

Black-hole Spectroscopy by Making Full Use of Gravitational-Wave Modeling

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The Kerr nature of a compact-object-coalescence remnant can be unveiled by observing multiple quasi-normal modes (QNMs) in the post-merger signal. Current methods to achieve this goal rely on matching the data with a superposition of exponentially damped sinusoids with amplitudes fitted to numerical-relativity (NR) simulations of binary black-hole (BBH) mergers. These models presume the ability to correctly estimate the time at which the gravitational-wave (GW) signal starts to be dominated by the QNMs of a perturbed BH. Here we show that this difficulty can be overcome by using multipolar inspiral-merger-ringdown waveforms, calibrated to NR simulations, as already developed within the effective-one-body formalism (EOBNR). We build a parameterized (nonspinning) EOBNR waveform model in which the QNM complex frequencies are free parameters (pEOBNR), and use Bayesian analysis to study its effectiveness in measuring QNMs in GW150914, and in synthetic GW signals of BBHs injected in Gaussian noise. We find that using the pEOBNR model gives, in general, stronger constraints compared to the ones obtained when using a sum of damped sinusoids and using Bayesian model selection, we also show that the pEOBNR model can successfully be employed to find evidence for deviations from General Relativity in the ringdown signal. Since the pEOBNR model properly includes time and phase shifts among QNMs, it is also well suited to consistently combine information from several observations — e.g., we find on the order of ~ 30 GW150914-like BBH events would be needed for Advanced LIGO and Virgo at design sensitivity to measure the fundamental frequencies of both the (2,2) and (3,3) modes, and the decay time of the (2,2) mode with an accuracy of $\lesssim 5\%$ at the $2\text{-}\sigma$ level, thus allowing to test the BH's no-hair conjecture.

I. INTRODUCTION

Up to now, apart from the binary neutron star event GW170817 [1], all the observed GWs from the coalescence of compact objects by Advanced LIGO and Virgo [2–6] are entirely consistent with the expected gravitational radiation emitted during the inspiral, merger and ringdown stages of a BBH, as predicted by Einstein theory of General Relativity (GR) [7, 8]. After merger, GR predicts that the remnant BH is described by the Kerr metric [9], the unique stationary, axisymmetric and asymptotically flat BH solution of the Einstein field equations in vacuum (astrophysical black holes are thought to be electrically neutral). As detectors with improved sensitivity and longer observation times come online, the signal-to-noise ratio (SNR) and number of events will increase, and more stringent gravitational tests could put GR at stake [10, 11], and/or reveal the existence of exotic astrophysical compact objects [12–14] in our Universe.

Consistent with theoretical predictions, the GW signals of the five BBHs observed so far by Advanced LIGO, GW150914, GW151226, GW170104, GW170608 and GW170814, chirp from the inspiral stage, where the orbital frequency increases as the two objects come closer and closer, up to merger, where the GW luminosity reaches a peak and non-perturbative GR effects dominate. After the merger, the waveform settles to a linear superposition of exponentially damped sinusoidal oscillations (ringdown) or QNMs,

described by a discrete set of complex frequencies which are uniquely determined by the nature of the remnant BH and are independent on how the BH was formed. That BHs, when formed and/or perturbed, emit GWs described by a very specific set of QNMs was discovered in the early 70s [15–17]. In the absence of electromagnetic fields, the no-hair conjecture [18–21] implies that in GR the BH's QNMs depend only on the BH's mass M_{BH} and angular momentum (or spin) J_{BH} , and therefore testing this hypothesis requires the identification of at least two QNMs in the ringdown waveform [22–27]¹.

The idea of employing spectroscopy of the ringdown stage of compact-object binary mergers to prove that a BH has been observed (or better rule out/constrain theories alternative to GR or other compact objects rather than BHs) and test the no-hair hypothesis in GR, was first examined in Ref. [22]. Later, Ref. [23] carried out a comprehensive study aimed at quantifying the accuracy with which the QNM (complex) frequencies can be measured for GW sources observable by the laser-interferometer space-based antenna (LISA), and applied statistical criteria to estimate the resolvability of different modes. The latter was also used in subsequent publications (e.g., see Refs. [29–32]), which focused also on future GW detectors on the ground. An important step in understanding the feasibility of the BH-spectroscopy program came with Refs. [24, 25], where the authors applied Bayesian techniques for the first time, employed parameterized models

