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## **A semi-synthetic oligosaccharide conjugate vaccine candidate confers protection against *Streptococcus pneumoniae* serotype 3 infection**

Sharavathi Guddehalli Parameswarappa<sup>1,6,9</sup>, Katrin Reppe<sup>2,9</sup>, Andreas Geissner<sup>1,3,9</sup>, Petra Ménová<sup>1</sup>, Subramanian Govindan<sup>1,7</sup>, Adam D. J. Calow<sup>1</sup>, Annette Wahlbrink<sup>1</sup>, Markus W. Weishaupt<sup>1,3</sup>, Bopanna Ponnappa Monnanda<sup>1,3</sup>, Roland Lawrence Bell<sup>2</sup>, Liise-anne Pirofski<sup>4,5</sup>, Norbert Suttorp<sup>2</sup>, Leif Erik Sander<sup>2</sup>, Martin Witzenrath<sup>2,10</sup>, Claney Lebev Pereira<sup>1,6,10</sup>, Chakkumkal Anish<sup>1,8,10</sup>, Peter H. Seeberger<sup>\*,1,3,10</sup>

<sup>1</sup>Department of Biomolecular Systems, Max-Planck Institute of Colloids and Interfaces, Am Mühlenberg 1, 14476 Potsdam (Germany)

<sup>2</sup>Charité - Universitätsmedizin Berlin, Department of Infectious Diseases and Pulmonary Medicine, Chariteplatz 1, 10117 Berlin (Germany)

<sup>3</sup>Institute of Chemistry and Biochemistry, Freie Universität Berlin, Arnimallee 22, 14195 Berlin (Germany)

<sup>4</sup>Division of Infectious Diseases, Department of Medicine, Albert Einstein College of Medicine and Montefiore Medical Center, Bronx, New York (USA)

<sup>5</sup>Department of Microbiology and Immunology, Albert Einstein College of Medicine, Bronx, New York (USA)

<sup>6</sup>Present address: Vaxxilon Deutschland GmbH, Magnusstrasse 11, 12489 Berlin (Germany)

<sup>7</sup>Present address: Department of Chemistry, Indian Institute of Technology Tirupati, Tirupati-Renigunta Road, Tirupati, Andhra Pradesh, India 517506 and Department of Civil Engineering, Indian Institute of Technology Madras, Chennai, Tamil Nadu, India 600036

<sup>8</sup>Present address: Janssen Pharmaceuticals (Johnson & Johnson), Bacterial Vaccines Discovery and Early Development, 2333 CK Leiden (The Netherlands)

<sup>9</sup>Co-first author

<sup>10</sup>Co-senior author

\*E-Mail: Peter.Seeberger@mpikg.mpg.de

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**Abstract:**

The identification of immunogenic glycotopes that render glycoconjugate vaccines protective is key to improving vaccine efficacy. Synthetic oligosaccharides are an attractive alternative to the heterogeneous preparations of purified polysaccharides that most marketed glycoconjugate vaccines are based on. To investigate the potency of semi-synthetic glycoconjugates, we chose the least efficient serotype in the current pneumococcal conjugate vaccine Prevnar 13™, *Streptococcus pneumoniae* serotype 3 (ST3). Glycan arrays containing synthetic ST3 repeating unit oligosaccharides were used to screen a human reference serum for antibodies and to define the recognition site of two ST3-specific protective monoclonal antibodies. The glycan array screens identified a tetrasaccharide that was selected for in-depth immunological evaluation. The tetrasaccharide-CRM197 carrier protein conjugate elicited protective immunity as evidenced by opsonophagocytosis assays and protection against pneumonia caused by ST3 in mice. Formulation of the defined protective lead candidate glycotope has to be further evaluated to elicit optimal long-term immunity.

**Introduction**

*Streptococcus pneumoniae* is an encapsulated bacterium that accounts for the majority of bacterial upper and lower respiratory tract infections and is responsible for millions of deaths each year (Feikin et al., 2000; World Health Organization, 2007). To protect from morbidity and mortality caused by *S. pneumoniae*, pneumococcal carbohydrate vaccines were introduced. Vaccine-induced immunoprotection against encapsulated bacteria is primarily mediated by opsonophagocytic antibodies that bind capsular polysaccharides (CPSs) (Song et al., 2013). CPS antigens are thymus-independent type 2 antigens and often induce low-affinity antibodies with a restricted isotype distribution and evoke only insignificant B-cell memory particularly in the very young and the very old (Mond et al., 1995). To overcome these deficiencies of polysaccharide vaccines, current conjugate vaccines against pneumococci consist of CPSs that are chemically linked to a carrier protein to form glycoconjugates that promote T cell-dependent antibody responses and long-lasting memory (Pletz et al., 2008). Only a minority of the more than 90 *S. pneumoniae* serotypes cause the vast majority of pneumococcal infections (Gruber et al., 2012). Seven serotypes were included in the first *S. pneumoniae* glycoconjugate vaccine (PCV7) that drastically reduced invasive pneumococcal disease. However, the overall efficacy of PCV7 was partly offset by serotype replacement and subsequent increase in invasive pneumococcal disease caused by non-vaccine serotypes (Hicks et al., 2007; Yildirim et al.,

2012). Serotypes that emerged after the introduction of PCV7 have been included in the current anti-pneumococcal glycoconjugate vaccine (PCV13, Prevnar 13™) (Gruber et al., 2012). *S. pneumoniae* serotype 3 (ST3) causes invasive pneumococcal infections in adults and is covered by PCV13. ST3 strains are often used in experimental models of pneumonia, meningitis, and otitis media owing to their high virulence in animals (Chiavolini et al., 2008) and as a model to study protective immunogenic properties of candidate vaccines (Jakobsen et al., 1999a; Jakobsen et al., 1999b). The ST3 glycoconjugates contained in PCV13 are of rather low efficacy leading to hyporesponsiveness (Frenck et al., 2012; Song et al., 2013). ST3 CPS conjugates showed an atypical immunogenicity and boostability pattern. PCV13 is insufficient at limiting acute otitis media infections caused by ST3. Altered CPS expression, capsule thickness, and an impaired booster response may all contribute to the low efficacy of ST3 conjugates (Poolman et al., 2009).

