Exploiting Lipid Permutation Symmetry to Compute Membrane Remodeling Free Energies

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A complete physical description of membrane remodeling processes, such as fusion or fission, requires knowledge of the underlying free energy landscapes, particularly in barrier regions involving collective shape changes, topological transitions, and high curvature, where Canham-Helfrich (CH) continuum descriptions may fail. To calculate these free energies using atomistic simulations, one must address not only the sampling problem due to high free energy barriers, but also an orthogonal sampling problem of combinatorial complexity stemming from the permutation symmetry of identical lipids. Here, we solve the combinatorial problem with a permutation reduction scheme to map a structural ensemble into a compact, nondegenerate subregion of configuration space, thereby permitting straightforward free energy calculations via umbrella sampling. We applied this approach, using a coarse-grained lipid model, to test the CH description of bending and found sharp increases in the bending modulus for curvature radii below 10 nm. These deviations suggest that an anharmonic bending term may be required for CH models to give quantitative energetics of highly curved states.

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Membrane remodeling is essential for many cellular transport functions, notably fusion [1] and fission [2]. However, despite continued interest, the underlying lipidic mechanisms and energetics are not fully understood. Atomistic and near-atomistic molecular dynamics (MD) simulations provide sufficient temporal and spatial resolution to probe lipidic mechanisms. However, standard MD fails to reach the high energy barriers ($\gg k_B T$) which often dictate mechanisms and kinetics. Biasing methods are thus required to address the sampling problem for high energy barriers.

An additional and ubiquitous obstacle, in both describing and biasing lipidic transitions, is the N! degeneracy of lipid configuration space arising from the permutation symmetry of N identical lipids. The same combinatorial symmetry lies at the heart of the Gibbs paradox [3–5], which is resolved by a 1/N! scaling of the partition function, to account for physically indistinguishable (degenerate) states.

In contrast to this straightforward analytical correction, this permutation symmetry poses a severe challenge to atomistic simulations and free energy calculations involving lipids: sampling the space of degenerate states (via self-diffusion) shrouds the collective structural changes of interest and precludes the use of lipidic collective coordinate biasing schemes. Accordingly, special purpose methods (that circumvent this hindrance) have been developed to direct lipidic transitions using boundary conditions [6,7], external guiding potentials [8,9], probe particles [10], density biasing [11,12], and single-lipid restraints [13,14].

Here, we describe and apply a rigorous method to directly address this N! degeneracy, using permutation reduction (PR) [15,16]. The method exploits permutation symmetry by remapping structures from the full N! degenerate configuration space into a nondegenerate, compact subregion, thereby overcoming the notorious sampling problem caused by long range lipid self-diffusion. Because the Hamiltonian of the system is invariant under the permutation, the statistical ensemble of the system is unchanged. Importantly, the rigorous definition of collective coordinates also becomes possible for such diffusive systems, as is essential for umbrella sampling free energy calculations.

Here we use and assess this approach to compute membrane bending free energies. In the well established Canham-Helfrich (CH) continuum model [17,18], which treats a membrane as a thin elastic sheet, the bending free energy of a tensionless membrane is

$$E = \int \left\{ \frac{\kappa}{2} (H - H_0)^2 + \bar{\kappa} K_G \right\} dA, \tag{1}$$

where $H = (1/R_1 + 1/R_2)$, $K_G = 1/(R_1R_2)$ is the Gaussian curvature, and R_1 and R_2 are the principal curvature radii for a midplane surface area element dA. The three empirical constants κ , H_0 , and $\bar{\kappa}$, are the bending modulus, spontaneous curvature, and Gaussian curvature modulus, respectively. For symmetric lipid compositions, $H_0 = 0$, and for a membrane with a fixed surface topology (or when one principal curvature is zero) the K_G term integrates to a constant such that (1) reduces to

$$E = \int \frac{\kappa}{2} H^2 dA = \frac{\kappa}{2} \tilde{H},\tag{2}$$

implying that bending fully dictates the energetics (and thus mechanisms) of nontopological remodeling.

Determining accurate values for κ is nontrivial, and the problem has been addressed from different angles (see [19], and references therein). The most prominent simulation schemes derive κ from thermal fluctuation spectra of height [20] or lipid tilt [21]. Moreover, despite the widespread use of CH models for highly curved structures (e.g., an hourglass-shaped fusion stalk) [22,23], it is unclear whether or not the harmonic approximation of constant κ is sufficiently accurate in this regime. The first simulations to test this approximation at higher curvatures [6,24] used heavily coarse-grained lipid models, with conflicting results; however, two recent studies have reported anharmonic behavior for binary [25] and ternary (raft-forming) lipid mixtures [26]. We therefore apply our proposed method to compute bending free energies and κ up to very high curvatures to rigorously test the range of validity of the harmonic approximation.

