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# Bridging the time gap: Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> methanol synthesis catalyst studied under industrial relevant conditions and time scales

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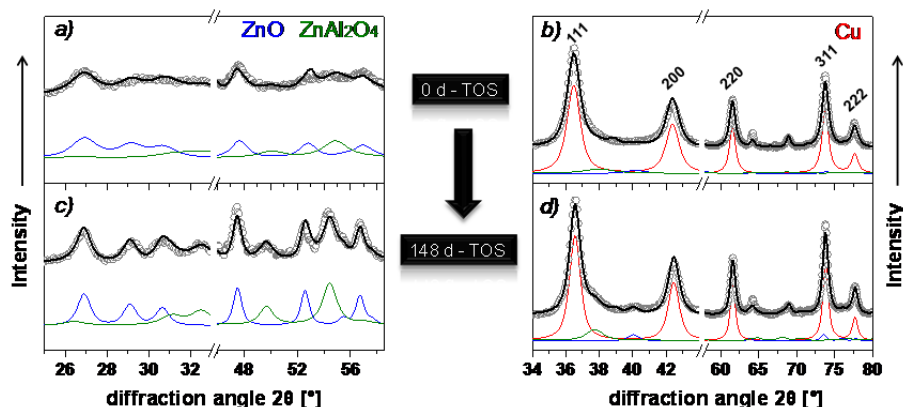
## 1 Abstract

2 Long-term stability of catalysts is an important factor in chemical industry. This factor is often  
3 underestimated in academic testing protocols which may lead to a time-gap in the field of catalytic  
4 research. Here, we report on the deactivation behavior of an industrially relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst  
5 for methanol synthesis over a period of 148 days time-on-stream, investigated by a combination of  
6 quasi *in-situ* and *ex-situ* analysis techniques. The results show that ZnO is the most dynamic species  
7 in the catalyst, whereas only slight changes can be observed in the Cu nanoparticles. Thus, the  
8 deactivation of this catalyst is driven by the changes in the ZnO moieties. Our findings indicate that  
9 methanol synthesis is an interface mediated process between Cu and ZnO.

10

11 Methanol, one of the most important industrial chemical, is produced from syngas (CO, CO<sub>2</sub>, H<sub>2</sub>)  
12 over ternary Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst at high pressure (50-80 bar) and elevated temperature (240-  
13 280 °C). Due to its high energy density (15.8 MJ/l), methanol is considered as a prospective key-  
14 compound for chemical energy and hydrogen storage.<sup>[1]</sup> However, its applicability as renewable fuel is  
15 still limited by the low efficiency cost intensive synthesis process. Improving and understanding this  
16 catalytic process is of great interest, but remains rather complex. Since the advent of more and more  
17 new sophisticated analysis tools the amount of generated knowledge in the field of “methanol  
18 synthesis” increased enormously. The majority of the produced data can be grouped into the following  
19 three different strategies: The first one generates mechanistic insights into the working catalysts with a  
20 pronounced theoretical support, often on model systems.<sup>[2]</sup> The second one deals with the empirical  
21 development of new and more efficient methanol catalysts.<sup>[3]</sup> The third group focuses on an increase of  
22 the catalytic stability of the industrial relevant catalysts.<sup>[4]</sup> To date, more than 95 % of the current  
23 academic research is based on the first two approaches. Although the working mechanisms of  
24 industrial methanol catalyst have been investigated extensively its actual active phase is still under  
25 debate and candidates range from pure Cu surfaces<sup>[5]</sup> over an active Cu<sup>δ+</sup>-O-Zn<sup>[6]</sup> interface and/or a  
26 synergy<sup>[7]</sup> between Cu-ZnO to an active CuZn surface alloy.<sup>[8]</sup> This catalytic odyssey may be caused by  
27 a diversity of different materials and synthesis/testing protocols that were applied, which often do not  
28 mirror industrially relevant conditions. The diversity of results further underlines the difficulty in  
29 investigating this complex catalyst material and show the importance of confining the applied industrial  
30 process parameters in the academic world.

