Study of a scalable large-area radio-frequency helicon plasma source

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Abstract. A large-area planar spiral antenna has been installed on the linear laboratory device VINETA. For low radio-frequency (RF) powers the induction coil is operated in the inductive discharge mode with typical plasma densities of $n \approx 1 \cdot 10^{16} \, \mathrm{m}^{-3}$ and electron temperatures of $T_e \approx 5 \, \mathrm{eV}$. At higher RF powers a transition to the helicon wave sustained discharge mode is observed, in which the density increases by a factor of 200 and T_e drops by a factor of 2. Detailed measurements of the helicon wavefield show that a helicon wave with azimuthal modenumber m=0 is launched. Due to the larger wave excitation region of the m=0 discharges the width of the plasma density profile in the helicon mode increases by a factor of 1.5 compared the standard VINETA helicon operation with a helical m=+1 antenna.

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1. Introduction

Radio-frequency (RF) discharges are commonly used for plasma production in industrial applications [1, 2] and laboratory devices [3, 4, 5] at low RF power $(P_{RF} < 5 \,\mathrm{kW})$. In high RF power operation $(P_{RF} \approx 150 \,\mathrm{kW})$ they have become attractive, e.g. as negative ion sources for the neutral beam injection heating of magnetic fusion devices [6] or for space craft propulsion using plasma thrusters [7]. Depending on the antenna geometry, boundary conditions and operational constraints, RF sources can be operated in either capacitive, inductive or helicon wave sustained discharge modes [8], which gives access to a broad parameter range for plasma density, temperature and neutral gas pressure. Especially helicon wave sustained discharges attracted much interest since their discovery [9, 10] by Boswell in the mid 1980's because plasma densities up to $10^{19}\,\mathrm{m}^{-3}$ can easily be achieved with $2-3\,\mathrm{kW}$ of RF power. In cylindrical geometry, helicon waves develop an eigenmode structure. In order to excite helicon modes with azimuthal modenumber m=0 or m=1 specific antenna geometries have been developed [11, 12]. The helicon wave excitation region is controlled by the plasma geometry, i.e. the radial width of the plasma density profile. The latter is given by the specific antenna geometry. Conventional helicon sources mostly use a right-handed m = +1 helical antenna with a fixed radius. As a result the radial shape of the plasma density profile cannot be changed during operation without facing technical difficulties. In order to overcome these obstacles a planar spiral antenna is used for plasma production. Given by it's geometry, the spiral antenna preferably excites a m=0 helicon mode for high RF powers, while at lower power the discharge is mainly inductive. Detailed investigations of plasma production using a m=0 helicon antenna have been carried out by Shinohara and co-workers, e.g. about the spatial helicon wave structure and dispersion [3] and the influence of the magnetic field configuration and antenna field pattern on the radial density profile [13]. On the VINETA device, which normally uses a conventional m=+1 antenna (diameter of 10 cm) [14], such a planar induction antenna with a diameter of 20 cm has been recently installed in order two address two key issues. First, to produce homogeneous density profiles at reduced Coulomb collisional using the inductive discharge mode. This issue is important for the study of various dynamical plasma processes, which are strongly affected by collisional damping. Secondly, to increase the plasma radius compared to the VINETA standard configuration in the helicon discharge mode. Power connections at different radial positions of the antenna allow one to control the helicon wave absorption location.

This paper is organized as follows: In Sec. 2 the experimental and diagnostic setup are described. Two-dimensional plasma parameter profiles in the inductive mode are discussed in Sec. 3. The transition from the inductive to the helicon wave sustained mode is described in Sec. 4, as well as the characteristics of the helicon wave. The results are summarized in Sec. 5.

2. Experimental setup and discharge characteristics

The experiments were conducted in the magnetized linear plasma device VINETA [4]. A schematic drawing is shown in Fig. 1. The device consists of four identical modules and has a total length of $4.5 \,\mathrm{m}$. The cylindrical stainless steel vacuum vessel has a diameter of $0.4 \,\mathrm{m}$ and is immersed in a set of $36 \,\mathrm{magnetic}$ field coils, providing a homogeneous magnetic field B_0 up to $100 \,\mathrm{mT}$. In the standard

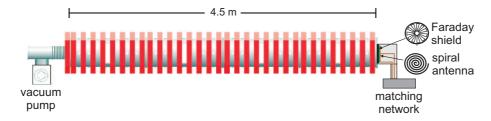


Figure 1. Schematic diagram of the VINETA device using the spiral antenna as plasma source.

