

# Spin fluctuations in the 0.7-anomaly in quantum point contacts

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It has been argued that the 0.7 anomaly in quantum point contacts (QPCs) is due to an enhanced density of states at the top of the QPC-barrier (van Hove ridge), which strongly enhances the effects of interactions. Here, we analyze their effect on dynamical quantities. We find that they pin the van Hove ridge to the chemical potential when the QPC is subopen; cause a temperature dependence for the linear conductance that qualitatively agrees with experiment; strongly enhance the magnitude of the dynamical spin susceptibility; and significantly lengthen the QPC traversal time. We conclude that electrons traverse the QPC via a slowly fluctuating spin structure of finite spatial extent.

Quantum point contacts are narrow, one-dimensional (1D) constrictions usually patterned in a two-dimensional electron system (2DES) by applying voltages to local gates. As QPCs are the ultimate building blocks for controlling nanoscale electron transport, much effort has been devoted to understand their behavior at a fundamental level. Nevertheless, in spite of a quarter of a century of intensive research into the subject, some aspects of their behavior still remain puzzling.

When a QPC is opened up by sweeping the gate voltage,  $V_g$ , that controls its width, its linear conductance famously rises in integer steps of the conductance quantum,  $G_Q = 2e^2/h$  [1, 2]. This conductance quantization is well understood [3] and constitutes one of the foundations of mesoscopic physics. However, during the first conductance step, where the dimensionless conductance  $g = G/G_Q$  changes from 0 to 1 (“closed” to “open” QPC), an unexpected shoulder is generically observed near  $g \simeq 0.7$ . More generally, the conductance shows anomalous behavior as function of temperature ( $T$ ), magnetic field ( $B$ ) and source-drain voltage ( $V_{sd}$ ) throughout the regime  $0.5 \lesssim g \lesssim 0.9$ , where the QPC is “subopen”. The source of this behavior, collectively known as the “0.7-anomaly”, has been controversially discussed [4–22] ever since it was first systematically described in 1996 [4]. Though no consensus has yet been reached regarding its detailed microscopic origin [10, 22], general agreement exists that it involves electron spin dynamics and geometrically-enhanced interaction effects.

In this paper we further explore the van Hove ridge scenario, proposed in [22]. It asserts that the 0.7 anomaly is a direct consequence of a “van Hove ridge”, i.e. a smeared van Hove peak in the energy-resolved local density of states (LDOS)  $\mathcal{A}_i(\omega)$  at the bottom of the lowest 1D subband of the QPC. Its shape follows that of the QPC barrier [22, 23] and in the subopen regime, where the barrier top lies just below the chemical potential  $\mu$ , it causes the LDOS at  $\mu$  to be strongly enhanced. This reflects the fact that electrons slow down while crossing the QPC barrier (since the semiclassical velocity of an electron with energy  $\omega$  at position  $i$  is inversely propor-

tional to the LDOS,  $\mathcal{A}_i(\omega) \sim v^{-1}$ ). The slow electrons experience strongly enhanced mutual interactions, with striking consequences for various physical properties.

In this paper, we elucidate their effect on various *dynamical* quantities, which we extract from real-frequency correlation functions computed using the functional Renormalization Group (fRG) on the Keldysh contour [24–27]. We compute (i) the frequency dependence of the LDOS, finding that its maximum is pinned to  $\mu$  in the subopen regime, indicative of a Coulomb-blockade type behaviour; (ii) the temperature dependence of the linear conductance, finding qualitative agreement with experiment; (iii) the dynamical spin susceptibility  $\chi(\omega)$ , from which we extract a characteristic time scale  $t_{\text{spin}}$  for spin fluctuations, and (iv) the time  $t_{\text{trav}}$  for a quasiparticle to traverse the QPC, which we extract from the single-particle scattering matrix  $S(\omega)$ . Intermediate interaction strengths suffice to obtain the characteristic 0.7 shoulder at finite temperatures. We find strong links between the  $\omega$ -dependence of the spin susceptibility, the one-particle S-matrix, and the form of the LDOS. As long as the van Hove ridge is pinned to  $\mu$ , interactions cause relevant degrees of freedom to slow down, inducing significant increases in both  $t_{\text{trav}}$  and  $t_{\text{spin}}$ . Moreover, these two times are comparable in magnitude, implying that a quasiparticle traversing the QPC encounters a quasi-static spin background. This provides a link to other proposed explanations of the 0.7 anomaly [4–18].

*Model.*—We model the QPC by a smooth potential barrier describing the effective 1D-potential along the transport direction. Information about the channel’s transverse structure is incorporated into space-dependent model parameters. After discretizing the longitudinal position coordinate as  $x = ai$ , with site index  $i$  and lattice spacing  $a$ , the model Hamiltonian has the form [22]

$$\mathcal{H} = - \sum_{\sigma,i} \tau_i \left( c_{i+1,\sigma}^\dagger c_{i,\sigma} + \text{h.c.} \right) + \sum_i U_i c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow}. \quad (1)$$

It describes an infinite tight-binding chain with nearest-neighbor hopping  $\tau_i$  of quasiparticles with spin  $\sigma = \uparrow, \downarrow$  and short-range interactions  $U_i$ . The hopping amplitude

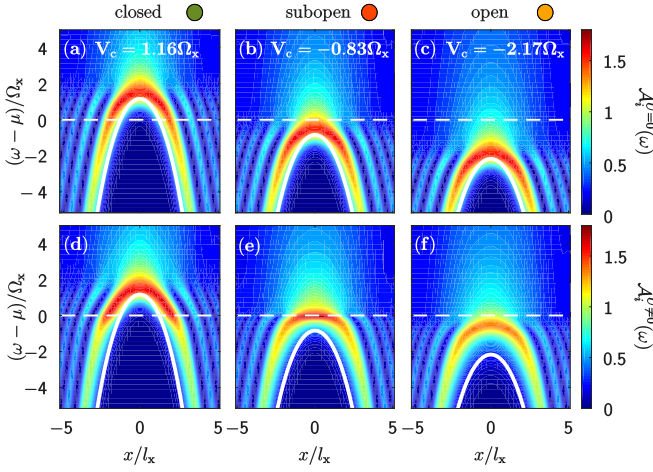


Figure 1. van Hove ridge in the LDOS  $\mathcal{A}_i(\omega)$  (color scale) of a non-interacting (upper row) and interacting (lower row) QPC, plotted as function of energy  $\omega - \mu$  and position  $x = ai$ . The thick solid white line depicts the effective bare potential barrier  $V_i$ , the thin dashed white line the chemical potential  $\mu$ . From left to right: closed, subopen and open regimes. With interactions, the Hove ridge is shifted upward and flattened in the (sub-)open regime [compare (b) and (e), (c) and (f)].

$\tau_i$  varies smoothly with  $i$ , thus creating an effective potential barrier  $V_i = -(\tau_i + \tau_{i+1}) + 2\tau$  measured w.r.t. the leads' band bottom  $-2\tau$ . We choose  $U_i \neq 0$  and  $\tau_i \neq \tau$  only for  $N = 2N' + 1$  sites, symmetric around  $i = 0$ , that define the extent of the QPC (central region).  $U_i$  is constant in the center of the QPC with  $U_0 = U$  and drops smoothly to zero as  $i$  approaches the edges of the central region at sites  $\pm N'$ . We tune the hopping such that the effective barrier is symmetric and parabolic near the top,  $V_i = \tilde{V}_c - i^2\Omega_x^2/(4\tau)$ , where the barrier height  $\tilde{V}_c$  mimics the role of gate voltage from experiment, and the curvature  $\Omega_x$  sets the characteristic length scale  $l_x = a\sqrt{\tau/\Omega_x}$  of the QPC. We vary  $\tilde{V}_c$  such that the barrier crosses the chemical potential  $\mu$ . The precise form of  $U_i$  and  $\tau_i$  is given in [28]. The model is solved with the perturbatively-truncated Keldysh-fRG in equilibrium [28]. The plots shown are computed for  $\tau = 1$ ,  $U = 0.7\tau$ ,  $\mu = -1.475\tau$ ,  $V_c = \tilde{V}_c - \mu - 2\tau \in [-2.83, 1.83]\Omega_x$ , and  $\Omega_x \approx 0.03\tau$  [with  $\hbar = 1$ ].

*Local density of states.*— It has been argued in Ref. [22] that the physics of the QPC is governed by the LDOS,  $\mathcal{A}_i(\omega) = -\frac{1}{\pi}\text{Im}G_{ii}^R(\omega)$ , where  $G_{ij}^R$  is the retarded single-particle Green's function between site  $i$  and  $j$ . Fig. 1(a-c) shows the bare LDOS  $\mathcal{A}_i^{U=0}(\omega)$  of the QPC as a function of site  $i$  and frequency  $\omega$  at three values of the barrier height  $V_c$ . The bare LDOS has a maximum just above the band bottom, visible as a red structure, that follows the shape of the effective potential (thick white line). This structure is the bare van Hove ridge discussed in [22], the apex of which has a maximum value  $\sim (\Omega_x\tau)^{-1/2}$ , and occurs at an energy  $\omega_{\max}(V_c)$  that lies slightly higher than the bare potential maximum  $V_0$ , by an amount  $\sim \Omega_x$ .

