

Hidden flavor symmetries of SO(10) GUT

Borut Bajc^{a,1} and Alexei Yu. Smirnov^{b,c,2}

^a *J. Stefan Institute, 1000 Ljubljana, Slovenia*

^b *Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, D-69117 Heidelberg, Germany*

^c *International Centre for Theoretical Physics, Strada Costiera 11, I-34100 Trieste, Italy*

Abstract

The Yukawa interactions of the SO(10) GUT with fermions in 16-plets (as well as with singlets) have certain intrinsic (“built-in”) symmetries which do not depend on the model parameters. Thus, the symmetric Yukawa interactions of the 10 and 126 dimensional Higgses have intrinsic discrete $Z_2 \times Z_2$ symmetries, while the antisymmetric Yukawa interactions of the 120 dimensional Higgs have a continuous SU(2) symmetry. The couplings of SO(10) singlet fermions with fermionic 16-plets have $U(1)^3$ symmetry. We consider a possibility that some elements of these intrinsic symmetries are the residual symmetries, which originate from the (spontaneous) breaking of a larger symmetry group G_f . Such an embedding leads to the determination of certain elements of the relative mixing matrix U between the matrices of Yukawa couplings Y_{10} , Y_{126} , Y_{120} , and consequently, to restrictions of masses and mixings of quarks and leptons. We explore the consequences of such embedding using the symmetry group conditions. We show how unitarity emerges from group properties and obtain the conditions it imposes on the parameters of embedding. We find that in some cases the predicted values of elements of U are compatible with the existing data fits. In the supersymmetric version of SO(10) such results are renormalization group invariant.

arXiv:1605.07955v2 [hep-ph] 21 Jun 2016

¹borut.bajc@ijs.si

²smirnov@mpi-hd.mpg.de

Contents

1	Introduction	2
2	Intrinsic flavor symmetries of SO(10)	4
2.1	Relative mixing matrices	4
2.2	Intrinsic symmetries	4
3	Embedding intrinsic symmetries	6
3.1	Embedding of two transformations	6
3.2	Embedding of bigger residual symmetries and Unitarity	9
3.3	The case with 120_H coupling	11
4	Confronting relations with data	15
4.1	The case of $Y_{10} + Y_{126}$	16
4.2	Relative mixing between Y_{10} and Y_{120}	16
4.3	RG invariance of the residual symmetry	17
5	SO(10) model with hidden sector	18
6	Intrinsic symmetries and relative mixing matrix	19
7	Summary and Conclusion	21
A	Comparison with the approach in [13]	23

1 Introduction

In spite of various open questions, Grand Unification [1, 2] is still one of the most appealing and motivated scenarios of physics beyond the standard model. The models based on SO(10) gauge symmetry [3, 4, 5] are of special interest since they embed all known fermions of a given generation and the right handed neutrinos in a single multiplet³. One of the open questions is to understand the flavor structures - observed fermion masses and mixings, which SO(10) unification alone can not fully address⁴. Moreover, embedding of all the fermions in a single multiplet looks at odds with different mass hierarchies and mixings, and in particular with the strong difference of mixing patterns of quarks and leptons.

The Yukawa sector of the renormalizable⁵ version of SO(10) GUT [7] with three generations of matter fields in 16_F is given by

$$\mathcal{L}_{Yukawa} = 16_F^T (Y_{10} 10_H + Y_{126} \overline{126}_H + Y_{120} 120_H) 16_F, \quad (1.1)$$

where the 3×3 matrices of Yukawa couplings, Y_{10} , Y_{126} and Y_{120} correspond to Higgses in 10_H , $\overline{126}_H$ and 120_H . The masses and mixings of the Standard Model (SM) fermions are

³We consider here theories with no extra vector-like matter which could mix with SM fermions. This is the case of the majority of available models, but may not be the case if SO(10) is coming from E_6 .

⁴Partially it sometimes can: for example, $b - \tau$ unification can be related to the large atmospheric mixing angle in models with dominant type II seesaw [6].

⁵To realize eventually our scenario these Yukawa couplings should be VEVs of fields which transform non-trivially under some flavor group G_f - so they will be non-renormalizable, or we should ascribe charges to the Higgs multiplets.

determined by these Yukawa couplings Y_a , the Clebsch-Gordan coefficients and the VEV's of the light Higgs(es). So, to make predictions for the masses and mixing one needs, in turn, to determine the matrices Y_a ($a = 10, 126, 120$).

There are various attempts to impose a flavor symmetry on the Yukawa interaction (1.1) to restrict the mass and mixing parameters, see for example [8] for continuous symmetries, [9, 10, 11] for discrete symmetries, and [12] for reviews. In most cases flavor symmetries appear as horizontal symmetries - which are independent of the vertical gauge symmetry $SO(10)$.

Two interesting ideas have been discussed recently which employ an interplay between the GUT symmetry and flavor symmetries and may lead to deep relation between them.

1. Existence of “natural” (“built-in”) or intrinsic flavor symmetries [13]. Examples are known from the past that some approximate flavor symmetries can arise from the “vertical” gauge symmetries. One of these is the antisymmetry of the Yukawa couplings of the lepton doublets with charged scalar singlet. The neutrino mass matrix generated at 1-loop (Zee model [14]) has specific flavor structure with zero diagonal terms.

It is well known that $SO(10)$ have such flavor symmetries. The three terms in (1.1) have symmetries dictated by “vertical” $SO(10)$: symmetricity of the Yukawa coupling matrices of the 10-plet and 126-plet of Higgses and antisymmetricity of the Yukawa coupling matrix of the 120-dimensional Higgs multiplets:

$$Y_{10,126}^T = Y_{10,126} , \quad Y_{120}^T = -Y_{120}. \quad (1.2)$$

The first equality (symmetricity) implies a $Z_2 \times Z_2$ symmetry [13]. For the antisymmetric matrix (second equality) the symmetry (Z_2) has been taken in [13] (or $(Z_2)^2$ if negative determinants are allowed).

2. Identification of the natural symmetries with residuals of the flavor symmetry [13]. This idea is taken from the residual symmetry approach developed to explain the lepton mixing. It states that some or all elements of the natural symmetries of $SO(10)$ are actually the residual symmetries which originate from the breaking of a bigger flavor symmetry group G_f [15, 16, 17, 18, 19]. In [13] it was proposed to embed the residual $(Z_2)^n$, which are reflection symmetries, into the minimal group with a three-dimensional representation. This leads to the Coxeter group and finite Coxeter groups of rank 3 and 4 have been considered. The embedding of natural symmetries into the flavor (Coxeter) group imposes restrictions on the structure of Y_a and consequently on the mass matrices, which reduces the number of free parameters.

In this paper we further elaborate on realizations of these ideas, although from a different point of view. While the intrinsic symmetries of Y_{10} and Y_{126} are $Z_2 \times Z_2$, as in [13], we find that Y_{120} has a bigger symmetry - $SU(2)$. Furthermore, we consider the situation when $SO(10)$ singlet fermions are present. From the embedding of intrinsic symmetries and with the use of symmetry group relations [20, 21] we obtain predictions for the elements of the *relative mixing matrix* U_{a-b} ($a, b = 10, 126, 120$) between the Yukawa couplings Y_a and Y_b (U_{a-b} connects the bases in which matrices Y_a and Y_b are diagonal). These unitary matrices U_{a-b} are basis independent, in contrast to the matrices Y_a and Y_b themselves. We re-derive these relations and elaborate on the unitarity condition, showing that it follows from group properties. We confront the predictions with the results of some available data fits.

The paper is organized as follows. In sect. 2 we explore the intrinsic symmetries of the SO(10) Yukawa couplings. In sect. 3 we identify (part of) the intrinsic symmetries with the residual symmetries and consider their embedding into a bigger flavor group. Using the symmetry group relations we obtain predictions for different elements of the relative matrix U . We elaborate on the unitarity condition which gives additional bounds on the parameters of embedding. We consider separately the embeddings of the 120_H couplings. This case has not been covered in [13] and we develop various methods to deal with it. In sect. 4 we confront our predictions for the mixing matrix elements with the results obtained from existing fits of data. In sect. 5 we consider symmetries in the presence of the SO(10) fermionic singlets. In sect. 6 we summarize the concept of intrinsic symmetry and the relative mixing matrix. Summary of our results and conclusion are presented in sect. 7. We compare our approach with that in [13] in Appendix A, suggesting an equivalence.

2 Intrinsic flavor symmetries of SO(10)

2.1 Relative mixing matrices

The matrices of Yukawa couplings are basis dependent. It is their eigenvalues and the relative mixings which have physical meaning. The relative mixing matrices, which are the main object of this paper, are defined in the following way. The symmetric matrices Y_{10} and Y_{126} can be diagonalized with the unitary transformation matrices U_{10} and U_{126} as

$$Y_{10} = U_{10}^* Y_{10}^d U_{10}^\dagger, \quad (2.1)$$

and

$$Y_{126} = U_{126}^* Y_{126}^d U_{126}^\dagger. \quad (2.2)$$

Mixing is generated if the matrices Y_a can not be diagonalized simultaneously. The relative mixing matrix U_{10-126} is given by

$$U_{10-126} = U_{10}^\dagger U_{126}. \quad (2.3)$$

This matrix, in contrast to matrices of Yukawa couplings, does not depend on basis and has immediate physical meaning. In a sense, it is the analogy of the PMNS (or CKM) matrix which connects bases of mass states of neutrinos and charged leptons. Similarly we can introduce the relative mixing matrices for other Yukawa coupling matrices as

$$U_{a-b} = U_a^\dagger U_b, \quad (2.4)$$

e.g., U_{10-120} , $U_{120-126}$, *etc.*

The symmetry formalism we present below (symmetry group relations) will determine elements of the relative matrices immediately without consideration of the symmetric matrices Y_a and their diagonalization.

2.2 Intrinsic symmetries

All the terms of the Lagrangian (1.1) have the same fermionic structure, being the Majorana type bilinears of 16_F . This by itself implies certain symmetry. For definiteness let us consider the basis of three 16_F plets in which the Yukawa coupling of the 10-plet is diagonal:

$$Y_{10} = Y_{10}^d. \quad (2.5)$$

In this basis the Yukawa matrix of $\overline{126}_H$ (being in general non-diagonal) can be diagonalized by the unitary matrix U_{126} as in (2.2). In this basis U_{126} gives immediately the relative mixing matrix $U_{10-126} = U_{126}$. It is straightforward to check that the symmetric matrices Y_{10}^d and Y_{126} are invariant with respect to transformations

$$S_j^d Y_{10}^d S_j^d = Y_{10}^d \quad , \quad j = 1, 2, 3, \quad (2.6)$$

$$(S_{126})_i^T Y_{126} (S_{126})_i = Y_{126} \quad , \quad i = 1, 2, 3, \quad (2.7)$$

where

$$(S_{126})_i = U_{126} S_i^d U_{126}^\dagger, \quad (2.8)$$

and the diagonal transformations equal

$$S_1^d = \text{diag}(1, -1, -1), \quad S_3^d = \text{diag}(-1, -1, 1), \quad (2.9)$$

$S_2^d = S_1^d S_3^d$. (We use generators with $\text{Det}[S_i] = +1$, so that they can form a subgroup of $\text{SU}(3)$).

