



# Early snowmelt significantly enhances boreal springtime carbon uptake

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**We determine the annual timing of spring recovery from spaceborne microwave radiometer observations across northern hemisphere boreal evergreen forests for 1979–2014. We find a trend of advanced spring recovery of carbon uptake for this period, with a total average shift of 8.1 d (2.3 d/decade). We use this trend to estimate the corresponding changes in gross primary production (GPP) by applying in situ carbon flux observations. Micrometeorological CO<sub>2</sub> measurements at four sites in northern Europe and North America indicate that such an advance in spring recovery would have increased the January–June GPP sum by 29 g·C·m<sup>-2</sup> [8.4 g·C·m<sup>-2</sup> (3.7%)/decade]. We find this sensitivity of the measured springtime GPP to the spring recovery to be in accordance with the corresponding sensitivity derived from simulations with a land ecosystem model coupled to a global circulation model. The model-predicted increase in springtime cumulative GPP was 0.035 Pg/decade [15.5 g·C·m<sup>-2</sup> (6.8%)/decade] for Eurasian forests and 0.017 Pg/decade for forests in North America [9.8 g·C·m<sup>-2</sup> (4.4%)/decade]. This change in the springtime sum of GPP related to the timing of spring snowmelt is quantified here for boreal evergreen forests.**

carbon uptake | earth observation | snowmelt

High-latitude warming and an associated reduction in spring snow cover are expected to have complex impacts on regional climate patterns and ecological responses (1, 2). The timing of spring recovery of photosynthesis in boreal evergreen forest following snowmelt is one of the major factors affecting the carbon balance across high latitudes (3–5). The integrated effect of anthropogenic impacts on climate causes the total radiative forcing to be positive, which increases the heat balance of the atmosphere. The largest individual cause of warming is the anthropogenic increase in CO<sub>2</sub> concentration (6), which is controlled by the response of the global carbon cycle to anthropogenic CO<sub>2</sub> emissions. Recent studies have documented, with very high confidence, that the world's forests constitute an important carbon sink (4, 6, 7). The annual total boreal forest net carbon sink is estimated to be 0.5 ± 0.1 Pg·C·y<sup>-1</sup> for the 2000–2007 period (4). Based on coupled carbon cycle–climate modeling, this sink is estimated to be increasing by 0.014 Pg·C·y<sup>-1</sup> for boreal North America including all land areas and by 0.018 Pg·C·y<sup>-1</sup> for boreal Asia, respectively (7). However, considerable uncertainty remains regarding the magnitude of this terrestrial sink, particularly how it changes with time due to external climate drivers including the timing of spring snowmelt. It is vital for future climate scenarios to reduce the forest sink uncertainty and obtain information on the spatiotemporal variability and trends. High-latitude warming over the land surface is associated with observed reductions in spring snow extent through earlier snowmelt (2, 8–10). Advanced snowmelt across the boreal zone has a potentially major impact on the carbon balance (11–14). Here, we combine spatially continuous

time series of satellite-derived snowmelt data (clearance from the landscape, that is, the time when fractional snow cover reaches zero) with point-wise carbon flux observations to address this open question. This is performed by quantifying the relationship between the observed declines in spring snow cover extent (due to earlier snowmelt) and the carbon balance in springtime.

## Results

We define the dynamics of boreal forest carbon uptake by using the change of snow clearance day (SCD) as a proxy indicator for changes in evergreen boreal forest spring recovery (SR) of photosynthesis, defined here as the timing in spring when the ecosystem CO<sub>2</sub> uptake exceeds 15% of its summertime maximum. Timing of SR is a major factor influencing the springtime carbon balance of high-latitude boreal forests (15–17). The advance of SR is associated with an increase in the spring carbon sink that can be quantified in terms of net ecosystem production (NEP) or gross primary production (GPP). CO<sub>2</sub> flux-derived NEP and GPP time series obtained for a Canadian Jack Pine forest demonstrate the connection between the advance of SR and the increase in carbon uptake (Fig. 1). Note that NEP is equivalent to GPP minus ecosystem respiration. We develop a unique approach for monitoring SR over the Earth's boreal forest zone by exploiting recent Earth Observation (EO) time series. We compare hemispheric long-term

