

**Title**

Somatic Mutations and Genetic Variants of NOTCH1 in Head and Neck Squamous Cell Carcinoma Occurrence and Development

**Author list and affiliations**

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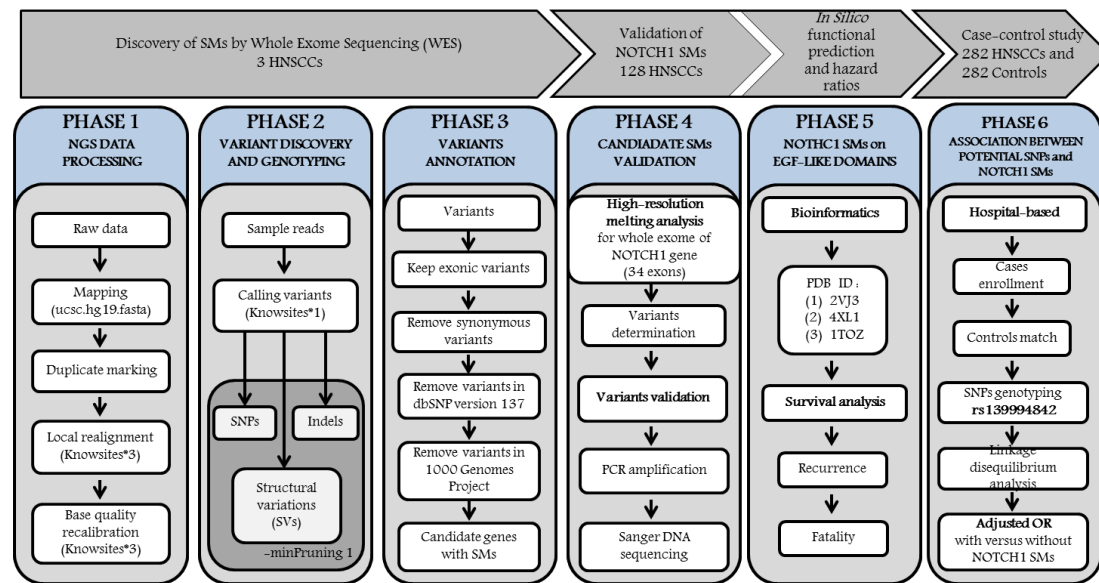
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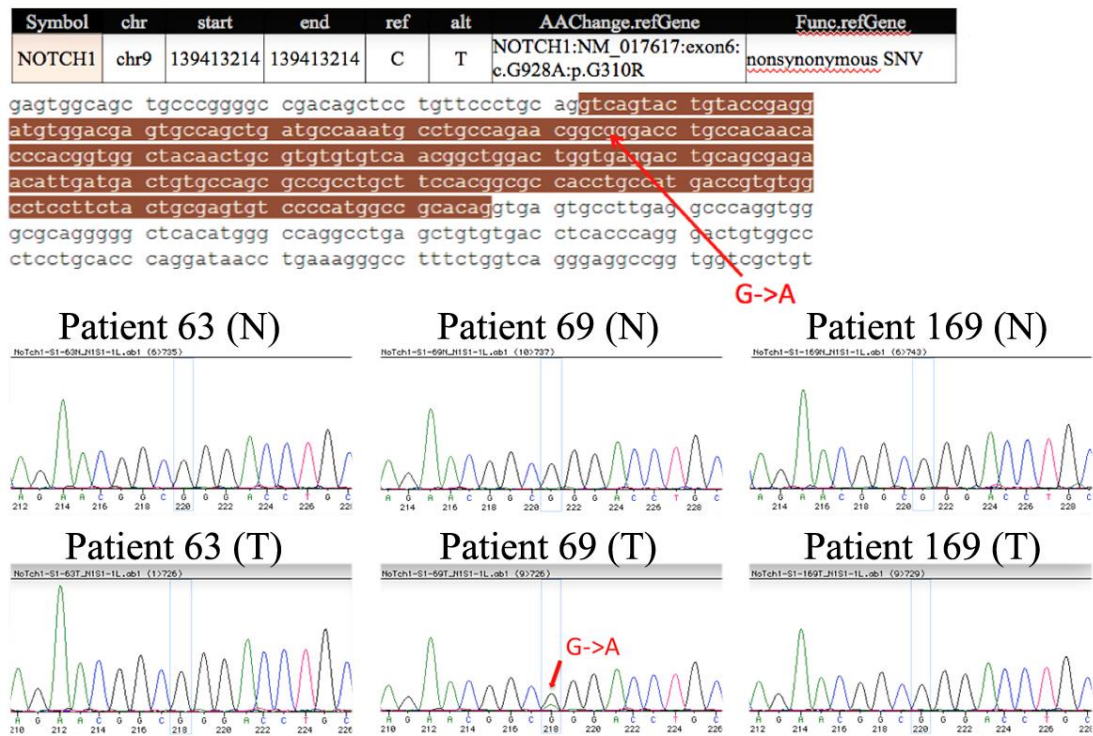
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Figure S1