31 The lifetime of an industrial applied catalyst for methanol synthesis is an important factor. As opposed  
32 to industrial protocols, standard academic test protocols reveal experiments of only a few hours time-  
33 on-stream (TOS) or the accelerated aging approach.<sup>[9]</sup> This suggests the presence of a time gap  
34 between industrially relevant and academic testing conditions. Thus, conclusions considering the long-  
35 term performance may be based on extrapolated data and/or are speculative. However, the  
36 development of a research concept interrogating the long-term stability requires the knowledge of the  
37 mechanism of deactivation. Here, we report on a study of the catalytic activity of an industrially relevant  
38 Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst over a period of 148 days TOS under relevant conditions [60 bar, 230 °C,  
39 syngas (8 CO<sub>2</sub>/ 6 CO/ 59 H<sub>2</sub>/ 27 inert)].

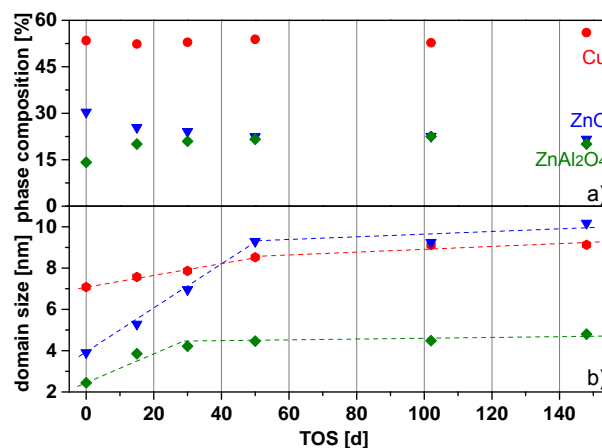


**Figure 1. Quasi *in-situ* ND measurements.** Excerpts of the ND patterns (grey circles) (a, c) after the first contact to the reaction feed (0 days) and (b, d) after 148 days TOS. The total fit curve (black), and the relevant phases Cu (red), ZnO (blue) and Zn,Al-spinel (green) are shown. The complete ND pattern is shown in the SI (Figure S11).

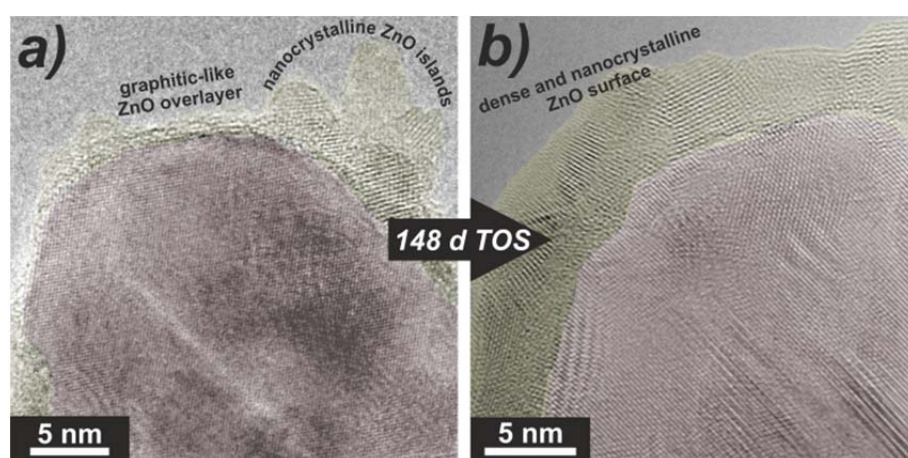
Several grams of the industrial catalyst were retrieved from the catalysts bed after 0, 15, 30, 50, 102 and 148 days TOS. All batches were recovered and transferred without exposure to ambient air. Subsequently, the samples were analysed by a series of independent integral and differential methods ranging from quasi *in-situ* neutron diffraction (ND) over *ex-situ* techniques such as high resolution transmission electron microscopy (HRTEM), to surface sensitive measurements like specific surface area (BET-SA), N<sub>2</sub>O reactive frontal chromatography (RFC) and H<sub>2</sub> transient adsorption (TA), which address all important components in this ternary catalyst systems.

