

The role of ubiquitination in nerve cell development

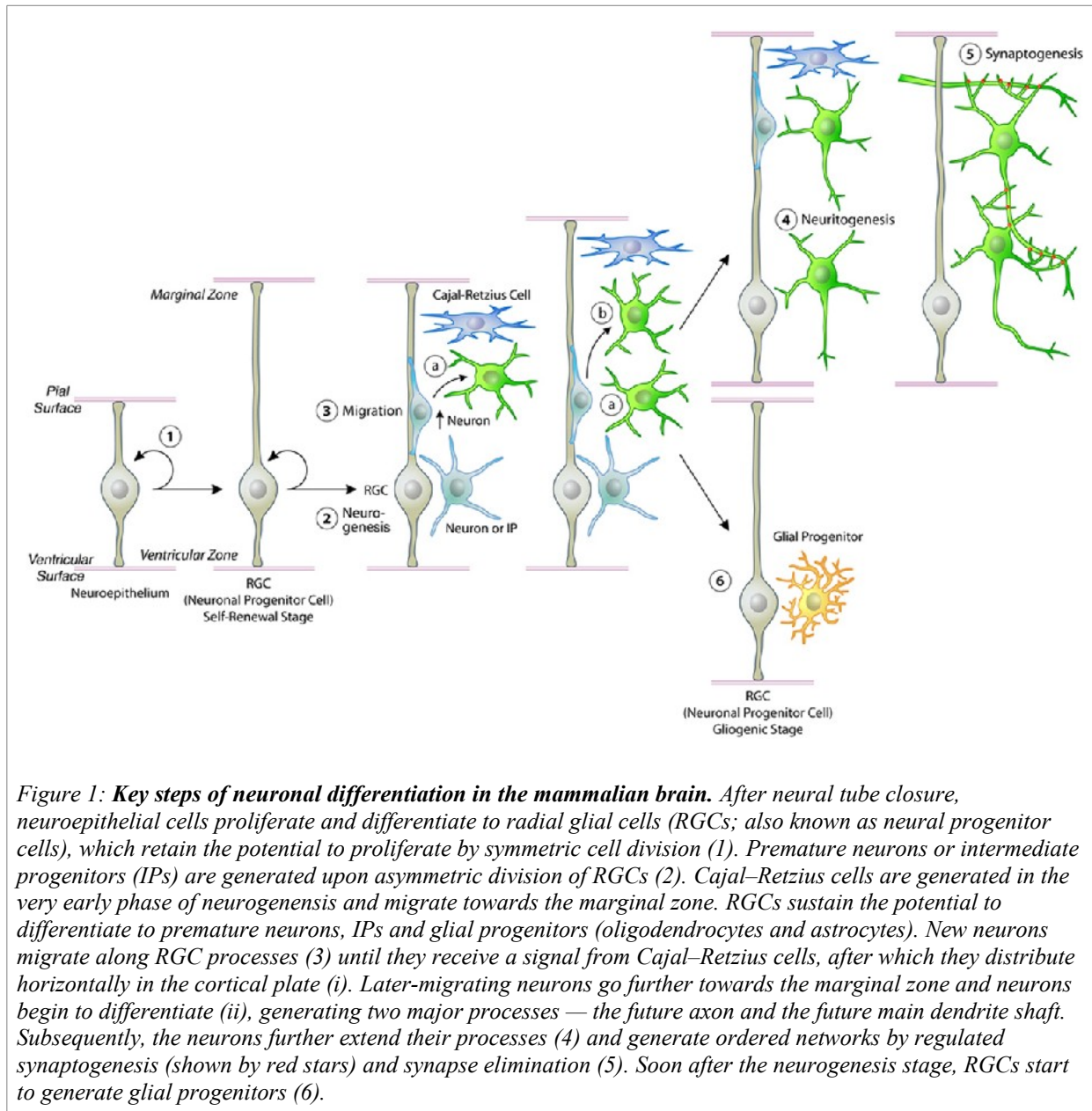
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Abstract | Nerve cell development in the brain is a tightly regulated process. The generation of neurons from precursor cells, their migration to the appropriate target sites, their extensive arborisation, and their integration into functional networks through synapse formation and refinement are governed by multiple interdependent signaling cascades. The function and turnover of proteins involved in these signaling cascades, in turn, are spatially and temporally controlled by ubiquitination. Recent advances have provided first insights into the highly complex and intricate molecular pathways that regulate ubiquitination during all stages of neural development and operate in parallel with other regulatory processes such as phosphorylation or cyclic nucleotide signaling.

The human brain is estimated to contain some 100 billion neurons, each of which forms, on average, 1,000 to 10,000 synaptic contacts^{1,2}. Despite this vast complexity, the resulting neuronal networks that control information processing in the brain are highly ordered. The controlled development of individual neurons is of crucial importance for proper network formation in the brain. Correspondingly, the key phases of nerve cell development - the proliferation of neuronal precursor cells, the generation of neurons from precursor cells, the migration of neurons to their appropriate target sites, the differentiation of neurons into extensively arborized cells, and the integration of neurons into functional networks through synapse formation and refinement³ (Figure 1) - are tightly regulated by numerous external cues and intracellular signaling processes.



Although they mostly occur in a temporally coordinated and successive manner, the different stages of nerve cell development are partly interdependent. Dendrite development, for example, is directly influenced by synaptic inputs, and dendrite complexity affects the total number of synapses made by a given neuron^{4,5}. Likewise, the guiding cues and signaling processes that control neuronal development are characterized by substantial crosstalk at multiple levels^{6-7,8}. These guidance and signaling processes, in turn, are controlled by many different intracellular regulatory mechanisms. Among these, ubiquitin dependent functional modification and/or degradation of signaling proteins have recently emerged as an important and hitherto underestimated regulatory principle in nerve cell development.

Ubiquitination is a posttranslational modification of proteins, related to phosphorylation, acylation, alkylation and other processes that modify proteins after their translation. Ubiquitination involves the conjugation of one or several 76 amino acid long ubiquitin moieties to lysine residues in substrate proteins and is catalyzed by the sequential action of three classes of enzymes (Figure 2). The specificity of ubiquitination is mainly determined by the E3 ligases, which transfer ubiquitin to substrate proteins. Some 600 different E3 ligase isoforms are encoded in the human genome⁹, which are classified as Really-Interesting-New-Gene type ligases (RING finger E3 ligases) or Homologous-to-E6-AP-C-Terminus type ligases (HECT type E3 ligases). Given that the genomes of higher vertebrates encode only one or two E1 and some 30 E2 enzymes and because E3 ligases recognize substrates via specific protein-protein interactions (Figure 2a), E3 ligases are the main determinants of the substrate specificity of ubiquitination processes.

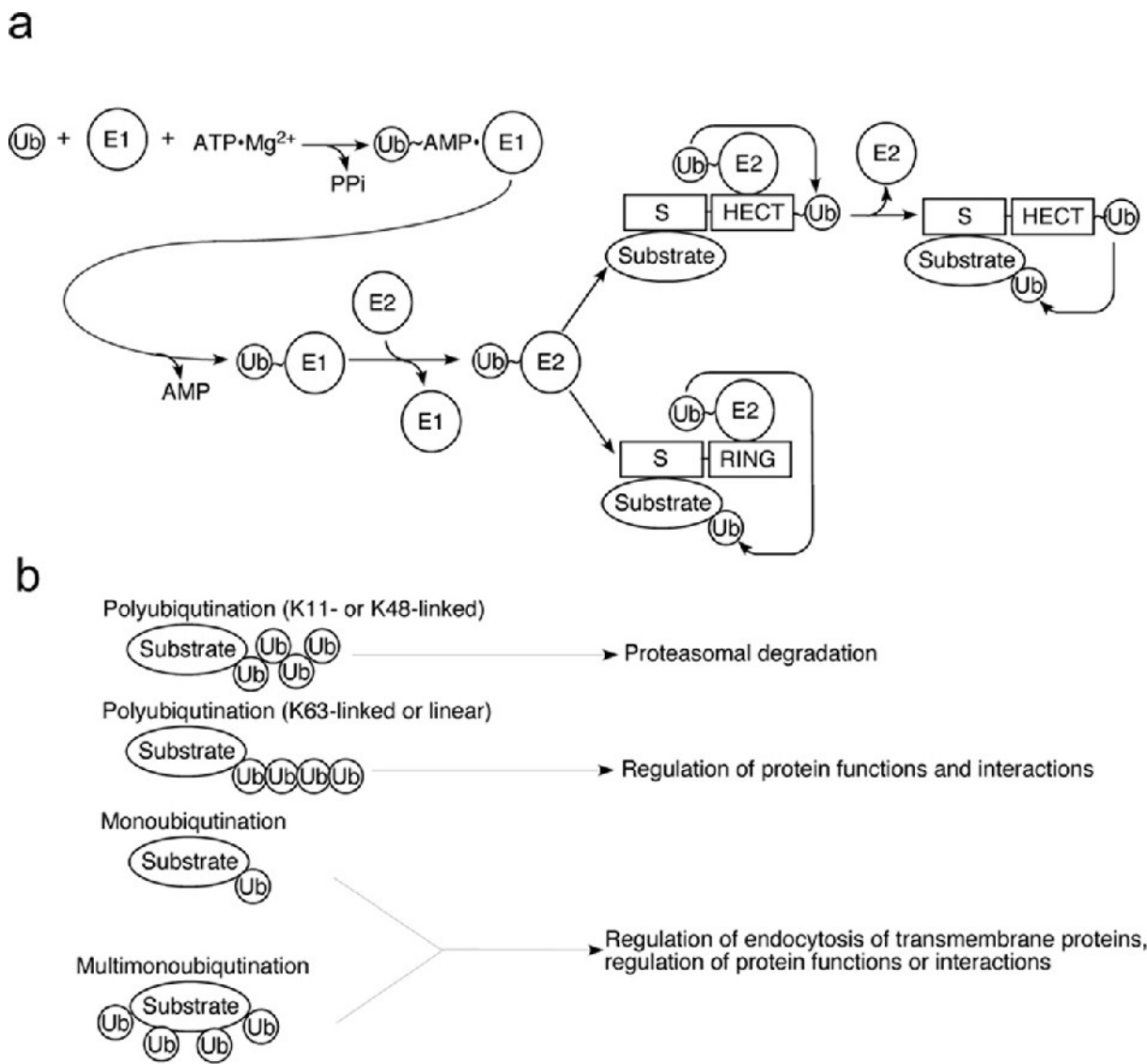


Figure 2: The protein ubiquitylation pathway. Ubiquitylation is a sequential reaction mediated by three classes of enzymes (E1, E2 and E3). A ubiquitin-activating enzyme (E1) is conjugated with a free ubiquitin moiety through a thioester bond (~). This reaction uses ATP•Mg²⁺ to form a ubiquitin adenylate intermediate, in which ubiquitin and adenosine monophosphate (AMP) are conjugated by a high-energy thioester bond. This intermediate is first coupled to the E1 through a non-covalent bond (•). Ubiquitin activated in this manner is then transferred to a cysteine residue of the E1 enzyme. Active ubiquitin conjugated to the E1 enzyme through a high-energy thioester bond is subsequently transferred to a ubiquitin conjugating enzyme (E2) that, in turn, is recognized by a ubiquitin ligase (E3), of which there are two major types — homologous to E6AP carboxyl terminus (HECT)-type and RING finger-type ligases. HECT-type ligases receive the active ubiquitin from the E2 enzyme (shown by a dashed arrow), bind it covalently via a cysteine residue in the HECT domain, and subsequently transfer it to a lysine residue in the ultimate ubiquitylation substrate protein (shown by a dashed arrow), which is recognized by the substrate recognition domain of the E3 ligase (S). By contrast, the RING finger-type ligases transfer the active ubiquitin directly from the E2 enzyme to the ultimate ubiquitylation substrate protein without forming a covalent bond (shown by a dashed arrow). The human genome encodes two E1, approximately 30 E2 and about 600 E3 enzymes. *b* | Functional consequences of protein ubiquitylation. Lys48-linked polyubiquitin chains and probably also Lys11-linked polyubiquitin chains are directly recognized by the proteasome. Lys63-linked polyubiquitin chains and also head-to-tail-linked linear polyubiquitin chains regulate protein function. Monoubiquitylation or multi-monoubiquitylation regulate the function or endocytosis of many proteins. PPi, pyrophosphate; Ub, ubiquitin.