configuration, the plasma is produced using a helical m = +1 antenna [15] at the one end of the device. The antenna is placed around a cylindrical glass vacuum extension ($d = 0.1 \,\mathrm{m}, \, l = 0.5 \,\mathrm{m}$) and is connected to a RF-source ($f_{RF} = 13.56 \,\mathrm{MHz}$, $P \leq 4 \,\mathrm{kW}$) via a L-matching circuit [16]. The measurements presented here are made with Argon as working gas. Time-averaged plasma parameters are measured with Langmuir probes compensated against RF potential fluctuations [17]. Plasma density, electron temperature and plasma potential are extracted from the probe characteristics using a kinetic probe theory that takes into account the ambient magnetic field B_0 [18]. For the high density (helicon) discharges, a 160 GHz-interferometer is used to crosscalibrate the plasma density as obtained from the probe characteristics. Magnetic fluctuations of the RF field are measured using magnetic induction resp. \dot{B} -probes [19]. Our \dot{B} -probe consists of a loop antenna with four windings of 4 mm diameter, that are insulated from the plasma by a thin ceramic layer. For the measurements reported here the surface normal of the loop antenna is aligned parallel to the symmetry axis of the cylindrical device. B-probes are known to react sensitively on RF-potential fluctuations. In order to reduce this capacitive pickup [20] they are manufactured using center-tapped transformers. This has been found to be the proper rejection method for VINETA plasma conditions [19]. B- and Langmuir probes are mounted on probe positioning systems, that move the probe computer controlled in the radialaxial plane.

Typical plasma parameters of the routine helicon discharges are compiled in Table 1. As typical for helicon discharges, a rather dense plasma with plasma densities up to $2 \cdot 10^{19} \, \mathrm{m}^{-3}$ at low electron temperatures $T_e \leq 3 \, \mathrm{eV}$ is produced (the ions are cold with $T_i \approx 0.2 \, \mathrm{eV}$). The high plasma densities lead to a fairly high (normalized) plasma pressure $\beta \approx 1 \, \%$ and, together with low electron temperatures, to high Coulomb collision frequencies which dominate over collisions with neutrals in the

parameter	standard helicon $(m = +1)$	spiral ICP	unit
RF power	1	0.6	kW
Argon neutral gas pressure p_0	0.4	0.4	Pa
plasma density n	5	0.02	$10^{18}{\rm m}^{-3}$
electron temperature T_e	2.5	5	eV
Coulomb collision frequency ν_{ei}	37	0.04	$10^6 \mathrm{s}^{-1}$
electron-neutral collision frequency ν_{cr}	3	10	$10^6 \mathrm{s}^{-1}$

Table 1. VINETA plasma parameters.

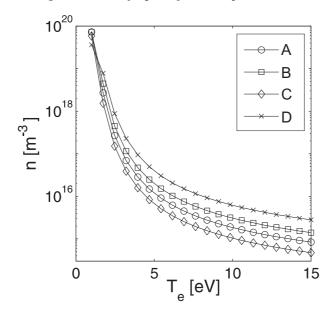


Figure 2. Result of the power balance calculation for different Argon neutral gas pressures p0, input powers P_{RF} and plasma radii r_p : (A) $p_0=0.4\,\mathrm{Pa},\ P_{RF}=600\,\mathrm{W},\ r_p=60\,\mathrm{mm}$ (B) $p_0=0.4\,\mathrm{Pa},\ P_{RF}=1000\,\mathrm{W},\ r_p=60\,\mathrm{mm}$ (C) $p_0=0.4\,\mathrm{Pa},\ P_{RF}=600\,\mathrm{W},\ r_p=80\,\mathrm{mm}$, (D) $p_0=0.2\,\mathrm{Pa},\ P_{RF}=1000\,\mathrm{W},\ r_p=60\,\mathrm{mm}$.