The transformations (2.9) can be written as

$$(S_j^d)_{ab} = 2\delta_{aj}\delta_{bj} - \delta_{ab}, \quad (2.10)$$

and $a, b = 1, 2, 3$. All these transformations (reflections) obey

$$(S_j^d)^2 = (S_j^d)^2 = \mathbb{I}. \quad (2.11)$$

Thus, Y_{10}^d is invariant under the group of transformations $G_{10} = Z_2 \times Z_2$ consisting of elements

$$G_{10} = \{1, S_1^d, S_2^d, S_3^d\}. \quad (2.12)$$

The matrix Y_{126} is invariant under another, $G_{126} = Z_2 \times Z_2$ group consisting of U -transformed elements

$$G_{126} = U_{126} \{1, S_1^d, S_2^d, S_3^d\} U_{126}^\dagger, \quad (2.13)$$

where U_{126} is defined in (2.2).

This intrinsic symmetry is always present independently of parameters of the model due to the symmetric Yukawa matrices Y_{10} and Y_{126} [13] which follow from $\text{SO}(10)$ symmetry.

In the case of antisymmetric Yukawa interactions of 120_H the situation is different. The antisymmetric matrix Y_{120} can be put in the canonical form

$$Y_{120}^c = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & x \\ 0 & -x & 0 \end{pmatrix} \quad (2.14)$$

by the unitary transformation U_{120} as

$$Y_{120} = U_{120}^* Y_{120}^c U_{120}^\dagger. \quad (2.15)$$

The matrix (2.14) is invariant with respect to $\text{SU}(2) \times \text{U}(1)$ transformations

$$g^T Y_{120}^c g = Y_{120}^c. \quad (2.16)$$

Again we will bound ourselves to group elements with $\text{Det}(g) = 1$, keeping in mind possible embedding into $SU(3)$. Then there is no $U(1)$, and therefore

$$G_{120} = SU(2). \quad (2.17)$$

The $SU(2)$ transformation element g can be written as

$$g(\vec{\phi}) = \begin{pmatrix} 1 & 0 \\ 0 & \exp(i\vec{\phi}\vec{\tau}) \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \cos\phi + i\frac{\vec{\phi}\vec{\tau}}{\phi}\sin\phi \end{pmatrix} \quad (2.18)$$

with $\vec{\phi} = (\phi_1, \phi_2, \phi_3)$, $\phi \equiv |\vec{\phi}| \in [0, \pi]$ and $\vec{\tau}$ being the Pauli matrices.

Although the symmetry of the Yukawa matrix connected to the 120-plet is continuous, we should use only its discrete subgroup to be a part of G_f , since G_f itself has been assumed to be discrete. This means that the angle $\vec{\phi}$ should take discrete values such that

$$\left(g(\vec{\phi})\right)^p = \mathbb{I} \quad (2.19)$$

for some integer p . The angle can be parametrized as

$$\vec{\phi} = 2\pi\frac{n}{p}\hat{\phi}, \quad n = 1, \dots, p-1, \quad (2.20)$$

where $\hat{\phi} \equiv \vec{\phi}/|\vec{\phi}|$ (so that $\hat{\phi}^2 = 1$). In this paper we will consider a Z_p subgroup of the Abelian $U(1) \subset SU(2)$. So, the elements $g_\phi, g_\phi^2, \dots, g_\phi^{p-1}$ can be written as

$$g_\phi^n = \begin{pmatrix} 1 & 0 \\ 0 & \exp\left(i2\pi(\vec{\tau}\hat{\phi})n/p\right) \end{pmatrix}. \quad (2.21)$$

More on intrinsic symmetries and the mixing matrices can be found in Appendix 6. Intrinsic symmetries for the $SO(10)$ singlets are discussed in sect. 5.

We assume throughout this paper that the Higgs multiplets are uncharged with respect to G_f . Introduction of Higgs charges can lead to suppression of some Yukawa couplings but does not produce the flavor structure of individual interactions.

3 Embedding intrinsic symmetries

Following [13] we assume that the intrinsic symmetries formulated in the previous section are actually residual

which result from the breaking of a larger (flavor) symmetry group G_f . In other words, some of the symmetries G_{10} and G_{126} or/and G_{120} are embedded into G_f . In the following we will derive various constraints on the relative mixing matrix U between two Yukawa matrices.

3.1 Embedding of two transformations

We recall the symmetry group relation formalism [20, 21] adopted to our $SO(10)$ case. The formalism allows to determine (basis independent) elements of the relative mixing matrix immediately without explicit construction of Yukawa matrices. Let us first consider the

Yukawa couplings of 10_H and 126_H . Suppose the covering group G_f contain $S_j^d \in G_{10}$ and $S_i \in G_{126}$. Since $S_i, S_j^d \in G_f$, the product $S_i S_j^d$ should also belong to G_f : $S_i S_j^d \in G_f$. Then the condition of finiteness of G_f requires that a positive integer p_{ji} exists such that

$$(S_i S_j^d)^{p_{ji}} = \mathbb{I}. \quad (3.1)$$

This is the symmetry group relation [20, 21] which we will use in our further study. Inserting $S_i = U S_i^d U^\dagger$ ⁶ into (3.1) we obtain [20, 21]

$$(W_{ij})^{p_{ji}} = \mathbb{I}, \quad (3.2)$$

where

$$W_{ij} \equiv U S_i^d U^\dagger S_j^d. \quad (3.3)$$

Furthermore, we will impose the condition

$$\text{Det}[W_{ij}] = 1 \quad (3.4)$$

keeping in mind a possible embedding into $SU(3)$. We will comment on the case of negative determinant later.

The simplest possibility is the residual symmetries $Z_2^{(10)} \times Z_2^{(126)}$, that is Z_2 for Y_{10} and another Z_2 for Y_{126} . In this case the flavor symmetry group G_f is always a finite von Dyck group $(2, 2, p)$, since

$$\frac{1}{2} + \frac{1}{2} + \frac{1}{p} > 1 \quad (3.5)$$

for any positive integer p .

Let us elaborate on the constraint (3.1) further, providing derivation of the relations slightly different to that in [20, 21]. According to the Schur decomposition we can present W_{ij} in the form

$$W_{ij} = V W_{ij}^{upper} V^\dagger, \quad (3.6)$$

where V is a unitary matrix and W_{ij}^{upper} is an upper triangular matrix, the so called Schur form of W_{ij} . Since unitary transformations do not change the trace, we have from (3.6)

$$\text{Tr}[W_{ij}] = \text{Tr}[W_{ij}^{upper}]. \quad (3.7)$$

The diagonal elements of W_{ij}^{upper} are the (in general complex) eigenvalues of W_{ij} which we denote by λ_α . Therefore,

$$\text{Tr}[W_{ij}^{upper}] = a_{p_{ji}}, \quad (3.8)$$

where

$$a_{p_{ji}} \equiv \sum_{\alpha} \lambda_{\alpha}. \quad (3.9)$$

Inserting (3.6) into condition (3.2) and using unitarity of V we obtain

$$(W_{ij}^{upper})^{p_{ji}} = \text{diag}(\lambda_1^{p_{ji}}, \lambda_2^{p_{ji}}, \lambda_3^{p_{ji}}) = \mathbb{I} \quad (3.10)$$

the off-diagonal elements in the LH side should be zero to match with the RH side). Consequently, the eigenvalues of W equal the p_{ji} - roots of unity:

$$\lambda_{\alpha} = \omega^{p_{ji} \alpha}. \quad (3.11)$$

⁶In this and the next section $U \equiv U_{10-126}$.

Finally, Eq. (3.8) gives

$$\text{Tr}[W_{ij}] = a_{p_{ji}}, \quad (3.12)$$

where $a_{p_{ji}}$ is defined in (3.9).

The p_{ji} -roots of unity can be parametrized as

$$\lambda = \exp(i2\pi k_{ji}/p_{ji}) \quad , \quad k_{ji} = 1, \dots, p_{ji} - 1. \quad (3.13)$$

For $p \geq 3$ the number of p-roots is larger than 3, and therefore there is an ambiguity in selecting the three values to compose $a_{p_{ji}}$. However, not all combinations can be used, and certain restrictions will be discussed in the following.

Restriction on $a_{p_{ji}}$ arises from the following consideration. The eigenvalues λ_α satisfy the characteristic polynomial equation W_{ij} :

$$\text{Det}(\lambda \mathbb{I} - W_{ij}) = \lambda^3 - a_{p_{ji}} \lambda^2 + a_{p_{ji}}^* \lambda - 1 = 0, \quad (3.14)$$

where $a_{p_{ji}}$ is defined in (3.9)⁷. Consider the conjugate of Eq. (3.14). Using the expression for (3.3) and taking into account that $(S_i^d)^2 = \mathbb{I}$ we obtain

$$W_{ij}^\dagger = S_j^d W_{ij} S_j^d. \quad (3.16)$$

This in turn gives for the LHS of the conjugate equation

$$\text{Det}(\lambda^* \mathbb{I} - W_{ij}^\dagger) = \text{Det}(\lambda^* \mathbb{I} - S_j^d W_{ij} S_j^d) = \text{Det}[S_j^d (\lambda^* \mathbb{I} - W_{ij}) S_j^d] = \text{Det}(\lambda^* \mathbb{I} - W_{ij}). \quad (3.17)$$

Therefore the set of eigenvalues $\{\lambda_\alpha\}$ coincides with the set $\{\lambda_\alpha^*\}$ [22]. Then it is easy to check that this is possible only if one of λ_α equals unit, e.g. $\lambda_1 = 1$, and two others are conjugate of each other: $\lambda_3 = \lambda_2^* \equiv \lambda$. Thus,

$$a_{p_{ji}} = a_{p_{ji}}^* = 1 + \lambda + \lambda^* = 1 + 2\text{Re}\lambda, \quad (3.18)$$

or explicitly,

$$a_{p_{ji}} = 1 + 2 \cos(2\pi k_{ji}/p_{ji}) = -1 + 4 \cos^2(\pi k_{ji}/p_{ji}). \quad (3.19)$$

On the other hand, from definitions of S_j (2.10) and (3.3), we find explicitly

$$\text{Tr}(W_{ij}) = 4 |U_{ji}|^2 - 1 \quad (3.20)$$

or using (3.12) (see also [23])

$$|U_{ji}|^2 = \frac{1}{4} (1 - a_{p_{ji}}). \quad (3.21)$$

Notice that the trace (3.20) is real, and therefore $a_{p_{ji}} = a_{p_{ji}}^*$, leading to the form (3.18). Finally, inserting $a_{p_{ji}}$ from (3.19) we obtain

$$|U_{ji}| = |\cos(\pi k_{ji}/p_{ji})|. \quad (3.22)$$

⁷ This can be obtained noticing that

$$\text{Det}(\lambda \mathbb{I} - W_{ij}) = (\lambda - \lambda_1)(\lambda - \lambda_2)(\lambda - \lambda_3), \quad (3.15)$$

$|\lambda_i|^2 = 1$ and $\text{Det}(W_{ij}) \equiv \lambda_1 \lambda_2 \lambda_3 = 1$.

Similar expression has been obtained before in [24] in the Dihedral group model for the Cabibbo angle (V_{us}). The expression appears also in [25].

Thus, we obtain thus a relation for a single element of the matrix U , as the consequence of the $Z_2^{(10)} \times Z_2^{(126)}$ residual symmetry. The element $|U_{ji}|$ is determined by two discrete parameters – arbitrary integers p_{ji} and $k_{ji} = 0, \dots, p_{ji} - 1$. The expression does not depend on the selected S_i . The elements S_i and S_j just fix the ij - element of the matrix U , but not its value, the value is determined by p_{ji} and k_{ji} .