## Significance

**We quantified a 36-y trend of advanced spring recovery of carbon uptake across the northern hemisphere boreal evergreen forest zone. From this trend, we estimated the corresponding change in global gross primary production (GPP) and further quantified the magnitude and spatiotemporal variability of spring GPP, that is, the cross-photosynthetic carbon uptake by forest. Our main findings are the following: (i) We developed a proxy indicator for spring recovery from in situ flux data on CO<sub>2</sub> exchange and recent satellite snowmelt products and (ii) we established a relation between spring recovery and carbon uptake to assess changes in springtime carbon exchange showing a major advance in the CO<sub>2</sub> sink.**

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**Table 1. The extent of the evergreen boreal forest used in the spring recovery (SR) analysis, the change of SR based on trend lines estimated by linear regression ( $\pm$  intervals based on 95% confidence bounds from Eq. 1),  $P$  values of trend lines, and the corresponding decadal change of carbon sink (GPP)**

Region	Forested area, $10^6 \text{ km}^2$	$\Delta\text{SR}$ , change of spring recovery,* d/decade	$P$ value for SR trend line	$\Delta\text{GPP}_{\text{spring}}$ , increase of springtime GPP <sup>†</sup>	
				Pg C/decade	$\text{g}\cdot\text{C}\cdot\text{m}^{-2}/\text{decade}$
Northern hemisphere	3.95	$-2.28 \pm 0.46$ ( $-1.99 \pm 0.46$ )	$1.0\cdot 10^{-5}$	$0.052^{\dagger}$ (5.8%)	$13.1^{\dagger}$
Eurasia	2.26	$-3.04 \pm 0.61$ ( $-2.40 \pm 0.55$ )	$1.4\cdot 10^{-6}$	$0.033 \pm 0.004^{\ddagger}$	$8.4 \pm 1.7^{\ddagger}$
North America	1.69	$-1.27 \pm 0.25$ ( $-1.44 \pm 0.33$ )	0.05	$0.022 \pm 0.005^{\ddagger}$	$9.9 \pm 2.0^{\ddagger}$
				$0.017^{\dagger}$ (4.4%)	$9.8^{\dagger}$
				$0.009 \pm 0.002^{\ddagger}$	$5.2 \pm 1.0^{\ddagger}$

In parentheses is the change of SR estimated from the FT-ESDR dataset, using the same regression method.

\* $\Delta\text{SR}$  estimated from the satellite observations.

<sup>†</sup>Global model-simulated  $\Delta\text{GPP}_{\text{spring}}$  (6-mo sum of daily GPP).

<sup>‡</sup>Increase of GPP estimated using  $\Delta\text{SR}$  values of the three regions and average  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$  values determined for (i) two flux stations in Eurasia, (ii) two stations in North America, and (iii) all four stations in the hemispheric case:  $\Delta\text{GPP}_{\text{spring}} = \Delta\text{SR} \times \delta\text{GPP}_{\text{spring}}/\delta\text{SR}$ .

assumption that the SCD derived from microwave data may be used as a proxy for boreal forest SR has a strong biophysical justification.

