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Abstract: Micro nuclear reaction analysis (micro-NRA) exploiting the nuclear reaction $D(3\text{He},p)4\text{He}$ was used for post-mortem analyses of special marker samples, exposed to deuterium plasma inside ASDEX Upgrade (AUG) tokamak and to the deuterium plasma jet in the Pilot-PSI linear plasma gun. Lateral concentration profiles of deuterium and erosion/deposition profiles of the marker materials were obtained by a combination of micro-NRA and particle induced x-ray emission by 3He beam (3HIXE). In the case of AUG samples, where 25 nm thick W marker layers had been deposited on unpolished and polished graphite substrates, the effect of surface roughness on local erosion and deposition was also investigated. The lateral distribution of W concentration showed that erosion is much more distinct in the case of polished samples and the resulting surface shows a "leopard" skin pattern of W accumulated on carbon aggregates left on the surface from polishing. The Pilot-PSI samples indicated preferential accumulation of deuterium a few mm off from the centre of the region affected by the plasma beam. This is connected with the largest surface modifications while the thick deposited layers at the centre do not favour deuterium retention per se. The results were cross correlated with those obtained using laser-induced breakdown spectroscopy (LIBS). With its quantitative abilities, micro-NRA provided essential calibration data for in situ LIBS operation, as well as for complementary post mortem Secondary Ion Mass Spectroscopy (SIMS).

1 **Micro-NRA and micro-3HIXE with ^3He microbeam on samples**
2 **exposed in ASDEX Upgrade and pilot-PSI machines**

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24 **Abstract**

25

26 Micro nuclear reaction analysis (micro-NRA) exploiting the nuclear reaction
27 $D(^3\text{He},p)^4\text{He}$ was used for post-mortem analyses of special marker samples,
28 exposed to deuterium plasma inside ASDEX Upgrade (AUG) tokamak and to the
29 deuterium plasma jet in the Pilot-PSI linear plasma gun. Lateral concentration
30 profiles of deuterium and erosion/deposition profiles of the marker materials were
31 obtained by a combination of micro-NRA and particle induced x-ray emission by ^3He
32 beam (3HIXE). In the case of AUG samples, where 25 nm thick W marker layers
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34 surface roughness on local erosion and deposition was also investigated. The lateral
35 distribution of W concentration showed that erosion is much more distinct in the case
36 of polished samples and the resulting surface shows a “leopard” skin pattern of W
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38 samples indicated preferential accumulation of deuterium a few mm off from the
39 centre of the region affected by the plasma beam. This is connected with the largest
40 surface modifications while the thick deposited layers at the centre do not favour
41 deuterium retention per se. The results were cross correlated with those obtained
42 using laser-induced breakdown spectroscopy (LIBS). With its quantitative abilities,
43 micro-NRA provided essential calibration data for in situ LIBS operation, as well as
44 for complementary post mortem Secondary Ion Mass Spectroscopy (SIMS).

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47 **Keywords:** Focused ion beams, Deuterium, ^3He , Nuclear Reaction Analysis, 3HIXE

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54 **Introduction**

55

56 One of the most critical issue in the construction of a thermonuclear reactor based
57 on magnetic confinement in the tokamak configuration is the inner wall of the reactor,
58 directly in contact with a hot plasma. During the operation of a fusion device, the wall
59 is subjected to a combination of neutron and charged particle bombardment, large
60 and uneven thermal loads, photon irradiation and neutral hydrogen exposure. This
61 leads to erosion, deposition, adsorption of hydrogen and material lattice damage [1].
62 The processes at the surface of the material or in its bulk lead to the accumulation of
63 fusion fuel (mainly hydrogen isotopes) in the vessel walls. To obtain a depth profile,
64 up to 10 μm , of retained deuterium fuel in plasma-exposed samples, nuclear reaction
65 analysis (NRA) is often used via the $\text{D}({}^3\text{He},\text{p}){}^4\text{He}$ reaction [2,3].

66 Usually NRA is used in a broad beam configuration with beam diameter equal or
67 even larger than 1 mm to obtain global and local erosion and deposition profiles of
68 various elements on the wall structures in a rapid and straightforward manner [4, 5].
69 In addition, wall structures can be characterized in situ, during their exposure to
70 plasma [6], flux of atomic hydrogen [7, 8] or energetic W ions simulating neutron
71 damage [9].

72

73 At Jožef Stefan Institute (JSI) a different method has been developed. Here, a
74 focused ${}^3\text{He}$ beam is used to investigate especially fuel retention on sample surfaces
75 on the micrometer scale [10]. With such ${}^3\text{He}$ beam we can also measure spectra
76 from Rutherford backscattering spectroscopy (RBS) which help us to study erosion
77 and deposition phenomena on micro scale. Other chemical impurities, mostly
78 heavier elements, can be located and measured via particle induced x-ray emission

79 (PIXE). The motivation to use micro-NRA for plasma-exposed samples relates to its
80 unique ability to provide quantitative lateral distribution of deuterium [11,12].

