

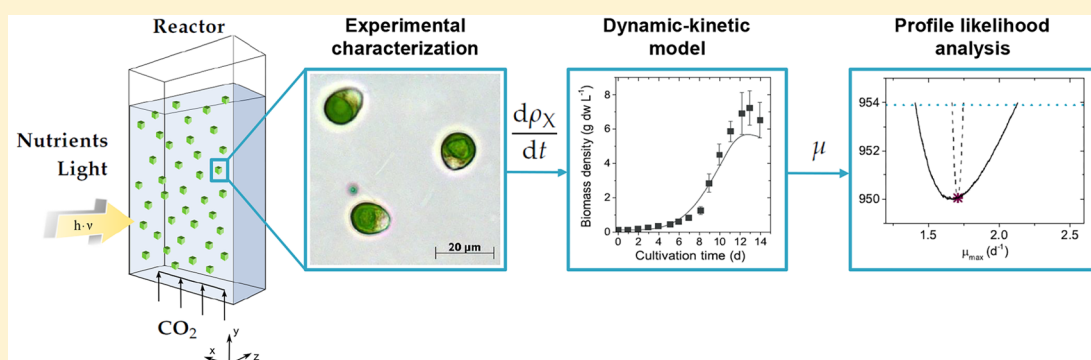
1 Carotenoid Production Process Using Green Microalgae of the 2 *Dunaliella* Genus: Model-Based Analysis of Interspecies Variability

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6 **S** Supporting Information



7 **ABSTRACT:** The engineering of photosynthetic bioprocesses is associated with many hurdles due to limited mechanistic
8 knowledge and inherent biological variability. Because of their ability to accumulate high amounts of β -carotene, green
9 microalgae of the *Dunaliella* genus are of high commercial relevance for the production of food, feed, and high-value fine
10 chemicals. This work aims at investigating the interspecies differences between two industrially relevant *Dunaliella* species,
11 namely *D. salina* and *D. parva*. A systematic work flow composed of experiments and mathematical modeling was developed and
12 applied to both species. The approach combining flow cytometry and pulse amplitude modulation (PAM) fluorometry with
13 biochemical methods enabled a coherent view on the metabolism during the adaptational stress response of *Dunaliella* under
14 carotenogenic conditions. The experimental data was used to formulate a dynamic-kinetic reactor model that covered the effects
15 of light and nutrient availability on biomass growth, internal nutrient status, and pigment fraction in the biomass. Profile
16 likelihood analysis was performed to ensure the identifiability of the model parameters and to point out targets for model
17 reduction. The experimental and computational results revealed significant variability between *D. salina* and *D. parva* in terms of
18 morphology, biomass, and β -carotene productivity as well as differences in photoacclimation and photoinhibition. The synergistic
19 approach combining experimental and mathematical methods provides a systems-level understanding of the microalgal
20 carotenogenesis under fluctuating environmental conditions and thereby drive the development of sustainable and economically
21 feasible phototrophic processes.

22 ■ INTRODUCTION

23 Halotolerant green microalgae of the *Dunaliella* genus are
24 among the most important production organisms for natural β -
25 carotene. The accumulation of the pigment is an adaption of
26 the exposure to extreme environmental conditions such as high
27 light intensity, high salinity, and nutrient starvation. The
28 overaccumulation of carotenoid pigments is due to a stress
29 response which enables *Dunaliella* to survive in hypersaline
30 environments. *Dunaliella* sp. occur in saline shallow lakes and
31 evaporation ponds all over the world.¹ Beside hypersaline
32 species in the genus *Dunaliella* several euryhaline species of
33 *Dunaliella* (e.g., *D. tertiolecta* and *D. primolecta* have been
34 reported, which grow in marine water. However, only the
35 hypersaline species of the *Dunaliella* genus (e.g., *D. parva*, *D.*
36 *viridis*, and *D. salina*) play an important role in algal mass
37 cultivation.²

38 There have been very few studies dealing with a comparative
39 evaluation of physiological and biochemical differences among
40 microalgal strains or species. However, when they exist they
41 generally indicate a significant intra- and interspecies variability
42 which makes comparison of results and deduction of valuable
43 conclusions challenging among different strains.³ Because of its
44 high β -carotene content, the majority of the studies focused on
45 the biotechnological potential of *D. salina*. This work aims at
46 investigating the interspecies differences between two carote-
47 nogenic *Dunaliella* strains, namely *D. salina* and *D. parva*. A

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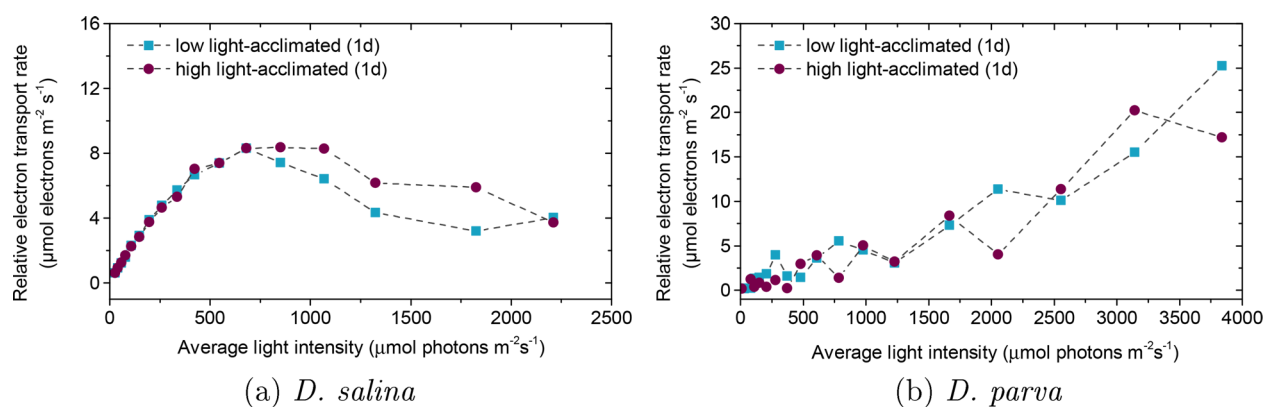


Figure 1. Relationship between electron transport rate and average light intensity in *D. salina* (a) and *D. parva* (b).

48 special emphasis was placed on the morphological differences,
 49 the productivity in terms of biomass and β -carotene, and the
 50 adaptational stress response as well as differences in photo-
 51 acclimation and photoinhibition. For this purpose, an
 52 interdisciplinary work flow composed of experiments and
 53 mathematical modeling was developed and applied to *D. salina*
 54 and *D. parva*.

55 ■ MATERIALS AND METHODS

56 **Strain, Growth Medium, and Precultivation.** The strains
 57 *D. salina* and *D. parva* used in this work were obtained from the
 58 Culture Collection of Algae and Protozoa (Windermere,
 59 United Kingdom) and identified based on 18S rRNA
 60 sequencing. The growth of both stock cultures was performed
 61 in a rotary shaking incubator (Multitron, Infors AG, Switzer-
 62 land) in 3.5% CO_2 enriched air, at a temperature of 26°C , with
 63 100 rpm rotational speed and a light intensity of $30\ \mu\text{mol}$
 64 $\text{photons m}^{-2}\text{s}^{-1}$ applied in alternating day/night cycles (16 h/8
 65 h). The growth medium composition was as follows: 1.50 M
 66 NaCl, 22.50 mM Na_2SO_4 , 4.87 mM K_2SO_4 , 1.00 mM
 67 NaH_2PO_4 , 0.37 mM MgCl_2 , 19.35 mM Na_2EDTA , 18.9 mM
 68 CaCl_2 , 11.25 mM NaFe EDTA, 1.89 mM MnCl_2 , 1.48 mM
 69 ZnSO_4 , 0.67 mM CuSO_4 , 10.95 nM Na_2MoO_4 , and 9.95 nM
 70 CoCl_2 . The nitrogen-replete medium contained 37.75 mM
 71 KNO_3 , whereas the nitrogen-depleted medium contained an
 72 equimolar content of KCl.