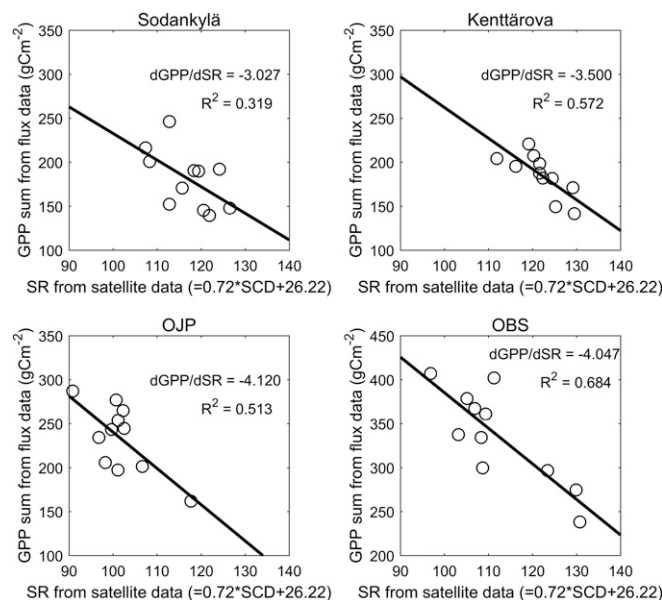
Our results indicate a trend toward earlier snow clearance from the landscape, with a related shift to earlier SR (Fig. 4 and Table 1). This hemispheric trend is driven almost entirely by Eurasia, where the start of the growing season is  $\sim 11$  d earlier by the end of the time series (1979–2014). For North America the change is smaller and the interannual variability is larger. The influence of advanced SR on springtime carbon uptake was quantified by analyzing the flux measurement-based 6-mo GPP sum starting from the beginning of the year ( $\text{GPP}_{\text{spring}}$ ) at four sites having a sufficient number of observation years; two forests in northern Finland [Kenttäröva (N67.98, E24.25) and Sodankylä (N67.37, E26.63) sites] and two forests in Canada [old Jack Pine (OJP) (N53.92, W104.69) and old Black Spruce (OBS) (N53.99, W105.12) sites]. When combined with the hemispheric advance of SR, the average observed sensitivity of  $\text{GPP}_{\text{spring}}$  to satellite-derived SR ( $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$ ) suggests an increase of  $8.4 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}$  (3.7%/decade) in  $\text{GPP}_{\text{spring}}$  for such boreal forests (Table 1).

Additionally, global climate model simulations provided the change of  $\text{GPP}_{\text{spring}}$  for all of the model grid cells covered by boreal forest. These estimates confirm that the earlier SR affects the decadal carbon sink (uptake) during spring within the whole region (Table 1). It is well known that the representation of vegetation phenology could be improved in many ecosystem models (24). However, testing a different temperature response for GPP in our model retained the sensitivity of GPP to SR, confirming that the result is robust. The correlation between early onset of SR and the level of midsummer GPP was also investigated by comparing satellite data retrievals of SR with the model-predicted July–August GPP sum. The analysis was carried out for all pixels representing evergreen boreal forests (over 11,000 pixels with a size of  $625 \text{ km}^2$ ). The results show a weak positive correlation between the early onset of uptake and the higher level of GPP during the midsummer, even though a small negative correlation was found for some regions (Fig. S1).

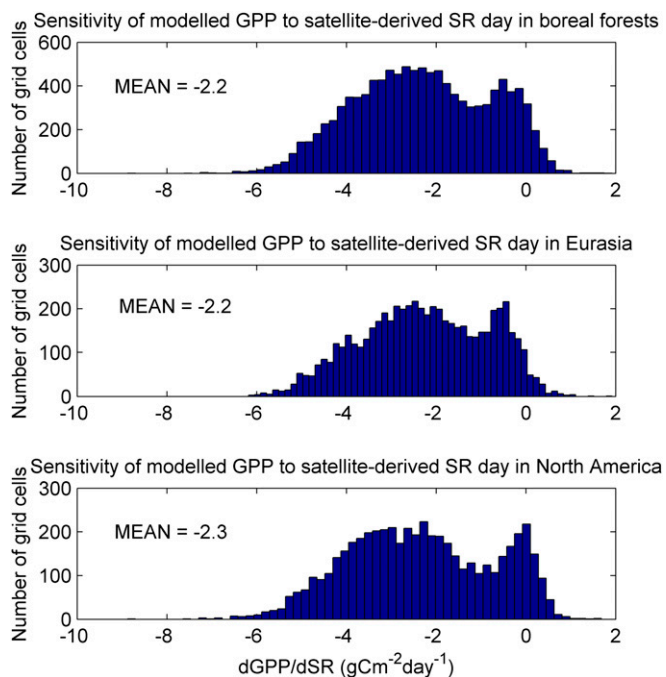
We investigated the validity of the modeling approach by comparing the modeled springtime GPP sums with satellite-derived SR (sensitivity  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$ ) through Eurasia and North America and by comparing  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$  values with those observed for Canadian and Finnish flux sites (Fig. 5). Flux data analysis provided  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$  values ranging from  $-3.0$  to  $-4.1 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}\cdot\text{d}^{-1}$ . The sensitivities obtained from the model predictions showed a mean value of  $-2.2 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}\cdot\text{d}^{-1}$  with a SD of  $1.4 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}\cdot\text{d}^{-1}$  for Eurasian forests and mean of  $-2.3 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}\cdot\text{d}^{-1}$