We will proceed in three steps. First, PR will be used to derive a suitable collective bending coordinate from an unbiased nonequilibrium trajectory. Second, using this coordinate, PR will be used in conjunction with umbrella sampling to compute a bending free energy profile. Finally, we will compare our results with CH theory.

An initial unbending pathway $\mathbf{x}(t)$, in the full dimensional configurational space was derived from an unrestrained molecular dynamics (MD) simulation [27] of a membrane half-cylinder [Fig. 1(a)] that relaxes to a flat sheet. The membrane comprises N = 1066 dioleoylphosphatidylcholine (DOPC) lipids, modeled with the Martini coarse-grained force field [28]. Simulations used periodic boundary conditions; however, the membrane was periodic only along its cylindrical axis. The line tension energy of the two exposed edges was held constant by constraining the box in the z dimension. (Full simulation details are given in the Supplemental Material [29], which includes Refs. [30,31].) During relaxation, lipids freely traveled around the exposed edges to relieve tension asymmetry, but no flip-flops through the membrane (with barriers of $\approx 30k_BT$ [28]) occurred.

Next, we applied PR to the obtained trajectory $\mathbf{x}(t)$. Here the remapping was applied for each frame at time t_j by minimizing the 3N Euclidean distances to a reference $\mathbf{x}^{\text{ref,PR}}$ [15,16]. To this end, a cost function

$$\mathcal{C}(\boldsymbol{\pi}, \mathbf{x}) = \|\boldsymbol{\pi} \cdot \mathbf{x}_{\{\alpha\}}(t_j) - \mathbf{x}_{\{\alpha\}}^{\text{ref,PR}}\|^2 + \sum_{i \in \{\alpha\}} a\theta_{d'}(|\boldsymbol{\pi} \cdot \mathbf{x}_i(t_j) - \boldsymbol{\pi}_{j-1}^* \cdot \mathbf{x}_i(t_{j-1})| - d')$$
(3)

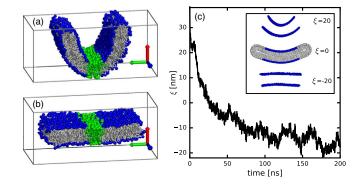


FIG. 1. The permuted DOPC unbending trajectory $\tilde{\mathbf{x}}(t)$ at 0 ns (a) and 80 ns (b). Solvent is not shown and PO4 beads used for PR are shown as spheres. Lipid headgroups (PO4 and NC3 beads) are colored blue (dark) except for a central patch of green (light) lipids which illustrate how PR preserves lipid sorting throughout the unbending process. The red, green and blue arrows in (a) and (b) indicate the system x, y, and z axes, respectively. (c) (main) The PCA derived collective coordinate ξ for $\tilde{\mathbf{x}}(t)$. (inset) The leading PCA mode \mathbf{v}_1 . (inset center) The average permuted structure $\langle \tilde{\mathbf{x}}(t) \rangle$ between 0 and 80 ns. The 650 PO4 beads used for PCA (indices $\{\beta\}$) are colored blue (dark) and those not considered are gray (light). The linear mode \mathbf{v}_1 is illustrated for three cases as $\langle \tilde{\mathbf{x}}(t) \rangle + \xi \cdot \mathbf{v}_1$ with $\xi = \{-20, 0, 20\}$ nm, from bottom to top, respectively.

was minimized using a linear assignment algorithm with $\mathcal{O}(N^3)$ complexity, which yielded the optimal permutation π_i^* of lipid indices. Here, $\theta_{d'}(d)$ is a Heaviside step function, $a = 500 \text{ nm}^2$, frames are at 40 ps intervals, and lipid positions are represented by one bead per lipid (PO4 headgroup beads), indicated by the $\{\alpha\}$ subscripts. The first (compactness) term of (3), is the squared configuration space distance between a permuted labeling of $\mathbf{x}_{\{a\}}(t_i)$, and the reference $\mathbf{x}^{\text{ref,PR}}$ (here, a structure with an intermediate curvature). The second (continuity) term in (3) penalizes single-lipid displacements d > d' such as swaps of lipid molecules between the two leaflets, which give rise to discontinuities in the trajectory. (Here, d' = 1.4 nm was used.) The subsequent results were insensitive to the particular choice of $\mathbf{x}^{\text{ref},PR}$ and d' (details are given in the Supplemental Material [29]).

