Absolute intensity calibration of the Wendelstein 7-X high efficiency extreme ultraviolet overview spectrometer system

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Abstract

The new High Effiency eXtreme ultraviolet Overview Spectrometer system (HEXOS) for the stellarator Wendelstein 7-X (W7-X) is now mounted for testing and adjustment at the Tokamak EXperiment for Technology Oriented Research (TEXTOR). One part of the testing phase was the intensity calibration of the two double spectrometers which in total cover a spectral range from 2.5 nm to 160.0 nm with overlap. This work presents the current intensity calibration curves for HEXOS and describes the method of calibration. The calibration was implemented with calibrated lines of a hollow cathode light source and the branching ratio technique. The hollow cathode light source provides calibrated lines from 16 nm up to 147 nm. We could extend the calibrated region in the spectrometers down to 2.8 nm by using the branching line pairs emitted by an uncalibrated pinch XUV light source as well as emission lines from boron and carbon in TEXTOR plasmas.

In total HEXOS is calibrated from 2.8 nm up to 147 nm, which covers most of the observable wavelength region. The approximate density of carbon in the range of the minor radius from 18 cm to 35 cm in a TEXTOR plasma determined by simulating calibrated VUV emission lines with a transport code was $5.5 \cdot 10^{17} \text{m}^{-3}$ which corresponds to a local carbon concentration of 2 %.

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I. INTRODUCTION

In fusion experiments the properties of magnetically confined hydrogen plasmas strongly depend on the amount of impurities and their transport parameters. Impurities are either produced by the fusion process (He) or by plasma-wall contact (Be, B, C, N, O, Si, W, metals). They also can be added on purpose to either modify the plasma properties or to analyse the transport properties (e.g. He, N, Ne, Ar, Ti).

For impurity monitoring and impurity transport experiments on the stellarator Wendelstein 7-X (W7-X) the new High Effiency eXtreme ultraviolet Overview Spectrometer system (HEXOS) has been developed [1]. During the construction phase of W7-X, HEXOS was mounted at the Tokamak Experiment for Technology Oriented Research (TEXTOR) for instrumental tests and adjustments. The adjustments include an absolute intensity calibration of the spectrometer system [2, 3] by means of a calibrated hollow cathode light source which provides absolutely calibrated lines from 16 nm up to 147 nm [4, 5]. The wavelength region inaccessible by the hollow cathode light source was calibrated with branching line pairs of a pinched laboratory XUV source (AIXUV GmbH) [6] and boron and carbon lines of TEXTOR plasmas.

In conjunction with equilibrium calculations of a transport code it is possible to estimate the local impurity densities and therefore the local impurity concentrations in the fusion plasmas of TEXTOR.

In the second section we describe the HEXOS system, in the third and fourth section the calibration procedure and in the fifth section an example for the application of the HEXOS calibration to TEXTOR plasmas. The last section summarizes all results.

II. HEXOS SETUP

The spectrometer system HEXOS consists of two double spectrometers which cover a spectral range from 2.5 nm up to 160 nm (Table I). In these spectral regions all relevant impurities which occur in magnetically confined plasmas can be observed. As described in [1] HEXOS uses newly developed toroidal holographic diffraction gratings with up to 2000 ion etched grooves per mm. These gratings are the only optical and dispersive elements in the system. The diffraction gratings image the entrance slit on the detectors which are localized

Spectro meter no.	1	2	3	4
Spectral range in nm	2.5-10.5	9-24	20-66	60-160
Av. etendue $(10^{-4} \text{ mm}^2\text{sr})$	0.30	1.02	2.07	2.10
Entrance slit (μm)	220	120	60	60

TABLE I: The spectral range, mean etendue and the operating width of the entrance slits at TEXTOR of each HEXOS spectrometer.

in the focal plane of the gratings. The detectors consist of an open microchannel-plate (MCP) from Burle Industries Inc. with CsI coating and extended dynamic range (channel length L/diameter D = 60:1, pore size = $10 \mu m$, diameter = 40 mm) which detects and amplifies the signal from the photons and a P47-phosphor screen onto which the secondary electrons convert the electrical signal into visible light. Screen and MCP are supplied with high voltage so that this device has to be operated in ultra high vacuum conditions to avoid electrical discharges which can cause damage to the MCP.