ND is a suitable technique to characterize heterogeneous catalysts *in-situ* under working conditions and study their structural transformation.<sup>[10]</sup> The ND data provides important integral information like phase compositions and domain sizes of the catalyst material after different TOS. The parameters were extracted by full-pattern analysis with the Rietveld method using *TOPAS 5*<sup>[11]</sup> over a wide  $2\theta$  range. Figure 1 illustrates the ND pattern excerpts of Zn-containing phases and Cu, obtained after 0 days (Figure 1a, c) and 148 days (Figure 1b, d) TOS, respectively. The 0 days TOS sample was measured after reaching steady conditions under the respective pressure and temperature. For all measurements, the theoretical fit (black curve) agrees reasonably well with the experiment (grey circles) and shows only minor deviations. ND full patterns of Figure 1 and of all batches are provided in the supporting information.

**Figure 2. Quantitative analysis of the ND data.** a) Phase composition of Cu, ZnO and Zn,Al-spinel of the catalysts over TOS. The data were obtained by Rietveld refinement of the ND patterns. b) Volume weighted mean domain sizes ( $L_{vol}/B$ ) of the corresponding phases, calculated from the width of the reflections. Red circles denote Cu nanoparticles, blue triangles correspond to ZnO and green diamonds represent Zn,Al-spinel.



1 Only reflections that correspond to metallic Cu (red curve), ZnO (blue curve) and ZnAl<sub>2</sub>O<sub>4</sub> (green  
2 curve, Zn,Al-spinel) are observed. For the 0 days TOS, the weak reflections correspond to  
3 nanocrystalline ZnO and Zn,Al-spinel, respectively (Figure 1a). Metallic Cu<sup>0</sup> is the most dominant  
4 phase which can be assigned to the most pronounced reflections (Figure 1b). As opposed to the ND  
5 pattern of the 0 days TOS batch, the ND pattern of the catalyst removed after 148 days TOS (Figure  
6 1c, d) consists of sharper reflections (see evolution of domain sizes, Figure 2). However, only minute  
7 changes in the sharpness of the ND reflections corresponding to Cu<sup>0</sup> can be found (Figure 1d). As  
8 highlighted in Figure 1c, the situation is different for the Zn-containing phases (ZnO and the Zn,Al-  
9 spinel). After the long-time experiment both oxide phases are significantly more crystalline compared to  
10 the initial sample. It should be noted that the fit model used, interprets the sample contribution to the  
11 ND peak broadening exclusively in terms of the size effect. While defects like strain or stacking faults  
12 certainly also contribute to some degree,<sup>[10a]</sup> a reasonable deconvolution of these factors is precluded  
13 by the complexity of the multi-phase pattern.



14 **Figure 3. ZnO crystallization on Cu nanoparticles after TOS.** TEM images of 0 days TOS (a) and 148 days  
15 TOS (b). ZnO moieties are colored yellow. Cu nanoparticles are highlighted in red. Original and further images  
16 are given in the SI.

17 As revealed by the quantitative evaluation of the ND patterns (Figure 2) the Cu content within the  
18 crystalline phases of the industrially relevant catalyst does not change significantly and remains almost  
19 constant at 50% for all TOS (Figure 2a). A more severe change is displayed in the content of ZnO and  
20 Zn,Al-spinel (Figure 2a). In the first 50 days TOS the catalyst is modified by an enrichment of the  
21 crystalline Zn,Al-spinel phase (14 to 21%), whereas in the same time frame the crystalline content of  
22 the ZnO phase is significantly reduced (30 to 23%). This trend indicates that initially amorphous Al-  
23 oxide species crystallize under reaction conditions into Zn,Al-spinel at the expense of crystalline ZnO.

