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1                   **Head motion in children with ADHD during resting-state brain imaging**

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7  
8                   **Abstract**

9                   Although head motion during scanning has been largely considered to reflect simply  
10                   technical artifacts, there is growing evidence showing that the variable of head motion reflects  
11                   valuable information regarding individual's psychological and/or clinical factors. Detailed  
12                   studies would not only help to deal with the head motion biases, but they also help researchers in  
13                   understanding the mental disorders. In this study, children with ADHD and  
14                   demographically-matched typically developing control (TDC) participants underwent rs-fMRI  
15                   examination without any specific task, and six mean single head motion parameters (three  
16                   translational and three rotational) and a summary motion index for each participant were obtained.  
17                   We found that patients with ADHD showed specific patterns of head motion during scanning:  
18                   motion was significantly increased in the ADHD group, which was mainly contributed by the  
19                   motion around and along the superior-to-inferior direction. Furthermore, the cross-validation  
20                   classification analyses showed that the head motion could accurately distinguish children with  
21                   ADHD from the healthy controls. These results suggest that head motion during scanning  
22                   reflects useful information about the participants and accounting for head motion from MRI data  
23                   may be helpful for ADHD diagnosing and treatment with neuroimaging.

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25                   Keywords: Head motion; ADHD; resting-state fMRI

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## 1 **1. Introduction**

2 Head motion during MRI scan is undesirable in brain mapping studies, because it not only  
3 displaces the brain in space, but also interferes with the MR signals (Friston et al., 1996; Bullmore  
4 et al., 1999; Jenkinson et al., 2002; Jezzard et al., 1998; Tijssen et al., 2009). Moreover, several  
5 studies have suggested that in-scanner head motion leads to systematic biases in the analyses of  
6 functional connectivity based on resting-state fMRI (rs-fMRI) data (Power et al., 2012; Van Dijk  
7 et al., 2012; Satterthwaite et al., 2012). Thus, the head motion during scanning has been largely  
8 considered to reflect simply technical artifacts, which may even overthrow some leading theories  
9 on certain disorders (e.g., autism spectrum disorders) (Deen and Pelphrey 2012). However, there  
10 is growing evidence that individual differences in head motion may be an important variable in  
11 itself, rather than simply a confounding variable.

12 Actually, recent studies have shown that head motion is fairly consistent across MRI scans,  
13 with test-retest reliability estimates in the moderate range (around 0.60) (Van Dijk et al., 2012;  
14 Zeng et al., 2014; Kong 2014), suggesting that head motion may reflect a trait-like property of the  
15 participants (e.g., Yan et al., 2013). Furthermore, the issue has been investigated from the  
16 behavioral, neuroimaging, and genetic perspectives. Behaviorally, a recent study (Kong et al.,  
17 2014) has shown that individual differences in impulsivity predict head motion during scanning,  
18 providing the first empirical evidence that links in-scanner head motion to psychological traits.  
19 Similarly, a recent neuroimaging study (Zeng et al., 2014) has found that distant connectivity  
20 primarily in the default mode network significantly correlates with individuals' head motion  
21 during scanning, suggesting that head motion is an indicator of a specific cognitive control  
22 capacity in the individual brain. Genetically, head motion has also been shown to be moderately  
23 heritable (around 40%), according to a recent twin study (Couvry-Duchesne et al., 2014). Taken  
24 together, these latest studies suggest that the variable of head motion reflects valuable information  
25 regarding individual's psychological and/or clinical factors (Kong et al., 2014; Pujol et al., 2014;  
26 Strikwerda-Brown et al., 2014).

27 Actually, many patients, particularly those with motor control difficulties, will move much  
28 more than the healthy controls during scanning, even without any task. Although this seems an  
29 obvious problem, it has been rarely investigated. In addition, only a handful of research focuses  
30 on task-related head motion on schizophrenics (Bullmore et al., 1999; Yoo et al., 2005; Mayer et  
31 al., 2007) and stroke patients (Seto et al., 2001). It still requires additional investigations about  
32 head motion during rs-fMRI scanning. Additionally, studies in other patient population are also  
33 needed.

