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# Explaining asymmetries in number marking: Singulatives, pluratives, and usage frequency

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**Abstract:** This paper claims that crosslinguistic tendencies of number marking asymmetries can be explained with reference to usage frequency: The kinds of nouns which, across languages, tend to show singulative coding (with special marking of the uniplex member of a pair), rather than the more usual plurative coding (with special marking of the multiplex member), are also the kinds of nouns which tend to occur more frequently in multiplex use. We provide crosslinguistic coding evidence from a range of languages from different families and areas, and crosslinguistic corpus evidence from five languages, using large written corpora. Thus, the crosslinguistic pattern of singulative vs. plurative coding is a special instance of the tendency to devote more marking to rarer forms, and can be explained by the grammatical form-frequency correspondence principle.

**Keywords:** number marking, crosslinguistic tendencies, markedness, corpora, usage-based

#### 1 The claim

In this paper, we propose an explanation for number-marking asymmetries such as those in (1) and (2). In (1), the form denoting a multiplex entity ('days') has an overt marker, and in (2), it is the form denoting a single (uniplex) entity ('pea') that has an overt (singulative) marker *-en*. The other form (the "basic form") has no overt marker.

#### (1) German

a. *Tag-Ø* 'day' (basic form)b. *Tag-e* 'days' (plurative form)

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(2)Welsh

> a. pys-Ø 'peas' (basic form)

b. *pvs-en* 'pea' (singulative form)

We will show that the marking asymmetries seen in these examples follow a crosslinguistic trend, and we claim that the trend can be explained by a parallel crosslinguistic usage trend: Many nouns such as 'day' tend to be used more frequently in a uniplex sense (denoting a single entity), while some nouns such as 'pea' are used more frequently in a multiplex sense (denoting a set of multiple entities). Those that tend to be used more frequently in a uniplex sense, called UNIPLEX-PROMINENT here, tend to show overt marking of the multiplex form (i.e., plurative form), while those that tend to be used more frequently in a multiplex sense, called MULTIPLEX-PROMINENT here, tend to show overt marking of the uniplex form (i.e., singulative form).

The explanatory principle here is Zipfian economy (Zipf 1935; Haspelmath 2008). It has been invoked to account for a wide variety of form asymmetries which correspond to frequency asymmetries (e.g., Greenberg 1966; Croft 2003; Hawkins 2004; Bybee 2007). In earlier work (Haspelmath et al. 2014), the specific principle as applied to grammar has been formulated as in (3).

(3) The grammatical form-frequency correspondence principle When two minimally different grammatical patterns (i.e., patterns that form an opposition) occur with significantly different frequencies, the less frequent pattern tends to be overtly coded (or coded with more coding material), while the more frequent pattern tends to be zero-coded (or coded with less coding material).

Some further grammatical oppositions for which this principle has been invoked are listed in (4). This is thus a very broadly applicable principle with great explanatory power.

(4) present/future, 3rd person/2nd person, nominative/accusative, active/passive, affirmative/negative, masculine/feminine, attributive adjective/predicative adjective (including copula), positive/comparative, predicative verb/nominalized verb, action word/agent noun

Greenberg (1963) was perhaps the first to observe that the singular-plural overtness contrast is a universal tendency of human languages:

#### (5) Greenberg's Universal 35 (partial)

There is no language where the plural does not have some nonzero allomorphs,

whereas there are languages in which the singular is expressed only by zero.

Thus, the situation in (1) (German  $Tag-\emptyset/Tag-e$ ) is quite typical of the world's languages. By contrast, the situation in (2) (Welsh pys-Ø/pys-en) is unusual, and all languages with such singulative coding also have the ordinary plurative coding for other nouns. Greenberg (1966: 31-32) observed that the coding asymmetry between singular and plural corresponds to a frequency asymmetry (cf. Section 3 below).

This paper goes beyond Greenberg in showing that the Zipfian frequencybased explanation can account not only for the general trend of (5), but also for the difference between (1) and (2), i.e., between plurative pairs and singulative pairs. We provide corpus evidence from five languages, showing that crosslinguistically, the kinds of nouns that tend to be coded as singulatives (in languages that exhibit overt singulative marking) are more frequent in multiplex use, while the kinds of nouns coded as pluratives are more frequent in uniplex use. In simplified terms, we can say that German Tag 'day' has no suffix because the singular is more frequent than the plural, while Welsh pys-en 'pea' has a suffix because the singular is less frequent than the plural. For example, in the British National Corpus of English, the frequency of day/days is 59 298/31 542, while the frequency of *pea/peas* is 173/603. The distribution in other languages is presumably quite similar.

Before getting to the details of our story, we need to introduce our terminology for semantic and formal entities (Section 2), and it will be useful to contrast our frequency-based explanation with an explanation in terms of "markedness" (Section 3).

# 2 Basic comparative concepts: notional and formal

The terms singular and plural are typically used both in a semantic sense and in the sense of a language-specific formal grammatical category. For this paper, it is crucial to have comparative concepts that clearly pertain to the notional level (uniplex and multiplex), as well as concepts that clearly refer to kinds of asymmetric marking (*singulative* and *plurative*). Since our goal is limited to explaining crosslinguistic trends, we do not worry about language-specific analysis here. The terms *singular* and *plural* thus play no significant role in this paper.

The notional terms *uniplex* and *multiplex* are used here as in Talmy (1988). Multiplex nominals are nominals that denote entities which are (or can be) conceived of as (internally homogeneous) groups of things (and which therefore are expressed by overt plural forms in many languages). Uniplex nominals denote entities which are conceived of as individuals. Some examples are given in (6). Uniplex nominals are singular nominals in English, and some of them can have the singulative suffix in Welsh. Multiplex nominals are generally plural in English (most often with a plural suffix -s), but they can be mass nouns like *hair*, and in Welsh they may be simple root nouns lacking a suffix. (Such simple roots are often called *collective nouns* rather than plural nouns; see Gil (1996) for the wide range of meanings with which this term has been used.)

