

# Aging Affects Adaptation to Sound-Level Statistics in Human Auditory Cortex

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Optimal perception requires efficient and adaptive neural processing of sensory input. Neurons in nonhuman mammals adapt to the statistical properties of acoustic feature distributions such that they become sensitive to sounds that are most likely to occur in the environment. However, whether human auditory responses adapt to stimulus statistical distributions and how aging affects adaptation to stimulus statistics is unknown. We used MEG to study how exposure to different distributions of sound levels affects adaptation in auditory cortex of younger (mean: 25 years;  $n = 19$ ) and older (mean: 64 years;  $n = 20$ ) adults (male and female). Participants passively listened to two sound-level distributions with different modes (either 15 or 45 dB sensation level). In a control block with long interstimulus intervals, allowing neural populations to recover from adaptation, neural response magnitudes were similar between younger and older adults. Critically, both age groups demonstrated adaptation to sound-level stimulus statistics, but adaptation was altered for older compared with younger people: in the older group, neural responses continued to be sensitive to sound level under conditions in which responses were fully adapted in the younger group. The lack of full adaptation to the statistics of the sensory environment may be a physiological mechanism underlying the known difficulty that older adults have with filtering out irrelevant sensory information.

**Key words:** aging; auditory cortex; magnetoencephalography; neural adaptation; stimulus statistics

## Significance Statement

Behavior requires efficient processing of acoustic stimulation. Animal work suggests that neurons accomplish efficient processing by adjusting their response sensitivity depending on statistical properties of the acoustic environment. Little is known about the extent to which this adaptation to stimulus statistics generalizes to humans, particularly to older humans. We used MEG to investigate how aging influences adaptation to sound-level statistics. Listeners were presented with sounds drawn from sound-level distributions with different modes (15 vs 45 dB). Auditory cortex neurons adapted to sound-level statistics in younger and older adults, but adaptation was incomplete in older people. The data suggest that the aging auditory system does not fully capitalize on the statistics available in sound environments to tune the perceptual system dynamically.

## Introduction

Optimal behavior requires that perceptual systems process physical acoustic stimulation efficiently and adaptively (Lewicki, 2002; Wark et al., 2007; Kluender et al., 2013; Whitmire

and Stanley, 2016). However, neurons supporting perceptual inferences are inherently limited in the range with which they respond to sensory inputs (Laughlin, 1981). One way to overcome this limitation is to adjust dynamically a neuron's response range (input–output function) to statistical distributions of acoustic features in the environment in a process called adaptation to stimulus statistics, dynamic range adaptation, or gain control (Salinas and Thier, 2000; Dean et al., 2005, 2008; Nagel and Doupe, 2006; Wark et al., 2007; Robinson and McAlpine, 2009; Wen et al., 2009, 2012; Dahmen et al., 2010; Hildebrandt et al., 2011; Rabinowitz et al., 2011; Herrmann et al., 2014). Neural adaptation to stimulus statistics has been studied almost exclusively in nonhuman mammals and whether the results generalize to humans, particularly to older humans, is unknown.

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Adaptation to the statistics of acoustic environments is often studied using paradigms in which the sound-level statistics vary over different distributions (“environments”). Subcortical and cortical neurons in animals (guinea pigs, crickets, cats, songbirds, monkeys) adjust their response sensitivity to the mean sound level of a stimulus distribution (Dean et al., 2005, 2008; Nagel and Doupe, 2006; Watkins and Barbour, 2008; Wen et al., 2009, 2012; Hildebrandt et al., 2011; Robinson et al., 2016). In other words, a neuron’s firing threshold shifts to a distribution’s mean level such that the neuron is sensitive to sound levels above that mean level while avoiding response saturation. Adaptation to sound-level statistics ensures high sensitivity to a wide range of sound levels despite the limited range of a neuron (Dean et al., 2005; Robinson et al., 2016).

In humans, neural responses (measured using EEG or MEG) adapt to different sound–frequency distributions (Garrido et al., 2013; Herrmann et al., 2013a, 2014). Adaptation to sound-level (as opposed to sound–frequency) statistics has not been investigated despite this being crucial for avoiding neural response saturation in loud sound environments. Furthermore, older people and people with hearing impairment demonstrate phenomena such as hyperacusis (Baguley, 2003; Tyler et al., 2014) and loudness recruitment (Harris, 1953; Epstein and Marozeau, 2006) that are thought to be related to altered gain control along the auditory pathway (Knipper et al., 2013; Zeng, 2013). Dynamic control of neural gain is required for adaptation to stimulus statistics, but this may be impaired in older people.

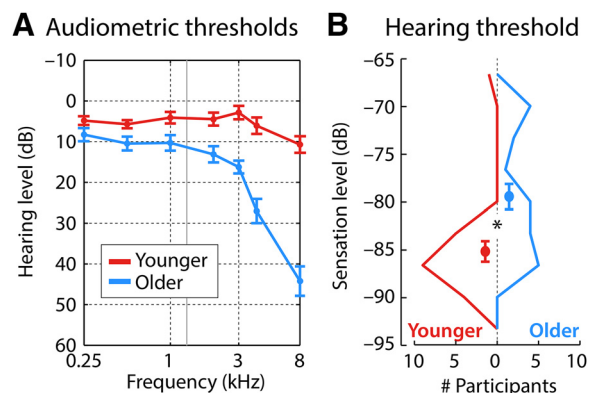
Little is known about the extent to which adaptation to stimulus statistics may be affected by aging. Sensitivity to sound–frequency statistics appears to be unaffected in older people (Herrmann et al., 2013a). However, auditory cortex neurons of older adults seem to recover faster from neural adaptation compared with younger people (consistent with observations in older animals; de Villers-Sidani et al., 2010; Herrmann et al., 2016a). A consequence of reduced adaptation recovery times is that the history of sound stimulation is less reflected in the response to a current sound; that is, reduced recovery times would manifest as a smaller effect of sound–feature distributions on neural responses.

The current study uses MEG to investigate whether aging affects neural adaptation to sound-level statistics in auditory cortex. Younger and older adults listened to tones with sound levels that were drawn from sound-level distributions with different modes (either 15 or 45 dB). Analyses focus on responses to tones for which the sound level, and the sound level of the directly preceding tone, are identical across sound-level distributions; this allows us to investigate the effects of longer-term sound-level statistics on neural adaptation.

## Materials and Methods

**Experimental design and statistical analysis.** This study was not preregistered. Details of the critical variables and statistical tests for each specific analysis can be found in the subsections devoted to each analysis. In general, age group differences were either tested using an independent-samples *t* test or via a between-subject factor in an ANOVA. Experimental manipulations (e.g., sound-level distributions) were dependent (repeated) factors. Differences between experimental manipulations were thus tested using a paired-samples *t* test, a one-sample *t* test, or via a within-subject factor in an ANOVA.

Herein, effect sizes are provided as partial  $\eta$ -squared ( $\eta_p^2$ ) when an ANOVA is reported and as  $r_{\text{equivalent}}$  ( $r_e$ ) when a *t* test is reported (Rosenthal and Rubin, 2003).  $r_e$  is equivalent to a Pearson product-moment correlation for two continuous variables, to a point-biserial correlation for one continuous and one dichotomous variable, and to the square root of partial  $\eta^2$  for ANOVAs.



**Figure 1.** Hearing assessment. **A**, Results from audiometric testing for 14/19 younger and 16/20 older participants. The solid gray line at 1300 Hz marks the frequency of the tones used in the current study. **B**, Histogram of sensation levels (for a 1300 Hz tone) for younger and older participant groups. Dots and error bars reflect the mean level and SEM, respectively, for each group ( $*p < 0.05$ ). The sensation levels reflect dB values from the threshold estimation procedure written in MATLAB.