81 Tungsten or advanced tungsten alloys are materials of choice for high heat
82 flux plasma-facing components in modern fusion reactors [13]. In the present study
83 we report the micro-NRA results obtained for samples with thin W marker coatings
84 and with different surface roughness that were exposed to D plasma discharges in
85 the ASDEX Upgrade (AUG) tokamak [14]. This tokamak is equipped with divertor
86 manipulator, that enables exposing several small samples to divertor plasmas in the
87 vicinity of the low-field side strike point where the plasma-wall interaction processes
88 are the strongest. The manipulator can be retracted after a single plasma shot
89 without breaking the vacuum of the AUG vessel [15, 16].

90 In addition, we have applied micro-NRA on W+Y coated samples that were
91 exposed to D plasmas (with Ne boost) in the Pilot-PSI linear plasma gun [17, 18]. The
92 results have been cross-correlated with the data obtained using laser-induced
93 breakdown spectroscopy (LIBS), which was executed at defined positions over the
94 sample. LIBS is a method of high potential value for the control of the
95 deuterium/tritium retention and elucidating the elemental composition of deposited
96 layers inside of an operating tokamak device, as it can be executed in-situ during the
97 operation of a fusion reactor. The elemental inventory was measured also with
98 secondary ion mass spectrometry (SIMS) over a series of selected positions to
99 determine the lateral concentration profiles over the plasma profile. The profiles
100 obtained by a combination of micro-NRA and 3HIXE were compared to those of
101 LIBS and SIMS [19]. With its quantitative abilities, micro-NRA provided essential
102 calibration data for qualitative complementary methods LIBS and SIMS.

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105

106 **2. Experimental set-up**

107 Measurements with micro-beam were performed using the 2-MV tandem accelerator
108 of the Jožef Stefan Institute. Using a micro-beam line, located at -10° from the exit
109 of the accelerator. The micro-beam experimental line coupled with a high brightness
110 multicusp ion source, is primarily used for micro-PIXE, where the proton beam is
111 used and can be focused to dimensions of $500 \times 500 \text{ nm}^2$ [20].

112 For NRA measurements we used a ^3He beam. Negative ^3He ion beam is formed in
113 the combination of a duoplasmatron ion source and a Li- change exchange canal,
114 involving a setup for ^3He and ^4He gas mixing within the duoplasmatron housing to
115 spare precious ^3He gas. Positive He ions with the initial energy of 20 keV are
116 directed through a charge exchange channel, where collisions with Li vapour create
117 short living He^- ions. The $^3\text{He}^{2+}$ ions are accelerated with a tandem accelerator to an
118 energy of 3.3 MeV. Under such conditions, a rectangular ^3He ion beam with a size of
119 $10 \times 10 \text{ }\mu\text{m}^2$ is produced on a measurement position. Due to low brightness of the
120 generated He beam both object and collimating slits at the microprobe have to be
121 widely opened in order to achieve a particle current of 300 pA. The dimensions of
122 beam are optimised with a knife edge method on a copper grid, using induced $K\alpha$ X-
123 ray emission and i-Ge X-ray detector.

124

125 The end station (Figure 1) is equipped with a 5-axes manipulator and a microscope
126 with a camera for samples positioning in the focal plane of the ion beam. In front of
127 the end station a triplet of quadrupole magnetic lenses is used for focusing the ion
128 beam and with deflection coils for manipulation of the beam in a raster pattern on
129 the sample. With existing hardware, we are able to scan the beam across an area of
130 $2.2 \times 2.2 \text{ mm}^2$ and produce elemental maps with a resolution of 256×256 pixels.

131 For dose normalization we use a beam chopper combined with an RBS detector
132 [21]. The chopper is positioned after the collimating slits and before the scanning
133 coils, cutting a fraction of the ion flux that is then directed onto the sample. In this
134 way, we warrant the proportionality of the backscattering ions from the chopper
135 blades detected by partially implanted passivated silicon (PIPS) detector and the
136 primary ion dose deposited on the sample. A high-purity germanium X-ray detector
137 is positioned at 135° with respect to the beam direction. It is used to reveal the
138 concentrations and distribution of various metallic impurities in the samples by
139 particle induced x-ray emission by ^3He beam (3HIXE) and PIXE measurements. A
140 second X-ray detector, a 30-mm^2 Peltier-cooled silicon drift detector (SDD), is
141 installed at 135° with respect to the beam direction to detect low energy X-rays with
142 energies from 700 eV to 8 keV. To determine concentrations of marker elements and
143 their depth profiles as well as to study possible layered structure of the analysed
144 samples, a PIPS-type RBS detector with a 300 micrometer-thick depletion layer is
145 positioned at 135° with respect to beam direction, covering a solid angle of 5.6 msr.
146 It is equipped with an 800-nm thick Al foil serving as a light block filter. For fuel
147 retention and deposition investigations, an NRA detector was added to the standard
148 detector configuration of the micro-beam end station (see Figure 1). For the
149 detection of the fast protons $\text{D}(^3\text{He},\text{p})^4\text{He}$ nuclear reaction, we are using a PIPS
150 detector with a 1000 micrometer-thick depletion layer and active area of 300 mm^2 .
151 The NRA detector has a solid angle of 0.14 sr and is positioned at 135° with respect
152 to the primary beam direction. To separate back-scattered ^3He from protons
153 produced in nuclear reactions as well as to partly stop the protons and shield the
154 detector against visible light, we inserted a $125\text{ }\mu\text{m}$ thick kapton foil and a $6\text{ }\mu\text{m}$ thick
155 Al foil in front of it. The acquisition system is designed in the way that each detector

156 event in the set of detectors is recorded and saved in a list mode together with
157 information on the beam position.