Allowing also $\text{Det}(W_{ij}) = -1$ we generalize (2.12) into

$$(Z_2 \times Z_2)_{10} \rightarrow \{1, S_1^d, S_2^d, S_3^d\} \cup \{-1, -S_1^d, -S_2^d, -S_3^d\}, \quad (3.23)$$

while in (3.3) S_i^d (and/or S_j^d) can be replaced by $-S_i^d$ (and/or $-S_j^d$). A difference from the previous case comes only if in W_{ij} the two diagonal group elements have opposite signs of determinants. In this case we have $\text{Det}(W_{ij}) = \lambda_1 \lambda_2 \lambda_3 = -1$ and since now one of the eigenvalues needs to be $\lambda_1 = -1$ ⁸, we obtain that $\lambda_2 \lambda_3 = 1$ or $\lambda_2 = \lambda_3^* \equiv \lambda$. Then $a_{p_{ji}} = -1 + \lambda + \lambda^* = -1 + 2\text{Re}(\lambda)$, and consequently,

$$|U_{ji}| = |\sin(\pi k_{ji}/p_{ji})|, \quad (3.25)$$

(as compared with (3.22)).

3.2 Embedding of bigger residual symmetries and Unitarity

Following the derivations in [22, 26] we summarize here the embedding of bigger residual symmetries, when we take $Z_2 \times Z_2$ from one of the interactions (10_H or 126_H) and one Z_2 from the other interaction. Now there are three generating elements: for $Z_2^{(10)} \times Z_2^{(10)} \times Z_2^{(126)}$ the matrix Y_{10} is invariant under S_j^d and S_k^d ($j \neq k$), whereas Y_{126} – under S_i . Consequently, we have two symmetry group conditions:

$$(US_i^d U^\dagger S_j^d)^{p_{ji}} = \mathbb{I}, \quad (US_i^d U^\dagger S_k^d)^{p_{ki}} = \mathbb{I} \quad (3.26)$$

which determine two elements of the matrix U from the same column i : $|U_{ji}|$ and $|U_{ki}|$. Repeating the same procedure of the previous section we obtain

$$|U_{ji}| = |\cos(\pi k_{ji}/p_{ji})|, \quad |U_{ki}| = |\cos(\pi k_{ki}/p_{ki})|. \quad (3.27)$$

The second possibility is $Z_2^{(10)} \times Z_2^{(126)} \times Z_2^{(126)}$ with one generating element for Y_{10} and two for Y_{126} . This gives also two symmetry group conditions but for two elements in the same row of U . This is enough to determine the whole row (or column in the first case) from unitarity. Possible values of matrix elements for this case have been classified in whole generality [22, 26].

Using the complete symmetry $Z_2^{(10)} \times Z_2^{(10)} \times Z_2^{(126)} \times Z_2^{(126)}$ one can fix 4 elements of U , and consequently, due to unitarity, the whole matrix U . This matrix is necessarily of the type classified in [22, 26].

⁸ The eigenvalues $\lambda_{1,2,3}$ of W_{ij} satisfy $(|\lambda_\alpha|^2 = 1)$

$$\begin{aligned} 0 = \text{Det}(\lambda \mathbb{I} - W_{ij}) &= \lambda^3 - (\lambda_1 + \lambda_2 + \lambda_3) \lambda^2 + (\lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_3 \lambda_1) \lambda - \lambda_1 \lambda_2 \lambda_3 \\ &= \lambda^3 - a_{p_{ji}} \lambda^2 + \text{Det}(W_{ij}) a_{p_{ji}}^* \lambda - \text{Det}(W_{ij}). \end{aligned} \quad (3.24)$$

If $a_{p_{ji}}^* = a_{p_{ji}}$, one eigenvalue is equal to $\text{Det}(W_{ij})$.

Notice that values of the elements of the relative matrix U have been obtained using different group elements S_j (for fixed S_i) essentially independently. They were determined by the independent parameters p_j, k_j . However, there are relations between the group elements S_j which, as we will see, lead to relations between parameters p_j, k_j , which are equivalent to relations required by unitarity of the matrix U .

According to (3.22) $|U_{ji}| \leq 1$ for any pair of values of k and p . For two elements in the same line or column unitarity requires

$$\cos^2(\pi k_1/p_1) + \cos^2(\pi k_2/p_2) \leq 1 \quad (3.28)$$

and it is not fulfilled automatically. (In this section we omit the second index of k and p , which is the same for both. Keeping in mind that both are from the same line or the same column.) Furthermore, the inequality (3.28) can not be satisfied for arbitrary k_i and p_i , and therefore gives certain bounds on these parameters. This, in turn, affects the embedding (covering group). In what follows we will consider such restrictions on parameters k and p that follow from relations between the group elements.

The elements of $Z_2 \times Z_2$ group in 3 dimensional representation (2.9) or (2.10) satisfy the following equalities

$$\sum_{i=1}^3 S_i^d = -\mathbb{I}, \quad (3.29)$$

and

$$\text{Tr}(S_i) = -1, \quad i = 1, 2, 3. \quad (3.30)$$

Let us find the corresponding relations between the parameters p_i and k_i . Summation over the index i of the traces $\text{Tr}[W_{ij}]$, where W_{ij} is given in eq. (3.3), gives

$$\sum_i \text{Tr}[W_{ij}] = \text{Tr} \left[\sum_i W_{ij} \right] = \text{Tr} \left[U \left(\sum_i S_i^d \right) U^\dagger S_j^d \right]. \quad (3.31)$$

The last expression in this formula together with equalities (3.29) and (3.30) gives $-\text{Tr}[S_j^d] = 1$. Therefore $\sum_i \text{Tr}[W_{ij}] = 1$ and according to (3.12) we find

$$a_{p_1} + a_{p_2} + a_{p_3} = 1. \quad (3.32)$$

Finally, insertion of expressions for a_{p_i} in eq. (3.19) leads to

$$\cos^2(\pi k_1/p_1) + \cos^2(\pi k_2/p_2) + \cos^2(\pi k_3/p_3) = 1. \quad (3.33)$$

This coincides with the unitarity condition: Eq. (3.33) is nothing but $\sum_i |U_{ij}|^2 = 1$, where the elements are expressed via cosines (3.22). So, the unitarity condition is encoded in the relation (3.29) which is equivalent to the unitarity. Thus, the unitarity condition which imposes relations between p_j and k_j can be obtained automatically from properties of the group elements.

The condition is highly non-trivial since it should be satisfied for integer values of p_i and k_i . It can be fulfilled for specific choices of $(k_1/p_1, k_2/p_2, k_3/p_3)$. There are just few cases which can satisfy (3.33). Some of these constraints have been found in [27, 28] from

specific assumptions on G_f . In general, it has been shown [22, 26] (see also [25]) that the only possibilities are

$$\{c_i\} \equiv (c_1, c_2, c_3) = \left(\frac{1}{\sqrt{2}}, \frac{1}{2}, \frac{1}{2} \right), \quad (3.34)$$

where

$$c_i \equiv \cos \left(\pi \frac{k_i}{p_i} \right), \quad i = 1, 2, 3. \quad (3.35)$$

The values in (3.34) correspond to

$$(k_1/p_1, k_2/p_2, k_3/p_3) = \left(\frac{1}{4}, \frac{1}{3}, \frac{1}{3} \right). \quad (3.36)$$

Another solution,

$$\{c_i\} = \left(\frac{1}{2}, \frac{\phi}{2}, \frac{1}{2\phi} \right), \quad (3.37)$$

where

$$\phi = \frac{\sqrt{5} + 1}{2} \quad (3.38)$$

is the golden ratio. In this case

$$(k_1/p_1, k_2/p_2, k_3/p_3) = \left(\frac{1}{3}, \frac{1}{5}, \frac{2}{5} \right) \quad (3.39)$$

Finally,

$$\{c_i\} = (\cos \alpha, \sin \alpha, 0) \quad (3.40)$$

with

$$\alpha = \pi k_0/p_0 \quad , \quad 1 \leq k_0 \leq p_0/2 \quad , \quad k_0 \in \mathbb{Z}. \quad (3.41)$$

They correspond to

$$(k_1/p_1, k_2/p_2, k_3/p_3) = \left(\frac{k_0}{p_0}, \frac{1}{2} - \frac{k_0}{p_0}, \frac{1}{2} \right). \quad (3.42)$$

For instance for $k_0/p_0 = 1/2$ we obtain $c_i = (0, 1, 0)$, for $k_0/p_0 = 1/3$: $c_i = (1/2, \sqrt{3}/2, 0)$, for $k_0/p_0 = 1/4$: $c_i = (1/\sqrt{2}, 1/\sqrt{2}, 0)$, *etc.*

3.3 The case with 120_H coupling

The symmetry transformation of Y_{120} is given by the elements g_ϕ^n of a discrete subgroup Z_p of $U(1) \subset SU(2)$ (2.21). Since $g_\phi^2 \neq \mathbb{I}$ for $p > 2$, the embedding symmetry group is not a Coxeter group, and so this analysis goes beyond the assumptions of [13]. If we assume that the element g_ϕ from the Z_p intrinsic symmetry of Y_{120} and the element S_j^d from the Z_2 intrinsic symmetry of Y_{10} (or Y_{126}) are residual symmetries, from the definition of a group this is true also for all g_ϕ^n , $n = 1 \dots, p-1$. Therefore, the symmetry group relations now contain the products of $U g_\phi^n U^\dagger$ ⁹ - any of the symmetry elements of Y_{120} , and S_j^d which belongs to the symmetry of Y_{10}^d (or Y_{126}^d):

$$[W_{j\phi}^n]^p = \mathbb{I} \quad , \quad W_{j\phi}^n = U g_\phi^n U^\dagger S_j^d. \quad (3.43)$$

⁹In this section $U \equiv U_{10-120}$ (or $U \equiv U_{126-120}$).

Eq. (3.43) can be rewritten as

$$\text{Tr} [W_{j\phi}^n] = a_{p_n}(k_n, l_n), \quad (3.44)$$

where we will assume again that $\text{Det}[W] = 1$, so that the sum of the eigenvalues equals

$$a_{p_n}(k_n, l_n) = e^{2\pi i(k_n/p_n)} + e^{2\pi i(l_n/p_n)} + e^{-2\pi i(k_n/p_n + l_n/p_n)}. \quad (3.45)$$

Here all terms can differ from 1, so for a given p_n the trace (3.44) is determined by two parameters k_n and l_n . All inequivalent triples $(k/p, l/p, -(k+l)/p \bmod 1)$ for $k = 1, \dots, p-1$, $l = 0, \dots, p-1$ and $2 \leq p \leq 5$ with the corresponding $a_p(k, l)$ (see also [23]) are given in Table 1.