Current glycoconjugate vaccine preparations contain CPS isolated from fermentation broths or oligosaccharide fragments obtained by CPS degradation. The resulting products are ill-defined and may generate artificial neoglycotopes when subjected to processing steps such as hydrolysis and activation prior to conjugation. Polysaccharides contain multiple coupling sites and consist of a complex glycan pool of different chain lengths. Contamination with other highly immunogenic broth components such as cell wall polysaccharide is sometimes observed and may lead to hyporesponsiveness. Glycoconjugates of synthetic oligosaccharides are a promising alternative to vaccines derived from isolated glycans (Astronomo and Burton, 2010; Anish et al., 2014). The immunogenic properties of synthetic glycoconjugates can be optimized by designing antigenic structures based on the binding specificities and structural features of protective antibodies. Synthetic oligosaccharides based on ST3 CPS repeating units have been shown to protect immunized mice against lethal systemic challenge with ST3 pneumococci via non-mucosal routes (Benaissa-Trouw et al., 2001). It remains unclear whether glycoconjugates containing synthetic oligosaccharide can confer protection against bacterial pneumonia, the most common form of severe pneumococcal infection. Moreover, the structural characteristics of ST3 CPS antigenic components that confer protection or drive non-specific responses are still poorly understood.

Here, we define a highly immunogenic tetrasaccharide glycotope of ST3. We show that synthetic oligosaccharide-based glycoconjugates are immunoprotective against experimental pneumonia caused by transnasal infection with ST3 strain PN36. Antigenic specificities of anti-ST3 antibodies were dissected by glycan arrays displaying oligosaccharide fragments including the ST3 CPS repeating unit. An ST3 oligosaccharide antigen lead for vaccine development was

identified by combining organic synthesis, glycan arrays, glycoconjugation, *in vivo* vaccination and challenge experiments.

## Results and Discussion

### Synthesis of ST3 oligosaccharides

ST3 glycans ranging from mono- to tetrasaccharides containing different terminal sugars (Figure 1, **1-7**) were prepared to include an alkylamine linker at the reducing end for glycan array immobilization and conjugation to carrier proteins (Scheme 1). Two differentially protected glucose building blocks (**8** and **9**) were prepared using previously established methods (Eichler et al., 1997; Yu and Ensley, 2003). Selective activation of glycosyltrichloroacetimidate **8** and coupling with thioglycoside **9** yielded  $\beta$ -linked disaccharide **10** (Scheme 1). Placement of the ethanolamine linker **11** by thioglycoside activation and silyl removal provided disaccharide acceptor **12**. Glycosylation of disaccharides **10** and **12** using NIS/TfOH furnished the fully protected tetrasaccharide **13** after TBS removal and benzylation. Benzyldiene cleavage followed by selective oxidation of the primary C6- hydroxyl groups resulted in the dicarboxylic acid derivative **14**. Removal of all protective groups under Zemplén conditions followed by hydrogenation furnished tetrasaccharide **1**. Glycans **2a** and **3-7** were synthesized in a similar manner (see Supplemental Information). Trisaccharide **2b** with a pentanolamine linker was synthesized using automated glycan assembly (Weishaupt et al., 2016).

### Analysis of monoclonal antibodies and hyper immune sera against ST3-CPS by glycan array screening

Sera and antibodies against the native antigen were analyzed to identify key antigenic components that are recognized by the immune system. Glycan arrays containing the synthetic ST3 carbohydrate antigens **1-7** as well as ST3-CPS were used to screen monoclonal antibodies (mAbs) and polyclonal hyper immune serum for epitope mapping (Geissner et al., 2014). Pooled sera of individuals that received the polysaccharide vaccine Pneumovax 23 contain antibodies that bind tetrasaccharide **1** and trisaccharide **2b** (Figure S2) (Goldblatt et al., 2011). Two protective mAbs, 1E2 and 5F6, that had been raised against ST3 CPS were found to bind ST3 CPS on the glycan array (Figure 2) (Tian et al., 2009). Both antibodies bound tetrasaccharide **1**

better than any of the smaller synthetic glycans, however, the mAbs differed in binding to trisaccharides **2a** and **3**. Apparently, distinct and shifted epitopes are being recognized by the two antibodies that differ from the known celluburonic acid minimal protective ST3 epitope as none of the disaccharides on the array were recognized (Benaissa-Trouw et al., 2001). Tetrasaccharide **1** contains the protective epitope of both mAbs and was selected for further immunogenicity studies.