In order to achieve the required vacuum inside the spectrometer chamber in the presence of the open slit at the entrance, the beamline between entrance slit and TEXTOR is additionally pumped (differential pumping). The spectra on the phosphor screen are recorded by a camera [7] with a linear 1024 pixel array and a time resolution of 1 ms which is the typical time scale for impurity transport processes in a fusion plasma.

For the calibration during the laboratory testing phase the width of each entrance slit of HEXOS was set to 30 µm [8]. The widths of the entrance slits were changed before mounting them at TEXTOR to achieve the optimized etendues (see table I).

III. CALIBRATION OF SPECTROMETERS NO. 2 - 4

HEXOS was calibrated during the laboratory testing phase [8]. As secondary standard calibration light source we used a high current hollow cathode which was absolutely calibrated with synchroton radiation at the Berliner Elektronenspeicherring - Gesellschaft für Synchrotronstrahlung m.b.H. (BESSY). Table II shows the calibrated lines of the hollow cathode at the working points for I_{HC} of 1 A [4, 5] and 2 A [9] and additional data which was used during the calibration process.

spe.	Ion	λ in	I_{HC}	P in	S in	p^{-1} in
no.		nm	in A	pW	$\frac{\text{counts}}{\text{ms}}$	$\frac{\text{photons}}{\text{counts} \cdot \text{cm}^2 \text{sr}}$
2	Al IV, He	16.10	2	0.18	1	1.54E + 07
2	He II	24.30	2	5.50	31	2.10E+07
3	He II	24.30	2	5.50	12	2.63E+07
3	He II	25.60	2	17.39	49	2.19E + 07
3	He II	30.40	2	79.96	242	2.44E+07
3	Ne II	40.65	1	17.63	49	3.55E+07
3	Ne II	46.10	1	121.25	339	4.01E+07
3	Ne III	49.00	1	11.71	33	4.25E+07
3	Ar II	54.30	1	3.35	9	4.95E + 07
3	Ar II	54.75	1	3.89	10	5.18E + 07
3	He I	58.40	2	180.98	486	5.29E+07
3	Ar II	61.24	1	0.89	2	5.56E + 07
4	Ne I	73.59	1	68.25	528	2.28E+07
4	Ne I	74.37	1	45.07	391	2.05E+07
4	Ar III	76.92	1	1.85	17	2.06E+07
4	Kr II	88.63	1	11.46	79	3.09E+07
4	Kr II	91.74	1	21.53	160	3.09E+07 2.95E+07
4	Ar II	91.74	1	45.90	372	2.72E+07
4	Ar II	93.21	1	28.15	223	2.82E+07
4	Kr II	96.50	1	23.78	234	2.34E+07
	Ar I	104.82	1			
4				24.96	286	2.19E+07
4	Ar I	106.62	1	20.82	281	1.89E+07
4	Kr I	116.49	1	5.86	72	2.26E+07
4	Kr I	123.58	1	16.09	184	2.59E+07
4	Xe I	146.96	1	9.79	89	3.89E+07

TABLE II: Spectral lines and additional data used for the calibration of HEXOS $[4,\,5,\,9].$

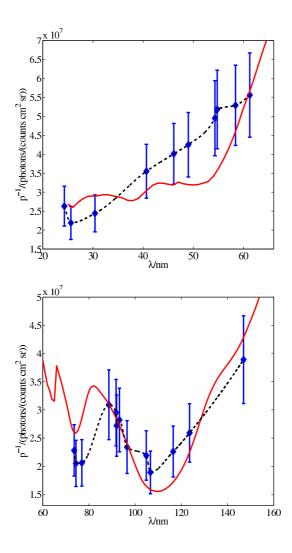


FIG. 1: Inverse sensitivities for spectrometer no. 3 and no. 4, (a) and (b), respectively. The diamonds are the data points obtained with the hollow cathode being fitted by a spline (dashed curve). The deviations between the calculated and the measured data arise due to differences in the compared MCPs.