$\left(\frac{k}{p}, \frac{l}{p}, -\frac{k+l}{p} \bmod 1\right)$	$a_p(k, l)$
$\left(0, \frac{1}{2}, \frac{1}{2}\right)$	-1
$\left(0, \frac{1}{3}, \frac{2}{3}\right)$	0
$\left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\right)$	$-\frac{3}{2} + i\frac{3\sqrt{3}}{2}$
$\left(\frac{2}{3}, \frac{2}{3}, \frac{2}{3}\right)$	$-\frac{3}{2} - i\frac{3\sqrt{3}}{2}$
$\left(0, \frac{1}{4}, \frac{3}{4}\right)$	1
$\left(\frac{1}{4}, \frac{1}{4}, \frac{1}{2}\right)$	$-1 + i2$
$\left(\frac{1}{2}, \frac{3}{4}, \frac{3}{4}\right)$	$-1 - i2$
$\left(0, \frac{1}{5}, \frac{4}{5}\right)$	$\frac{1+\sqrt{5}}{2}$
$\left(\frac{1}{5}, \frac{1}{5}, \frac{3}{5}\right)$	$-\frac{3-\sqrt{5}}{4} + i\sqrt{\frac{5(5-\sqrt{5})}{8}}$
$\left(\frac{1}{5}, \frac{2}{5}, \frac{2}{5}\right)$	$-\frac{3+\sqrt{5}}{4} + i\sqrt{\frac{5(5+\sqrt{5})}{8}}$
$\left(0, \frac{2}{5}, \frac{3}{5}\right)$	$\frac{1-\sqrt{5}}{2}$
$\left(\frac{2}{5}, \frac{4}{5}, \frac{4}{5}\right)$	$-\frac{3-\sqrt{5}}{4} - i\sqrt{\frac{5(5-\sqrt{5})}{8}}$
$\left(\frac{3}{5}, \frac{3}{5}, \frac{4}{5}\right)$	$-\frac{3+\sqrt{5}}{4} + i\sqrt{\frac{5(5+\sqrt{5})}{8}}$

Table 1: Possible inequivalent values of $(k/p, l/p, -(k+l)/p \bmod 1)$ for $2 \leq p \leq 5$, $0 \leq k \leq p-1$, $1 \leq l \leq p-1$ with its corresponding $a_p(k, l)$.

Since now $\text{Tr}[W_j]$ is complex, Eq. (3.44) provides two relations on the mixing parameters for each n . For the real part we get

$$\text{Re}(a_{p_n}(k_n, l_n)) = -1 + 2|U_{j1}|^2(1 - \cos(2\pi n/p)), \quad (3.46)$$

which depends on the absolute value $|U_{j1}|$ with the column index 1 and the latter is related to the form of $g(\vec{\phi})$ (2.18) in which g_{11} is isolated (decouples from the rest). Changing place of this element to 22 or 33 will fix another column. Also interchanging g and S_j we can fix a row rather than a column.

The imaginary part equals

$$\text{Im}(a_{p_n}(k_n, l_n)) = 2 \sin(2\pi n/p) (1 - |U_{j1}|^2) \hat{e}\hat{\phi} \quad (3.47)$$

with the unit vectors \hat{e} and $\hat{\phi}$ defined as

$$\hat{e} = \frac{1}{1 - |U_{j1}|^2} [2\text{Re}(U_{j2}U_{j3}^*), 2\text{Im}(U_{j2}U_{j3}^*), |U_{j2}|^2 - |U_{j3}|^2], \quad (3.48)$$

$$\hat{\phi} = \vec{\phi}/\phi. \quad (3.49)$$

If $|U_{j1}| = 1$ the r.h.s. of (3.47) vanishes.

There are thus $2 \times (p-1)$ equations (3.46) and (3.47) to solve, i.e. for all possible values of $n = 1, \dots, p-1$. This is possible only if p_n, k_n, l_n depend on n . Essentially $|U_{j1}|$ can be found from Eq. (3.46), while Eq. (3.47) provides a constraint on the angle $\hat{e}\hat{\phi}$. We will say more about possible solutions in section 4.2.

Notice that now the constraint on possible matrices U found in [22, 26] is not valid, since in the case with 120_H , the matrix element $|U|^2$ is not related to k and p only, as in (3.22) or (3.25), but must satisfy more complicated equations (3.46)-(3.47).

Let us now give three examples involving the system with 120.

As a first example consider the case of $p = 4$. We thus have to find $(p-1) = 3$ triples ($n = 1, 2, 3$)

$$T_n \equiv (k_n, l_n, -(k_n + l_n) \bmod p_n) / p_n \quad (3.50)$$

which satisfy the $(p-1) = 3$ equations (3.46) and $p-1 = 3$ equations (3.47), allowing a solution for $|U_{j1}|$ and $\hat{e}\hat{\phi}$. An example of possible solution is given by

$$n = 1 \rightarrow T_1 = (1, 1, 2)/4 \quad (3.51)$$

$$n = 2 \rightarrow T_2 = (0, 1, 1)/2 \quad (3.52)$$

$$n = 3 \rightarrow T_3 = (2, 3, 3)/4 \quad (3.53)$$

In fact it is easy to see explicitly that the ratios

$$\frac{\text{Re}(a_{p_n}(k_n, l_n)) + 1}{1 - \cos(2\pi n/3)}, \quad \frac{\text{Im}(a_{p_n}(k_n, l_n))}{\sin(2\pi n/3)} \quad (3.54)$$

are, for triples (3.51), either undefined (0/0) or independent on n , giving $|U_{j1}| = 0$ and $\hat{e}\hat{\phi} = 1$. Other solutions of (3.44) will be given in section 4.2.

In the second example consider three Yukawa couplings

$$Y_{10} = U_{10}^* Y_{10}^d U_{10}^\dagger, \quad Y_{126} = U_{126}^* Y_{126}^d U_{126}^\dagger, \quad Y_{120} = U_{120}^* Y_{120}^d U_{120}^\dagger. \quad (3.55)$$

We assume that G_f contains the following $p+1$ symmetry elements from these Yukawas:

$$S_{10} = U_{10} S_i^d U_{10}^\dagger, \quad S_{126} = U_{126} S_i^d U_{126}^\dagger, \quad S_{120}^n = U_{120} g_\phi^n U_{120}^\dagger. \quad (3.56)$$

As for g_ϕ^n ($n = 1, \dots, p-1$) we should select a finite Abelian subgroup of the $SU(2)$, Z_p , to embed into discrete G_f :

$$g_\phi^p = \mathbb{I} \rightarrow \phi = \frac{2\pi}{p}. \quad (3.57)$$

Then the embedding of S_{10} , S_{126} and S_{120}^n into G_f implies the symmetry group relations

$$\left(W_{ij}^{U_{10-126}}\right)^{p'} \equiv \left(U_{10-126} S_i^d U_{10-126}^\dagger S_j^d\right)^{p'} = \mathbb{I}, \quad (3.58)$$

$$\left(W_j^{U_{10-120}}\right)^{p''} \equiv \left(U_{10-120} g_\phi^n U_{10-120}^\dagger S_j^d\right)^{p''} = \mathbb{I}, \quad (3.59)$$

$$\left(W_i^{U_{126-120}}\right)^{p'''} \equiv \left(U_{126-120} g_\phi^n U_{126-120}^\dagger S_i^d\right)^{p'''} = \mathbb{I}. \quad (3.60)$$

They lead to the $4p - 3$ real relations

$$\left| (U_{10-126})_{ji} \right| = \cos \left(\pi \frac{k'}{p'} \right), \quad (3.61)$$

$$\text{Tr} \left[W_j^{U_{10-120}} \left(2\pi \frac{n}{p} \right) \right] = a_{p''}(k''_n, l''_n), \quad (3.62)$$

$$\text{Tr} \left[W_i^{U_{126-120}} \left(2\pi \frac{n}{p} \right) \right] = a_{p'''}(k'''_n, l'''_n). \quad (3.63)$$

Eq. (3.61) gives a bound on one element of U_{10-126} , eqs. (3.62) – on U_{10-120} , whereas eqs. (3.63) on the product of the two: $U_{126-120} = U_{10-126}^\dagger U_{10-120}$. More precisely, from the real part of (3.62) we obtain $|(U_{10-120})_{j1}|^2$, while the real part of (3.63) gives

$$|(U_{126-120})_{i1}|^2 = \left| (U_{10-126}^*)_{ji} (U_{10-120})_{j1} + \sum_{k \neq j} (U_{10-126}^*)_{ki} (U_{10-120})_{k1} \right|^2. \quad (3.64)$$

Imaginary parts give constraints on $\hat{\phi} \hat{e}_{10-120}$ and $\hat{\phi} \hat{e}_{126-120}$ according to (3.47) with the definition (3.48).

In the third example we consider a system with two Yukawas, e.g. Y_{10} and Y_{120} . We can, similarly as in section 3.2, see how unitarity restricts possible solutions when two (and thus due to group relations all three) among S_j^d in (3.43) are residual symmetries. We thus have

$$\text{Tr} \left[W_1 \left(2\pi \frac{n}{p} \right) \right] = a_{p'_n}(k'_n, l'_n), \quad (3.65)$$

$$\text{Tr} \left[W_2 \left(2\pi \frac{n}{p} \right) \right] = a_{p''_n}(k''_n, l''_n), \quad (3.66)$$

$$\text{Tr} \left[W_3 \left(2\pi \frac{n}{p} \right) \right] = a_{p'''_n}(k'''_n, l'''_n). \quad (3.67)$$

What we have to do is (restricting the solutions to $p, p', p'', p''' \leq 5$) to find in Table 3 three solutions for the same p with the sum

$$\sum_{j=1}^3 |(U_{10-120})_{j1}|^2 = 1. \quad (3.68)$$

Up to permutations of elements we get

$$\begin{aligned} p = 3 & \rightarrow |(U_{10-120})_{j1}| = \left(\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}} \right), \left(\sqrt{\frac{2}{3}}, \frac{1}{\sqrt{3}}, 0 \right), \left(\sqrt{\frac{3+\sqrt{5}}{6}}, \sqrt{\frac{3-\sqrt{5}}{6}}, 0 \right) \\ p = 4 & \rightarrow |(U_{10-120})_{j1}| = \left(\frac{1}{\sqrt{2}}, \frac{1}{\sqrt{2}}, 0 \right) \\ p = 5 & \rightarrow |(U_{10-120})_{j1}| = \left(\sqrt{\frac{5+\sqrt{5}}{10}}, \sqrt{\frac{5-\sqrt{5}}{10}}, 0 \right). \end{aligned} \quad (3.69)$$

We can ask if just unitarity is enough to get these solutions, repeating the arguments of section 3.2. Summing the three equations (3.65)-(3.67), we find the relation

$$a_{p'_n}(k'_n, l'_n) + a_{p''_n}(k''_n, l''_n) + a_{p'''_n}(k'''_n, l'''_n) = -1 - 2 \cos(2\pi n/p). \quad (3.70)$$

Although solving this equation (either by explicit numerical guess or using the techniques of [29]) is not problematic, one needs to combine $n = 1, \dots, p-1$ such solutions. In other words, satisfying the equation for the sum (3.70) is necessary but, in general, not sufficient condition for solving the whole system (3.65)-(3.67).

4 Confronting relations with data

The possible values of $|U_{ji}|$ found in sect. 3.1 are of the form (3.22). For $p \leq 5$ their values are summarized in the Table 2.

p	k	$ \cos(\pi k/p) $	$ \sin(\pi k/p) $
2	1	0	1
3	1	0.5	0.866
4	1	0.707	0.707
5	1	0.809	0.588
5	2	0.309	0.951

Table 2: Possible values of $|U_{ji}|$ for $p \leq 5$.