While all seven lysine residues of ubiquitin can be used for of ubiquitin chain formation, lysine-48-linked (K48-linked) chains have long been thought to represent the major polyubiquitin variant in eukaryotic cells. However, recent studies showed that K11-linked and K63-linked chains, whose functions are only poorly understood, are similarly abundant¹⁰. The chain type specificity solely depends on the E2 enzyme in the case of RING finger ligases, whereas protein domains C-terminal to the HECT domains are critical determinants of the ubiquitin chain types generated by HECT type ligases¹¹. Apart from polyubiquitin chains, many

ligases can also mono- or diubiquitinate (via K63) substrate lysine residues^{12,13}. Initially, the focus of research on protein ubiquitination had been on proteasome-dependent degradation of polyubiquitinated cytosolic proteins. Since the 1990s, however, a flurry of studies has shown that protein ubiquitination (e.g. mono- and diubiquitination) does not necessarily control proteasomal protein degradation but rather many other cellular processes, including cell surface expression of membrane proteins, endocytosis, protein interactions, or protein function (Figure 2b)¹².

That ubiquitination and the ubiquitin proteasome system (UPS) must play a key role in brain development was first indicated by the discovery of the ubiquitin carboxy-terminal hydrolase PGP 9.5 in somata and dendrites of differentiating neurons in rat embryos^{14,15}. In the meantime, the analysis of ubiquitination in the developing and mature brain has become a major new focus in neuroscience, not least because ubiquitination seems to play a key role in neurodegenerative disorders¹⁶⁻¹⁸. Thus, understanding the molecular mechanisms by which the different phases of nerve cell development and differentiation are coordinated by ubiquitination is of substantial importance for our understanding of normal brain development and function as well as of corresponding pathological perturbations.

In this review, we discuss recent progress in unraveling the mechanisms by which protein ubiquitination regulates defined signaling pathways that control nerve cell development. Admittedly, the currently available evidence is still restricted to a limited set of example pathways. However, these examples provide an exciting view of how ubiquitination-dependent regulatory processes in neurons intercalate with other, more extensively studied regulatory principles. Currently, we see only the tip of the iceberg: Ubiquitination is likely to be a general regulatory mechanism in nerve cell development, at par with phosphorylation or cyclic nucleotide signaling with regard to complexity and functional consequences.

Ubiquitination in neurogenesis and gliogenesis

Three main types of neuronal progenitors have been identified in the developing neocortex: Neuroepithelial cells, radial glial cells (RGCs), and intermediate progenitor (IP) cells. At the ventricular zone in early neurogenesis, neuroepithelial cells proliferate by symmetric cell division, and subsequently generate neurons by asymmetric division. Neuroepithelial cells generate RGCs, which expand by symmetric cell division and undergo asymmetric division at the ventricular zone, thereby producing IPs and neurons in mid gestation and glial progenitors in late gestation¹⁹. Each IP divides only once to generate two neurons in the subventricular zone, a more apical part of the developing cortex. Self-renewal of progenitor cell populations and their transition into neurogenic and gliogenic stages are controlled by a set of extracellular cues and cell intrinsic signaling pathways of five major types: WNT signaling, Notch signaling, hedgehog signaling, receptor type serine/threonine kinase signaling (e.g. via TGF- β receptors), and signaling via receptor type tyrosine kinases (e.g. via Trk, FGF, or EGF receptors)^{20,21}. Each of these signaling pathways is influenced by ubiquitination in one way or another. For example, it has long been known that canonical WNT signaling blocks phosphorylation of β -Catenin and its subsequent polyubiquitination and degradation by the UPS^{22,23}. However, the most profound and extensive recent progress has been made with regard to Notch signaling.

Ubiquitination and direct regulation of Notch signaling. Recently, the Notch pathway, whose activation inhibits neuronal differentiation, was identified as a major target of regulation by ubiquitination pathways (Figure 3). Notch signaling is triggered by the intercellular interaction between Notch ligands [i.e. Delta-Like (DLL) proteins or Jagged-1, which are induced by the proneuronal gene Neurogenin-1 (Ngn-1)] and the Notch receptor, which is expressed on the surface of RGCs. This interaction induces gamma-secretase activity, which cleaves the intramembrane domain of the Notch receptor to release the Notch intracellular domain (NICD) into the RGC cytosol. NICD then activates genes of the HES family of basic helix-loop-helix (bHLH) transcriptional repressors, which, in turn, downregulate proneuronal bHLH genes [i.e. Ngn and Mash1] to maintain the developmental potential of RGCs as the neural/glial precursor cells and to prevent them from differentiation into neurons or IPs²⁴.

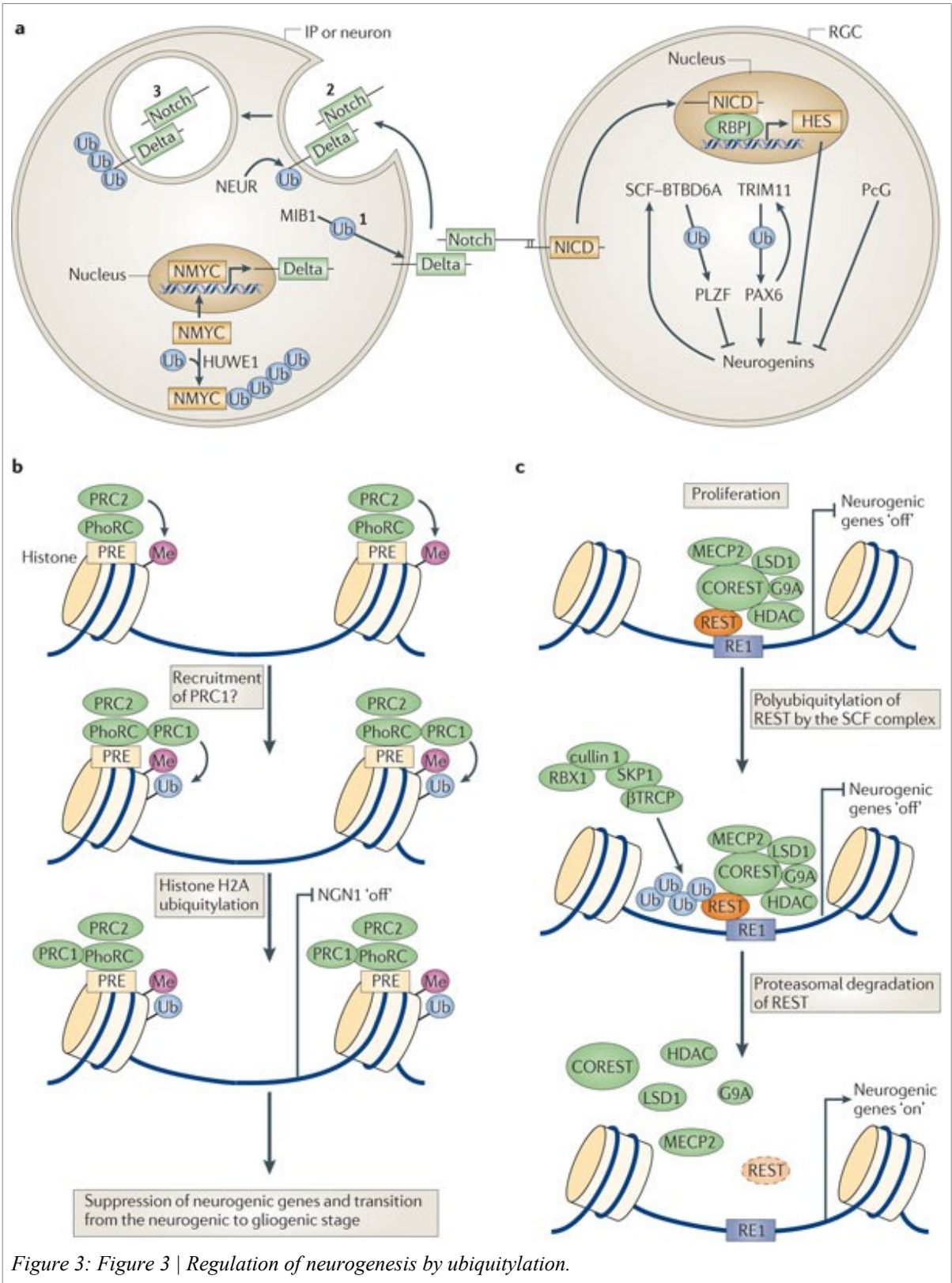


Figure 3 : Regulation of neurogenesis by ubiquitylation. Lateral inhibition by the Notch pathway is regulated by multiple ubiquitylation cascades. Newly generated neurons or intermediate progenitors (IPs) (left cell) express the transmembrane Notch ligand Delta. The cytoplasmic tail of Delta is ubiquitylated by mind bomb1 (MIB1) (1), which triggers the endocytosis of the complex of Delta and the extracellular cleaved-off region of the Notch receptor (2). Subsequently, the E3 ubiquitin ligase neuralized (NEUR) ubiquitylates endocytosed Delta to drive endocytosis to late endosomes (3). This endocytosis machinery is necessary for activation of the Notch pathway in radial glia cells (RGCs). In IPs, the transcription promoter NMYC (which stimulates Delta-like 3 (DLL3) expression) is negatively regulated through polyubiquitylation by HUWE1 (also known as HECT, UBA and WWE domain-containing protein 1). This negative control of Notch activation counteracts the positive regulation by MIB1 and NEUR. In RGCs, upon binding of Delta to the Notch receptor (right cell), the intracellular region of the Notch receptor is cleaved by γ -secretase. The Notch intracellular domain (NICD), together with recombining binding protein suppressor of hairless (RBPJ), promotes the transcription of the basic helix–loop–helix proteins HES1 (also known as hairy and enhancer of split 1) and/or HES5, which suppresses the proneuronal neurogenin genes. Expression of these genes is controlled by at least three independent ubiquitylation pathways: SCF (including BTB (POZ) domain-containing 6 (BTBD6)), TRIM11 (also known as tripartite motif-containing protein 11) and polycomb group (PcG). The SCF–BTBD6 complex is a macromolecular E3 ligase complex whose substrate specificity is determined by the adaptor protein BTBD6A. This SCF ligase complex reduces lateral inhibition through feedback, whereas TRIM11 and the PcG have the potential to promote lateral inhibition by suppressing the expression of neurogenins. *b* | Monoubiquitylation of histone H2A by the polycomb repressive complex 1 (PRC1) downregulates neurogenic genes (for example, neurogenin 1 (NGN1)). Phorepressive complex (PhoRC) recognizes the PC response element (PRE) sequence in the promoter region of neurogenic genes and recruits the PRC2 lysine N-methyltransferase complex (upper panel). The E3 ubiquitin-protein ligase RING2 (also known as RING1B)-containing PRC1 E3 ligase complex is recruited to the nucleosome, where it ubiquitylates histone H2A (middle panel). Whether this recruitment process is dependent on PRC2-mediated histone methylation is not clear. Monoubiquitylation of H2A suppresses translation of neurogenic genes in the late phase of neurogenesis and thus promotes transition to gliogenesis (lower panel). *c* | Polyubiquitylation of RE1 (repressor element 1)-silencing transcription factor (REST; also known as neural-restrictive silencer factor (NRSF)) by the cullin 1-based SCF– β TRCP (F-box/WD repeat-containing protein 1A; also known as β -transducin repeat containing protein) complex releases suppression of neurogenic genes. The RE1 sequence in neurogenic genes (for example, neurogenic differentiation 1 (NEUROD1)) is recognized by REST, which functions as a scaffolding protein for REST co-repressor (COREST) protein, histone lysine N-methyltransferase EHMT2 (G9A), lysine-specific histone demethylase 1A (LSD1), histone deacetylases (HDACs), and methyl DNA binding protein methyl CpG binding protein 2 (MECP2) (upper panel). This multimolecular epigenetic gene suppression system remains stable until neurogenesis starts upon SCF– β TRCP polyubiquitylation of REST (middle panel). Polyubiquitylation of REST results in its subsequent degradation. This process is crucial for displacing G9A, LSD1, HDACs and MECP2 from the RE1 sequence of neurogenic genes and causes their subsequent activation. Me, methyl group; PLZF, zinc finger and BTB domain-containing protein 16; RBX1, RING-box 1; SKP1, S-phase kinase associated protein 1; Ub, ubiquitin.