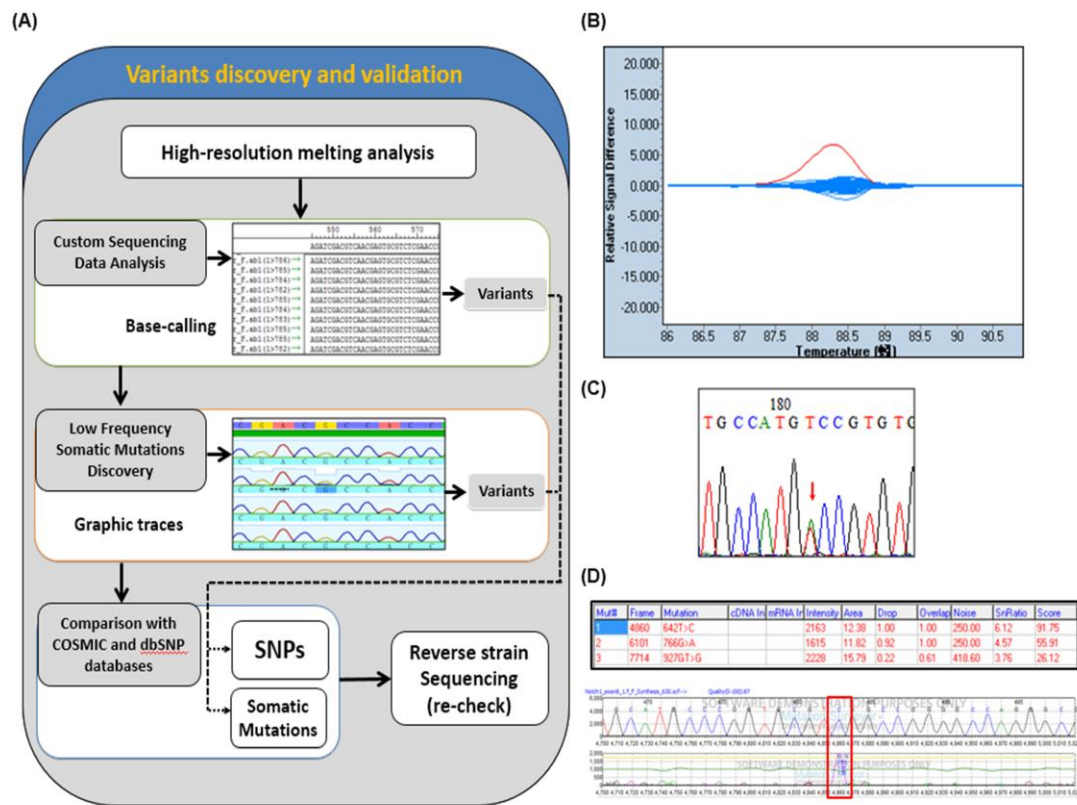
**Figure S1 | Overview of approach to determine the somatic mutations and genetic variants in this study.** Phase 1 to 3 are whole-exome sequencing (WES) discovery (first cohort: paired tissues from 3 patients), Phase 4 is validation using High-resolution melting (HRM) and Sanger sequencing (second cohort: paired tissues from 128 patients), Phase 5 is *in silico* functional prediction and hazard ratio statistic methods. Phase 6 is a case-control association study of SNPs and somatic mutations in investigation of substance use (third cohort: 282 patients and 282 controls).