24 Figure 2b shows the domain sizes of Cu nanoparticles, ZnO and Zn,Al-spinel. The coherently  
25 scattering domain sizes of the Cu nanoparticles slightly increase ( $7.1\pm 0.2$  to  $9.1\pm 0.3$  nm) during the  
26 prevailing time of the catalytic reaction (Figure 2b). The increase of the Cu crystallite size is in  
27 agreement with the observed slight sharpening of the reflections (Figure 1) and can be attributed to a  
28 weak sintering of the metal component. For the nanocrystalline Zn,Al-spinel a more pronounced  
29 increase in the domain sizes from  $2.4\pm 0.5$  to  $4.2\pm 0.6$  nm is found for the first 30 days TOS which  
30 remains constant for longer TOS. The ZnO nanoparticles exhibit the strongest modifications in the  
31 domain sizes in the first 50 days TOS. The coherently scattering domain is enlarged by 138% from  
32  $3.9\pm 0.7$  to  $9.3\pm 1.3$  nm. Thus, the observed alterations in the ND pattern can be assigned to two  
33 competing processes that seem to be detrimental for the long-term stability of Cu based industrially  
34 relevant catalysts: (i) the formation and crystallization of Zn, Al-spinel and (ii) the sintering of the ZnO  
35 nanocrystals. To which extent one process influences or support the other process remains unclear,

1 but it seems likely that the crystallization of the Zn,Al-spinel occurs after the changes of the metastable  
2 ZnO phase into a phase stable under normal reaction conditions. The catalyst consists of 12 wt.% of  
3 Al<sup>3+</sup>. Behrens et al.<sup>[3a]</sup> have shown that upon reductive activation, parts of the Al<sup>3+</sup> (3-4 wt.%) will form a  
4 solid solution with the ZnO moieties which leads to structural and electronic promotion of the intrinsic  
5 catalytic performance. In addition, ZnO:Al also possess a higher sinter resistance compared to pure  
6 ZnO.<sup>[12]</sup> The excess Al<sup>3+</sup> likely remains as ND amorphous  $\gamma$ -Al<sub>2</sub>O<sub>3</sub>, which is a defective spinel structure  
7 and can react with ZnO to dispersed Zn,Al-spinel (Figure 1) during methanol synthesis. After 30 days  
8 TOS the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> is consumed and the absence of direct Zn,Al-spinel contacts may prevent further  
9 sintering. In the time period from 30 to 50 days TOS sintering and growth of the remaining ZnO  
10 nanoparticles continues. These two phenomena indicate that in an early TOS state the dissolved Al<sup>3+</sup>  
11 in ZnO is also consumed and forms the spinel phase, which diminishes the sinter resistivity of ZnO.  
12 After 50 days TOS also the sintering of larger ZnO nanoparticles rapid flattens.