To check the grating efficiency in the case of a spatially extended fusion plasma and fully illuminated grating surface, respectively, the point-like calibration source was moved in the plane perpendicular to the optical axis. The grating efficiency did not depend on the position of the light source. The spectrometers no. 3 and 4 were both calibrated with tabulated lines emitted by the hollow cathode (figure 1). The calibration of each spectrometer was performed with a detector voltage of 1150 V and a phosphor screen voltage of 7500 V. For spectrometer no. 2 the hollow cathode provided calibrated lines down to 16 nm (figure 2). The shorter wavelengths can only be calibrated by branching line pairs because there is no

calibrated light source available for this wavelength region.

For the calibration the power output P of each emission line of the hollow cathode which passed the entrance slit of the spectrometer was compared to the measured detector signal S integrated over the line width. P was calculated via the solid angle determined by the distance to the entrance slit with respect to the light source.

$$x = \frac{P}{S} \tag{1}$$

With x we calculated the number of photons which passed the slit during the time interval of the measurement. With the help of the mean etendue L_m (see table I) of each spectrometer we determined the inverse sensitivity p^{-1} for each line as follows

$$p^{-1} = \frac{x \cdot \lambda}{h \cdot c \cdot L_m} \tag{2}$$

in which $\frac{h \cdot c}{\lambda}$ is the energy of one photon of the observed line.

We estimated an expected inverse sensitivity by multiplying the angle dependent MCP quantum efficiency based on [10, 11] with the grating efficiency which was obtained by the software PCGrate from International Intellectual Group, Inc. and a correction factor for the detector voltages derived by laboratory measurements. Figure 1 shows the calibration curves for spectrometer no. 3 and 4, respectively. The diamonds are the values derived by the hollow cathode measurements and the continuous line represents the expected inverse sensitivity. Taking into account an error of \pm 18 % to 20 % (calculated from the root of the square sum of the accuracy of the hollow cathode voltage (5 %), the long term stablility of the hollow cathode (10 %), the accuracy of the line integration (10 %) and the error of the tabulated calibration lines (10 % - 13 %)) and considering the differences between the MCP parameters of [7], [10] and [11] the measured data fit well to the calculated curve. To obtain a continuous calibration curve for the whole spectral range of each spectrometer the experimental data were fitted by splines (dashed curves).

The maximum in the inverse sensitivity around 90 nm in fig. 1(b) results from the effect that around this photon-energy it is possible to excite more than one photoelectron in CsI. Since these two electrons have a lower escaping probability due to their lower kinetic energy the photoelectron yield becomes less effective [11]. With rising photon energy the yield rises again and the inverse sensitivity drops.

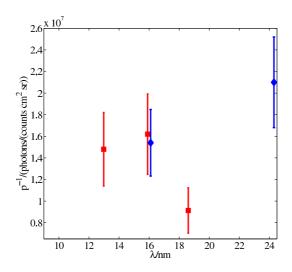


FIG. 2: Inverse sensitivity of spectrometer no. 2. The diamonds are the data points obtained with the hollow cathode and the squares are the data points obtained with the branching ratio technique using the laboratory pinch light source.

Ion	λ_s in	$A_s \text{ in s}^{-1}$	S_s in	λ_l in	$A_l \text{ in s}^{-1}$	S_l in	p^{-1} in	Error	Ref.
	nm		$\frac{\text{counts}}{\text{ms}}$	nm		$\frac{\text{counts}}{\text{ms}}$	$\frac{\text{photons}}{\text{counts} \cdot \text{cm}^2 \text{sr}}$		
C VI	2.85	2.17E+11	1204	18.2	2.91E+10	697	4.00E+07	23%	[12], [13]
ВV	4.09	1.05E+11	854	26.2	1.41E+10	211	4.06E + 07	23%	[12], [13]
B IV	5.26	1.08E+11	430	38.1	5.10E+09	47	7.67E + 07	23%	[14]
O VI	12.98	2.90E+10	86	49.8	8.90E+09	4	1.48E + 07	23%	[15], [16]
Ar VIII	15.9	1.10E+10	141	33.7	1.10E+10	41	1.62E + 07	23%	[16]
NV	18.6	1.40E+10	56	71.4	4.30E+09	3	9.12E + 06	23%	[15], [16]

TABLE III: Transition probabilities of the line pairs used for the branching ratio technique [12]-[16]. Spectrometer no. 1 was calibrated with boron and carbon from TEXTOR plasmas and spectrometer no. 2 with the pinch light source (oxygen, argon and nitrogen).