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1 Attention-deficit/hyperactivity disorder (ADHD) is one of the most common  
2 neuropsychiatric disorders among childhood. It is conservatively estimated that 3% to 9% of  
3 school-aged children are suffering from this disease (Anderson et al., 1987; Bird et al., 1988;  
4 Szatmari et al., 1989). Brain imaging studies on ADHD typically compare a group of ADHD  
5 children with the disorder to a group of typically developing control (TDC) (for a recent review,  
6 see Liston et al., 2011). In addition, MRI data from patients with ADHD contained more  
7 volumes with excessive head motion (e.g., > 2 mm) (Durstun et al., 2003; Kaiser et al., 2010),  
8 implying that they move more intensively than healthy controls. However, there is still no  
9 systematic research about in-scanner head motion comparisons between ADHD and TDC groups.  
10 For example, whether patients with ADHD show specific patterns of head motion during scanning,  
11 and whether and what extend head motion could be used to facilitate classification.

12 To address these issues, this report is aimed to show detailed comparisons of head motion  
13 parameters between children with and without ADHD under rs-fMRI examinations. First, we  
14 evaluated the head motion parameters of all children with rs-fMRI data and then quantitatively  
15 compared the results between the two groups. Further, we examined the predictability of ADHD  
16 on the basis of head motion during scanning.

## 17

## 18 **2. Methods**

### 19 *2.1 Participants and data acquisition*

20 The dataset used in this study was from the ADHD-200 Consortium (see ADHD-Consortium,  
21 2012 and Brown et al., 2012). We used the data collected from Beijing to minimize the  
22 variability across institutions. There were 245 children, 143 of whom were TDC (59 females;  
23 mean age =  $11.43 \pm 1.86$  years), and the rest 102 were patients with ADHD (12 females; mean age  
24 =  $12.08 \pm 2.04$  years). All participants (ADHD and TDC) were evaluated by the Schedule of  
25 Affective Disorders and Schizophrenia for Children-Present and Lifetime Version (KSADS-PL)  
26 with one parent for the establishment of the diagnosis.

27 The resting-state fMRI images were collected using a T2\*-weighted gradient-echo EPI  
28 (GRE-EPI) sequence: TR = 2 s, TE = 30 ms, flip angle =  $90^\circ$ , FOV = 220 mm, matrix size =  $64 \times$   
29  $64$ , 30 axial slices, slice thickness = 4.5 mm. Participants were instructed to keep their eyes  
30 closed and to relax during scanning. The total scan time lasted 8 min. Foam padding was used  
31 to restrict head motion within the scanner. In-scanner head motion was calculated from the  
32 resting-state fMRI images using the procedures described below.

1 Other details about measurements and inclusion criteria are available on the website  
2 ADHD-200.

### 3 2.2 In-scanner head motion calculation.

4 In neuroimaging studies, it is a standard practice to estimate the position of the head at each  
5 volume and to realign all volumes using affine transformations. Head motion estimation  
6 involved these series of affine transformations,  $T_i$ , where  $i$  indexes volume and  $T_i$  spatially  
7 registers volume  $i$  to a select reference volume (e.g., the first volume). Each transformation can  
8 be expressed as a combination of rotation and displacement components. Thus,

$$T_i = \begin{bmatrix} A_i & t_i \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

9 where  $A_i$  is a 3x3 rotation matrix and it is a 3x1 column vector of translations.  $A_i$  can be  
10 factored into three elementary rotations (pitch, yaw, and roll) about each of the three axes.

11 Differentiating the transformations across contiguous volumes, yields  $A$ , a 3x3 rotation  
12 matrix, and  $t$ , a 3x1 column vector of displacements.

$$T_i - T_{i-1} = T_i T_{i-1}^{-1} = \begin{bmatrix} A & t \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

13 Three rotation parameters and three displacement parameters can be evaluated with the  
14 method above. In practice, root mean squared (RMS) deviation, a summary statistic of  
15 in-scanner head motion, is widely used, since it summarizes six translations and rotations across  
16 all three axes (Jenkinson, 2002). The summary head motion RMS has been widely used in fMRI  
17 and DTI images processing to check the extent of head motion and make decisions about cohort  
18 formation or matching. It can be calculated directly from the affine matrices (Jenkinson, 1999).  
19 That is,

$$\text{RMS} = \sqrt{\frac{1}{5} R^2 \text{Trace}(A^T A) + t^T t}$$

20 where RMS is the RMS deviation in mm,  $R$  is a radius specifying the volume of interest ( $R = 80$   
21 mm, approximately the mean distance from the cerebral cortex to the center of the head).

