

Does silica concentration and phytolith ultrastructure relate to phytolith hardness?

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Abstract

Grasses are an important part of the forage of many herbivorous mammals and their phytoliths have long been regarded as the most important agent of tooth wear. Recent work has challenged this “paradigm” in finding evidence 1. of native phytoliths to be much softer than tooth enamel and 2. indicating, that phytolith hardness is highly variable, 3. prone to methodology and 4. not easy to be related to habitat conditions. We conduct controlled silica-cultivations measuring SiO₂ content in the common forage grass *Themeda triandra*. Phytoliths are extracted natively, and nano-indentation values are measured. Phytolith hardness in *Themeda triandra* is found to be independent of silicate availability in the substrate. We further investigate the phytolith ultrastructure of *Hordeum vulgare* phytoliths. Phytoliths are shown to be an anisotropic composite of at least 3 components, silica bodies, inter-body matrix (both mineralised) and globular inclusions (likely non-mineralised). It can be argued, that indentation will be largely influenced by the heterogeneity of the structure and thus nano-indentation measurements will largely reflect the matrix and its mechanical properties but not necessarily the silicate bodies, which make up the vast majority of a phytolith.

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1. Introduction

Tooth morphology and tooth wear of herbivorous mammals has long been used for the interpretation of dietary behaviour and habitat reconstruction [1–4]. As grasses (Poaceae) constitute a significant proportion of the ingesta of many herbivorous mammals, their mineral inclusions (especially phytoliths) have long been assumed to be the main cause of tooth-wear [e. g. 5] and could be a powerful plant defense mechanism induced by herbivory [6–10] and do wear enamel [11]. This topic is highly

discussed [12–15] and some studies, however, question this hypothesis because various methods of hardness tests indicating that opal phytoliths are softer than tooth enamel [16–20]. Lucas et al. [21] found the indentation hardness of enamel to be about 5 GPa, but significantly lower values were given for phytoliths (0.9 GPa squash, 2.5 GPa grass), higher values for quartz dust. It is often assumed that external abrasives are the more important tooth wear agents in grazing mammals compared to phytoliths, because external abrasives are ingested in large amounts. Highest values for external abrasives from soil consumption are found for mammals with a grazing feeding behavior like in the domestic sheep in New Zealand which are showing peak soil intakes of 33% of daily dry matter intake (DMI, [22–25]). However, annual average soil intake values for sheep tend to range of 5–9% [22–26] and are comparable with other grazing ungulates (like the bison 6.8% [27]), grazing perissodactyles (like the feral horse 5%; [28]), while primary large African browsing species

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have soil intake rates below 5% faecal DMI with grazers tending to have higher values of 5.2–16.3% faecal DMI than browsers [29]. For internal abrasives like phytoliths such values are unknown. A thorough understanding of phytolith ultrastructure as well as their mechanical properties can be seen as a first step towards better comprehending their role during the mechanical disintegration of food particles.

Determining the mechanical properties of biogenic materials, such as opal phytoliths, is a challenging task. It is of high relevance in biotribological research requiring material testing on morphologically dissimilar biological particles involved in the wear process at nano-meter scale and is therefore of interest for many research disciplines like engineering, life sciences, veterinary medicine as well as anthropology, archaeology and palaeontology.

In general the amorphous mineral silica (opal) is commonly present in many plants and animals [30,31]. However, opal phytolith formation itself is poorly understood. In this pilot study, we use the term phytolith exclusively for silicate-containing plant bodies called opal-phytoliths. Former phytolith studies [5,16,18] provide little information about the origin of the plant material used and details of indentation measurements, which makes their results hard to compare. The only studies describing silicate uptake and phytolith ultrastructure and inferring on the process of phytolith formation are Kaufman, et al. [32], Kaufman, et al. [33], who describe the polymerization of silicate as follows: silicic acid is irreversibly formed to amorphous silicate gel ($\text{SiO}_2 \cdot n\text{H}_2\text{O}$), a non-crystalline product that has a hydrophobic SiO_2 -molecule with corresponding hydrophilic OH groups at the surface. They present four levels of the silification process of phytoliths in *Avena sativa* L. In the interphase of intercalary meristem cells of an internode a sequence of long and short cells arises. The short cells can develop into a pair of silica and cork cell, stomata cells or trichomes. In the first stage of silification a short cell splits into a pair of cells, the future silica cell and the cork cell that initially appear morphologically similar. In the second stage, the two cells differentiate in size and shape. In the third stage, the nucleus of the future silica cell perishes and silicate is entering the final stage.