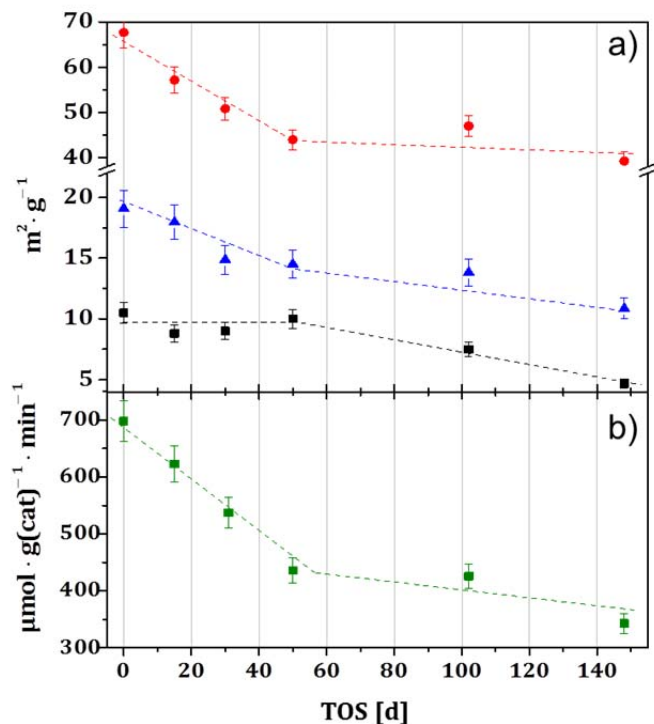
13 The mobility of the ZnO phase in the investigated industrial relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst can be  
14 visualized by a comparative HRTEM study of batches retrieved from the catalyst bed after 0 days TOS  
15 (Figure 3a) and 148 days TOS (Figure 3b). The overview TEM image in Figure S3a shows a porous  
16 microstructure of the catalyst after 0 days TOS with well separated particles. We recently, reported on  
17 the occurrence of a graphitic-like ZnO<sub>1-x</sub> overgrowth on top of the nanostructured Cu nanoparticles  
18 formed after reductive activation.<sup>[13]</sup> Here, the HRTEM images (Figure 3a, b) demonstrate a partial  
19 conversion of this metastable ZnO<sub>1-x</sub> overgrowth into separated nano-islands on top of the Cu  
20 nanoparticles after contact to syngas at high pressures. In contrary, the overview image of the sample  
21 148 days TOS (Figure S3e) shows a densely packed microstructure indicating the agglomeration of the  
22 catalyst. HRTEM images (Figure 3a, b) further reveal a dense poly-crystalline ZnO layer on top of  
23 defective Cu nanoparticles. No graphitic-like ZnO<sub>1-x</sub> overgrowth was observed. In addition, all TEM  
24 images in Figure 3 and S3 suggest that the Cu nanoparticles are supporting the ZnO moieties.

25 The observed reflections in the detailed ND analysis arise from the stable wurtzite ZnO nanocrystals.  
26 The TEM images revealed severe changes in the local surface composition of the catalyst due to the  
27 transformation of the Zn containing phases which are initially kinetically stabilized, e.g. the ZnO<sub>1-x</sub>  
28 overlayer and ZnO:Al phase. The reaction conditions (T, p) and the chemical potential of the gas feed  
29 induce the changes of the catalyst. In our case the sintering of the ZnO nanoparticles, the densification  
30 of the ZnO on the Cu surfaces and the Zn,Al-spinel formation are irreversible processes under the self-  
31 regulated chemical potential (see catalytic activity in Figure 4b). For instance, the metastable ZnO  
32 crystallites are converted into stable wurtzite ZnO and Zn,Al-spinel phases which represent the global  
33 minimum on the energy hyper-space under these conditions.

34 To study the consequences of the altered surface quantitatively in more detail, a sequence of  
35 different sorption experiments was conducted (Figure 4a). From nitrogen sorption experiments the  
36 specific BET-SA of the catalysts after different TOS were calculated and plotted in Figure 4a (red  
37 circles). The BET-SA decreases in the first 50 days TOS from 68 to 44 m<sup>2</sup>/g and remains almost  
38 constant for longer TOS (44 to 39 m<sup>2</sup>/g). N<sub>2</sub>O-RFC measurements allow the quantification of the Cu  
39 surface area and redox active sites on ZnO, which are interpreted as oxygen vacancies in ZnO<sub>1-x</sub> or Zn  
40 sites of a surface alloy<sup>30</sup> and are presented in Figure 4a (blue triangles).