**Participants.** Nineteen younger (mean: 25 years; range: 18–31 years; 10 female) and 20 older (mean: 64 years; range: 54–71 years; 10 female) adults participated in the current study. Participants reported no neurological disease or hearing impairment, gave written informed consent, and were paid for their participation. Data from one additional younger individual were excluded from the analysis because no response to a sound was observed even in blocks in which neurons could recover from adaptation. The study was conducted in accordance with the Declaration of Helsinki and approved by the Nonmedical Research Ethics Board of the University of Western Ontario (protocol ID: 106570).

**Assessment of hearing abilities.** Pure tone audiometric data were available for 14/19 younger and 16/20 older participants (Fig. 1A). For these participants, the pure tone average (i.e., the mean across the 0.25, 0.5, 1, 2, and 4 kHz frequencies) was  $<25$  dB HL.

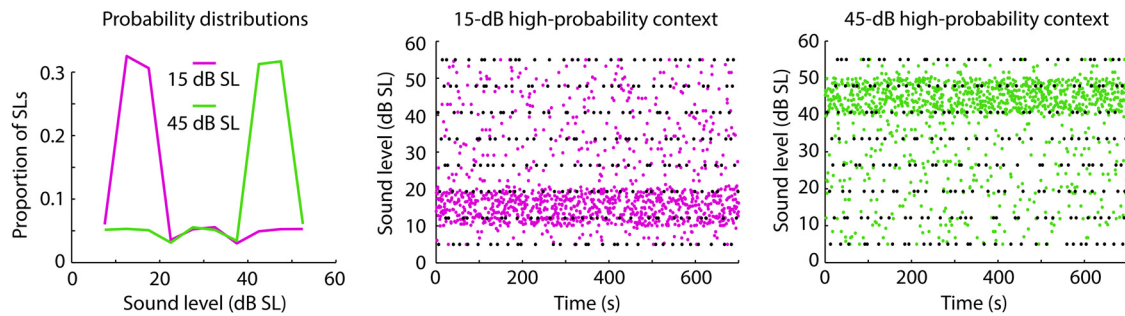
For each participant, we measured the hearing threshold (i.e., sensation level [SL]) for the tone frequency used in the current study (1300 Hz; see below). The mean hearing threshold was slightly elevated for older compared with younger adults (difference of 5.7 dB; Fig. 1B;  $t_{37} = 3.33$ ,  $p = 0.002$ ,  $r_e = 0.480$ ), which is consistent with previous studies investigating hearing in healthy aging populations (Herrmann et al., 2013b, 2016a; Presacco et al., 2016) and with the audiometric data we acquired. No individual in the experiment (younger and older adults) had a hearing threshold  $>20$  dB above the mean hearing threshold of the younger adults.

To estimate the audiometric pure tone average in the participants for whom we were unable to measure audiometry, a linear function was fit to the pure tone average data from the 30 participants for which we had obtained the pure tone average as a function of the measured hearing threshold (in dB SL) for the 1300 Hz tone frequency. Based on the estimated coefficients from the linear function fit, we predicted the pure tone average for each of the remaining participants to be  $<25$  dB HL.

No statistically significant difference between age groups was observed in self-rated hearing abilities ( $t_{36} = 0.29$ ;  $p = 0.776$ ,  $r_e = 0.048$ ; assessed using a rating scale ranging from 0 to 10) or in self-reported speech perception ( $t_{37} = 0.88$ ;  $p = 0.382$ ,  $r_e = 0.144$ ), spatial hearing abilities ( $t_{37} = 1.02$ ;  $p = 0.314$ ,  $r_e = 0.166$ ), or quality of hearing ( $t_{37} = 1.24$ ;  $p = 0.224$ ,  $r_e = 0.199$ ) as measured by a short German version of the Speech, Spatial, and Qualities of Hearing Scale (Gatehouse and Noble, 2004). Groups also did not differ on self-rated music abilities/experience ( $t_{35} = 1.63$ ;  $p = 0.113$ ,  $r_e = 0.265$ ; rating scale range 0–10).

The data suggest that the people who participated in the current study have clinically normal hearing relative to their age (despite the slight threshold elevation for older people).

**Acoustic stimulation and procedure.** All experimental procedures were performed in an electromagnetically shielded, sound-attenuating room. Sounds were presented via in-ear phones and the stimulation was con-



**Figure 2.** Experimental stimulation. Left, Example probability distributions used for acoustic stimulation for the two contexts: one with a modal sound level of 15 dB SL and the other with a modal sound level of 45 dB SL. Middle/right, 1400 tones with different sound levels ( $y$ -axis) were presented within a 700 s block ( $x$ -axis). Each dot reflects the sound level of one tone. Black dots are the stimuli of interest: their level, and the level of the directly preceding tone, were identical across contexts.

trolled by a PC (Win XP, 64 Bit) running Psychtoolbox (version 3.0.11) in MATLAB (version 7.8.0.347).

In the beginning of the experimental session, the hearing threshold for a 1300 Hz sine tone was determined for each participant using a method-of-limits procedure (Leed, 2001). Tones of 15 s duration either decreased or increased in intensity by 4 dB/s over time (decreasing and increasing trials were interleaved) and participants indicated when they could no longer hear the tone (intensity decrease) or when they started to hear the tone (intensity increase). The mean sound intensity at the time of the button press was noted for six decreasing trials and six increasing trials and these were averaged to determine the individual hearing threshold. All sounds in the MEG experiment were presented relative to the individual threshold (SL).

Tones were presented in two different types of stimulation blocks in the MEG experiment. Stimulation blocks differed with respect to the sound-level distributions from which a tone's sound level was drawn: for one block type, the modal sound level was 15 dB SL; for the other block type, it was 45 dB SL (Fig. 2). Critically, to investigate the effects of sound-level distribution (i.e., stimulus statistics) on neural responses, we eliminated the confounding effects of different acoustics by ensuring that the tones for which we analyzed neural responses as well as their local context (i.e., directly preceding tones) were identical across block types (i.e., 15 vs 45 dB SL), whereas the longer-term statistics were different between the two types of blocks.

The details of the sound stimulation during the MEG recordings were as follows. Participants listened to six blocks of sound stimulation, each 700 s long. In each block, 1400 tones were presented that had a frequency of 1300 Hz and a duration of 100 ms. The onset-to-onset interval was kept constant at 500 ms.

In each block, 8 tones with sound levels ranging from 5 to 55 dB SL (step size: 7.143 dB SL) were each presented 30 times, yielding 240 tones per block. These stimuli were identical across all six blocks (black dots in Fig. 2, middle/right). The 240 tones immediately preceding each of these  $8 \times 30 = 240$  tones were also fixed such that, for each of the 30 presentations of 1 of the 8 sound levels, the sound level of the preceding tone took on 1 of 30 sound levels (range: 5–55 dB SL; step size: 1.724 dB SL) without replacement. Therefore, the same 240 pairs of experimental tones were presented in each block.