At the degradation of the nucleus, fibrillar elements arise from this and other cytoplasmic elements that spread through the cell lumen. The only remaining organelles are dark osmiophilic droplets, which are potentially derived from lipid droplets. Further, Kaufman, et al. [32] describe thickened cell walls forming around the cork and silica cell. The silica bodies now growing into the cell lumen of the silica cell are non-crystalline (containing 13.5% bound water) and are interpreted as silica gel. They grow along the fibrillar elements in parallel prism-like bodies [32].

1.1. Phytolith hardness and silicate concentration

If phytolith hardness would be only determined by the limited availability of silica cells produced by the apical meristem, one should assume that the silicate concentration available to plants in the soil had no effect on the hardness of phytoliths. The latter would then be independent of growth site and soil composition. Later in the ontogeny of a silica cell [32], barriers in its

cytoplasmic membrane account for a stop of further silica deposition in the cell. There is, however, good evidence, that once the apical meristem is removed (e.g. by feeding herbivores), resting meristems are activated, which subsequently cause lateral growth including further silica deposition [34]. This growth pattern may account for the observation that pastures under high feeding pressure tend to show increased silica contents, which widely has been interpreted as a possible effect of animal-plant interaction causing increases in tooth wear [35]. In detail it has been shown the number, shape and distribution of Si-rich phytoliths and spines differ within and between different grass species and demonstrate that species also differ in their ability to change the deposition and distribution of these defenses in response to damage or increases in Si supply [9,36,37]. This would lead to an increased overall abrasiveness which would thus be related to the proportion of silica phytoliths in ingesta, but would be independent of phytolith hardness. In a global sense, the evolution of herbivory may not be considered independent of phytolith hardness, because tooth abrasion is crucial to any vertebrate and to mammals in particular. A yet unsolved question is if phytolith hardness is independent of biogeographic parameters (such as silicate availability). If not so, we suggest that herbivore biogeography may have to be reconsidered as a result of differential abrasive impacts of herbivorous diets.

To test for a possible relationship between silicate concentration in the soil and the material properties of phytoliths, we determine the hardness of native opal phytoliths of *Themeda triandra* FORSSK. grown on a silicate-controlled cultivation with 10 and 100 mg SiO_2/L . The specific material properties of phytoliths are widely enigmatic, we chose to apply a standardised nano-indentation measurement (DIN EN ISO 145677) using the CSM-technique. This approach allows extracting material properties of the tested particles in a specific indentation depth and can therefore also reliably describe inhomogeneous ultrastructures. We use *Themeda triandra* as a focal phytolith species because it is a common forage plant by a wide range of herbivorous mammals such as wildebeest, zebra [38–40], Grant's gazelle, Thomson's gazelle [40], zebu [38,40] and hartebeest [38].

Aside from nano-indentation measurements, the interpretation of phytolith biomechanical properties requires knowledge of phytolith-formation in silicate-accumulating plants, as well as ultrastructural information on phytoliths. A further aim of this pilot study is therefore to investigate the internal structure of phytoliths and improve the understanding of phytolith formation. We thus perform transmission electron microscopy (TEM) analyses of phytoliths of *Hordeum vulgare* L., which originate from a silicate-controlled cultivation (100 mg SiO_2/L). We choose *Hordeum vulgare* because it produces long-epidermal cell phytoliths particularly suitable for ultra thin sectioning because of their elongated morphology.