plasma center. The plasma density profile of the helicon plasma has a typical 1/efolding length of $r_p \approx 40 \, \mathrm{mm}$ (gradient length $L_{\perp} = n/\nabla n \approx 1 \, \mathrm{cm}$). For lower RF input powers the helical antenna can be operated either in capacitive or inductive mode [14], but these discharge modes have certain drawbacks, i.e., the capacitive mode is characterized by a hollow density profile and the inductive mode is only established at higher neutral gas pressures, which increases the neutral collision rate. To produce plasmas with homogeneous density profile at low collisionalities, the helical m = +1antenna was replaced by a large-area spiral antenna [21]. This planar induction coil has five turns with an outer radius of $r_a = 10 \,\mathrm{cm}$ (Fig. 1) and is separated from the plasma by a quartz window. Similar to the helical antenna the planar spiral antenna can be operated in different modes [4]. If the absorbed RF-power is small, capacitive power coupling (E-mode) dominates, while for higher RF-powers inductive coupling (H-mode) becomes accessible [22, 23]. The E-H-transition occurs if the skin depth $\delta \sim 1/\sqrt{n}$ is close to the lateral dimension of the plasma. Since the inductance of the antenna is not perfect, capacitive coupling can still contribute, also at moderate RF-power. In order to reduce the capacitive coupling, which may lead to bi-Maxwellian electron energy distribution functions (EEDF) due to the trapping of low-energy electrons [24], a spoked grounded Faraday shield was placed between the quartz window and the induction coil [25]. It attenuates the RF electric field caused by the large antenna potential while the magnetic fields can freely penetrate into the plasma. The typical plasma parameters in the spiral ICP discharges are shown for comparison in Table 1 as well. The plasma density $(n \approx 10^{16} \, \mathrm{m}^{-3})$ is significantly lower if compared to the routine helicon operation, while the electron temperature

is a factor of two higher with $T_e \approx 5 \, \text{eV}$. As a consequence, the Coulomb collision frequency ν_{ei} decreases by a factor of 100 and the electron-neutral collision frequency ν_{en} increases by a factor of 3.

The drop in the plasma density can be understood considering balance of particle and energy fluxes. Taking the main energy loss channels into account (elastic and inelastic collisions between electrons and ions, parallel losses and ionization) an expression for the plasma density as a function of the electron temperature can be derived from the rate equations [26]. The result is shown in Fig. 2 for different values for RF-power R_{rf} , Argon neutral gas pressure p_0 , and plasma radius r_p . As a universal feature, the plasma density decreases for higher electron temperatures. This is caused by the power transport that is determined by the convective transport losses $3/2T_e\Gamma_n = 3/2T_eV \langle \sigma_{ion}v\rangle nn_0$, in which the ionization rate $\langle \sigma_{ion}v\rangle$ depends on T_e and n. Here, V denotes the plasma volume, n_0 the neutral density and Γ_n the particle flux. For a given electron temperature and a specific working gas, the plasma density can be increased by lowering the neutral gas pressure. Taking the electron temperatures and RF input power from Table 1 as a reference value, one estimates from the power balance a plasma density of $n \approx 10^{18} \, \mathrm{m}^{-3}$ for the standard helicon mode and $n \approx 10^{16} \, \mathrm{m}^{-3}$ for the spiral ICP discharges, which agree well with measurements discussed in the next section.

The measured component of the magnetic field $B_z(r,z)$ in the radial-axial plane

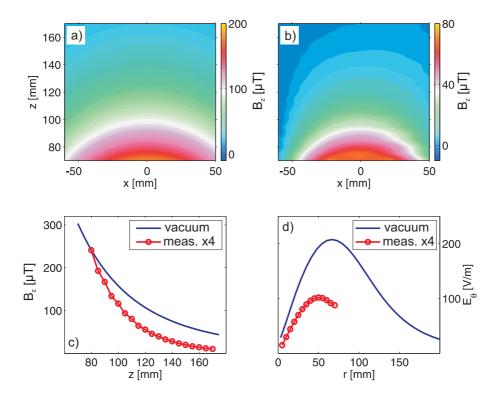


Figure 3. Calculated (a) and measured (b) magnetic field $B_z(r,z)$ in the radial-axial plane (c) and on the central axis x=0 (c). The antenna is located at z=0. (d) The resulting induced azimuthal eletric field $E_\theta(r,z)$ at z=80 mm.