The sound levels for the remaining 920 tones (of the 1400 tones per block) were chosen randomly (range: 5–55 dB SL; step size: 0.1 dB SL) depending on the sound-level distribution (Fig. 2). For three of the six blocks, sound levels were randomly chosen from a sound-level distribution with a 15 dB high-probability region (purple dots in Fig. 2, middle). For the other three blocks, sound levels were randomly chosen from a sound-level distribution with a 45 dB high-probability region (green dots in Fig. 2, right). High-probability regions had a width of 10 dB centered on 15 or 45 dB SL (Fig. 2, left). The 480 experimental tones (i.e., 240 pairs) and the 920 filler tones were intermixed randomly in each block and presented such that at least one filler tone occurred between each of the 240 pairs of experimental tones. Blocks with different sound-level distributions alternated, and the starting sound-level distribution was counterbalanced across participants. Hereafter, we use “context” when

referring to stimulus presentations based on the different sound-level distributions.

Analysis of the influence of sound-level context (statistics) on neural responses focused on the second tone in each pair of experimental tones: these were identical across the two sound-level distributions (black dots in Fig. 2, middle/right).

In a seventh block of trials (the “no-adaptation” block), participants were presented with the 1300 Hz tone 40 times at an onset-to-onset interval of 7 s and a sound level of 55 dB SL. Neural populations were assumed to be fully or almost fully recovered from adaptation during the time between the two tone presentations (Hari et al., 1982; Lü et al., 1992; Mäkelä et al., 1993; Sams et al., 1993; Budd et al., 1998; Zacharias et al., 2012). Therefore, we used this block to assess neural responses to tones when neurons are in a nonadapted state (see also Herrmann et al., 2014, 2015b).

**MEG recordings and preprocessing.** MEG data were recorded using a 306-channel Neuromag Vectorview MEG (Elekta; sampling rate: 1000 Hz, online filter: DC–330 Hz) at the Max Planck Institute for Human Cognitive and Brain Sciences in Leipzig, Germany. The signal space separation method was used to suppress external interference, interpolate bad channels, and transform individual data to a common sensor space, which allows comparison of topographical distributions across participants (Taulu et al., 2004, 2005).

Data were high-pass filtered (0.7 Hz; 2391 points, Hann window), low-pass filtered (30 Hz, 45 points, Kaiser window), downsampled to 500 Hz, and divided into 500-ms-long epochs time locked to sound onset (from 100 ms before to 400 ms after sound onset). Independent components analysis was used to remove noisy channels and activity related to eye movements and the heart (based on 120 randomly chosen data snippets of 10 s duration from the same preprocessing pipeline). Epochs comprising a signal change larger than 5 picoteslas (pT) in any magnetometer channel or a signal change larger than 200 pT/m in any gradiometer channel were excluded.

**Combination of magnetometer and gradiometer channels.** To account for all data recorded, we combined signals from magnetometer and gradiometer channels. The Vectorview MEG device (Elekta) records magnetic fields using 102 magnetometers and 204 gradiometers in 102 locations distributed around the head. Magnetometers and gradiometers differ in their configuration, such that magnetometers measure magnetic fields in tesla (T), whereas gradiometers (a coupled pair of magnetometers) measure differences in (the same) magnetic fields over a specified distance (i.e., 0.0168 m) in tesla per meter (T/m). The combination of channel types requires accounting for their different units and thus requires scaling of the channels. For the current data, we rescaled all channels to tesla (i.e., to magnetometer channels) because such a model only requires a simple linear interpolation and it results in the same scaling for all channels. To this end, we applied the following rescaling matrix to each of the 102 sensor triplets (i.e., one triplet comprises two gradiometer channels and one magnetometer channel):

$$X_{\text{scaled}} = S \times X$$



where  $X$  consists of a  $3 \times n$  matrix (with  $n$  being the number of data samples). The three rows of  $X$  refer to the two gradiometers and one magnetometer (i.e., one triplet).  $S$  refers to a  $5 \times 3$  scaling matrix with the following elements (the value 0.0084 reflects half of the distance between the two gradiometer loops measured in meters and the rescaling constitutes a linear approximation of the magnetic field at each of the triplets) as follows:

$$S = \begin{pmatrix} -0.0084 & 0 & 1 \\ 0.0084 & 0 & 1 \\ 0 & -0.0084 & 1 \\ 0 & 0.0084 & 1 \\ 0 & 0 & 1 \end{pmatrix} \quad (1)$$

The rescaling replaces the sensor triplet by a sensor quintet of magnetometers. The columns of  $S$  refer to the triplet of two gradiometers and one magnetometer and the rows of  $S$  refer to the resulting five magnetometers.

Note that the results of this analysis combining sensor types yielded results very similar to those obtained by analyzing data from each channel type separately (figures for results from separate analyses of magnetometers and gradiometers can be found at <https://osf.io/y5d4u/>; see also Garcés et al., 2017).

**Spatial filtering and source localization.** To focus our analysis on auditory cortex activity, a spatial filter was calculated separately for each participant. To this end, a singular value decomposition was computed using the difference between a signal covariance matrix (based on data from 0–200 ms time locked to tone onset; averaged across the 40 trials in the “no-adaptation” block) and a noise covariance matrix (based on data from –100 to 0 ms before tone onset; averaged across the 40 trials in the no-adaptation block). The elements of the first eigenvector were used as spatial filter weights (for similar approaches, see Tesche et al., 1995; Uusitalo and Ilmoniemi, 1997; de Cheveigné and Simon, 2008; Garrido et al., 2013; Herrmann et al., 2016b). Spatial filtering reduced the high-dimensional MEG data to a single virtual channel, mainly reflecting activity from auditory cortex. All subsequent analyses were performed using the data from this single virtual channel.

Anatomically constrained source localization was used to confirm the auditory cortex source underlying the spatial filter distribution. This analysis was performed for 14/19 younger adults and for 12/20 older adults for which individual T1-weighted MR images (3T Magnetom Trio; Siemens AG) were available. The MR images were used to construct inner skull surfaces (volume conductor) and midgray matter cortical surfaces (source model; using Freesurfer and MNE software; <https://surfer.nmr.mgh.harvard.edu/>; <http://www.martinos.org/mne/>). The MR and the MEG coordinate systems were coregistered using MNE software that included an automated and iterative procedure that fitted the >300 digitized head surface points (Polhemus FAS-TRAK 3D digitizer) to the MR-reconstructed head surface (Besl and McKay, 1992). Lead fields were calculated from a boundary element model (inner skull; Nolte, 2003) using MNE software and Fieldtrip software and sLORETA inverse solutions (Pascual-Marqui, 2002) were calculated using custom MATLAB scripts. Differences in overall brain activation strength between participants were reduced by global mean normalization, which involved dividing the activation at each vertex by the mean activity across all vertices (separately for each participant). Neural activity was spatially smoothed across the surface using an approximation to a 6 mm FWHM Gaussian kernel (Han et al., 2006). Individual cortical representations were transformed to a common coordinate system (Fischl et al., 1999b) and finally morphed to the pial cortical surface of the brain of one participant for display purposes (Fischl et al., 1999a).

**Responses to sounds for nonadapted neural populations.** In a first analysis, we tested whether neural responses differed between age groups for tones presented every 7 s and for which neural populations are expected to be fully or almost fully recovered from neural adaptation (Hari et al., 1982; Lü et al., 1992; Mäkelä et al., 1993; Sams et al., 1993; Budd et al., 1998; Zacharias et al., 2012; Herrmann et al., 2014). To this end, the 40 single-trial time courses recorded in the no-adaptation block were averaged and the mean signal of the 100 ms pretone time window was subtracted from the signal at each time point (baseline correction). In a

broad exploratory analysis, the signal at each time point was compared between age groups using independent  $t$  tests. False discovery rate (FDR) correction was used to account for false positives among significant tests (Benjamini and Hochberg, 1995; Genovese et al., 2002).