### **Preparation and characterization of ST3 tetrasaccharide 1-CRM197 glycoconjugates**

Carbohydrates generally act as T cell-independent B cell antigens (Brodeur and Wortis, 1980; Mond et al., 1995). Covalent attachment of carbohydrate antigens to carrier proteins produces neoglycoconjugates that, unlike native carbohydrates, induce a T cell-dependent immune response (Avery and Goebel, 1931; Pozsgay, 2000). Synthetic tetrasaccharide **1** was conjugated to the carrier protein CRM197, a widely used detoxified mutant of diphtheria toxin that is a constituent of licensed vaccines and induces little carrier-mediated suppression of anti-hapten responses (Tontini et al., 2013; Pecetta et al., 2015; Pecetta et al., 2016). Di-(*N*-succinimidyl) adipate (DSAP) was used as cross-linker. The amine group of the linker in tetrasaccharide **1** was reacted with one *N*-hydroxy succinimide (NHS) ester present in DSAP to form the spacer-appended oligosaccharide (Figure 3A). Unreacted DSAP was subsequently extracted with chloroform. The neoglycoconjugate was formed by coupling the spacer-activated glycan with the amino groups of the lysines present in CRM197. Coupling was confirmed by SDS-PAGE. Matrix-assisted laser desorption/ionization time-of-flight mass spectrometry (MALDI-TOF MS) revealed that on average four to six oligosaccharide haptens were loaded on each CRM197 molecule (Figure S3). A recent detailed MS analysis identified the surface amine groups that were preferentially modified on CRM197 (Möginger et al., 2016).

### **Immunogenicity evaluation of glycoconjugates**

To test the immunogenicity of tetrasaccharide hapten **1**, six female C57BL/6 mice per group were immunized subcutaneously (s.c.) 28 days apart with two doses of glycoconjugate **1**-CRM197 each equivalent to 5 µg of tetrasaccharide **1** formulated either with Freund's Adjuvant

(FA) or Alum (Alhydrogel; aluminum hydroxide). Control animals received PBS. The anti-hapten **1** antibody titers were monitored using glycan array analysis. The immunized mice elicited IgG antibodies that specifically bound to tetrasaccharide **1**, demonstrating that hapten **1** is immunogenic (Figure 3C). Isotype switching to IgG and boosting effects indicated a T cell-dependent immune response (Figure 3C,D). The serum from immunized mice reacted against both the carrier protein as well as the glycoconjugate spacer (Figure 3C), possibly due to some lysine residues modified only with the spacer (Möginger et al., 2016). Serum antibody titers against ST3-CPS from immunized mice were measured by ELISA using purified ST3-CPS as the coating antigen. Antibodies raised against synthetic hapten **1** significantly cross-reacted with the natural ST3-CPS, thus highlighting the vaccine potential of hapten **1** (Figure 3D). The immunogenicity of tetrasaccharide **1** was strongly dependent on the adjuvant formulation. Conjugates formulated in FA induced higher antibody titers in mice compared to Alum formulated conjugates (Figure 3D).

#### **Protective effects of the ST3 tetrasaccharide 1-CRM197 conjugate**

Protective antibodies often function by opsonization and promotion of complement-mediated lysis of target pathogens (Romero-Steiner et al., 2006; Tian et al., 2009). Therefore, we tested sera from 1-CRM197 immunized mice for their opsonophagocytic potential in a standardized opsonophagocytosis assay (OPA) (Johnson et al., 1999; Romero-Steiner et al., 2006). Human promyelocytic leukemia cells (HL-60) were differentiated into neutrophil-like cells in the presence of dimethylformamide (DMF) for 6-7 days. Next, *S. pneumoniae* serotype 3 (PN36, strain NCTC7978) were incubated with test sera from naïve (pre-immune) or 1-CRM197 immunized mice and then added to differentiated HL-60 cells in the presence of complement. After one hour, remaining viable *S. pneumoniae* were quantified by plating and subsequent counting of colonies (cfu-assay). Serum from 1-CRM197 immunized mice mediated dose-dependent killing of pneumococci, demonstrating that the synthetic conjugate vaccine elicited opsonophagocytic antibodies (Figure 4). OPA titers of  $\geq 1:8$  are considered efficient in individuals immunized with existing 7-valent or 13-valent conjugate vaccines (Schuerman et al., 2011; Song et al., 2013). Anti-pneumococcal serum antibody titers as well as opsonophagocytic activity were strongly dependent on the adjuvant used for formulation. FA elicited higher antibody titers (Figure 3D) with higher killing-activity in the OPA assay (Figure 4) compared to Alum adjuvanted 1-CRM197 glycoconjugate.

Having established 1-CRM197 glycoconjugate immunogenicity, we next evaluated its immunoprotective properties against pneumococcal pneumonia in an established mouse model (Reppe et al., 2009; Witzentrath et al., 2011). As FA is not suitable for vaccine use, we administered the glycoconjugate formulated with Alum or unadjuvanted. Alum (aluminum phosphate) is the adjuvant in current commercial pneumococcal conjugate vaccines such as PCV13. Mice were immunized three times (days 0, 14, 28) with 1-CRM197 containing 5 µg of tetrasaccharide 1 per immunization and antibody titers were measured (Figure 3E). Naïve and 1-CRM197 immunized C57BL/6 mice were infected transnasally on day 35 with  $1 \times 10^6$  cfu *S. pneumoniae* (PN36, NCTC 7978) and clinical signs of disease (weight loss, body temperature) were monitored over the course of 48 h. Immunization with Alum-adjuvanted 1-CRM197 significantly reduced disease activity as evidenced by a less pronounced drop in body temperature and lower weight loss (Figure 5A,B). As a surrogate for organ damage and barrier disruption, we measured pneumonia-induced lung permeability. Whereas pneumococcal pneumonia strongly increased lung permeability in naïve and 1-CRM197 immunized mice, vaccination with 1-CRM197 adjuvanted with Alum preserved alveolar barrier integrity, demonstrated by low albumin BALF/plasma ratio (Figure 5C).