2. Material and methods

2.1. Silicate controlled cultivation and phytolith extraction

Silicate-controlled cultivations of *Themeda triandra* [red grass] with 10 and 100 mg SiO_2/L and *Hordeum vulgare* [barley] with

100 mg SiO₂/L were carried out during the summer months of 2011 at the Biocenter Klein Flottbek and Botanical Garden of the University of Hamburg (Germany). Seeds for were provided from KPR Gardeners Club (Slovakia; red grass) and IPK (Leibniz-Institut für Pflanzengenetik und Kulturpflanzenforschung, OT Gatersleben, Stadt Seeland, Germany; barley).

Perforated boxes (50 × 32 × 6 cm) were placed on irrigation mats and filled with 5 cm coconut fibre substrate (Baldu, Bensheim, Germany). A second irrigation mat was placed on top of the coconut fibre, on which then the seeds were spread. The irrigation mats were saturated with desalinated water. The seeds were also carefully sprinkled with desalinated water using a plant sprayer. The seeds were covered with evaporation protection boxes until the seedlings had reached a size of 5 cm.

After 7 days, the cultivation was manually irrigated with 1–2 l twice per week. Excess water could escape through a drain; hence the root system was protected from oxygen deficiency due to standing water. The nutrient composition from Braune, et al. [41] was slightly modified: Cobalt(II)nitrate hexahydrate (Co(NO₃)₂*6H₂O) was replaced by Cobalt(II)chloride hexahydrate (CoCl₂*6H₂O). 1 L stock solution was prepared according to Braune et al. [41]. Iron, as EDTA-iron(III)sodium salt hydrate (36.7 mg/L), and silicate, as sodium silicate SiO₂:Na₂O₃ (3.7 g for 10 mg SiO₂, 37 g for 100 mg SiO₂), were added to the working solution (1/10 of the stock solution). A *Bacillus thuringiensis israelensis* solution (1 mL/L, Neudomück, W. Neudorff GmbH KG, Emmerthal, Germany) was added to the working solution to protect against mosquito larvae infestation. The pH was adjusted with hydrochloric acid and sodium hydroxide solution to 5.8–6.0. The plants were harvested after 83 days and subsequently packed and stored at –20 °C.

Phytolith extraction from the biomass (leaves and stems) was performed using the the water-boiling method at 100 °C as described in Braune et al. [41]. Therefore our approach is less prone to changes of material properties of phytoliths thru high temperature during the extraction process using dry ashing with temperatures used above 400 °C [42–44]. The extracted samples of *T. triandra* were embedded in epoxy resin and polished following Kaiser, et al. [17].

2.2. Measuring nano-indentation

Nano-indentation was performed on 9 phytoliths of *Themeda triandra* (Fig. 1a) from the 10 mg SiO₂/L population and 12 phytoliths from the 100 mg SiO₂/L population (Fig. 1e). A microscopic slide (c) used to support phytoliths for indentation measuring (Fig. 1c). Phytoliths are glued to the slide via a thin layer of epoxy resin. Nano-indentation measurements were carried out with a nanoindenter XP (Agilent Technologies, Santa Clara, USA) with a Berkovich-diamond-indenter according to DIN EN ISO 14577 using the continuous stiffness measurement (CSM) option. With this option, a small additional oscillating force is superimposed to the main load ramp. Due to the separation of in-phase and out-of-phase components of the load-displacement hysteresis, the initial contact between tip and surface can be determined accurately. Furthermore, since the contact stiffness is determined continuously during the main

loading ramp, any change of stiffness during the complete test can be detected. Thus, the force range for stiffness evaluation of an indented particle below the force where sink-in occurs can be determined for each indent individually. The indentation-curves were checked visually over a range of 600 nm indentation depth and showed plateaus in different areas. These plateaus in the indentation depth were individual determined for the 2–4 indents for each phytolith (Table 1). Indentation depths of these ranges were averaged and included in the statistical analysis.