**Selection of analysis time windows.** Neural adaptation is commonly investigated using the N100 component of the event-related potential (Butler, 1968; Hari et al., 1982; Lanting et al., 2013; Herrmann et al., 2015a) or the M100 component of the event-related magnetic field (Mäkelä et al., 1993; Sams et al., 1993; Zacharias et al., 2012; Okamoto and Kakigi, 2014). Suppression of neural responses by preceding stimulation has also been observed for the P50 (EEG) and M50 (MEG), here often referred to as sensory gating (Boutros and Belger, 1999; Müller et al., 2001; Rosburg et al., 2004). Therefore, we focused on M50 and M100 neural responses (i.e., magnetic fields at ~50 and 100 ms after stimulus onset, respectively) for our analyses.

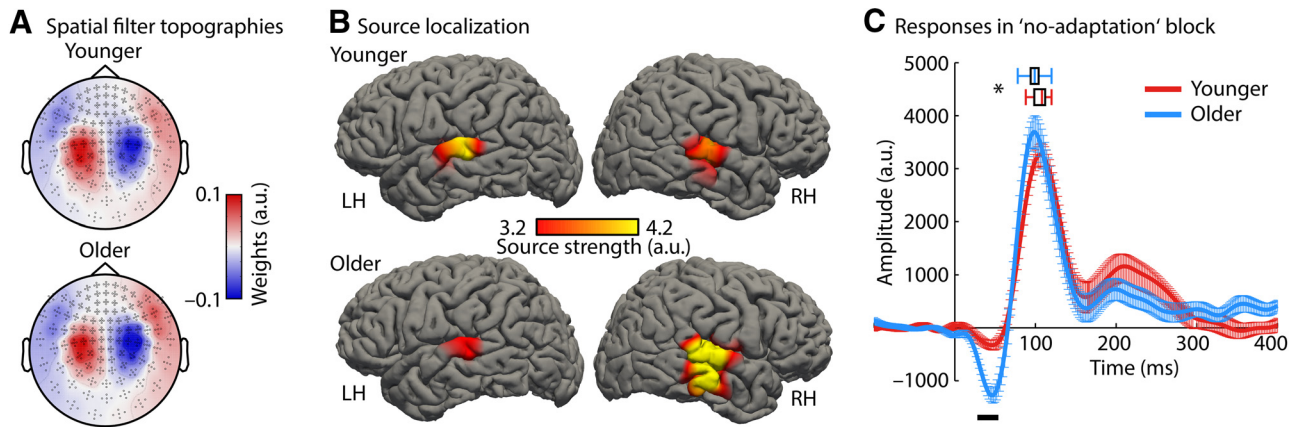
Specifically, for each participant, the M100 amplitude was calculated as the average across a 10 ms time window centered on the individual’s estimated peak latency from the no-adaptation responses. Note that this selection of the M100 time window is independent of the neural responses recorded in the blocks with different sound-level distributions: this approach is similar to the logic of functional localizers in MRI (Saxe et al., 2006; Poldrack, 2007; Fedorenko and Kanwisher, 2009). M50 amplitudes were calculated as the average signal in the 40–70 ms time window because there was no clear peak for younger participants in the no-adaptation block (Fig. 3C) that would allow the estimation of the peak latency separately for each participant (the time window is thus wider compared with the M100 to allow for response latency variability across participants).

**Response sensitivity to sound level.** To investigate how sound level affects response amplitude (averaged over contexts, i.e., sound-level distributions), trials from all adaptation blocks were sorted into one of five sound-level bins. 5–15, 15–25, 25–35, 35–45, and 45–55 dB SL, according to their sound level. Single-trial response time courses were averaged separately for each sound-level bin and the mean signal of the 100 ms pretone time window was subtracted from the signal at each time point (baseline correction).

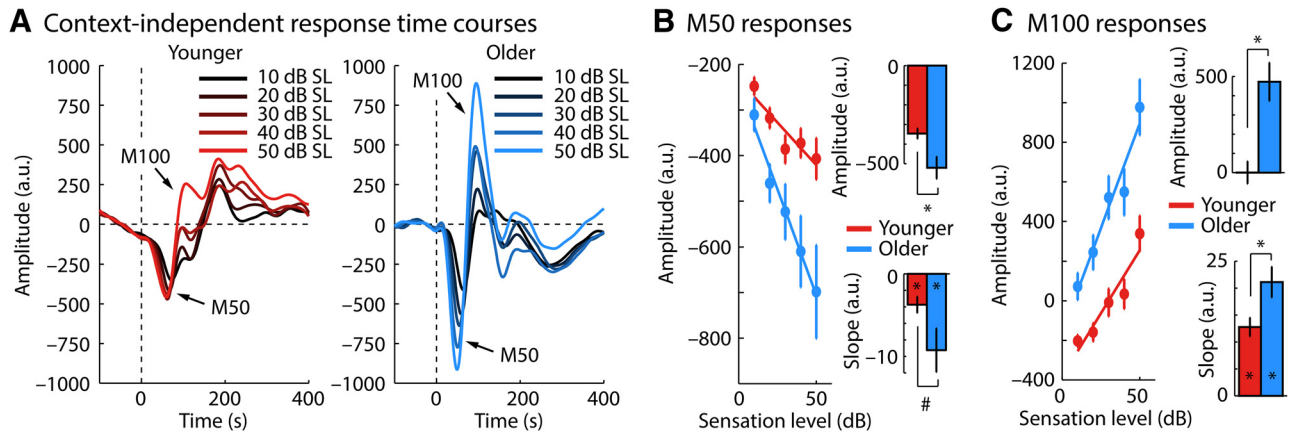
For each participant, mean responses were extracted for the M50 and the M100. Overall response magnitude differences between age groups were assessed by averaging amplitudes across sound-level bins (separately for M50 and M100), followed by an independent  $t$  test. To investigate whether responses increased with increasing sound level, a linear function was separately fit to the M50 and to the M100 amplitudes as a function of sound level (using the binned data). The slope of the linear function indicates the degree of response sensitivity to sound level. Slopes were tested against zero using a one-sample  $t$  test (separately for younger and older adults). Age differences in response sensitivity were assessed by comparing the slopes between age groups using an independent  $t$  test.

**Context-dependent response sensitivity to sound level.** For this analysis, only responses to the tones with the eight different critical sound levels (i.e., the 240 experimental tones per block) were analyzed (black dots in Fig. 2). The sound level of these tones and the sound level of the directly preceding tone were identical across sound-level distributions (i.e., 15 and 45 dB SL contexts). As a consequence of controlling the sound level of the tones that directly preceded the tones of interest, any effects of sound-level distribution (15 vs 45 dB SL) on neural responses must thus be due to the extended stimulation history and cannot be due to the sound level of the directly preceding tone.

The number of trials for each of the 8 sound levels in the different contexts was relatively low (90 trials = 30 trials  $\times$  3 blocks). To increase the number of trials in the response average, thereby increasing the signal-to-noise ratio (Ingham and McAlpine, 2005), we averaged trials for two adjacent sound levels of the eight sound levels (separately for the 15 dB SL context and the 45 dB SL context). For example, single trials for sounds with the 5 dB SL and the 12.1 dB SL sound level were averaged (and the mean signal of the 100 ms pretone time window was subtracted from the signal at each time point; baseline correction). Averaging trials for 2 adjacent sound levels reduced the number of unique sound levels from 8 to 7 while doubling the number of trials in a unique response average.



