, I) = \frac{1}{\prod_{i=1}^{N_d} \sqrt{2\pi\sigma_i^2}} * \exp \left[ -\frac{1}{2} \sum_{i=1}^{N_d} \frac{(d_i - f(x_i; \underline{\theta}, I))^2}{\sigma_i^2} \right] \quad (\text{A-9})$$

The prior distributions for the parameter vector is taken as flat  $p(\underline{\theta} | I) = \text{const.}$  within physical sensible bounds. The posterior distribution is sampled using rejection Monte Carlo, thus ensuring independent samples. Summarizing quantities like mean and variance of the parameters can then be easily derived using the standard equations e.g.

$$\langle \underline{\theta} \rangle \approx \frac{1}{N} \sum_{i=1}^N \underline{\theta}_i \quad (\text{A-10})$$

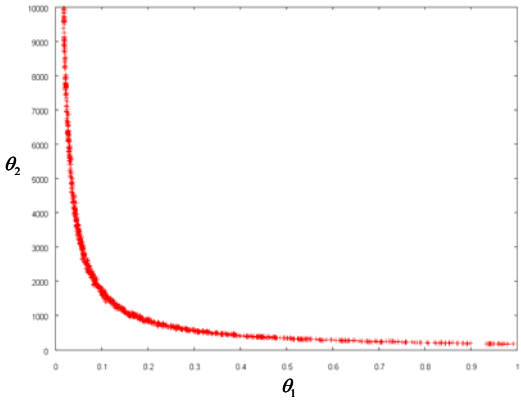
although in this nonlinear case the full posterior distribution is much more informative (e.g. Fig. A-1 for  $\theta_1$  and  $\theta_2$  while Fig. A-2 for  $\theta_3$ ,  $\theta_4$  and  $\theta_5$ ). It should be pointed out that predictive computations are feasible, based on the computed posterior sample. In Fig. A-1, although the error bar of  $\theta_1$  and  $\theta_2$  are extremely large, the product of them—interfacial shear strength  $\tau_d$ , which is the interested parameter for this work—can be estimated with high precision.

For further details referred to in the computation codes one may consult **Dr. Toussaint** ([udo.v.toussaint@ipp.mpg.de](mailto:udo.v.toussaint@ipp.mpg.de))

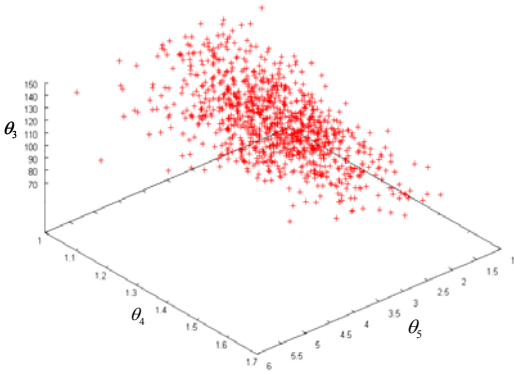
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Appendix



**Figure A-1.** Full posterior distribution of  $\theta_1$  and  $\theta_2$  for the  $ZrO_x$ &W 260 interface



**Figure A-2.** Full posterior distribution of  $\theta_3$ ,  $\theta_4$  and  $\theta_5$  for the  $ZrO_x$ &W 260 interface

## Acknowledgments

First and foremost, I would like to thank **Prof. Dr.-Ing. Dr.-Eng. Harad Bolt** for providing me the opportunity to pursue my dissertation at IPP, and guiding me through the entire process; as well as **Prof. Dr. E. Werner** and **Prof. Dr. H. Baier** for allowing me to pursue my doctorate degree at the Maschinenwesen department of TU München. I am grateful to my supervisor, **Dr. Jeong-Ha You**, for his guidance and encouragement during the past three years. Another Thank You goes to **Dr. Joachim Roth** for his oversight and approval in all purchases and conference related matters. A heart-felt thanks to **Dr. Christian Linsmeier** for giving me the direction when I was depressed with writing my thesis, and to **Dr. Hans Maier** for his critical reading of the chapters and relevant discussions. I owe my gratitude to **Dr. Karl Ertl** for his kind concern and assistance for my work in MF.

Special thanks to **Till Höschen** for all of his technical assistance and patient guidance, as well as his encouragement. My gratitude goes to **Freimut Koch** for his support with the magnetron sputtering process and to **Calvin Prentice** for providing me with the CVD process. Hearty thanks goes to **Dr. Annegret Brendel** for her revision of my thesis and patient guidance with the nano-indenter device. I am indebted to my many colleagues for supporting me during the interface investigation. **Marcin Rasinski**, **Stefan Lindig**, and **Dr. Martin Balden** helped me with the microstructure analysis at the SEM/FIB device. **Dr. Carmen Höschen** helped me with the SIMS analysis. **Dr. Christoph Adelhelm** helped me with the XRD analysis, and **Gabriele Matern** helped me with the specimen preparation as well as the SEM analysis. I am obliged to **Dr. Udo von Toussaint** for leading me through the curve fitting procedure of the interfacial parameters calibration. To **Stefan Wurster** for providing me with the 3PB test and his elaborate interpretation.

Many thanks to the division secretaries, **Anne Eggeling** and **Monika Mezger**, for help with regard many non-scientific parts of my life which would otherwise have been very difficult to handle for someone who had come to Germany the first time.

I am grateful to my best friend and colleague **Beata Tyburska**, for unreservedly supporting, inspiring, and encouraging me in both my work and daily life during my stay in Germany. I would like to express my deepest appreciation to **Dr. Moritz J. Püschel** for his invaluable support in revising the English of my thesis. I would like to thank **Johann Riesch** for his support with the German abstract. Among the many who deserve to be acknowledged are **Dr. Kazuyoshi Sugiyama**, **Dr. Verena Paffenholz**, **Dr. Aurelia Herrmann**, **Joachim Dorner**, **Michael Fußeder**, and **Arno Weghorn** for their assistance and friendship during my stay at IPP.

## Acknowledgments

Thank you, fellow PhD students, **Weizhi Yao**, and **Martin Köppen** for your friendship and help. Thank you to my neighbors **Itxaso**, **Inma**, **Marcin**, **Yury**, **Sergio**, **Alex** for making my stay here so full of new cultures, languages, and cuisines.

I am grateful to the Chinese Scholarship Council (CSC) for their financial support.

Last but not least, I would like to thank Shaoning for supporting me always and of course my family for their support and belief throughout my everlasting schooling process. Without them none of this would have been possible.