2.3. Statistical analysis

All statistics were conducted in R (version 2.13.2, R Team [45]). The following R packages were used: 'R Core Team' and 'doBy' (version 4.2.3, Højsgaard, et al. [46]), R.utils (version 1.6.2, Bengtsson [47]), RSvgDevice (version 0.6.4.1, Luciani [48]), and xlsReadWrite (Version 1.5.4, Suter [49]). We further employ the software package WRS (Wilcox and Schönbrodt [50]) and use features of Wilcox [51]. Since indentation and silica concentration are not normally distributed, we followed the method of Wilcox [51,52] and applied a robust tests sets on the data. To do a pairwise comparison of the non-ranked but trimmed data (15%) we applied the pairwise comparison test analogous to Dunnett's T3 test [53] in combination with the rank-based Cliff's method [54]. Indentation measurements are made 2–4 times for each phytolith. Each indentation measurement (labeled as indent count, Table 1) is nested in phytolith for statistical analysis.

2.4. Ultrastructure of phytoliths of *H. vulgare*

The extracted phytoliths of *H. vulgare* [barley] (Fig. 1b) were embedded in epoxy resin as described in [17]. Originally we planed to study ultrastructure of *Themeda triandra* as well; however we failed in embedding the phytoliths. Therefore only barley was used as sampled species. It has larger phytolith and allowed a better handling and measuring the samples. The epoxy-phytolith mixture was filled into a flat silicone rubber. No staining or fixation techniques were used. After hardening, samples were cut with an ultramicrotome (Ultracut E, Reichert-Jung, Leica Microsystems, Wetzlar, Germany) and analysed with a TEM (LEO 906E, LEO, Oberkochen, Germany) at 100 kV. Micrographs were recorded using a computer-linked camera (CCD camera, multi-scan type 794, Gatan, Abingdon, Great Britain) and edited with the software Gatan Microscopy Suite 2.0 (Gatan, Abingdon, UK).

3. Results

3.1. Nano-indentation on phytoliths

The ranges of indentation measurements are shown in Fig. 1e (median, interquartile range (IQR))=1.5*IQR. Mean indentation values of both phytolith populations are very similar (Table 2, $p_{\text{Dunnett}}=0.377$, $p_{\text{Cliff}}=0.290$). The 10 mg SiO₂/L population of *Themeda triandra* has phytolith indentation values of 2.030 ± 0.882 GPa (mean) being close