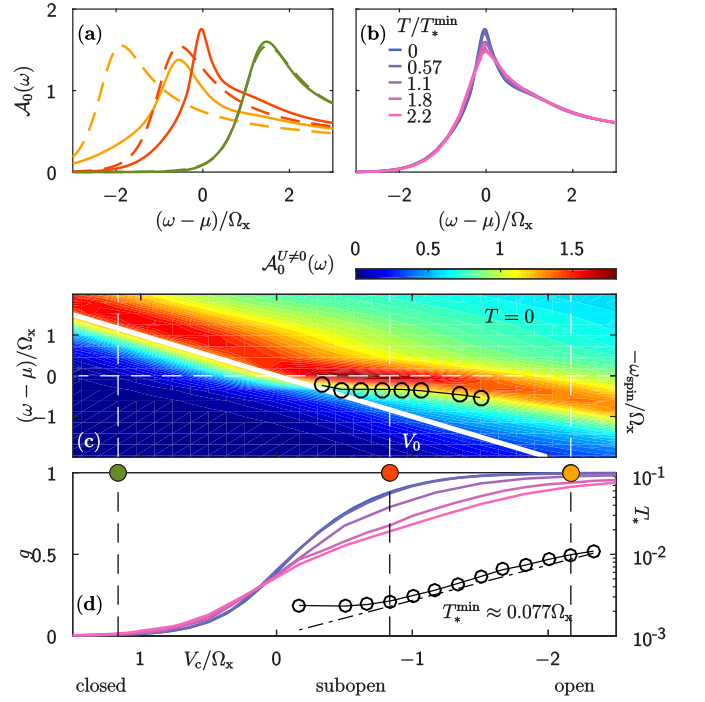


Figure 2. (a) The interacting LDOS (solid lines) and bare LDOS (dashed lines), plotted as function of energy  $\omega$  for three values of  $V_c$ , indicated by dots of corresponding color in (c,d). In the subopen (red) and open (orange) regimes, interactions shift the van Hove peak to larger frequencies, as the barrier height is renormalized. Moreover, in the subopen regime, flattening of the van Hove ridge causes the peak to become sharper and higher. (b)  $\mathcal{A}_0(\omega)$  in the subopen regime, for three different temperatures. At larger temperatures, the maximum is lower as weight is shifted into the flanks of the van Hove ridge and redistributed in the band. (c)  $\mathcal{A}_0(\omega)$ , the interacting LDOS (color scale) at the central site, as function of  $\omega$  and  $V_c$ . The solid white line shows the bare barrier height,  $V_0$ . In the subopen regime the energy of the van Hove ridge maximum,  $\omega_{\max}$ , is pinned to the chemical potential. The black circles show the characteristic frequency  $\omega_{\text{spin}}$  of the spin susceptibility  $\chi$ . They clearly follow the LDOS maximum. (d) Conductance  $g$  (left axis) for different temperatures, and  $T_*$  (circles), as defined in Eq. (2), on a logarithmic scale (right axis). Temperature is measured in units of  $T_*^{\min} = \min T_*(V_c)$ . As guide to the eye:  $0.001 \cdot \exp(-V_c/\Omega_x)$  (dashed-dotted line).

Upon adding interactions, we obtain Fig. 1(d-f), which shows two striking differences to the non-interacting case: In the (sub-)open regime the renormalized van Hove ridge is shifted upwards in energy ( $\omega_{\max}$  is larger) and becomes flatter spatially. Both of these effects may *qualitatively* be understood by a mean field argument [29, 30]: The slope of the van Hove ridge may be interpreted as reflecting the shape of an effective, renormalized potential barrier, which is shifted upwards relative to the bare barrier by a Hartree-shift proportional to the local electron density. Away from the center, the density is higher, such that the shift is larger, causing the van Hove ridge to become flatter as function of  $x$  near its apex, while

becoming narrower and higher as function of  $\omega$ . This is also seen clearly in Fig. 2(a), which shows the interacting (solid lines) and bare (dashed lines) LDOS  $\mathcal{A}_0(\omega)$ . The  $x$ -flattening and  $\omega$ -sharpening is most striking in the subopen regime, where the van Hove ridge apex intersects the chemical potential [Fig. 1(e)], because there the interaction-induced effects are largest. We have checked our Keldysh-fRG results against DMRG computations of the system with somewhat different parameters [28], finding good qualitative agreement and, in particular, the same values for  $\omega_{\max}$ .

The evolution of  $\mathcal{A}_0(\omega)$  as  $V_c$  is varied is shown in Fig. 2(c). As  $V_c$  is lowered, the energy  $\omega_{\max}$  of the Hove ridge maximum follows the bare barrier top (solid white line) as long as the QPC is closed, then remains *pinned* at the chemical potential throughout the subopen regime to form a plateau-like structure, and finally decreases again only deep in the open regime (compare Fig. 1(d) of [29]). We interpret this plateau-like structure as a precursor of Coulomb blockade behavior, since it arises from the interactions of electrons in a region of limited spatial extent.

*Finite temperature.*— This structure sheds new light on the temperature dependence of the linear conductance on temperature. When the temperature,  $T$ , is increased, the van Hove peak in the LDOS retains its overall shape and is broadened only slightly (for  $T \lesssim \Omega_x/10$ ) [Fig. 2(b)]. At the same time, the first conductance step is flattened out in a characteristic, asymmetric fashion [Fig. 2(d)], in qualitative agreement with experiment (compare Fig. 2(f) of Ref. [22]). This can be understood as follows [22]: Increasing  $T$  increases the available phase space for inelastic scattering, thus enhancing interaction effects. Their strength is governed by the LDOS near the chemical potential, which is particularly large *throughout the subopen region*, due to the pinning of  $\omega_{\max}$  to the chemical potential. Accordingly, interaction-induced backscattering is large in the whole subopen regime, leading to a strong suppression of the conductance [Fig. 2(d)] even into the open regime. At pinch-off, the conductance is slightly increased due to thermal activation.

To quantify the strength of the temperature dependence as function of  $V_c$ , we expand the conductance as

$$g(T, V_c) = g(0, V_c) - \frac{T^2}{T_*^2(V_c)} + \mathcal{O}(T^3), \quad (2)$$

as appropriate for a Fermi liquid [22]. The  $T_*(V_c)$  values extracted from our finite- $T$  data [see Fig. 2(d), circles] depend roughly exponentially on gate voltage  $T_*(V_c) \sim \exp(-V_c/\Omega_x)$  [Fig. 2(d), dashed-dotted line], when the QPC is tuned from subopen to open, reflecting the  $V_c$ -dependence of the bare QPC transmission rate [22].

*Spin susceptibility.*— In the van Hove ridge scenario a key property of a subopen QPC is the presence of “slow spin fluctuations” [22], as advocated also in Ref. [31]. To explore this, we have computed the dynamical equilibrium spin susceptibility

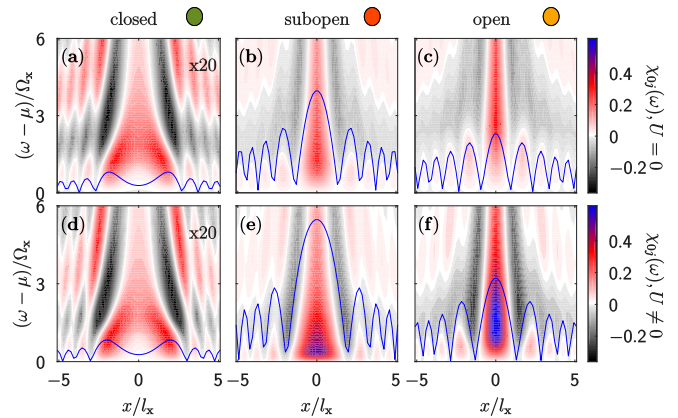


Figure 3. Non-interacting (a-c) and interacting (d-f) dynamical spin susceptibility [multiplied by a factor of 20 in order to be visible in (a) and (d)], for a closed, subopen and open QPC. The blue line shows  $|\text{Im}(G_{0i}(\omega = \mu))|$  (a.u.).

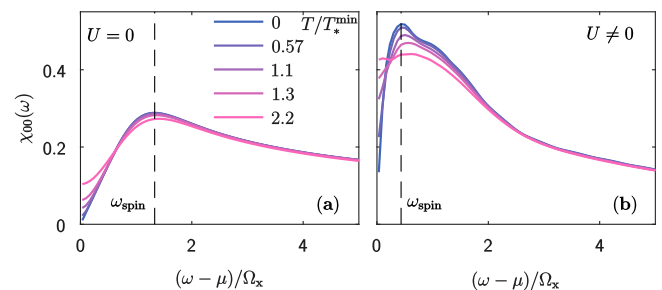


Figure 4. Non-interacting (a) and interacting (b) spin-spin correlations on the central site in the subopen regime at different temperatures, i.e. the blue lines are vertical cuts of Fig. 3(b), (e) through  $x = 0$ . The dashed black line is at  $\omega = \omega_{\text{spin}}$ . The shoulder in (b) is due to the LDOS-dependent enhancement of the spin susceptibility due to interactions.

rium spin susceptibility

$$\chi_{ij}(\omega) = \int dt \langle \mathcal{T} S_i^z(t) S_j^z(0) \rangle \exp(i\omega t), \quad (3)$$

where  $\mathcal{T}$  denotes time-ordering. In a Fermi liquid, the spin susceptibility is determined by the particle-hole bubble and thus governed by single-particle properties. However, due to the inhomogeneity of the QPC, both the frequency- and position-dependence of the spin susceptibility are non-trivial. For now, we focus on  $\chi_{0j}$ , shown in Fig. 3, which has the following salient features:

(i)  $\chi_{0j}$  oscillates with a spatially varying wavelength, which becomes shorter as the QPC is opened or the energy increased. For small frequencies  $\omega$  the wavelength of these oscillations is determined by the “local Fermi wavelength”  $\lambda_F$ , which can be extracted from  $|\text{Im} G_{0j}^R(\mu)|$  (blue line in Fig. 3). In the subopen regime,  $\lambda_F$  is large in the center, where the density is small, such that the sign of the spin susceptibility only changes far away from the center. Thus, an excited spin in the center leads to a rather large cloud (covering a region of  $\sim 3l_x$ ) of co-oriented spins. Away from the QPC the oscillations in  $\chi_{0j}$  simply follow the Friedel oscillations.