This permutation transformation enabled us to derive via principal component analysis (PCA) a linear order parameter ξ for subsequent umbrella sampling free energy calculations [32]. Here, $\xi[\tilde{\mathbf{x}}(t)] = (\tilde{\mathbf{x}}_{\{\beta\}}(t) - \langle \tilde{\mathbf{x}}_{\{\beta\}} \rangle) \cdot \mathbf{v}_1$, with \mathbf{v}_1 being the eigenvector with largest eigenvalue of the $3N_{\beta} \times 3N_{\beta}$ covariance matrix calculated from the trajectory $\tilde{\mathbf{x}}(t)$. To avoid boundary artifacts, only the 650 centermost PO4 beads were considered to define ξ , indicated by subscripts β . As can be seen in Fig. 1, by construction this projection defines ξ using the collective coordinate which describes the largest collective atomic motion for the membrane deformation. Moreover, because ξ is linear, the applied umbrella potential

 $V_{\rm umb}(\tilde{\mathbf{x}}) = (k/2)[\xi(\tilde{\mathbf{x}}_{\{\beta\}}) - \xi(\tilde{\mathbf{x}}_{\{\beta\}}^{\rm ref})]^2$, where k is the force constant, restrains only one (collective) degree of freedom in the system and, in this sense, introduces the smallest possible bias towards a particular reaction coordinate.

Crucially, $V_{\rm umb}(\tilde{\mathbf{x}})$ takes structures that have been permuted by the same PR rule used to derive $\tilde{\mathbf{x}}(t)$ and ξ . To fulfill this requirement, and to prevent the system from escaping the restraints by lateral diffusion perpendicular to \mathbf{v}_1 , PR was applied at 40 ps intervals during the umbrella sampling MD simulations. These PR corrections cause small discontinuities in configuration space with resulting jumps in the potential energy of $\sigma_{V_{\rm umb}} < 1 \text{ kJ/mol.}$ (see Supplemental Material [29] for details).

The umbrella ensemble comprised 16 windows (indices l) taking their reference and initial structures $\tilde{\mathbf{x}}^{\mathrm{ref},l}$ from $\tilde{\mathbf{x}}(t)$, spaced approximately evenly in ξ between -22 and 38 nm. All umbrellas used $k=1.0~\mathrm{kJ/(mol~nm^2)}$. During MD simulations, PR used the unbending average $\langle \tilde{\mathbf{x}}(t) \rangle$ [shown in Fig. 1(c)(inset)(center)] as $\mathbf{x}^{\mathrm{ref,PR}}$ and $d'=1.4~\mathrm{nm}$ (for details of the simulation protocol, see the Supplemental Material [29]).

Following 50 ns of equilibration and 450 ns of production MD per window, we computed the potential of mean force (PMF) $E(\xi)$ [see Fig. 2(a)] using the weighted histogram analysis method (WHAM) [33,34]. The resulting small statistical uncertainty of the PMF ($\sigma_E < 2k_BT$) and the short autocorrelation times for ξ (10–20 ns) indicate that sufficient sampling was obtained across the studied range of curvature and illustrate the utility of this approach for precise free energy calculations of biologically relevant high energy and curvature states. The most highly curved membranes adopted parabolic rather than cylindrical shapes [e.g., structure 2 of Fig. 2(a)], with H being highest at the center. We ascribe this effect to the H=0 (on average) boundary conditions imposed by the free edges [gray lipid headgroups in Fig. 1(c)(inset)]. Subsequent curvature calculations, detailed in the Supplemental Material [29], account for these noncylindrical shapes.

For comparison with the CH description of bending, $E(\xi)$ was recast according to (2), as $E(\tilde{H})$, a function of midplane curvature. Figure 2(b) shows that $E(\tilde{H})$ (black points, green quadratic fit) is nonlinear, indicating that the membrane stiffens with increasing curvature, and that the harmonic approximation (linear, red component) indeed becomes inaccurate at high curvature.