158

159 **3. Measurements and results**

160 The samples were analysed by performing several scans (see section 2) along a
161 selected line of interest.

162 First, two AUG samples with average 25 nm thick W layers. The samples are part of
163 2014 marker samples batch. The W layer is deposited on either unpolished or
164 polished fine grain graphite substrate and its thickness and homogeneity of W was
165 measured by ^4He RBS before mounting the samples on the AUG divertor
166 manipulator, not by authors.

167 Samples were analysed after they had been exposed to 13 identical low-density L-
168 mode deuterium plasma discharges in the vicinity of the low-field side strike point of
169 AUG [16]. The analyses consisted of 7 rectangular analysing regions across a single
170 line in the so-called poloidal direction at equidistant steps of 2.8 mm; along this line
171 the changes in the plasma conditions during the experiment were the largest. In this
172 way we obtained good information on any eventual topographical effect on the
173 surface. The scanned areas are shown on Figure 2a for the polished AUG sample
174 and on Figure 2b for the unpolished AUG sample.

175 The Pilot-PSI sample, for its part, was a 2 μm thick W+Y (Y content ~5 at.%) coating
176 on Mo that had been exposed first to D+Ne plasma discharges for 300 s (to modify
177 surface and obtain measurable erosion) and then to D plasmas for another 300 s to
178 load the surface with as much plasma fuel as possible. For the D+Ne mixture the
179 volumetric flow rates at the plasma source were 0.7 slm (standard liter per minute)
180 D_2 and 2.22 slm Ne. Nevertheless, due to different diffusion coefficients and
181 mechanisms the ratio may be different at the target. The volumetric flow rate for the

182 pure D plasma was 2.5 slm. Typical plasma parameters were: the electron density of
183 $1-4 \times 10^{20} \text{ m}^{-3}$, the electron temperature 1-2 eV with particle flux of about $10^{24} \text{ m}^{-2} \text{ s}$.
184 Usually, the plasma beam has Gaussian shape. Nevertheless, in case of the Ne+D
185 mixture the distribution was not Gaussian, but could be still characterized by FWHM.
186 For the D+Ne the FWHM was 12 mm and for pure D plasma 25 mm (due to different
187 confining magnetic field). The temperature distribution at the target followed
188 Gaussian profile. The target surface temperature was up to 1300°C (around 600°C
189 at the edge of the target) for the D+Ne plasma and up to 600°C for the D plasma.
190 Thus the particle fluence was in the range of 10^{26} m^{-2} . For the pure D plasma flux
191 was nearly 20 times weaker. The target was biased with -40 V, the ion energy at the
192 target was around 38 eV.

193

194 In the micro beam measurements, the measured areas were shifted for 2 mm. In this
195 way, the consecutive frames partially overlapped, as shown in Figure 3, which
196 allowed us to produce continuous elemental profile for that sample along a radial line
197 across the exposed beam spot. To obtain a linear elemental profile of AUG and PSI
198 sample along the line of interest, we projected data from 2D map on the line of
199 interest. Obtained NRA and RBS spectra were analysed with the SIMNRA program
200 [22] to calculate the concentrations and depth profiles of different elements.

201

202 The lateral distribution of D and W concentrations along the poloidal axis for AUG
203 samples is shown in Figure 4. We can observe that the gross erosion of W is more
204 pronounced on the polished graphite sample near the strike point. There we observe
205 also increase of D retention due to plasma implantation or due to co-deposition. This
206 erosion is not so pronounced in the case of the unpolished graphite, due to the larger
207 initial surface roughness. A more detailed view in the structure of this surface is

208 given by micro-3HIXE in figure 5. The yield for W is now proportional to the
209 concentration of W and, as all the samples have a thin coating of W, we can neglect
210 the effects of autoabsorption. We observe a large difference in the surface
211 topography of polished and unpolished graphite sample. As can be seen in Figure 5
212 left, on the smooth-polished graphite sample tungsten is deposited on carbon
213 aggregates forming a "leopard-skin" pattern. In the case of unpolished graphite
214 sample, Figure 5 right, the W distribution more resembles to a snake skin. The
215 existence of the aggregates and the snake-skin pattern is confirmed by scanning
216 electron microscope (SEM) studies. The SEM images are presented in Figure 6 left
217 for the polished and 6 right for the unpolished sample. The SEM measurements
218 were done on approximately the same regions as micro-3HIXE measurements
219 shown on Figure 5, as total correlation of areas measured with 3HIXE and SEM in
220 current set ups is not possible. Overall the measurements are in line with expected
221 values from broad-beam RBS and NRA measurements [16].