(6)	UNIPLEX NOMINALS	MULTIPLEX NOMINALS
	day-Ø	day-s
	bee-Ø	bee-s
	mouse	mice
	(a) fish	(many) fish
	(a) hair	(she has black) hair
	Welsh pys-en 'pea'	pys 'peas'

Welsh moron-en 'carrot' moron 'carrots'

Nominal meanings which frequently occur in multiplex use (e.g., 'peas') can be called *multiplex-prominent meanings*. As we will see below, these occur particularly in the semantic domains of paired body-parts, small animals, fruits/vege-

tables, and groups of people.

The key formal concepts of this study are *singulative* and *plurative*, or more precisely *basic/plurative pairs* and *singulative/basic pairs*. A basic/plurative pair is a pair of related noun forms where one member is an unmarked (basic) uniplex noun (e.g., *day*), while the other member is a marked multiplex noun (e.g., *day-s*). Since this situation is extremely common in the world's languages, the great majority of "plural" forms are actually pluratives in this sense. A singulative/basic pair is a pair of noun forms where one member is a marked

<sup>1</sup> The term *plurative* (in this sense) is a terminological innovation of this paper. The term has occasionally been used before (e.g., Treis 2014), but apparently mostly for overt plurals that coexist with unmarked multiplex forms which have a singulative counterpart.

uniplex nominal (e.g., Welsh moron-en 'carrot'), while the other member is an unmarked multiplex nominal (e.g., Welsh moron 'carrots'). Since this situation is quite rare, few "singulars" are singulatives. A few more examples of basic/ plurative pairs (or plurative lexemes) and of singulative/basic pairs (or singulative lexemes) are given in (7).

(7)	BASIC/PLURATIVE PAIRS	SINGULATIVE/BASIC PA	IRS
	(=plurative lexemes)	(= singulative lexemes)	
	German	Maltese	
	Schuh / Schuh-e	zarbun-a / zarbun	'shoe/shoes'
	Fisch / Fisch-e	ħut-a / ħut	'fish (sg.)/fish (pl.)'
	Apfelsine / Apfelsine-n	larinġ-a / larinġ	'orange/oranges'
	Estonian	Welsh	
	tigu / teo-d	malwod-en / malwod	'snail/snails'
	karv / karva-d	blew-yn / blew	'hair/hair(s)'
	hernes / herne-d	pys-en / pys	'pea/peas'

Singulative lexemes are found in some languages such as Welsh and Maltese, but they are not widespread in the world's languages.<sup>2</sup> Most languages do not have singulative lexemes at all. Nevertheless, we claim in this paper that the occurrence of singulatives is not accidental, but is a manifestation of a crosslinguistic tendency.

# 3 Markedness explanation vs. frequency explanation

In the literature, form asymmetries of the type seen in (1) and (2) are commonly talked about or explained with reference to a notion of "markedness" (cf. Tiersma 1982; Haspelmath 2006). The contrast between "marked" and "unmarked" values

<sup>2</sup> As shown by Cuzzolin (1998), the term singulative was coined in the nineteenth century with reference to Welsh, but it was soon extended to similar phenomena in Semitic languages and elsewhere.

<sup>3</sup> In fact, many languages do not have plural forms, or use plurals only optionally and/or for a restricted set of (mostly animate) nouns (cf. Haspelmath 2005). We see no reason to suspect that this might have an effect on the tendencies noted here, other than that they will not be readily observable in all languages.

French

of a grammatical feature was highlighted in a typological context by Greenberg (1966) (see also Croft 2003: 87–101).

The fundamental idea here is that languages exhibit some kind of "markedness matching" (Haspelmath 2008: 6–7), in such a way that marked values of grammatical categories are formally marked (overtly coded), while unmarked values are formally unmarked (zero-coded). A closely related approach is to say that languages tend to give simple expression to semantically simple values of grammatical categories, while semantic complexity is reflected in formal complexity, i.e., overt coding. Thus, Mayerthaler (1981: 25) says that "What is "more" semantically should also be "more" constructionally", and Givón (1991: Section 2.2) puts it quite similarly: "A larger chunk of information will be given a larger chunk of code". This has also been regarded as a kind of iconicity (*iconicity of complexity* in Haspelmath's (2008: 6) terminology). According to this view, one would say that the singular tends to be zero-coded (cf. Greenberg's Universal 35 in (5) above) because it is semantically unmarked or simple, while the plural is semantically marked or complex.

The frequency explanation, by contrast, would say that the singular tends to be zero-coded because it is more frequent than the plural, and is thus more predictable. Languages generally use more coding for less predictable meanings. This explanation was first proposed by Greenberg, who noted that singular forms tend to be significantly more frequent than plural forms. His corpus counts from four corpora are given in Table 1 (from Greenberg 1966: 32).<sup>4</sup>

Language	Sample size	% Singular	% Plural	% Dual
Sanskrit	93,277	70.3	25.1	4.6
Latin (Terence)	8,342	85.2	14.8	
Russian	8,194	77.7	22.3	

74.3

25.7

Table 1: Relative frequencies of singular, plural and dual forms in four languages.

1.000

These asymmetries can easily be replicated from larger modern corpora. For example, in the Russian National Corpus, there are about 60 million singular nouns and 29 million plural nouns (i.e., about 33%), and in the Eastern Armenian National Corpus, there are 33 million singular nouns and 6 million plural nouns (i.e., about 15%).

<sup>4</sup> Greenberg focused on the correlation between the frequency asymmetries and other asymmetries, not on the explanation, but in a brief passage (Greenberg 1966: 65) he says that the frequency distribution is probably primary with respect to other semantic-grammatical "markedness" phenomena.

An advocate of the markedness explanation could object by saying that the frequency asymmetry is itself due to the markedness asymmetry: The reason the singular is more frequent in discourse is that it is semantically basic or unmarked (cf. Mayerthaler 1981: 136-140; Dressler et al. 2014: 187).

But this view is incompatible with the existence of singulative lexemes. The frequency explanation correctly predicts that if some nouns are different from the majority of nouns in that the multiplex form is more frequent than the uniplex form, then there should be a tendency for the multiplex form to be shorter than the uniplex form. The markedness explanation would have to claim that the plural is unmarked in these nouns, but this would be circular as long as no principled reason is given for why some nouns should have an unmarked singular, while other nouns should have an unmarked plural (cf. Mayerthaler 1981: 51-53).