(r,z) as created by the spiral antenna is shown in Fig. 3(b). For comparison the calculated vacuum magnetic field of the antenna is shown in Fig. 3(a) for an antenna current of I=15 A. The position z=0 corresponds to the antenna position. Since the electron plasma frequency $\omega_{p,e}\sim \sqrt{n}$ is larger than the RF-frequency there is a cutoff layer in the plasma. A much stronger damping of B_z in the case with plasma is seen in Fig. 3c that shows the amplitude of $B_z(r=0,z)$ on the central axis. The measured exponential decay length decreases from 50 mm for the vacuum case to 27 mm with plasma. The latter value is close to the collisionless skin depth $\delta_p=c/\omega_{pe}=37$ mm $(\nu_{en}\ll\omega)$, estimated for the typical plasma density $n\approx 2\cdot 10^{16}\,\mathrm{m}^{-3}$ (cf. 4(a)) of the spiral ICP discharges. The RF-power absorption is determined by the induced azimuthal electric field component $E_\theta(r,z)$, via

$$\langle dP_{RF}/dV \rangle = \sigma \langle E_{\theta}^2 \rangle$$
,

where σ is the plasma conductivity. Using Faraday's law and assuming cylindrical symmetry the azimuthal electric field $E_{\theta}(r,z)$ is given by

$$E_{\theta}(r,z) = \frac{\omega}{r} \int_0^r r' B_z(r',z) dr'.$$

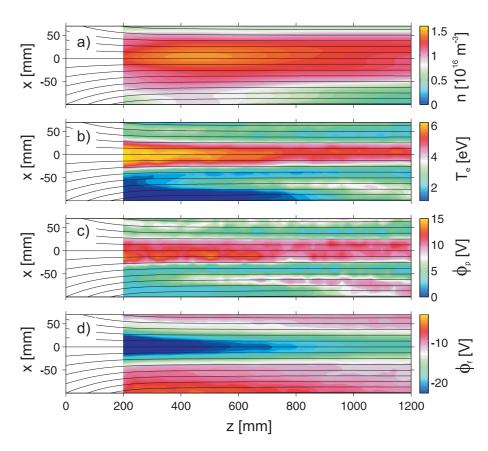


Figure 4. Radial axial profiles of plasma density (a), electron temperature (b) and plasma potential (c). The superimposed background magnetic field is superimposed as black contour lines.

The resulting electric field is shown in Fig. 3(d) for an axial distance of $z = 80 \,\mathrm{mm}$ from the antenna. Due to the different shape of $B_z(r,z)$ in Fig. 3(a,b), the radial position of maximum power absorption is inwards shifted from $r = 80 \,\mathrm{mm}$ for the vacuum case to $r = 50 \,\mathrm{mm}$ in the plasma case.

3. Spatial structure of plasma parameter profiles

The profiles of plasma density, electron temperature, and plasma potential in the (r, z)plane measured in the inductively coupled discharge with the spiral antenna are shown in Fig. 4. The operational parameters are listed in Tab. 1. For technical reasons, the measurements are taken for distances $z \ge 20 \,\mathrm{cm}$ from the antenna. The spiral antenna is located at z=0 in a region of already fringing magnetic field lines, as indicated in Fig. 4 by the black lines. The electron density profile $n_e(r,z)$ in Fig. 4(a) has a small peak at $z \approx 250 \,\mathrm{mm}$ with $n_e = 1.4 \cdot 10^{16} \,\mathrm{m}^{-3}$. At larger distance from the antenna, the density is almost constant with $n_e = 1 \cdot 10^{16} \,\mathrm{m}^{-3}$. The electron temperature profile $T_e(r,z)$ shown in Fig. 4(b) peaks close $z=200\,\mathrm{mm}$ at $T_e\approx 6\,\mathrm{eV}$ and slightly decreases for larger distances to $T_e \approx 5 \, eV$. The radial density profile is significantly broader than the electron temperature profile. At $x = \pm 50 \,\mathrm{mm}$ T_e drops already to $2-3\,\mathrm{eV}$. Note that only the high energetic electrons from the tail of the EEDF are subject to impact ionization. This causes a broadening of the density profile, if compared to the T_e -profile. Magnetic mapping is observed in the T_e -profile, which narrows along the field lines for increasing distance from the antenna. The plasma potential profile $\phi_n(r,z)$ in Fig. 4(c) is mainly determined by the sheath at the end plate and therefore exhibits more or less the same features as the electron temperature profile. The measured range $\phi_p \approx 10-15\,\mathrm{V}$ is in rough agreement with the relation $\phi_p = \phi_f + \alpha T_e$, where $\alpha = 4.7$ for Argon and the central floating potential ϕ_f (not shown) varies gently between -20 V at z = 200 mm and -15 V for z > 800 mm.