As a direct indicator of antibacterial defense in naïve and immunized mice, we quantified bacterial burdens 48 hours post infection to assess the protective effects of 1-CRM197 immunization on bacterial growth. Immunization with 1-CRM197 and Alum significantly reduced pulmonary bacterial loads when compared to PBS-treated mice or animals immunized with the glycoconjugate without adjuvant (Figure 6A). Moreover, immunization almost completely prevented bacteremia that was frequently observed in vaccine-naïve control animals (Figure 6B). The improved antibacterial defense was accompanied by increased lymphocyte numbers (Figure S5) and reduced cytokine levels (Figure S6) in the alveolar compartment of *S. pneumoniae*-infected mice. These results provide a solid proof-of-concept for the efficiency of a semi-synthetic pneumococcal carbohydrate conjugate vaccine strategy against ST3.

Induction of long-lived immunity is an important feature of successful vaccination. Therefore, we assessed long-term effects of ST3-tetrasaccharide immunization. In contrast to the robust protection at 35 days post immunization, we observed minor effects four months after the initial vaccination (Figure 6C,D), which is being evaluated further and will be reported elsewhere. The

deficiency of the synthetic ST3-tetrasaccharide vaccine to generate long-lasting immunity may not be a matter of antigenic potential. Carbohydrates generally elicit a weaker immunity when compared to peptide-antigens and adjuvant adjustment to mobilize protective T cell and subsequent B cell memory responses may be required to increase the immunogenicity of synthetic glycoconjugate vaccines (Sander, 2012).

In summary, we demonstrate that synthetic ST3 tetrasaccharide-conjugates are viable vaccine candidates to protect from bacterial pneumonia. The formulation including the employed adjuvants of the semi-synthetic vaccine needs further refinement to achieve long-lived immune memory. Activation of innate immune responses capable of instructing robust adaptive immunity may be crucial in this regard (Blander and Sander, 2012; Sander, 2012).



## Experimental Methods

This section gives an overview of the methods used in this study. Detailed experimental procedures can be found in the Supplemental Information.

### Glycan arrays

Glycan arrays were obtained by robotically spotting carbohydrates onto CodeLink NHS activated glass slides (Surmodics) using an S3 microarray printer (Scienion) as described previously (Pereira et al., 2015; Reinhardt et al., 2015; Geissner et al., 2016). Printing patterns are described in Figures S1 and S4. Slides were incubated overnight at high humidity, quenched and stored dry until use. Before the assay, slides were blocked with 1% BSA-PBS. After drying, a 64 well incubation grid (Grace Biolabs) was attached and the primary antibody or serum diluted in 1% BSA-PBS was applied to individual wells. After incubation and washing, dilutions of secondary antibodies were applied. Following incubation, washing and drying, slides were scanned with a 4300A microarray scanner (Molecular Devices).

### Preparation of neoglycoconjugates

Neoglycoconjugates **1**-CRM197 were prepared as described previously (Anish et al., 2013a; Anish et al., 2013b). Tetrasaccharide **1** was reacted with di-(*N*-succinimidyl) adipate in DMSO catalyzed by triethylamine for 2 h at RT. Addition of coupling buffer (100 mM sodium phosphate buffer, pH 7.5) and extraction of unreacted linker with chloroform was followed by reaction of linker appended tetrasaccharide with recombinant CRM197 (Pfenex Inc.) overnight in coupling buffer. After desalting with 10 kDa Amicon ultrafiltration devices (Millipore), neoglycoconjugates were characterized by SDS-PAGE and MALDI-TOF MS.

### ELISA

Antibody titers were determined on Immulon HBX (Thermo Scientific) high binding plates coated with isolated ST3 CPS (SSI Diagnostica) and blocked with 1% BSA-PBS. Subsequently, plates were incubated with the serum diluted in 1% BSA-PBS, washed, incubated with goat anti-mouse IgG HRP (Dianova) diluted 1:10,000, washed and incubated with 1-Step Ultra TMB (Thermo Scientific Pierce). After stopping the reaction with 2% sulfuric acid, absorbance at 450 nm was determined.

### Immunizations and challenge

All animal experiments were approved by the institutional and regulatory authorities and conducted according to the legal regulations. In the pre-study, six female C57BL/6 mice (Charles River, Sulzfeld, Germany) per group were immunized twice s.c. with 1-CRM197 containing 5 µg tetrasaccharide **1** formulated either with Alum (Alhydrogel, Brenntag) or Freund's Adjuvant (Sigma Aldrich; Complete Freund's Adjuvant for priming, Incomplete Freund's Adjuvant for boosting). Primary and boosting immunizations were 28 days apart. Serum samples were taken regularly to follow immunization success.

For the short-term challenge study, female C57BL/6N mice (n=10-11 per group, eight weeks, Charles River, Sulzfeld, Germany) were immunized s.c. with 1-CRM197 containing 5 µg tetrasaccharide formulated with or without Alhydrogel (Alum) at a total volume of 100 µL. Control mice received 100 µL of sterile phosphate-buffered saline (PBS) subcutaneously. Booster doses were given on days 14 and 28. Blood (20 µL) for serum separation was collected from the tail vein on days 14 and 28. For the long-term challenge study, mice (n=11 per group) were immunized s.c. with Alhydrogel alone, 1-CRM197 containing 5 µg tetrasaccharide formulated with Alhydrogel or PBS (control) at a total volume of 100 µL on days 0, 14 and 28. Serum was collected on days 0 and 35.