### 4 Restating the central hypothesis

Let us now restate our central hypothesis in such a way that it is fully clear how it can be tested. We claim that the coding of uniplex/multiplex pairs of nouns tends to depend on frequency of use, in such a way that

- (8)a. uniplex-prominent meanings tend to be expressed by plurative lexemes
  - b. multiplex-prominent meanings tend to be expressed by singulative lexemes

Recall that a uniplex-prominent meaning (e.g., 'day') is a noun meaning whose counterpart nouns tend to be more frequent in uniplex use, and a multiplexprominent meaning (e.g., 'pea') is a noun meaning whose counterpart nouns tend to be more frequent in multiplex use.

The hypothesis in (8) is formulated from the perspective of frequency of occurrence, because uniplex prominence is defined in this way. We can alternatively formulate it from the perspective of the coding asymmetry, by defining PLURATIVE-PROMINENT MEANINGS as noun meanings that are frequently expressed by plurative lexemes, while SINGULATIVE-PROMINENT MEANINGS are noun meanings that are frequently expressed by singulative lexemes. This leads us to the formulation that

- (9)a. plurative-prominent meanings tend to occur frequently in uniplex use
  - b. singulative-prominent meanings tend to occur frequently in multiplex use

The statements in (8) and (9) are equivalent and differ only in the perspective that is taken. It is important to be aware that the hypothesis is stated as a crosslinguistic tendency, so that no claims about particular forms or particular languages are made. The patterns can be demonstrated (or falsified) only by taking a broadly comparative perspective.

# 5 Expression tendencies: singulative-prominent meanings

Let us first look at the coding of uniplex and multiplex meanings, in order to determine which kinds of noun meanings tend to be expressed as singulative lexemes. A fully rigorous method would be to look at a large and representative set of noun meanings (perhaps the 901 noun meanings of the World Loanword Database, Haspelmath and Tadmor 2009), at a large and representative set of languages (perhaps 50 languages from different families and regions), and to determine for each noun whether it is a plurative or a singulative noun.

Unfortunately, this method is not practical, because we lack data, and because of an additional problem: While most languages have plurative marking (though it is very often restricted and/or optional, Haspelmath 2005), few languages have singulative marking. In fact, singulative lexemes are attested in substantial numbers only in some Celtic languages, in varieties of Arabic (such as Maltese), in Cushitic languages, and in a few other languages spoken in northeastern Africa.<sup>5</sup>

Thus, instead of a rigorous approach, we adopt an impressionistic approach here. Tables 2, 3, 4, 5 and 6 show a selection of typical singulative nouns from Welsh (Celtic), Maltese (Arabic), Arbore (Cushitic; Ethiopia), Murle (Surmic; South Sudan), and Krongo (Kadugli-Krongo; Sudan). The descriptions on which these tables are based provide a substantial number of singulative and plurative nouns for these languages, but there is no obvious way to compare these systematically. Such a systematic study is a desideratum for the future.

See also Grimm (2012) on Dagaare (Gur).

<sup>5</sup> This might at first seem surprising, but the map in Haspelmath (2005) shows that African and European languages are particularly rich in obligatory plural marking, so it is in these areas that we expect the most extensive range of nominal number-marking variation.

Table 2: Typical singulative nouns from Welsh (King 1993: 67-69; see also Stolz 2001).

fruits/vegetables	madarch mwyar	maderch-en mwyar-en	mushrooms blackberries
	ffa	ffä-en	beans
	bresych	bresych-en	cauliflower
small animals	cacwn	cacyn-en	wasps
	clêr	cler-en	flies
	hwyaid	hwyad-en	ducks
	llygod	llygod-en	mice
groups of people	plant	plent-yn	children
other	sêr	ser-en	stars
	dillad	dilled-yn	clothes
	plu	plu-en	feathers <sup>a</sup>

<sup>&</sup>lt;sup>a</sup>For Welsh, Dressler et al. (2014: 187) note that the singulative suffix *-en* is a derivational suffix, and that the form pluen can be inflectionally pluralized with the productive suffix -au (pluenn-au). Similar additional forms are found in some of the other languages, but they are not relevant to the main point that we are making here, which is that pairs such as plu/pluen are found in specific semantic classes, and that they correlate with universal frequency asymmetries. Whether the pairs are inflectional or derivational is immaterial (even though our terminology in (8) suggests thinking of them in inflectional terms). We take this as a virtue of our approach, because it is often impossible to tell whether a pattern is inflectional or derivational.

Table 3: Typical singulative nouns from Maltese (Arabic; Mifsud 1996).

paired body-parts	zarbun	zarbun-a	shoes
	buz	buz-a	boots
fruits/vegetables	amħ	amħ-a	corn
	lewz	lewz-a	almonds
	tuffieħ	tuffieħ-a	apples
small animals	dubbien	dubbien-a	flies
	gawwi	gawwi-a	swallows
	wizz	wizz-a	geese
other	taraġ	taraġ-a	stairs
	ravyul	ravyul-a	ravioli

Table 4: Typical singulative nouns from Arbore (Cushitic; Hayward 1984: 179-183).

paired body-parts	farró	farri-t	fingers
	?edanó	?edan-té	testicles
	soonó	soonoñ-té	nose/nostrils
	moyḍé	moyḍe-īnté	eyebrows
fruits/vegetables	sáj	sayyi-t	grass
small animals	kóñčo	koñčo-t	water-snails
	?íñdo	?íπ̄do-t	grubs
	keḍéy	keḍe-té	bees
groups of people	hamár	hamar-tat	Hamar (ethn.)
other	húzzuķ	húzzuķ-añté	stars
	sañdóy	sañdoy-té	graves

Table 5: Typical singulative nouns from Murle (Surmic; Arensen 1982: 40-44).