Let us confront these values with values extracted from the data. We start with (1.1). The vacuum expectation values¹⁰ (VEVs) $v_{10,120}^{u,d}$, $w_{126,120}^{u,d}$ of the $10_H, \overline{126}_H, 120_H$ Higgses break $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$ and generate the mass matrices for up quark, down quark, charged leptons, and Dirac neutrinos correspondingly:

$$\begin{aligned} M_U &= v_{10}^u Y_{10} + w_{126}^u Y_{126} + (v_{120}^u + w_{120}^u) Y_{120}, \\ M_D &= v_{10}^d Y_{10} + w_{126}^d Y_{126} + (v_{120}^d + w_{120}^d) Y_{120}, \\ M_E &= v_{10}^d Y_{10} - 3w_{126}^d Y_{126} + (v_{120}^d - 3w_{120}^d) Y_{120}, \\ M_{\nu_D} &= v_{10}^u Y_{10} - 3w_{126}^u Y_{126} + (v_{120}^u - 3w_{120}^u) Y_{120} \end{aligned} \quad (4.1)$$

The non-zero neutrino mass comes from both type I and II contributions:

$$M_N = -M_{\nu_D}^T M_{\nu_R}^{-1} M_{\nu_D} + M_{\nu_L}, \quad (4.2)$$

where the left-handed, M_{ν_L} , and right-handed, M_{ν_R} , Majorana mass matrices are generated by non-vanishing (in the Pati-Salam decomposition) $SU(2)_R$ triplet VEV v_R and $SU(2)_L$ triplet VEV v_L :

$$M_{\nu_L} = v_L Y_{126}, \quad M_{\nu_R} = v_R Y_{126}. \quad (4.3)$$

Relations (4.1) - (4.3) and the experimental values of the SM fermion masses and mixing allow to reconstruct (with some additional assumptions) the values of the Yukawa matrices Y_{10} and Y_{126} . Then diagonalizing these matrices as in (6.1) we can get the relative matrices, e.g. $U_{10-126} = U_{10}^\dagger U_{126}$. The procedure of reconstruction of Y_a from the data is by far not unique and a number of assumptions and further restrictions are needed to get Y_a . Here we will describe few cases from the literature, where the unitary matrices U_a are explicitly given. For other fits see for example [30].

¹⁰Here we assume supersymmetry; in the non-supersymmetric case, the Higgs 10-plet and 120-plet are in principle real. In this case $v_{10,120}^d = (v_{10,120}^u)^*$, $w_{120}^d = (w_{120}^u)^*$.

4.1 The case of $Y_{10} + Y_{126}$

Consider first check whether equality (3.22) is satisfied for one or more elements of the reconstructed relative matrix U_{10-126} . A fit of the Yukawas has been done, for example, in [31], where the SUSY scale was assumed to be low. Let us start with the Yukawas displayed in eq. (18) of [31]. The corresponding matrix U (only absolute values of its elements are important) can be found easily:

$$|U_{10-126}| = \begin{pmatrix} 0.919 & 0.392 & 0.037 \\ 0.362 & 0.812 & 0.458 \\ 0.156 & 0.432 & 0.888 \end{pmatrix}. \quad (4.4)$$

One should also take into account possible uncertainties in the determination of elements of (4.4), which we estimate as 10 – 20%. The element $|(U_{10-126})_{22}|$ is numerically close to $|\cos(\pi/5)|$. Furthermore, $|(U_{10-126})_{23}| = 0.46 \approx 0.5 = \cos(\pi/3)$. The third element in the same row is $|(U_{10-126})_{21}| = 0.36 \approx 0.31 = \cos(2\pi/5)$. This is one of the cases in which a full row of the relative matrix is determined by a residual symmetry, namely by the solution in (3.37). One can interpret this as an experimental evidence for the existence of G_f .

The second example comes from the Yukawa couplings shown in eq. (22) of [31]. They lead to the relative mixing matrix

$$|U_{10-126}| = \begin{pmatrix} 0.958 & 0.285 & 0.033 \\ 0.262 & 0.917 & 0.301 \\ 0.116 & 0.280 & 0.953 \end{pmatrix}. \quad (4.5)$$

The matrix element $|(U_{10-126})_{23}|$ is numerically close to $|\cos(2\pi/5)|$, however the other elements in the same row or column are not close to any value determined by symmetry. With large probability this can be just accidental coincidence.

4.2 Relative mixing between Y_{10} and Y_{120}

Let us check if the elements of the relative matrix $U_{10-120} = U_{10}^\dagger U_{120}$ are in agreement with data for some choice of j , p and

$$T_n \equiv \left(\frac{k_n}{p_n}, \frac{l_n}{p_n}, -\frac{k_n + l_n}{p_n} \pmod{1} \right), \quad n = 1, \dots, p-1. \quad (4.6)$$

Taking different values for p and T_n , we predict $|(U_{10-120})_{j1}|$. All possible values of $|(U_{10-120})_{j1}|$ and corresponding $\hat{e}\hat{\phi}$, for $p, p_n = 2, 3, 4, 5$ are shown in Table 3. They are solutions of eqs. (3.46)-(3.47).

p	T_1	T_2	T_3	T_4	$ (U_{10-120})_{j1} $	$\hat{e}\hat{\phi}$
3	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	-	-	0	0
	$(0, \frac{1}{3}, \frac{2}{3})$	$(0, \frac{1}{3}, \frac{2}{3})$	-	-	$\sqrt{\frac{1}{3}} = 0.577$	0
	$(0, \frac{1}{4}, \frac{3}{4})$	$(0, \frac{1}{4}, \frac{3}{4})$	-	-	$\sqrt{\frac{2}{3}} = 0.816$	0
	$(0, \frac{1}{5}, \frac{4}{5})$	$(0, \frac{1}{5}, \frac{4}{5})$	-	-	$\sqrt{\frac{3+\sqrt{5}}{6}} = 0.934$	0
	$(0, \frac{2}{5}, \frac{3}{5})$	$(0, \frac{2}{5}, \frac{3}{5})$	-	-	$\sqrt{\frac{3-\sqrt{5}}{6}} = 0.357$	0
4	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	-	0	0
	$(0, \frac{1}{3}, \frac{2}{3})$	$(0, \frac{1}{4}, \frac{3}{4})$	$(0, \frac{1}{3}, \frac{2}{3})$	-	$\sqrt{\frac{1}{2}} = 0.707$	0
	$(\frac{1}{4}, \frac{1}{4}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	$(\frac{1}{2}, \frac{3}{4}, \frac{3}{4})$	-	0	1
	$(\frac{1}{2}, \frac{3}{4}, \frac{3}{4})$	$(0, \frac{1}{2}, \frac{1}{2})$	$(\frac{1}{4}, \frac{1}{4}, \frac{1}{2})$	-	0	-1
5	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	$(0, \frac{1}{2}, \frac{1}{2})$	0	0
	$(0, \frac{1}{3}, \frac{2}{3})$	$(0, \frac{1}{5}, \frac{4}{5})$	$(0, \frac{1}{5}, \frac{4}{5})$	$(0, \frac{1}{3}, \frac{2}{3})$	$\sqrt{\frac{5+\sqrt{5}}{10}} = 0.851$	0
	$(0, \frac{2}{5}, \frac{3}{5})$	$(0, \frac{1}{3}, \frac{2}{3})$	$(0, \frac{1}{3}, \frac{2}{3})$	$(0, \frac{2}{5}, \frac{3}{5})$	$\sqrt{\frac{5-\sqrt{5}}{10}} = 0.526$	0

Table 3: Predictions for $|(U_{10-120})_{j1}|$ for $p, p_n = 2, 3, 4, 5$. The outputs, solutions of (3.46)-(3.47), are $|(U_{10-120})_{j1}|$ and $\hat{e}\hat{\phi}$.

We reconstruct U_{10-120} from the Table 2 p. 39 of [32]:

$$|U_{10-120}| = \begin{pmatrix} 0.951 & 0.310 & 0 \\ 0.306 & 0.939 & 0.158 \\ 0.049 & 0.150 & 0.987 \end{pmatrix}. \quad (4.7)$$

Confronting the first column in this matrix with predictions of the Table 3 we find that $|(U_{10-120})_{11}| = 0.951$ is close to one of the five solutions for $p = 3$: $\sqrt{(3 + \sqrt{5})/6} = 0.934$.

Other data fits give substantially different matrices U_{10-120} . The following values for the elements of the first columns of U_{10-120} have been found¹¹

$$|(U_{10-120})_{j1}| = \begin{pmatrix} 0.865 \\ 0.490 \\ 0.113 \end{pmatrix}, \begin{pmatrix} 0.828 \\ 0.540 \\ 0.150 \end{pmatrix}, \begin{pmatrix} 0.928 \\ 0.354 \\ 0.117 \end{pmatrix}, \begin{pmatrix} 0.640 \\ 0.753 \\ 0.155 \end{pmatrix}. \quad (4.8)$$

Again, coincidences with predictions of the Table 3 can be found.

4.3 RG invariance of the residual symmetry

Since we consider here the symmetry at the SO(10) level, the relative mixing matrix U_{b-a} , determined by the residual symmetries, should be considered at GUT or even higher mass scales. One would expect that renormalization group equation running change the value of this unitary matrix. This, indeed, happens in most of the cases, for example when residual symmetries are applied to quarks or leptons in the standard model: the CKM or PMNS matrices run, so that the validity of the residual symmetry approach is bounded to an a-priori unknown scale.

¹¹We thank Charanjit Khosa for these data.

In any supersymmetric SO(10) a residual symmetry imposed at the GUT scale will remain such also at any scale above it. Indeed, due to supersymmetry the renormalization is coming only through wave-functions. This means that up to wave-function renormalization of the 10_H and $\overline{126}_H$ the Yukawa matrices Y_{10} and Y_{126} above the GUT scale renormalize in the same way:

$$(Y_{10}^{ren})_{ij} = (Z_{16})_{ii'} (Z_{16})_{jj'} Z_{10} (Y_{10})_{i'j'}, \quad (Y_{126}^{ren})_{ij} = (Z_{16})_{ii'} (Z_{16})_{jj'} Z_{126} (Y_{126})_{i'j'}. \quad (4.9)$$

The different renormalization of (different) Higgses H_a gives just an overall factors, and as such appears as a common multiplication the corresponding Yukawa matrices Y_a , without change of the relative mixing matrix U_{b-a} . This is different from other cases, where a residual symmetry is valid at a single scale only. Here if the symmetry exists at the SO(10) GUT scale, it is present also at any scale above it, thanks to the combined effect of supersymmetry and SO(10).

5 SO(10) model with hidden sector

Another class of SO(10) models includes the SO(10) fermionic singlets S which mix with the usual neutrinos via the Yukawa couplings with 16_H (see [33] and references therein). This avoids the introduction of high dimensional Higgs representations 126_H and 120_H to generate fermion masses. Neutrino masses are generated via the double seesaw [34] and this allows to disentangle generation of the quark mixing and lepton mixing, and therefore naturally explain their different patterns. The Lagrangian of the Yukawa sector is given by

$$\mathcal{L}_{Yukawa} = 16_F^T 10_H^q Y_{10}^q 16_F + 16_F^T Y_{16} 16_H S + S^T Y_1 1_H S + \dots, \quad (5.1)$$

where subscripts $q = u, d$ refer to different Higgs 10-plets. The matrices of Yukawa couplings, Y_{10} , Y_{16} and Y_1 correspond to Higgses in 10_H , 16_H and 1_H . If not suppressed by symmetry, the singlets may have also the bare mass terms. Additional interactions should be added to (5.1) to explain the difference of mass hierarchies of quarks and charged leptons. Two 10-plets of Higgses can be introduced to generate different mass scales of the upper and down quarks. (Equality $Y_D = Y_E$ can be broken by high order operators.) In these models the couplings of 16_F with singlets (5.1) are responsible for the difference of mixing of quarks and leptons and for the smallness of neutrino masses.