73 **Cultivation Experiments in Flat-Plate Photobioreac-**
 74 **tors.** Cultivations were performed in flat-plate photobior-
 75 eactors either with 1 L cultivation volume (FMT 150, Photon
 76 Systems Instruments) equipped with white and red LEDs (for
 77 *D. parva*) or with 1.8 L cultivation volume (Labfors Lux, Infors
 78 HT) equipped with warm white LEDs (for *D. salina*). Both
 79 reactors were bubbled with a gas mixture of 97% air and 3%
 80 CO_2 at $500\ \text{mL min}^{-1}$ flow rate provided by mass flow
 81 controllers. The pH was maintained at 7.5 by the addition of 1
 82 M HCl and 1 M KOH, and the temperature was set to 24°C .
 83 An optical pO_2 electrode (Visiferm DO, Hamilton Messtechnik
 84 GmbH, Switzerland) was used to measure the dissolved oxygen
 85 concentration in the reactors. The transmitted light intensity
 86 was determined using a light sensor on the backside of the
 87 reactor (ULM-500, Walz or Infors HT).

88 **Carbon and Nitrogen Fraction in the Biomass.** The
 89 carbon and nitrogen cell quota of the biomass were determined
 90 by C/H/N analysis from freeze-dried samples (Currenta,
 91 Germany).

Ion Chromatography. Extracellular nitrogen density was 92
 measured by ion chromatography (Dionex ICS 1100, Thermo 93
 Scientific Dionex, USA) using an Ion Pak AS22 column 94
 (Thermo Scientific Dionex, USA) with 4.5 mM sodium 95
 carbonate and 1.4 mM sodium bicarbonate as mobile phase 96
 at a flow rate of $1.2\ \text{mL min}^{-1}$ and with an injection volume of 97
 $50\ \mu\text{L}$. 98

99 **Spectrophotometrical Determination of Chlorophyll**
and Carotenoid Fractions. UV/vis spectrophotometry was 100
 used to measure the carotenoid and chlorophyll fractions in the 101
 biomass. Briefly, a 3–10 mL sample was taken from the reactor 102
 depending on the cell density and pigment fraction. After the 103
 cells were filtered onto glass microfiber filters (GF/F, $0.7\ \mu\text{m}$, 104
 Whatman, UK), a washing step with 0.5 M ammonium formate, 105
 followed by the addition of 6 mL of 90% acetone was 106
 performed. The pigment extract was incubated for 1 h at 4°C 107
 in a mixing block at 100 rpm. The mixture was centrifuged 5 108
 min at 3000g to separate the cell debris from the pigment 109
 extract. The supernatant was measured with a spectropho- 110
 tometer (Specord S600, Analytik Jena, Germany) at 470, 645, 111
 and 661.5 nm. The pigment densities of the sample were 112
 calculated according the equations given below.⁴ 113

$$c_{\text{Chla}} = (11.24A_{661} - 2.04A_{645})$$

$$c_{\text{Chlb}} = (20.13A_{645} - 4.19A_{661})$$

$$c_{\text{Car}} = \frac{(1000A_{470} - 1.9c_{\text{Chla}} - 63.14c_{\text{Chlb}})}{214}$$

114 **Flow Cytometric Analysis.** A flow cytometric measure-
 115 ment was conducted to determine the cell density in the reactor
 116 using volumetric counting of $200\ \mu\text{L}$ culture suspension.
 117 Therefore, all samples were diluted to a cell density of
 118 approximately $1 \times 10^6\ \text{cells mL}^{-1}$ prior to analysis. Light
 119 scattering and fluorescence emission was excited with a blue
 120 argon solid state (488 nm) laser in a flow cytometer (CyFlow
 121 Space, Sysmex-Partec, Germany). The samples were measured
 122 with a flow rate of $1\ \mu\text{L s}^{-1}$ in 1.5 M NaCl as sheath fluid.

123 **Pulse Amplitude Modulation (PAM) Fluorometry.** The
 124 relative electron transport rate (ETR) was measured using the
 125 Dual-PAM 100 fluorometer (Walz, Germany) as previously
 126 described in Fachet et al.⁵ Briefly, 1.5 mL of cell sample was
 127 dark adapted for 10 min at 26°C . Afterward, the minimal
 128 fluorescence level (F_0) and maximal fluorescence level (F_m)
 129 induced by a saturating actinic light pulse ($635\ \text{nm}$, $2000\ \mu\text{mol}$
 130 $\text{photons m}^{-2}\text{s}^{-1}$, 0.5 s) were determined with a measuring
 131 radiation of $5\ \mu\text{mol m}^{-2}\text{s}^{-1}$. Subsequently, the actinic light was

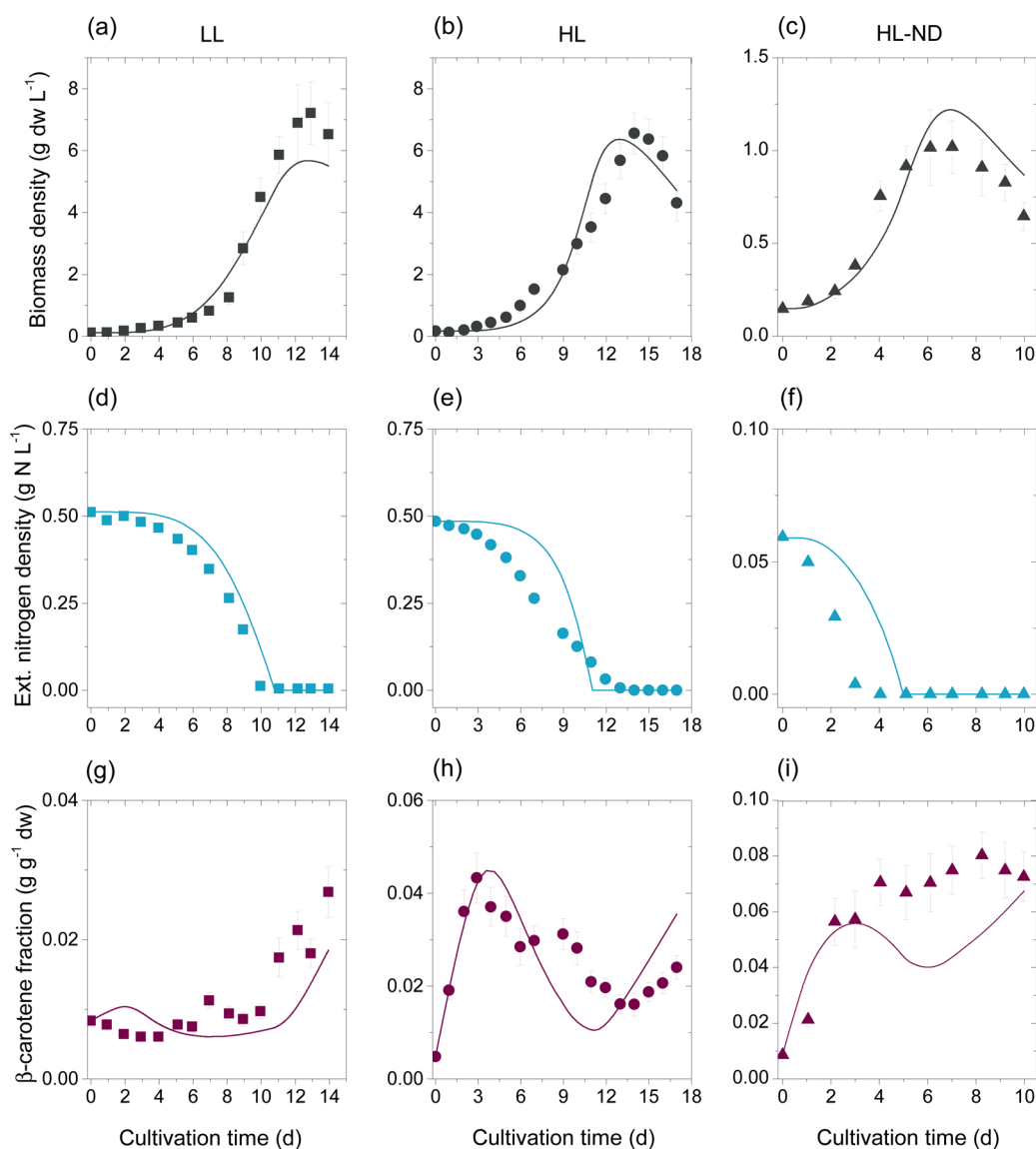


Figure 2. Model simulations for *D. salina* for the effect of various cultivation conditions on the biomass density $\rho_{x,dw}$ (a–c), the extracellular nitrogen density $\rho_{N,ext}$ (d–f) and the β -carotene fraction ω_{Car} (g–i). Comparison of the simulated time course (lines) with experimental data (symbols). The symbols represent the mean values and the error bars correspond to the standard deviation. An initial biomass density of 0.1 g dw L^{-1} was used for inoculation. The illumination of the reactor was performed as stated in Table S3 in the Supporting Information.