paired body-parts	kεbεrε	kebere-c	eyes
	zɔɔ	zoo-c	feet
	oto	oto-n	horns
fruits/vegetables	ŋadεεra	ŋadεεra-c	onions
	ŋooru	ŋooru-woc	beans
	mɔtɔɔŋ	motooŋ-toc	tamarind fruits
small animals	aguna	aguna-c	black ants
	уєєla	yεεla-c	doves
	kel	kel-oc	fleas
groups of people	codε	codε-n	twins
	dɔl	dol-e	babies
	rotti	rotti-n	warriors
other	lete	lete-c	honey
	maam	maam-oc	water

A clear trend that emerges from these data is that the following semantic classes of nouns tend to be expressed as singulative lexemes:

- (10) a. paired body-parts
  - b. fruits/vegetables
  - c. small animals that occur in groups
  - d. groups of people

Not all of these groups are represented in all the languages, but they recur in a way that cannot be accidental. Following (9b), we now need to check whether these kinds of noun meanings do indeed tend to occur frequently in multiplex form.

paired body-parts	àaw	ntin-àaw	hair(s)
	íitò	tìn-íitò	horns
	màsállíŋ	tì-màsállíŋ	ankles
fruits/vegetables	fólóttó	tì-fólóttó	pods
	tờlìŋ	ǹ-tờlìŋ	leaves
small animals	àafúŋ	ǹtìn-àafúŋ	ants
	àasà	ntin-àasà	flies
	kwóoyá	mòtó-kwóoyá	snails
	òlló	f-òlló	wasps
groups of people	ókkótú	b-ókkótú	twins
other	màkàaràŋ	tì-màkàaràŋ	clouds
	súlì	tù-súlì	eggs
	kwáalà	mùtú-kwàalà	
	KWUUIU	mutu-kwaata	dippers

Table 6: Typical singulative nouns from Krongo (Kadugli; Reh 1985: 101-126).

## 6 Usage tendencies: the corpus data

In order to check whether it is crosslinguistically the case that singulativeprominent meanings (the meanings in (10a-d)) are highly frequent in multiplex form, we examined large corpora from five languages (English, Estonian, Latvian, Norwegian, Russian). We analyzed the frequencies of 18 lexemes in each language: three lexemes from six (subjective) semantic classes with potentially singulative-prominent meanings, as observed in Section 5. The labels of the classes of concepts are intended to be no more than descriptive.

(11) 18 singulative-prominent noun meanings for our corpus study

paired body-parts ear, leg, lung paired items: glove, shoe, ski

fruits/vegetables: apple, potato, strawberry

small animals: bee, pigeon, sheep people: child, boy, girl

ethnic groups: European, American, speaker of (the resp. language)

In addition, we looked at 18 random lexemes in each language (90 in total), hypothesizing that the random lexemes would in general not show the specific usage tendencies as the 18 nouns with the meanings in (11). We sampled the random sets from word lists of nouns of moderately high corpus frequency, in order to avoid behavioral bias from extremely frequent or very rare words. We expect the sets of random nouns to represent the average noun usage in the respective languages (as such, we did not attempt to filter the random sets for potentially multiplex-prominent words). The 18 + 18 nouns in each of the five languages are given in the Appendix.

The analysis was based on data from written language corpora (mostly media and literature; see the list of references for more details):

(12) English (British) BNC (British National Corpus of English)

Estonian EKK (Eesti kirjakeele korpus

= Estonian Reference Corpus)

Latvian MLVTK (Mūsdienu latviešu valodas tekstu korpuss

= Modern Latvian Text Corpus)

Norwegian (Bokmål) OK (Oslo-korpuset av taggede norske tekster

= Oslo Corpus of tagged Norwegian Texts)

Russian RNC (Nacional'nyi korpus russkogo jazyka =

= Russian National Corpus)

The choice of languages was motivated by (i) the fact that for each of them, sufficiently large corpora are freely available; (ii) we are at least somewhat familiar with the languages and as such, able to critically evaluate the corpus search results, and (iii) none of the languages can be said to have overt morphological singular marking. All of the involved corpora are already automatically morphologically tagged. Of course, automatic tagging is by no means flawless, which warranted manual counting and filtering in some cases (more on that below). Sub-corpora of texts written no earlier than 1990 were sampled from each corpus to avoid diachronic variation.

Some simplifications were necessary to allow for crosslinguistic comparisons. Only the singulars and plurals of nominative case forms were taken into account (in Norwegian, only nominative indefinite forms). Latvian and Russian have parallel ethnic terms for the two genders; only the masculine forms in the class "ethnic terms" were considered (a similar distinction is possible in Estonian, but its usage is marginal). In Norwegian, the indefinite singular and plural form is homonymous in 'ski', 'shoe', 'child' and 'strawberry', the same holds for the English sheep. In the BNC, the proper noun Apple is mostly tagged as a common noun, inflating the counts of singular for that concept. To calculate the asymmetry indices for such problematic words, we used small subsamples (40 occurrences each) and manually tagged them for grammatical number based on the context. Noun-noun compounds are very common in English, and the automatically tagged BNC seldom distinguishes multi-word compounds. This leads to inflated counts for the singular forms of nouns which are actually modifiers of the second part of the compound (e.g., searching for *ski* or *strawberry* also yield large volumes of *ski resort* and *strawberry*  jam). To avoid such inflation, only nouns not followed by another noun were counted in English. For Russian, a smaller, manually disambiguated subcorpus of the RNC was used to count the forms of the concept 'speakers of (the respective language)', as the word is homonymous. The corpus frequency results were furthermore selectively manually checked in an attempt to detect inflated counts caused by homonymy. Naturally, frequent usage in fixed phrases (I'm all ears; bad apple, etc.) influence the counts of the involved nouns, as does availability to be used as a mass noun (the probable reason that makes the Estonian 'potato' somewhat of an outlier, for example). However, we hope that sufficiently large samples alleviate these problems somewhat, letting the stronger tendencies shine through.