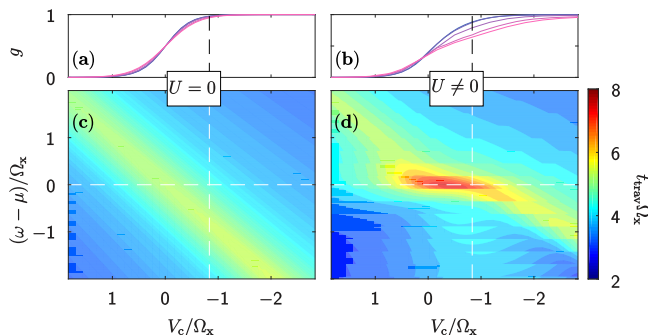


Figure 5. Comparison of non-interacting (a,c) and interacting (b,d) traversal time. (a,b): Conductance  $g$  as function of gate voltage  $V_c$ , to identify closed, subopen and open regimes. The color code is identical to Fig. 2; (c,d): Traversal time [Eq. (4)] as function of frequency  $\omega$  and gate voltage  $V_c$ . While the traversal time of modes below the barrier is small, these modes have low transmission probability and are irrelevant when determining the timescale of transport.

(ii) On the central site,  $\chi_{00}(\omega)$  shows a clear characteristic at a frequency  $\omega_{\text{spin}}(V_c)$ , whose dependence on  $V_c$  follows that of  $\omega_{\text{max}}$  [ $-\omega_{\text{spin}}$  is indicated by black circles in Fig. 2(c)]. In general, for small energies,  $\omega_{\text{spin}}$  is set by the distance between the chemical potential and the nearest peak in the LDOS [28].

(iii) The spin susceptibility  $\chi_{0i}(\omega)$  is amplified by interactions (Stoner physics) [compare Fig. 3(a-c) and Fig. 3(d-f); also Fig. 4(a) and (b)]. Interactions also amplify the temperature-induced reduction of the spin susceptibility at  $\omega_{\text{spin}}$  [Fig. 4(a,b)]. This effect is of similar strength as the decrease of the LDOS at  $\omega_{\text{max}}$  [Fig. 2(b)].

*Traversal time.*— The traversal time  $t_{\text{trav}}$  for a single incident quasiparticle with energy  $\omega$  to traverse a scattering region can be obtained by a procedure due to Wigner [32], which relates it to the scattering-induced dispersion of the incident wave-packet: It is given by

$$t_{\text{trav}}(\omega) = t_0(\omega) + t_{\text{delay}}(\omega), \quad t_{\text{delay}}(\omega) = 2\partial_{\omega}\phi(\omega), \quad (4)$$

where  $t_0(\omega)$  is the traversal time through the central region with the potential and interactions being turned off,  $t_{\text{delay}}$  and  $\phi(\omega)$  are the delay time and the scattering phase shift due to the potential- and interaction-induced slow-down of the quasiparticles. In our setup  $\phi(\omega)$  is the phase of the left-right-component of the zero-temperature single-particle S-matrix,

$$S_{1,r}(\omega) = -2\pi i \tau \rho(\omega) G_{-N',N'}^R(\omega), \quad (5)$$

where  $\rho(\omega)$  is the lead density of states at the sites  $\pm(N'+1)$  in the absence of the central region and  $\tau$  is the hopping amplitude there.  $|S_{1,r}(\omega)|^2$  yields the transmission probability. Figs. 5(a,b) show the traversal time. Though calculated from a non-local correlation function, its behaviour is strikingly similar to that of the LDOS at the central site, Fig. 2(c). This is consistent with the semiclassical interpretation  $\mathcal{A} \sim v^{-1}$ : Whenever the

LDOS is large, quasiparticles are slow and thus a large time is required to traverse the QPC.

Interestingly, we find that in the subopen regime the traversal time  $t_{\text{trav}}$  is of the same order as the characteristic time scale,  $t_{\text{spin}} = \frac{2\pi}{\omega_{\text{spin}}}$ , associated with spin fluctuations, namely  $t_{\text{trav}} \lesssim 8/\Omega_x$  and  $t_{\text{spin}} \lesssim 10/\Omega_x$ . We note that with our parameters,  $t_0 \approx 1.3/\Omega_x$ , thus  $t_{\text{trav}}$  is dominated by the delay time. That  $t_{\text{trav}}$  and  $t_{\text{spin}}$  are comparable in magnitude is consistent with a Fermi-liquid description of the system (which underlies the fRG-method used here): The only stable degrees of freedom in a Fermi liquid are dressed electron- and hole-like quasiparticles, and spin fluctuations arise via electron-hole-like excitations. Near the QPC center ( $x \lesssim l_x$ ) the lifetime of spin fluctuations is thus governed by the quasiparticle decay time. Heuristically, this roughly corresponds to  $t_{\text{trav}}$ , as the region where interaction effects are strongest extends over only few  $\lambda_F$ -oscillations. Though we find no static contributions to the dynamical spin susceptibility at zero magnetic field, the fact that  $t_{\text{spin}} \simeq t_{\text{trav}}$ , together with the extended spatial structure of the spin susceptibility in the subopen regime, suggests the heuristic view that a quasiparticle traversing the QPC encounters a quasi-static, spatially coherent spin environment.

*Conclusions.*—Our results allow us to establish contact with two other prominent scenarios that have been proposed to explain the 0.7 anomaly. (i) According to the “spin-polarization scenario”, interactions cause the spin degree of freedom in the QPC to spontaneously polarize, giving rise to a non-zero magnetization even at vanishing magnetic field,  $B = 0$  [4–9, 14–18]. (ii) According to the “quasi-localized spin scenario” proposed by Meir and coworkers [13], a subopen QPC hosts a quasi-localized state involving a spin- $\frac{1}{2}$  magnetic moment, causing Kondo-like conductance anomalies [10–13]. At low energies, a quasi-localized spin would be screened, giving rise to Fermi-liquid behavior that includes slow spin fluctuations. These two scenarios thus seem to offer starkly contrasting views of the spin structure in a QPC: (i) spatially extended but static in time, vs. (ii) spatially localized but fluctuating in time. Our work suggests that a view that entails elements of both: the spin structure fluctuates in time, in accord with (ii), but *slowly* – which is compatible with (i) if one is willing to reinterpret “spontaneous polarization” as “slowly fluctuating polarization”. And the spin structure is spatially coherent, in accord with (i), over a region of *finite extent* – which is compatible with (ii) if one is willing to associate a nonzero spatial extent and a finite life-time with the quasi-localized state evoked there. We thus suggest that the controversy between the opposing views (i) and (ii) can be resolved by associating the quasi-localized state evoked in (ii) with the slow electrons of the van Hove ridge, and realizing that these constitute a quasi-static, spatially coherent spin environment, in the spirit of (i), for electrons traversing the QPC. Thus, though the var-



ious scenarios differ substantially in their details (and if one insists on comparing these the controversy will never be put to rest), they can be argued to have a common core: a *slowly fluctuating spin structure of finite spatial extent* in the center of the QPC. Moreover, our work, shows that this spin structure originates naturally from the same interplay of interactions and QPC barrier geometry, encoded in the van Hove ridge, that causes transport properties to be anomalous.

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## Supplementary material

This supplement consists of two parts. In the first, we give the technical details on the model, the fRG- flow equations and the numerics involved. We also argue that the characteristic frequency for spin fluctuations,  $\omega_{\text{spin}}$ , is governed by the distance between the chemical potential and the effective lower band edge,  $\omega_{\text{spin}} \simeq \mu - \omega_{\text{max}}$ . In the second part, we report on DMRG calculations of the LDOS that we have performed to as an independent check of our fRG predictions. We find good qualitative agreement between both methods.

### S-I. MODEL

We use a modified version of Model II of Ref. [22]: In the central region, described by  $N = 2N' + 1$  sites, with  $i = -N', \dots, N'$ , the on-site potential is zero, and the hopping elements vary from site to site according to

$$\tau_j = \tau - \frac{1}{2} \tilde{V}_c \exp\left(-\frac{x_j^2}{1 - x_j^2}\right); \quad x_j = \frac{2j + 1}{N - 1}, \quad (\text{S1})$$

where  $j$  runs from  $-N'$  to  $N' - 1$ . The on-site interaction in the central region is given by

$$U_i = U_0 \exp\left(-\frac{l_i^6}{1 - l_i^2}\right); \quad l_i = \frac{i}{N' + \frac{1}{2}}, \quad (\text{S2})$$

The hopping and interaction Eqs. (S1),(S2) lead to a Hamiltonian

$$\begin{aligned} \mathcal{H} &= - \sum_{\sigma,i} \tau_i \left( c_{i+1,\sigma}^\dagger c_{i,\sigma} + \text{h.c.} \right) + \sum_i \left( U_i c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} \right), \\ &=: \sum_{\sigma,i,j} \left( \tilde{H}_{ji}^\sigma c_{j,\sigma}^\dagger c_{i,\sigma} + \text{h.c.} \right) + \sum_i \left( U_i c_{i\uparrow}^\dagger c_{i\uparrow} c_{i\downarrow}^\dagger c_{i\downarrow} \right), \end{aligned} \quad (\text{S3})$$

where we use the tilde to indicate that the indices of the Hamiltonian matrix  $\tilde{H}^\sigma$  run over  $\mathbb{Z}$ .  $\tilde{H}_{ij}^\sigma$  is invariant under transposition and parity  $\mathcal{P}$ , which we implement as  $\mathcal{P} : i \mapsto -i$ . We will explicitly assume the presence of these symmetries in the following. Note that for our description of the central region, the effect of the tight-binding leads with hopping  $\tau$  coupling to sites  $-N'$  and  $N'$  is fully included in the self-energy contribution

$$\begin{aligned} \Sigma_{\text{lead}ij}^R(\omega) &= (\delta_{i,-N'} \delta_{j,-N'} + \delta_{i,N'} \delta_{j,N'}) \\ &\quad \times \begin{cases} \frac{\omega}{2} \left( 1 - \sqrt{1 - \left(\frac{2\tau}{\omega}\right)^2} \right), & |\omega| > 2\tau \\ \frac{\omega}{2} - i\tau \sqrt{1 - \left(\frac{\omega}{2\tau}\right)^2}, & |\omega| < 2\tau, \end{cases} \end{aligned} \quad (\text{S4})$$

$$\Sigma_{\text{lead}ij}^K(\omega) = (1 - 2n_F(\omega)) (\Sigma_{\text{lead}ij}^R - \Sigma_{\text{lead}ij}^A). \quad (\text{S5})$$

Here, the superscript  $R(K,A)$  denotes the retarded (Keldysh, advanced) component of the self energy and  $n_F$  is the Fermi distribution function.