The Lagrangian (5.1) contains three fermionic operators of different SO(10) structure $16_F 16_F$, $16_F 1_F$ and $1_F 1_F$ in contrast to (1.1), where all the terms have the same $16_F 16_F$ structure. This also can be an origin of different symmetries of Y_a on the top of difference of Higgs representations.

The terms in (5.1) have different intrinsic symmetries:

1. The first one has the Klein group symmetry $G_{10} = Z_2 \times Z_2$, as the terms in (1.1).
2. The last term is also symmetric and has $G_1 = Z_2 \times Z_2$ symmetry.
3. The second, ‘‘portal’’ term obeys a much wider intrinsic symmetry: $U(1) \times U(1) \times U(1)$. In the diagonal basis it is related to independent continuous rotation of the three diagonalized states. This term can be considered as the Dirac term of charged leptons in previous studies of residual symmetries. To further proceed with the discrete symmetry approach we can select the discrete subgroup of the continuous symmetry, e.g. $G_{16} = Z_m \times Z_n \times Z_l$, or (to match with previous considerations in literature) even single subgroup

$G_{16} = Z_n$, under which different components have different charges $k = 0, 1, \dots, n-1$. So, the symmetry transformation, T , in the diagonal basis $16'_F = T 16_F$, $S' = T^\dagger S$ becomes:

$$T = \begin{pmatrix} e^{i2\pi \frac{k_1}{n}} & 0 & 0 \\ 0 & e^{i2\pi \frac{k_2}{n}} & 0 \\ 0 & 0 & e^{i2\pi \frac{k_3}{n}} \end{pmatrix} \quad (5.2)$$

with $k_1 + k_2 + k_3 = 0 \pmod n$ to keep $\text{Det}(T) = 1$.

There are many possible embeddings of the residual symmetries G_{10} , G_{16} , G_1 which will lead to restriction on the relative mixing matrices between Y_{10} , Y_{16} , Y_1 . These matrices will determine eventually the lepton mixing (and more precisely its difference from the quark mixing). Recall that the difference may have special form like TBM or BM-type.

According to the double seesaw [34] the light neutrino mass matrix equals

$$m_\nu \propto Y_{10}^u Y_{16}^{T-1} Y_1 Y_{16}^{-1} Y_{10}^{uT}. \quad (5.3)$$

In terms of the diagonal matrices and relative rotations it can be rewritten as

$$m_\nu \propto Y_{10}^d U_{10-16} Y_{16}^{d-1} U_{16-1} Y_1^d U_{16-1}^T Y_{16}^{-1} U_{10-16}^T Y_{10}^T. \quad (5.4)$$

Then the embedding of G_{10} and G_{16} (or their subgroups) into a unique flavor group G_f determines (restricts) the relative matrix U_{10-16} . Embedding of G_{16} and G_1 into G'_f determines U_{16-1} . Further embedding of all residual symmetries will restrict both U_{10-16} and U_{16-1} .

Let us mention one possibility. Selecting the parameters of embedding one can, e.g. obtain $U_{10-16} = \mathbb{I}$ and $U_{16-1} = U_{TBM}$. Then imposing $Y_{10}^d Y_{16}^{d-1} = \mathbb{I}$ (which would require some additional symmetries [35] [33]) one finds

$$m_\nu = U_{16-1} Y_1^d U_{16-1}^T = U_{TBM} Y_1^d U_{TBM}^T, \quad (5.5)$$

that is, the TBM mixing of neutrinos. Detailed study of these possibilities is beyond the scope of this paper.

6 Intrinsic symmetries and relative mixing matrix

Let us further clarify the conceptual issues related to the intrinsic and residual symmetries.

Intrinsic symmetries are the symmetries left after breaking of a bigger flavor symmetry. These symmetries exist before and after G_f breaking. By itself these symmetries do not carry any new information about the flavor apart from that of symmetricity or antisymmetricity of the Yukawa matrices. So, by itself the intrinsic symmetries do not restrict the flavor structure.

These symmetries do not depend on the model parameters or on symmetry breaking. Recall that depending on the basis the form of symmetry transformation is different. So, changing the basis leads to the change of the form.

In a given basis symmetry transformations for different Y_a can have different form, and it is this form of the transformation that encodes the flavor information. In other words, not the symmetry elements (generators) themselves, but their form in a given (and the same for all couplings) basis that encodes (restricts) the flavor structure. Changing basis for all couplings simultaneously does not change physics.

Breaking of the flavor symmetry fixes the form of the intrinsic symmetry transformations. In other words, G_f breaking can not break the intrinsic symmetries but determine the form of symmetry transformations in a fixed (for all the couplings) basis.

In a sense, the intrinsic symmetries can be considered as a tool to introduce the flavor symmetries and study their consequences. Indeed, in the usual consideration symmetry determines the form of the Yukawa matrices in a certain basis. Changing the basis leads to a change of the form of Y_a , but it does not change the relative mixing matrix between different Y_a , which has a physical meaning. On the other hand the form of Y_a determines the form of symmetry transformations. Therefore studying the form of transformations we obtain consequences of symmetry.

Let us show that the matrix which diagonalizes Y_a determines the form of symmetry transformation. For definiteness we consider two symmetric matrices Y_a and Y_b , and take the basis where Y_b is diagonal. The diagonalization of Y_a in this basis is given by rotation U :

$$Y_a = U^* Y_a^d U^\dagger. \quad (6.1)$$

(Recall that here U is the relative mixing matrix U_{b-a} and we omit subscript for brevity). Let us show that U determines the form of the intrinsic symmetry transformation as

$$S = U S^d U^\dagger, \quad (6.2)$$

where S^d is the intrinsic symmetry transformation in the basis where Y_a is diagonal:

$$S^d Y_a^d S^d = Y_a^d. \quad (6.3)$$

Using (6.2) and (6.1) we have

$$S^T Y_a S = U^* S^d U^T U^* Y_a^d U^\dagger U S^d U^\dagger = U^* S^d Y_a^d S^d U^\dagger = U^* Y_a^d U^\dagger = Y_a, \quad (6.4)$$

where in the second equality we used the invariance (6.3). According to (6.4) S defined in (6.2) is indeed the symmetry transformation of Y_a .

Let us comment on intrinsic and residual symmetries. Not all intrinsic symmetries can be taken as residual symmetry which originate from a given flavor symmetries. On the other hand, residual symmetries can be bigger than just intrinsic symmetries, i.e. include elements which are not intrinsic. The variety of residual transformations does not coincide with the variety of intrinsic symmetry transformations.

We can consider another class of symmetries under which also the Higgs bosons are charged. The symmetries are broken by these Higgs VEVs. In the case of a single Higgs multiplet of a given dimension, this does not produce flavor structure.

Let us comment on possible realization and implications of the residual symmetry approach. We can assume that three 16_F form a triplet of the covering group G_f (A_4 can be taken as an example). If we assume that Higgs multiplets H_a , $a = 10, \overline{126}, 120, 16$, are singlets of G_f , then the product $16_F^T Y_a 16_F$ should originate from G_f symmetric interactions. Apart from trivial case of $Y_a \propto I$ (implied that $16_F^T 16_F$ is invariant under G_f), Y_a should be the effective coupling that appears after spontaneous symmetry breaking, so it is the function of the flavon fields ϕ, ξ , which transform non-trivially under G_f : $Y_a = Y_a(\phi, \xi)$. In the A_4 example we may have, e.g., that

$$Y_{10} = h_{10} y(\vec{\phi}), \quad Y_{126} = h_{126} y(\xi), \quad (6.5)$$

where $\vec{\phi} = (\phi, \phi', \phi'')$ are flavons transforming as $1, 1', 1''$ representations of A_4 and ξ transforms as a triplet of A_4 . The effective Yukawa couplings are generated when the flavons get VEV's. Then Y_{10} will be diagonal, whereas Y_{126} - off-diagonal.

To associate 10_H with certain flavons we need to introduce another symmetry in such a way that only $\phi 10_H$ and $\xi 126_H$ are invariant. For instance, we can introduce a Z_4 symmetry under which $\phi, 10_H, \xi, 126_H$ transform with $-1, -1, i, -i$, respectively.

7 Summary and Conclusion

We have explored an interplay of the vertical (gauge) symmetry and flavor symmetries in obtaining the fermion masses and mixing. In $SO(10)$ the GUT Yukawa couplings have intrinsic flavor symmetries related to the $SO(10)$ gauge structure. These symmetries are always present independently of the specific parameters of the model (couplings or masses). Different terms of the Yukawa Lagrangian have different intrinsic symmetries. Due to $SO(10)$ the matrices of Yukawa couplings of 16_F with the 10_H and 126_H are symmetric and therefore have “built-in” $G_{10} = Z_2 \times Z_2$ and $G_{126} = Z_2 \times Z_2$ symmetries. We find that the matrix of Yukawa couplings of 120_H , being antisymmetric, has $G_{120} = SU(2)$ symmetry and some elements of the discrete subgroup of $SU(2)$ can be used for further constructions. If also $SO(10)$ fermionic singlets S exist, their self couplings are symmetric and therefore $G_1 = Z_2 \times Z_2$. The couplings of S with 16_F have symmetries of the Dirac type $G_{16} = U(1)^3$, and the interesting subgroup is $G_{16} = Z_n$.

We assume that (part of) the intrinsic (built-in) symmetries are residual symmetries which are left out from the breaking of a bigger flavor symmetry group G_f [13]. So G_f is the covering group of the selected residual symmetry groups. This is an extension of the residual symmetry approach used in the past to explain lepton mixing. The main difference is that in the latter case the mass terms with different residual symmetries involve different fermionic fields: neutrino and charged leptons. Here the Yukawa interactions with different symmetries involve the same 16_F (but different Higgs representations). Higgses are uncharged with respect to the residual symmetries but should encode somehow information about the Yukawa couplings. In the presence of the fermionic singlets, also the fermionic operators can encode this information.

We show that the embedding of the residual symmetries leads to determination of the elements of the relative mixing matrix U_{a-b} which connects the diagonal bases of the Yukawa matrices Y_a and Y_b . In our analysis we use the symmetry group condition which allows to determine the elements of the relative matrix immediately without the explicit construction of the Yukawa matrices and their diagonalization. We show the equivalence of our approach and the one in [13] in few explicit examples.

In the case of the minimal $SO(10)$ with one 10_H and one 126_H the total intrinsic symmetry is $G_{10} \times G_{126} = (Z_2 \times Z_2)_{10} \times (Z_2 \times Z_2)_{126}$. In this case the covering group is the Coxeter group. If one Z_2 element of G_{10} and one element of G_{126} are taken, so that the residual symmetry is $Z_2 \times Z_2$, only one element of the relative mixing matrix U_{10-126} is determined. The value of the element is given by the integers p, k of the embedding and therefore has a discrete ambiguity.

If one Z_2 element of G_{10} (or G_{126}) and both elements of G_{126} (or G_{10}) are taken as the residual symmetries, then two elements in a row (column) are determined. Furthermore, as a consequence of unitarity, the whole row (column) is determined. We show that the unitarity condition emerges from the group properties. Unitarity is not automatic and it imposes additional conditions on the parameters of the embedding, and therefore on possible values of the matrix elements.

If all Z_2 elements of G_{10} and G_{126} are taken as the residual symmetries, then 4 elements of U , and consequently, the whole matrix U is determined.