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¹ Strictly speaking, several counter-examples to the no-hair conjecture within GR exist. However, most of those solutions either lead to instabilities or they require the presence of exotic fields or time-dependent boundary conditions for complex boson fields (see, e.g., Ref. [28]).

for the ringdown signals and advocated for the use of multiple events to get stronger tests of the GR no-hair conjecture. More recently, Ref. [26] proposed a strategy to increase the accuracy of observing a given QNM by constructively summing the ringdown signal from multiple events, after appropriately applying a rescale and time shift such that the QNM in all signals has the same frequency and phase. The same idea was proposed in Ref. [27] although only implemented for the least-damped QNM. We stress, that this recent idea to extract subdominant modes relies on using the measured BBH parameters (i.e., masses and spins), and importantly on knowing in advance the relative phases and amplitudes of the excited QNMs.

In previous analyses of the BH-spectroscopy program, all studies were conducted employing for the ringdown signal a superposition of exponentially damped sinusoids with either free amplitudes and phases [23, 29, 32, 33] or with amplitudes fitted to NR simulations [24, 25]. Here, by contrast, we make *full* use of GW modeling from BBH coalescences and employ inspiral-merger-ringdown (IMR) waveforms as developed within the effective-one-body formalism [34, 35], augmented by NR simulations [36] (EOBNR waveforms, for short ²). There are two main advantages in doing so. First, EOBNR waveforms include, by construction, the phase difference between different QNMs, tuned to NR simulations, thus avoiding to apply sophisticated techniques to enforce such a coherence a posteriori (i.e., after the observation [26, 27]). Second, there is no need to define a priori unknown time at which the QNMs start to dominate the post-merger signal (or select a few arbitrary values, as was done for GW150914 [7]), because this time is automatically taken into account when building EOBNR waveforms, so that they match NR waveforms with high precision. As we shall see, the apparent limit in the accuracy of extracting QNM frequencies, as recently advocated in Ref. [37], does not hold when employing IMR waveforms.

The rest of this paper is organized as follows. We first introduce our IMR waveform model with free QNM complex frequencies in Sec. II, and discuss how this model can be used to measure the ringdown frequencies and damping time of a BBH-coalescence remnant. In Sec. III we present the statistical method that we employ to measure the QNM complex frequencies, and test the IMR model against the GW event GW150914 and NR waveforms. Section IV studies two different approaches to measure deviations from GR using the IMR waveform model. We first perform a Bayesian model selection study to show that the IMR model is able to find evidence for deviations of GR in the ringdown. Then, we give some prospects, using Advanced LIGO and Virgo noise curves at design sensitivity, on how strongly the model can constrain deviations from GR by combining several detections. Finally, we summarize and discuss future improvements in Sec. V.

II. FULL GRAVITATIONAL-WAVE SIGNAL TO EXTRACT QUASI-NORMAL MODES

We use the IMR waveforms developed within the EOB formalism, which provides a faithful and physical, semi-analytic description of the full coalescence process, and it can be made highly accurate by including information from NR simulations. In particular, here we employ the multipolar waveform model for nonspinning BBHs calibrated to NR simulations in Ref. [36] (henceforth, EOBNR for short). A GW emitted from a binary into a given sky direction (θ, ϕ) can be written as $h_+(\theta, \phi; t) - ih_\times(\theta, \phi; t) = \sum_{\ell, m} {}_{-2}Y_{\ell m}(\theta, \phi) h_{\ell m}(t)$, where ${}_{-2}Y_{\ell m}(\theta, \phi)$ are the -2 spin-weighted spherical harmonics. Our EOBNR model includes the $(\ell, |m|) = (2, 1)$, $(3, 3)$, $(4, 4)$, and $(5, 5)$ modes besides the dominant $(2, 2)$ mode.

More specifically, for each (ℓ, m) , the merger-ringdown EOBNR modes read

$$h_{\ell m}^{\text{merger-RD}}(t) = \sum_{n=0}^{N-1} A_{\ell mn} e^{-i\sigma_{\ell mn}(t-t_{\text{match}}^{\ell m})} \quad t \geq t_{\text{match}}^{\ell m}, \quad (1)$$