222

223 In the case of the Pilot-PSI sample we integrated the NRA and 3HIXE data collected
224 in the list mode file, which is 2D distribution map, over perpendicular axis to radial
225 direction of the plasma impact and therefore obtaining a linear elemental profile.
226 Through this process we obtained a projection of elemental yields along the radial
227 direction across the spot that the Pilot-PSI plasma jet had produced on the surface.
228 The obtained profile of deuterium amount (see Figure 7) reveals deuterium depletion
229 in the centre of the plasma impact, a slight increase in the concentration levels close
230 to the edge of the impact crater induced by D-Ne discharge and uniform but low D
231 amounts in regions far away from the impact [19]. The D is preferentially
232 accumulated a few mm off from the centre of the region affected by the D-Ne plasma
233 beam i.e. strike crater. This effect is connected with the largest surface modifications

234 (the Ne beam that modifies the surface shows a hollow profile) and Gaussian shape
235 of the temperature profile (1000 °C for D-Ne and 600°C for D plasma). Namely,
236 higher temperature in the center means lower D retention due to the larger
237 probability for D de-trapping and higher diffusion and increase of D amount at the
238 edge of Ne-D plasma beam due to increased surface modification and lower sample
239 temperature.

240 In addition we analysed one crater on the surface that was produced by in laser-
241 induced breakdown spectroscopy (LIBS) measurements. The LIBS measurements
242 were performed inside of 1 mm² regions with Nd-YAG laser ($\lambda = 1064$ nm) with
243 surface fluence 16 J/cm² and pulse duration of 8 ns [19]. We divided the LIBS crater
244 into 6 concentric regions (see Figure 8) and calculated the D areal density in the
245 regions separately as shown in Figure 9. We observed that the amount of D inside of
246 LIBS crater is negligible as D is almost totally desorbed during the LIBS
247 measurements. A strong D depletion in the LIBS induced crater is also visible in
248 Figure 7 at the position $X = -2000$ μm .

249 Yields for heavier elements W and Mo obtained via 3HIXE on the Pilot PSI sample
250 are shown in Figure 7. They are only approximately proportional to the concentration
251 of the particular element due to absorption in the sample. Due to unknown exact
252 ionizing cross sections for ³He ions we were unable to quantify the results of 3HIXE
253 measurements. However, qualitatively we observe a large increase of the Mo yield in
254 the centre of crater. This can be explained with additional deposition of extra Mo
255 from source and from the clamping rings that holds sample inside the Pilot-PSI
256 machine. The opposite can be seen for W. In the centre of crater we observe a
257 decrease of W yield due to absorption of W x-rays in deposited Mo layer on top of
258 the original W coating. Outside the crater region we observe uniform distribution of
259 Mo and W. The RBS spectra (not shown) show a mixture of Mo and W layer on top

260 of the surface what is not observed further away from the centre. Therefore the Mo
261 signal increase is mainly due to Mo deposition on the layer that comes from the
262 plasma source itself and possibly also due to small erosion of W layer in the centre.
263 Results from our measurements agree well with the qualitative LIBS and SIMS
264 observations done on the same PSI sample [19].

265

266 **Conclusion**

267 In this study we have analysed samples exposed in the ASDEX Upgrade tokamak
268 and in the Pilot-PSI linear plasma device with a focused ^3He beam on the μm lateral
269 resolution. The ^3He ion beam is used to determine the concentration of deuterium via
270 the nuclear reaction analysis. Analyses were done using a 2 MV tandem accelerator
271 coupled with a duoplasmatron ion source. In the experimental chamber we were able
272 to produce a focused ^3He beam with $10 \times 10 \mu\text{m}^2$ beam size and an ion current of 300
273 pA at the energy of 3.3 MeV.

274 For AUG samples, we studied the effects of surface roughness on erosion and
275 deposition of W. The results show that in the case of unpolished graphite samples
276 coated with tungsten, the erosion/deposition is increased near the strike point, but it
277 is not very distinct. In the case of polished graphite samples there is a clear depletion
278 of the W layer near the strike point. By detailed 3HIXE mapping we observe a
279 "leopard" skin pattern on the polished surface, as large concentration of W is
280 observed on carbon aggregates which are left on the surface after polishing. One
281 could conclude from this that such aggregates are more susceptible to erosion.
282 While the unpolished surface is less prone to erosion as its features protect the
283 material via shadowed valleys.

284 For samples exposed in Pilot-PSI machine, we measured the deuterium amount
285 around the central strike crater. Qualitatively we observe a large increase of the Mo
286 yield in the centre of crater. This can be explained with additional deposition of extra

287 Mo from source and from the clamping rings that hold sample inside the Pilot-PSI
288 machine. The W yield is decreased in the center of the crater due to additional
289 absorption of x-rays in the deposited Mo layers. Outside the crater region we
290 observe uniform distribution of Mo and W. We found a good agreement between our
291 measurements and SIMS and LIBS measurement. The D amount was also analysed
292 in the centre of the LIBS craters, inside which we detected very low amounts of D.
293 This confirms that the LIBS method is able to desorb the majority of deuterium in the
294 analysed area and no deuterium was re-adsorbed during the ablation process.

295 While LIBS is a useful technique to measure the D inventory of the inner walls of
296 tokamaks there are still issues in quantifying the results. Therefore NRA provides a
297 complementary information on the D inventory. By comparing the LIBS and NRA
298 results one can make educated guesses on the D concentrations in inner wall during
299 the tokamak operation. With these results we provide a new powerful analytical tool
300 for elemental inventory of plasma facing materials.