That the UPS is critically involved in Notch signaling has been known for some time. A series of fascinating, more recent studies have implicated the RING finger E3 ubiquitin ligase Mind bomb (Mib) and the HECT E3 ligase Huwe1 in Notch function (Figure 3). The *Mind bomb (mib)* gene was initially characterized as a mutant showing increased neurogenesis in zebrafish^{25,26}. Corresponding loss-of-function mutant fish show increased neurogenesis due to suppression of the Notch signaling pathway²⁷. More specifically, cell transplantation experiments indicate that *mib* is required in signaling cells for efficient activation in of Notch in neighboring cells. Mouse Mib1 is strongly expressed in neurons and IPs, indicating that these cell types send Notch signals to RGCs during migration and are compromised in *mib* mutants²⁸. Indeed, mice with a brain-specific deletion of Mib1 exhibit premature differentiation of RGCs to IPs and neurons²⁸.

Overall, the effects of *mib* loss of function are similar in zebrafish and mouse, causing reduced Notch activity and consequent changes in somitogenesis, vasculogenesis, neurogenesis, and cardiogenesis^{24,27,29,30}. In all model animals studied so far, Mib induces the endocytosis of Delta. In addition, other Notch ligands such as different Dll and Jagged family members are regulated by Mib, and Notch target genes are downregulated in Mib deficient mice^{29,31}. A likely scenario is that Mib-mediated endocytosis of Delta facilitates Notch cleavage and signaling²⁷.

Interestingly, Mib itself is regulated by components of the protein machinery that determines asymmetric cell division in the neuroepithelium. Planar orientation during mitosis at the apical surface of the neuroepithelium is dependent on the epithelial cell polarity. LGN, Inscutable (Insc), Par proteins (Par1, Par3, and Par6) and atypical PKC (aPKC) are all distributed in a polarized manner in dividing cells and involved in asymmetric cell division of neuroblasts in *Drosophila*. In LGN or Insc mutant mice, mitotic spindle orientation is abnormal, resulting in mislocalisation of IPs and reduced numbers of RGCs, indicating that planar orientation is critical for the maintenance of RGCs³². The protein kinase Par1, which is necessary for neuronal polarity formation, phosphorylates Mib and thus triggers its degradation by the UPS, which, in turn, downregulates Notch signaling and induces neurogenesis³³.

In contrast to the RING finger E3 ligase Mib, which appears to promote Notch signaling, the HECT type E3 ligase Huwe1 was recently implicated in negative regulation of the Notch pathway, involving the transcription factor N-Myc (Figure 3a). The Myc family of transcription factors is composed of three proteins, c-Myc, L-Myc, and N-Myc. N-Myc is expressed in the developing brain, and loss of N-Myc results in the downregulation of the Myc target gene cyclin D2, reduced brain size due to decreased mitosis rates of progenitor cells at the self-renewal stage, and precocious neuronal differentiation³⁴. The HECT type E3 ligase Huwe1 binds and ubiquitinates N-Myc, thus targeting it for UPS-mediated degradation³⁵. This pathway is a critical determinant of neuronal differentiation *in vivo*, as RNAi-mediated knock-down of Huwe1 results in an increase of the fraction of proliferating cells in the developing brain and blockade of neuronal differentiation. Loss of N-Myc suppresses the effects of loss of Huwe1 function, indicating that Huwe1 is a negative regulator of N-Myc³⁵. Interestingly, the Notch ligand Dll3 is also a downstream component of the N-Myc pathway that controls proliferation and neuronal differentiation³⁶. Thus, Huwe1-N-Myc signaling may act via two pathways, one involving cell-autonomous downregulation of cyclin D2 in RGCs and the other causing downregulation of Notch signaling through repression of Dll3 in neurons and IPs.

Given their specific and opposite actions on Notch signaling, Mib and Huwe1 may well be directly involved in determining the self-renewal properties of RGCs or IPs, e.g. by distributing differentially between daughter cells to define which RGCs proceed towards the neurogenic stage, as is for example the case for atypical protein kinase C (aPKC) and Par3. aPKC and Par3 are concentrated at the apical surface of neuroepithelial cells and RGCs. This polarized localization of aPKC and Par3 is the basis for their uneven distribution between the two daughter cells during asymmetric division as the cell division plane is rotated and the apical membrane remains in the RGC³⁷. Assuming that Mib and Huwe1 indeed cooperate with aPKC and Par3 in cell fate determination during neurogenesis, it would be important to analyze how the two ligases are distributed subcellularly during symmetric and asymmetric cell division.

Regulation of proneural gene expression by ubiquitination-dependent feedback loops. Several ubiquitination pathways regulate Notch signaling without directly affecting Notch or its ligands (Figure 3). One recently discovered pathway of this type involves Pax6, a homeodomain-containing transcription factor involved in eye, brain, and pancreas development³⁸⁻⁴⁰. During mouse brain development, Pax6 is highly expressed in RGCs in the ventricular zone but not in migrating neurons⁴¹, indicating a role for Pax6 in neurogenesis. The

enhancer sequence of the proneuronal *Ngn2* gene is directly recognized by Pax6⁴² and Pax6 loss suppresses the expression of *Ngn2* in the developing retina³⁸. Thus Pax6 is a positive upstream regulator of *Ngn2* expression that promotes neurogenesis. In this manner, it counteracts Notch signaling, which suppresses *Ngn* expression and neurogenesis.

Interestingly, the RING finger type E3 ligase Trim11 binds to Pax6⁴³. Trim11 interacts with and ubiquitinates Pax6 in vitro and expression of Trim11 in the mouse embryonic cortex is critical for downregulation of Pax6⁴³. Conditional overexpression of Pax6 disturbs cell cycle progression and enhances neurogenesis and apoptosis in the mouse brain⁴⁴. Similarly, the expression level of Pax6 is upregulated and apoptosis is induced upon RNA silencing of endogenous Trim11⁴³. Intriguingly, the mouse Trim11 gene contains two Pax6 binding sites, and Trim11 transcription is enhanced by overexpression of Pax6 in cultured cells. Thus, a negative feedback loop prevents hyperactivation of Pax6 by Pax6-activated Trim11 expression, Trim11-mediated ubiquitination of Pax6, and downregulation of Pax6 expression (Figure 3). This feedback loop may have an indirect positive effect on signaling downstream of Notch as reduced Pax6 levels will lead to reduced *Ngn2* expression and inhibition of neurogenesis.

A second novel ubiquitination-mediated feedback pathway that indirectly affects Notch downstream signaling in a negative manner involves the Cullin3-based SCF type E3 ubiquitin ligase complex. SCF type E3 ligases are composed of a RING finger protein (Rbx1/Roc1/Hrt1), a Cullin scaffold protein, and an adaptor protein. The adaptor protein often contains a BTB (Bric-a-Brac, Tramtrack, and Broad Complex) domain and functions either as a monomer, a heterodimer, or a heterotrimer. By recognizing specific target proteins, BTB domain containing adaptor proteins, including BTB-Kelch, BTB-MATH, and BTB-PHR family proteins, determine the substrate specificity of ubiquitination. One BTB-PHR family member, *Btbd6*, is conserved in vertebrates⁴⁵. In zebrafish, whose genome contains two *Btbd6s*, *Btbd6a* and *Btbd6b*, the corresponding proteins act as adaptors of the Cullin3-based SCF type E3 ubiquitin ligase complex and target the transcriptional repressor *Plzfa*. *Btbd6a* blocks *Plzfa*-mediated inhibition of neurogenesis by promoting *Plzfa* degradation and subsequent induction of *Ngn1*. Expression of *Btbd6a* mRNA, on the other hand, is induced by *Ngn1*. Thus, *Ngn1* triggers a positive feedback loop that maintains *Ngn1* expression through *Btbd6a* induction, followed by SCF-mediated *Plzfa* ubiquitination and degradation, and consequent release of *Ngn1* suppression⁴⁵ (Figure 3).

In summary, the two novel regulatory feedback loops involving Trim11 and *Btbd6*/SCF may play crucial roles in the dynamic regulation of Notch signaling. They likely interact with other UPS dependent pathways that regulate the expression of *Ngn1* and its upstream repressors such as HES1/5, whose expression appears to oscillate in RGCs with a time course of 2-3 hours⁴⁶⁻⁴⁸. Trim11-mediated ubiquitination and downregulation of Pax6 leads to inhibition of neurogenesis, which complements Notch mediated suppression of *Ngn2* and neurogenesis. In contrast, *Btbd6* counteracts Notch signaling and promotes neurogenesis by mediating the SCF dependent ubiquitination and degradation of the transcriptional repressor *Plzfa*. This leads to upregulation of *Ngn1* expression, which is under negative control by direct Notch signaling. Given that oscillation of HES1/5 expression is dependent on UPS activity and HES1/5 is a major suppressor of neurogenic genes, including Neurogenins, *Tbr2*, and other bHLH proteins, the identification of E3 ligases that target HES1/5 is extremely important as they would be candidate master regulators of brain morphogenesis.