Figure S2



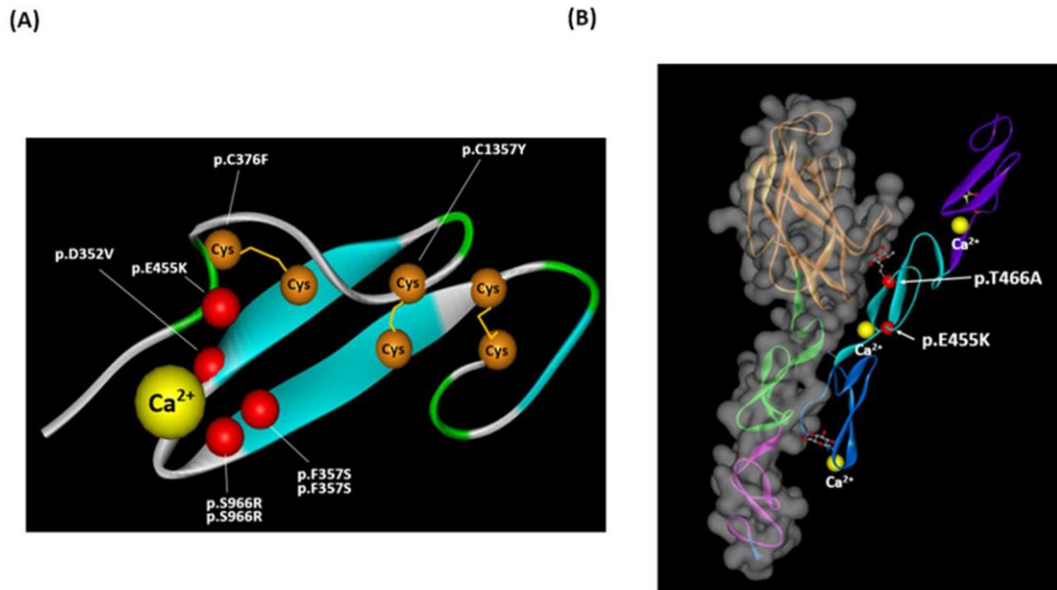
**Figure S2 | Validation of the somatic mutations from NGS screening.** NOTCH1 SMs detected from paired tissues (cancerous and marginal normal parts) were validated using Sanger DNA sequencing through forward and reverse direction, respectively, for 3 HNSCC patients.