132 turned on to determine the photosynthetic parameters of the
 133 light adapted state. After the light-adapted fluorescence signal
 134 F' reached steady-state, a saturation pulse was applied to the
 135 culture which induced the closure of all reaction centers and the
 136 value for light-adapted maximal fluorescence F'_m was achieved.

137 ■ RESULTS AND DISCUSSION

138 **Strain Identification of *D. salina* and *D. parva*.** Both
 139 strains were ordered from the Culture Collection of Algae and
 140 Protozoa (CCAP) and assigned based on morphological
 141 properties and 18S rRNA sequencing to *D. salina* (CCAP
 142 19/18) and *D. parva* (CCAP 19/10). Both strains had a 100%
 143 query coverage (99% Max. ID) with *D. parva* and *D. salina*,
 144 respectively.

145 **Experimental Analysis of Interspecies Variability.**
 146 **Morphological Variability between *D. salina* and *D. parva*.**
 147 Beside *D. salina*, *D. parva* and *D. pseudosalina* are known to
 148 belong to the carotenogenic species of the *Dunaliella* genus.⁶

Like *D. salina*, *D. parva* is a hypersaline alga tolerating NaCl
 fractions from 3% (w/w) NaCl to saturation and an optimal
 salinity range from 6 to 8% (w/w).⁶ In contrast to *D. salina*
 which has a carotenoid content of 8 to 10% (w/w), the
 maximum carotenoid fraction reported for *D. parva* is about 5%
 (w/w).⁶

The most distinctive features between *D. salina* and *D. parva*
 were the cell size and the dry weight. The results of the
 comparison are shown in Supporting Information Table S1 and
 showed a high morphological and physiological variability
 among the studied species. The length of a single *D. parva* cell
 was only half the cell length of *D. salina* ($7.6 \mu\text{m}$ compared to
 $14.6 \mu\text{m}$) and the cell width was only one-third of *D. salina* (3.8
 μm compared to $11.6 \mu\text{m}$). The significant differences in the
 cell size also translated into the cellular dry weight. An average
D. parva cell had a weight of only $33.5 \text{ pg cell}^{-1}$, whereas the
D. salina cell had a weight of 520 pg cell^{-1} when cultivated under
 low-light and nutrient-repleted conditions. The size measure-

Table 1. Optimal Parameter Values $\hat{\Theta}$ as Well as the Individual Confidence Intervals $[\sigma_i^-; \sigma_i^+]$ Corresponding to a Confidence Level of 95% from Constrained Nonlinear Optimization and Profile Likelihood Analysis for *D. salina*

strain	symbol	$\hat{\Theta}$	σ_i^-	σ_i^+	identifiability
<i>D. salina</i>	$r_{N,max}$	0.346	0.249	0.531	structurally and practically identifiable
	$K_{s,N}$	0.005	0.0003	3.591	structurally and practically identifiable
	μ_{max}	1.708	1.406	2.130	structurally and practically identifiable
	$K_{s,E}$	0.033	0.018	0.055	structurally and practically identifiable
	$K_{i,E}$	68.719	48.186	97.744	structurally and practically identifiable
	$E_{car,crit}$	77.718	74.102	80.138	structurally and practically identifiable
	$r_{car,E}$	0.032	0.022	0.041	structurally and practically identifiable
	$r_{car,N}$	0.005	0.0045	0.0055	structurally and practically identifiable
	r_R	0.142	0.131	0.152	structurally and practically identifiable

167 ments were in line with the values published by Borowitzka and
168 Siva⁶ and are given in Table S1 for comparison.

169 **Biotechnological Parameters for Growth and β -Carotene**
170 **Accumulation.** Batch cultivations of *D. salina* and *D. parva*
171 were carried out in order to identify interspecies differences in
172 biomass formation and pigment accumulation (see Tables S2
173 and S3 for experimental conditions). The biotechnological
174 parameters listed in Tables S4 and S5 indicated that *D. salina*
175 outperformed *D. parva* in almost all cases. The highest content
176 of β -carotene was observed under HL-ND conditions with
177 8.0% (w/w) for *D. salina* and 4.9% (w/w) for *D. parva* and is in

178 line with values reported by Borowitzka and Siva.⁶ Although the
179 initial nitrate density of the HL-ND batch of *D. parva* was lower
180 compared to the HL-ND batch of *D. salina* (0.017 g N L⁻¹
181 compared to 0.05 g N L⁻¹), the incorporation into the biomass
182 seems to be more efficient since the final biomass density for *D.*
183 *parva* was 35% higher. Indeed, the elemental composition
184 analysis revealed a minimum nitrogen cell quota of 0.02 g N g⁻¹
185 dw for *D. parva* whereas 0.03 g N g⁻¹ dw was measured for *D.*
186 *salina* (Tables S6 and S7).

187 **Photosynthetic Performance.** The relative ETR is an
188 indicator for the photosynthetic capacity of cells and therefore
189 linked to the ability for providing energy for cell growth and
190 metabolism. It is calculated as described in eq 1 by
191 multiplication of the average light intensity (\bar{E}) with the
192 effective quantum yield ($\Phi_{PSII,eff}$) and the default ETR factor of
193 0.42.⁷ The default ETR factor originates from a "model" leaf
194 and describes the fraction of the incident light intensity in the
195 PAR region that is absorbed in PSII.

$$ETR = 0.42\Phi_{PSII,eff}\bar{E} = 0.42\frac{F'_m - F'}{F'_m}\bar{E} \quad (1) \quad 196$$

197 The relationship between relative ETR and average light
198 intensity for *D. salina* is shown in Figure 1a. The curve clearly
199 shows the three characteristic phases (light limitation, light
200 saturation, and photoinhibition). Under both conditions (low-
201 and high-light acclimated cells), the ETR for *D. salina* increased
202 linearly until a light intensity of 550 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ was
203 reached. In this light regime, the light intensity directly
204 correlates with the rate of photosynthesis. Both cultures of *D.*
205 *salina* reached a maximal ETR value of 6.9 to 8.3 $\mu\text{mol electrons m}^{-2} \text{s}^{-1}$
206 (Figure 1a). The light saturation range for the
207 low light-acclimated culture was from 550–860 $\mu\text{mol photons}$