### OPA

HL-60 cells differentiated to pseudogranulocytes were resuspended in Hank's buffer without  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$  (OPA-buffer) to an effector-to-target cell ratio of 400:1 and kept in ice bath until usage. For the assay, 5 µL aliquots of serum (run in quadruplicate for each assay) was added to OPA buffer in a microtiter plate and serially diluted for a titer range of 1:32 to 1:4096. Twenty µL of *S. pneumoniae* suspension (serotype 3, strain NCTC7978) taken directly from thawed cryostocks were added to each well. The plate was then incubated for 15 min at 37 °C and 5%  $\text{CO}_2$ . Afterward, phagocytosis was initiated by addition of 10 µL baby rabbit complement (Cedar Lane / BIOZOL) to each well, followed immediately by 40 µL of differentiated HL-60 cells. After incubation for 45 minutes at 37 °C in a horizontal shaker (220 rpm), the plate was kept on ice and 5 µL of suspension from each condition was plated onto 5% sheep blood agar plates and incubated for 10-12 h at 37 °C to manually count colony-forming units

### Challenge

In pneumococcal challenge experiments analyzing bacterial elimination, pulmonary barrier dysfunction and inflammation, a total of seven to eight mice per immunized group were anaesthetized intraperitoneally (i.p.) with ketamine (80 mg/kg, Ketavet®, Pfizer, Berlin, Germany) and xylazine (25 mg/kg, Rompun®, Bayer, Leverkusen, Germany) on day 35 (short-term effect) or 112 (long-term effect) and were transnasally infected with  $1 \times 10^6$  cfu *S. pneumoniae* (serotype 3, strain NCTC7978) in 20  $\mu$ L PBS as described previously (Schmeck et al., 2004; Witzentrath et al., 2006). Control mice received 20  $\mu$ L PBS transnasally. Health status was monitored at 12 h intervals post infection.

### Dissection and sampling

Forty eight hours post infection, mice were anesthetized with ketamine (160 mg/kg) and xylazine (75 mg/kg), intubated, ventilated and heparinized (PMID: 249605759), and blood was drawn from the inferior vena cava. Lungs were flushed with 0.9% saline *via* the pulmonary artery. Bronchoalveolar lavage (BAL) was performed twice using 800  $\mu$ L PBS and lungs were removed.

### Bacterial burden in lung tissue and blood

Serial dilutions of homogenized lungs and blood were plated on blood agar and incubated at 37 °C under 5% CO<sub>2</sub> for 24 h to count colony-forming units.

### Albumin ELISA

BALF supernatant and plasma albumin concentration were measured by ELISA (Bethyl Laboratories Inc., Montgomery, AL, USA) according to the manufacturer's instructions. Alveolar edema formation was assessed by calculating the albumin BALF/plasma ratio.

### Data analysis

Data are expressed as mean+SEM or scatter plots and mean. One-way ANOVA followed by Dunn's post hoc test were performed by using GraphPad Prism 4.02 software. \* $p < 0.05$ , \*\* $p < 0.01$  and \*\*\* $p < 0.001$  were considered to be significant.

## Significance

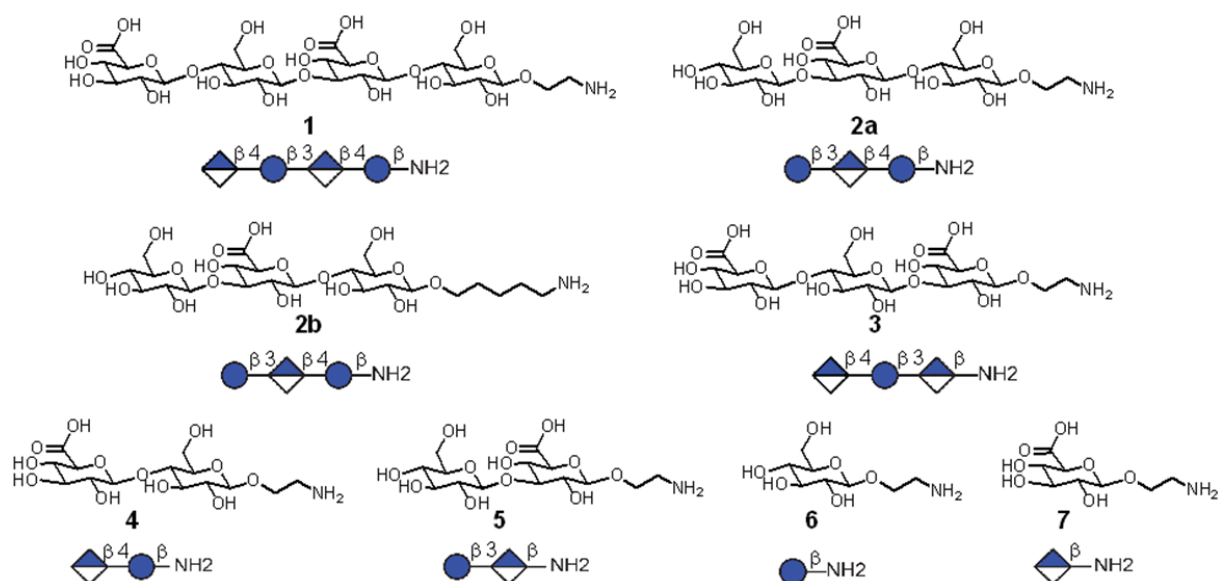
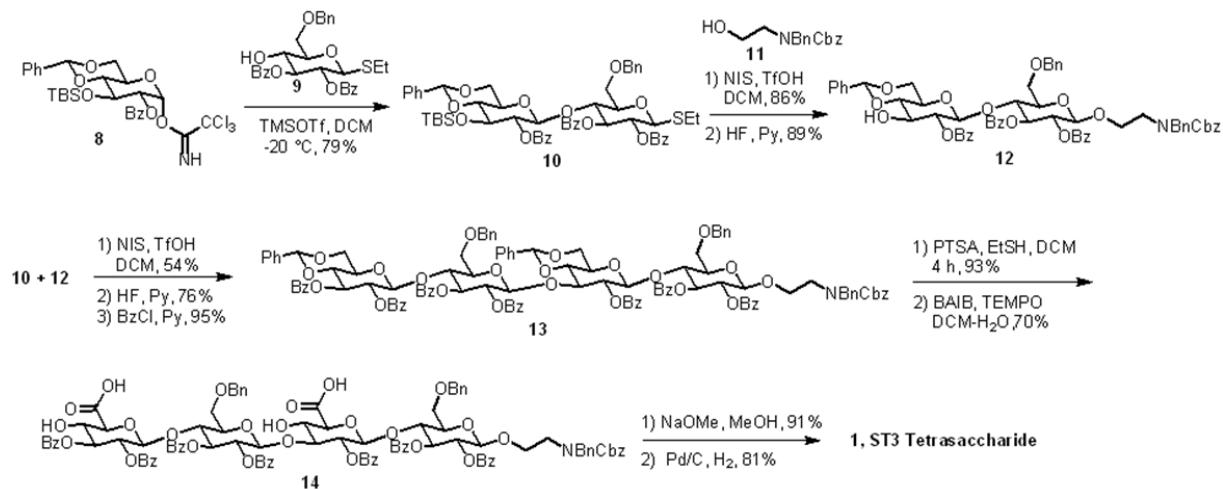
Pneumonia and other invasive infections induced by *Streptococcus pneumoniae* are associated with significant morbidity and mortality particularly in toddlers and in elderly people. While current pneumococcal conjugate vaccines protect against severe infections induced by most of the included serotypes, the immune response against serotype 3 is often too low for efficient immunoprotection. Alternative approaches are needed to improve vaccines for this highly invasive pneumococcal serotype. One promising strategy involves replacement of the ill-defined isolated polysaccharides in current vaccines with synthetic designer carbohydrate antigens. We show that glycoconjugates of a synthetic carbohydrate antigen can confer protection against serotype 3 in a mouse model of pneumococcal pneumonia, the most common form of pneumococcal infection. The investigated glycan structure, its glycoconjugates and formulation can be optimized and refined to newly establish semi-synthetic and fully synthetic vaccine candidates with the potential to overcome the limitations of the currently used pneumococcal glycoconjugates. This study is therefore an important step toward improving efficacy and availability of pneumococcal conjugate vaccines.