Figure S3



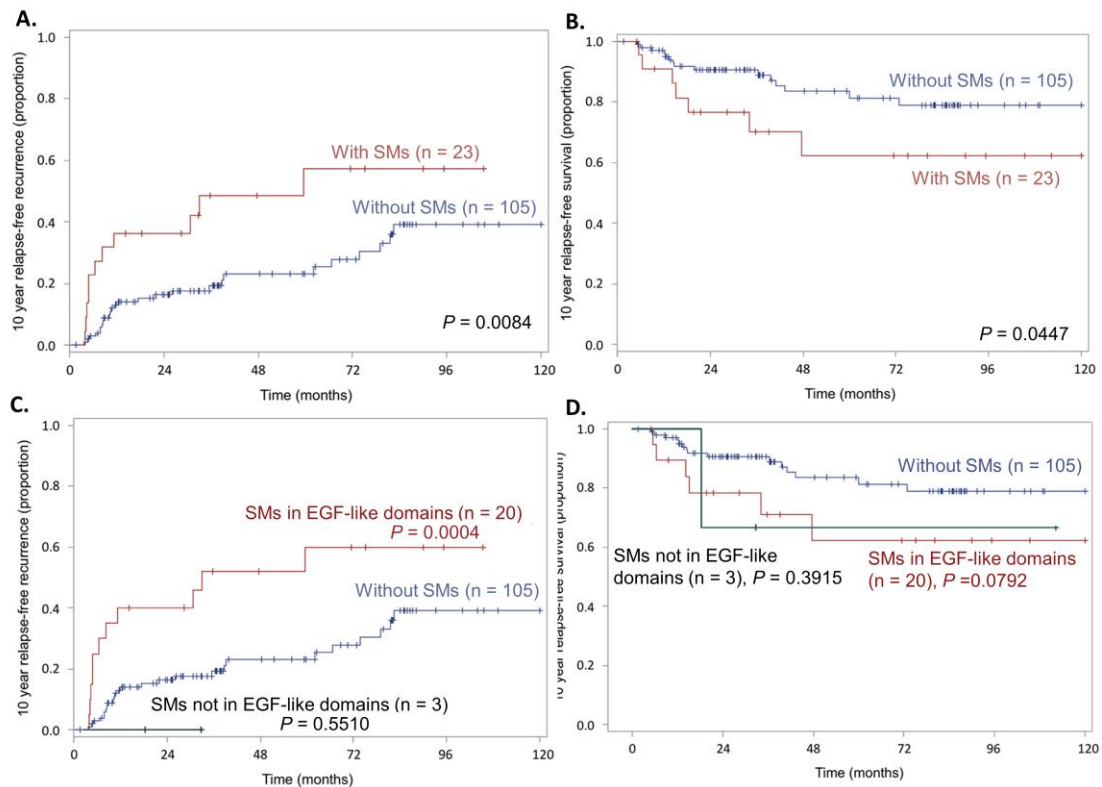
**Figure S3 | Detection of somatic mutations from 128 HNSCC patients using high-resolution melting analysis and Sanger resequencing.** (A) All the hotspot mutations identified in the discovery of variants using the HRM to high-throughput detection in the targeted sequences were validated by Sanger sequencing the tumour and the matched normal clinical DNA. The sequence graphs were analysed with the Mutation Surveyor software (version 4.0.6, Softgenetics<sup>TM</sup>, State College, PA) (B) The HRM analysis melting profile gives a specific sequence-related pattern allowing discrimination between wild-type (blue line) sequences and homozygote-heterozygote variants (red line). HRM analysis offers a faster and more convenient closed-tube method of assessing the presence of variants and gives a result that can be further investigated if it is of interest. (C) A case of a single, unmatched base calling of a true variant. Additionally, in this sample, the variant score reached a set threshold value. (D) Output table of a heterozygous analysed sequence data from the electro-photogram was the variant photogram which highlights the red box as the differences between normal and reference traces as spikes. Scores are derived from the signal to noise ratio with the dropping factor and overlapping factor expressed as  $-10 \log$  (error probability).

Figure S4



**Figure S4 | Eight missense somatic mutations mapping onto the structural model of the ligand interaction and  $\text{Ca}^{2+}$ -binding EGF-like domain of NOTCH1 in this study.** (A) The ribbon diagram was generated with Viewerlite 5.0 showing the 3D structure (PDB accession number: 2VJ3) of the  $\text{Ca}^{2+}$ -binding EGF-like domain of NOTCH1. A space-filling representation is shown around the central  $\text{Ca}^{2+}$ . There are five side-chain oxygen ligands in an approximately pentagonal arrangement coordination of  $\text{Ca}^{2+}$ . The disulfide bonds of six conserved oxygen ligands are shown in the present by stick. The red sphere indicated the  $\text{C}\alpha$  carbon of the somatic mutations characterized in the study. (B) The location and substitutions responsible for the ligand interaction somatic mutations (red spheres of the wild-type C-alpha atoms) are clustered at the interface between the EGF-like domains of NOTCH1 and ligand binding domain of DLL4 indicated on a three-dimensional model (PDB accession number: 4XL1). Single letter abbreviation of amino acid is used, and the NOTCH1 is displayed as a backbone cartoon (coloured with blue, cyan and purple indicated the EGF-like domain 11, 12 and 13, respectively) complex with space filling representations of its ligand DLL4 (coloured with pink, green and orange revealed the EGF1, DSL and MNNL, respectively). The white surface of DLL4 represents the contact interface with NOTCH1.  $\text{Ca}^{2+}$  ions are shown as yellow spheres, and NOTCH1 *O*-glycans are highlighted in ball-and-stick mode.