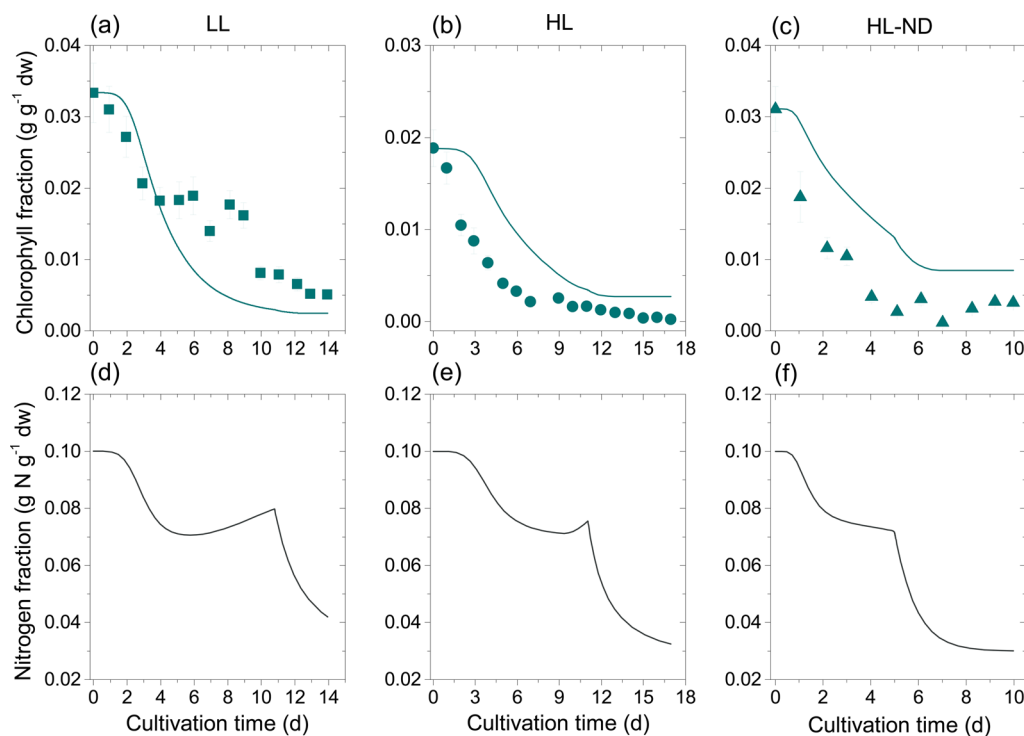


Figure 3. Model simulations for *D. salina* for the effect of various cultivation conditions on the chlorophyll fraction ω_{Chl} (a–c) and the nitrogen quota in the biomass ω_N (d–f). Comparison of the simulated time course (lines) with experimental data (symbols). The symbols represent the mean values and the error bars correspond to the standard deviation.

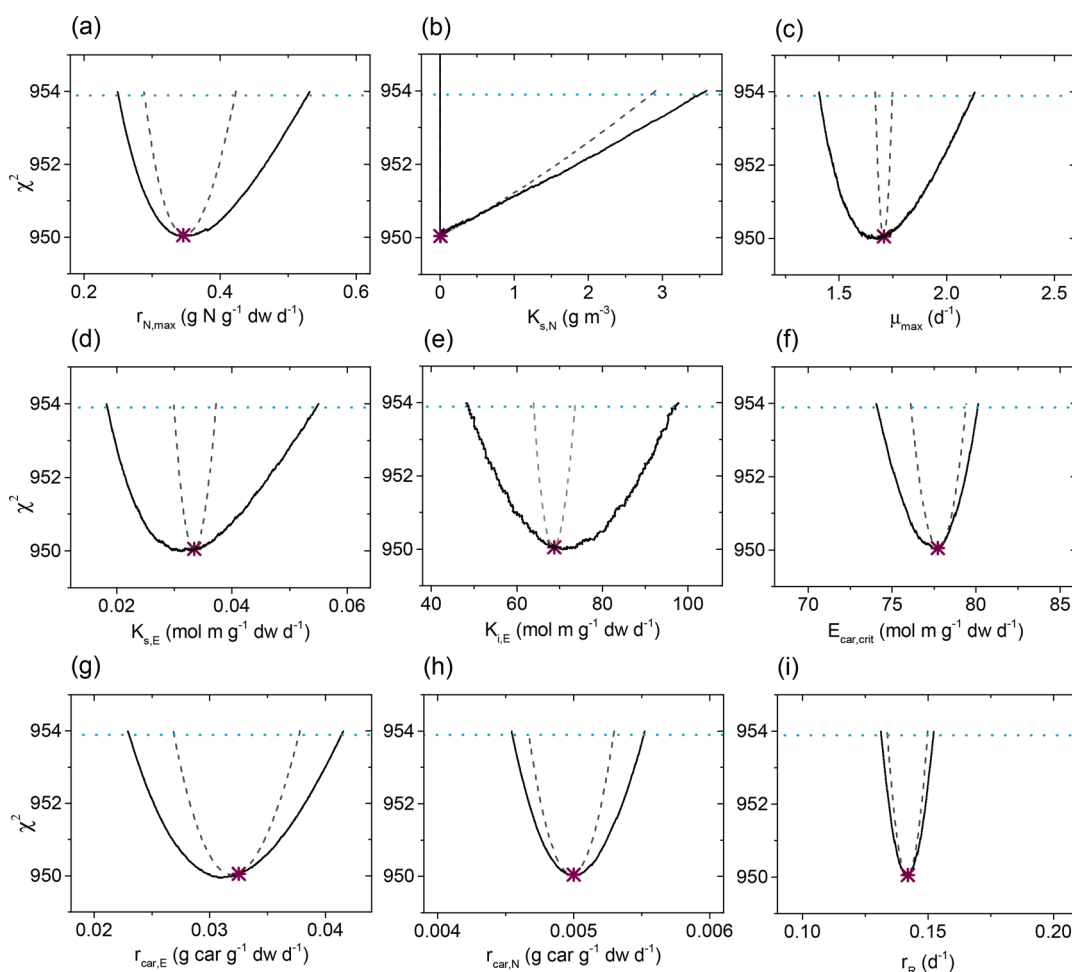


Figure 4. Profile likelihood-based identifiability for all model parameters for *D. salina*: (a) maximal nitrogen uptake rate $r_{N,max}$ (b) half-saturation coefficient for nitrogen uptake $K_{s,N}$, (c) maximal growth rate μ_{max} (d) half-saturation coefficient for photosynthetic growth $K_{s,E}$ (e) light inhibition coefficient for photosynthetic growth $K_{i,E}$ (f) critical light intensity for β -carotene synthesis $E_{car,critiv}$ (g) light stress-induced β -carotene synthesis rate $r_{car,E}$ (h) nutrient stress-induced β -carotene synthesis rate $r_{car,N}$ and (i) respiration rate r_R . The profile likelihood-based sensitivity curve, where Θ_i is varied and all other parameters $\Theta_{j \neq i}$ are kept constant, is indicated by the dashed gray line. The profile likelihood-based identifiability curves are indicated by the black solid line. The blue dotted horizontal line indicates the threshold utilized to assess likelihood-based 95% confidence interval and the asterisk corresponds to the optimal parameter value.

208 $m^{-2} s^{-1}$, whereas the high light-acclimated culture showed a
 209 prolonged light saturation phase from 550–1070 μmol photons
 210 $m^{-2} s^{-1}$. The light saturation phase is followed by a
 211 photoinhibition phase in which the ETR declined to about 4
 212 μmol electrons $m^{-2} s^{-1}$ at 2200 μmol photons $m^{-2} s^{-1}$.

213 Beside the differences in morphology and biotechnological
 214 parameters, further variability of *D. parva* compared to *D. salina*
 215 was observed in the response upon exposure to oversaturating
 216 light conditions as illustrated in Figure 1b, where the
 217 dependency of the ETR on the average light intensity is
 218 given. In contrast to *D. salina*, a pronounced saturation and
 219 photoinhibitory phase could not be observed, neither for low
 220 light nor for high light-acclimated *D. parva* cells. Because of the
 221 absence of a photoinhibition phase in *D. parva*, the maximal
 222 ETR of 25 μmol electrons $m^{-2} s^{-1}$ is three times higher
 223 compared to the maximal ETR achieved for *D. salina*.

224 **Dynamic-Kinetic Growth Model for *D. salina* and *D.***
 225 ***parva*.** In the following section, the interspecies variability
 226 between *D. salina* and *D. parva* was analyzed with a model-
 227 based approach, namely a dynamic-kinetic growth model. The
 228 experimental data for the simulations were obtained as specified
 229 in Tables S2 and S3.