where n is the QNM overtone number, N is the number of overtones included in the EOBNR model (e.g., $N = 8$ in Ref. [36] ³), and $A_{\ell mn}$ are complex amplitudes determined by the procedure that matches the merger-ringdown waveform to the inspiral-plunge EOBNR waveform $h_{\ell m}^{\text{inspiral-plunge}}(t)$. Such a procedure guarantees differentiability at the matching point $t_{\text{match}}^{\ell m}$. The quantity $\sigma_{\ell mn} = \omega_{\ell mn} - i/\tau_{\ell mn}$, where the oscillation frequencies $\omega_{\ell mn} > 0$ and the decay times $\tau_{\ell mn} > 0$, are numbers associated with each QNM. It was found in Refs. [36, 38], that in the test-particle limit and comparable-mass case, the different modes can peak at different times, depending on mass ratio and spin values. We stress that the multipolar EOBNR model adopted here *does* reproduce this important feature by including appropriate time shifts between the modes ($\Delta_{\text{match}}^{\ell m}$) in the matching procedure (for details see Fig. 1 and Sec. IIB in Ref. [36]). Finally, the inspiral-(plunge-)merger-ringdown EOBNR waveform reads $h_{\ell m}(t) = h_{\ell m}^{\text{insp-plunge}} \theta(t_{\text{match}}^{\ell m} - t) + h_{\ell m}^{\text{merger-RD}} \theta(t - t_{\text{match}}^{\ell m})$.

In Ref. [36], the complex frequencies $\sigma_{\ell m}$ were expressed in terms of the final BH mass and spin [23], and the latter were related to the BBH's component masses and spins through an NR-fitting-formula [36] computed in GR. For concreteness, in Fig. 1 we show an example where we compare the amplitude of the different modes available in the EOBNR waveform, for a BBH with mass ratio $q = 6$, against the waveform obtained from a NR simulation ⁴. Importantly, the model includes time shifts between the peak of each mode and agrees very well with NR, even for $\ell > 2$ -modes.

Here, to measure the ringdown frequencies and damping times of different QNMs, we build a parameterized EOBNR

² The specific name of the waveform model that we use in the LIGO ALGORITHM LIBRARY is EOBNRV2HM.

³ We note that some of the high overtones used in Ref. [36] do not have the frequency and decay time of a BH, and they were included *only* to make the merger-ringdown transition as smooth as possible.

⁴ The NR waveforms used in this paper are from the Simulating eXtreme Spacetimes (SXS) catalog in Ref. [39]. The modes' amplitudes shown in Fig. 1 refer to SXS:BBH:0166.

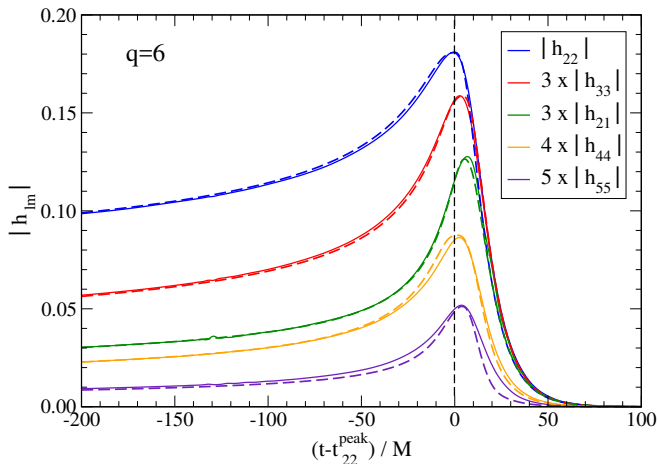


FIG. 1. Comparison between modes' amplitudes of the EOBNR model [36] used here (dashed lines) and the NR waveform (solid lines) for a BBH simulation with mass ratio $q = 6$ produced by the SXS collaboration [39]. In the horizontal axis the time origin is chosen such that it corresponds to the peak of the $(2, 2)$ mode.

model by relaxing the assumption that the ringdown signal is fixed by the NR-fitting-formula in Ref. [36], and instead promote the QNM (complex) frequencies to be free parameters (henceforth, pEOBNR model). In the specific applications of this paper, we will only allow σ_{220} and σ_{330} to vary freely, while all the other mode frequencies present in the merger-ringdown waveform coincide to the GR values. We emphasize that σ_{220} and σ_{330} varying freely implies that the EOBNR waveform at merger (i.e., close to the peak and at $t_{\text{match}}^{\ell m}$), does not necessarily coincide with the GR prediction, since the matching procedure changes the shape of the waveform for $t > t_{\text{match}}^{\ell m}$ for $(\ell, m) = (2, 2)$ and $(3, 3)$. Lastly, for $t < t_{\text{match}}^{\ell m}$, our EOBNR waveform modes agree with the inspiral-plunge modes $h_{\ell m}^{\text{inspiral-plunge}}(t)$ computed in GR. In the future, as the EOB formalism is extended to modified theories of GR [40, 41], we will include non-GR inspiral-plunge modes and other possible variations around merger.