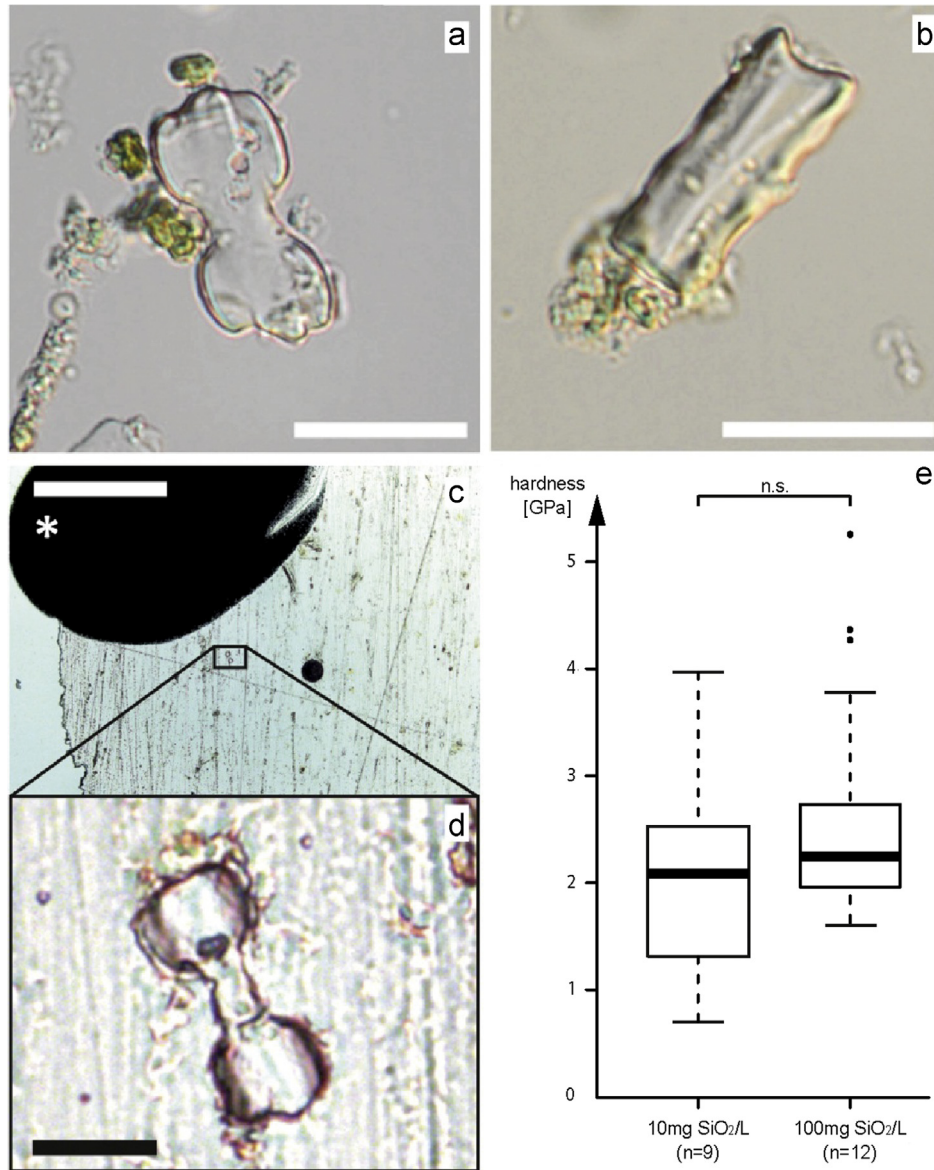


Fig. 1. Nano-indentation measurements of grass phytoliths. Phytoliths of *Themeda triandra* (a) and *Hordeum vulgare* (b) displayed after extraction using the water boiling method (Braune et al. [41]) with some residues of plant tissue. Note the mark of ink (*) used to locate individual phytoliths of *T. triandra* (d). Boxplots (e) showing nano-indentation values based on phytoliths of the silicate controlled populations of *Themeda triandra*. Left: 10 mg (P_10); right: 100 mg (P_100) SiO₂/L. Whiskers include mild outliers (smaller than 4 GPa), extreme outliers (exceeding 4 GPa) identified as individual data points. Note, that differences between 10 mg and 100 mg populations are not significant. Scale: a, b=25 μm, c=400 μm, d=15 μm.

to the 100 mg SiO₂/L population (2.472 ± 0.620 GPa mean). Indentation values (including outliers) range between 0.71 GPa and 3.97 GPa (10 mg SiO₂/L population). In the 100 mg SiO₂/L population the range of the raw values is slightly larger (1.63 GPa to 5.24 GPa) albeit the IQR in the 100 mg SiO₂/L population is smaller (Fig. 1e).

3.2. The Ultrastructure of phytoliths – TEM documentation

The border area of the silica cell which contains the phytolith (Fig. 1a) shows cytoplasm and immediately adjacent to the phytolith (Fig. 2a) a granulated transitional zone [55] (Fig. 17) term this zone as “fibrillary material”. The transitional zone appears to be the growing zone of the

phytolith, as silica bodies here are in immediate contact with the transitional zone, where they seem to originate at the outer border of the phytolith. Silica bodies appear amorphous and are partially covered by less electron dense angular bodies, which appear to belong to an inter-body matrix (Fig. 2d). Silica bodies are arranged in densely packed stacks.