22 In this study, we obtained one affine transformation for each brain volume registering to the  
23 first volume using FLIRT from FSL (<http://www.fmrib.ox.ac.uk/fsl/>). First, we got the six  
24 translational and rotational parameters for each volume from each transformation using *avscale*  
25 from FSL. In addition, the summary head motion RMS was calculated from two transformations  
26 for two continuous volumes using *rmsdiff* from FSL. That is, the in-scanner head motion was  
27 measured as the displacement of each brain volume as compared to the previous volume, which is

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1 often used to test the head motion effects (e.g., Van Dijk et al., 2012; Satterthwaite et al., 2012).  
2 All the seven head motion parameters were averaged across all volumes for each participant.

3 Ten participants (6 ADHD and 4 TDC) showed large head movements (with the 1.5\*IQR rule  
4 of the summary head motion RMS, 0.2 mm) and thus were excluded from our analyses. In  
5 addition, 28 patients who had taken psycho-stimulant medications within 48 hours prior to  
6 scanning were also excluded to avoid the medicine effects. Our analyses were based on the data  
7 of the remaining 207 participants: 67 ADHD (9 female; mean age = 12.26  $\pm$ 2.04 years) and 140  
8 TDC (59 female; mean age = 11.43  $\pm$ 1.85 years).

### 9 *2.3 Prediction of ADHD with in-scanner head motion*

10 The predictability of ADHD on the basis of in-scanner head motion was examined. The  
11 summary head motion index and six single head motion parameters, along with gender and age,  
12 were used as the classification features, whereas children's statuses (i.e., ADHD or TDC) were  
13 used as the classification labels. Support Vector Machine (SVM) algorithm (insert reference)  
14 was used and validated with the leave-one-out cross-validation method. The statistical  
15 significance was computed by a permutation test: the probability distribution of correct  
16 classification was estimated by running the same SVM analysis on 1000 surrogate samples created  
17 by randomizing their labels. The significance of the prediction accuracy with the original data  
18 was then estimated as the probability that the original accuracy was exceeded by chance.