As stated in the main text, we use  $U_0 = 0.7\tau$  and  $\tilde{V}_c \in [0.44, 0.58]\tau$ .

### S-II. KELDYSH FRG

The model is solved by employing the functional renormalization group (fRG) [24–27] on the Keldysh-contour to obtain real-frequency information. The flow is truncated perturbatively, i.e. we set the three-particle vertex (and all higher vertices) to zero during the flow and approximate the two-particle vertex by the three usual channels ( $P$ ,  $X$ , and  $D$ ) [22, 24], assuming a local and static inter-channel mixing (coupled-ladder-approximation). The computation is then exact to second order in the interaction. It may be viewed as extension of the flow used in Ref. [25] to multiple sites (neglecting the  $D^{\sigma\bar{\sigma}}$ -channel, which in our case is of order  $U_0^3$ ) or an extension of the flow used in Ref. [22] to real frequencies. As flow parameter we use an artificial, on-site broadening of the spectrum (c.f. Eq. (S6), and Ref. [24]). This flow parameter respects fluctuation-dissipation theorems, so that in equilibrium it is unnecessary to compute the Keldysh components of the self energy ( $\Sigma^K$ ) and the channels ( $b^P$ ,  $b^X$ ,  $b^D$ ). The conventions on the Keldysh-contour used are those of Ref. [25], with the difference that after the Keldysh rotation we use the labels c lassical) and q(quantum), instead of 2 and 1. In particular, this means that the Keldysh rotation used for the fermions is the same as the one usually used for bosons. We use  $\sigma = \uparrow, \downarrow$  to denote spin, and  $\bar{\sigma}$  to denote the spin opposite to  $\sigma$ . Letters from the middle of the roman alphabet ( $i, j$ ) refer to spatial sites, while letters from the beginning of the Greek alphabet ( $\alpha, \beta$ ) refer to the Keldysh indices.

#### A. The Single-Scale Propagator

The flow parameter is determined by the bare retarded Green's function

$$\tilde{G}_{0,\Lambda,\sigma}^R(\omega) = \frac{1}{\omega \mathbb{1} - \tilde{H}^\sigma + i \left(\frac{1}{2}\Lambda\right) \mathbb{1}}, \quad (\text{S6})$$

where  $\tilde{H}^\sigma$  is the non-interacting Hamiltonian matrix extracted from Eq. (S3).  $\Lambda$  is the flow parameter, ranging from  $\infty$  (start of flow) to 0 (end of flow).  $\mathbb{1}$  is the unit matrix in the space of the sites, which we will omit from now on. Once the leads have been projected out, we drop the tilde on the restricted Hamiltonian matrix  $H^\sigma$  and the spatial indices then only run from  $-N'$  to  $N'$ . We use the artificial on-site broadening for all sites (includ-

ing the leads) to avoid artifacts at the transition from the lead to the central region.

The retarded single-scale propagator  $\tilde{S}^R$  is

$$\tilde{S}^R(\omega) = \left( \tilde{G} \tilde{G}_0^{-1} \partial_\Lambda \tilde{G}_0 \tilde{G}_0^{-1} \tilde{G} \right)^R = -\frac{i}{2} \tilde{G}_\Lambda^R \cdot \tilde{G}_\Lambda^R, \quad (\text{S7})$$

where we omit the site and spin labels.

After the integration over the leads' degrees of freedom has been performed, the Green's function projected onto the central part acquires an additional self-energy term

$$G_0^{R(\sigma)}(\omega) = \frac{1}{\omega^{(\sigma)} - \mathcal{H}^{(\sigma)} - \Sigma_{\text{lead}}^{(\sigma)}(\omega, \Lambda) + i\Lambda/2}, \quad (\text{S8})$$

where  $\omega^{(\sigma)} = \omega + \frac{\sigma}{2}B$  and

$$\begin{aligned} \Sigma_{\text{lead}ij}^{(\sigma)}(\omega, \Lambda) &= \frac{1}{2} \left( \omega^{(\sigma)} + i\frac{\Lambda}{2} - i\sqrt{4\tau^2 - (\omega^{(\sigma)} + i\frac{\Lambda}{2})^2} \right) \\ &\times (\delta_{i,-N'} \delta_{j,-N'} + \delta_{i,N'} \delta_{j,N'}). \end{aligned} \quad (\text{S9})$$

This self-energy is also reflected in the projected single-scale propagator, which now takes the form

$$\begin{aligned} S^R(\omega) &= (GG_0^{-1} \partial_\Lambda G_0 G_0^{-1} G)^R \\ &= G_\Lambda^R \cdot \left( -\frac{i}{2} + \partial_\Lambda \Sigma_{\text{lead}}(\omega, \Lambda) \right) \cdot G_\Lambda^R. \end{aligned} \quad (\text{S10})$$

For  $\Lambda \rightarrow \infty$  the model is exactly solvable and the irreducible part of the full vertex is simply the bare vertex [25]. Since we only consider equilibrium situations in this paper and the flow parameter respects fluctuation-dissipation theorems, the Keldysh Green's function  $G^K$  [and single scale  $S^K$ ] is determined simply via the fluctuation-dissipation theorem

$$G^K = (1 - 2n_F)(G^R - G^A), \quad S^K = (1 - 2n_F)(S^R - S^A). \quad (\text{S11})$$

## B. The Vertex

The vertex is assumed to consist *only* of a two-particle contribution. This contribution is approximated by a structure compatible with a decomposition into three channels (with only static and local interchannel feedback). This approximation yields a consistent set of flow equations. We use the following parametrization:

We decompose the 2-particle vertex into three channels, according to

$$\gamma(\omega'_1, \omega'_2; \omega_1, \omega_2) \approx \bar{v} + \varphi^P(\omega_1 + \omega_2) + \varphi^X(\omega_2 - \omega'_1) + \varphi^D(\omega_2 - \omega'_2), \quad (\text{S12})$$

where we have suppressed all indices other than frequency, and primed quantities denote outgoing legs.  $\bar{v}$

denotes the bare vertex. The Keldysh structure is arranged according to the convention

$$\gamma^{\alpha\beta|\gamma\delta} = \begin{pmatrix} (qq|qq) & (qq|cq) & (qq|qc) & (qq|cc) \\ (cq|qq) & (cq|cq) & (cq|qc) & (cq|cc) \\ (qc|qq) & (qc|cq) & (qc|qc) & (qc|cc) \\ (cc|qq) & (cc|cq) & (cc|qc) & (cc|cc) \end{pmatrix}. \quad (\text{S13})$$

The channels are labelled as (the Keldysh structure corresponds to Eqs. (A8,A11,A17) of Ref. [24], while the spatial structure is that of Eq. (S48) of Ref. [22])

$$(\varphi^P)_{(\sigma\bar{\sigma}|\sigma\bar{\sigma}), (ii|jj)}(\Pi) = \begin{pmatrix} 0 & a_{ji}^{P*} & a_{ji}^{P*} & 0 \\ a_{ij}^P & b_{ij}^P & b_{ij}^P & a_{ij}^P \\ a_{ij}^P & b_{ij}^P & b_{ij}^P & a_{ij}^P \\ 0 & a_{ji}^{P*} & a_{ji}^{P*} & 0 \end{pmatrix}^{(\sigma\bar{\sigma})} \quad (\Pi), \quad (\text{S14})$$

$$(\varphi^X)_{(\sigma\bar{\sigma}|\sigma\bar{\sigma}), (ji|ij)}(X) = \begin{pmatrix} 0 & a_{ji}^{X*} & a_{ij}^X & b_{ij}^{X*} \\ a_{ij}^X & b_{ij}^X & 0 & a_{ji}^{X*} \\ a_{ji}^{X*} & 0 & b_{ij}^X & a_{ij}^X \\ b_{ij}^{X*} & a_{ij}^X & a_{ji}^{X*} & 0 \end{pmatrix}^{(\sigma\bar{\sigma})} \quad (X), \quad (\text{S15})$$

$$(\varphi^D)_{(\sigma\sigma|\sigma\sigma), (ij|ij)}(\Delta) = \begin{pmatrix} 0 & a_{ij}^D & a_{ji}^{D*} & b_{ij}^D \\ a_{ij}^D & 0 & b_{ij}^D & a_{ji}^{D*} \\ a_{ji}^{D*} & b_{ij}^D & 0 & a_{ij}^D \\ b_{ij}^D & a_{ji}^{D*} & a_{ij}^D & 0 \end{pmatrix}^{(\sigma\sigma)} \quad (\Delta). \quad (\text{S16})$$