Model Formulation. The presented dynamic–kinetic
 230 growth model is based on an ordinary differential equation
 231 (ODE) system published by Facht et al.⁸ with the extension to
 232 account for photoacclimation, photoinhibition, and β -carotene
 233 accumulation (see eqs 2 and 3). The following five state
 234 variables were considered:
 235

- biomass density ρ_X ($g dw m^{-3}$) 236
- extracellular nitrogen density ρ_N ($g N m^{-3}$) 237
- intracellular nitrogen fraction ω_N ($g N g^{-1} dw$) 238
- chlorophyll fraction ω_{Chl} ($g Chl g^{-1} dw$) 239
- β -carotene fraction ω_{Car} ($g Car g^{-1} dw$) 240

The complete algebraic equation system can be found in the
 241 Supporting Information. 242

β -Carotene Synthesis Rate. The synthesis of β -carotene
 243 mainly depends on the presence and intensity of light and
 244 nutrient stress. Therefore, the equation for its synthesis couples
 245 a light-dependent and nutrient-dependent synthesis term as
 246 formulated below (eq 2): 247

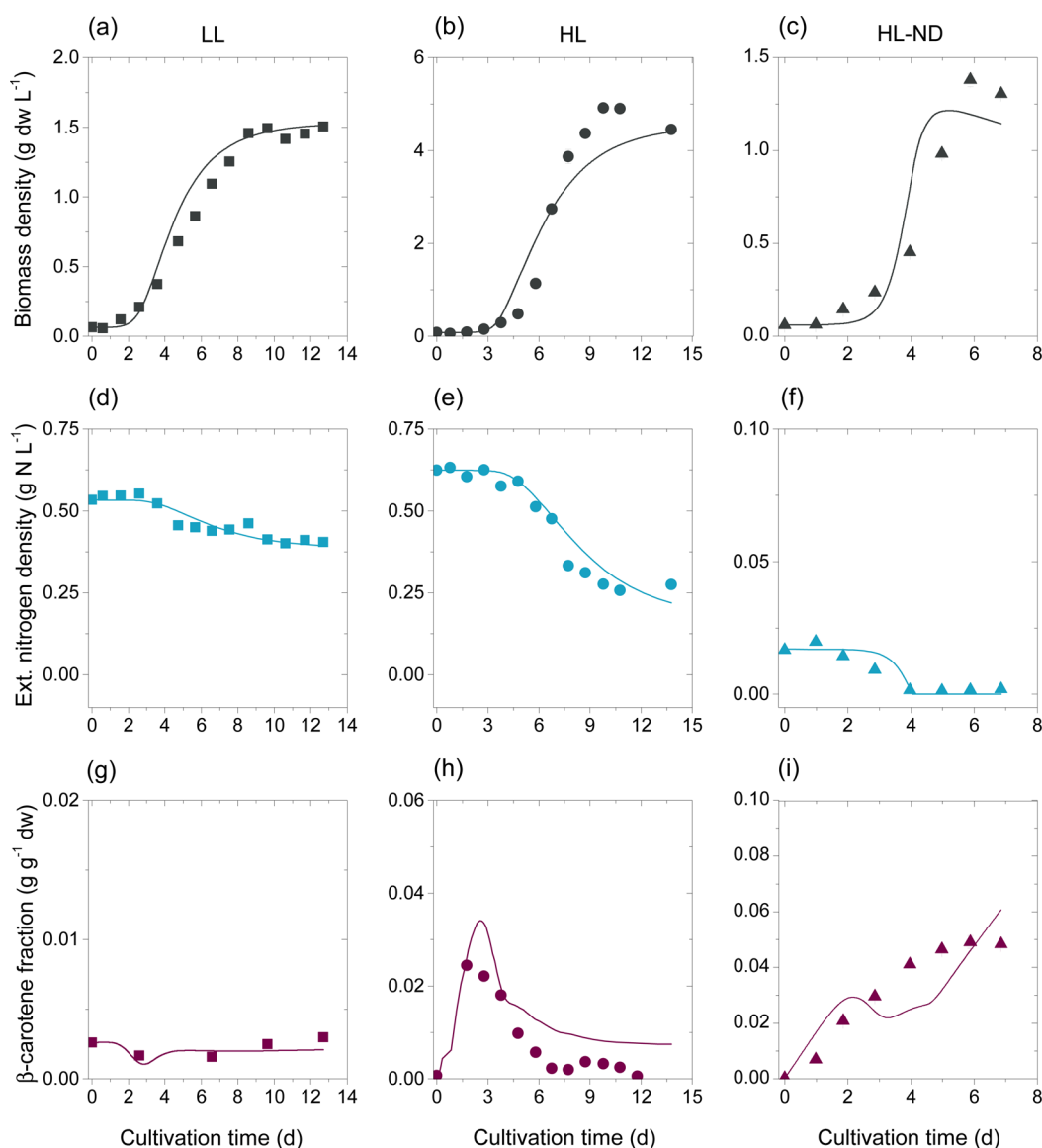


Figure 5. Model simulations for *D. parva* for the effect of various cultivation conditions on the biomass density ρ_X (a–c), the extracellular nitrogen density $\rho_{N,ext}$ (d–f), and the β -carotene fraction ω_{Car} (g–i). Comparison of the simulated time course (lines) with experimental data (symbols). The symbols represent the mean values and the error bars correspond to the standard deviation. An initial biomass density of 0.1 g dw L^{-1} was used for inoculation. The illumination of the reactor was performed as stated in Table S4 in the Supporting Information.

$$r_{Car} = r_{Car,E} \frac{E_{X,dw}^k}{E_{Car,crit}^k + E_{X,dw}^k} + r_{Car,N} \left(1 - \frac{\omega_N^k}{\omega_{N,crit}^k + \omega_N^k} \right) \quad (2)$$

248

249 where $r_{Car,E}$ and $r_{Car,N}$ represent the maximal synthesis rate
 250 under light and nutrient stress. The half saturation coefficients
 251 for light and nutrient stress are denoted as $E_{Car,crit}$ and $\omega_{N,crit}$.
 252 The Hill coefficient is represented by k and $E_{X,dw}$ is the light
 253 intensity per biomass. Please note that the cultivations for *D.*
 254 *parva* were conducted under constant light conditions and the
 255 average photon flux density \bar{E} can be used instead of $E_{X,dw}$.

256 **Growth rate.** Since pronounced photoinhibitory effects were
 257 observed for growth of *D. salina* under high light conditions, a
 258 growth rate approach containing an inhibition term has been
 259 formulated to describe the specific biomass growth rate μ .⁹ In
 260 addition, a Droop term has been added to the equation to
 261 ensure growth arrest under nitrogen depletion as shown in eq
 262 3:¹⁰

$$\mu = \mu_{max} \frac{E_{X,dw}}{E_{X,dw} + K_{s,E} \frac{\rho_X}{\rho_{Chl}} + \frac{E_{X,dw}^2}{K_{i,E}}} \left(1 - \frac{\omega_{N,min}}{\omega_N} \right) \quad (3) \quad 263$$

The following five dynamic equations are deduced from the 264
 algebraic equations in order to describe biomass growth, 265
 chlorophyll, and β -carotene fraction, extracellular nitrogen 266
 density and nitrogen quota: 267