with a SD of  $1.6 \text{ g}\cdot\text{C}\cdot\text{m}^{-2}\cdot\text{d}^{-1}$  for North American forests, respectively (Fig. 6). This indicates that the applied flux stations represent typical boreal forest in terms of  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$ .

Earlier work applying satellite data for boreal forests has primarily used channel fraction indexes such as normalized difference water index (NDWI) and normalized difference vegetation index (NDVI), determined from optical satellite data (25, 26) to investigate the start of the growing season in relation to phenological observations (e.g., timing of bud burst) or modeled phenological indexes (26, 27). Especially in the case of evergreen coniferous forests, only a small number of investigations have directly used  $\text{CO}_2$  flux measurements as reference (28, 29). A previous case study on conifer-dominated boreal forests in Finland showed that snowmelt information derived from optical satellite data provides better estimates of SR than the normalized difference snow index (NDSI) or NDWI (29).



**Fig. 5. Relation between satellite data (microwave radiometry)-derived SR date and carbon uptake (6-mo GPP sum, January–June) determined from  $\text{CO}_2$  observations at flux towers. Four sites in Canada and Finland provided 11 annual observations of  $\text{GPP}_{\text{spring}}$ , enabling the estimation of sensitivity  $\delta\text{GPP}_{\text{spring}}/\delta\text{SR}$  through linear regression.**



**Fig. 6.** Sensitivity of modeled  $GPP_{spring}$  to satellite data-derived SR for different regions. The sensitivity values are obtained by linear least-squares fitting of SR data to modeled annual springtime GPP sums (January–June) for each grid cell for 1979–2014. The slope of the regression line provides the sensitivity  $\delta GPP_{spring}/\delta SR$ .

Although our regression results indicate some systematic differences between the flux stations, the overall relationship between SR and SCD is strong (Fig. 3). There is no indication that the differences among stations arise from differences in tree species or region, that is, southern, central, or northern boreal forest. The SR of photosynthesis has also been found to follow environmental drivers according to a general pattern across different types of boreal coniferous forest (30). An obvious constraint of the methodology is the requirement of the presence of persistent seasonal snow cover, as demonstrated by the results for Norunda, Sweden. The Norunda data show more scatter (Fig. 3) because of its ephemeral (transitory) snow conditions. Fortunately, the proportion of boreal forest with ephemeral snow is very small. Another factor that weakens the correlation between SR and SCD is a large difference in the size of their respective footprints:  $\sim 25$  km spatial resolution for SCD from space-borne microwave radiometer data, compared with a few hundred hectares for eddy-covariance measurements above forests.

## Discussion

Our results show that passive microwave satellite-derived estimates of SCD can be combined with continuous  $CO_2$  flux measurements to retrieve the trends of boreal forest SR (Fig. 3). The trend over 36 y is statistically significant for both Eurasia and North America and particularly strong for Eurasia (Table 1). This trend results in a significant increase in the springtime carbon uptake for Eurasia over the investigated period (Table 1). Here we affirm the important role of EO in producing spatial and temporal information on variability in the carbon cycle not available from flux-tower measurements alone. Thus, combining EO and in situ flux data is a powerful tool to move from direct geophysical retrievals (snow clearance) to high-order parameters (SR and carbon uptake). The numbers obtained here for the advancement of SR (0.23 d/y for the whole region) are consistent with the observed longer-term advance of the seasonal cycle of atmospheric  $CO_2$  in

high latitudes, such as 0.17 d/y measured at Barrow, AK (31). An increase in the equivalent photosynthetic active period of 0.48 d/y has been estimated for the boreal zone (figure 3d in ref. 32). This estimate is for the entire year, but it is consistent with our estimates, which are for springtime (6 mo) only. Our estimates of increasing GPP in spring for the boreal forest are comparable to model predictions of annual net primary production reported elsewhere (7). This is apparent since our results suggest that there is typically a slight positive correlation between the early onset of carbon uptake and the level of July–August GPP.