Each channel is labelled by only two spatial indices and one frequency. Conceptually, it can be thought of as the propagator of a Hubbard-Stratonovitch particle of the corresponding channel with retarded ( $a^P$ ,  $a^D$ , and  $a^{X*}$ ) and Keldysh ( $b^P$ ,  $b^D$ , and  $b^X$ ) components. From this point of view it is not surprising that in equilibrium the channels satisfy the fluctuation-dissipation theorems (c.f. Eqs. (A10,A13,A19) of Ref. [24]:

$$b_{(ij)}^{P(\sigma\bar{\sigma})}(\Pi) = 2i \coth \left[ \beta \left( \frac{\Pi}{2} - \mu \right) \right] \text{Im} a_{(ij)}^{P(\sigma\bar{\sigma})}(\Pi) \quad (\text{S17a})$$

$$b_{(ij)}^{X(\sigma\bar{\sigma})}(X) = -2i \coth \left[ \frac{\beta X}{2} \right] \text{Im} a_{(ij)}^{X(\sigma\bar{\sigma})}(X) \quad (\text{S17b})$$

$$b_{(ij)}^{D(\sigma\sigma)}(\Delta) = 2i \coth \left[ \frac{\beta \Delta}{2} \right] \text{Im} a_{(ij)}^{D(\sigma\sigma)}(\Delta) \quad (\text{S17c})$$

## C. The Flow Equations

When all vertices higher than the 2-particle vertex are set to zero, the resulting truncated flow equations are

(c.f. Eqs. (27,28) of Ref. [24])

$$\begin{aligned}
\frac{d}{d\Lambda}\Sigma_{1'1}^\Lambda &= -\sum_{2'2} \frac{i}{2\pi} \gamma_{1'2'12}^\Lambda S_{22}^\Lambda \\
\frac{d}{d\Lambda}\gamma_{1'2'12}^\Lambda &= +\sum_{3'4'34} \frac{i}{2\pi} \gamma_{1'2'34}^\Lambda S_{33'}^\Lambda G_{44'}^\Lambda \gamma_{3'4'12}^\Lambda \\
&\quad +\sum_{3'4'34} \frac{i}{2\pi} \gamma_{1'4'32}^\Lambda [S_{33'}^\Lambda G_{44'}^\Lambda + S_{44'}^\Lambda G_{33'}^\Lambda] \gamma_{3'2'14}^\Lambda \\
&\quad -\sum_{3'4'34} \frac{i}{2\pi} \gamma_{1'3'14}^\Lambda [S_{33'}^\Lambda G_{44'}^\Lambda + S_{44'}^\Lambda G_{33'}^\Lambda] \gamma_{4'2'32}^\Lambda.
\end{aligned} \tag{S18}$$

Here, 1, 1' etc. are multi-indices encompassing spin, site and frequency. In the flow of the vertex, each summand corresponds to a single channel. The vertex of each summand will be approximated by the contribution of the corresponding channel for all frequencies and the feedback of the other channels at a specific frequency ( $2\mu$  for the P-channel, 0 for the X- and D-channels). Inserting the channel decomposition with the above notations into the flow equations, the flow of the self-energy is given by [compare Eqs. (B3,B4) of Ref. [24]]:

---


$$\begin{aligned}
\partial_\Lambda \Sigma_{(kl)}^{q|c(\sigma)}(\omega) &= -\frac{i}{2\pi} \int d\omega' \left[ S_{(lk)}^{c|c(\bar{\sigma})}(\omega') a_{(kl)}^{P(\sigma\bar{\sigma})}(\omega + \omega') + S_{(kl)}^{c|c(\bar{\sigma})}(\omega') a_{(lk)}^{X(\sigma\bar{\sigma})}(\omega' - \omega) - S_{(kl)}^{c|c(\sigma)}(\omega') a_{(kl)}^{D(\sigma\sigma)}(\omega - \omega') \right. \\
&\quad + S_{(lk)}^{q|c(\bar{\sigma})}(\omega') b_{(kl)}^{P(\sigma\bar{\sigma})}(\omega + \omega') + S_{(kl)}^{q|c(\bar{\sigma})}(\omega') b_{(lk)}^{X(\sigma\bar{\sigma})}(\omega' - \omega) - S_{(kl)}^{q|c(\sigma)}(\omega') b_{(lk)}^{D(\sigma\sigma)}(\omega - \omega') \\
&\quad \left. + S_{(lk)}^{c|c(\bar{\sigma})}(\omega') U_k/2\delta_{kl} + \sum_m S_{(mm)}^{c|c(\sigma)}(\omega') a_{(km)}^{D(\sigma\sigma)}(0)\delta_{kl} \right]
\end{aligned} \tag{S19}$$

and

$$\begin{aligned}
\partial_\Lambda \Sigma_{(kl)}^{q|q(\sigma)}(\omega) &= -\frac{i}{2\pi} \int d\omega' \left[ S_{(kl)}^{c|q(\bar{\sigma})}(\omega') a_{(lk)}^{X(\sigma\bar{\sigma})}(\omega' - \omega) - S_{(kl)}^{c|q(\sigma)}(\omega') a_{(kl)}^{D(\sigma\sigma)}(\omega - \omega') + S_{(lk)}^{q|c(\bar{\sigma})}(\omega') a_{(kl)}^{P(\sigma\bar{\sigma})}(\omega + \omega') \right. \\
&\quad + S_{(lk)}^{q|c(\bar{\sigma})}(\omega') a_{(lk)}^{P^*(\sigma\bar{\sigma})}(\omega' + \omega) + S_{(kl)}^{q|c(\bar{\sigma})}(\omega') a_{(kl)}^{X^*(\sigma\bar{\sigma})}(\omega' - \omega) - S_{(kl)}^{q|c(\sigma)}(\omega') a_{(lk)}^{D^*(\sigma\sigma)}(\omega - \omega') \\
&\quad + S_{(lk)}^{c|c(\bar{\sigma})}(\omega') b_{(kl)}^{P(\sigma\bar{\sigma})}(\omega + \omega') + S_{(kl)}^{c|c(\bar{\sigma})}(\omega') b_{(lk)}^{X(\sigma\bar{\sigma})}(\omega' - \omega) - S_{(kl)}^{c|c(\sigma)}(\omega') b_{(kl)}^{D(\sigma\sigma)}(\omega - \omega') \\
&\quad \left. + \left( S_{(lk)}^{c|q(\bar{\sigma})}(\omega') + S_{(lk)}^{q|c(\bar{\sigma})}(\omega') \right) U_k/2\delta_{kl} \right].
\end{aligned} \tag{S20}$$

The flow of the vertex contains two bubbles

$$I_{ab|a'b'}^{pp}(\omega)_{(ij|kl)}^{(\sigma_1\sigma_2)} = \frac{i}{2\pi} \int d\omega' \left[ G_{(ik)}^{a|a'(\sigma_1)}(\omega/2 + \omega') S_{(jl)}^{b|b'(\sigma_2)}(\omega/2 - \omega') + S_{(ik)}^{a|a'(\sigma_1)}(\omega/2 + \omega') G_{(jl)}^{b|b'(\sigma_2)}(\omega/2 - \omega') \right], \tag{S21}$$

$$I_{ab|a'b'}^{ph}(\omega)_{(ij|kl)}^{(\sigma_1\sigma_2)} = \frac{i}{2\pi} \int d\omega' \left[ G_{(ik)}^{a|a'(\sigma_1)}(-\omega/2 + \omega') S_{(jl)}^{b|b'(\sigma_2)}(\omega/2 + \omega') + S_{(ik)}^{a|a'(\sigma_1)}(-\omega/2 + \omega') G_{(jl)}^{b|b'(\sigma_2)}(\omega/2 + \omega') \right], \tag{S22}$$



and is given by (compare Eqs. (C3,C6,C9) of Ref. [24])

$$\begin{aligned}
\partial_\Lambda(\varphi^P)_{(\sigma\bar{\sigma}|\sigma\bar{\sigma})(ii|jj)}^{qq|cq}(\Pi) &= \partial_\Lambda a^{P*}(\Pi)_{(ij)}^{(\bar{\sigma}\sigma)} \\
&= \sum_{km} \left( \frac{1}{2} U_k \delta_{ki} + a^{P*}(\Pi)_{(ki)}^{(\bar{\sigma}\sigma)} + \frac{1}{2} U^X_{(ki)}^{(\bar{\sigma}\sigma)} \right) \left( I_{cq|cc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{qc|cc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \left( \frac{1}{2} U_j \delta_{jm} + a^{P*}(\Pi)_{(jm)}^{(\bar{\sigma}\sigma)} + \frac{1}{2} U^X_{(jm)}^{(\bar{\sigma}\sigma)} \right)
\end{aligned} \tag{S23}$$

$$\begin{aligned}
\partial_\Lambda(\varphi^X)_{(\sigma\bar{\sigma}|\sigma\bar{\sigma})(ji|ij)}^{qq|cq}(X) &= \partial_\Lambda a^{X*}(X)_{(ji)}^{(\bar{\sigma}\sigma)} \\
&= \sum_{kl} \left( \frac{1}{2} U_j \delta_{jk} + \frac{1}{2} U^P_{(jk)}^{(\bar{\sigma}\sigma)} + a^{X*}(X)_{(jk)}^{(\bar{\sigma}\sigma)} \right) \left( I_{qc|cc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|cq}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \left( \frac{1}{2} U_i \delta_{il} + \frac{1}{2} U^P_{(li)}^{(\bar{\sigma}\sigma)} + a^{X*}(X)_{(li)}^{(\bar{\sigma}\sigma)} \right)
\end{aligned} \tag{S24}$$