Ligase	Substrate	Ubiquitin chain	Consequence	Target structure	Function
Neurogenesis					
BTBD6	PLZF	PolyUb	Protein degradation and nuclear export	NPCs	Promotion of neurogenesis of NPCs
HUWE1	NMYC	PolyUb	Protein degradation	Neurons, IPs	Promotion of neurogenesis of NPCs
MIB1	Delta	Unknown	Endocytosis (early phase)	Neurons, IPs	Suppression of neurogenesis of NPCs
neuralized	Delta	Unknown	Endocytosis (late phase)	Neurons, IPs	Suppression of neurogenesis of NPCs
PRC1	Histon	MonoUb	Transcriptional repression of neurogenin	NPCs	Suppression of neurogenesis of NPCs
SCF–bTRCP	REST	PolyUb	Protein degradation (desuppression of neurogenesis by REST)	NPCs	Promotion of neurogenesis of NPCs
TRIM11	PAX6	PolyUb	Protein degradation	NPCs	Suppression of neurogenesis of NPCs
Migration					
cullin 5 complex (SKP–cullin 5–SOCS)	DAB1	PolyUb	Protein degradation (downregulation of reelin signalling)	Migrating neurons	Stop of cell migration
Neuritogenesis					
CDC20–APC complex	ID1	PolyUb	Protein degradation	Dendrites	Extension
CDH1- containing APC	ID2	PolyUb	Protein degradation	Axons	Inhibition of extension
CDH1-containing APC	SNO1	PolyUb	Protein degradation	Axons	Inhibition of extension
NEDD4	RAP2 (GTP)	MonoUb	Functional Inhibition	Axons, dendrites	Extension
	PTEN	MonoUb or PolyUb	Regulation of localization and/or degradation	Axons	Branching
SMURF1	RHOA (GDP)	PolyUb	Protein degradation	Axons, dendrites	Axon specification, neurite extension
	PAR6	PolyUb	Protein degradation	Axons, dendrites	Axon specification, neurite extension
SMURF2	RAP1B (GDP)	PolyUb	Protein degradation	Axons	Axon specification
Synapse Formation and Elimination					
PHR	DLK1 (and ALK)	PolyUb	Protein degradation (downregulation of DLK1, MKK4 and PMK3)	Neurons	Promotion of synaptogenesis
SKR1	Unknown	Unknown	Unknown	Neurons	Promotion of synapse elimination

Table 1 | E3 ubiquitin ligases in neuronal development.

ALK, anaplastic lymphoma kinase; APC, anaphase-promoting complex; Btbd6, BTB/POZ domain containing 6; TrCP, transducin repeat-containing protein; Cdc20, cell division cycle 20; Cdh1, Cdc20 homolog 1; DLK-1, dual-leucine zipper kinase-1; Huwe1, HECT, UBA, and WWE domain containing 1; Id, inhibitor of DNA binding/differential; IP, intermediate progenitor cell; mDab1, mammalian Disabled; Mib, Mind bomb; MKK-4, MAP kinase kinase 4; Nedd4-1, neuronal precursor expressed developmentally downregulated protein 4-1; N-Myc, v-myc myelocytomatosis viral related oncogene, neuroblastoma derived; NPC, neuronal progenitor cell; Par6, partitioning defective 6; Pax6, paired box gene 6; Phr1, PAM, highwire, and RPM-1; Plzf, promyelocytic leukaemia zinc finger protein; PMK-3, P38 MAP kinase family 3; PRC1, protein regulator of cytokinesis 1; PTEN, phosphatase and tensin homolog; Rap, Ras-related protein; REST, RE1 silencing transcription factor; RhoA, Ras homolog gene family, member A; RPM-1, Regulator of presynaptic morphology-1; SCF, Skp, Cullin, F-box containing complex; SKR-1, Skp-1 related-1; Smurf, SMAD ubiquitination regulatory factor; SnoN, Ski-1-related novel protein N; SOCS, suppressor of cytokine signaling; Trim11, tripartite motif-containing 11.

Ubiquitination-dependent epigenetic control of gene activity in neurogenesis and gliogenesis. Apart from extracellular cues and cell-intrinsic signaling cascades, epigenetic modifications play a key role in the transition from the neurogenic to the gliogenic phase in progenitor cells. Particularly interesting in this regard are recent discoveries of an intricate interplay between DNA methylation and histone modifications such as acetylation, methylation, or ubiquitination.

DNA or histone methylation of promoter regions suppresses transcription of proneuronal genes⁴⁹ and glial genes⁵⁰, and conversely methylation of non-promoter regions of neurogenic genes can promote the transcription of these genes⁵¹. The promoter regions of many glial genes are hypermethylated in RGCs and associated with MeCP2 prior to entering the gliogenic stage^{52,53}, and demethylation is required for dissociation of MeCP2⁵⁰, expression of the relevant genes, and astrocyte differentiation⁵⁴. Indeed, the brain specific conditional deletion of DNA methyltransferase (Dnmt1) causes a dramatic increase of glial proteins and precocious astroglial differentiation⁵⁰. Two E3 ubiquitin ligases have recently been implicated in the epigenetic control of neurogenesis and gliogenesis: Ring1B, which acts as a ubiquitin ligase for histone H2A, and the SCF-associated protein β -TrCP (β -transducin repeat containing protein), which targets REST/NRSF (RE1 silencing transcription factor or Neuron-Restrictive Silencing Factor).

Ring1B operates in the context of Polycomb group (PcG) complexes, which are multimeric protein complexes that repress gene expression by chemically modifying histones, either by trimethylation or by monoubiquitination (Figure 3b). There are three classes of PcG complexes, Pho-repressive complex (PhoRC), Polycomb repressive complex 1 (PRC1), and Polycomb repressive complex 2 (PRC2), of which PRC1 is an E3 ligase for histone H2A while PRC2 trimethylates histone H4. The three PcG complexes are thought to cooperate via a mechanism that is initiated by the recognition of a DNA element called PcG response element (PRE) by a component of the PhoRC complex, Pho. This serves as a scaffold to recruit PRC2, and trimethylation of histone H4 by PRC2 facilitates the interaction between PRC1 and the target nucleosome⁵⁵⁻⁵⁷ (Figure 3b).

The PRC1 complex contains either Ring1A or Ring1B, which are essential E3 ligases mediating the monoubiquitination of histone H2A and consequent silencing of target genes^{56,57}. Ring1A operates mainly in non-neuronal tissues⁵⁷, whereas Ring1B regulates neuronal and glial differentiation in the developing mammalian brain⁴⁹. Neuronal precursor cells lacking Ring1B show increased *Ngn1* levels, indicating that *Ngn1* is a target gene of PRC1/Ring1B⁴⁹. Consistently, a prolonged neurogenic phase and a delayed onset of gliogenesis in brain is seen upon Ring1B deletion in mice, and a similar change in cell fate is observed upon inactivation of the PRC2 component *Ezh2*, which is a histone lysine N-methyltransferase. Thus, the epigenetic modification of histone proteins at the promoter region of the *Ngn1* gene and other genes by the coordinated action of PhoRC, PRC2 and PRC1 seems to play a crucial role in the transition from the neurogenic to the gliogenic phase of precursor cells (Figures 1 and 3b). However, the exact sequence of events is still disputed. For instance, the PRE binding protein Pho can also directly bind PRC1, indicating that histone trimethylation at the target region by PRC2 may not be required for PRC1 recruitment⁵⁸⁻⁶⁰.

A second more recently discovered ubiquitination-sensitive pathway that controls DNA modifications during neurogenesis and gliogenesis involves REST (Figure 3c). REST or NRSF is a transcriptional repressor and contains a central zinc finger DNA binding domain that is flanked by two repressor domains. The DNA binding domain of REST recognizes the 23 base-pair repressor element 1 (RE1) within promoter regions of multiple neuron specific genes^{61,62} and represses these genes in non-neuronal cells. CoREST is a major binding partner of REST and, in turn, recruits histone H3 lysine 9 methyltransferase (G9a), histone H3 lysine 4 demethylase (LSD1), histone deacetylase (HDAC), and MeCP2 to RE1⁶³⁻⁶⁵, thus forming a core platform for epigenetic modification of target genes.

Importantly, neuronal differentiation during brain development is accompanied by UPS-dependent degradation of REST in the early phase of neurogenesis⁶⁶. The underlying mechanism involves K48-linked polyubiquitination of REST by the Cullin1-based SCF- β -TrCP complex composed of the RING finger domain protein Rbx1/Roc1/Hrt1, the Cullin-1 scaffolding protein, the BTB-domain containing protein Skp1, and the F-box protein β -TrCP, the latter of which is responsible for substrate recognition⁶⁷. Accordingly, downregulation of REST correlates with upregulation of β -TrCP in differentiating neurons. In addition, RNAi-mediated knock-down of REST promotes neuronal differentiation whereas knock-down of β -TrCP has the opposite effect, and knock-down of REST is epistatic to silencing of β -TrCP, thus enhancing neuronal differentiation. Together, these findings led to the notion that the switch from the self-renewal stage

to the neurogenic stage of precursor cells (Figure 1) is dependent upon the downregulation of REST by SCF- β -TrCP (Figure 3c). Although REST also plays a role in glia cell differentiation, it is unclear if ubiquitination of REST by SCF- β -TrCP is also involved in gliogenesis⁶⁸.

In summary, recent studies have discovered two novel mechanisms through which the epigenetic regulation of neurogenesis and gliogenesis is modulated by ubiquitination-dependent control pathways. The PRC1 complex containing the ubiquitin ligase Ring1B mediates monoubiquitination of histone H2A. This leads to the silencing of target genes such as *Ngn1* in precursor cells and thus promotes the transition from the neurogenic to the gliogenic phase (Figure 1). On the other hand, an SCF complex containing the F-box protein β -TrCP polyubiquitinates the transcriptional repressor REST and targets it for degradation, causing upregulation of neurogenic genes, promotion of neurogenesis, and neuronal differentiation.

The transcriptional control of neurogenic and gliogenic genes and changes in their expression profiles are of key importance during early brain development. These processes are regulated by extracellular cues such as WNT, Notch, hedgehog, or growth factors, which have long been thought to operate mainly via protein phosphorylation or protein-protein interactions. A possible involvement of protein ubiquitination as a signaling principle that can cause functional modification or degradation of target proteins has been either underestimated or ignored. The examples of Notch signaling and the control of neurogenic genes show that protein ubiquitination contributes a novel and essential signaling mechanism that synergizes with protein phosphorylation or cyclic nucleotide signaling, which usually result in a binary on/off regulation of protein function.

Ubiquitination in neuronal migration

In the developing cortex, newborn neurons migrate along radial glia cells from the ventricular or subventricular zones towards the cortical plate under the guidance of secreted cues from Cajal-Retzius cells (Figure 1). Nerve cell migration is mainly achieved by the extension of cellular protrusions in the direction of migration, followed by nucleokinesis, i.e. movement of the nucleus, in the direction of migration. These processes are mediated by the coordinated rearrangement of the cellular cytoskeleton and the cell membrane, which, in turn, is controlled by multiple cell surface receptors, cell adhesion proteins, and intracellular signaling cascades.

Ubiquitination-dependent feedback control of Reelin function. The extracellular protein Reelin, which is secreted by the Cajal-Retzius cells in the marginal zone of the developing cortex, plays a particularly important role in neuronal migration during cortical development (Figure 4). Disruption of Reelin function causes a perturbation of the layered cortical cytoarchitecture⁶⁹. Secreted Reelin is recognised by the VLDL receptor (VLDLR), APOER2, or protocadherins in migrating neurons⁷⁰⁻⁷². Correspondingly, simultaneous loss of APOER2 and VLDLR function causes the same phenotypic consequences that are seen after loss of Reelin function⁷³. These findings indicate that extracellular Reelin, neuronal APOER2, and VLDLR function in the same signaling pathway to control nerve cell migration, but the mechanism by which Reelin signaling ultimately affects the neuronal cytoskeleton during cell migration are still largely unknown.