The recent boreal warming trend causes earlier SR, which increases the carbon uptake during spring. This negative feedback loop reduces radiative forcing, in part counteracting the positive feedback of the earlier snowmelt (shown here) that reduces the albedo. Concerning the annual carbon cycle, earlier studies suggest that the increased soil respiration due to autumn warming may offset 90% of the increased  $CO_2$  uptake during spring (33). The results obtained here on springtime uptake may be used to revise the trends in annual carbon balance of boreal forests.

## Materials and Methods

Evergreen boreal forest SR dates from in situ  $CO_2$  flux measurements are compared with microwave satellite retrievals of the SCD across the northern hemisphere boreal forest. The analysis focuses on 10 eddy-covariance flux sites in Eurasia and North America for 1996–2014. SR was determined from flux data based on the night-day difference in NEE. (Note that  $NEE = -NEP$ , where NEE is typically used for instantaneous exchange while NEP is used for longer-term balances.) Daily  $\delta NEE$  ( $= NEE_{night} - NEE_{day}$ ) was obtained as a difference of 7-d running mean of nighttime [photosynthetic photon flux density (PPFD)  $< 20 \mu mol \cdot m^{-2} \cdot s^{-1}$ ] NEE and 3-d running mean of daytime [photosynthetic photon flux density (PPFD)  $> 600 \mu mol \cdot m^{-2} \cdot s^{-1}$ ] NEE. The summer maximum daily  $\delta NEE$  ( $\delta NEE_{max}$ ) across all measurement years at each site (e.g., 2001–2010 in Sodankylä) was estimated as the 90th percentile of the daily  $\delta NEE$  from the 30-d period with the highest uptake on average. The SR for different years was then defined as the date when daily  $\delta NEE$  first exceeded 15% of site specific  $\delta NEE_{max}$ . The data from all stations were analyzed in the same manner, providing an unbiased dataset.

The obtained linear least-squares model between SR and SCD (Fig. 3) is

$$SR_{reg} = \hat{\beta}_1 SCD + \hat{\beta}_0, \quad [1]$$

where  $\hat{\beta}_1 = 0.72 \pm 0.15$  d and  $\hat{\beta}_0 = 26.2 \pm 17.4$  d (with 95% CIs). The coefficient of determination for Eq. 1 is 0.57. This equation holds for evergreen conifers in regions of seasonal snow cover. Of the 10 flux-tower sites, only Norunda (Sweden), at the southern border of the boreal forest zone, has ephemeral snow conditions and is thus excluded from the determination of Eq. 1. Nevertheless, the overall behavior of the Norunda data agrees reasonably well with Eq. 1, and the regression including Norunda data points does not differ statistically significantly from Eq. 1 and Fig. 3. The method according to Eq. 1 was also applied to FT-ESDR data by replacing SCD with the corresponding FT-ESDR landscape freeze-to-thaw transition date.

Based on long-term hemispheric satellite observations of snow cover, derived from daily passive microwave radiometer observations, we derive spatial maps of SCD for each year (Fig. 2, Top). The time series analysis algorithm indicates the timing of snow clearance for all hemispheric grid cells with seasonal snow cover (10). This snowmelt dataset has been also applied to construct the European Space Agency (ESA) GlobSnow daily snow water equivalent (SWE) and SCD climate data record (CDR) extending from 1979 to the present (34, 35). The spatial information on SR is generated by applying Eq. 1 to the SCD retrievals (Fig. 2, Middle). The boreal forest extent is extracted using a criterion that each grid cell of size  $625 \text{ km}^2$  includes conifer evergreen forests for more than 30% of the total area. The forest mask is determined according to ESA GlobCover and ESA Climate Change Initiative (CCI) land cover information (36), with the latter used only to filter out larch-dominated regions of Siberian forests.