The difference between the counts of singular and plural forms of the nouns was normalized as an "asymmetry index" with a range of -1...1, where negative values indicate dominant singular usage, and positive values dominant plural usage. A '0' means that the counts were equal, and a value of -0.5 or 0.5 means that one of the forms forms occurred twice as often as the other. To put it another way, the value corresponds to (13):

(13) 
$$|x - y| / \max(x, y)$$
; if  $\max(x, y) = \text{count of singulars, multiply the result by } -1$ 

Statistical significance of the difference in the singular and plural form counts for each individual noun was tested by calculating the cumulative binomial probability for the distributions. The index value for non-significant distributions ( $\alpha = 0.05$ ) was automatically coded as '0', indicating equal distribution. This method would weed out both meaningless differences in small counts and small differences in similar large counts. For example, a distribution of 4 against 2 occurrences would yield a value of 0.5; equally well, a distribution of 90 against 83 is likely to be just chance. However, the samples were mostly fairly large (cf. Table 7), and the majority of the distributions were significantly different.

Table 7: A summary of the	sampled	corpora	used	in	this	study.

Language	Corpus	sub- corpus size	mean per million counts (sg+pl)	mean raw counts (sg+pl)	significantly different sg/pl distributions	mean index (random sampled nouns)	mean index (preselected nouns)
English	BNC	73M	50	3479	97%	-0.45	0.44
Estonian	EKK	217M	52	11 277	97%	-0.59	0.35
Latvian	MLVTK	4M	69	302	88%	-0.36	0.42
Norwegian	OK	11M	34	323	86%	-0.48	0.56
Russian	NCRL	48 M	46	2139	94 %	0.03	0.54

#### 7 Results

It is clear from Table 7 that the randomly sampled nouns, on average, tend to occur more in the singular, compared to the nouns representing the predetermined concepts, which occur more in the plural. The detailed distributions of the concepts may be observed below (Figure 1).

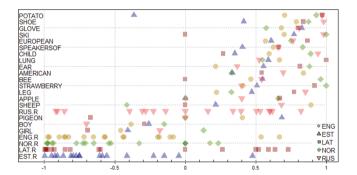


Figure 1: The sample of 180 nouns – 18 preselected nouns and 18 randomly sampled nouns from 5 languages – arranged along the vertical axis by the median asymmetry index value of the concepts. 'R.' Marks the random groups. The horizontal axis represents the number asymmetry index, discussed above, so the uniplex-prominent nouns lean to the left, and the multiplex-prominent nouns to the right side of the plot. (Details are given in the appendix.).

We tested the correlation of the asymmetry index with the semantic concepts using a linear regression model, with the asymmetry index as the response variable and the concept as a factorial predictor. A significant difference in the asymmetry index between the group of random nouns (the intercept of the model) and the rest of the concepts appeared (all concepts: p < 0.05, with two exceptions). The model as a whole was found to be significant ( $F_{18, 161} = 10.4$ , p < 0.001), with moderately high explanatory power (adjusted  $R^2 = 0.48$ ).

<sup>6</sup> Various model diagnostics (Cook's distance, DFBETA and DFFITS influence statistics, normality of the distribution of residuals, residuals against fitted values, and Levene's test of equality of error variances (Cook 1977; Belsley et al. 1980; Levene 1960) were used to test the validity of the model. The latter two indicated a heteroscedasticity problem – owing to the strong uniplex-preference of many of the random nouns – but it was not seen as a severe hindrance for the analysis (and a model using generalized least squares yielded essentially the same results). We also tested a mixed-effects model with different intercepts for the languages, which turned out equally significant, so the simpler model is published here. The models and diagnostics were run and the plot created using functions available in R (version 3.2.2; R Core Team 2015).

The random nouns as a group lean towards the singular or at least equal distribution in number. The preselected nouns, as hypothesized, gravitate towards the plural, with the two exceptions of the concepts of 'boy' and 'girl', which do not behave significantly differently from the random nouns (i.e., occur more in the singular; but note that 'child' on the other hand is multiplexprominent in all five languages). The model confirms that the observation that may already be drawn from Figure 1 - that nouns representing the predetermined concepts occur more in the plural, compared to the "general population" of random nouns - is indeed highly likely not due to chance.

In other words, the nouns that belong to such semantic classes which tend to be expressed by nouns with overt singulars (in languages with singulatives) strongly tend to be more frequent in the plural than in the singular, compared to randomly sampled nouns.

### 8 The explanation

As was made clear earlier, we claim that the tendency for singulative lexemes to be multiplex-prominent (and for plurative lexemes to be uniplex-prominent) is due to a highly general principle of grammatical coding, the grammatical formfrequency correspondence principle (in (3) above), which has a well-known explanation in terms of coding efficiency (Zipf 1935; Fenk-Oczlon 1991; Hawkins 2004; Haspelmath 2008). What is new here is that we apply this principle to singulative and plurative lexemes.

As was noted in Haspelmath et al. (2014) and elsewhere, the correspondence between form and frequency is implemented by diachronic mechanisms which tend to make frequent forms short, because frequent forms are more predictable than rare forms. Ultimately, it is thus predictability that lies at the root of the length difference and the coding asymmetry.

Thus, the causal effect is very indirect (cf. Newmeyer 2014): We cannot say that the Tag/Tag-e pattern in Modern German is due to the fact that Tag is more frequent than Tage in Modern German, and we cannot say that the Welsh pvs*en/pys* pattern is due to the fact that *pys* is more frequent than *pysen* in Welsh.

The causal effect is relatively weak, so it cannot be seen in all languages (many languages lack form distinctions between uniplex and multiplex nouns), and especially the tendency for multiplex-prominent nouns to occur as singulatives is manifested only very rarely. (In most languages, all lexemes join the majority pattern, due to system pressure, cf. Haspelmath 2014.) The explanatory mode can thus be summarized as in (14).

(14) Universal frequency asymmetries (resulting in predictability asymmetries) explain universal form asymmetries, via universal diachronic tendencies.

It is in this way that corpus data from Norwegian or Russian (or any other language) can be used to explain morphological asymmetries in Maltese and Arbore (or any other language with relevant asymmetries). This presupposes, of course, that frequency distributions are about the same in all languages, i.e., that Maltese or Arbore speakers show roughly the same usage patterns in their speech. While there are of course many cultural differences in language use, and there might be some in this area as well, we are not aware of any suggestions that point in this direction, so we feel that the presupposition is not problematic.