301

302

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309

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- 336
- 337

338 **List of figure captions**

339

340 **Fig.1:** Experimental set-up at micro-PIXE end station with the added NRA detector.

341

342 **Fig. 2:** Picture of the two samples exposed in ASDEX Upgrade (AUG). a) The

343 unpolished graphite tile with 25 nm of deposited W. b) The smooth/polished graphite

344 tile with 25 nm of initially deposited W. On both samples we marketed regions where
345 micro-NRA and 3HIXE measurements were performed. Orientation of samples
346 inside of AUG is same for both samples and is marked on figure 2a.

347

348

349 **Fig. 3:** Picture of sample exposed to deuterium plasma in Pilot PSI machine. The
350 sample consists of 1.5 μm coating of 95% W and 5% Y on bulk Mo. On sample we
351 marketed regions where micro-NRA and 3HIXE measurements were performed.
352 Central plasma strike crater is visible. Also three large craters are visible, which are
353 produced by the LIBS measurements. Below our scanning areas series small
354 craters are visible due to the SIMS measurements.

355

356 **Fig. 4:** Total amounts of D and W for both samples exposed in ASDEX Upgrade
357 tokamak plotted in poloidal direction. The D amounts were calculated from NRA
358 yields with SIMNRA [22] software. The W amounts were determined by RBS.
359 Thickness was calculated by using density of W $\rho_W=19.29 \text{ g/cm}^3$ as provided by
360 SIMNRA [22].

361

362 **Fig. 5:** PIXE measurements of W L-lines on polished (left - 819002) and unpolished
363 (right - 819006) AUG sample. On smooth sample we see the deposition of W on C
364 aggregates – W deposition like a “leopard-skin” pattern.

365

366 **Fig. 6:** Images with secondary electrons done with scanning electron microscope
367 (SEM) of AUG samples. On the left is the image of polished sample with visible W
368 deposited on carbon aggregates, some marked with red circles as an example. On

369 the right is image of unpolished graphite. The SEM images were done at Center for
370 electron microscopy and microanalysis (CEMM) of Jožef Stefan Institute.

371

372 **Fig. 7:** Total amount of D and 3HIXE yields of W and Mo are shown. Numbers on
373 the top (1-9) correspond to specific regions shown on Figure 3 while origin of x-axis
374 is in the center of Pilot-PSI plasma beam. We calculated D concentrations with
375 SIMNRA [22] software.

376

377 **Fig. 8:** 2D distribution of D yield around LIBS crater on Pilot PSI sample with marked
378 regions inside the crater. Scales on axis are in μm .

379

Fig. 9: Amount of D inside the LIBS crater on the Pilot PSI sample. Number on data
point corresponds to the concentric region shown on Figure 8.