Using elements of G_{120} opens up different possibilities. Taking the Abelian Z_p subgroup of $SU(2)$ the covering group is not a Coxeter group anymore for $p > 2$, and so not covered by [13]. Even if we start with one single element of $g \in Z_p$ ($g^p = \mathbb{I}$) being a residual symmetry, so must be g^2, \dots, g^{p-1} . This follows simply from the definition of a group, it is not our choice or assumption. So each of the elements g^n , $n = 1, \dots, p-1$, must satisfy a group condition if also a Z_2 element of G_{10} is a residual symmetry. In the case of residual symmetry with one Z_2 element of G_{10} and the $p-1$ elements of Z_p a total of $2 \times (p-1)$ real equations for one element $|(U_{10-120})_{j1}|$ and one angle $\hat{e}\hat{\phi}$ (plus various integers) must be satisfied. Solutions can exist only because each complex equation can have a different choice of p_n, k_n, l_n .

Using unitarity $3 \times 2 \times (p-1)$ relations on elements of U_{10-120} (plus some integers) appear if the whole G_{10} and $p-1$ elements from $G_{120} = Z_p$ are taken as residual symmetries.

If one Z_2 from G_{10} , another Z_2 from G_{126} and $p-1$ elements Z_p from G_{120} are identified as the residual symmetries, we obtain relations between the elements of both U_{10-126} and U_{10-120} .

We confronted the obtained values of elements of the relative mixing matrices with available results of data fits. We find that in the case of G_{10} and G_{126} embedding the predictions for one and two elements are compatible with some fits. Also for G_{10} and G_{120} embeddings some predictions for elements of U_{10-120} exactly or approximately coincide with data. These values as well as residual symmetries in general are renormalization group independent in supersymmetric $SO(10)$.

The fits to data are not unique and typically several local minima with low enough χ^2 exist. This is one of the reasons why we cannot conclude yet that $SO(10)$ data point toward residual symmetries, and more work should be done. The other reason is the unavoidable possibility that a coincidence between data and the theoretical expectation could be simply accidental.

Acknowledgments

The authors would like to express a special thanks to the Mainz Institute for Theoretical Physics (MITP) for its hospitality and support. BB would like to thank Pritibhajan Byakti, Claudia Hagedorn and Palash Pal for discussion, Charan Aulakh and Charanjit Khosa for discussion, correspondence and for sharing unpublished data. The work of BB has been supported by the Slovenian Research Agency.

A Comparison with the approach in [13]

The invariance of the symmetric Yukawa matrix Y is expressed as

$$S^T Y S = Y. \quad (\text{A.1})$$

The intrinsic symmetry can be easily realized in the basis where the Yukawa matrix Y is diagonal. A diagonal matrix $Y^d = \text{diag}(y_1, y_2, y_3)$ with arbitrary (non-degenerate) elements y_i is invariant with respect to transformations

$$S_i^d = \text{diag}[(-1)^n, (-1)^k, (-1)^l], \quad n, k, l, = 0, 1. \quad (\text{A.2})$$

For a symmetric matrix the invariance is defined as

$$S_i^d Y^d S_i^d = Y^d. \quad (\text{A.3})$$

The elements, being reflections, satisfy $(S_i^d)^2 = \mathbb{I}$. There are $2^3 = 8$ different transformations in (A.2), including the identity matrix. So, the maximal intrinsic symmetry group is Z_2^3 , since transformations with $s_j = -s_i$ having opposite signs of determinants, do not produce additional restrictions on m . If we take elements with $\text{Det}(S_i) = 1$, only 4 elements are left which correspond to the $Z_2 \times Z_2$ group.

In general, different Yukawa matrices can not be diagonalized simultaneously. Therefore, in a given basis, their symmetry elements can be obtained performing the unitary transformation:

$$S_i = U_i S_i^d U_i^\dagger, \quad (\text{A.4})$$

where U_i connects a given basis with the diagonal basis for S_i . Using $(S_i)^2 = \mathbb{I}$ it is easy to show that for two different elements $(S_i S_j)^n = (S_j S_i)^n$ with $n \geq 2$. The group formed by the reflection elements S_i is called the Coxeter group¹².

In [13] it is suggested that different terms of the SO(10) Yukawa Lagrangian, and consequently different mass matrices generated by these terms, are invariant under different elements S_i . Furthermore S_i are identified with the residual symmetry left over from the breaking of the Coxeter group. Invariance of the Yukawa matrices leads to restriction of their elements.

Let us show that the approach in this paper is equivalent to that in [13]. Consider two Yukawa matrices (or “fundamental” mass matrices as in [13]) Y_a and Y_b invariant with respect to S_a and S_b . Then the elements S_a and S_b being residual symmetry elements satisfy the relation $(S_a S_b)^p = \mathbb{I}$. Expressing S_a and S_b in terms of diagonal elements (A.4) we obtain $(U_{a-b} S_a^d U_{a-b}^\dagger U_b^d U_b^\dagger)^p = \mathbb{I}$, where $U_{a-b} \equiv U_b^\dagger U_a$. This coincides with the symmetry group condition (3.2). U_{a-b} connects two basis in which Y_a, Y_b are diagonal, that is, the relative mixing matrix. This matrix does not depend on the basis and has a physical meaning.

In our approach we use immediately the symmetry group condition to get bounds on U_{a-b} , whereas in [13] the symmetries S_a and S_b were used to obtain bounds on the corresponding mass matrices. Diagonalization of these restricted matrices and then finding the relative mixing should lead to the same result.

¹²A Coxeter group with two generators is a von Dyck group $D(2, 2, p)$.

Let us illustrate this using two examples. We will consider the Coxeter group A_3 . It has three generators and the group structure is

$$(S_1 S_3)^2 = \mathbb{I}, \quad (S_1 S_2)^3 = \mathbb{I}, \quad (S_3 S_2)^3 = \mathbb{I}. \quad (\text{A.5})$$

In the first example we take Y_{10} to be invariant with respect to $S_{10} = S_1$ and Y_{126} with respect to $S_{126} = S_3$. From the first group relation in (A.5) it follows that S_1 and S_3 commute. Therefore the basis can be found in which both S_1 and S_3 are diagonal simultaneously. We can take $S_{10} = S_1^d$ and $S_{126} = S_3^d$, where S_1^d and S_3^d are given in (2.9).

Let us underline that in this example it is the commutation of S_1 and S_3 (which is a consequence of the group structure relation) that encodes the information about embedding.

As the consequence of symmetries, the matrices should have the following vanishing elements

$$(Y_{10})_{12,13,21,31} = 0 \quad (\text{A.6})$$

$$(Y_{126})_{13,23,31,32} = 0. \quad (\text{A.7})$$

They are diagonalized by

$$U_{10} = \begin{pmatrix} e^{i\alpha_{10}} & 0_{1 \times 2} \\ 0_{2 \times 1} & (U_{10})_{2 \times 2} \end{pmatrix}, \quad U_{126} = \begin{pmatrix} (U_{126})_{2 \times 2} & 0_{2 \times 1} \\ 0_{1 \times 2} & e^{i\alpha_{126}} \end{pmatrix} \quad (\text{A.8})$$

Therefore

$$U_{13} = \left(U_{10}^\dagger U_{126} \right)_{13} = 0. \quad (\text{A.9})$$

This result can be obtained immediately from our consideration (3.22). Indeed, in this case the generators S_1 and S_3 are involved, so we fix the element U_{13} . In this example $p = 2$ and $k = 1$ that lead according to (3.22) to $U_{13} = \cos(\pi/2) = 0$.

In the second example we take again $S_{10} = S_1$ as the symmetry of Y_{10} but $S_{126} = S_2$ as the symmetry of Y_{126} . Now $p = 3$ (A.5) and the generators do not commute, so they can not be diagonalized simultaneously. In the basis $S_{10} = S_1^d$ according to [13] the third element equals

$$S_2 = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{2} & -1 \\ \dots & 0 & -\sqrt{2} \\ \dots & \dots & -1 \end{pmatrix}. \quad (\text{A.10})$$

This element can be represented as

$$S_2 = U S_2^d U^\dagger, \quad (\text{A.11})$$

where $S_2^d = \text{diag}(-1, 1, -1)$ and, as can be obtained explicitly from (A.10) and (A.11), in U only the second column is determined: $|U_{j2}| = (1/2, 1/\sqrt{2}, 1/2)^T$. The matrix U is nothing but the relative matrix which connects two diagonal bases for S_i . In particular, we have $|U_{12}| = 1/2$. Again this result can be obtained immediately from our consideration. Since the generators involved are S_1 and S_2 , the 1 - 2 element is fixed. For $p = 3$ and $k = 1$ (or $k = 2$) we have from (3.22) $|U_{12}| = \cos(\pi/3) = 1/2$.

Notice that in the matrix U only one column is determined, and so there is an ambiguity related with certain rotations. Also in the first example we could write the symmetry group condition as $(S_1^d S_3^d)^2 = \mathbb{I}$, that is, $U = \mathbb{I}$ which is consistent with $U_{13} = 0$. Again here we have an ambiguity related to rotations (A.8).

References

- [1] H. Georgi and S. L. Glashow, “Unity of All Elementary Particle Forces,” *Phys. Rev. Lett.* **32** (1974) 438.
- [2] J. C. Pati and A. Salam, “Lepton Number as the Fourth Color,” *Phys. Rev. D* **10** (1974) 275 Erratum: [*Phys. Rev. D* **11** (1975) 703].
- [3] H. Fritzsch and P. Minkowski, “Unified Interactions of Leptons and Hadrons,” *Annals Phys.* **93** (1975) 193.
- [4] R. N. Mohapatra and B. Sakita, “SO(2n) Grand Unification in an $SU(N)$ Basis,” *Phys. Rev. D* **21** (1980) 1062.
- [5] F. Wilczek and A. Zee, “Families from Spinors,” *Phys. Rev. D* **25** (1982) 553.
- [6] B. Bajc, G. Senjanović and F. Vissani, “B - Tau Unification and Large Atmospheric Mixing: a Case for Noncanonical Seesaw,” *Phys. Rev. Lett.* **90** (2003) 051802 [[hep-ph/0210207](#)].
- [7] C. S. Aulakh and R. N. Mohapatra, “Implications of Supersymmetric SO(10) Grand Unification,” *Phys. Rev. D* **28**, 217 (1983); T. E. Clark, T. K. Kuo and N. Nakagawa, “A So(10) Supersymmetric Grand Unified Theory,” *Phys. Lett. B* **115**, 26 (1982); K. S. Babu and R. N. Mohapatra, “Predictive neutrino spectrum in minimal SO(10) grand unification,” *Phys. Rev. Lett.* **70**, 2845 (1993) [[hep-ph/9209215](#)]; C. S. Aulakh, B. Bajc, A. Melfo, G. Senjanović and F. Vissani, “The Minimal supersymmetric grand unified theory,” *Phys. Lett. B* **588**, 196 (2004) [[hep-ph/0306242](#)].
- [8] R. Barbieri, L. J. Hall, S. Raby and A. Romanino, “Unified Theories with U(2) Flavor Symmetry,” *Nucl. Phys. B* **493** (1997) 3 [[hep-ph/9610449](#)]; Z. Berezhiani and A. Rossi, “Predictive Grand Unified Textures for Quark and Neutrino Masses and Mixings,” *Nucl. Phys. B* **594** (2001) 113 [[hep-ph/0003084](#)]; M. C. Chen and K. T. Mahanthappa, “From Ckm Matrix to Mns Matrix: a Model Based on Supersymmetric SO(10) \times U(2)(F) Symmetry,” *Phys. Rev. D* **62** (2000) 113007 [[hep-ph/0005292](#)]; G. G. Ross and L. Velasco-Sevilla, “Symmetries and Fermion Masses,” *Nucl. Phys. B* **653** (2003) 3 [[hep-ph/0208218](#)]; S. F. King and G. G. Ross, “Fermion Masses and Mixing Angles from Su (3) Family Symmetry and Unification,” *Phys. Lett. B* **574** (2003) 239 [[hep-ph/0307190](#)]; G. G. Ross, L. Velasco-Sevilla and O. Vives, “Spontaneous CP Violation and Nonabelian Family Symmetry in Susy,” *Nucl. Phys. B* **692** (2004) 50 [[hep-ph/0401064](#)]; I. de Medeiros Varzielas and G. G. Ross, “SU(3) Family Symmetry and Neutrino Bi-Tri-Maximal Mixing,” *Nucl. Phys. B* **733** (2006) 31 [[hep-ph/0507176](#)]; Z. Berezhiani and F. Nesti, “Supersymmetric SO(10) for Fermion Masses and Mixings: Rank-1 Structures of Flavor,” *JHEP* **0603** (2006) 041 [[hep-ph/0510011](#)]; C. S. Aulakh and C. K. Khosa, “SO(10) Grand Unified Theories with Dynamical Yukawa Couplings,” *Phys. Rev. D* **90** (2014) 4, 045008 [[arXiv:1308.5665](#) [[hep-ph](#)]]; C. S. Aulakh, “Bajc-Melfo Vacua Enable Yukawon Ultraminimal Grand Unified Theories,” *Phys. Rev. D* **91** (2015) 055012 [[arXiv:1402.3979](#) [[hep-ph](#)]].
- [9] D. B. Kaplan and M. Schmaltz, “Flavor Unification and Discrete Nonabelian Symmetries,” *Phys. Rev. D* **49** (1994) 3741 [[hep-ph/9311281](#)]; D. G. Lee and R. N. Mohapatra,