$$\begin{aligned}
\partial_\Lambda(\varphi^D)_{(\sigma\sigma)(ij|ij)}^{cq|qq}(\Delta) &= \partial_\Lambda a^D(\Delta)_{(ij)}^{(\sigma\sigma)} \\
&= - \sum_{kl} \left[ \left( -\frac{1}{2} W^D_{(ik)}^{(\sigma\sigma)} + a^D(\Delta)_{(ik)}^{(\sigma\sigma)} \right) \left( I_{qc|cc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} + I_{cc|cq}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} \right) \left( -\frac{1}{2} W^D_{(lj)}^{(\sigma\sigma)} + a^D(\Delta)_{(lj)}^{(\sigma\sigma)} \right) \right. \\
&\quad \left. + \left( \frac{1}{2} U_i + \frac{1}{2} U^P_{(ik)}^{(\sigma\bar{\sigma})} + \frac{1}{2} U^X_{(ik)}^{(\sigma\bar{\sigma})} \right) \delta_{ik} \left( I_{qc|cc}^{ph}(\Delta)_{(lk|kl)}^{(\bar{\sigma}\bar{\sigma}|\bar{\sigma}\bar{\sigma})} + I_{cc|cq}^{ph}(\Delta)_{(lk|kl)}^{(\bar{\sigma}\bar{\sigma}|\bar{\sigma}\bar{\sigma})} \right) \delta_{jl} \left( \frac{1}{2} U_j + \frac{1}{2} U^P_{(jl)}^{(\bar{\sigma}\sigma)} + \frac{1}{2} U^X_{(jl)}^{(\bar{\sigma}\sigma)} \right) \right]
\end{aligned} \tag{S25}$$

$$\begin{aligned}
\partial_\Lambda(\varphi^P)_{(\sigma\bar{\sigma})(ii|jj)}^{cq|cq}(\Pi) &= \partial_\Lambda b^P(\Pi)_{(ij)}^{(\sigma\bar{\sigma})} \\
&= \sum_{km} \left[ \left( \frac{1}{2} U_i \delta_{ik} + a^P(\Pi)_{(ik)}^{(\sigma\bar{\sigma})} + \frac{1}{2} U^X_{(ik)}^{(\sigma\bar{\sigma})} \right) \left( I_{cc|cc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{qq|cc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|qq}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \right. \\
&\quad \times \left( \frac{1}{2} U_j \delta_{jm} + a^{P*}(\Pi)_{(jm)}^{(\sigma\bar{\sigma})} + \frac{1}{2} U^X_{(jm)}^{(\sigma\bar{\sigma})} \right) \\
&\quad + b^P(\Pi)_{(ik)}^{(\sigma\bar{\sigma})} \left( I_{qc|cc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|qc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \left( \frac{1}{2} U_j \delta_{jm} + a^{P*}(\Pi)_{(jm)}^{(\sigma\bar{\sigma})} + \frac{1}{2} U^X_{(jm)}^{(\sigma\bar{\sigma})} \right) \\
&\quad \left. + \left( \frac{1}{2} U_i \delta_{ik} + a^P(\Pi)_{(ik)}^{(\sigma\bar{\sigma})} + \frac{1}{2} U^X_{(ik)}^{(\sigma\bar{\sigma})} \right) \left( I_{cc|qc}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|cq}^{pp}(\Pi)_{(kk|mm)}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) b^P(\Pi)_{(mj)}^{(\sigma\bar{\sigma})} \right]
\end{aligned} \tag{S26}$$

$$\begin{aligned}
\partial_\Lambda(\varphi^X)_{ji|ij}^{qq|cc}(X) &= \partial_\Lambda b^X(X)_{(ij)}^{(\sigma\bar{\sigma})} \\
&= \sum_{kl} \left[ \left( \frac{1}{2} U_k \delta_{kj} + \frac{1}{2} U^P_{(kj)}^{(\sigma\bar{\sigma})} + a^X(X)_{(kj)}^{(\sigma\bar{\sigma})} \right) \left( I_{cc|cc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{qc|cq}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cq|qc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \right. \\
&\quad \times \left( \frac{1}{2} U_l \delta_{il} + \frac{1}{2} U^P_{(il)}^{(\sigma\bar{\sigma})} + a^{X*}(X)_{(li)}^{\sigma\bar{\sigma}} \right) \\
&\quad + b^X(X)_{(kj)}^{(\sigma\bar{\sigma})} \left( I_{qc|cc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|cq}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) \left( \frac{1}{2} U_l \delta_{il} + \frac{1}{2} U^P_{(li)}^{(\sigma\bar{\sigma})} + a^{X*}(X)_{(li)}^{\sigma\bar{\sigma}} \right) \\
&\quad \left. + \left( \frac{1}{2} U_j \delta_{jk} + \frac{1}{2} U^P_{(jk)}^{(\sigma\bar{\sigma})} + a^X(X)_{(kj)}^{(\sigma\bar{\sigma})} \right) \left( I_{cq|cc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} + I_{cc|qc}^{ph}(X)_{kl|lk}^{(\sigma\bar{\sigma}|\sigma\bar{\sigma})} \right) b^X(X)_{(il)}^{(\sigma\bar{\sigma})} \right]
\end{aligned} \tag{S27}$$

$$\begin{aligned}
\partial_\Lambda(\varphi^D)_{(\sigma\sigma)(ij|ij)}^{cc|qq}(\Delta) &= \partial_\Lambda b^D(\Delta)_{(ij)}^{(\sigma\sigma)} \\
&= - \sum_{kl} \left[ \left( -\frac{1}{2} W^D_{ik}^{\sigma\sigma} + a^D(\Delta)_{(ik)}^{(\sigma\sigma)} \right) \cdot \left( I_{cc|cc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} + I_{qc|cq}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} + I_{cq|qc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} \right) \left( -\frac{1}{2} W^D_{(lj)}^{\sigma\sigma} + a^{D*}(\Delta)_{(jl)}^{(\sigma\sigma)} \right) \right. \\
&\quad + \left( -\frac{1}{2} W^D_{(ik)}^{\sigma\sigma} + a^D(\Delta)_{(ik)}^{(\sigma\sigma)} \right) \left( I_{qc|cc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} + I_{cc|cq}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} \right) b^D(\Delta)_{(lj)}^{(\sigma\sigma)} \\
&\quad + b^D(\Delta)_{(ik)}^{(\sigma\sigma)} \left( I_{cq|cc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} + I_{cc|qc}^{ph}(\Delta)_{(lk|kl)}^{(\sigma\sigma|\sigma\sigma)} \right) \left( -\frac{1}{2} W^D_{(lj)}^{\sigma\sigma} + a^{D*}(\Delta)_{(jl)}^{(\sigma\sigma)} \right) \\
&\quad + \left( \frac{1}{2} U_i \delta_{ik} + \frac{1}{2} U^P_{(ik)}^{\sigma\bar{\sigma}} + \frac{1}{2} U^X_{(ik)}^{\sigma\bar{\sigma}} \right) \left( I_{cc|cc}^{ph}(\Delta)_{(lk|kl)}^{(\bar{\sigma}\bar{\sigma}|\bar{\sigma}\bar{\sigma})} + I_{qc|cq}^{ph}(\Delta)_{(lk|kl)}^{(\bar{\sigma}\bar{\sigma}|\bar{\sigma}\bar{\sigma})} + I_{cq|qc}^{ph}(\Delta)_{(lk|kl)}^{(\bar{\sigma}\bar{\sigma}|\bar{\sigma}\bar{\sigma})} \right) \\
&\quad \times \left( \frac{1}{2} U_l \delta_{lj} + \frac{1}{2} U^P_{(lj)}^{(\bar{\sigma}\sigma)} + \frac{1}{2} U^X_{(lj)}^{(\bar{\sigma}\sigma)} \right) \left. \right]
\end{aligned} \tag{S28}$$

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The relative signs between the  $X$ - and the  $D$ -channel stem from the fact that they are related through ex-

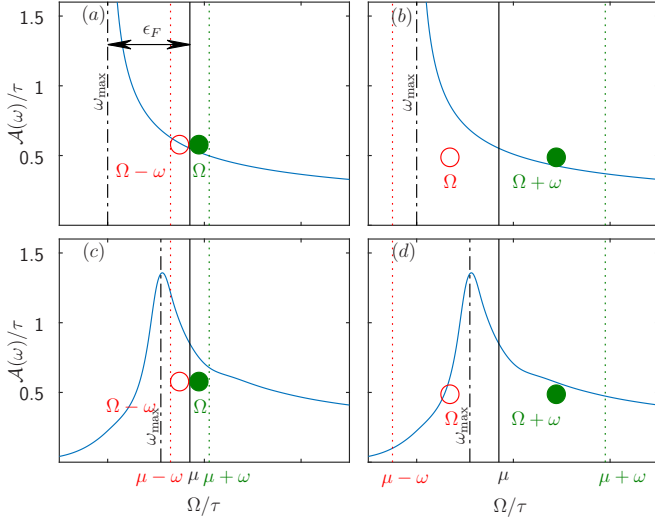


Figure S1. (a,b) LDOS of a non-interacting homogenous system and (c,d) LDOS at the central site of an interacting QPC in the open regime. The filled (empty) circles show electrons (holes) of an electron-hole pair contributing to the spin susceptibility Eq. (S32), (a,c) for  $\omega < \epsilon_F$  and (b,d) for  $\omega > \epsilon_F$ . Electron (or hole) energies lie between the chemical potential  $\mu$  (solid black line) and  $\mu + \omega$  (or  $\mu - \omega$ ), indicated by the dotted green (or red) line. The frequency  $\omega_{\max}$ , at which the LDOS is maximal, is indicated by the black dashed-dotted line.

change of two fermionic legs.