380

381

382

383

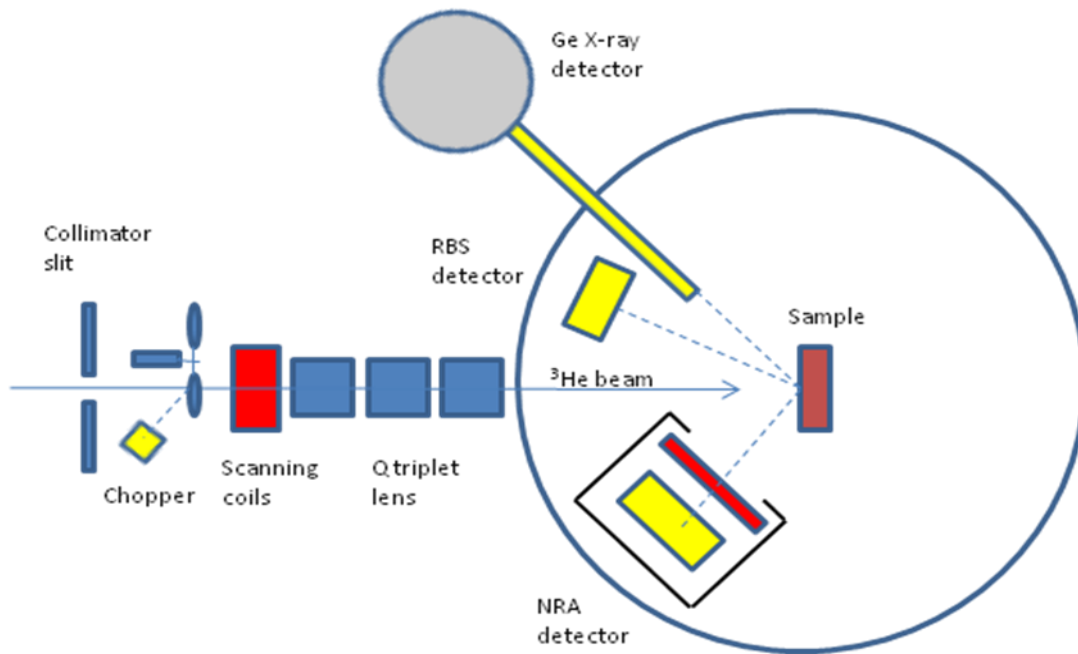


Figure 1



Figure 2a



Figure 2b

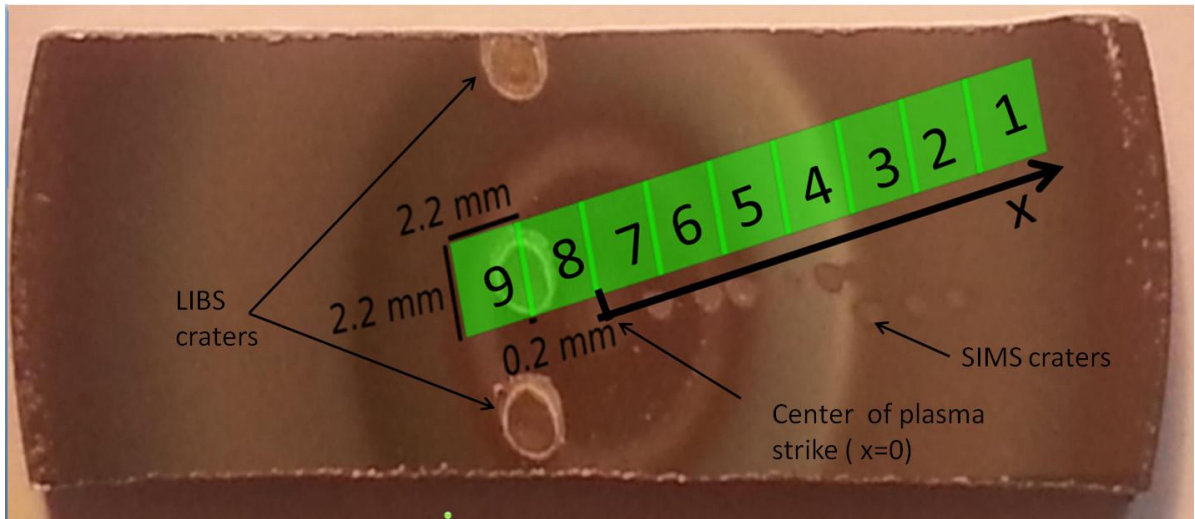


Figure 3