The reliability of trends in Fig. 4 was analyzed by adding a random noise (SD 9.42 d from Fig. 3) to every data-point time series of Fig. 4 (a Monte Carlo simulation). This resulted in a worst-case scenario assuming that the confidence of the regression algorithm of Fig. 3 is limited by the interannual variability (i.e., fluctuations arise from the year-to-year variability in the relation between the SR and SCD). This worst-case scenario suggests that there is a likelihood  $P > 0.93$  that the trend is negative for the boreal forests of the northern hemisphere,  $P > 0.97$  for Eurasian forests and  $P > 0.79$  for North American forests, respectively (Figs. S2 and S3).

Carbon cycle–climate model simulations were carried out using the JSBACH ecosystem model (37, 38) coupled with the ECHAM6 global circulation model (39). The simulations for past decades (1957–2014) were performed with the coupled ECHAM/JSBACH model nudged toward observed climate [ERA40 and ERA Interim data (40, 41)], sea surface temperature, and atmospheric CO<sub>2</sub> concentration data. Springtime GPP was estimated for boreal coniferous evergreen forest in all model grid cells with significant coverage of that plant functional type, using the first 180 d of each year over the period of 1979–2014. The change of GPP for the simulation period was estimated in each grid cell by linear regression.

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# Supporting Information

Pulliainen et al. 10.1073/pnas.1707889114

We compared the yearly onset of uptake (SR estimated from satellite data) with the modeled midsummer (July–August) GPP (Fig. S1). This was done for all satellite pixels representing boreal forests. Similarly, the midsummer GPP was compared with the 6-mo sum of spring GPP. The obtained histograms of correlation coefficient are shown in Fig. S1. The results indicate that (i) typically the earlier onset of uptake coincides with the higher level of midsummer GPP than vice versa (even though the correlations are low) and (ii) typically a higher level of GPP during spring coincides with a higher level of midsummer GPP [even though for sum pixels (small) negative correlation coefficients can be observed].

We performed an analysis where random noise was added to SR day estimates shown in Fig. 4 of the main text (Fig. S2). The level of random noise is considered as a worst-case scenario based on Fig. 3. That is, in the worst case the observed rms variability of 9.42 d

in Fig. 3 is assumed to result from the interannual variability in the relationship between SCD and SR. Note that the spatial variability that evidently causes part of the spread in Fig. 3 does not affect the trend in Fig. 4 (it affects only the overall level of data points, i.e., bias). Thus, adding a random noise with a SD of 9.42 d yields a pessimistic (worst-case) estimate to the variability of each year's data points in Fig. 4. The results are shown in Fig. S3, also indicating the probability of whether the trend is below zero (earlier SR) for the whole hemispheric forest belt, for Eurasian evergreen boreal forests, and for those of North America. According to simulations we can state that the worst-case scenario suggests that there is a likelihood  $P > 0.93$  that the trend is negative for the boreal forests of the northern hemisphere,  $P > 0.97$  for Eurasian forests, and  $P > 0.79$  for North American forests, respectively.