Before concluding, let us briefly address a critical question that readers might have: Couldn't it be that singulative lexemes are conceptualized differently in languages with singulative marking, as "less individualized", or "collective", or "masses"? Linguists have traditionally tended to favour meaning-based explanations over usage-based explanations of grammatical form (cf. Grimm 2012, who tries to explain singulative marking in Dagaare in this way).

Our answer is that we cannot rule out that such meaning differences exist, and if they exist, the semantic explanation would not be incompatible with our usage-based explanation. A meaning-based explanation would have to provide clear criteria for identifying conceptualizations independently of grammatical form (along the lines of Gil's (1996) exemplary discussion). It may well turn out that in some of the languages mentioned above, the basic forms that denote multiplex items (e.g., Maltese laring 'oranges') are semantically somewhat different from normal (i.e., pluratively coded) plurals (along the lines of the semantic differences between the English singular mass noun hair and the plural of the related count noun hairs). However, this is a matter for future research.

Whatever the outcome of such studies, a semantic explanation would be compatible with our frequency-based explanation. Note that we define our comparative concept multiplex not in terms of 'plural meaning', but in terms of "possible conceptualization". This means that mass nouns such as sand can be regarded as multiplex nouns as well. This would fit well with our overall claims, because the corresponding uniplex expression (grain of sand) has more formal coding, so one could say that the expression pair grain of sand/sand is a kind of singulative/basic pair, like those in (7), with the only difference that the singulative marker is not a grammatical affix, but a noun. The usage-based explanation in terms of frequency of use is thus actually independent of the mass vs. plural meanings of the nouns in question. Crucially in the present context, the semantic explanation does not make the usage-frequency explanation superfluous, because we also want to know which kinds of entities tend to be conceived of as masses. It would seem that it is precisely those that often occur in a multiplex sense, but this is a topic for future research.

# Appendix: Frequencies of the 18 + 18 nouns in each of the five languages

(Estonian, Norwegian, Latvian, Russian, English; pmw = per million words)

lang	type	concept	sg form	pl form	sg count	pl count	total (sg+pl) pmw	asymmetry index
EST	bodyparts	LEG	jalg	jalad	4528	8561	60.34	0.471
EST	bodyparts	LUNG	kops	kopsud	179	435	2.83	0.589
EST	bodyparts	EAR	kõrv	kõrvad	719	1609	10.73	0.553
EST	pairitems	SHOE	king	kingad	1052	1793	13.12	0.413
EST	pairitems	GLOVE	kinnas	kindad	135	782	4.23	0.827
EST	pairitems	SKI	suusk	suusad	508	2212	12.54	0.770
EST	flockanimals	PIGEON	tuvi	tuvid	73	231	1.40	0.684
EST	flockanimals	BEE	mesilane	mesilased	218	482	3.23	0.548
EST	flockanimals	SHEEP	lammas	lambad	740	943	7.76	0.215
EST	ethnic	SPEAKERSOF	eestlane	eestlased	12 263	20 607	151.53	0.405
EST	ethnic	AMERICAN	ameeriklane	ameeriklased	4426	6629	50.96	0.332
EST	ethnic	EUROPEAN	eurooplane	eurooplased	583	1487	9.54	0.608
EST	children	CHILD	laps	lapsed	26 566	40 903	311.03	0.351
EST	children	BOY	poiss	poisid	17 530	12 967	140.59	-0.260
EST	children	GIRL	tüdruk	tüdrukud	13 800	8173	101.29	-0.408
EST	fruits	STRAWBERRY	maasikas	maasikad	298	604	4.16	0.507
EST	fruits	APPLE	õun	õunad	848	829	7.73	0.000
EST	fruits	POTATO	kartul	kartulid	1517	964	11.44	-0.365
EST	.random	.R	erakond	erakonnad	5703	4847	48.63	-0.150
EST	.random	.R	mark	margid	1177	484	7.66	-0.589
EST	.random	.R	teadmine	teadmised	3997	3097	32.70	-0.225
EST	.random	.R	teater	teatrid	8236	764	41.49	-0.907
EST	.random	.R	toime	toimed	1760	5	8.14	-0.997
EST	.random	.R	lootus	lootused	6300	2789	41.90	-0.557
EST	.random	.R	nägu	näod	7918	1782	44.72	-0.775
EST	.random	.R	klubi	klubid	10 107	2493	58.09	-0.753
EST	.random	.R	järv	järved	1719	351	9.54	-0.796
EST	.random	.R	vend	vennad	8360	4896	61.11	-0.414
EST	.random	.R	põhjus	põhjused	17 651	4400	101.65	-0.751