**Figure 3.** Spatial filter topographies and responses in the no-adaptation block. **A**, Spatial filter topographies for younger and older adults. **B**, Source localization of spatial filter topographies (LH, left hemisphere; RH, right hemisphere). Note that source localization could be performed for only 14/19 younger and 12/20 older participants for whom MRIs were available. The apparently larger right hemisphere source strength for older people, evident in **B**, is probably an artifact of a relatively small dataset: note that it is not evident in **A**, where topographies of all 20 older adults were averaged. **C**, Neural response time courses in the no-adaptation block (error bars reflect the SEM). The black line at the bottom indicates a significant difference between age groups ( $p < 0.05$ ; FDR corrected). The box plots above the M100 wave show significantly earlier M100 latencies for older compared with younger adults ( $*p < 0.05$ ).



**Figure 4.** Response sensitivity to sound level averaged across adaptation blocks. **A**, Response time courses for five different sound-level bins. **B**, M50 response magnitudes as a function of sound level. The mean response across sound levels is shown on the top right. Amplitude change as a function of level (slope of a linear fit) is shown on the bottom right. Symbols within the bar reflect a significant difference from zero and symbols elsewhere depict significant differences between conditions ( $*p < 0.05$ ,  $\#p < 0.10$ ). **C**, Same as in **B** but for M100 response magnitudes. Error bars indicate SEM.

For each participant, context (15 or 45 dB SL), and sound level (7 levels), mean response amplitudes were calculated for the M50 and the M100. To investigate the sensitivity of the response amplitude to sound levels within the two stimulation contexts, the difference between the maximum and the minimum amplitude across sound levels was calculated (separately for each context). Note that we did not fit a linear function to assess response sensitivity because mean responses did not appear to change linearly with sound level, in particular for sound levels above the modal sound level of each distribution (see Fig. 6). The response differences were subjected to an ANOVA with the within-subject factor context (15 vs 45 dB SL) and the between-subjects factor age group (younger vs older).

**Results**

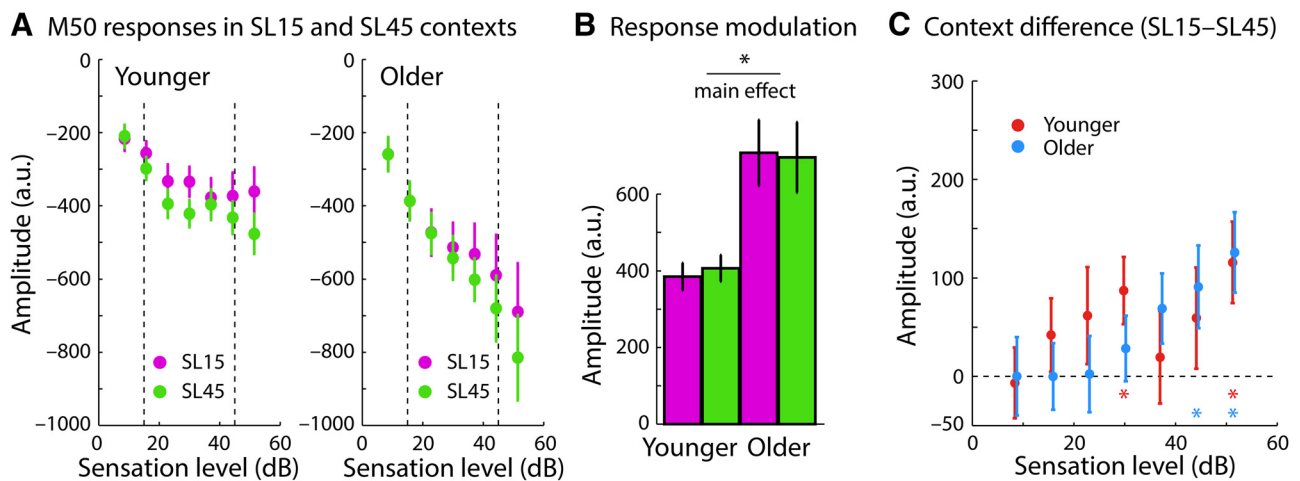
Neural responses presented in subsequent sections originate from the virtual sensor calculated for each participant. That is, a spatial filter was constructed for each individual based on the response data in the no-adaptation block and all response time courses were projected through the spatial filter (Fig. 3A). Source localization confirmed that neural activity underlying spatial filter topographies originates from auditory cortex/superior temporal gyrus (Fig. 3B).

**Responses to sounds for nonadapted neural populations**

Neural responses to tones of 55 dB SL that were presented every 7 s (in the no-adaptation block) are depicted in Figure 3C. Comparing the amplitudes at each time point between age groups revealed a significant difference only in the M50 time window (FDR corrected). No age-related difference in amplitude was found in the time window of the M100. However, the M100 latency was smaller (i.e., earlier) in older compared with younger adults ( $t_{37} = 2.03$ ,  $p = 0.049$ ,  $r_e = 0.317$ ).

**Response sensitivity to sound level**

Figure 4A shows response time courses from the adaptation blocks for older and younger listeners separately for different sound levels (across the two sound-level distributions). Mean response amplitude was larger for older compared with younger adults, both for the M50 ( $t_{37} = 2.742$ ,  $p = 0.009$ ,  $r_e = 0.411$ ; Fig. 4B, right top) and for the M100 ( $t_{37} = 4.031$ ,  $p = 2.66 \times 10^{-4}$ ,  $r_e = 0.552$ ; Fig. 4C, right top). That is, despite the absence of an age group difference for the M100 response magnitude in the no-adaptation block, older adults exhibited larger M100 re-



**Figure 5.** Context-dependent M50 response magnitudes. **A**, Responses to different sound levels in the 15 and 45 dB SL contexts. **B**, Response range (calculated as the difference between the maximum and the minimum amplitude) in the 15 and 45 dB SL contexts. The main effect of age group was significant ( $p < 0.05$ ; no main effect of context and no interaction). **C**, Difference in response magnitude between the 15 and 45 dB SL contexts for each sound level separately. The colored asterisks mark (\*) levels at which responses were significantly different between the 15 and the 45 dB SL context ( $p < 0.05$ , FDR corrected). Error bars indicate SEM.

sponse magnitudes when the presentation rate does not allow neurons to fully recover from adaptation.

The change in response amplitude as a function of sound level was quantified by fitting a linear function to M50 and M100 amplitudes. The slope of the linear fit reflects the degree of sensitivity to sound level. For M50 responses, slopes were significantly negative for both younger ( $t_{18} = -3.695$ ,  $p = 0.002$ ,  $r_e = 0.657$ ) and older adults ( $t_{19} = -3.493$ ,  $p = 0.002$ ,  $r_e = 0.625$ ). M50 responses were more sensitive to sound level in older compared with younger adults (more negative slope; Fig. 3B), but this difference was only marginally significant ( $t_{37} = 1.905$ ,  $p = 0.065$ ,  $r_e = 0.299$ ). For M100 responses, slopes were significantly positive for both younger ( $t_{18} = 7.237$ ,  $p = 9.91 \times 10^{-7}$ ,  $r_e = 0.863$ ) and older adults ( $t_{19} = 7.465$ ,  $p = 4.61 \times 10^{-7}$ ,  $r_e = 0.864$ ). M100 responses were more sensitive to sound level in older compared with younger adults (more positive slope; Fig. 4C;  $t_{37} = 2.473$ ,  $p = 0.018$ ,  $r_e = 0.377$ ).

### Response sensitivity to sound level depends on context

The results reported in this section are based on analyses of responses to tones for which the sound level and the sound level of the preceding tone were identical across contexts (i.e., sound-level distributions). Any response differences between contexts must thus be due to the extended stimulation history and cannot be due to the sound level of the directly preceding tone. The results for the M50 are discussed before those for the M100.