Figure S5



**Figure S5 | Kaplan-Meier estimates of 10-year relapse-free recurrence and survival proportion in 128 HNSCC patients. (A)** Patients with SMs have significantly higher recurrence rate, **(B)** Patients with SMs have significantly lower survival rate, **(C)** Patients with SMs in EGF-like domain (representing majority of SMs found in this study) have significantly higher recurrence rate, **(D)** Patients with SMs in EGF-like domains vs. not in EGF-like domains may have lower survival rate ( $P=0.0792$  vs.  $P=0.3915$ ).

**Table S1** | SMs identified in NOTCH1 gene from 23 HNSCC patients.

#	Case ID <sup>a</sup>	Exon	Genome Positions <sup>b</sup>	cDNA position <sup>c</sup>	AA Change	Variant type	Effected domains <sup>d</sup>	Predicted Effect	COSMIC ID <sup>f</sup>
1	X06216001	4	139,417,387	c.657C>T	p.Y219	synonymous	-	-	COSM1106904
2	X06023402	6	139,413,161	c.981G>C	p.W327C	missense	EGF-like repeats	-	-
3	T11369702	6	139,413,087	c.1055A>T	p.D352V	missense	EGF-like repeats	Calcium binding	-
4	X07308202	6	139,413,072	c.1070T>C	p.F357S	missense	EGF-like repeats	Calcium binding	COSM4984793
5	X07325401	6	139,413,072	c.1070T>C	p.F357S	missense	EGF-like repeats	Calcium binding	COSM4984793
6	X05184701	7	139,412,717	c.1127G>T	p.C376F	missense	EGF-like repeats	Disulfide binds	-
7	X08162002	7	139,412,690	c.1154C>A	p.S385Y	missense	EGF-like repeats	O-glucosylation site	-
8	X06125403	7	139,412,661	c.1184A>T	p.K395X	nonsense	EGF-like repeats	Truncated form	-
9	T11042802	8	139,412,282	c.1363G>A	p.E455K	missense	EGF-like repeats	Calcium binding and Ligand binding site	COSM4525934
10	X06065401	8	139,412,249	c.1396A>G	p.T466A	missense	EGF-like repeats	O-glucosylation site and Ligand binding site	-
11	X05030902	12	139,409,770	c.1986C>G	p.Y662X	nonsense	EGF-like repeats	Truncated form	-
12	T09269701	12	139,409,752	c.2004insC	-	frameshift	EGF-like repeats	Truncated form	-
13	X08049403	13	139,408,989	c.2180A>T	p.H727L	missense	EGF-like repeats	Truncated form	-
14	X06125403	17	139,405,191	c.2654insC	-	frameshift	EGF-like repeats	Truncated form	-
15	T11104401	17	139,405,192	c.2650_2653delTGCC	-	frameshift	EGF-like repeats	Truncated form	-
16	T06160601	18	139,404,256	c.2898C>G	p.S966R	missense	EGF-like repeats <sup>e</sup>	Calcium binding	-
17	X06160602	18	139,404,256	c.2898C>G	p.S966R	missense	EGF-like repeats <sup>e</sup>	Calcium binding	-
18	X06200503	20	139,402,687	c.3322C>T	p.Q1108X	nonsense	EGF-like repeats <sup>e</sup>	Truncated form	-
19	X04179501	22	139,401,813	c.3587G>A	p.G1196D	missense	EGF-like repeats	-	COSM4544496
20	T11398301	22	139,401,758	c.3642G>A	p.Q1214	synonymous	-	-	-
21	X08166602	25	139,400,278	c.4070G>A	p.C1357Y	missense	EGF-like repeats	Disulfide binds	-
22	T11159201	25	139,400,017	c.4331T>A	p.L1444Q	missense	LNR	-	-
23	X05123402	28	139,396,889	c.5119C>T	p.A1740V	missense	Transmembrane region	Transmembrane region	-
24	T11291901	30	139,396,302	c.5536C>T	p.Q1846X	nonsense	RAM	Truncated form	-

a. Totally, 124 patients were recruited to discovery and validate the NOTCH1 SMs in coding region. Twenty-three patients had NOTCH1 SMs, and patient X06125403 carried 2 different mutations.