$$\frac{d\rho_X}{dt} = \mu_{net} \rho_X \quad (4) \quad 268$$

$$\frac{d\rho_{N,ext}}{dt} = -r_N \rho_X \quad (5) \quad 269$$

$$\frac{d\omega_N}{dt} = r_N - \mu \omega_N \quad (6) \quad 270$$

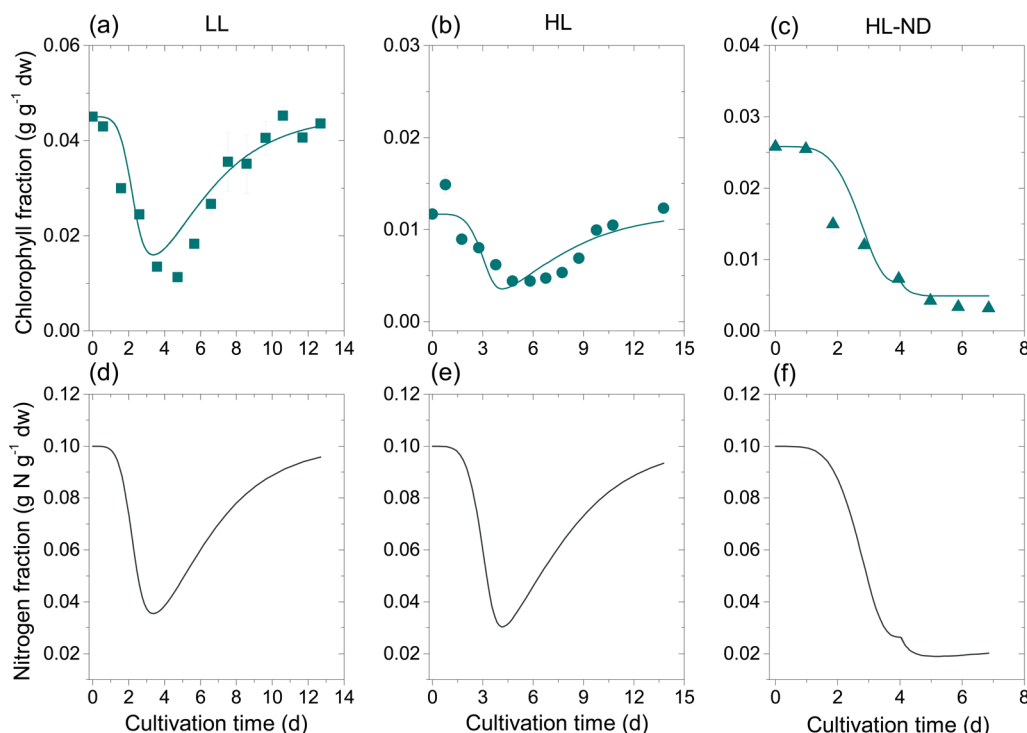


Figure 6. Model simulations for *D. parva* for the effect of various cultivation conditions on the chlorophyll fraction ω_{Chl} (a–c) and the nitrogen quota in the biomass ω_{N} (d–f). Comparison of the simulated time course (lines) with experimental data (symbols). The symbols represent the mean values and the error bars correspond to the standard deviation.

$$\frac{d\omega_{\text{Chl}}}{dt} = \frac{\omega_{\text{Chl},N} \cdot \mu \cdot r_{\text{N}}}{r_{\text{p}} \left(\frac{\omega_{\text{Chl}}}{\omega_{\text{c}}} \right)} - \mu_{\text{net}} \omega_{\text{Chl}} \quad (7)$$

$$\frac{d\omega_{\text{Car}}}{dt} = r_{\text{Car}} - \mu_{\text{net}} \omega_{\text{Car}} \quad (8)$$

The model equations contain 9 parameters (optimization variables) and 11 biomass and three reactor constants (see Tables S6 and S7).

The proposed model was implemented in MATLAB (MathWorks) and solved by using CVODES.¹¹ The model simulations were compared with the experimental data of *D. salina* and *D. parva* grown under different cultivations conditions (Tables S2 and S3) in flat-plate photobioreactors in batch mode. The parameter estimation was performed using the nonlinear optimization algorithm fmincon with an initial parameter set based on literature and experimental data. This algorithm minimizes the objective function as defined in eq 9:

$$\chi^2(\Theta) = \sum_{k=1}^m \sum_{i=1}^{d_k} \frac{1}{\sigma_{ki}^2} (y_k(t_i) - \hat{y}_k(t_i, \Theta))^2 \quad (9)$$

where m is the number of measured outputs, d_k is the number of measurement times, y_k and \hat{y}_k are the k th measured output variable and corresponding model prediction and σ_{ki}^2 is the variance in the measured data.

Parameter Estimation. The nine model parameters of the proposed equation system were estimated to describe the dynamic changes in the biomass density, the chlorophyll, and β -carotene fraction as well as the extracellular nitrogen density in largest agreement to the experimental data. For this purpose, the objective function was defined to minimize the weighted sum of squared residuals (eq 9) for ρ_{X} , ω_{Chl} , ω_{Car} , and $\rho_{\text{N,ext}}$ for

three experimental conditions (see LL, HL, and HL-ND in Tables S2 and S3). According to the measurement, results for the biomass-specific parameters of *D. salina* and *D. parva* were set as shown in Tables S6 and S7. Box constraints were imposed on the parameters according to biophysical limitations. The measurement variances were parametrized as $\sigma_{ki}^2 = 0.1 \max(y_k(t))$.

Profile Likelihood Analysis of Model Parameters. The reliability and identifiability of the estimated parameter set was studied using profile likelihood analysis. The approach was proposed by Raue et al.¹² and is based on constrained likelihood profiles. The systematic exploration of the high-dimensional parameter space is done individually for each parameter (Θ_i) with respect to all other parameters $\Theta_{j \neq i}$ as shown in eq 10.¹³

$$\chi_{\text{PL}}^2(\Theta_i) = \min_{\Theta_{j \neq i}} [\chi^2(\Theta)] \quad (10)$$

On the basis of the shape of $\chi_{\text{PL}}^2(\Theta_i)$, one can distinguish between structural and practical identifiability or nonidentifiability. Structural and practical identifiable parameters have a parabolically shaped $\chi_{\text{PL}}^2(\Theta_i)$ curve and nonidentifiable parameters have a χ_{PL}^2 curve with a flat shape. If the flat shape occurs in the direction of one confidence bound, the parameters are practical nonidentifiable, whereas in the direction of both confidence bounds structural nonidentifiability occurs. The profile likelihood algorithm was implemented in MATLAB. Absolute and relative tolerances have been set to 10^{-7} and 10^{-6} , respectively.

Results for *D. salina*. Biomass Growth under Fluctuating Light and Nutrient Conditions. The simulation results shown in Figure 2a–c demonstrate that the model describes the dynamics of biomass growth under various light and nutritional conditions with the estimated parameter set $\hat{\Theta}$ (Table 1) in a