4. Helicon-wave sustained discharges

While plasma production with the spiral antenna at low RF power is dominated by inductive coupling, a sudden increase of the plasma density is observed for higher RF power. The plasma density, potential, and electron temperature for increasing RF-power P_{RF} is shown in Fig. 5. The sudden jump to higher electron density and lower electron temperature at high RF-power is indicated with arrows. All major

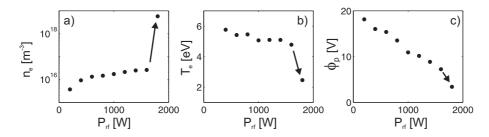


Figure 5. Evolution of central electron density $n_e(a)$, electron temperature T_e (b) and plasma potential ϕ_p (c) for increasing RF power P_{rf} . The other discharges parameters are constant ($p_0 = 0.4 \,\mathrm{Pa}$, $B_0 = 60 \,\mathrm{mT}$

$B_0 [\mathrm{mT}]$	$n[10^{16}\mathrm{m}^{-3}]$	$k_{\parallel}[1/\mathrm{m}]$	$k_{\perp} [1/\mathrm{m}]$
40	3.7	0.89	29
60	4.7	0.73	29
80	5.5	0.69	23

Table 2. Density and wavenumbers for three different magnetic field strengths.

parameters are kept constant at $p_0=0.4\,\mathrm{Pa},\ B_0=60\,\mathrm{mT},\ \mathrm{and}$ relative reflected power $P_{rf}/P_{ref}\approx5\,\%$). The measurements were made at the central axis r=0 at $z=500\,\mathrm{mm}$. In the inductive mode $(P_{RF}=200\ldots1600\,\mathrm{W})$ the density increases from initially $n=4\cdot10^{15}\,\mathrm{m}^{-3}$ to $n=2.6\cdot10^{16}\,\mathrm{m}^{-3}$ about proportional with P_{rf} . Conversely the electron temperature decreases from $T_e\approx6\,\mathrm{eV}$ at $P_{RF}=200\,\mathrm{W}$ to $T_e\approx4.5\,\mathrm{eV}$ at $T_{RF}=1600\,\mathrm{W}$, which also causes a decrease of the plasma potential from $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at a certain transition point, at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at a certain transition point, at $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ and $T_e\approx6\,\mathrm{eV}$ at $T_e\approx6\,\mathrm{eV}$ at

The parallel magnetic component \tilde{B}_z of the helicon wave field has been measured using an interferometric setup. Measurements have been made in the inductive mode (antenna without Faraday shield) at three different magnetic field strengths. The spatio-temporal evolution of $\tilde{B}_z(z,t)$ and $\tilde{B}_z(r,t)$ for $B_0=80\,\mathrm{mT}$ is shown in Fig. 6(a,b). The amplitude peaks on the central axis r=0, which is consistent with the structure of a helicon mode with azimuthal modenumber m=0, given for a uniform plasma profile by $\tilde{B}_z \sim k_\perp J_0(k_\perp r)$, with k_\perp the transverse wavenumber and J_0 the zero order Bessel function of the first kind [11]. A polar plot of the measured normalized wavenumbers is shown in Fig. 6(c) for the three B_0 values.

The density and wavenumbers as estimated from the wavefield measurement are compiled in Table 2. The density is slightly higher if compared to the measurements with antenna with Faraday shield (cf. Fig. 5(a)). Note that the transverse wavenumber has been estimated from the radial profile of \tilde{B}_z . The values are close

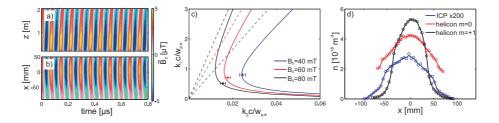


Figure 6. Spatio-temporal evolution of the magnetic component $\tilde{B}_z(z,t)$ (a) and $\tilde{B}_z(r,t)$ (b) of the helicon wave field using the spiral antenna. (c) Normalized polar plot of the R-wave dispersion relation (solid) and the resonance cone given by $\cos \theta = \omega/\omega_{c,e}$ indicating the maximum angle of propagation (dashed) for different B_0 values. The measured wavenumbers (see text for details) are shown as dots. (d) Radial plasma density profile for the inductive (ICP) and helicon modes using the m=0 and m=+1 antenna.