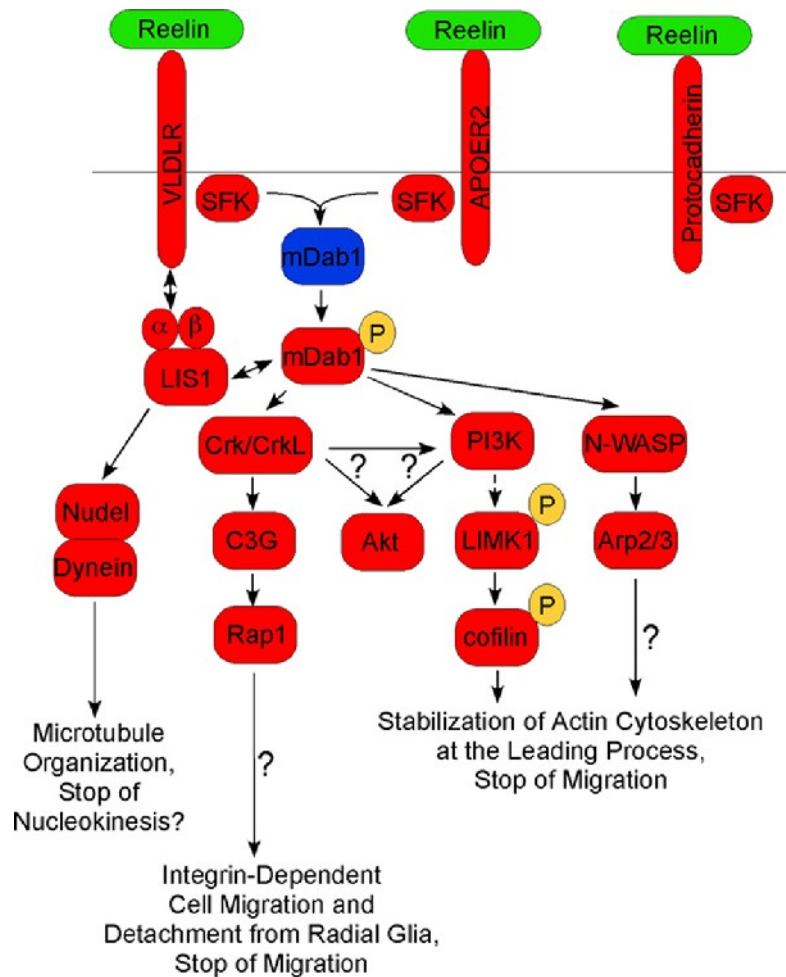


Figure 4: Molecular pathways in reelin signalling. Reelin is an extracellular protein secreted from Cajal–Retzius cells at the marginal zone. Reelin interacts with three transmembrane receptors expressed in migrating neurons; very low-density lipoprotein receptor (VLDLR), low-density lipoprotein receptor-related protein 8 (APOER2; also known as apolipoprotein E receptor 2) and protocadherins. These receptors associate with SRC-family kinases (SFKs), whose kinase activities are induced by reelin binding to VLDLR and APOER2 and which phosphorylate (shown by orange circles) disabled homologue 1 (DAB1). Phosphorylated and thus active DAB1 transduces the reelin signal to all known downstream signalling cascades and is therefore of central importance in signalling the arrest of neuronal migration near the marginal zone. Lissencephaly type 1 (LIS1) forms a complex with the dynein motor complex, including nuclear distribution protein nude-like 1 (NUDEL) and cytoplasmic dynein 2 heavy chain 1 (also known as dynein heavy chain). Both LIS1 and NUDEL are crucial for neural migration as they regulate the motor function of dynein and microtubule organization. This could play a key part in the arrest of nucleokinesis in response to the reelin signal. DAB1 associates with adaptor molecule CRK and CRK-like (CRKL) in a phosphorylation-dependent manner. This interaction leads to activation of RAP guanine nucleotide exchange factor 1 (C3G), the guanine nucleotide exchange factor (GEF) for the small GTPase RAP1, resulting in the activation of integrin. Intriguingly, phosphorylation of serine/threonine-protein kinase AKT is also positively regulated by CRK and CRKL, indicating that these kinases are upstream regulators of the phosphoinositide 3-kinase (PI3K)–AKT pathway. Phosphorylation of cofilin through the PI3K–LIM domain kinase 1 (LIMK1) pathway is crucial for stabilization of the actin cytoskeleton and arrest of cell migration. This signal may be coupled with activation of neural Wiskott–Aldrich syndrome protein (NWASP) and the actin-related protein 2 (ARP2)–ARP3 complex, which in turn promotes G-actin polymerization. All of these signal transduction cascades are synchronized to coordinate growth cone regulation and nucleokinesis.

The intracellular adaptor protein mDab1 is essential for the transduction of the Reelin signal in migrating neurons. It associates with APOER2 and VLDLR and is phosphorylated by Fyn or Src upon Reelin stimulation⁷³⁻⁷⁶. mDab1 expression levels are controlled by UPS-dependent protein degradation⁷⁷, and Fyn-mediated phosphorylation of mDab1 is required for its ubiquitination by an ECS (Elongin B/C-Cullin-2/5-SOCS-box protein) E3 ligase complex⁷⁸. Upon RNAi knock-down of Cullin-5, mDab1 expression is

upregulated and neurons migrate excessively, leading to a buildup of neurons at the top of the cortical plate⁷⁸. This phenotype is also seen upon overexpression of a ubiquitination-deficient point mutant variant of mDab1⁷⁹, indicating that Reelin function is controlled by a ubiquitination-dependent negative feedback loop in the course of which Reelin signaling via VLDLR/ApoER2 and Fyn causes mDab1 phosphorylation, consequent mDab1 ubiquitination by the ECS complex, and mDab1 degradation, which throttles Reelin signaling and thus determines the exact Reelin-dependent positioning of nerve cells in the developing brain.

The case of specific ubiquitination of mDab1 by the ECS complex provides one of the very first examples of protein ubiquitination processes in nerve cell migration that have been studied *in vivo*. However, the key experiments were performed by RNAi mediated knock-down of Cullin-5 in subpopulations of cortical neurons. The next obvious issue to be addressed would be the role of specific ubiquitination of mDab1 by the ECS complex in the lamination of cerebral cortex and in Reelin related developmental disorders⁸⁰ using postmitotic neuron-specific conditional knock-out mouse lines for Cullin-5 and SOCS genes.

Ubiquitination in neurite formation

Already during migration, neurons develop protrusions, or neurites, that will ultimately become axons and dendrites. Neuritogenesis is of critical importance for the formation of functional neuronal networks in the brain. It is controlled by many cell adhesion proteins and numerous short-range and long-range guiding cues that target sensor proteins at the growing end - or growth cone - of extending neurites and steer them through the developing tissue. Cell adhesion and activation of guidance-cue sensors on growing neurites trigger a vast set of intracellular signaling cascades that ultimately induce cytoskeletal rearrangements and changes in membrane flow that allow neurite growth cones to navigate through their environment.

The role of protein ubiquitination in the development of neuronal cell polarity and neuritogenesis has been studied extensively over the last decade. In this regard, recent discoveries indicate that the function of cell adhesion proteins and guidance-cue sensors as well as signaling processes that regulate cytoskeletal dynamics are particularly important targets for ubiquitination-dependent regulation.

Regulation of axonal guidance cues by ubiquitination. A particularly intriguing axon guidance problem arises in nervous systems with bilateral symmetry. To coordinately control the two body halves (e.g. for the coordinated movement of right and left limbs) many axons must cross the body midline to innervate cells on the contralateral side. The corresponding guidance cues, which are typically secreted or presented by cells in the midline, must attract axons before midline crossing and repel them after midline crossing in order to prevent axons from reentering the side of their origin. The Netrin1—deleted-in-colon-cancer (DCC) system, for example, mediates axon attraction at the midline, while axon repulsion is caused by the Netrin1—Unc5, Slit—Robo, and Sema3A—PlexA/Neuropilin-1 systems.

Inhibition of the UPS suppresses Netrin1-induced axonal growth cone collapse but has no effect on growth cone collapse induced by Sema3A. Accordingly, stimulation of growth cones by Netrin1 enhances local protein ubiquitination⁸¹. Thus, Netrin1-dependent repulsion of axons at the midline and consequent prevention of midline crossing requires UPS activity, which may involve polyubiquitination and degradation of DCC as part of the downstream cascade of this regulatory process^{82, 83}.

A very recent study implicated the ubiquitin-specific protease 33 (USP33) in Robo function⁸⁴. Robo is destabilised in neurons when USP33 expression is knocked down by RNAi, and this USP33-mediated regulation of Robo is crucial for proper midline crossing of axons⁸⁴. Considering that the UPS is not required for Slit-Robo signaling⁸⁵, USP33-mediated deubiquitination may promote Robo signaling by preventing endocytosis and degradation, thereby maintaining the responsiveness of axons to Slit and thus avoiding aberrant midline crossing.

Local Ca²⁺ release from the growth cone endoplasmic reticulum through ryanodine receptors or inositol-1,4,5-trisphosphate receptors is crucial for attraction of the growth cone, while Ca²⁺ influx through plasma membrane channels is crucial for repulsion⁸⁶. These different Ca²⁺ signals are strictly regulated inside the growth cone, inducing local exocytosis to provide new plasma membrane at one side of the attracted growth

cone or endocytosis to retrieve membrane from the repulsed side. It would now be of particular interest to test if polyubiquitination and subsequent degradation of proteins or UPS33-mediated deubiquitination control growth cone guidance in a locally restricted manner as well.

Poly- and monoubiquitination of small GTPases in neurite morphogenesis. The protein superfamily of small GTPases can be subdivided into at least five subgroups that can be distinguished based on their primary structures, the Ras, Rho, Rab, Sar1/Arf, and Ran subfamilies⁸⁷. Although the members of this protein superfamily are involved in an extremely large and diverse set of cellular processes, they all operate by the same principles as biological switches or timers, where the GTP bound active form keeps sending a given signal until the GTP is cleaved to GDP (Figure 5a)⁸⁷. Cycling of small GTPases between the GTP-bound and GDP-bound states is regulated by three types of proteins, GTPase activating proteins (GAPs), GDP/GTP exchange factors (GEFs) that promote the exchange of GDP for GTP, and GDP dissociation inhibitors (GDIs) that stabilise the GDP-bound state.