IV. CALIBRATION OF SPECTROMETER NO. 1 AND 2

There are no secondary standard light sources in the spectral range of spectrometer no. 1 and in parts of spectrometer no. 2. Therefore, an absolute intensity calibration using the branching ratio technique has to be applied, which utilizes the known intensity

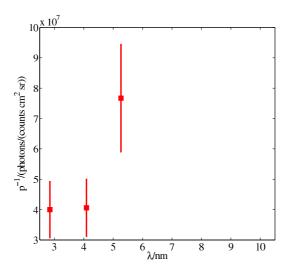


FIG. 3: Inverse sensitivity of spectrometer no. 1. All data points were obtained via the branching ratio technique using TEXTOR plasmas.

ratio (branching ratio) of line pairs being emitted during transitions from the same upper excitation level [15], [17], [18]. Usable line pairs for this method which are typically emitted by our pinch light source [6] as well as from TEXTOR plasmas are listed in tab. III.

In order to successfully apply this method for the calibration of the wavelength region below 16 nm well separated, optically thin line pairs are needed with one line located in the spectral range to be calibrated and the other line emitted in the calibrated wavelength range. If the transition probabilities for the chosen lines are known ([12] - [16]) the intensity I_s at the uncalibrated shorter wavelength λ_s can be calculated with the help of the intensity I_l of the line in the calibrated spectral range at longer wavelengths λ_l as

$$I_s = \frac{A_s \lambda_l}{A_l \lambda_s} \cdot I_l \tag{3}$$

with A_s and A_l being the corresponding transition probabilities [15].

For spectrometer no. 2 the hollow cathode provides two usable calibration points, Al IV 16 nm in the helium sputtering regime and He II 24.3 nm. Additional calibration points with branching line pairs were obtained in the laboratory testing phase with the pinch light source from AIXUV GmbH: O VI 12.9/49.8 nm, Ar VIII 15.9/33.7 nm and N V 18.6/71.4 nm. All presented line pairs were carefully checked for blending by neighbouring lines and in case of an overlap by comparing the transition probabilities [16] in conjunction with an estimate of the population densities with the program FLYCHK [19] before their signal was integrated and background corrected. In order to obtain the inverse sensitivities for these emission lines

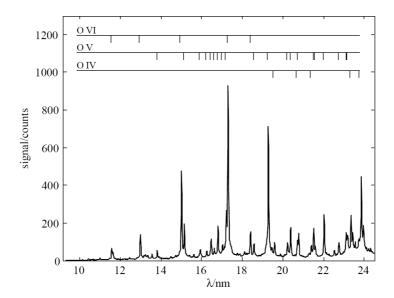


FIG. 4: Oxygen spectrum from the laboratory pinch light source recorded by HEXOS no. 2. This spectrum was used to roughly estimate the electron temperature.

the integrated background corrected lines were extrapolated to the same working voltages for the detectors. With the known calibration factor at λ_l the intensity I_l was calculated and with (3) we obtained the intensity I_s at λ_s . The power output P_s at the entrance slit for λ_s was calculated with the help of the solid angle determined by the distance of the entrance slit with respect to the light source and used with (1) and (2) to determine p⁻¹. The error of this method is estimated to be 23 %. In addition to the error of the calibrated signal (18 %) the errors of the second line width integrated signal (10 %) and of the voltage extrapolation (10 %) have to be taken into account.

Figure 2 shows all calibrated points of spectrometer no. 2. The diamonds are the data points directly obtained from the hollow cathode, the squares are those obtained from branching ratios. Both methods provide consistent results in the overlapping region.

Spectrometer 1 was calibrated after the mounting at TEXTOR with branching line pairs which typically appear in TEXTOR plasmas: C VI 2.8/18.2 nm, B IV 5.2/38.1 nm and B V 4.1/26.2 nm (see also table III). The intensity I of the voltage corrected signal S of the emission line of the fusion plasma in the calibrated spectral region of HEXOS was derived via

$$I = \frac{h \cdot c}{\lambda} \cdot \mathbf{p}^{-1} \cdot S \tag{4}$$

By using (4) with (3) the inverse sensitivity \mathbf{p}_s^{-1} at the short wavelength can be calculated as

$$\mathbf{p}_s^{-1} = \frac{A_s S_l}{A_l S_s} \cdot \mathbf{p}_l^{-1} \tag{5}$$

The indices s and l denote the short and long wavelength, respectively. The data points for spectrometer no. 1 derived with (5) are shown in figure 3.