These silica bodies are consistently close to 168 nm wide. Individual silica bodies have a variable length that averages 520 nm. We do not observe significantly shorter individual length of silica bodies at the margins of the phytolith, respectively at the proposed zone of formation as reported by Kaufman et al. [55]. In fact we observe that there is large variability in the length, but a high uniformity in width, which equals the thickness of the stacks. Sectioning the

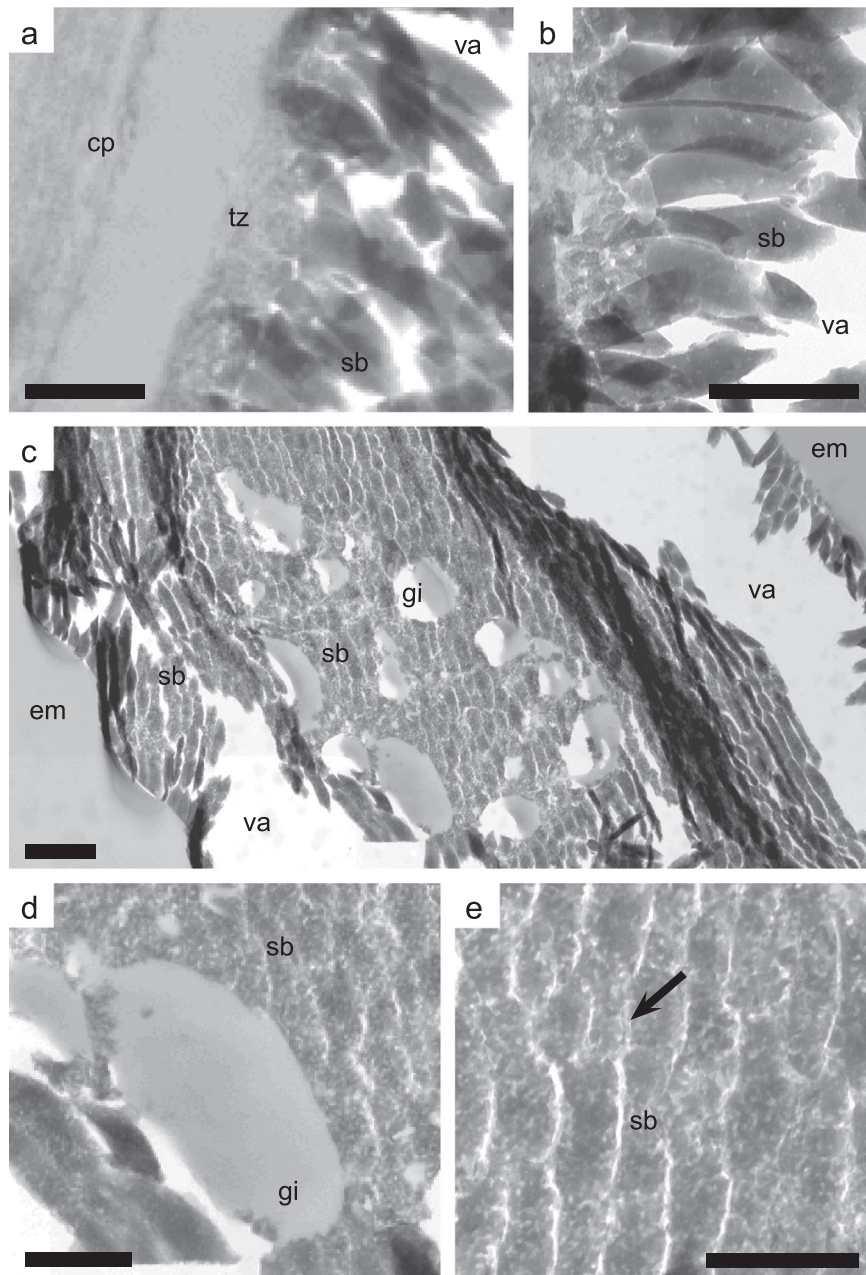


Fig. 2. TEM micrographs indicating phytolith ultrastructure. No staining and no fixation used for TEM micrographs of a phytolith of *Hordeum vulgare* (a) Border area of a phytolith; left section: cytoplasm (cp) of the silica cell with a granulated transitional zone (tz); Right section: silica bodies (sb), partially still in contact with the transitional zone. (b) The translucent areas (va=void artefact) in the micrograph are sectioning artefacts. (c) Overview of a portion of the phytolith bordered by embedding epoxy matrix (em). Note, that there is no surrounding cytoplasm preserved, due to previous preparation. In the center of the micrograph, sectioning artefacts are minor and the undisturbed ultrastructure can be seen. Globular inclusion (gi) with non mineralized homogenous content (light grey; pushed to the right as a sectioning artefact). (d) Note that the margin of the globular inclusion is perfectly smooth, non-angular and that its course appears to not relate to the outlines of the silicate bodies. (e) Thin layer of light, crystal like “inter-body matrix” (arrow) lines the gaps between the silicate bodies, and it appears as if this matrix was a crystalline bonding layer jacketing every single silicate body and bonding it to its neighbour. Scale: a, c, d: 500 nm, b: 1000 nm.

The gaps between the densely packed stacks of silica bodies seen on TEM micrographs are interpreted to be artifacts from the sectioning process. They do, however, highlight lines of structural weakness that obviously are prone to mechanical stress. This supports the notion that stack margins are in fact structural properties independent of the sectioning procedure. Therefore we would expect a phytolith to behave similarly when loaded as dietary components in the occlusal gap of an