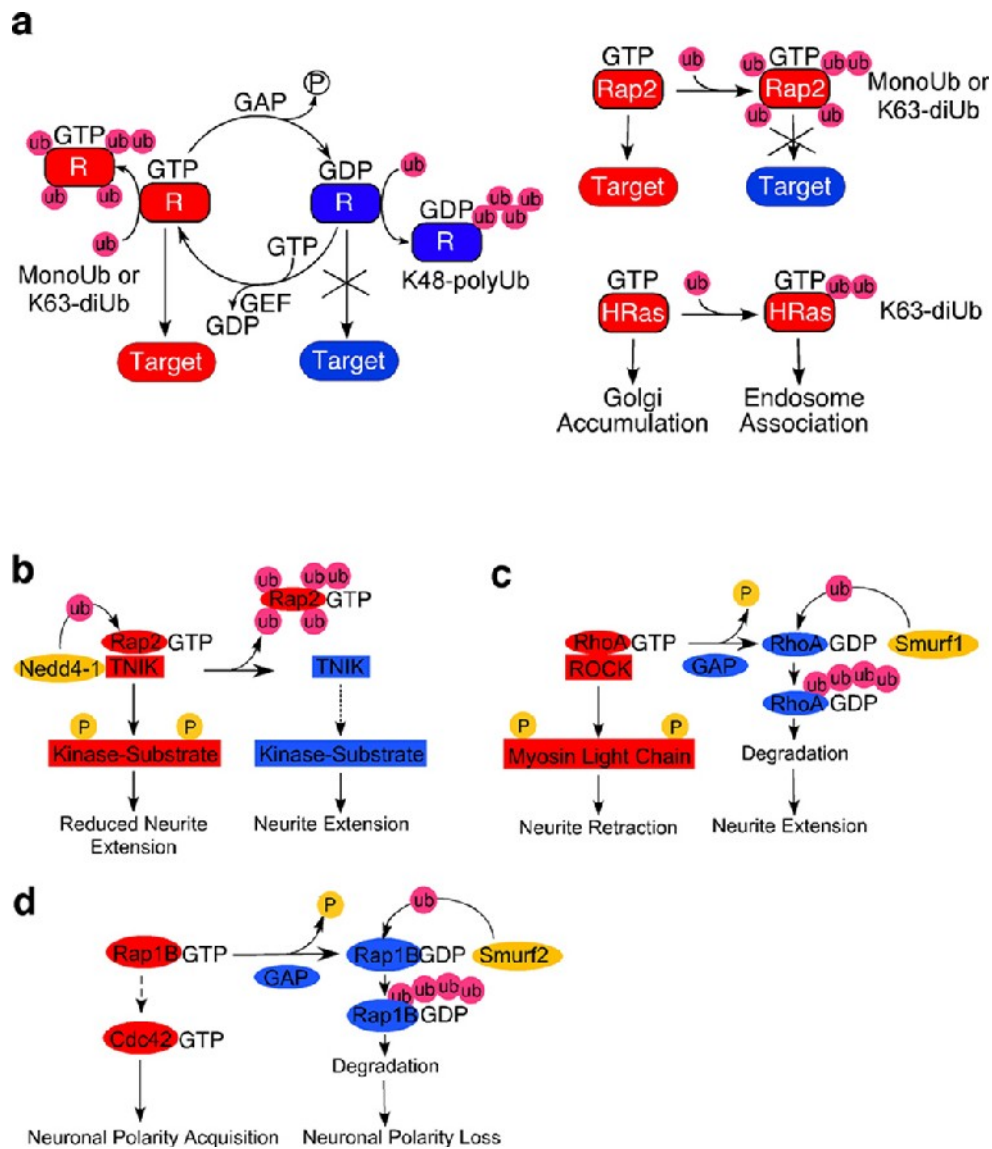


Figure 5: Regulation of neuritogenesis by ubiquitylation.. a | Several small GTPases are key ubiquitylation substrates in pathways that regulate neuritogenesis (left panel). The GTP-bound form of an active small GTPase (R, shown in yellow) interacts with, and signals towards, downstream target proteins ('Target' shown in yellow) — for example, kinases, structural proteins or guanine nucleotide exchange factors (GEFs) for other GTPases. It is inactivated by GTPase-activating proteins (GAPs) that accelerate the GTP hydrolysis activity of the GTPase. The inactive GDP-bound GTPase (R, shown in purple) has low affinity for target proteins ('Target' shown in purple) and thus signalling to downstream target proteins is terminated. GEFs reactivate the GTPases. Some active GTPases are conjugated to monoubiquitin (MonoUb) or Lys63-linked diubiquitin (diUb) — for example, HRAS and RAP2— leading to functional inactivation by interfering with the interaction with target proteins (RAP2) (middle panel) or by regulating the subcellular compartmentalization of the GTPase (HRAS) (right panel). Some inactive GDP-bound GTPases are conjugated with polyubiquitin (polyUb) chains, causing their degradation by the ubiquitin proteasome system (UPS). b | Model of the functions of neural precursor cell expressed, developmentally downregulated 4 (NEDD4) in neurite development. NEDD4 monoubiquitylates the active GTP-bound form of RAP2, resulting in the inhibition of the RAP2 interaction with its downstream effector TRAF2 and NCK-interacting protein kinase (TNIK). Active RAP2–TNIK complexes retard neurite growth through as-yet-unknown TNIK substrates, whereas inactivation of this pathway by NEDD4-mediated RAP2 ubiquitylation results in neurite growth. c | Model of the functions of SMURF1 in neurite development. GTP-bound RHOA activates a member of the RHO-associated protein kinase (also known as RHO-kinase (ROCK)) family, not shown, which in turn phosphorylates myosin light chain. This pathway is important for neurite retraction in response to repulsive guidance signals (for example, ephrins, SLIT3 or plexin). Once RHOA is inactivated by its GAP, it is polyubiquitylated by SMURF1. GTPases polyubiquitylated by SMURF1 (such as RAS-related protein 1B (RAP1B)) are targeted for proteasomal degradation. Reduction of the total amount of RHOA in the developing neuron results in neurite extension. d | Model of the functions of SMURF2 in neurite development. Active RAP1B is enriched at the tip of the polarized neurite, where it activates another RHO-family small GTPase, cell division cycle 42 (CDC42). This pathway is not mediated by direct interaction between the two GTPases (shown by a dashed arrow) but may involve the recruitment of a GEF for CDC42. Inactive RAP1B is recognized and polyubiquitylated by SMURF2. GTPases polyubiquitylated by SMURF2 are targeted for proteasomal degradation. Downregulation of RAP1B protein levels upon overexpression of SMURF2 results in disrupted neuronal differentiation and loss of neuronal polarity (for example, failure to generate an axon). Thus, the SMURF-dependent pathways negatively regulate signalling by controlling the expression of small GTPases, whereas NEDD4-mediated RAP2 inhibition controls the function of this small GTPase, resulting in neurite extension.

Members of the Rho and Ras subfamilies of small GTPases play crucial roles in neuritogenesis^{88, 89}. RhoA promotes neurite retraction by inducing stress fiber formation, and the closely related Cdc42 is crucial for axon development by regulating cofilin^{89, 90}. The Ras-subfamily GTPase Rap1B determines which of the initially formed neurites of a developing nerve cells becomes the axon by recruiting Cdc42 to the axon-specified neurite⁹¹; Rap2 promotes the retraction of the other neurites⁹². Several recent studies demonstrated that direct ubiquitination of Rho and Ras subfamily small GTPases by HECT-type and RING finger-type E3 ligases regulates their expression level⁹³⁻⁹⁵, subcellular compartmentalization^{13, 96}, or function⁹⁷ (Figure 5).

The inactive GDP-bound forms of RhoA and Rap1B are polyubiquitinated by Smurf1 and Smurf2, respectively^{94, 95}, which results in the degradation of the inactive forms and downregulation of the total expression levels of these GTPases. In order to be recognized by Smurfs, the active forms of RhoA and Rap1B need to hydrolyse GTP, thus shifting the balance from the GTP bound to the GDP bound forms. Consequently, the regulation of RhoA and Rap1B by Smurf-mediated ubiquitination and degradation is dependent on the activities of GAPs. In contrast to RhoA and Rap1B, which are targeted by Smurfs when in the GDP bound state, the active GTP-bound form of Rap2 is conjugated with a single ubiquitin (monoubiquitination) or a K63-linked diubiquitin moiety (diubiquitination) by Nedd4-1⁹⁷. Rap2 ubiquitination by this mechanisms does not affect protein degradation but rather blocks the interactions of Rap2 with target proteins. A major target protein whose interaction with Rap2 is blocked by Nedd4-1-mediated Rap2 ubiquitination is the kinase TNIK, which is usually activated by Rap2 binding and which promotes neurite retraction. Accordingly, loss of Nedd4-1 leads to reduced dendrite growth, which is mimicked by the overexpression of dominant active mutants of Rap2 and rescued by the overexpression of dominant inactive mutants of Rap2 or TNIK. Surprisingly - and unlike mammalian Nedd4-1 - *Xenopus laevis* Nedd4 appears to control axon branching rather than dendrite growth. Perturbation of Nedd4 function in the frog by specific morpholinos or overexpression of a dominant negative Nedd4 mutant inhibits axonal branching by targeting PTEN for UPS dependent degradation⁹. Whether this as a general mode of Nedd4 action is currently not clear. While several studies indicated that Nedd4-1 may act as a ubiquitin ligase for PTEN^{99, 10}, deletion of Nedd4-1 in mice does not affect PTEN expression, localization, or function¹⁰, indicating that mammalian PTEN is not controlled by Nedd4-1 *in vivo*.

Smurf1, Smurf2, and Nedd4-1 belong to the same subfamily of HECT-type E3 ligases containing a Ca²⁺ binding C₂ domain, two to four WW domains, and a catalytic HECT domain¹⁰. They may therefore be targets of similar activation mechanisms, such as Ca²⁺-dependent membrane binding, and could complement each other during nerve cell polarization and neuritogenesis. Apart from interfacing with Ca²⁺-signaling, crosstalk with phosphorylation is another mechanism by which HECT type E3 ligases can be regulated¹⁰. Neuronal Smurf1 is phosphorylated in a Neurotrophin (e.g. BDNF) and PKA dependent manner, which increases the ubiquitination level of RhoA. Phosphorylated Smurf1 is enriched at the axonal tip and accelerates proteasomal degradation of RhoA locally. This locally restricted RhoA polyubiquitination is essential for axon acquisition.

In general, the activity of small GTPases is thought to be mainly controlled by GAPs and GEFs (Figure 5). The examples of Rap1, Rap2, and RhoA demonstrate that ubiquitination exerts an equally important regulatory influence on small GTPase function with profound consequences for neuronal polarization and neurite development. It will be important to test in future studies how extracellular guidance cues coordinate the activities of GAPs, GEFs, and E3 ligases to direct neurite growth, and to examine which other small GTPases are subject to ubiquitination dependent control as well.

Anaphase Promoting Complexes (APCs) in neurite growth. APCs are evolutionarily conserved multimeric RING finger type E3 ligase complexes. They are composed of at least thirteen proteins and utilize the WD40-domain containing proteins Cdh1 or Cdc20 as adaptors to recognize substrates¹⁰⁴. Depending on the adaptor protein that a given APC complex utilises, the APC core has the potential to ubiquitinate multiple different substrate proteins.

APC was originally characterized as a key regulator of the cell cycle, in the course of which it targets mitotic cyclins for degradation. However, APC is also strongly expressed in postmitotic neurons. In postmitotic cerebellar granule neurons, Cdh1-APC is localized to the nucleus where it ubiquitinates the transcriptional repressor SnoN^{105, 106}. SnoN, in turn, is expressed in the internal granule layer of the cerebellum between postnatal days 6 and 13, i.e. the developmental stage during which axon growth takes place. Indeed, RNAi-

mediated knock-down of SnoN inhibits axon growth while SnoN overexpression has the opposite effect ¹⁰⁶. Moreover, the effect of RNAi-mediated knock-down of Cdh1 is very similar to that of SnoN overexpression and rescued by simultaneous SnoN knock-down, supporting the notion that Cdh1-APC functions as a negative regulator of the transcriptional repressor SnoN by promoting its degradation. This, in turn, causes upregulation of as yet unknown genes that encode proteins with an inhibitory role in axon growth.

Compared to Cdh1-APC, the role of Cdc20-APC in nerve cell development is strikingly different ¹⁰⁷ as it controls dendrite but not axon growth. RNAi-mediated knock-down of Cdc20 results in impaired dendrite development. The relevant Cdc20-APC substrate in this process seems to be the helix-loop-helix protein Id1 (Inhibitor of DNA binding 1). RNAi-mediated knock-down of Id1 promotes dendrite growth and counteracts the effect of Cdc20 knock-down. Given that Cdc20-APC, Id1, and HDAC6, a regulator of Cdc20-APC, are all enriched at the centrosome, these findings indicate that Id1 signaling inhibits dendrite growth whereas its Cdc20-APC dependent degradation promotes dendrite morphogenesis. However, the exact mechanism by which Id1 exerts its effect on dendrite growth is unknown.