In the following, we contrast the results obtained with the pEOBNR model, with a waveform model that consists of solely a superposition of damped sinusoids, whose (complex) frequencies are free parameters [22, 23]. This has been the most common ringdown model used in the literature to test the no-hair conjecture and/or extract multiple QNMs. After the NR breakthrough in 2005, the relative amplitudes and phases of the QNMs in these models have been constrained using fits from NR simulations of BBHs [24, 42–44]. More explicitly, the ringdown model that we employ is ($t \geq 0$)

$$h_+^{\text{RD}}(\theta, \phi; t) = \sum_{\ell, m > 0} A_{\ell|m} e^{-t/\tau_{\ell m}} Y_+^{\ell m}(\theta) \cos(\omega_{\ell m} t - \phi_{\ell m}), \quad (2)$$

$$h_{\times}^{\text{RD}}(\theta, \phi; t) = - \sum_{\ell, m > 0} A_{\ell|m} e^{-t/\tau_{\ell m}} Y_{\times}^{\ell m}(\theta) \sin(\omega_{\ell m} t - \phi_{\ell m}), \quad (3)$$

where $Y_+^{\ell m} \equiv -_2Y^{\ell m} + (-1)^{\ell} -_2Y^{\ell - m}$ and $Y_{\times}^{\ell m} \equiv -_2Y^{\ell m} - (-1)^{\ell} -_2Y^{\ell - m}$, and $h_+ = h_{\times} = 0$, for $t < 0$, $t = 0$ being the starting time of the ringdown signal. Since we focus on non-spinning BBHs, we use for the relative modes' amplitudes the NR-fits in Ref. [24], so that the only free parameters are the mode frequencies $\omega_{\ell m}$, damping times $\tau_{\ell m}$, the phases $\phi_{\ell m}$, the BBH mass ratio q and an overall amplitude factor (see Eqs. (5)–(8) in Ref. [24]). One crucial difference of this ringdown model from the pEOBNR model discussed above, is that the former assumes that all modes start at the same time, and this is not observed in NR simulations of BBHs (see Fig. 1 and Ref. [36]). Furthermore, the pEOBNR model also includes overtones beyond $n = 0$, which can be excited around merger, as also observed in NR simulations [45, 46].

An important difficulty to overcome when using a damped sinusoid model is the need to define a specific starting time at which the GW signal is well described by a sum of QNMs. Since the arrival time of the signal in the different detectors is a function of the sky position, to correctly define the time at which the ringdown starts in all detectors, one not only needs to know the geocentric time at coalescence but also the sky position of the signal [33]. For a real event these parameters are *a priori* unknown and must be obtained from a previous analysis done with an IMR waveform. In addition to this difficulty, to avoid biases and accurately recover the ringdown parameters for an IMR signal, we also find it necessary to zero out the synthetic GW signals injected in Gaussian noise prior to the starting time of the damped sinusoid model. This behavior was already pointed out in Ref. [33], and is related to matching a model with a cutoff in the time domain to a signal that includes all the IMR information. These technical difficulties can be completely avoided by using an IMR model, and therefore provide an additional motivation for this work.

In summary, focusing on nonspinning BBHs with component masses m_1 and m_2 , we consider two different waveform models: (i) the pEOBNR waveform built from Ref. [36] with free parameters $\vartheta_{\text{GR}} = \{M_c, q, D_L, \alpha, \delta, \psi, \theta, t_c, \phi_c\}$, where $M_c = Mv^{3/2}$ is the (redshifted) chirp mass, with $v = m_1 m_2 / (m_1 + m_2)^2$ and M the (redshifted) total mass, $q = m_1 / m_2 > 1$ is the mass ratio, D_L is the luminosity distance, θ is the inclination angle of the binary, α , δ and ψ are the right ascension, declination and polarization angles, respectively, and t_c and ϕ_c are the (geocentric) time and phase at coalescence, supplemented with free complex QNM frequencies for the (220) and (330) modes $\vartheta = \vartheta_{\text{GR}} \cup \{\omega_{220}, \tau_{220}, \omega_{330}, \tau_{330}\}$; and (ii) the damped sinusoid model given by Eqs. (2) and (3). In this work we either use only one damped sinusoid, or use a two-damped sinusoid model with relative amplitudes for the (220) and (330) modes fitted to NR as given in Ref. [24], neglecting all the other modes. Therefore for the two-damped sinusoid model the free parameters are $\vartheta_{\text{RD}} = \{\omega_{220}, \omega_{330}, \tau_{220}, \phi_{220}, \tau_{330}, \phi_{330}, A, q\}$, with A an overall amplitude, that can be related to the BH final mass and the luminosity distance, while for the single damped sinusoid model, the free parameters are simply $\vartheta_{\text{RD}} = \{\omega_{220}, \tau_{220}, \phi_{220}, A\}$.