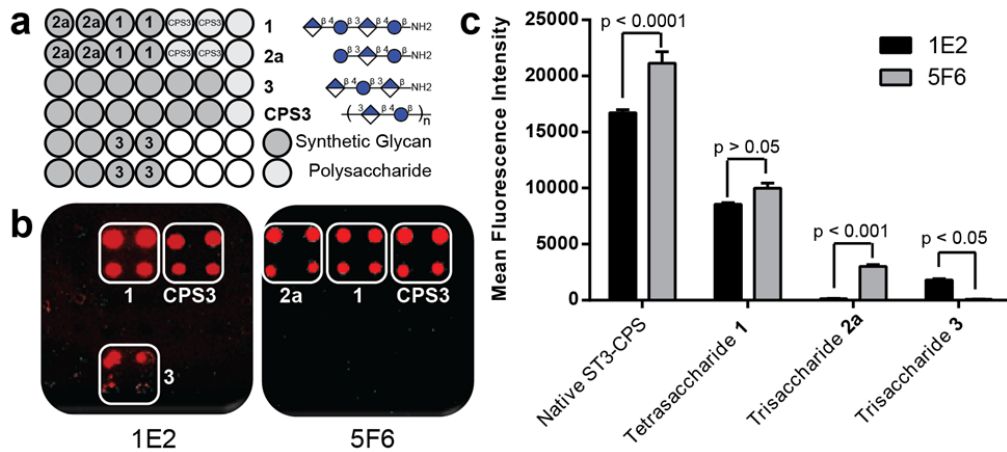
## Author Contributions

S.G.P., K.R., L.-A.P., L.E.S., M.W., C.L.P., C.A., P.H.S planned the study. S.G.P., K.R., A.G., P.M., S.G., A.D.J.C., A.W., M.W.W., B.P.M., R.L.B., C.A. performed experiments. S.G.P., K.R., A.G., P.M., S.G., A.D.J.C., M.W.W., R.L.B., L.E.S., M.W., C.L.P., C.A., P.H.S analyzed the data. S.H., L.-A.P. provided reagents. S.G.P., K.R., A.G., L.E.S., M.W., N.S., C.A., C.L.P., P.H.S. wrote the manuscript with input from all authors.