In view of the functional differences between Cdh1-APC and Cdc20-APC, it is likely that the two APC adaptors Cdh1 and Cdc20 are differentially compartmentalised in developing neurons in order to exert their differential role. In this regard, it is important to note that Cdh1 is phosphorylated by cyclin dependent kinases (Cdks) ¹⁰⁸. Phosphorylation of Cdh1 blocks its nuclear import in non-neuronal cells ¹⁰⁹ and prevents the axonal morphogenesis effects of Cdh1 in developing neurons ¹⁰⁸, indicating that the localized ubiquitination activity of Cdh1-APC is crucial for its function in neurons.

Initially, the fact that postmitotic neurons maintain the activity and regulation APC, whose main function is in cell cycle control, came as a surprise. In the meantime, it is clear that this E3 ligase complex has multiple functions in postmitotic neurons, including dendrite and axon arborization, as described above. In addition, Cdc20-APC indirectly controls the expression of the presynaptic regulatory protein Complexin-2 by triggering the degradation of the transcription factor NeuroD2, thereby affecting late phases of presynaptic differentiation and synapse function ¹¹⁰. Considering the diverse roles of APCs in neurons, it will be important to study how substrate recognition of this huge E3 ligase complex is regulated during neuronal circuit formation to temporally and spatially coordinate its many functions.

Ubiquitination in synaptogenesis and synapse elimination

Following neuritogenesis, brain development culminates in synaptogenesis, by which functional neuronal networks are generated (Figure 6a). The initial specificity of connections between axons and their target cells is thought to be regulated by the same type of mechanisms that are also involved in neurite guidance processes, i.e. by contact attraction (e.g. by cell adhesion proteins), contact repulsion, and attractive or repulsive morphogenic gradients ¹¹¹. After nascent synaptic contacts are established, they mature into fully functional synapses.

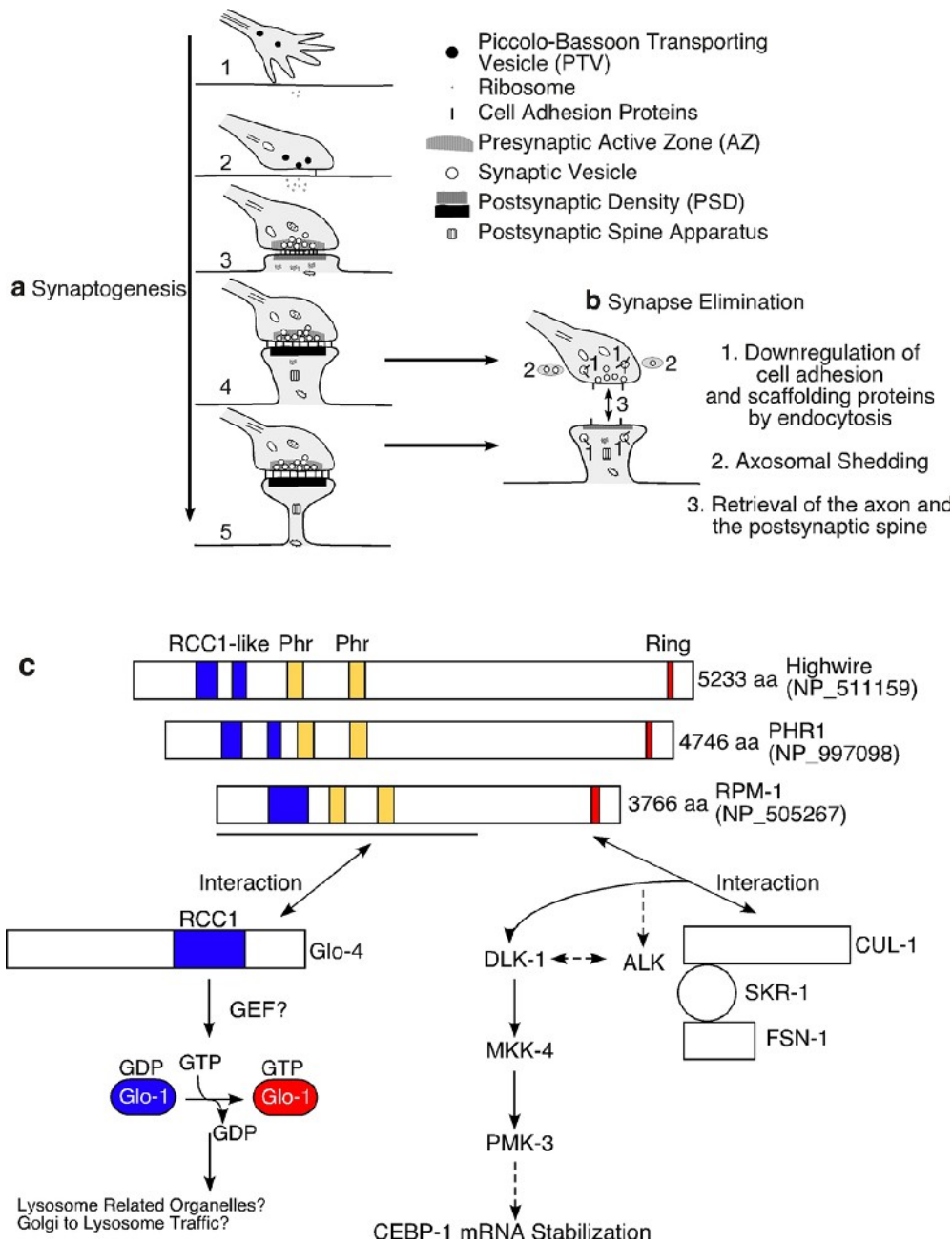


Figure 6: Regulation of synaptogenesis by PHR family ligases. a | Formation and maturation of synapses. In the initial phase of synaptogenesis, the axon growth cone approaches and contacts the target cell membrane (1,2), probably driven by cell adhesion proteins (for example, cadherins, nectins or cell adhesion molecules (CADMs); shown by the vertical blue bars). Components of the presynaptic active zone are transported on piccolo-bassoon transport vesicles (PTV) (1,2; shown by filled purple circles) and deposited at the presynaptic membrane upon fusion of PTVs with the plasma membrane (presynaptic active zone is shown by the grey shaded areas in the presynaptic terminal). Subsequently, synaptic vesicles accumulate at the presynaptic terminal, and components of the postsynaptic density (PSD) accumulate at the postsynaptic site (3–5, shown by the grey shaded areas at the postsynaptic membrane). Polyribosomes that are present in the postsynapse indicate local protein synthesis (3,4). Maturation of the postsynapse involves the recruitment of transmitter receptors and the spine apparatus as well as the elongation of the spine neck (4,5). b | Synapse elimination can occur during and after synapse maturation. The underlying cellular processes are still largely unknown, but probably include the removal of cell adhesion and scaffolding proteins, the removal of presynaptic components by shedding of axosomes, which are then cleared by glia cells, and axon and spine retrieval. c | Domain structures of PHR family ligases and downstream effectors. *Drosophila melanogaster* highwire, mouse PHR1 and *Caenorhabditis elegans* RPM-1 are highly homologous in their RCC1-like and PHR domains as well as in their RING finger motifs. Their amino termini, including the RCC1-like and PHR domains, interact with gut granule loss 4 (GLO-4), a putative guanine nucleotide exchange factor (GEF) for the RAB-subfamily small GTPase GLO-1. The GLO-4-GLO-1 pathway is crucial for late-endosome function, which may regulate the turnover of certain transmembrane receptors that are crucial for signal transduction in synaptogenesis. The SCF-like complex composed of RPM-1, cullin 1 (CUL-1), SKR-1 and F-box/SPRY domain-containing protein 1 (FSN-1) regulates the expression of delta homologue 1 (DLK-1) and, indirectly (shown by a dashed arrow), ALK tyrosine kinase receptor (also known as anaplastic lymphoma kinase). DLK-1 transduces signals to stabilize the mRNA of the basic leucine zipper translational regulator protein CEBP-1 (CCAAT/enhancer binding protein 1). The two pathways mediated by GLO-4 and by the SCF-like complex function in parallel, to regulate synaptogenesis in *C. elegans*. MKK4, mitogen-activated protein kinase (MAPK) kinase 4.

This highly coordinated maturation process, during which hundreds of specific proteins are sorted to the pre- and postsynaptic compartments, is controlled by synapse organizing signals such as cell adhesion proteins or transsynaptic signaling processes¹¹¹. In the mature synapse, a presynaptic transmitter release site or active zone (AZ) is exactly apposed to a postsynaptic signal-receiving compartment, the postsynaptic density (PSD). AZs and PSDs contain distinct sets of adhesion and scaffolding proteins that are required for the proper equipment of the synapse with presynaptic components of the transmitter release machinery, postsynaptic transmitter receptors and signaling proteins. Given that most synapses in the mammalian forebrain are generated after birth, the activity in the developing network also has a strong influence on synaptogenesis.

In many organisms, and particularly in vertebrates, synaptogenesis is paralleled and followed by a process of synapse elimination (Figure 6b), which is of crucial importance for the refinement and specification of synaptic connectivity. In the mammalian brain, for example, up to 50% of all initially generated synapses are eliminated in late brain development^{112,113}. Like synaptogenesis, synapse elimination is crucially dependent upon synaptic activity. With regard to vertebrate brain development, the mechanisms and molecular pathways that mediate synapse elimination are still rather enigmatic. Known pathways involve the activity of the glia-derived complement system (e.g. in the development of the visual system) and semaphorin-dependent synapse pruning (e.g. in hippocampus development)¹¹¹.

Considering the massive protein transport and turnover processes involved, it is expected that protein ubiquitination must play a key role in the establishment of synaptic networks. However, corresponding evidence is scarce, particularly with regard to vertebrate brain development. In invertebrates, on the other hand, several recent discoveries have shed light on the role of protein ubiquitination in synaptogenesis and synapse elimination.

Ubiquitination and synapse formation in invertebrates. That protein ubiquitination is involved in the regulation of synaptogenesis was first discovered in studies on the *Drosophila highwire (hiw)* mutant¹¹⁴, which was named after the walking defects caused by the mutation¹¹⁵. Highwire and its mouse (Phr1) and *C. elegans* (RPM-1) orthologues form the Phr1/Highwire/RPM-1 (PHR) protein family whose members share a common domain structure with a GEF domain (RCC1-like) and a RING finger E3 ubiquitin ligase domain (Figure 6c).

Highwire is localized to the presynaptic periaxonal zone like several other proteins involved in synapse formation and function¹¹⁵, and the loss of Highwire leads to aberrant morphology of presynaptic boutons and reduced synaptic transmission¹¹⁵. Interestingly, the aberrant phenotype of *hiw* mutants is partially restored by additional loss-of-function alleles of a deubiquitinating protease, *faf*. This genetic interaction and the fact that Highwire contains a RING finger E3 ligase domain support the notion that a Highwire-mediated specific ubiquitination pathway regulates synaptogenesis in the fruit fly¹¹⁴.