41 The N<sub>2</sub>O-SA shows a strong decrease from 19 to 14.5 m<sup>2</sup>/g within the first 50 days TOS followed  
42 by a slight reduction of the N<sub>2</sub>O-SA until 148 days TOS from 14.5 to 11 m<sup>2</sup>/g. Due to measuring both  
43 Cu-SA and oxygen vacancies, a detailed interpretation of the N<sub>2</sub>O-SA data can only be obtained if the  
44 pure Cu-SA is considered. The Cu-SA was measured applying the H<sub>2</sub>-TA technique<sup>[14]</sup> (Figure 4a,  
45 black squares). The difference in N<sub>2</sub>O-SA and Cu-SA can be interpreted as a measurement for the  
46 amount of partially reduced ZnO<sub>x</sub> sites that likely play a synergistic role in methanol synthesis over Cu-  
47 based catalysts. The Cu-SA stays almost constant (~10 m<sup>2</sup>/g) in the first 50 days TOS indicating that

1 the loss of the N<sub>2</sub>O-SA can mostly be assigned to a reduction of the oxygen vacancies within the ZnO<sub>1-x</sub>  
 2 moieties. The behavior of the sorption experiments parallels the sintering course of the ZnO domains  
 3 which also stopped after 50 days TOS.  
 4



5  
 6 **Figure 4. Surface characteristics and activity data of the catalyst for different TOS.** a) Surface sensitive  
 7 sorption measurements. Red circles denote the specific surface of the system as derived from the BET method.  
 8 The blue triangles indicate N<sub>2</sub>O-RFC measurements resulting in Cu surface area plus redox active sites on ZnO.  
 9 Black squares represent the H<sub>2</sub>-TA data that shows the actual Cu surface area. B) Activity data of the catalyst. All  
 10 data are plotted against the TOS. The dashed lines are guides to the eye.  
 11

12 As soon as the ZnO domains are structurally stabilized, the Cu-SA starts to decrease from 10 to 5  
 13  $\text{m}^2/\text{g}$ . According to Figure 2b, S3f and S3g, a dense and nanocrystalline layer of ZnO covers the Cu  
 14 particles and limits the diffusion of N<sub>2</sub>O and H<sub>2</sub> to the Cu surfaces.

15 In addition, the crystallization of the Zn-containing phases causes changes in the Cu/ZnO  
 16 interface. These changes may induce a dynamic mesoscopic process (agglomeration) and can explain  
 17 the slight decrease of the N<sub>2</sub>O-SA and BET-SA from 50 to 148 days TOS.

18 The influence of the above mentioned structural and surface modifications on the catalytic activity  
 19 in methanol synthesis is displayed in Figure 4b. Similar to the crystallization and sintering of the ZnO  
 20 nanoparticles (Figure 1) as well as to the sorption experiments (Figure 4a) the rate of methanol  
 21 production strongly decreases for the first 50 days TOS. However, for further TOS only a minor change  
 22 can be observed. Thus, the catalytic activity can be directly correlated to the decrease of the surface  
 23 properties. The intrinsic high activity accompanied with a metastable polymorph of ZnO underlines the  
 24 relevance of high-energy structures generating the active phases in catalysis under reaction  
 25 conditions.<sup>[15]</sup> Subsequent, energetically driven alteration of the ZnO moieties, including the induction of  
 26 crystallization and sintering of ZnO causes a decrease in the catalytic activity, which can be also  
 27 attributed to the loss of oxygen vacancies as shown by the N<sub>2</sub>O measurements (Figure 3b). As  
 28 opposed to shorter TOS where rapid sintering of ZnO and (Zn,Al) spinel is dominating the deactivation,  
 29 after 50 days TOS “slow” sinter processes in the Zn containing phases and Cu nanoparticles (Figure  
 30 2b) are observed. This “slow” sinter processes flatten the H<sub>2</sub>-TA curve (and N<sub>2</sub>O-RFC, Figure 4a)

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1 indicating that the loss in surface area is now not due to the covering of the Cu particles and the loss of  
2 interphase, but by the increased particle sizes. In addition, the results suggest that the minute changes  
3 of the Cu moieties (as main compound of the catalyst) have little impact on the performance of the  
4 catalyst within the first 50 days TOS, when the decisive deactivation occurs. The accessible Cu-SA  
5 remains almost stable for this time span, but the activity decreases enormously.