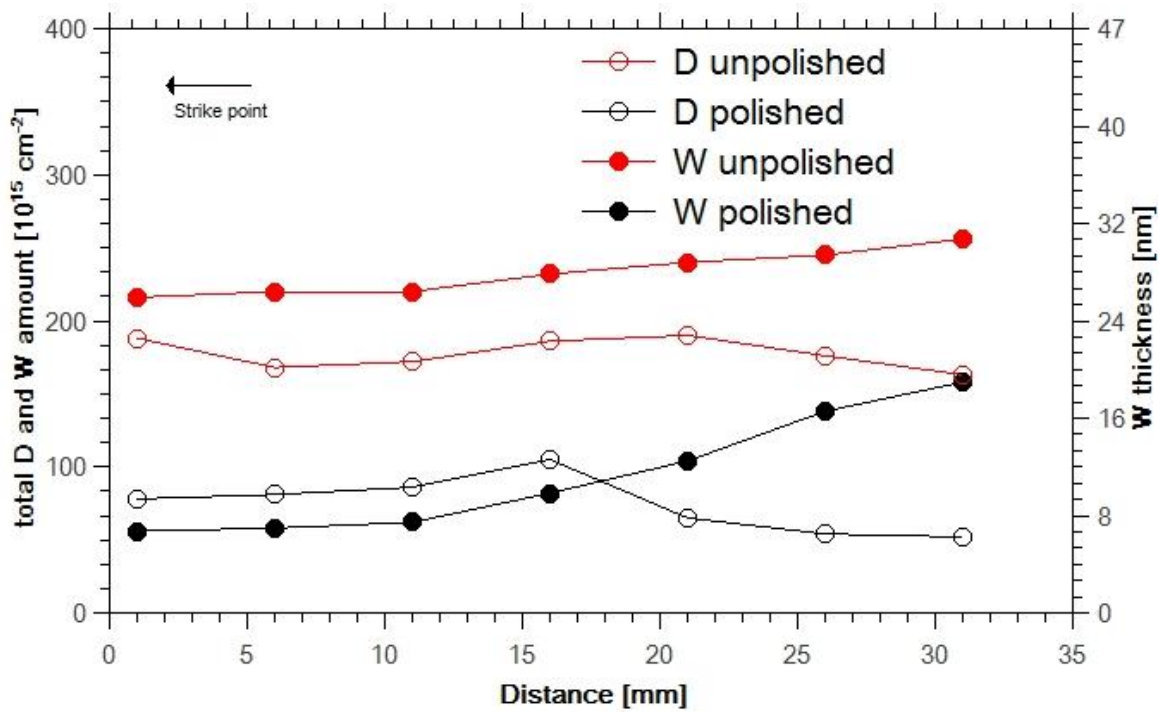


Figure4

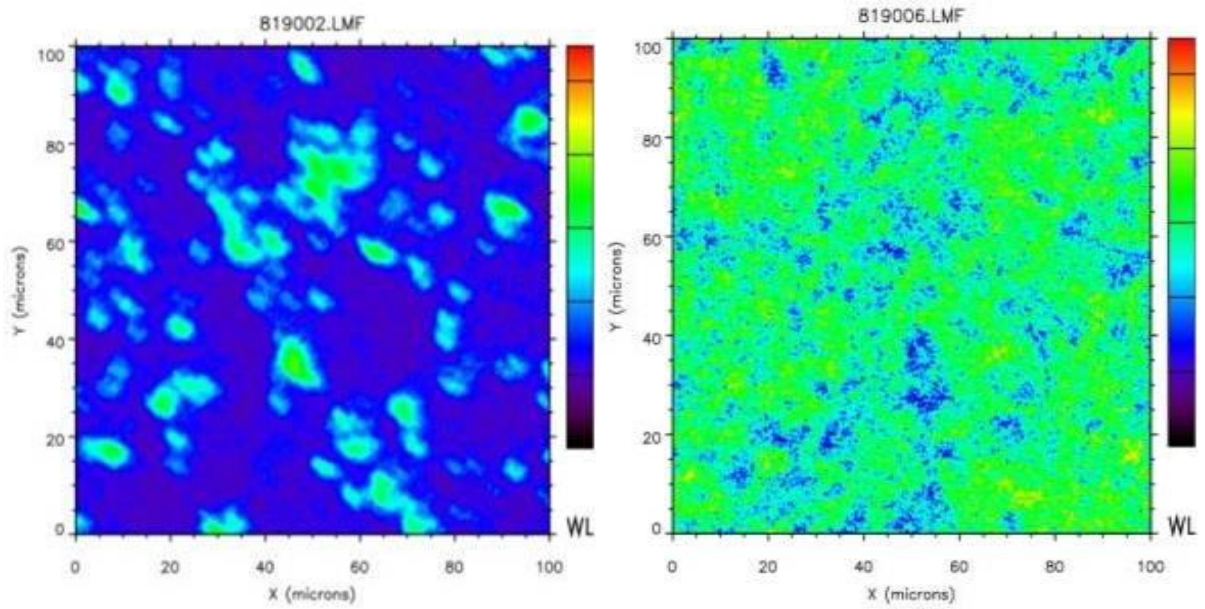


Figure 5

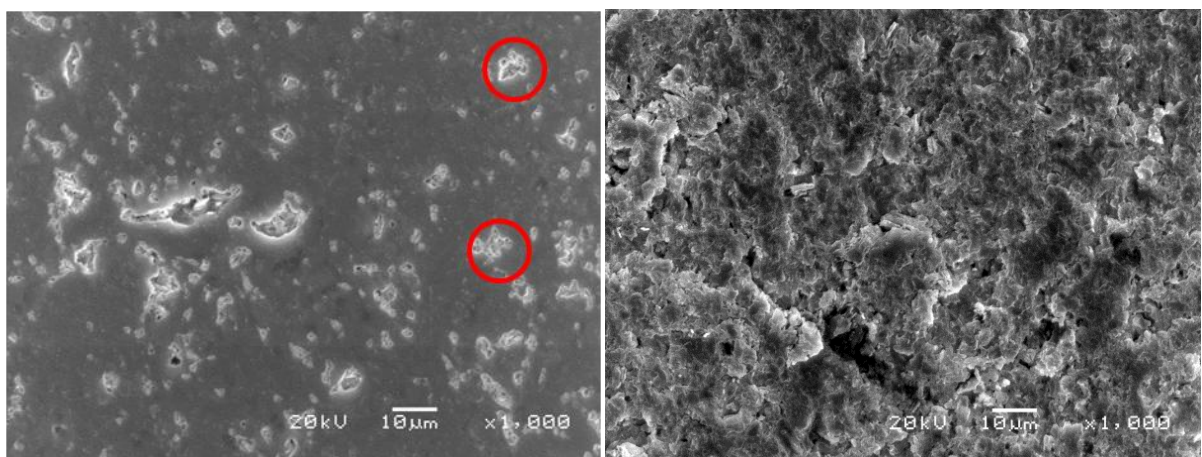


Figure 6