V. ESTIMATE OF THE OPTICAL DEPTHS

In order to estimate the applicability of the branching ratio technique we calculated the optical depths of the utilized emission lines. Considering induced emission the optical depth τ (in SI units) for a Doppler-broadened line [21] is

$$\tau = 3.52 \cdot 10^{-15} f_{ik} \lambda n_i^l \sqrt{\frac{mc^2}{k_B T_e}} \cdot L \left(1 - \exp\left(-\frac{hc}{\lambda k_B T_e}\right) \right)$$
 (6)

with the absorbing oscillator strength $f_{ik} = 1.5 \cdot 10^{-4} (g_k/g_i) \lambda^2 A_{ki}$ with g_k and g_i being the statistical weights [20], n_i^l the density of the absorbing ions, m the mass of the ion, k_B the Boltzmann-constant and the length L of the absorbing ion density line-of-sight in the plasma. The statistical weights were taken from [12, 16].

For the determination of the optical depth τ we estimated the density of the absorbing ions n_i^l with the program FLYCHK [19]. In case of the pinch light source (oxygen, argon and nitrogen) we assumed a plasma density which resulted due to the compression of an ideal gas in the original cylindrical volume. With a plasma diameter compressed from \approx 4 mm to 0.5 mm at an initial pressure of \approx 0.04 mbar and initial gas temperature of \approx 380 K the total ion density resulted in $n_i \approx 5 \times 10^{22}$ m⁻³. With the help of the signal ratios of the different appearing ionization stages in an recorded oxygen spectrum (figure 4) we roughly estimated the electron temperature T_e to be between 30-40 eV. Since the pinch light source seems to produce an inhomogeneous plasma and we also observed emission lines from He-like nitrogen which require electron temperatures of \approx 100 eV a more detailed analysis of the temperature will be given in the future. As average temperature we used $T_e = 35$ eV for the calculations [6].

To estimate n_i^l of carbon and boron, we estimated the impurity densities in the TEXTOR plasma with the transport code STRAHL using the wavelength ranges of HEXOS which were

Ion	λ	n_e	T_e	n_i^l	L	au
	in nm	in m^{-3}	in eV	in m^{-3}	in cm	
C VI	2.85	2.6E+19	392	5.3E+15	35	5.3E-06
C VI	18.2	2.6E + 19	392	2.0E + 07	35	5.4E-14
ВV	4.09	1.7E + 19	259	8.7E + 14	35	1.6E-06
ВV	26.2	1.7E + 19	259	7.5E + 06	35	8.8E-14
B IV	5.26	0.8E + 19	120	2.9E + 13	25	2.0E-07
B IV	38.10	0.8E + 19	120	4.1E+11	25	1.0E-08
O VI	12.98	3.0E + 23	35	4.5E + 20	0.6	2.6E-01
O VI	49.8	3.0E + 23	35	2.3E + 18	0.6	1.3E-02
Ar VIII	15.9	4.0E + 23	35	6.5E + 18	0.6	5.3E-03
Ar VIII	33.7	4.0E + 23	35	4.5E + 18	0.6	8.5E-03
ΝV	18.6	2.5E + 23	35	4.8E + 19	0.6	3.4E-02
N V	71.4	2.5E+23	35	5.9E+17	0.6	3.4E-03

TABLE IV: Parameters used for the determination of the optical depths τ . In case of carbon and boron the width of the absorbing density L is the FWHM of the emissivity shell in the plasma. The electron temperatures T_e are determined by the maximum of the emissivity shell and the absorbing ion density was calculated with FLYCHK. For oxygen, argon and nitrogen L is the length of the pinch plasma.

already calibrated with the hollow cathode (a detailed example of this procedure is given in section VI). The impurity densities at the maximum of the emissivity shells of C VI, BV and B IV derived by STRAHL are 5.5×10^{17} , 1.7×10^{17} and 1.6×10^{17} m⁻³, respectively. The electron temperatures and densities used to calculate n_i^l with FLYCHK were derived by the location of the maximum of the emissivity shells.