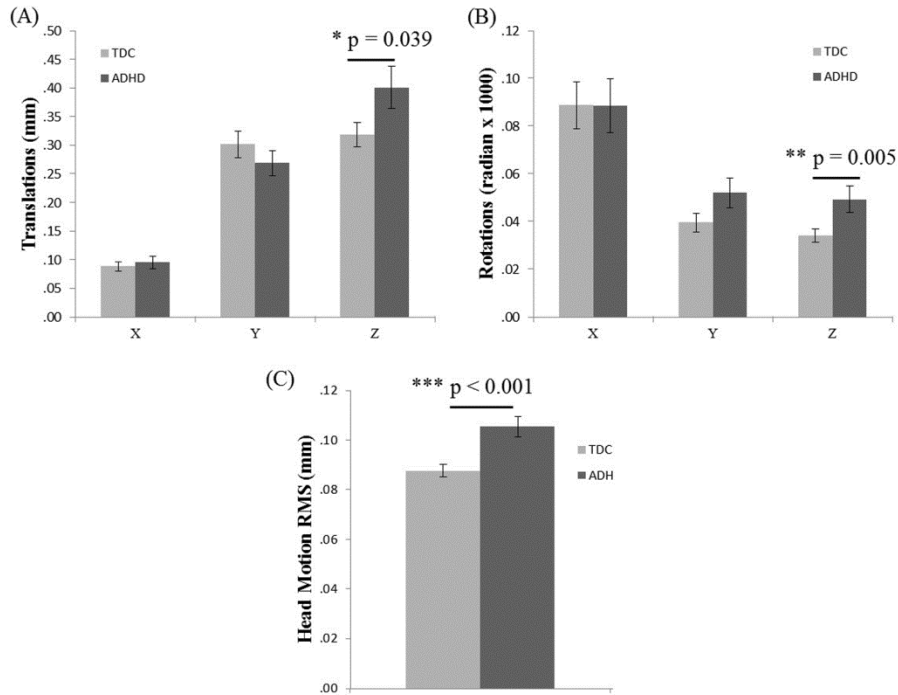
## 19 20 **3. Results**

### 21 *3.1 Overview of motion parameters within each group*

22 First, we examined the six head motion parameters within each group. The mean single  
23 head motion parameters were plotted in Fig. 1A, 1B. In terms of these parameters, the mean  
24 head motion parameters were much less than 1 mm for translations or 1° for rotations during  
25 rs-fMRI data acquisition for both control and patient groups. This indicates that most of the  
26 participants were able to undergo the rest-state fMRI scanning without significant head motion.

27 We performed paired t-tests to compare the pairs of head motion parameters within each  
28 group. For translation parameters, motion along the x-axis (left-to-right) was significantly less  
29 than motion along the y- and z-axis for both groups (ADHD:  $t(66) > 8.40$ ,  $p < 0.001$ , corrected  
30 with Bonferroni correction; TDC:  $t(139) > 10.00$ ,  $p < 0.001$ , corrected). In terms of rotational  
31 parameters, the pitch rotation (around the x-axis) was significantly greater than rotations about the  
32 two other axes for both groups (ADHD:  $t(66) > 3.50$ ,  $p < 0.001$ , corrected; TDC:  $t(139) > 5.10$ ,  $p <$   
33  $0.001$ , corrected). There was no significant difference in other pairs of head motion parameters

1 (ps > 0.15), except that for patients group, motion along z-axis (superior-to-inferior) was  
 2 significantly greater than motion along the y-axis ( $t(66) = 3.32$ ,  $p = 0.001$ , corrected). In addition,  
 3 the translations along the z-axis (superior-to-inferior) and pitch rotation were the most significant  
 4 motion patterns for both control and patients groups.



5  
 6 **Fig. 1. Head motion patterns for the ADHD and TDC groups.** In (A), three translation parameters for each group were shown.  
 7 In (B), three rotation parameters for each group were shown. In (C), the summary index of head motion was increased in the  
 8 ADHD group compared to the TDC group. Error bars indicate  $\pm 1$  SE. Asterisks indicate a significant difference between  
 9 groups (\* $p < .05$ , \*\* $p < .01$ , \*\*\* $p < .001$ ).

10

### 11 **3.2 Group comparison of motion parameters**

12 Independent-samples t-tests were applied to the six head motion parameters and the summary  
 13 head motion RMS, comparing children with and without ADHD. In terms of the six parameters,  
 14 we found that the yaw rotation (around the z-axis) was increased in ADHD group compared to that  
 15 in the TDC group ( $t(205) = 2.82$ ,  $p = 0.005$ , corrected; Fig. 1B). Similarly, we found that the  
 16 motion along the z-axis also tended to increase in ADHD group compared to the TDC group  
 17 ( $t(205) = 2.07$ ,  $p = 0.039$ , uncorrected; Fig. 1A). We did not find any group difference in the  
 18 remaining four motion parameters (all  $p > 0.15$ ), only a marginal significant group difference in  
 19 the roll rotation (around the y-axis;  $p = 0.069$ , uncorrected). In terms of the summary RMS, we  
 20 found that the motion was increased in ADHD group compared to the TDC group ( $t(205) = 4.00$ ,  $p$   
 21  $< 0.001$ , corrected; Fig. 1C). This suggests that, though there was no ‘sudden’ bad head motion

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1 for both groups, children with ADHD actually showed greater head motion than the control group,  
2 particularly in terms of the rotation around z-axis and motion along z-axis.