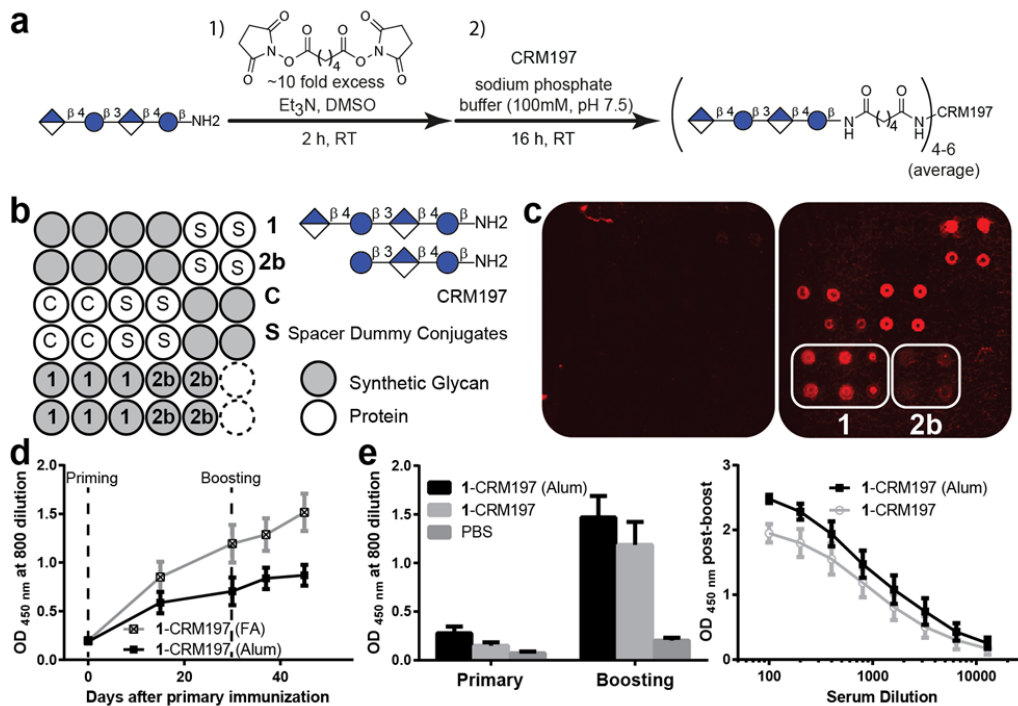
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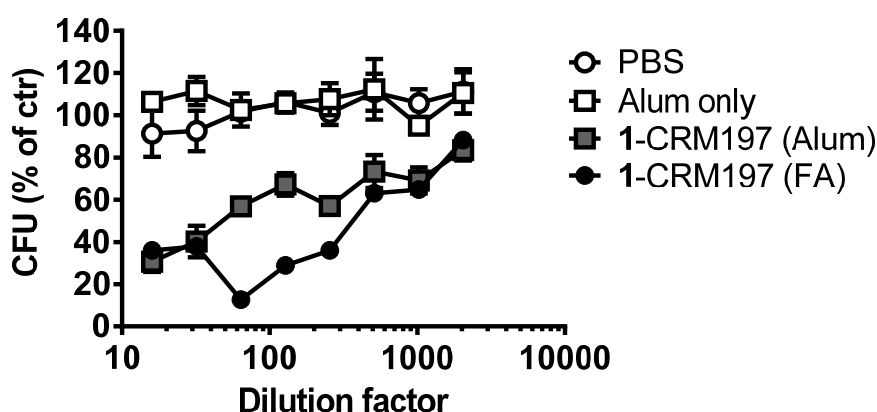
**Figure captions**

**Figure 1: Synthetic ST3 glycans 1–7 based on the repeating unit of ST3-CPS.**

**Scheme 1: Synthesis of ST3 tetrasaccharide 1**



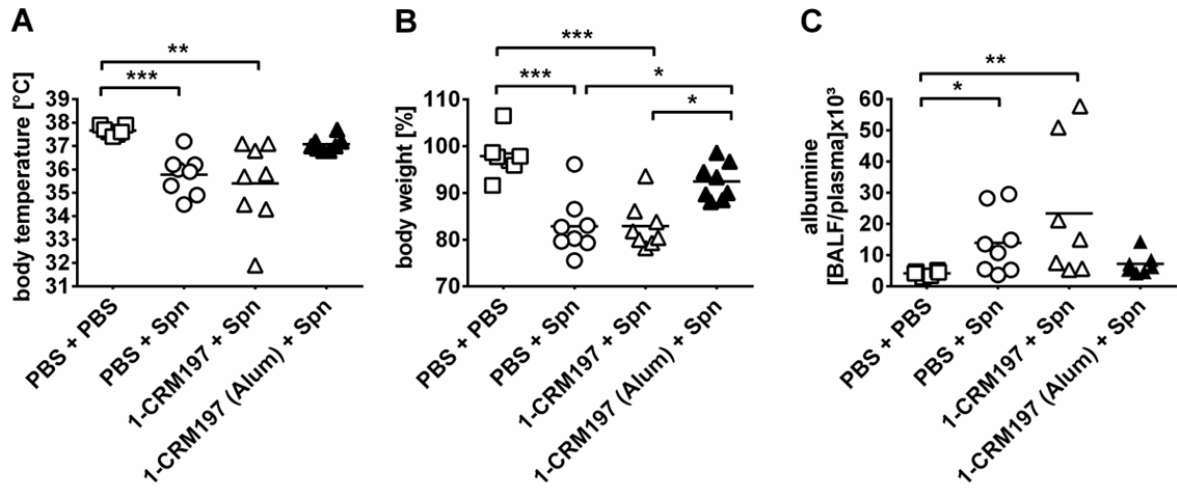
**Figure 2: Glycan array analysis of protective murine mAbs raised against ST3-CPS.** (A) Simplified printing pattern only showing bound compounds. Complete printing pattern is shown in Figure S1. (B) Representative glycan array fields showing binding pattern of mAbs 1E2 and 5F6. (C) Comparison of binding strengths of mAbs at 16  $\mu$ g/mL represented by fluorescence intensities. Each bar is the mean of four spots with the error bar representing the standard error of mean (SEM). Significance levels were calculated by pairwise Bonferroni comparison after two-way analysis of variance (ANOVA). A glycan array analysis of human reference serum 007sp is shown in Figure S2.