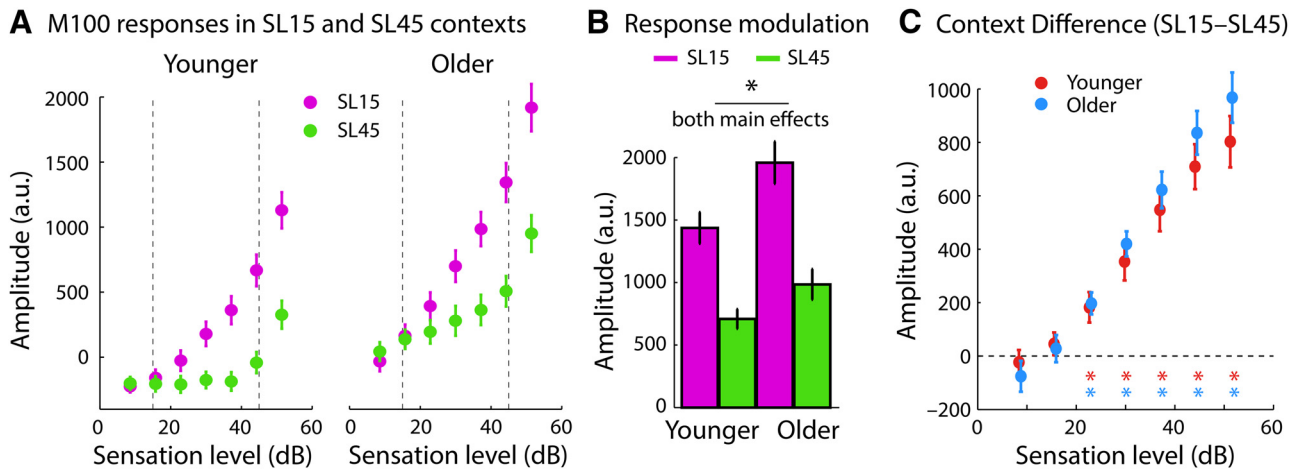
Figure 5A shows the M50 responses for tones in the 15 and 45 dB SL contexts. Figure 5B depicts the response range for the two stimulation contexts and the two age groups (response range was calculated as the difference between the maximum amplitude and the minimum amplitude, across sound levels). The ANOVA revealed a main effect of age group ( $F_{(1,37)} = 10.895$ ,  $p = 0.002$ ,  $\eta_p^2 = 0.228$ ), indicating a larger M50 response range for older compared with younger adults. No effect of context ( $F_{(1,37)} = 0.026$ ,  $p = 0.872$ ,  $\eta_p^2 < 0.001$ ) and no interaction ( $F_{(1,37)} = 0.300$ ,  $p = 0.587$ ,  $\eta_p^2 = 0.008$ ) was found. Figure 5C shows the difference between the responses in the two contexts (sound-level distributions) separately for each sound level. Response differences were small overall and they were generally in the opposite direction to what would be expected. Responses should have been larger (i.e., more negative) for the 15 dB SL compared with the 45 dB SL

context if response thresholds were aligned to the distribution's mode (Dean et al., 2005; Robinson et al., 2016). In contrast, for high sound levels, M50 responses were significantly smaller (i.e., more positive) in the 15 dB SL context compared with the 45 dB SL context, as indicated by the colored asterisks in Figure 5C ( $p < 0.05$ , FDR corrected). In other words, whereas M50 responses were sensitive to the sound level of a tone (Fig. 4B), responses were generally not very sensitive to changes in the distribution of sound levels in acoustic environments (Fig. 5B,C). The current experiment was designed to capitalize on the long adaptation time scales that have been demonstrated for M100 responses (Lü et al., 1992; Sams et al., 1993). Further research is required to investigate whether M50 responses are sensitive to changes in sound-level distributions using paradigms suitable to assess responses from neurons with short adaptation recovery times.

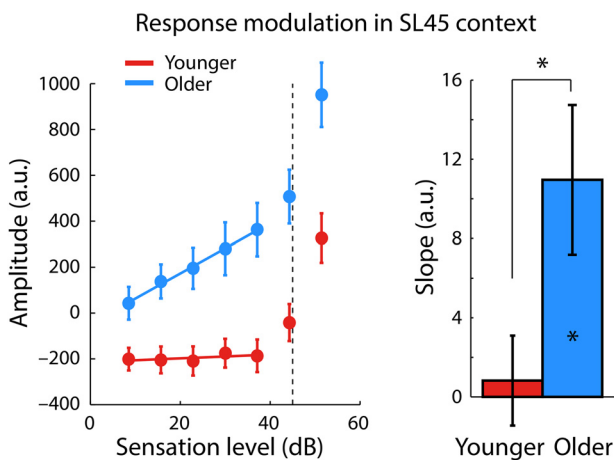
Figure 6A shows the M100 responses for tones in the 15 and 45 dB SL contexts. The ANOVA calculated for the response range (i.e., the difference between the maximum amplitude and the minimum amplitude across sound levels) in the two contexts revealed a main effect of context ( $F_{(1,37)} = 128.640$ ,  $p = 1.33 \times 10^{-13}$ ,  $\eta_p^2 = 0.777$ ) as well as a main effect of age group ( $F_{(1,37)} = 5.703$ ,  $p = 0.022$ ,  $\eta_p^2 = 0.134$ ). Neural responses were more sensitive to sound level in the 15 dB SL context than in the 45 dB SL context and response sensitivity was greater for older compared with younger adults (Fig. 6B). The context  $\times$  age group interaction was not significant ( $F_{(1,37)} = 2.691$ ,  $p = 0.109$ ,  $\eta_p^2 = 0.068$ ). The direct comparison of each sound-level condition between the two sound-level distributions (Fig. 6C) revealed significantly larger responses for the 15 dB SL context compared with the 45 dB SL context for sound levels  $>20$  dB SL (colored asterisks in Fig. 6C;  $p < 0.05$ , FDR corrected).

The data for younger adults in Figure 6A (left) show that neural response magnitudes increase sharply for sound levels equal or higher than the high-probability region of the sound-level distributions, but remain largely unmodulated for lower sound levels (this is especially apparent for the 45 dB SL context; green dots in Fig. 6A, left). This context-dependent sensitivity to sound level is consistent with electrophysiological recordings in animals showing an adjustment of a neuron's firing threshold to the high-probability region of sound-level distributions and are a





**Figure 6.** Context-dependent M100 response magnitudes. **A**, Responses to different sound levels in the 15 and 45 dB SL contexts. **B**, Response range (calculated as the difference between the maximum and the minimum amplitude) in the 15 and 45 dB SL contexts. The main effect of age group and the main effect of context was significant ( $p < 0.05$ ; no interaction). **C**, Difference in response magnitude between the 15 and 45 dB SL contexts for each sound level separately. The colored asterisks mark (\*) levels at which responses were significantly larger (i.e., more positive) in the 15 dB SL compared with the 45 dB SL context ( $p < 0.05$ , FDR corrected). Error bars indicate SEM.