In equilibrium, we set

$$\begin{aligned} U_{ij}^{P(\sigma\bar{\sigma})} &= 2\text{Re } a^P(2\mu)_{(ij)}^{(\sigma\bar{\sigma})} \delta_{ij}, \\ U_{(ij)}^{X(\sigma\bar{\sigma})} &= 2\text{Re } a^X(0)_{(ij)}^{(\sigma\bar{\sigma})} \delta_{ij}, \\ W_{(ij)}^{D(\sigma\sigma)} &= 2\text{Re } a^D(0)_{(ij)}^{(\sigma\sigma)} \delta_{ij}. \end{aligned} \quad (\text{S29})$$

Note that in equilibrium,  $a^P(2\mu)$ ,  $a^X(0)$ , and  $a^D(0)$  are all real matrices.

In order to fully specify the flow, it remains to fix the initial conditions at large but finite  $\Lambda$ :

$$\Sigma_{ij} = \delta_{ij} U_i / 2, \quad (\text{S30})$$

$$\phi^X = \phi^P = \phi^D = 0. \quad (\text{S31})$$

### S-III. THE FREQUENCY STRUCTURE OF THE SPIN-SUSCEPTIBILITY

In this section, we substantiate the claim of the main text that the characteristic frequency  $\omega_{\text{spin}}$  of spin fluctuations is given by the distance between the chemical potential,  $\mu$ , and the lower effective band edge,  $\omega_{\max}$ . To do so, we consider the local non-interacting spin-susceptibility, defined in Eq. (3), which at zero tempera-

ture can be written as

$$\chi_{ii}^{U=0}(\omega) = 2\pi^2 \int_{\mu}^{\mu+\omega} d\Omega \mathcal{A}_i(\Omega) \mathcal{A}_i(\Omega - \omega). \quad (\text{S32})$$

Let us begin by analyzing its properties for a homogenous tight-binding model with hopping  $\tau$  and Fermi energy  $\epsilon_F$  close to the lower band edge, i.e.  $\epsilon_F = \mu + 2\tau \ll D, \omega \ll D$ , where  $D = 4\tau$  is the band width. This choice of  $\epsilon_F$  most closely resembles the situation in the center of a QPC.  $\mathcal{A}_i(\Omega)$  is zero for frequencies below the band edge, shows a divergence at the band edge and subsequently decreases monotonically with increasing frequencies [Fig. S1 (a,b)].  $\chi_{ii}^{U=0}$  essentially counts the number of available electron-hole excitations, where the electrons have an energy of  $\Omega \in [\mu, \mu + \omega]$  and the holes an energy  $\Omega - \omega \in [\mu - \omega, \mu]$  [Fig. S1 (a,b)].

Consider  $\omega < \epsilon_F$  [Fig. S1(a)]. Then

$$\begin{aligned} \partial_{\omega} \chi_{ii}^{U=0}(\omega) &= -2\pi^2 \int_{\mu}^{\mu+\omega} d\Omega \mathcal{A}_i(\Omega) \mathcal{A}'_i(\Omega - \omega) \\ &\quad + 2\pi^2 \mathcal{A}_i(\mu + \omega) \mathcal{A}_i(\mu) > 0. \end{aligned} \quad (\text{S33})$$

Here, the prime denotes a derivative. Thus  $\chi_{ii}^{U=0}(\omega)$  is a monotonically increasing function for  $\omega < \epsilon_F$ . This can be understood intuitively by considering the effects of an infinitesimal increase in  $\omega$ : The first term in Eq. (S33) describes how, if the *electron* remains at energy  $\Omega$ , the weight of the hole at energy  $\Omega - \omega$  increases [ $\mathcal{A}'_i(\Omega - \omega)$ ]. The second term in Eq. (S33) describes the appearance of additional electron-hole pairs.

For  $\epsilon_F < \omega$  [Fig. S1(b)] Eq. (S33) is not useful, as the derivative of  $\mathcal{A}$  is ill-defined at the band edge. We thus rewrite Eq. (S32) as

$$\chi_{ii}^{U=0}(\omega) = 2\pi^2 \int_{\mu - \epsilon_F}^{\mu} d\Omega \mathcal{A}_i(\Omega + \omega) \mathcal{A}_i(\Omega), \quad (\text{S34})$$

where we have used the fact that  $\mathcal{A}$  vanishes for arguments below the band edge to restrict the range of integration. Using Eq. (S34) we obtain

$$\partial_{\omega} \chi_{ii}^{U=0}(\omega) = 2\pi^2 \int_{\mu - \epsilon_F}^{\mu} d\Omega \mathcal{A}'_i(\Omega + \omega) \mathcal{A}_i(\Omega) < 0. \quad (\text{S35})$$

For  $\epsilon_F < \omega$ ,  $\chi_{ii}^{U=0}(\omega)$  is thus monotonically decreasing. This can again be understood intuitively by considering the effects of an infinitesimal increase in  $\omega$ : consider an electron-hole pair with fixed *hole* energy  $\Omega$ . The weight of the electron states near  $\Omega + \omega$  [described by  $\mathcal{A}'_i(\Omega + \omega)$ ] diminishes, reducing the spin susceptibility.

The above analysis and Eqs. (S33) and (S35), together, lead to the following important conclusion: For the homogenous system considered so far,  $\chi_{ii}^{U=0}(\omega)$  exhibits a local maximum at an energy,  $\omega_{\text{spin}}$ , that corresponds to the Fermi energy, i.e. to the distance between the chemical potential  $\mu$  and the lower band edge  $\omega_{\max}$ ,  $\omega_{\text{spin}} = \mu - \omega_{\max}$ .

We now switch to a QPC geometry in the presence of interactions. The inhomogeneity of the QPC potential changes the divergence of the bare LDOS at the band bottom into a broadened peak, but leaves the other features of the LDOS qualitatively unchanged [compare Fig. S1(a) and (c) or (b) and (d)]. Within a Fermi liquid picture, where all of the above arguments still apply, albeit with renormalized parameters, we thus expect in the interacting QPC that  $\omega_{\text{spin}} \simeq \mu - \omega_{\text{max}}$ , where both  $\omega_{\text{spin}}$  and  $\omega_{\text{max}}$  are renormalized quantities.

#### S-IV. IMPLEMENTATIONAL DETAILS

The central region consists of  $N = 61$  sites. We use  $\sim 1500$  frequencies to sample the real frequency axis. One third of the frequencies is sampled exponentially in the region  $|\omega| > 4\tau$ , the rest is sampled homogeneously in the region  $\omega \in [-4\tau, 4\tau]$ . An additional 100 frequencies are included in windows of size  $4T$  around  $\mu$  and  $2\mu$ . In order to numerically perform the integrals, it is useful to map the real axis to a finite region. We thus represent  $\omega \in \mathbb{R}$  in terms of the variable  $\tilde{y} = y/\tau \in (-7, 7)$  via

$$\omega = \begin{cases} -2\tau \frac{(\tilde{y}+6)(1+\Lambda)}{(\tilde{y}+6)^2-1} - 6\tau, & \text{for } (\tilde{y} < -6) \\ -2\tau - \tau(\tilde{y}+2)^2/4, & \text{for } (-6 < \tilde{y} < -2) \\ \tau\tilde{y}\sqrt{\frac{4}{\tilde{y}^2} - \frac{\tilde{y}^2-4^2}{4\tilde{y}^2}}, & \text{for } (-2 < \tilde{y} < 2) \\ 2\tau + \tau(\tilde{y}-2)^2/4, & \text{for } (2 < \tilde{y} < 6) \\ -2\tau \frac{(\tilde{y}-6)(1+\Lambda)}{(\tilde{y}-6)^2-1} + 6\tau, & \text{for } (6 < \tilde{y}). \end{cases} \quad (\text{S36})$$

The structure of this substitution is chosen such that the van Hove divergence at the band edges is trivially integrated (i.e. the integral  $\int d\omega(\omega \pm 2\tau)^{-1/2}$  is mapped to the integral  $\text{const.} \times \int d\tilde{y}1$  for  $\omega$  close to the band edges), while the large-frequency region is scaled with the flow parameter  $\Lambda$  and substituted such that the integral  $\int d\omega\omega^{-2}$  (the most diverging integral that occurs) is mapped to the integral  $\text{const.} \times \int d\tilde{y}1$  for  $\omega \gg \Lambda$ . For convenience,  $y = \pm 2\tau, \pm 6\tau$  is mapped to  $\omega = \pm 2\tau, \pm 6\tau$ . Continuous frequency information is obtained by linearly interpolating in  $y$ -space.

The flow equation is solved with a 6th-order Runge-Kutta ODE solver with adaptive step size, while the integrals over internal frequencies are computed using Patterson sets. The integrals over internal frequencies are split into multiple intervals, such that a strong dependence on the internal frequency occurs near the integration boundaries, as the sampling is more dense there. The boundaries are determined by either the unsubstituted frequency of a Green's function or single scale propagator taking the value  $\pm 2\tau, \mu, \mu \pm 10T, \pm(-2\tau + V_c)$  or  $\pm 2\tau \pm \Lambda$ , or by the argument of the P-channel (X-channel, D-channel) taking the value  $2\mu$  (0). The flow parameter used is not  $\Lambda$ , but rather  $u := \log\left(\frac{\Lambda}{1+\Lambda}\right)$ . This improves the dynamic choice of step size within the ODE-solver.