This nonlinearity is accounted for by adding a quartic bending term $(\mu/4)H^4$ to the integrand of (2) [6,26,35–37] and integrating to obtain a sum of quadratic and quartic energy terms,

$$E = E_2 + E_4 = \frac{\kappa}{2}H_2 + \frac{\mu}{4}H_4,\tag{4}$$

where $H_2 = \tilde{H} = \int H^2 dA$ and $H_4 = \int H^4 dA$. (The associated quartic K_G terms [35,38,39] are safely neglected

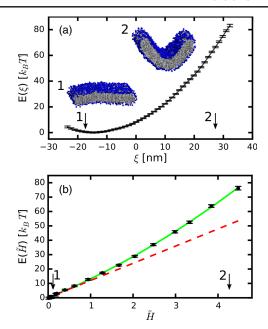


FIG. 2. (a) The bending free energy (PMF) $E(\xi)$ for DOPC from 16×450 ns umbrella sampling simulations. $E(\xi)$ was recovered using WHAM and the error bars are the standard deviations of 30 bootstrapped PMFs. (b) The bending free energy $E(\tilde{H})$ as a function of the Helfrich curvature integral \tilde{H} . Points lie at the umbrella window means $\langle \tilde{H} \rangle$ and error bars are 95% confidence intervals. The quadratic fit is shown in green (solid line), and its linear component, the harmonic Helfrich approximation, in red (dashed line). Two snapshots (1 and 2) in (a) depict the range of bending in the ensemble and arrows in (a) and (b) show the ξ and \tilde{H} values. For structure 2, R=4.6 nm at its center.

because $K_G=0$.) In Fig. 2(b), the linear component E_2 yields κ , and the excess nonlinear energy E_4 gives the quartic modulus μ . [For details of the change of coordinates $\xi \to (H_2, H_4)$ and of computing κ and μ , see the Supplemental Material [29].] Finally, from κ and μ , a curvature dependent, effective bending modulus can be defined as $\kappa_{\rm eff}=\kappa+(\mu/2)H^2$ or, equivalently, $\kappa_{\rm eff}=\kappa(1+[R_\mu H]^2)$, where the distance $R_\mu=\sqrt{\mu/2\kappa}$ indicates the length scale where κ increases [6,26].

Next, we asked how $\kappa_{\rm eff}$ depends on tail saturation, tail length, and headgroup polarity. Figure 3 and Table I compare κ , μ , and R_{μ} for pure dioleoylphosphatidylethanolamine (DOPE), distearoylphosphatidylcholine (DSPC), dipalmitoylphosphatidylcholine (DPPC), and dimyristoylphosphatidylcholine (DMPC) membranes, all in the liquid disordered L_{α} phase [28,40].

At low curvatures, the saturated lipids DMPC, DPPC, and DSPC showed κ increases of about $8k_BT$ for each increase in tail length (one bead represents approximately 4 carbons); this trend is known from micropipette aspiration experiments [41] and continuum mechanics [42]. Also, the decrease of κ from DSPC to DOPC is in line with aspiration experiments showing that unsaturated alkyl chains decrease

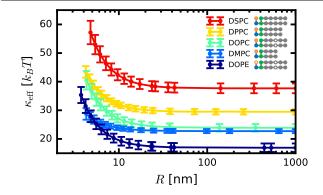


FIG. 3. Midplane (curvature) radius dependent bending moduli, $\kappa_{\rm eff}(R)$. Points lie at the mean minimum curvature radius $\langle R_{\rm min} \rangle$ of each umbrella window. Error bars are 95% confidence intervals. Note that $\kappa_{\rm eff}(R) = \kappa$ at large R. The legend depicts Martini lipids and their constituent coarse-grained beads. The bead types are: gray solid or open; saturated or unsaturated carbon chain (approximately 4 carbons per bead), green; glycerol, blue; PO4, orange solid or open; NC3 or NH3 (choline or amine).

 κ [41]. Because DOPE is in the inverse hexagonal ($H_{\rm II}$) phase at 310 K [43], whereas DOPC forms (L_{α}) bilayers, no direct comparison of their elastic properties is available. However, the smaller κ seen in our simulations for DOPE suggests that PE headgroup dehydration at the upper (negative intrinsic curvature) leaflet is more favorable than for DOPC, consistent with the smaller hydration shells of the PE headgroups, which imply smaller hydration repulsion. At low curvature, our κ results also agree with previous simulation results [7,21,44–52] (a table is presented in the Supplemental Material [29]).