herbivorous mammal. It would either be disintegrated into isolated silica bodies of the above mentioned dimensions or, alternatively, when load is more perpendicular with lines of stack margins, into larger fragments.

Jones and Milne [43] as well as Lanning, et al. [57] determine the silicate deposits in plants by means of X-ray diffraction as amorphous opal and α -quartz bodies (polymorphs), but unlikely as silicate gel as hypothesized by

Kaufman et al. [32]. Our results partly support the first two studies [43,57], as the phytoliths we measured display a composite of highly organised crystal-like silicate bodies. The inter-body matrix is interpreted as an even more crystalline structure, but might also be a transitional stage between silica gel and fully crystallized bodies.

4.2. Nano-indentation on phytoliths

Indentation values of phytoliths are slightly higher and more variable in the 10 mg SiO₂/L population of *Themeda triandra* than in the on 100 mg SiO₂/L population, although these differences are not significant. Regarding indentation hardness measurements and its technical limitations, it can be critically argued, that because of the needle like shape indenter tip the indenter would hit a phytolith like a needle would penetrate between the bristles of a brush and it would possibly rather test for cohesion of the silica bodies (via the inter-body matrix), not indicating the hardness of the bodies themselves. However we do not have an alternative test at hand and would therefore not speculate further. For further studies we would recommend a nano-indentation test procedure that affects silica body geometry in a minor way. Another limitation that has to be taken into account might be the globular inclusions (Fig. 2b, c) we found, which are possible remains of lipid droplets [32] might influence indentation-depth measurements if directly penetrated by the nano-indenter and lead to fluctuations in stiffness during CSM hardness measurement.

In comparison to a former study by Lucas et al. [16], who also performed nano-indentation on phytoliths, results for hardness values in the present study fall into the same range (2.56 ± 0.81 GPa). Lucas et al. [16] investigated grass phytoliths of *Ampelodesmos mauritanicum* (POIR.) T. DURAND & SCHINZ extracted by wet oxidation, but gave no information on the indentation depths that were used for averaging. Due to this inconsistency in data structure, the comparison with their results is difficult.