**Figure 7.** Response sensitivity to sound level in the 45 dB SL context for sound levels lower than the high-probability region. Left, Same data as that from the 45 dB SL context displayed in Figure 6A. The dashed vertical line at 45 dB SL marks the center of the high-probability region. The colored solid lines reflect the averaged predicted values from participant-specific linear fits. Right, Slope of a linear function fit to responses as a function of the sound levels below the high-probability region revealed a response sensitivity for older but not for younger adults (right). \* $p < 0.05$ . Error bars indicate SEM.

hallmark of adaptation to stimulus statistics (Dean et al., 2005; Hildebrandt et al., 2011; Robinson et al., 2016). However, neural responses for older adults (Fig. 6A, right) appear to be sensitive to sound levels below the high-probability region, which is particularly apparent for the 45 dB SL context. To quantify sensitivity to sound levels below the high-probability region in the 45 dB SL context, a linear function was fit to the M100 amplitudes across the five sound levels <40 dB SL (separately for each participant). The estimated slope of the linear fit reflects the degree of sensitivity to sound levels. Separately for each age group, we tested the slope against zero using a one-sample  $t$  test. The slope was significantly positive for older ( $t_{19} = 2.901$ ,  $p = 0.009$ ,  $r_e = 0.554$ ), but not for younger adults ( $t_{18} = 0.362$ ,  $p = 0.721$ ,  $r_e = 0.085$ ; Fig. 7). An independent-samples  $t$  test revealed a larger slope, and thus a greater sensitivity of the M100 response to sound level, for older compared with younger adults ( $t_{37} = 2.269$ ,  $p = 0.029$ ,  $r_e = 0.350$ ). These analyses show that auditory cortex neurons in

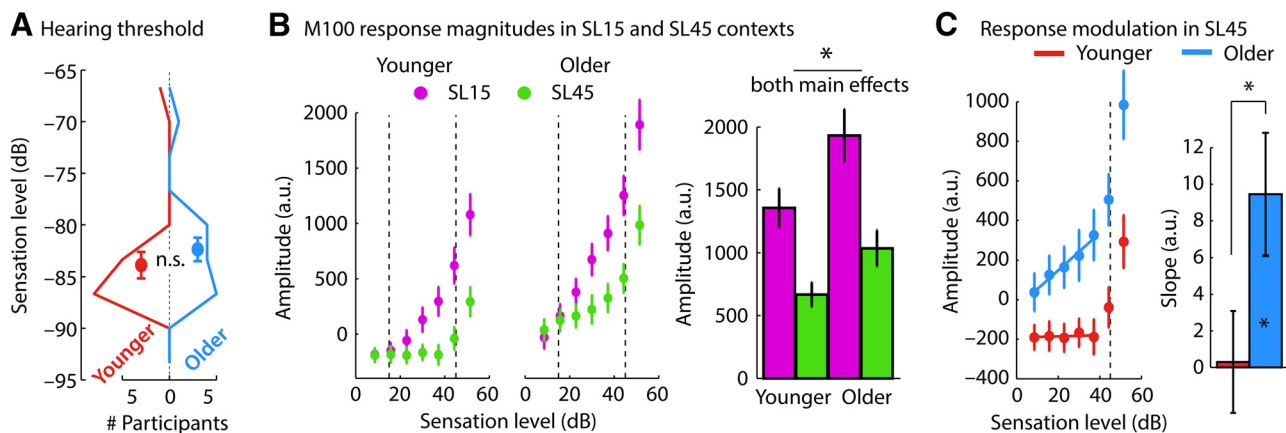
older, but not younger, adults remained sensitive to sound levels below a distribution’s modal level.

### Controlling for sensation level differences between age groups

The current study controlled for potential interindividual differences in audibility of a 1300 Hz tone by estimating the sensation level for each participant and presenting tones relative to this estimate. We have reported above that sensation levels differed slightly between age groups (Fig. 1): older individuals were presented with slightly louder tones (on average) compared with younger adults. To test whether the observed age differences in M100 context effects are also present if absolute sound levels are comparable between age groups, we selected 14 participants from each age group that were matched in their sensation levels. Therefore, for this subset of participants, no difference in the estimated sensation level (hearing threshold) was observed between age groups ( $t_{26} = 0.89$ ,  $p = 0.382$ ,  $r_e = 0.172$ ; Fig. 8A).

Figure 8B shows the M100 neural response magnitudes for sounds in the 15 and 45 dB SL contexts. The ANOVA calculated for the response range (i.e., the difference between the maximum amplitude and the minimum amplitude across sound levels) in the two contexts revealed a main effect of context ( $F_{(1,26)} = 100.462$ ,  $p = 2.02 \times 10^{-10}$ ,  $\eta_p^2 = 0.794$ ) as well as a main effect of age group ( $F_{(1,26)} = 5.314$ ,  $p = 0.029$ ,  $\eta_p^2 = 0.170$ ). Neural responses were more strongly level dependent in the 15 dB SL context compared with the 45 dB SL context and the level dependency was greater for older compared with younger adults (Fig. 8B). The context  $\times$  age group interaction was not significant ( $F_{(1,26)} = 1.738$ ,  $p = 0.199$ ,  $\eta_p^2 = 0.063$ ).

To quantify sensitivity of the M100 amplitude to sound levels below the high-probability region in the 45 dB SL context, a linear function relating sound levels <40 dB to response amplitude was fit (separately for each participant). The estimated slope of the linear fit was significantly positive for older ( $t_{13} = 2.822$ ,  $p = 0.014$ ,  $r_e = 0.616$ ), but not for younger adults ( $t_{13} = 0.107$ ,  $p = 0.917$ ,  $r_e = 0.030$ ; Fig. 8). An independent-samples  $t$  test revealed a larger slope for older compared with younger adults ( $t_{26} = 2.100$ ,  $p = 0.045$ ,  $r_e = 0.381$ ). The results, essentially identical to those from the main analysis, indicate that the different behavior



**Figure 8.** Context-dependent M100 response magnitudes, controlling for sensation level difference between age groups. **A**, Histograms of sensation levels for the 14 selected participants of each age group. Dots and error bars reflect the mean sensation level and SEM, respectively, for each group. For this subset of participants, no difference in sensation level (hearing threshold) was observed between age groups (n.s., not significant;  $p = 0.382$ ). The sensation levels reflect dB values from the threshold estimation procedure written in MATLAB. **B**, Responses to different sound levels in the 15 and 45 dB SL contexts. Bar graphs show the response range in the 15 and 45 dB SL contexts calculated as the difference between the maximum and the minimum response amplitude across sound levels. The main effect of age group as well as the main effect of context was significant ( $p < 0.05$ ; no interaction). **C**, Sensitivity to sound level in the 45 dB SL context for levels lower than the high-probability region. Left, Data from the 45 dB SL context displayed in **B**. The dashed vertical line at 45 dB SL marks the center of the high-probability region. The colored solid lines reflect the averaged predicted values from participant-specific linear fits. Right, Slope of a linear function relating sound level to response amplitudes below the high-probability region revealed a significantly positive slope for older but not for younger adults (right). \* $p < 0.05$ . Error bars indicate SEM.

in older and younger listeners is probably not an artifact of slightly different stimulus levels in the two groups.

## Discussion

The current study investigated whether aging affects adaptation to sound-level statistics in auditory cortex. Individuals listened to tones with a sound level that was drawn either from a distribution with a modal sound level of 15 dB SL or from a distribution with a modal sound level of 45 dB SL. Responses (M100) were comparable between younger and older adults when neural populations were recovered from adaptation. Critically, both age groups showed adaptation to sound-level statistics, but adaptation to the statistics was altered for older compared with younger adults. That is, neural responses in older people continued to be sensitive to sound level under conditions in which responses were not sensitive to sound level (fully adapted) in younger people.