At high curvature, when R is ca. twice the membrane thickness, $\kappa_{\rm eff}$ increases for all five lipids (see Fig. 3), most prominently for DOPC, DOPE, and DSPC (all have 14 beads per lipid); however, the effect decreases for shorter tails, as the saturated PC series (DSPC, DPPC, DMPC) shows. For DMPC the effect is relatively small. The R_{μ} values in Table I also corroborate the location and strength of the anharmonic increases in $\kappa_{\rm eff}$. The differences in R_{μ} between DOPC, DOPE, and DSPC are too small to suggest that headgroup polarity or chain saturation significantly alter the anharmonicity. But together, these trends suggest that the observed anharmonicity is chiefly a function of lipid tail length. This onset of stiffening at $R \lesssim 10$ nm also qualitatively agrees with recent results obtained by

TABLE I. Computed values of κ , μ , and R_{μ} , all at T=310 K.

Lipid	$\kappa[k_BT]$	$\mu[k_BT \text{ nm}^2]$	R_{μ} [nm]
DOPC	23.9 ± 1.3	614 ± 109	3.59 ± 0.32
DOPE	16.9 ± 1.5	514 ± 66	3.89 ± 0.27
DSPC	37.7 ± 1.7	891 ± 169	3.44 ± 0.33
DPPC	29.5 ± 0.9	498 ± 64	2.91 ± 0.18
DMPC	22.7 ± 0.7	220 ± 70	2.20 ± 0.35

Vidal *et al.*, who simulated a 1:1 (Martini) DPPC/DOPE mixture [25].

To validate our PR approach, we used two additional methods to extract κ at the curvature extremes (for DOPC): cylindrical tethers (CT) [6,25], with radii R from 4.6-8.6 nm, and height fluctuation (HF) spectroscopy for a flat periodic sheet $(R \approx 200 \text{ nm for } q = 0.16 \text{ nm}^{-1})$ [20]. These methods gave $\kappa^{CT} = 42.1 \pm 0.9$ (at R = 4.6 nm) and $\kappa^{\rm HF} = 30.6 \pm 1.9 \ k_B T$, respectively, confirming the anharmonic trend of Fig. 3. For $\hat{R} < 7$ nm, κ^{CT} values were larger than κ_{eff}^{PR} by about 5 k_BT , slightly outside our estimated uncertainties. In the weak curvature regime, $\kappa^{\rm HF}$ was larger than $\kappa_{\rm eff}^{\rm PR}$ by about 7 k_BT ; however, HF is known to overestimate κ (see [49]) for small and midsized membranes that cannot probe sufficiently large wavelengths (small q). In contrast, a recent field theoretical simulation scheme gave $\kappa = 19 \pm 4 \ k_B T$ [52], which is 5 k_BT less than 23.9 k_BT computed here, further suggesting that $\kappa^{\rm HF}$ is an overestimate. Finally, we verified that $\kappa_{\rm eff}$ was independent of the particular choice of parameters d' and kas well as the definition of ξ . In only one case, using a single leaflet ξ , κ , and μ increased by 18% and 76%, respectively. For full descriptions of the CT/HF results and controls, see the Supplemental Material [29].

Two lines of reasoning indicate that the physical, microscopic origin of increasing $\kappa_{\rm eff}$ involves frustrated tail packing of the positive (intrinsic) curved leaflet. First, the absence of this effect in $H_{\rm II}$ monolayers [53] suggests that any $\kappa_{\rm eff}$ increase should arise from positive curvature. Second, the CT simulations in [6], using a soft, highly coarse-grained lipid model showed no evidence of anharmonicity, suggesting that the effect might originate from stronger repulsions in the more physical model used here. Such an imbalance between tail repulsion and headgroup attraction, emerging at high curvature, would depend sensitively on the position of the pivotal plane [54] and should also increase with bilayer thickness.

To summarize, in this Letter we have applied a permutation symmetry reduction scheme to lipid membranes, rendering the biologically relevant, high curvature regime accessible to umbrella sampling free energy calculations. We have applied this approach to test the harmonic bending approximation of the CH model and observed a sharp increase in the bending modulus κ at curvature radii below 10 nm. This breakdown of the harmonic approximation suggests that an additional bending term (and its corresponding modulus μ) is required for the CH model to give quantitative energetics of highly curved membranes. Moreover, these refinements are essential for CH models to predict kinetics, which are exponentially sensitive to barrier heights. Beyond the present study of bending, many topological processes (poration, fusion stalk formation) remain both poorly understood and inaccessible to CH descriptions, but should be accessible to PR based free energy calculations. More generally, PR could help elucidate collective phenomena for other classes of soft or interfacial systems that possess permutation symmetry including colloids, nanoparticles, thin films, and (bio)polymers.

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