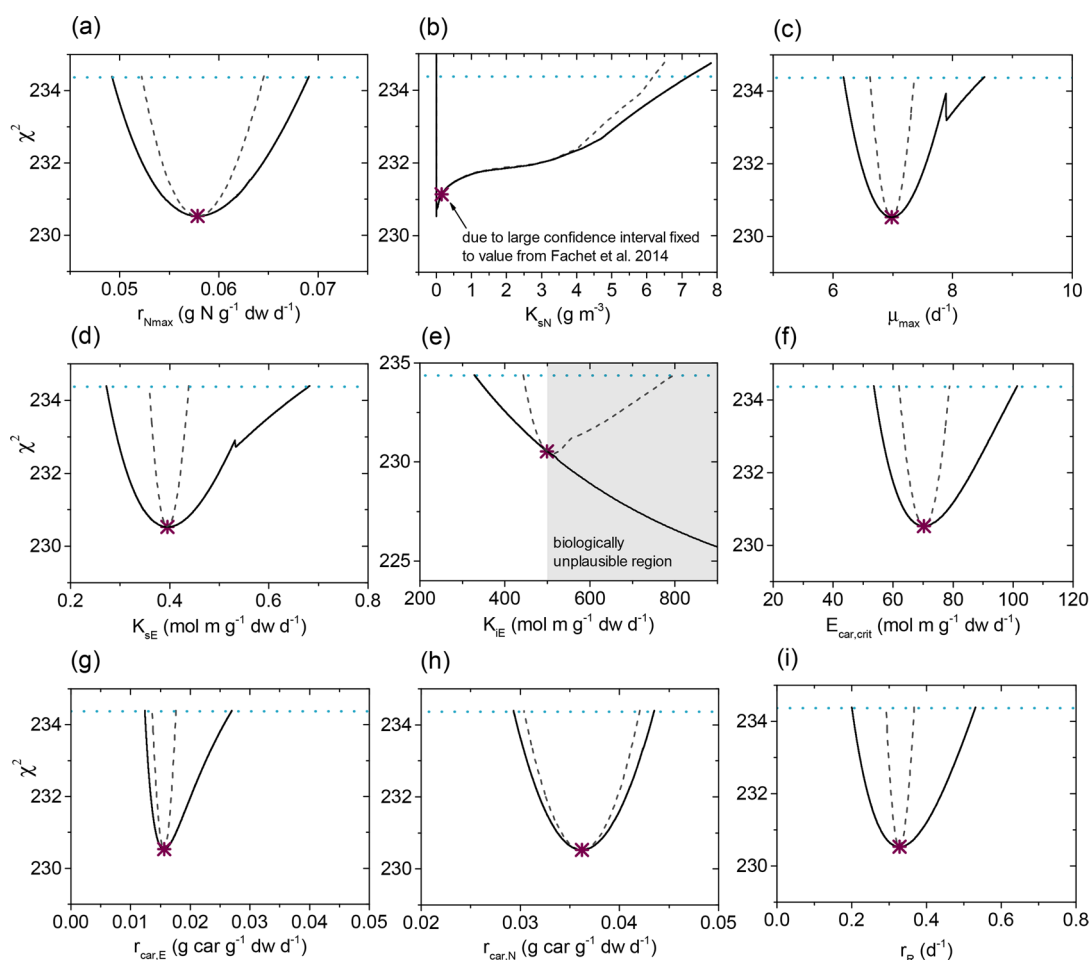


Figure 7. Profile likelihood-based identifiability for all model parameters for *D. parva*: (a) maximal nitrogen uptake rate $r_{N,max}$ (b) half-saturation coefficient for nitrogen uptake $K_{s,N}$ (c) maximal growth rate μ_{max} (d) half-saturation coefficient for photosynthetic growth $K_{s,E}$ (e) light inhibition coefficient for photosynthetic growth $K_{i,E}$ (f) critical light intensity for β -carotene synthesis $E_{car,crit}$ (g) light stress-induced β -carotene synthesis rate $r_{car,E}$ (h) nutrient stress-induced β -carotene synthesis rate $r_{car,N}$ and (i) respiration rate, r_R . The profile likelihood-based sensitivity curve, where Θ_1 is varied and all other parameters $\Theta_{j \neq i}$ are kept constant, is indicated by the dashed gray line. The profile likelihood-based identifiability curves are indicated by the black solid line. The blue dotted horizontal line indicates the threshold utilized to assess likelihood-based 95% confidence interval and the asterisk corresponds to the optimal parameter value.

Table 2. Optimal Parameter Values $\hat{\Theta}$ as Well as the Individual Confidence Intervals $[\sigma_i^-; \sigma_i^+]$ Corresponding to a Confidence Level of 95% from Constrained Nonlinear Optimization and Profile Likelihood Analysis for *D. parva* in Comparison with *D. salina*

strain	symbol	$\hat{\Theta}$ for <i>D. salina</i>	$\hat{\Theta}$ for <i>D. parva</i>	σ_i^-	σ_i^+	identifiability
<i>D. parva</i>	$r_{N,max}$	0.346	0.058	0.049	0.069	structurally and practically identifiable
	$K_{s,N}$	0.05	0.155	0	7.832	structurally and practically identifiable
	μ_{max}	1.708	6.980	6.176	8.533	structurally and practically identifiable
	$K_{s,E}$	0.033	0.396	0.272	0.682	structurally and practically identifiable
	$K_{i,E}$	68.7190	499	328.078	$+\infty$	practical nonidentifiable, biologically plausible
	$E_{car,crit}$	77.718	70.202	53.564	101.429	structurally and practically identifiable
	$r_{car,E}$	0.032	0.016	0.012	0.026	structurally and practically identifiable
	$r_{car,N}$	0.005	0.036	0.029	0.043	structurally and practically identifiable
	r_R	0.142	0.327	0.200	0.531	structurally and practically identifiable

329 good manner. The maximum biomass density from all three
 330 experimental conditions was achieved in the cultivation under
 331 low light (LL), namely 7.2 g dw L⁻¹. This corresponds to a final
 332 cell density of 1.4 × 10⁷ cells mL⁻¹ cultivation volume. The
 333 final biomass density reached in the stationary phase for the
 334 cultivations under high light (HL) is 6.5 g dw L⁻¹ and almost
 335 comparable to the low light condition (LL). Under high light
 336 and nitrogen depletion (HL-ND) the biomass growth was

significantly lowered due to nutrient abundance and only 1.1 g
 337 dw L⁻¹ biomass was achieved. 338

Extracellular Nitrogen Uptake. The simulated time course
 339 for the extracellular nitrogen density agreed well with the
 340 experimental data (Figure 2d–f). The results revealed that the
 341 growth under all three conditions was always nutrient and
 342 never light-limited. As expected, the growth under nutrient-
 343 depleted conditions (HL-ND) was governed by the lowest 344

external nutrient availability and the internal nutrient status of the cells. The external nitrogen was totally depleted 4 days after inoculation in the nitrogen starved culture (HL-ND). The simulation results clearly indicate that nitrogen uptake is strongly reduced in the lag phase under nitrogen-repleted conditions (LL, HL), since the nitrogen cell quota is close to its maximal value $\omega_{N,max}$ (Figure 2d–f).

The predicted maximal nitrogen uptake rate is $0.346 \text{ g N g}^{-1} \text{ dw d}^{-1}$. The value for the calculated nitrogen uptake rate r_N under all three conditions is approximately $0.05 \text{ g N g}^{-1} \text{ dw d}^{-1}$, which is in good agreement with measurements ranging from $0.05\text{--}0.015 \text{ g N g}^{-1} \text{ dw d}^{-1}$ measured for several green microalgal species by Hein et al.¹⁴ However, it is lower than measured nitrogen uptake rates for the same strain used in this study, namely *D. salina* CCAP 19/18, grown in a low light turbidostat culture ($0.085 \text{ g N g}^{-1} \text{ dw d}^{-1}$) measured by Lamers et al.¹⁵ and $0.08 \text{ g N g}^{-1} \text{ dw d}^{-1}$ from Lomas and Glibert¹⁶ measured for the closely related organism *D. tertiolecta*.

β -Carotene Fraction in the Biomass. The model simulations agree well with the experimental data for the β -carotene fraction ω_{Car} in the cells (Figure 2g–i). The data clearly indicate that light and nutrient stress alone are able to induce the accumulation of the photoprotective pigments. However, when both stress conditions are combined under HL-ND conditions the β -carotene synthesis exceeds the fractions achieved under LL or HL conditions. The highest β -carotene fraction was detected in the HL-ND culture with 8.0% (w/w), followed by the HL culture with 4.3% (w/w) at day 3 and 2.7% (w/w) in the stationary culture under LL conditions. Under HL conditions the initially high β -carotene accumulation induced by the presence of light stress declined as soon as the incident light intensity fell below the fixed light stress of $3000 \mu\text{mol m}^{-2} \text{ s}^{-1} \text{ g}^{-1} \text{ dw L}$ due to the physical limitation of the LED light panel to a maximal light intensity of $3000 \mu\text{mol m}^{-2} \text{ s}^{-1}$. Under LL conditions, the β -carotene synthesis started when a critical nitrogen quota of approximately $0.075 \text{ g N g}^{-1} \text{ dw}$ was reached.

Total Chlorophyll Fraction in the Biomass. The model simulations agree reasonably well with the experimental data for the chlorophyll fraction ω_{Chl} which is crucial for predicting the light attenuation in the reactor (Figure 3a–c). During the initial cultivation period more light energy is supplied per cell than is required for growth, resulting in a considerable decline of the total chlorophyll fraction under all three conditions. The minimal total chlorophyll content in the biomass is almost comparable under three cultivation conditions, namely $0.004 \text{ g Chl g}^{-1} \text{ dw}$.

Identifiability Analysis Using the Profile Likelihood. The results of the identifiability analysis of all model parameters using likelihood profiles are presented in Figure 4. This approach allows distinguishing between structural nonidentifiable, practical nonidentifiable, and identifiable parameters depending on the shape of χ^2_{PL} as discussed in Facht et al.⁸ The lower and upper bounds of the 95% confidence intervals are given in Table 1. All nine model parameters are identifiable indicated by the shape of the χ^2_{PL} curve and the finite size of the derived confidence intervals (Figure 4a–i, black solid line, and Table 1). Besides profile likelihood, likelihood analysis is frequently performed to evaluate the predictive power of a proposed model. In contrast to the profile likelihood, where one parameter Θ_i is varied and all other parameters $\Theta_{j \neq i}$ are reoptimized, for conventional likelihood analysis only the parameter value for Θ_i is changed and the remaining parameters $\Theta_{j \neq i}$ are kept constant. Because of this, the parameter

identifiability analysis using the likelihood function is less strict than the profile likelihood-based identifiability analysis. This is illustrated in Figure 4 (gray dashed lines vs black solid lines). Still, likelihood analysis is useful to identify potentially nonidentifiable parameters.