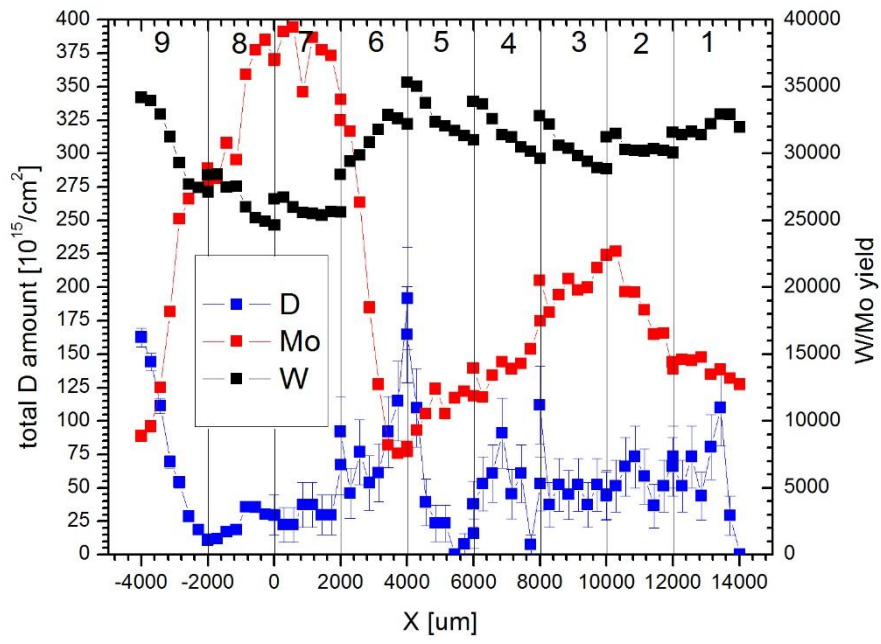


Figure 7

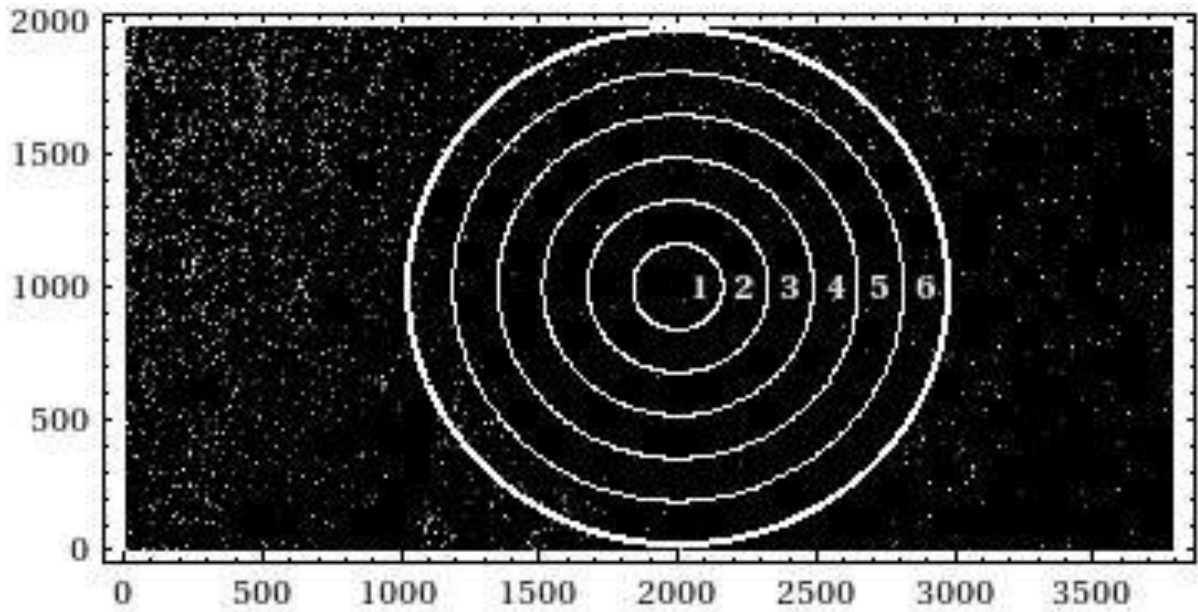


Figure 8

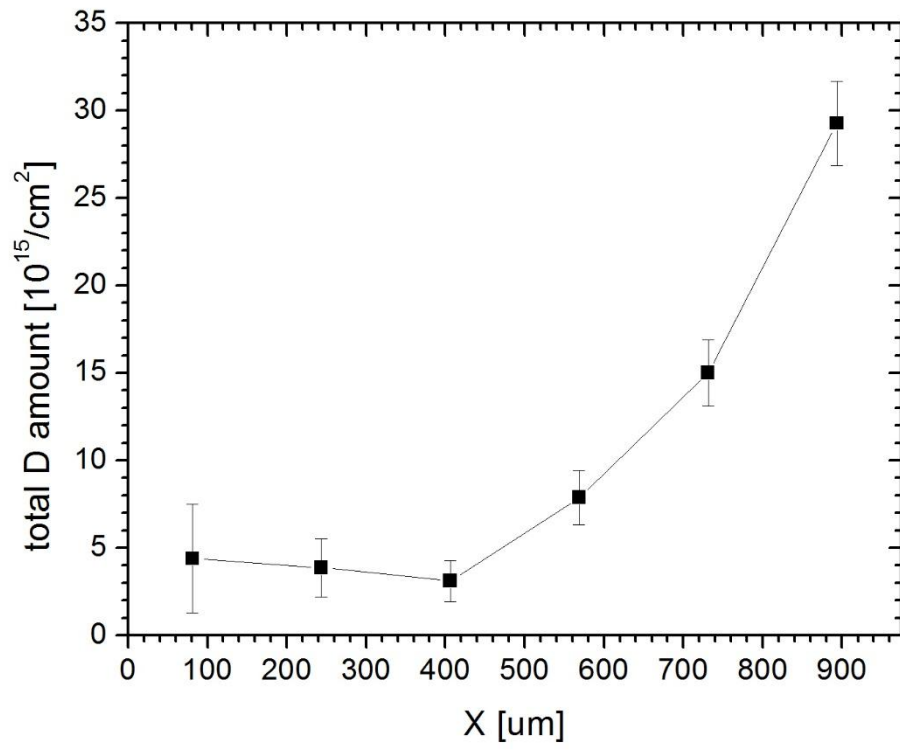


Figure 9