- “An $SO(10) \times S^4$ Scenario for Naturally Degenerate Neutrinos,” *Phys. Lett. B* **329** (1994) 463 [hep-ph/9403201]; R. Dermisek and S. Raby, “Bi-Large Neutrino Mixing and CP Violation in an $SO(10)$ SUSY GUT for Fermion Masses,” *Phys. Lett. B* **622** (2005) 327 [hep-ph/0507045]; C. Hagedorn, M. Lindner and R. N. Mohapatra, “ S^4 Flavor Symmetry and Fermion Masses: Towards a Grand Unified Theory of Flavor,” *JHEP* **0606** (2006) 042 [hep-ph/0602244]; S. F. King and C. Luhn, “A Supersymmetric Grand Unified Theory of Flavour with $PSL(2)(7) \times SO(10)$,” *Nucl. Phys. B* **832** (2010) 414 [arXiv:0912.1344 [hep-ph]]; K. M. Patel, “An $SO(10)XS4$ Model of Quark-Lepton Complementarity,” *Phys. Lett. B* **695** (2011) 225 [arXiv:1008.5061 [hep-ph]].
- [10] P. M. Ferreira, W. Grimus, D. Jurčiukonis and L. Lavoura, “Flavour Symmetries in a Renormalizable $SO(10)$ Model,” arXiv:1510.02641 [hep-ph].
- [11] I. P. Ivanov and L. Lavoura, “ $SO(10)$ Models with Flavour Symmetries: Classification and Examples,” arXiv:1511.02720 [hep-ph].
- [12] M. C. Chen and K. T. Mahanthappa, “Fermion Masses and Mixing and CP Violation in $SO(10)$ Models with Family Symmetries,” *Int. J. Mod. Phys. A* **18** (2003) 5819 [hep-ph/0305088]; G. Altarelli and F. Feruglio, “Discrete Flavor Symmetries and Models of Neutrino Mixing,” *Rev. Mod. Phys.* **82** (2010) 2701 [arXiv:1002.0211 [hep-ph]].
- [13] C. S. Lam, “Built-In Horizontal Symmetry of $SO(10)$,” *Phys. Rev. D* **89** (2014) 9, 095017 [arXiv:1403.7835 [hep-ph]].
- [14] A. Zee, “A Theory of Lepton Number Violation, Neutrino Majorana Mass, and Oscillation,” *Phys. Lett. B* **93** (1980) 389 [*Phys. Lett. B* **95** (1980) 461]; A. Zee, “Charged Scalar Field and Quantum Number Violations,” *Phys. Lett. B* **161** (1985) 141; A. Y. Smirnov and M. Tanimoto, “Is Zee Model the Model of Neutrino Masses?,” *Phys. Rev. D* **55** (1997) 1665 [hep-ph/9604370]; C. Jarlskog, M. Matsuda, S. Skadhauge and M. Tanimoto, “Zee Mass Matrix and Bimaximal Neutrino Mixing,” *Phys. Lett. B* **449** (1999) 240; [hep-ph/9812282]. P. H. Frampton and S. L. Glashow, “Can the Zee Ansatz for Neutrino Masses Be Correct?,” *Phys. Lett. B* **461** (1999) 95 [hep-ph/9906375]; A. S. Joshipura and S. D. Rindani, “Neutrino Anomalies in an Extended Zee Model,” *Phys. Lett. B* **464** (1999) 239 [hep-ph/9907390]; K. R. S. Balaji, W. Grimus and T. Schwetz, “The Solar Lma Neutrino Oscillation Solution in the Zee Model,” *Phys. Lett. B* **508** (2001) 301 [hep-ph/0104035].
- [15] C. S. Lam, “Symmetry of Lepton Mixing,” *Phys. Lett. B* **656** (2007) 193 [arXiv:0708.3665 [hep-ph]].
- [16] C. S. Lam, “The Unique Horizontal Symmetry of Leptons,” *Phys. Rev. D* **78** (2008) 073015 [arXiv:0809.1185 [hep-ph]].
- [17] C. S. Lam, “A Bottom-Up Analysis of Horizontal Symmetry,” arXiv:0907.2206 [hep-ph].
- [18] W. Grimus, L. Lavoura and P. O. Ludl, “Is S^4 the Horizontal Symmetry of Tri-Bimaximal Lepton Mixing?,” *J. Phys. G* **36** (2009) 115007 [arXiv:0906.2689 [hep-ph]].
- [19] W. Grimus and L. Lavoura, “Tri-Bimaximal Lepton Mixing from Symmetry Only,” *JHEP* **0904** (2009) 013 [arXiv:0811.4766 [hep-ph]].

- [20] D. Hernandez and A. Y. Smirnov, “Lepton Mixing and Discrete Symmetries,” *Phys. Rev. D* **86** (2012) 053014 [arXiv:1204.0445 [hep-ph]].
- [21] D. Hernandez and A. Y. Smirnov, “Discrete Symmetries and Model-Independent Patterns of Lepton Mixing,” *Phys. Rev. D* **87** (2013) 5, 053005 [arXiv:1212.2149 [hep-ph]].
- [22] R. M. Fonseca and W. Grimus, “Classification of Lepton Mixing Matrices from Finite Residual Symmetries,” *JHEP* **1409** (2014) 033 [arXiv:1405.3678 [hep-ph]].
- [23] A. Esmaili and A. Y. Smirnov, “Discrete Symmetries and Mixing of Dirac Neutrinos,” *Phys. Rev. D* **92** (2015) 9, 093012 [arXiv:1510.00344 [hep-ph]].
- [24] A. Blum, C. Hagedorn and M. Lindner, “Fermion Masses and Mixings from Dihedral Flavor Symmetries with Preserved Subgroups,” *Phys. Rev. D* **77** (2008) 076004 [arXiv:0709.3450 [hep-ph]].
- [25] P. Byakti and P. B. Pal, “Coxeter Groups and the Pmns Matrix,” arXiv:1601.08063 [hep-ph].
- [26] R. M. Fonseca and W. Grimus, “Roots of Unity and Lepton Mixing Patterns from Finite Flavour Symmetries,” arXiv:1510.01912 [hep-ph].
- [27] F. Feruglio, C. Hagedorn and R. Ziegler, “Lepton Mixing Parameters from Discrete and CP Symmetries,” *JHEP* **1307** (2013) 027 [arXiv:1211.5560 [hep-ph]].
- [28] C. Hagedorn, A. Meroni and L. Vitale, “Mixing Patterns from the Groups $\Sigma(n\phi)$,” *J. Phys. A* **47** (2014) 055201 [arXiv:1307.5308 [hep-ph]].
- [29] J. H. Conway and A. J. Jones, “Trigonometric diophantine equations (On vanishing sums of roots of unity)”, *Acta Arithmetica* **30** (1976) 229.
- [30] T. Fukuyama and N. Okada, “Neutrino oscillation data versus minimal supersymmetric SO(10) model,” *JHEP* **0211**, 011 (2002) [hep-ph/0205066]; H. S. Goh, R. N. Mohapatra and S. P. Ng, “Minimal SUSY SO(10), b tau unification and large neutrino mixings,” *Phys. Lett. B* **570**, 215 (2003) [hep-ph/0303055]; H. S. Goh, R. N. Mohapatra and S. P. Ng, “Minimal SUSY SO(10) model and predictions for neutrino mixings and leptonic CP violation,” *Phys. Rev. D* **68**, 115008 (2003) [hep-ph/0308197]; S. Bertolini, M. Frigerio and M. Malinsky, “Fermion masses in SUSY SO(10) with type II seesaw: A Non-minimal predictive scenario,” *Phys. Rev. D* **70**, 095002 (2004) [hep-ph/0406117]; K. S. Babu and C. Macesanu, “Neutrino masses and mixings in a minimal SO(10) model,” *Phys. Rev. D* **72**, 115003 (2005) [hep-ph/0505200]; B. Bajc, I. Doršner and M. Nemevšek, “Minimal SO(10) Splits Supersymmetry,” *JHEP* **0811** (2008) 007 [arXiv:0809.1069 [hep-ph]]; A. S. Joshipura and K. M. Patel, “Fermion Masses in SO(10) Models,” *Phys. Rev. D* **83**, 095002 (2011) [arXiv:1102.5148 [hep-ph]]; G. Altarelli and D. Meloni, “A non supersymmetric SO(10) grand unified model for all the physics below M_{GUT} ,” *JHEP* **1308**, 021 (2013) [arXiv:1305.1001 [hep-ph]].
- [31] A. Dueck and W. Rodejohann, “Fits to SO(10) Grand Unified Models,” *JHEP* **1309** (2013) 024 [arXiv:1306.4468 [hep-ph]].

- [32] C. S. Aulakh, I. Garg and C. K. Khosa, “Baryon Stability on the Higgs Dissolution Edge: Threshold Corrections and Suppression of Baryon Violation in the Nmsgut,” Nucl. Phys. B **882** (2014) 397 [arXiv:1311.6100 [hep-ph]].
- [33] X. Chu and A. Y. Smirnov, “Neutrino mixing and masses in SO(10) GUTs with hidden sector and flavor symmetries,” arXiv:1604.03977 [hep-ph].
- [34] R. N. Mohapatra and J. W. F. Valle, “Neutrino Mass and Baryon Number Nonconservation in Superstring Models,” Phys. Rev. D **34** (1986) 1642.
- [35] C. Hagedorn, M. A. Schmidt and A. Y. Smirnov, “Lepton Mixing and Cancellation of the Dirac Mass Hierarchy in SO(10) GUTs with Flavor Symmetries T(7) and Sigma(81),” Phys. Rev. D **79** (2009) 036002 [arXiv:0811.2955 [hep-ph]].