lang	type	concept	sg form	pl form	sg count	pl count	total (sg+pl) pmw	asymmetry index
EST	.random	.R	värav	väravad	3403	3245	30.65	-0.046
EST	.random	.R	töötaja	töötajad	7563	14 444	101.45	0.476
EST	.random	.R	kool	koolid	11 913	4660	76.40	-0.609
EST	.random	.R	jumal	jumalad	10 107	509	48.94	-0.950
EST	.random	.R	treener	treenerid	21 834	2915	114.09	-0.866
EST	.random	.R	süsteem	süsteemid	11 833	891	58.66	-0.925
EST	.random	.R	idee	ideed	14 847	2789	81.30	-0.812
NOR	bodyparts	LEG	fot	føtter	103	153	22.65	0.327
NOR	bodyparts	LUNG	lunge	lunger	14	31	3.98	0.548
NOR	bodyparts	EAR	øre	ører	13	110	10.88	0.882
NOR	pairitems	SHOE	sko	sko	5	40	NA	0.875
NOR	pairitems	GLOVE	hanske	hansker	20	47	5.93	0.574
NOR	pairitems	SKI	ski	ski	0	45	NA	1.000
NOR	flockanimals	PIGEON	due	duer	25	36	5.40	0.000
NOR	flockanimals	BEE	bie	bier	1	25	2.30	0.960
NOR	flockanimals	SHEEP	sau	sauer	256	150	35.93	-0.414
NOR	ethnic	SPEAKERSOF	nordmann	nordmenn	202	1208	124.78	0.833
NOR	ethnic	AMERICAN	amerikaner	amerikanere	53	79	11.68	0.329
NOR	ethnic	EUROPEAN	europeer	europeere	11	44	4.87	0.750
NOR	children	CHILD	barn	barn	5	45	NA	0.889
NOR	children	BOY	gutt	gutter	763	649	124.96	-0.149
NOR	children	GIRL	jente	jenter	748	859	142.21	0.129
NOR	fruits	STRAWBERRY	jordbær	jordbær	0	50	NA	1.000
NOR	fruits	APPLE	eple	epler	41	120	14.25	0.658
NOR	fruits	POTATO	potet	poteter	25	351	33.27	0.929
NOR	.random	.R	angriper	angripere	25	30	5.73	0.000
NOR	.random	.R	base	baser	118	27	15.10	-0.771
NOR	.random	.R	belastning	belastninger	142	39	18.85	-0.771
NOR	.random	.R	garanti	garantier	188	86	28.54	-0.723
NOR	.random	.R	statssekretær	statssekretærer	256	17	28.44	-0.934
NOR	.random	.R			423	123	56.88	-0.709
			stykke	stykker				
NOR NOR	.random	.R .R	vik	viker	19	9	2.92	-0.526
	.random		vitne	vitner	263	319	60.63	0.176
NOR	.random	.R	lunsj	lunsjer	77	2	8.23	-0.974
NOR	.random	.R	banker	bankere	77	2	8.23	-0.974
NOR	.random	.R	artikkel	artikler	235	202	45.52	0.000
NOR	.random	.R	demonstrasjon	demonstrasjoner	98	82	18.75	0.000
NOR	.random	.R	offer	ofre	217	283	52.08	0.233
NOR	.random	.R	virkelighet	virkeligheter	451	8	47.81	-0.982
NOR	.random	.R	tegning	tegninger	245	264	53.02	0.000
NOR	.random	.R	storstue	storstuer	73	4	8.02	-0.945
NOR	.random	.R	søknad	søknader	239	161	41.67	-0.326
NOR	.random	.R	roman	romaner	376	132	52.92	-0.649
RUS	bodyparts	LEG	нога	ноги	516	3469	83.32	0.851
RUS	bodyparts	LUNG	лёгкое	лёгкие	339	711	21.95	0.523
RUS	bodyparts	EAR	yxo	уши	527	833	28.44	0.367
RUS	pairitems	SHOE	туфля	туфли	6	323	6.88	0.981
RUS	pairitems	GLOVE	рукавица	рукавицы	11	57	1.42	0.807
RUS	pairitems	SKI	лыжа	лыжи	6	297	6.34	0.980

lang	type	concept	sg form	pl form	sg count	pl count	total (sg+pl) pmw	asymmetry index
RUS	flockanimals	PIGEON	голубь	голуби	90	109	4.16	0.000
RUS	flockanimals	BEE	пчела	пчелы	51	111	3.39	0.541
RUS	flockanimals	SHEEP	овца	овцы	64	201	5.54	0.682
RUS	ethnic	SPEAKERSOF	русский	русские	17	50	43.28	0.660
RUS	ethnic	AMERICAN	англичанин	американцы	320	1046	28.56	0.694
RUS	ethnic	EUROPEAN	европеец	европейцы	77	324	8.38	0.762
RUS	children	CHILD	дитя	дети	398	6698	148.37	0.941
RUS	children	BOY	мальчик	мальчики	1623	486	44.10	-0.701
RUS	children	GIRL	девочка	девочки	1414	1158	53.78	-0.181
RUS	fruits	STRAWBERRY	земляника	земляники	53	88	2.95	0.398
RUS	fruits	APPLE	яблоко	яблоки	224	373	12.48	0.399
RUS	fruits	POTATO	картофелина	картофель	6	199	4.29	0.970
RUS	.random	.R	видимость	видимости	299	740	21.72	0.596
RUS	.random	.R	вор	воры	265	205	9.83	-0.226
RUS	.random	.R	скатерть	скатерти	93	80	3.62	0.000
RUS	.random	.R	, казарма	, казармы	29	162	3.99	0.821
RUS	.random	.R	, набор	наборы	1879	154	42.51	-0.918
RUS	.random	.R	пауза	паузы	459	319	16.27	-0.305
RUS	.random	.R	паспорт	паспорта	826	500	27.73	-0.395
RUS	.random	.R	ребро	рёбра	74	193	5.58	0.617
RUS	.random	.R	общество	общества	4561	9189	287.50	0.504
RUS	.random	.R	достоинство	достоинства	810	1221	42.47	0.337
RUS	.random	.R	действие	действия	3860	8235	252.90	0.531
RUS	.random	.R	период	периоды	9462	879	216.22	-0.907
RUS	.random	.R	след	следы	806	959	36.90	0.160
RUS	.random	.R	сомнение	сомнения	738	1844	53.99	0.600
RUS	.random	.R	понимание	понимания	1858	1597	72.24	-0.140
RUS	.random	.R	КНЯЗЬ	КНЯЗЬЯ	1024	146	24.46	-0.857
RUS	.random	.R	клиент	клиенты	654	470	23.50	-0.281
RUS	.random	.R	дверца	дверцы	52	86	2.89	0.395
LAT	bodyparts	EAR	auss	ausis	18	97	26.08	0.814
LAT	bodyparts	LUNG	plauša	plaušas	0	28	6.35	1.000
LAT	bodyparts	LEG	kāja	kājas	60	263	73.26	0.772
LAT	pairitems	SKI	slēpe	slēpes	0	1	0.23	0.000
LAT	pairitems	GLOVE	cimds	cimdi	4	20	5.44	0.800
LAT	pairitems	SHOE	kurpe	kurpe	8	49	12.93	0.837
LAT	flockanimals	BEE	bite	bites	9	7	3.63	0.000
LAT	flockanimals	SHEEP	aita	aitas	4	11	3.40	0.000
LAT	flockanimals	PIGEON	balodis	baloži	73	24	22.00	-0.671
LAT	ethnic	AMERICAN	amerikānis	amerikāņi	32	70	23.13	0.543
LAT	ethnic	EUROPEAN	eiropietis	eiropieši	2	15	3.86	0.867
LAT	ethnic	SPEAKERSOF	latvietis	latvieši	80	237	71.90	0.662
LAT	children	GIRL	meitene	meitenes	655	202	194.38	-0.692
LAT	children	BOY	zēns	zēni	186	132	72.13	-0.290
LAT	children	CHILD	bērns	bērni	1056	1446	567.48	0.270
LAT	fruits	STRAWBERRY	zemene	zemenes	1056	48	11.79	0.270
LAT	fruits	APPLE	ābols	āboli	33	100	30.17	0.917
LAT	fruits	POTATO	kartupelis		33 4	142	33.11	0.670
			,	kartupeļi izstāda				
LAT	.random	.R	izstāde	izstāde	203	4	46.95	-0.98