The Highwire orthologue in *C. elegans*, RPM-1, has a related function in synaptogenesis^{116,117}. Loss of RPM-1 causes a perturbed subcellular organisation of AZs with fewer docked vesicles. In addition, the distribution of synaptic terminals at neuro-muscular junctions is disturbed. Several independent molecular biological and genetic studies identified binding partners and downstream targets of Highwire/RPM-1¹¹⁸⁻¹²².

Among these, the MAP kinases DLK-1 and MKK4 are of particular interest as they function in a linear signaling pathway that is upregulated in *rpm-1* mutants¹¹⁹. DLK-1 is a MAPKKK that is strongly expressed in the *C. elegans* nervous system and can be ubiquitinated by RPM-1 *in vitro*¹¹⁹. This finding and the fact that the loss-of-function phenotype of *rpm-1* mutants can be rescued at least partially by simultaneous inactivation of *dlk-1*, *mkk-4*, or *pmk-3* support the notion that DLK-1 is a direct target of RPM-1. The downstream effects of RPM-1-controlled signaling via the DLK-1/MKK-4/PMK-3 pathway are still largely unknown. One possibility is that the DLK-1/MKK-4/PMK-3 pathway activates the kinase MAK-2 and the basic-leucine-zipper transcriptional regulator protein CEBP-1 (CCAAT/enhancer-binding protein-1) to regulate synaptogenesis¹²³.

Interestingly, the F-box protein FSN-1 was recently shown to be functionally associated with RPM-1 in *C. elegans*¹²⁴. Like *rpm-1* mutants, *fsn-1* mutants show a significant perturbation of synaptogenesis with an uneven distribution of synaptic puncta and overgrowth of single synapses. The effect of *rpm-1* loss of function is not enhanced by additional mutation of *fsn-1*, indicating that the two genes may operate in the

same pathway. Indeed, FSN-1 forms an SCF-like complex with RPM-1, the Skp1 protein SKR-1 and the Cullin protein CUL-1, which regulates the expression level of anaplastic lymphoma kinase (ALK). This regulatory pathway appears to be directly involved in the synaptogenesis function of FSN-1 and RPM-1.

Recent biochemical and genetic studies showed that the *Drosophila* orthologues of FSN-1 and RPM-1, DFsn and Highwire, also interact functionally¹²⁵. For example, loss-of-function mutations in *DFsn* aggravate hypomorphic *hiw* phenotypes but not the effects of total loss of *hiw* function. Further, *Drosophila* DLK is upregulated in *hiw* or *DFsn* mutants, and the phenotypes of both *hiw* and *DFsn* mutants are rescued by inactivating DLK. Taken together, these findings are in nice accord with data obtained in *C. elegans*, further supporting the notion that Highwire/RPM-1 and FSN operate in the same pathway with DLK as a major target^{122, 125}. Even in mammals, the Highwire/RPM-1 and FSN orthologues, Phr1 and Fbxo45, seem to function in a complex at synapses^{126, 127}, but this complex may have neuronal targets other than DLK¹²⁸.

In addition to its role in the regulation of protein expression via its C-terminal RING finger motif, *C. elegans* RPM-1 interacts with the Rab GEF GLO-4 (Gut granule loss-4) through its N-terminus¹²¹. RPM-1 and GLO-4 are colocalised in presynaptic terminals. Indeed, RPM-1 appears to regulate synaptogenesis through two pathways, one of which operates via *fsn-1* and *dlk-1* and the other via *glo-4* (Figure 6c). This notion is supported by genetic experiments showing that the phenotypes of single *fsn-1* or *glo-4* loss-of-function mutations are less severe than the effects of a loss of *rpm-1* function, while *fsn-1;glo-4* double mutants almost perfectly copy the phenotype of *rpm-1* mutants. Moreover, the phenotype of *rpm-1* loss-of-function mutants is only partially rescued by the parallel loss of *dlk-1*, and the remaining phenotypic alterations are similar to those seen in *glo-4* mutants.

In summary, PHR family proteins play a key role in synapse formation, at least in invertebrates. They are negatively controlled by deubiquitinating enzymes such as Faf and they operate in an SCF-like complex with F-box proteins such as FSN-1. Known substrates are the MAPKKK DLK-1 and the anaplastic lymphoma kinase ALK, but it is currently still unknown how exactly DLK-1 or ALK regulation by PHR family proteins influences synaptogenesis.

Ubiquitination and synapse elimination in invertebrates. During *C. elegans* development, axons of hermaphrodite-specific egg-laying motor neuron (HSNs) initially form supernumerous synapses with the vulval muscle, many of which are eliminated later in development. This synapse elimination process is crucially regulated by the synaptic adhesion molecule SYG-1, with synapses that contain SYG-1 being spared from elimination while SYG-1 deficient synapses are removed¹²⁹. Interestingly, SYG-1 appears to prevent synapse elimination by preventing the assembly of a SCF ubiquitin ligase complex containing the SKP1 orthologue SKR1, Cullin, and the F-box protein SEL-10. Genetic data indicate that all three SCF complex components are required for proper synapse elimination in HSNs. The underlying mechanisms of SYG-1 dependent negative regulation of SCF activity in synapse elimination involves the cytoplasmic region of SYG-1 binding to SKR-1, which blocks the assembly of SKR-1 containing SCF complexes in HSNs. Thus, inactivation rather than activation of a specific ubiquitination pathway is crucial for synapse stability, which might well represent a general principle in synapse elimination processes during brain development.

The role of protein ubiquitination in synaptogenesis and synapse elimination has been studied extensively in invertebrates. However, the genes involved - and described above - may operate by different mechanisms in mammalian neurons. For instance, mice lacking Phr1, the murine *rpm-1*/Highwire orthologue, show perturbed axon formation¹²⁸. Importantly, however, the expression level of DLK is not upregulated in this mutant, indicating that the function of mammalian Phr1 is different from that of invertebrate orthologues. In addition, recent studies identified novel E3 ligase dependent processes in mammalian synaptogenesis and synapse function. For example, the E3 ligases SCRAPPER¹³⁰ and Ube3A¹³¹ regulate pre- and postsynapse formation and function by polyubiquitinating the active zone protein RIM1 α and the synaptic plasticity regulator Arc/Arg3.1, respectively, thus causing their degradation. The latter studies indicate that it will be of eminent importance to invest further resources into the analysis of mutant mouse lines with deletions of synapse-enriched E3 ligases in order to decipher the role of specific ubiquitination in synaptogenesis of mammalian neuron.

Conclusions and perspectives

True to its name, protein ubiquitination ultimately affects most cellular process in eukaryotic cells, simply because many proteins are turned over under physiological conditions in a ubiquitination-dependent manner. In view of this 'catholic' importance of ubiquitination in cell biology, the recent progress in our understanding of the role of ubiquitination in nerve cell development is barely scratching the surface.

Nevertheless, a first conclusion that can be drawn from the present review on the role of protein ubiquitination in neuronal development - and which is somewhat trivial in view of findings in other areas of cell biology - is that protein ubiquitination is not just a refuse disposal service to developing nerve cells that targets proteins for UPS dependent degradation and that operates in the background of more sophisticated molecular processes. On the contrary, the examples discussed in the present review already show that protein ubiquitination is a regulatory principle whose complexity and importance are comparable to other key signaling processes in eukaryotic cells that are based on posttranslational protein modifications, such as phosphorylation. It operates by and is subject to the same cybernetic control mechanisms (e.g. feed-back and feed-forward control) and is used by cells for the same purposes (e.g. in cell surface signaling, intracellular signaling cascades, and transcriptional control), mostly in combination with other regulatory mechanisms. Even individual enzymes of ubiquitination pathways are regulated by similar biochemical mechanisms as protein kinases, such as allosteric regulation by second messengers¹³²⁻¹³⁴ or posttranslational modifications¹³⁵.

The omnipresent nature of protein ubiquitination is probably the most profound obstacle for studies on its role in distinct cell biological processes - every cell contains thousands of different ubiquitination substrates. A promising entry-point for studies on defined cell biological roles of protein ubiquitination may be the E3 ubiquitin ligases, which are the key specificity determinants of ubiquitination. Higher eukaryotic genomes contain some 600 E3 ubiquitin ligase genes⁹, and the genetic and biochemical studies discussed in the present review demonstrate that analyses of the function of individual E3 ligases can yield direct and detailed insights into ubiquitination-controlled molecular processes, including relevant substrates and upstream or downstream signaling pathways. It is likely, that a systematic functional analysis of E3 ubiquitin ligases in developing neurons at the genetic and biochemical levels (e.g. by using cell-type specific or inducible mutants) will provide further insights into the role of ubiquitination in nerve cell development and the substrates involved. In this regard, the studies described in the present review can serve as conceptual project templates, and their complementation by comparative proteomic and biochemical approaches would be extremely helpful in the systematic identification of corresponding E3 ligase substrates.

Most E3 ligases interact with multiple substrate proteins through tandem target-recognition domains (e.g. WW domains of HECT type ligases) or by switching adaptor proteins (e.g. SCF complexes or APC). In this manner, a single E3 ligase can simultaneously regulate a large number of cytoplasmic or transmembrane proteins. The regulation of a subset of substrates must be coordinated to balance parallel regulatory pathways in neuronal differentiation or development. For example, Nedd4-1 ubiquitinates and thereby regulates Rap2 during dendrite development⁹⁷ and may, at the same time, control axon branching by ubiquitinating PTEN⁹⁸. It is obvious that such regulatory pathways must be properly adjusted in order to achieve proper neuronal network formation. Indeed, the selection of substrates depends on the given cell type and subcellular compartment, so that individual E3 ligases function effectively in cells abundant with the given ligase and in the subcellular compartments in which the ligase is enriched. In addition, intercalating signaling mechanisms such as phosphorylation can regulate E3 ligases in defined subcellular compartments to alter their activity or substrate preferences, as is the case for Smurf1¹⁰³. Thus, future work should not only examine the expression profiles of E3 ligases but also the upstream pathways by which they are localized and regulated.

The large superfamily of deubiquitinating enzymes represents a second important and promising entry point for the analysis of ubiquitination in neuronal development. The human genome encodes almost 100 such enzymes¹³⁶, and multiple genetic and cell biological studies have demonstrated the involvement of specific deubiquitination processes in many regulatory ubiquitination pathways and signaling networks. Once a proteasome recognizes a polyubiquitin chain, the latter is removed from its substrate by deubiquitinating enzymes. Apart from this very well studied deubiquitination system, many deubiquitinating enzymes operate in a substrate specific manner or recognize only particular polyubiquitin chain types. The chain type specificity of certain deubiquitination enzymes adds an additional level of complexity to the protein ubiquitination system, which distinguishes it from protein phosphorylation where the balance between

kinases and phosphatases alone is the main determinant of substrate phosphorylation. Yet another level of complexity of the ubiquitination system is contributed by the fact that some deubiquitinating enzymes specifically hydrolyse unanchored free polyubiquitin chains, which were recently shown to be physiologically relevant signaling components, e.g. in the control of certain transcription factors ¹³⁷.

In summary, multiple recent studies have shown that substrate specific protein ubiquitination plays a key role in brain development. However, the neurodevelopmental aspects of some of the most interesting and unique features of ubiquitination - e.g. the coordination of ubiquitination of multiple substrates by E3 ligases, the regulation and function of deubiquitinating enzymes, or the physiological role of unanchored polyubiquitin chains - have not been studied in much detail yet, especially not *in vivo*. It is very likely, that studies on these unique features of ubiquitination, along with more conventional analyses of ubiquitination cascades, e.g. by perturbing E3 ligase function, will provide key insights into all aspects of brain development and function.

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