b. All SMs coordinates were transferred to GRCH37.p13 (NC\_000009.11) annotation release 105 and using human transcript annotation imported from Ensemble database release 69.

c. Nucleotides and amino acids numbers are according to the GenBank accession numbers NM\_017617.3 and NP\_060087.3, respectively.

d. The distribution of protein domains annotated by SMART database [2] including 36 tandem EGF repeats (amino acids 23 to 1,426), 3 Lin-12/N repeats (LNR, amino acids 1,442 to 1,562) and RBP-JK-associated molecule region (RAM, amino acids 1,757 to 1,865).

e. Abruption: EGF repeats 24-29 is known for dominant mutation which activate Notch signaling bearing O-fucosylation sites.

f. Five somatic mutations were found in the database of Catalogue of Somatic Mutations in Cancer (COSMIC) v73, and 19 were novel in this study.



**Table S2 |** HRM analysis used the primers in the NOTCH1 coding region.

Primer name	Forward	Primer name	Reverse	Size (bp)
NOTCH1 E1-F	AATTTACGCCGCGGTGT	NOTCH1 E1-R	CCAAAGGGCGCGAAAGT	193
NOTCH1 E2-F	TGAGACTGACCTCTCTCTCTG	NOTCH1 E2-R	AAAGCAACAGGTCCCGCAG	145
NOTCH1 E3-F	TCTGGCACATCTGCCAACAG	NOTCH1 E3-R	AAGCTGTGGGTCTCCCT	304
NOTCH1 E4-1-F	CTCTTGTCCTTGTCTCCAG	NOTCH1 E4-1-R	TAGGAGCCGACCTCGTTGTG	223
NOTCH1 E4-2-F	TCAACGAGTGTGGCCAGAAG	NOTCH1 E4-2-R	ACCAGCGGGCAGCACTAC	225
NOTCH1 E5-F	TACCTCAGGGAAGAGGCTGA	NOTCH1 E5-R	GTAAGTGGGTAGCAGCCCC	221
NOTCH1 E6-F	CAGCTCCTGTCCCTGCAG	NOTCH1 E6-R	TGGGCCTCAAGGCACTCAC	272
NOTCH1 E7-F	TTCCTACTGACCCCGCT	NOTCH1 E7-R	AACCTGTGCTGGCACTAC	203
NOTCH1 E8-F	AAACAGCCTCTCACCCGTGT	NOTCH1 E8-R	CAGCCTCGACTCGTTTCC	259
NOTCH1 E9-F	TCGTTTCTGTCCAAGTCCAC	NOTCH1 E9-R	AAGCCAGGGTGCAGACGA	246
NOTCH1 E10-F	GAAGGGCCATAGTCTGTTG	NOTCH1 E10-R	AGACCAAGGTGTCCATGACC	261
NOTCH1 E11-F	CGCCAGTCCTAAGTCTTCC	NOTCH1 E11-R	ACGTGTCCGGTCACTCTCC	348
NOTCH1 E12-F	TGAGGACTGACCCGACAGCT	NOTCH1 E12-R	TCTGAGCACAGTGCAGTCAG	186
NOTCH1 E13-F	TGGGCCTCGGAGTCTGAC	NOTCH1 E13-R	TGATGTGTCCCATGATCGG	259
NOTCH1 E14-F	CTCGACCTGCAGTGTGGT	NOTCH1 E14-R	CACTGAGAAACGCGCAGC	201
NOTCH1 E15-F	GGACATGCCGAGTGTCTGT	NOTCH1 E15-R	TAAAGCACAGGCCCCACC	174