### Neural adaptation to stimulus statistics

M100 responses were sensitive to sound-level distributions (Fig. 6). The increase in M100 amplitude with increasing sound level was larger for the sound-level distribution with a low mode (15 dB SL) compared with the sound-level distribution with a high mode (45 dB SL). The response threshold appeared to be aligned with the high-probability region of a distribution (i.e., lower for the 15 dB SL context compared with the 45 dB SL context; Fig. 6). That is, neural populations in human auditory cortex were sensitive to sound levels around and above the mode of the distributions. This adaptation to sound-level distributions avoids response saturation and ensures sensitivity to a wide range of sound levels despite the limited response range of neurons.

The current human data are consistent with animal studies showing neural adaptation to stimulus statistics (Kvale and Schreiner, 2004; Dean et al., 2005; Nagel and Doupe, 2006; Watkins and Barbour, 2008; Wen et al., 2009; Dahmen et al., 2010; Hildebrandt et al., 2011; Rabinowitz et al., 2011; Wen et al., 2012). For example, electrophysiological recordings from guinea pigs and crickets show that neurons shift their response threshold depending on the mean of a sound-level distribution (Dean et al., 2005; Hildebrandt et al., 2011; Robinson et al., 2016) such that,

similar to the current results, neural responses are sensitive to sound levels above the distribution's high-probability region (Dean et al., 2005; Robinson et al., 2016). Together with previous work in humans on neural adaptation to sound-frequency distributions (Garrido et al., 2013; Herrmann et al., 2013a, 2014) and observations of behavioral sensitivity to sound-level distributions (Simpson et al., 2014), the current data demonstrate that neurons in the auditory system of humans adjust their response range dynamically depending on the statistics of the sound environment.

The current results are also consistent with recent studies investigating the effects of temporal context on neural adaptation of N1/M100 responses. N1/M100 amplitudes are influenced by the extended history of tone presentations (Costa-Faidella et al., 2011; Zacharias et al., 2012; Okamoto and Kakigi, 2014; Herrmann et al., 2016a) and not just by the time interval between the preceding and current stimulus (Budd et al., 1998; Rosburg et al., 2006, 2010; Zhang et al., 2011), which had long been the preferred view based on isochronous tone stimulation. The propensity of auditory cortex neurons to remain sensitive to stimuli that have been presented in the extended past may be crucial for short-term adaptation to featural distributions in sound environments and may be important for sensory memory and for filtering out irrelevant aspects of sound environments.

### Aging affects neural response magnitudes and adaptation to stimulus statistics

When tones were presented every 7 s and neural populations had time to recover from neural adaptation, M100 amplitudes did not differ between age groups (despite larger M50 amplitudes and shorter M100 latencies in older people). Therefore, any age differences for M100 amplitudes to tones presented at a fast presentation rate (0.5 s; in blocks testing the effects of sound-level distributions) must be due to changes in adaptation properties of auditory cortex neurons.

When tones were presented every 0.5 s, we observed larger overall M50 and M100 responses and a larger sensitivity to sound levels for older compared with younger people (Fig. 4). These data are consistent with previous work in humans: an overampli-



fication of cortical responses (Tremblay et al., 2003; Harkrider et al., 2005; Sörös et al., 2009; Herrmann et al., 2013b; Bidelman et al., 2014; Presacco et al., 2016; Stothart and Kazanina, 2016) and a larger sensitivity to sound levels (Cody et al., 1968; Laffont et al., 1989; Morita et al., 2003) have been shown to correlate with aging and hearing loss. Previous results further suggest that auditory cortex neurons recover from adaptation more quickly in the time in older compared with younger individuals (see also de Villers-Sidani et al., 2010; Herrmann et al., 2016a). This may lead to the observed response overamplification for older people because neurons may have recovered more between tone presentations. This interpretation is consistent with data from younger adults showing a greater sensitivity to sound level when neurons are less adapted (Keidel and Spreng, 1965; Müller and Stange, 1971) and with the current data showing an age-related response overamplification in adaptation blocks.

Based on previous data suggesting faster recovery from adaptation in the auditory cortex of older people (Herrmann et al., 2016a), we expected that the neural responses in this group would be less influenced by the statistics of the extended stimulation history. In contrast to our initial prediction, responses within the 15 dB SL context and the 45 dB SL context appeared equally dependent on sound level in both age groups, suggesting that neurons in both age groups were adapting to sound-level distributions (Fig. 6C). However, adaptation to stimulus statistics was altered in older adults. Response amplitude depended on sound level at levels below the distribution's mode for older but not for younger individuals (Fig. 7). The inability to fully adapt to the statistics in acoustic environments might underlie the difficulty that older people experience in filtering out irrelevant information.

### Potential mechanisms underlying age-related changes in adaptation to stimulus statistics

Adaptation to stimulus statistics is likely supported by multiple mechanisms (Silver, 2010; Isaacson and Scanziani, 2011; Whitmire and Stanley, 2016) that may involve ascending and descending neural pathways (Robinson et al., 2016) and local (within-region) circuitry (King et al., 2016). Several studies suggest that neural inhibition is crucial for shaping a neuron's input–output function and dynamic response range (Ingham and McAlpine, 2005; Olsen and Wilson, 2008; Carvalho and Buonomano, 2009; Hildebrandt et al., 2011; Wilson et al., 2012), whereas others emphasize the role of excitation (Sato et al., 2016) and synaptic depression (Abbott et al., 1997).

The current age-related response enhancements are consistent with animal studies reporting a response overamplification along the ascending auditory pathway in older animals and animals with hearing loss (Popelár et al., 1987; Syka et al., 1994; Hughes et al., 2010; Stolzberg et al., 2012; Möhrle et al., 2016; Herrmann et al., 2017; Salvi et al., 2016). Response overamplification due to aging and hearing loss is thought to result from reduced neural inhibition (Caspary et al., 2008; Takesian et al., 2009, 2012; Rabang et al., 2012; Salvi et al., 2016) and increased excitation (Salvi et al., 2016) and may underlie loudness recruitment and hyperacusis (Knipper et al., 2013; Zeng, 2013). Given the multifaceted mechanisms that appear to underlie adaptation to stimulus statistics, determining how aging affects those mechanisms may be challenging. Nevertheless, the age-related reduction of neural inhibition in auditory cortex may contribute critically to the changes in adaptation to stimulus statistics observed in older people.

Previous work in animals indicates that reduced inhibition and response overamplification due to aging and hearing impair-

ment is particularly expressed in supragranular (upper) layers of auditory cortex (Ling et al., 2005; Hughes et al., 2010; Llano et al., 2012; Stolzberg et al., 2012). The supragranular layers include dendritic arbors of corticofugal pyramidal neurons residing in deeper infragranular layers, which provide modulatory feedback to subcortical brain structures (cf. Sherman and Guillery, 1998; Winer and Lee, 2007). These corticofugal projections may be important for the modulation of subcortical activity by cognitive factors such as attention (Suga et al., 2000). Overamplification and changes in adaptation to stimulus statistics may therefore reflect only one manifestation of cortical changes associated with aging and sensorineural hearing impairment. Age-related alterations of neural gain in the supragranular layers of mammalian auditory cortex may also affect how corticofugal pyramidal neurons modulate activity in subcortical structures and thus how sensory processes and cognitive factors interact in support of perception.

### Conclusions

The current MEG study investigated how aging affects adaptation to sound-level statistics in auditory cortex. Neural populations in younger and older people adapted to sound-level distributions that differed in modal sound level. However, adaptation to sound-level statistics was altered in older people such that responses were affected by sound level under conditions resulting in full adaptation of neural responses (and no sensitivity to level) in younger people. The current data thus suggest an age-related impairment in using sound-level statistics to change neural response sensitivity in sensory environments, which may explain some of the phenomena of age-related hearing impairment, such as hyperacusis, loudness recruitment, and abnormal salience of distracting sounds.

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