to the theoretically predicted value $k_{\perp} = 3.83/a \approx 30 \,\mathrm{m}^{-1}$, where $a = 12.5 \,\mathrm{cm}$ is taken as maximum plasma radius in the inductive mode (cf. Fig. 6(b)). The measured wavenumbers can be compared to the R-wave dispersion in the cold plasma approximation [10],

$$k^2c^2 = \omega^2 - \frac{\omega\omega_{p,e}^2}{\omega - \omega_{c,e}\cos\theta},$$

where $\omega/2\pi=13.56\,\mathrm{MHz}$ is the RF frequency, $\omega_{p,e}$ the electron plasma frequency, $\omega_{c,e}$ the electron cyclotron frequency, $\cos\theta = k_{\parallel}/k$ and $k^2 = k_{\perp}^2 + k_{\parallel}^2$. A normalized polar plot of the dispersion relation calculated for the experimental parameters n and B_0 is shown in Fig. 6(c). Within the error bars, a good agreement between the dispersion relation and the measured wavenumbers is found. Helicon waves can propagate in the operation regime in which the wavelength is large compared to the electron skin depth, $kc/w_{p,e} \ll 1$. In such a case, the dispersion yields a simple relation between the plasma density and the background magnetic field $k_{\parallel}k/\omega = ne\mu_0/B_0$. In the short wavelength regime, $kc/w_{p,e} \gg 1$, the quasi-electrostatic Trivelpiece-Gould modes are observed, but they are strongly damped [27]. The additional constraints for the helicon wave propagation on n and B_0 can be expressed by two parameters, $\alpha = w_{p,e}^2/(w_{c,e}^2N^2)$ and $\beta = \omega \, \omega_{c,e} N^2 / (\omega_{p,e}^2)$, which have to satisfy $\alpha < 1/4$ and $\beta < 1$ [28]. $N = k_{\parallel} c / \omega$ is the refractive index. For our operational experimental parameters, the peak α and β values are 0.24 and 0.05, respectively. Hence, it can be concluded that the measured wavefield can be attributed to a helicon wave. A comparison of the radial plasma density profiles for the inductive, the m=0 helicon and the m=+1 helicon modes is shown in Fig. 6(d). The RF input power in the helicon modes is 1.8 kW, in the inductive mode 600 W. For convenience the profile of the inductive mode of the spiral antenna is also shown $(P_{RF} = 600)$. The peak density of the m = +1 helicon discharge is by a factor 1.4 larger if compared to the m=0 case. The FWHM width of the density profile increases from $80 \,\mathrm{mm}$ (m=+1) to $140 \,\mathrm{mm}$ (m=0).

5. Summary

A planar spiral induction coil has been installed in the VINETA device. The diameter of the spiral antenna (20 cm) is a factor of two larger than the previously used helical m=+1 antenna (10 cm). At low RF power, the spiral antenna leads to inductive coupling with peak densities of $n \approx 1-5 \cdot 10^{16} \,\mathrm{m}^{-3}$ and electron temperatures of $T_e \approx 5-6 \,\mathrm{eV}$. This reduces the Coulomb collisional by a factor of 100 in comparison to a helicon discharge. At higher RF powers the coupling of the spiral antenna shows a sudden transition to a helicon sustained discharge mode. The transition is characterized by a density jump to $n \approx 3 \cdot 10^{18} \, \mathrm{m}^{-3}$ and a drop of the electron temperature to $T_e \approx 2 \,\mathrm{eV}$, which is comparable to the standard m = +1 antenna operation. The wavefield of the helicon modes has been measured in the inductive discharge mode, where the wave's energy is not dissipated to the plasma particles and the influence of multiple reflections of the propagating wave fronts at the plasma boundaries can be neglected [29]. The radial profile of the \tilde{B}_z component agrees well with the predicted structure of a m = 0 helicon mode. The measured transverse wavenumber is in good agreement with the theoretical prediction, if the footpoint of the plasma density profile is taken as boundary condition. Comparing the wavefield measurements with the helicon dispersion relation, we find good agreement. Due to

the larger excitation region in the m=0 helicon discharge the FWHM width of the plasma density profile increases from $80 \,\mathrm{mm}$ (m=+1) to $140 \,\mathrm{mm}$ (m=0).

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