lang	type	concept	sg form	pl form	sg count	pl count	total (sg+pl)	asymmetry index
							pmw	
LAT	.random	.R	prasība	prasība	145	536	154.46	0.729
LAT	.random	.R	vieta	vieta	952	16	219.55	-0.983
LAT	.random	.R	zeme	zeme	359	1	81.65	-0.997
LAT	.random	.R	gods	gods	89	1	20.41	-0.989
LAT	.random	.R	krusts	krusts	47	7	12.25	-0.851
LAT	.random	.R	kaimiņš	kaimiņš	44	121	37.42	0.636
LAT	.random	.R	stāsts	stāsts	327	127	102.97	-0.612
LAT	.random	.R	mirklis	mirklis	55	22	17.46	-0.600
LAT	.random	.R	līnija	līnija	95	1	21.77	-0.989
LAT	.random	.R	zieds	ziedi	51	102	34.70	0.500
LAT	.random	.R	koris	kori	81	15	21.77	-0.815
LAT	.random	.R	dievs	dievi	574	41	139.49	-0.929
LAT	.random	.R	pacients	pacienti	154	209	82.33	0.263
LAT	.random	.R	speciālists	speciālisti	190	465	148.56	0.591
LAT	.random	.R	priekšmets	priekšmeti	121	101	50.35	0.000
LAT	.random	.R	pakalpojums	pakalpojumi	91	190	63.73	0.521
LAT	.random	.R	pieprasījums	pieprasījumi	212	11	50.58	-0.948
ENG	bodyparts	LEG	leg	legs	3257	4174	102.27	0.220
ENG	bodyparts	LUNG	lung	lungs	189	568	10.42	0.667
ENG	bodyparts	EAR	ear	ears	1499	1905	46.85	0.213
ENG	pairitems	SHOE	shoe	shoes	514	2407	40.20	0.786
ENG	pairitems	GLOVE	glove	gloves	212	660	12.00	0.679
ENG	pairitems	SKI	ski	skis	52	173	3.10	0.699
ENG	flockanimals	PIGEON	pigeon	pigeons	165	277	6.08	0.404
ENG	flockanimals	BEE	bee	bees	251	468	9.89	0.464
ENG	flockanimals	SHEEP	sheep	sheep	4	36	NA	0.889
ENG	ethnic	SPEAKERSOF	brit	brits	59	157	2.97	0.624
ENG	ethnic	AMERICAN	american	americans	309	1887	30.22	0.836
ENG	ethnic	EUROPEAN	european	europeans	212	491	9.67	0.568
ENG	children	CHILD	child	children	11 475	28 253	546.74	0.594
ENG	children	BOY	boy	boys	7866	4845	174.93	-0.384
ENG	children	GIRL	girl	girls	9467	5122	200.78	-0.459
ENG	fruits	STRAWBERRY	strawberry	strawberries	106	179	3.92	0.408
ENG	fruits	APPLE	apple	apples	18	22	NA	0.000
ENG	fruits	POTATO	potato	potatoes	294	995	17.74	0.705
ENG	.random	.R	clearing	clearings	176	30	2.83	-0.830
ENG	.random	.R	claim	claims	3448	3070	89.70	-0.110
ENG	.random	.R	headline	headlines	274	592	11.92	0.537
ENG	.random	.R	representation	representations	2338	1010	46.08	-0.568
ENG	.random	.R	background	backgrounds	3164	465	49.94	-0.853
ENG	.random	.R	recorder	recorders	552	193	10.25	-0.650
ENG	.random	.R	tablet	tablets	222	670	12.28	0.669
ENG	.random	.R	primary	primaries	216	122	4.65	-0.435
ENG	.random	.R	batch	batches	372	105	6.56	-0.718
ENG	.random	.R	noun	nouns	230	128	4.93	-0.443
ENG	.random	.R	partner	partners	2947	2698	77.69	-0.084
ENG	.random	.R	governor	governors	1495	1203	37.13	-0.195
ENG	.random	.R	mistake	mistakes	2490	1056	48.80	-0.576
ENG	.random	.R	opening	openings	1814	260	28.54	-0.857

lang	type	concept	sg form	pl form	sg count	pl count	total (sg+pl) pmw	asymmetry index
ENG	.random	.R	reconstruction	reconstructions	629	67	9.58	-0.893
ENG	.random	.R	approval	approvals	2760	95	39.29	-0.966
ENG	.random	.R	bail	bails	432	11	6.10	-0.975
ENG	.random	.R	slope	slopes	743	604	18.54	-0.187

### Corpora

- BNC = The British National Corpus (of English), version 3 (BNC XML Edition). 2007.

  Distributed by Oxford University Computing Services on behalf of the BNC Consortium. URL: http://www.natcorp.ox.ac.uk/
- EKK = Eesti keele koondkorpus [Estonian Reference Corpus]. The morphologically tagged version (cf. Kirt 2013) was used in this study. The most current version is available at http://www.cl.ut.ee/korpused.
- MLVTK = Mūsdienu latviešu valodas tekstu korpuss [Modern Latvian Text Corpus]. Available at http://www.korpuss.lv/(accessed 01.10.2014)
- OK = Oslo-korpuset av taggede norske tekster (bokmålsdelen) [The Oslo Corpus of Tagged Norwegian Texts (the bokmål-part)]. Available at http://www.tekstlab.uio.no/norsk/bokmaal/
- RNC = National Corpus of the Russian Language (НКРЯ = Национальный корпус русского языка) Available at http://ruscorpora.ru/(accessed 23.11.2014).

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