The optical depths calculated with (6) and the parameters used for the determination are listed in table IV. Since the optical depth for O VI 12.98 nm is around 0.3 the inverse sensitivity p^{-1} at this wavelength is overestimated and needs special attention.

VI. APPLICATION TO TEXTOR PLASMAS

With the help of equilibrium calculations using an impurity transport code it is now possible to give a rough estimate of impurity concentrations in the plasma. Due to the nonlinear amplification of the MCPs the calibration has to be adjusted to the actual working voltage of the spectrometer at TEXTOR. The line integrated VUV intensities of prominent principal impurities are then calculated using the absolute calibration of HEXOS. For a first test we measured the line integrated radiative power of H-like and Li-like carbon (C VI 3.38 nm and C IV 38.4 nm) with HEXOS and compared it to predictions by equilibrium calculations with carbon of the transport code STRAHL [22] assuming a radial distribution of the diffusion coefficient D(r) from $4 \frac{m^2}{s}$ at the plasma edge to $0.1 \frac{m^2}{s}$ in the plasma core and for the drift v(r) -2 $\frac{m}{s}$ (a negative sign means an inwardly directed velocity) at the edge rising to $0 \frac{m}{s}$ in the core. The transport coefficients and the plasma parameters determine the emissivity shell of the impurities in the fusion plasma. With the help of a constant carbon influx, the predicted line intensity was adjusted to the experimental one so we derived the local carbon density in the region of the emissivity shell for this wavelength.

The peak electron density in TEXTOR pulse 102501 with neutral beam injection heating was $4.5 \cdot 10^{19} \; \mathrm{m}^{-3}$ with a peak electron temperature of 1.2 keV. The emissivity shells of the C VI 3.38 nm line and C IV 38.4 nm line are located in the range (FWHM) of 18 cm to 35 cm of the minor radius. The intensities of the C VI 3.38 nm line and the C IV 38.4 nm line measured with the calibrated HEXOS were around $5 \; \frac{\mathrm{kW}}{\mathrm{m}^2}$ and $0.6 \; \frac{\mathrm{kW}}{\mathrm{m}^2}$, respectively, with an error of 31 % (accuracy of the branching ratio calibration 23 %, the error of the line integrated signal 10 %, the voltage extrapolation 10 % and a correction factor for the saturation of the detector 15 %). The average carbon density derived with the equilibrium calculation of STRAHL was $5.5 \cdot 10^{17} \mathrm{m}^{-3}$ in the emissivity shell with an error of about 38 % (experimental intensity 31 %, atomic data 15 %, transport coefficients 15 % and experimental input data 5 %). This corresponds to a local carbon concentration of 2 % which is equivalent to a local Z_{eff} of about 1.55 which is in the usual range of tokamaks with a carbon wall.

VII. CONCLUSION

We provided all available calibration curves for the HEXOS system for an MCP voltage of 1150 V and a phosphor screen voltage of 7500 V. The method of calibration was discussed and it was shown that the intensity calibration with a calibrated hollow cathode as secondary standard was successful. It was also shown that the branching ratio technique in combination with a pinch light source or a fusion plasma extends the intensity calibration to spectral regions which are not accessible with the hollow cathode light source. The measured data points of the hollow cathode were compared to calculated sensitivity curves to validate the calibration. Within the error bars the results are in quite good agreement with the calculations. We discussed the applicability of the branching ratio technique by estimating the optical depths of the emission lines. The TEXTOR plasma is optical thin for all used emission lines. In case of the pinch light source the O VI 2.85 nm emission line shows an optical depth around 0.3 so the inverse sensitivity at this wavelength is overestimated. Due to the absolute calibration of the HEXOS system and in conjunction with equilibrium calculations of the transport code STRAHL the concentrations of impurity ions in fusion plasmas with equilibrated transport conditions can be estimated. Measurements with carbon line radiation resulted in a local carbon density of $5.5 \cdot 10^{17} \text{m}^{-3}$ around a minor radius of 18 to 35 cm in TEXTOR which corresponds to a local carbon concentration of 2 %.

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