Besides of performing phytolith hardness determinations using other methods of hardness testing, Lucas et al. [16], Sanson et al. [18], Baker et al. [5] Erickson [19] do not mention the origin of plant material in their studies. The present work thus is the first to provide information on growth conditions of the test species (10 and 100 mg SiO₂/L mg), number of phytoliths, indents obtained and precise descriptions of the procedure. This should help scholars to reproduce and complement data critical to our understanding of phytolith hardness and its bearing on habitat conditions and plant animal interaction. Beside the above mentioned technical limitations our results confirm that the silicate concentration in the substrate does not affect the hardness of the phytoliths and thus suggests that (at least for the tested species and silica concentrations used) phytolith nano-indentation hardness is independent of silica availability in the substrate. This may not immediately be translated into complete independence of phytolith hardness and other habitat properties. However, the variability in hardness may be responsible for at least some influence of the substrate. Hence, if any substrate parameter

should influence phytolith hardness, silica availability should certainly play a role.

4.3. Phytolith production in plant tissues

The phytolith-formation cannot be free of environmental influences. With increased water-availability, silicification occurs not only in epidermal short cells but also in epidermal long cells [58]. Schaller, et al. [59] found that phytolith quantity in the leaf tissue can be increased by supplementary silicate provisioning [59]. The abrasiveness of the leaves is also found to be increased [6]. Our results point to a similar direction like Schaller, et al. [59]. It clearly indicates that a higher silicate concentration in the substrate does not lead to harder phytoliths but rather to a larger quantity of phytoliths. Hence, the results of our study confirm the findings of [59] and explain the increased abrasiveness as indicated by [6]. Assuming phytoliths are constituted by amorphous opal (SiO₂*nH₂O) with an undetermined water content, there would be enough water available to prevent full crystallisation to harder α -quartz phytoliths in living cells, even during water stress. Water stress should thus not necessarily increase the hardness of phytoliths in plant tissue even if the designated silica cells provide limited space for silicification [32,55].

4.4. Abrasiveness of plant tissues and its bearing on animal-plant interaction

The cause of tooth wear has long been attributed to phytoliths. This is mainly because Baker et al. [5] found silicate phytolith to be harder than sheep tooth enamel. The study has thus for a long time dominated the discussion on dental feeding traits in mammals, that were widely considered the result of plant-animal co-evolution [1,3,35,60–63]. However our knowledge about how the variety of mineralized internal plant abrasives (e.g. beside diverse silica phytolith oxalates are of importance too [64,65]) is still limited and need to be studied in more detail. Further one could argue that an increased silica concentration in the substrate leads to larger phytolith quantities and increasing damage risks in the protein bonds between the hydroxyapatite crystals of the enamel as suggested by Xia, et al. [66,67]. Sanson et al. [18], Lucas et al. [16] and Erickson [19] provided softer phytolith hardness data and thus changed this notion. Extrinsic dust and soil particles are considered to play a more important role in explaining tooth wear [68]. In recent years increasing abrasiveness by dust loads in dry habitats is confirmed by evidence from field studies in free-ranging Arabian gazelles [69,70] based on feeding and tooth wear observations.

In feeding experiments with rabbits and guinea pigs, Müller et al. [71,72] found that both, mineral soil particles and plant ingredients with internal phytoliths can abrade teeth of herbivorous mammals, but mineral soil particles have a greater effect on the tooth-wear. For sheep, Merceron et al. [73] found contradicting results and conclude that experimentally added external abrasives (less than 1% dry matter intake) do not

influence tooth wear significantly, while inherent material properties of the forage are reflected in tooth wear.

5. Conclusions

The nano-indentation measurements of *Themeda triandra* phytoliths in this study support former finding that phytoliths are softer than tooth enamel, but still remain a source of abrasiveness in herbivorous diets. It was found, that phytoliths of *Hordeum vulgare* measured display a composite of highly organised crystal-like silicate bodies. The inter-body matrix is interpreted as an even more crystalline structure, but might also be a transitional stage between silica gel and fully crystallized bodies. For future studies we highly recommend further developments of a nano-indentation test procedure that affects silica body geometry in a minor way and takes into account that penetrating the phytolith by the nano-indenter might lead to fluctuations in stiffness during CSM hardness measurement. We found that silicate concentration in the substrate does not affect the hardness of the phytoliths and thus suggests that (at least for the tested species and silica concentrations used) phytolith nano-indentation hardness is independent of silica availability in the substrate.

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