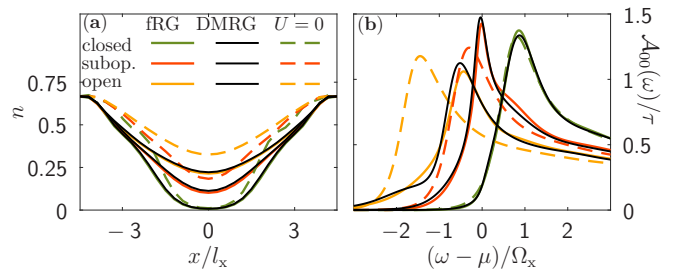


Figure S2. Comparison between Keldysh-fRG and DMRG results. (a) The local density as a function of position and (b) the LDOS as a function of frequency of a closed (green), subop. (red), and open (orange) QPC, computed without interactions (dashed lines), and with interactions (solid lines), using Keldysh-fRG (colored) and DMRG (black), respectively.

The flow starts at  $\Lambda \approx 10^5$  and goes down to  $\Lambda \approx 10^{-9}$ . To minimize runtime, the Green's function and single scale propagator are computed at  $\sim 30000$  frequencies, and a linear interpolation in  $y$ -space is used when either of them is required in an integrand. In equilibrium, the matrices appearing are symmetric under an exchange of sites. Further, the model considered here has a left-right parity symmetry. Both symmetries are exploited by using symmetric matrices to store the self-energy and the vertex, and by using a parity basis in the computation of the Green's function and the single scale propagator.

#### S-V. DMRG CALCULATIONS

The results in the main text are obtained using Keldysh-fRG, which is based on a perturbative ansatz. To verify the validity of the fRG data, we also employ density-matrix-renormalization-group (DMRG) calculations [33–35]. DMRG represents one of the most powerful quasi-exact numerical methods for describing one-dimensional quantum many-body systems regarding their static ground-state, dynamic, as well as thermodynamic properties. In particular, DMRG can treat fermionic systems with arbitrary interaction strength due to its non-perturbative character. Specifically, we have used DMRG to compute the local density  $n$  [Fig. S2(a)] and the LDOS [Fig. S2(b)], obtaining good qualitative agreement between our DMRG (black) and Keldysh-fRG (colored) results.

Below, we first elaborate on some peculiarities of our matrix-product-state (MPS) implementation [36], which could be of interest to practitioners, and then discuss the choice of model parameters used for this comparison.

### A. DMRG details

The QPC model in Eq. (1) poses a particular challenge to DMRG since, in contrast to fRG, it is not possible to incorporate the non-interacting leads to the left and right of the interacting region by an additional term in the self-energy. Instead, a finite-size chain representation of both leads is necessary as a prerequisite to make the model accessible for DMRG. The simplest ansatz is to replace the semi-infinite leads by a finite-length tight-binding chain with open boundary conditions (OBC). However, this setup is not practicable as it requires to go to very large system sizes in order to avoid strong finite-size artefacts in physical properties in the interacting part of the QPC. Instead, we here employ the concept of smooth boundary conditions (SBC) [37, 38], which enable us to minimize finite-size effects in the interacting region of the QPC. Implementing SBC, the parameters of the non-interacting tight-binding chains are smoothly decreased to zero towards both ends of the chain to avoid having a sharp and rigid boundary as in the OBC setup. Thus for the interacting region of the QPC, the system's size is no longer fully determinable. SBC enable us to mimic very large leads with only  $\mathcal{O}(10)$  sites.

In practice, we scale the Hamiltonian parameters in the non-interacting regions (which we label symmetrically by  $I = 1, \dots, N_L$  for both the left and right lead;  $I = 1$  corresponds to the left- or rightmost boundary,  $I = N_L$  to the lead sites closest to the central region) with a smoothing function  $f_I$  such that  $\tau_I = \frac{\tau}{2}(f_I + f_{I+1})$  and  $\mu_I = \mu f_I$ . Following Ref. [37], we choose  $f_I = y(1 - I/[N_L + 1])$ , and the smoothing function  $y(x) = \frac{1}{2}(1 - \tanh \frac{x-1/2}{x(1-x)})$  for  $0 < x < 1$ , which interpolates between 1 at the edge of the central region and 0 at the boundary.

In this setup, we first determine the ground state of the QPC using standard DMRG formulated in terms of MPS. The LDOS  $\mathcal{A}_i(\omega) = -\frac{1}{\pi} \text{Im} G_{ii}^R(\omega)$  is then determined using time-dependent DMRG [39]. To this end, we carry out two independent tDMRG runs to determine the retarded correlator in the time domain,  $G_{ii}^R(t) = -i[\langle c_i^\dagger(t)c_i \rangle + \langle c_i(t)c_i^\dagger \rangle^*]$ . The entanglement in the MPS increases linearly during the real-time evolution, thus the number of states  $D$  kept in simulation needs to be continuously increased to keep the numerical error constant. This implies that the simulation is bound to some maximum time  $T_{\text{max}}$  at which the simulation is no longer numerically feasible. A finite-time cutoff typically introduces artificial oscillations in the Fourier transform, requiring some artificial broadening to obtain a smooth and positive definite LDOS. However, we can avoid incorporating a broadening function by extending  $T_{\text{max}}$  to much larger times by means of linear prediction [40, 41]. The extrapolation scheme is expected to work for the present model since the correlator  $G_{ii}^R(t)$  decreases exponentially over time scales smaller than the inverse mean

level spacing and larger than the lifetime of excitations in the central region.

We end this section with some technical notes. All DMRG calculations in this work are performed with the QSpace tensor library of A. Weichselbaum [42]. We studied a QPC with an interacting region consisting of  $N = 31$  sites and two non-interacting regions to the left and right containing  $N_L = 50$  sites each, yielding a total of  $N_{\text{tot}}^{\text{DMRG}} = 131$  sites, whose parameters are tuned in terms of SBCs (see above). The DMRG ground-state calculation employs a two-site update keeping up to  $D = 1600$  states. Convergence was typically reached after 10 to 40 sweeps, 40 being required particularly for an almost closed QPC, where the low particle density slows down convergence and the algorithm can get stuck in local minima during early iterations. In the tDMRG simulations we use a second-order Trotter-Suzuki decomposition with a time step  $\Delta t = 0.05/\tau$  and adapt the number of states in the MPS dynamically by truncating all singular values smaller than  $\epsilon_{\text{SVD}} = 5 \cdot 10^{-5}$ . We stop the simulation when the number of kept states in the MPS exceeds  $D = 4000$ . In this setting, we typically reach time scales  $T_{\text{max}} \cdot \tau = 60 - 65$  before applying linear prediction.

### B. Choice of model parameters

Since DMRG solves a finite system, we need a way to estimate the 'optimal' system size: We extract the LDOS as a Fourier-transform of the real time Green's function, computed by DMRG. However, the resulting LDOS is only reliable if the Green's function is evolved up to time scales of the order of the traversal time  $t_{\text{trav}}$ , as at shorter times the low-energy quasi-particles have yet to leave the central region. This means that the system size must be chosen sufficiently large, such that the reflection time  $t_{\text{refl}} \sim N_{\text{tot}}^{\text{DMRG}}/(2\tau)$  (the time until the first quasi-particles reflected at the boundary return to the center) is larger than the traversal time:  $t_{\text{refl}} \gtrsim t_{\text{trav}}$ . For the setup of the main text this yields  $N_{\text{tot}}^{\text{DMRG}} \gtrsim 500$ . Combined with the fact that we need to perform time-evolution up to the traversal time  $t_{\text{trav}} \approx 250/\tau$ , this would have required an unfeasible amount of resources in DMRG.

In order to reduce the traversal time, we shrink the system (i.e. reduce  $N$ ) and make the QPC potential steeper (i.e. increase the curvature  $\Omega_x$ ): If the curvature is larger, a larger interaction is necessary to observe the same physics, as the LDOS is smeared out more. We have tried to compensate for this by choosing an appropriately larger interaction. Comparing Figs. 2(a) and S2(b), we see that the qualitative features of the fRG-LDOS are the same: There is a roughly constant energy-shift of the LDOS in the open region, in the sub-open region the LDOS peak is sharpened (the effective potential is

flatter) and pinned to the chemical potential, while the LDOS in the closed region is almost unaffected by interactions. Since the new parameters yield results that exhibit the same qualitative features as those shown in the main text, we consider them a reasonable proxy for a direct comparison between DMRG and Keldysh-fRG.

To be specific, the set of parameters used for this comparison is:  $N^{\text{DMRG}} = 31$ ,  $U^{\text{DMRG}} = 0.94\tau$ ,  $V_c^{\text{DMRG}} = \{-1.69, -0.56, 0.56\}\Omega_x^{\text{DMRG}}$ ,  $\mu^{\text{DMRG}} = -\tau$ , and  $\Omega_x^{\text{DMRG}} \approx 0.9\tau$ . Since  $\Omega_x$  is 3 times larger than in the main text, the traversal time should be reduced by a factor of roughly 3. We find  $t_{\text{trav}} \approx 70/\tau$ , and thus estimate  $N_{\text{DMRG}}^{\text{tot}} \gtrsim 140$  (we use  $N_{\text{DMRG}}^{\text{tot}} = 131$ ), which is still viable.

Finally, we remark that the choice of time  $t_{\text{lp}}$ , after

which linear prediction is applied, is a subtle issue: The linear prediction method does not capture any physics that happens at time scales  $t \gg t_{\text{lp}}$  (this is an intended feature of the method, e.g. to mask finite-size effects). However, this implies that for  $t_{\text{trav}} \gg t_{\text{lp}}$  there may exist times at which linear prediction appears stable (i.e. robust against variation of parameters used in linear prediction), while missing the finer details of the LDOS. This happens in our system for times  $t_{\text{lp}} \sim 30/\tau$ , and is generically to be expected in a system with multiple time scales. Once the largest time scale surviving the limit of infinite leads is reached (which in our case is  $t_{\text{trav}}$ ), and provided that time scale is still much shorter than the inverse level spacing, linear prediction appears to yield reasonable long-time results.