The likelihood of the nine identifiable model parameters seems to be in an asymptotic setting indicated by the parabolic shape with finite size of the 95% confidence interval (Figure 4a–i). Because of the negligence of the parameter interdependencies, the confidence interval derived for each parameter are significantly smaller for the likelihood-based derivation compared to the profile likelihood. This example illustrates the strength of the identifiability analysis based on profile likelihoods leading to detailed information about parameter interdependencies and reliable confidence intervals. The application of optimal experimental design (OED) approaches could be beneficial in order to determine the measurement signal with the highest information content.^{17,18}

Results for *D. parva*. Biomass Growth under Fluctuating Light and Nutrient Conditions. Similar to the results for *D. salina*, the simulation results for *D. parva* are in good agreement with the experimental data (Figures 5 and 6). The observed growth behavior under HL conditions confirmed the result of the PAM analysis that *D. parva* showed no pronounced photoinhibitory effects (Figure 1b). The highest biomass density on dry weight basis was reached under HL conditions, namely 4.9 g dw L^{-1} . The final biomass densities in the stationary phases of the LL and HL-ND conditions are almost the same, namely 1.5 g dw L^{-1} and 1.4 g dw L^{-1} .

Extracellular Nitrogen Uptake. The dynamics in the extracellular nitrogen uptake agree well with the experimental data (Figure 5). The results indicate that the growth under LL and HL conditions (nutrient repletion) was always limited by the availability of light. The growth under nutrient-depleted conditions (HL-ND) was governed by the extracellular nitrogen density, where a complete depletion occurred 4 days after inoculation. The dynamics of the intracellular nitrogen quota ω_N clearly show that nitrogen uptake is down-regulated in the early exponential and late stationary growth phase under nitrogen repletion (LL and HL conditions), since ω_N is close to the maximal quota $\omega_{N,max}$.

β -Carotene Fraction in the Biomass. The light and nutrient dependency on the β -carotene synthesis works in the same way for *D. parva* as observed for *D. salina* (eq 2). The highest β -carotene fraction was achieved during a combination of light stress and nutrient stress. However, the maximal mass fraction for *D. parva* is 4.9% (w/w) significantly lower compared to that of *D. salina* being 8.0% (w/w).

Total Chlorophyll Fraction in the Biomass. For the total chlorophyll content in the biomass ω_{Chl} , a good agreement between simulation results and experimental data is observed (Figure 6). Exactly as detected for *D. salina*, *D. parva* shows a considerable degradation of chlorophyll in the initial cultivation period. This is caused by an imbalance in photon supply compared to the photons required for growth. The extent of the chlorophyll degradation strongly depends on the average light intensity in the reactor. For cells cultivated under nitrogen repletion (LL and HL conditions), an accumulation of chlorophyll is observed in the exponential phase to increase the light harvesting efficiency under light limiting conditions. This behavior of chlorophyll synthesis under light limiting conditions has only been observed for *D. parva* and not for *D. salina* (see Figure 3). Since growth and chlorophyll synthesis

471 are strongly impaired during nitrogen starvation, the chlorophyll content in the biomass steadily decreases from 42 mg g⁻¹ dw to 5 mg g⁻¹ dw.

474 The optimal parameter estimates and the identifiability of the nine model parameters for *D. parva* are given in Figure 7 and Table 2. Whereas in the *D. salina* growth model all parameters were identifiable, in the *D. parva* growth model one parameter was practically nonidentifiable, namely the light inhibition coefficient for photosynthetic growth $K_{i,E}$. Since *D. parva* showed no pronounced photoinhibitory effects it is biologically plausible that the corresponding parameter $K_{i,E}$ is not identifiable indicated by the flat profile likelihood in direction of the upper confidence bound. The halfsaturation coefficient for nitrogen uptake $K_{S,N}$ was fixed to a value of 0.155 g m⁻³, which was recalculated from experimental data for *Dunaliella tertiolecta* from Lomas and Glibert¹⁶ and has already been used for the growth model published by Fachet et al.⁸ The confidence intervals calculated for the parameters $K_{S,N}$ and $K_{i,E}$ are higher for *D. parva* compared to *D. salina*. This illustrates the strength of the profile likelihood analysis in comparison to the frequently used likelihood analysis in which one parameter is varied and the remaining parameters are kept constant. Large confidence intervals in the profile likelihood result from structural dependencies between different model parameters. For this reason, the profile likelihood derived confidence intervals are much more informative with regard to (i) identifiability, (ii) detection of (nonlinear) parameter interdependencies, and (iii) consistency in confidence interval estimates compared to likelihood derived (individual) confidence intervals. This also holds for classical Hessian-based estimates of confidence intervals, which only yield consistent approximations for identifiable parameters.

503 In agreement with the PAM measurements (Figures 1a,b) for which *D. parva* showed a significantly higher ETR compared to *D. salina*, the better photosynthetic growth potential is also reflected by the parameter estimates for the maximal growth rate (μ_{max}) and the half saturation coefficient for photosynthetic growth ($K_{S,E}$) as shown in Table 2. The optimal parameter estimates obtained for *D. parva* are notably higher compared to *D. salina*, namely 6.980 to 1.708 d⁻¹ for μ_{max} and 0.396 to 0.033 mol photons m g⁻¹ dw d⁻¹ for $K_{S,E}$. Because of the small cell weight of *D. parva* (Table S1), the higher photosynthetic growth potential does not translate into a more productive cultivation process since the biomass productivity of *D. parva* is considerably lower compared to that of *D. salina* (see Table SS). However, the strain selection of *D. parva* for an outdoor cultivation process might be beneficial because of its absence of photoinhibition under high irradiance.

519 In this work, the β -carotene content under HL-ND conditions for *D. salina* was 80 mg g⁻¹ dw, 63% higher than the 49 mg g⁻¹ dw measured for *D. parva*. Therefore, also the parameter for the light stress-induced β -carotene synthesis rate $r_{car,E}$ is 2-fold higher for *D. salina* (0.032 g Car g⁻¹ dw d⁻¹ to 0.016 g Car g⁻¹ dw d⁻¹ for *D. parva*). However, the critical light intensity for β -carotene synthesis ($E_{car,crit}$) is almost comparable (70 mol photons g⁻¹ dw d⁻¹ for *D. parva* and 78 mol photons g⁻¹ dw d⁻¹ for *D. salina*).

528 CONCLUSIONS

529 In this work, a systematic approach for analysis of interspecies variability with the case study of β -carotene production in the *Dunaliella* species *D. salina* and *D. parva* in a photobioreactor setup was presented. The results of the experimental

comparison indicated significant variability between *D. salina* and *D. parva* in terms of morphological differences, the biomass, and β -carotene productivity as well as differences in photoacclimation and photoinhibition. The experimental data was used to formulate a dynamic-kinetic model. The ordinary differential equation system accounted for biomass growth, nutrient uptake, and pigment fraction in the biomass and was able to describe the both species in a good manner. The proposed model structure was a trade-off between the justification of the experimentally observed behavior under various abiotic stress conditions with a minimal number of parameters. In agreement with the experimental results, the optimal parameter values estimated for *D. salina* and *D. parva* showed significant interspecies deviations. The profile likelihood analysis demonstrated that the majority of the model parameters were structurally and practically identifiable giving evidence of its predictivity. The ongoing methodological advances in the experimental as well as the computational area will further broaden our knowledge on the metabolic adaptation of microalgae and lead to a progressive improvement of mathematical models. Thereby, the engineering of industrially valuable strains is accelerated and provides the basis for effective bioprocess design with photosynthetic microorganisms.

557 ASSOCIATED CONTENT

558 Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.iecr.7b01423.

561 Overview of experimental conditions; biotechnological performance of *D. salina* and *D. parva*; model equations; overview of parameters from dynamic-kinetic model (PDF)

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570 Notes

The authors declare no competing financial interest.

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