**Figure 3: Immune response towards neoglycoconjugates 1-CRM197.** (A) Conjugation protocol to obtain neoglycoconjugates 1-CRM197 of tetrasaccharide 1. See Figure S3 for conjugate characterization. (B) Simplified glycan array printing pattern only showing bound compounds. Complete printing pattern is shown in Figure S4. (C) Glycan array analysis of reactivity of IgG in polyclonal mouse serum using pooled serum diluted 1:200 of FA group as example. No binding to synthetic glycans is seen in pre-immune serum (left) while tetrasaccharide 1 binds high levels of antibodies in post-boost serum (right). Antibodies against the carrier protein and the spacer were also detected. (D) Comparison of serum IgG reactivity against native ST3-CPS measured by ELISA at various time points post vaccination of mice (E) ELISA comparison of antibody levels in short term challenge experiments between control group and 1-CRM197 immunized mice and titer comparison of groups with and without Alum adjuvant.

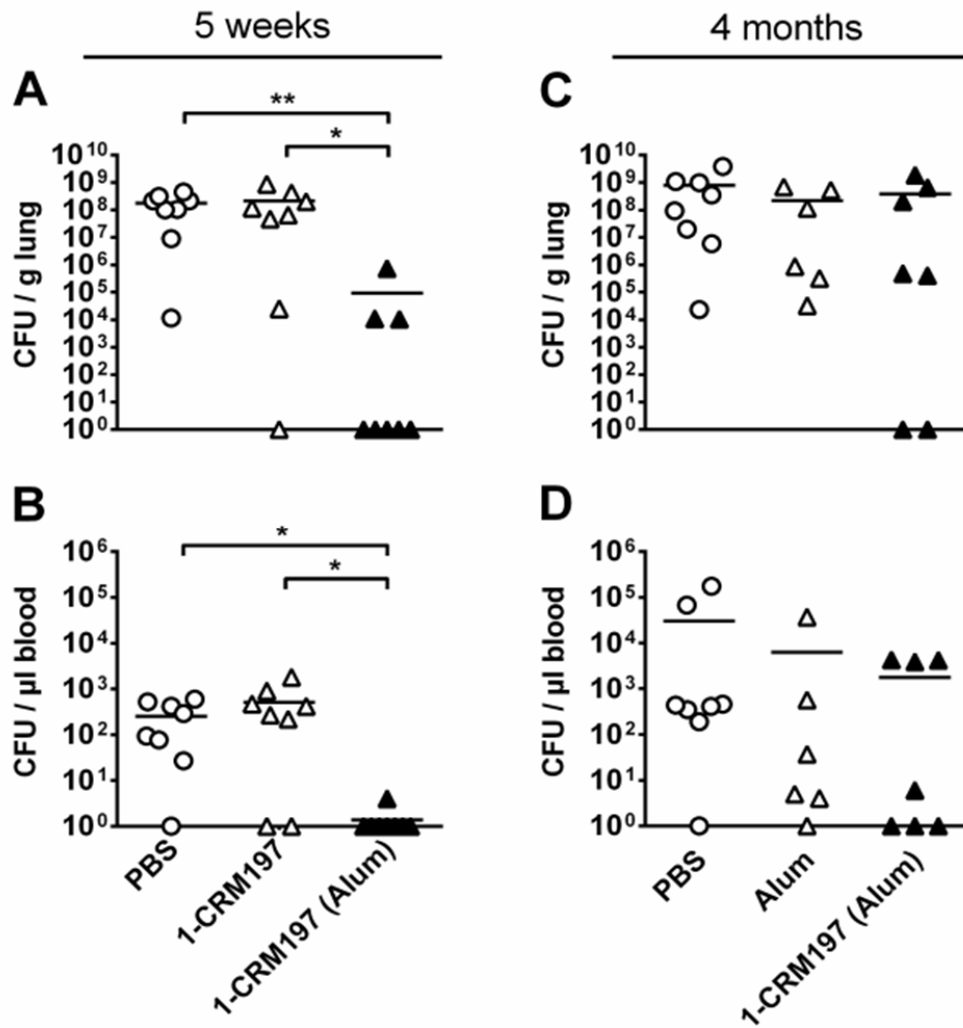


**Figure 4. OPA responses mediated by 1-CRM197 induced antibodies.** Mice were immunized with PBS, Alum or 1-CRM197 formulated with Alum s.c. on days 0, 14 and 28. Mice were bled on day 35 for serum collection and OPA-titers were determined. Values from three independent experiments with pooled sera are given as mean and SEM. OPA titers using sera of from mice that received two doses of 1-CRM197 formulated with FA in the pre-study (no replicates) were included for comparison.



**Figure 5. Effect of vaccination on pneumonia severity.** After immunization on days 0, 14 and 28 mice were infected transnasally with  $1 \times 10^6$  cfu *S. pneumoniae* (Spn) or sham infected with PBS on day 35. (A) Body temperature (n=7-8), (B) body weight (n=7-8), and (C) lung permeability (n=6-8) were assessed 48 h after infection.





**Figure 6. Short term effects (5 weeks after initial immunization) and long term effects (4 months after initial immunization) of vaccination on pneumonia severity.** (A,B) Mice were immunized s.c. on days 0, 14, and 28, and were transnasally infected with  $1 \times 10^6$  cfu *S. pneumoniae* on day 35. Bacterial burden was assessed in lung tissue (A) and blood (B) 48 h post infection ( $n=8$ ). Pulmonary leukocyte recruitment and cytokine release in *S. pneumoniae*-infected lungs are shown in Figures S5 and S6, respectively. (C,D) Mice immunized on days 0, 14 and 28 were transnasally infected with  $1 \times 10^6$  cfu *S. pneumoniae* 112 d after initial immunization. Bacterial burden was assessed in lung tissue (C) and blood (D) 48 h post infection ( $n=6-8$ ).

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