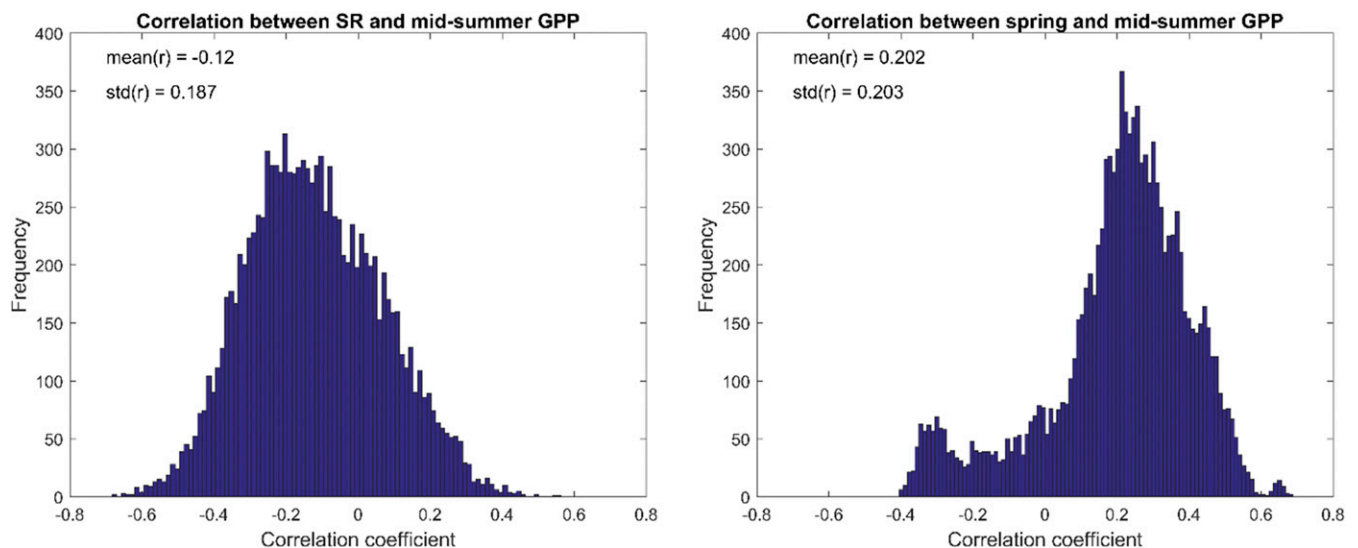


Fig. S1. (Left) Correlation between the onset of uptake (SR from satellite data) and July–August GPP. (Right) Correlation between January–June GPP and July–August GPP. The histograms are determined for pixel-wise (over 11,000) time series of annual observations and model predictions.

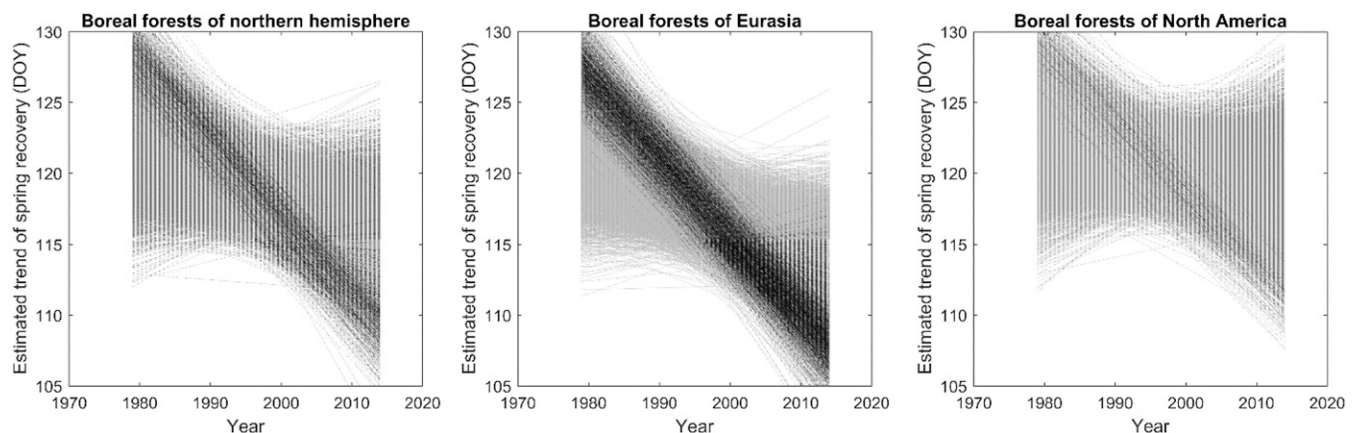


Fig. S2. Trends of spring recovery obtained by a Monte Carlo simulation. Random noise (SD = 9.42 d) is added to time series of Fig. 4: a worst-case scenario assuming that the confidence of the regression algorithm of Fig. 3 is limited by the interannual variability (i.e., fluctuations arise from the year-to-year variability in the relation between the SR and SCD).