NOTCH1 E16-F	TTAGGTGTGTCTCTTCCCG	NOTCH1 E16-R	ACAGACATCTGACCTCCCA	196
NOTCH1 E17-F	TTGACCAACCGGCCTCT	NOTCH1 E17-R	ATTCTGGAGGAGGCCAGA	219
NOTCH1 E18-F	ACTCACCTTCCGCTCTCT	NOTCH1 E18-R	TTCCACGGCTCACTCGA	282
NOTCH1 E19-F	CACCAATGCCCTCCACTCA	NOTCH1 E19-R	TCCTTCGGGCACCTCTGT	280
NOTCH1 E20-F	CCAGCTGACCCCAATCTGT	NOTCH1 E20-R	GGTGGGCACAGCAGGTTAC	198
NOTCH1 E21-F	GGGCCTCACCTGTCTACCA	NOTCH1 E21-R	CGGCCACAACCTTACCCTA	253
NOTCH1 E22-F	CGTTCTGTGCCTGCACA	NOTCH1 E22-R	TACGTGCAGGGCCCTTA	171
NOTCH1 E23-F	ACTGACGAAACCTGGCCC	NOTCH1 E23-R	TAACCTGCTGCCCCACA	328
NOTCH1 E24-F	CTGCCCTGCTTACCCTCA	NOTCH1 E24-R	AATGCACCCTGCACCTAC	153
NOTCH1 E25-1-F	ACCGTCCTGTCTTCCCTCT	NOTCH1 E25-1-R	TAGCACGCCTCTCGATCA	361
NOTCH1 E25-2-F	TTGTGCCACATCCTGGACTAC	NOTCH1 E25-2-R	ATGAGCCCCGAGCCTTA	336
NOTCH1 E26-1-F	TAAGGCGGCCTGAGCGT	NOTCH1 E26-1-R	AAGATCATCTGCTGGCCGT	297
NOTCH1 E26-2-F	AACGTGGTCTTCAAGCGTGA	NOTCH1 E26-2-R	GGAGAGTTGCGGGATTGA	255
NOTCH1 E27-F	CTCTCTGATTGCCGCCAG	NOTCH1 E27-R	GGGATGGGGCCACTTAC	188
NOTCH1 E28-F	TCTGATGCCGGGCACCT	NOTCH1 E28-R	AACGGGGACCCAGAAGCA	294
NOTCH1 E29-F	GCTCAGCCTCACTTCTCGA	NOTCH1 E29-R	CATAGAGGGAGTGAGCAGAGC	206
NOTCH1 E30-F	GGCTGCTGCTCACTCCCTCA	NOTCH1 E30-R	GGCTGCTGGCACCCCTTAC	209
NOTCH1 E31-F	AGACTGAGCACCCGTCTCT	NOTCH1 E31-R	ACAGGCAGCCACTGCCTA	344
NOTCH1 E32-F	TCCTGAGCCTCTCCCTGTT	NOTCH1 E32-R	AAACGACAGAGCAGCCGT	207
NOTCH1 E33-F	CCATCTGCTTCTTACGCAG	NOTCH1 E33-R	TGGATTACGCCCTCACGTCT	166
NOTCH1 E34-F	CCTCTGGTGTGGAACCTTGG	NOTCH1 E34-R	CCGAAGGCTTGGGAAAGGAA	1,611



**Table S3** | Characteristics of 564 HNSCC and controls were recruited to verify the association

<b>Variable †</b>	<b>HNSCC N=282</b>	<b>Controls N=282</b>	<b>P-value</b>
Male, N (%)	267 (94.7)	275(97.5)	0.08
Age, year (SD)	53.8 (10.4)	50.8 (14.3)	0.004
Alcohol, N (%)			
No drinker	92 (32.6)	200 (70.9)	< 0.0001
Drinkers	190 (67.4)	82 (29.1)	
Betel quid, N (%)			
No chewers	50 (17.7)	244 (86.5)	< 0.0001
Chewer	232 (82.3)	38 (13.5)	
Cigarette, N (%)			
No smokers	39 (13.8)	133 (47.2)	< 0.0001
Smokers	243 (86.2)	149 (52.8)	

† Substance users included ex-users and current users