### 3 4 **3.3 Prediction of ADHD with in-scanner head motion**

5 The leave-one-out across-validation showed that the average accuracy of the prediction of  
6 children's status was 71.5% ( $p < 0.001$ , permutation test). These results suggest that the head  
7 motion parameters during scanning could accurately distinguish children with ADHD from the  
8 healthy control.

## 9 10 **4. Discussion**

11 Although head motion during scanning has been largely considered to reflect simply  
12 technical artifacts, there is growing evidence showing that the variable of head motion reflects  
13 valuable information regarding individual's psychological and/or clinical factors (Kong et al.,  
14 2014; Pujol et al., 2014; Cherie et al., 2014). Detailed studies may not only help to deal with the  
15 head motion biases, but they also help researchers in understanding the mental disorders. In this  
16 study, we found that patients with ADHD showed specific patterns of head motion during  
17 scanning. Furthermore, the cross-validation classification analyses showed that the head motion  
18 could accurately distinguish children with ADHD from the healthy controls.

19 To date, few studies have investigated the specific patterns of head motion in patients with  
20 ADHD. One early study with task fMRI reported increased head motion in ADHD compared  
21 with TDC (Epstein et al., 2007). However, they did not find any significant difference, which  
22 might be due to the small sample size ( $n = 12$ ). Moreover, they did not examine the group  
23 difference in head motion along/around single directions, which may be more important for  
24 prevention of head motion during imaging children with ADHD. In our present study, we found  
25 that in both groups, motion along the x-axis (left-to-right) was the smallest in those along the three  
26 axes and the pitch rotation (around the x-axis) was the greatest in those three rotations. Specially,  
27 for ADHD group, motion along z-axis (superior-to-inferior) was significantly greater than those  
28 along other two axes. Furthermore, to test the hypothesis that children with ADHD move more  
29 than TDC population, we conducted independent-samples t-tests on single motion parameters.  
30 As expected, we found a significant increase on the motion in patient group compared to the  
31 control group. And the increase was mainly due to the yaw rotation (around the z-axis) and  
32 motion along z-axis (superior-to-inferior). More importantly, the final classification analysis  
33 showed that the head motion parameters during scanning could accurately distinguish children

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1 with ADHD from the healthy control group. These suggest that taking the head motion  
2 information from MRI data into account is expected to improve clinical diagnosis and evaluation  
3 of treatment for patient with ADHD and even other disorders.

4 An interesting aspect from the data is that the increase of head motion in the ADHD group  
5 was mainly contributed by the motion along and around z-axis, rather than other four motion  
6 parameters. In the view of reducing head motion biases, a mock scanner compliance training  
7 protocol (e.g., Seto et al., 2001; Epstein et al., 2007) or passive head restraints (e.g., Green et al.,  
8 1994; Menon et al., 1997) specific to these two kinds of motion may largely reduce the group  
9 difference. Additionally, move much more around/along z-axis may be a behavioral marker for  
10 ADHD diagnosing and treatment. Further studies are needed to examine the different head  
11 motion patterns for each subtype of ADHD, such as ADHD-Combined,  
12 ADHD-Hyperactive/Impulsive, and ADHD-Inattentive (Barkley, 1997).

13 There are some limitations in our study. First, the head motion was measured indirectly  
14 using registration of volumes. In the future studies, using actometers or infrared CCD-based  
15 methods may give more direct measurement. Second, in consideration of the coupling effect of  
16 head motion in the fMRI signals, we didn't include fMRI metrics in this study. Using  
17 independent scanning runs to get the fMRI metrics and head motion may be a possible approach to  
18 explore the neural basis of head motion.

19 Nevertheless, our study, for the first time, quantitatively showed the in-scanner head motion  
20 characteristics and the group differences between children with and without ADHD using rs-fMRI.  
21 We demonstrated that children with ADHD had different head motion patterns compared with  
22 TDC children. In this way, when using local and global brain neuroimaging parameters, taking  
23 head motion into account is expected to improve clinical diagnosis and evaluation of treatment for  
24 children with ADHD, and to have wider applications in diagnosis of other mental disorders. In  
25 addition, head motion behaves like a psychological trait and this possibility may be carefully  
26 considered in genetic and heritability analyses.

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