6 In conclusion, our findings lead to two new insights into industrially relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalysts for  
7 methanol synthesis:

8 **i)** The early deactivation mechanism is dominated by the partial restructuring of the ZnO moieties  
9 associated with the re-crystallization of the whole ZnO phase. This influences the stability of the  
10 interface between ZnO and Cu. Furthermore, the finding highlights the role of ZnO being a co-catalyst  
11 rather than a "support", which prevents the Cu nanoparticles from sintering. It is therefore insufficient to  
12 describe industrially relevant methanol catalysts only with a Cu surface model. In addition, the copper  
13 surface area obtained by N<sub>2</sub>O-RFC measurements are often interpreted as "Cu-SA", although they also  
14 quantify additional redox active sites on reduced ZnO<sub>1-x</sub>.<sup>[16]</sup> A possible scenario, in which the important  
15 role of Cu can be highlighted, is an interface mediated catalytic process between Cu-ZnO or Cu<sup>δ+</sup>-O-  
16 ZnO, which was already discussed in the literature as a candidate for the active phase.<sup>[6]</sup> The  
17 crystallization of ZnO moieties leads to a loss of this interface and to deactivation. This process  
18 explains the trends observed in the catalytic and surface sensitive data. Thus, the deactivation data  
19 excludes a surface alloy or unpromoted Cu as candidates for an active site. The Cu/Cu<sup>δ+</sup>-ZnO synergy  
20 (quantified by the difference of N<sub>2</sub>O-SA and Cu-SA) is also strongly affected by the catalysts dynamics,  
21 but is still present at 148 days TOS (N<sub>2</sub>O-SA > Cu-SA).

22 **ii)** The results of this longtime deactivation study demonstrate the importance of investigating the  
23 catalyst performance for industrial relevant TOS, which gives rise to a "time gap" in conventional  
24 academic studies. Besides, the "materials gap" underestimates the role of ZnO as the most mobile  
25 compound in the system. Finally, the control of the dynamic nature of ZnO under the working  
26 conditions and its promotion by Al<sup>3+</sup> seems to be the key parameter for stabilizing the catalyst and its  
27 activity over a long TOS range.

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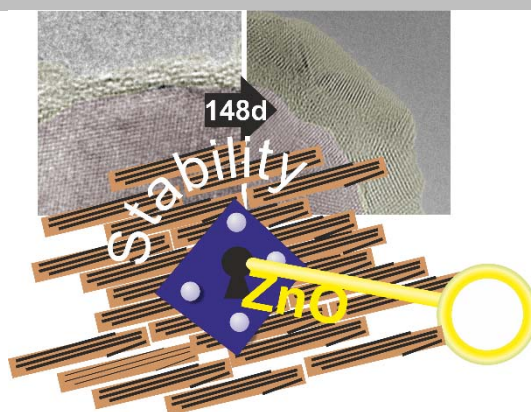
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## Entry for the Table of Contents (Please choose one layout)

Layout 1:

### TOC

**ZnO the key for stability:** As opposed to industry, long-term stability tests of catalysts are often underestimated in academia. We investigated the deactivation of industrially relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> catalyst for methanol synthesis over a period of 148 days time-on-stream with a combination of quasi *in-situ* and *ex-situ* analysis techniques. Our findings indicate that controlling the polymorphism of ZnO is the



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**ZnO dynamics: The deactivation mechanism of an industrially relevant Cu/ZnO/Al<sub>2</sub>O<sub>3</sub> methanol synthesis catalyst**

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