III. INFERENCE WITH THE PARAMETERIZED INSPIRAL-MERGER-RINGDOWN MODEL

We now use Bayesian analysis [47, 48] to test the ability of the pEOBNR model to recover the QNM complex frequencies. In particular, we infer the ringdown-signal's parameters of GW150914 [2], which, so far, is the loudest BBH event detected by Advanced LIGO, and the only event with a non-negligible amount of SNR in the ringdown, and of a few synthetic GW signals injected in Gaussian noise. For the latter we employ two nonspinning NR waveforms from the SXS catalog [39]: (i) one with mass ratio $q = 1.5$ (SXS:BBH:0007) and total mass $M = 70M_\odot$, which mimics the GW150914-like event, and (ii) another with mass ratio $q = 6$ (SXS:BBH:0166) and total mass $M = 84M_\odot$, for which modes with $l > 2$ are non-negligible — e.g., at merger the (3,3)-mode is $\sim 70\%$ smaller than the dominant (2,2)-mode in the face-on/off binary configuration (see Fig. 1).

We estimate the probability density function (PDF) for a parameter vector ϑ according to the LIGO ALGORITHM LIBRARY sampling algorithm in Ref. [49]. We sample the posterior density $p(\vartheta|h, d)$ for the model h given the data d as a function of ϑ using:

$$p(\vartheta|h, d) \propto \mathcal{L}(d|\vartheta) \times p(\vartheta), \quad (4)$$

where $\mathcal{L}(d|\vartheta)$ is the likelihood function of the observed data for given values of the parameters ϑ , and $p(\vartheta)$ is the prior probability density of the unknown parameter vector ϑ . To obtain the likelihood function $\mathcal{L}(d|\vartheta)$, we first generate the GW polarizations $h_+(\vartheta)$ and $h_\times(\vartheta)$ according to the waveform models described above. We then combine the polarizations into the two Advanced LIGO and Advanced Virgo detector responses at design sensitivity, $h_{1,2,3}$, by projecting them on the detector antenna patterns [50]: $h_k(\vartheta) = h_+(\vartheta)F_k^{(+)}(\vartheta) + h_\times(\vartheta)F_k^{(\times)}(\vartheta)$. The likelihood is then defined as the sampling distribution of the residuals, assuming they are distributed as Gaussian noise colored by the power spectral density (PSD) for each detector [49]:

$$\mathcal{L}(d|\vartheta) \propto \exp \left[-\frac{1}{2} \sum_{k=1,2,3} \langle h_k(\vartheta) - d_k | h_k(\vartheta) - d_k \rangle \right], \quad (5)$$

where $\langle \cdot | \cdot \rangle$ denotes the noise-weighted inner product [50]. Here for the Advanced LIGO noise spectral density we use the ZERO_DET_high_P PSD [51], while for Virgo we use the PSD in Ref. [52]. We use the common "zero-noise" approximation, where instead of averaging many PDFs obtained with different Gaussian noise realizations, we directly obtain this averaged PDF by setting the noise realisation to be identically zero.

We follow the choices in Ref. [49] for the prior probability density $p(\vartheta)$ in Eq. (4). When recovering the signal with the pEOBNR model, we sample the QNM complex frequencies in the dimensionless parameter $GM_{\text{BH}}\sigma_{\ell m}/c^3$ with a flat prior $GM_{\text{BH}}\omega_{\ell m}/c^3 \in [0.3, 1]$ and $GM_{\text{BH}}/\tau_{\ell m}/c^3 \in [0.03, 0.2]$, where M_{BH} is the mass of the remnant BH. These priors are chosen such that within this range, the pEOBNR model is rea-

sonably smooth at the matching point between the inspiral-plunge and merger-ringdown parts. When we use the damped sinusoids, we employ flat priors for the dimensionful quantities $f_{\ell m} \in [50, 500]\text{Hz}$ and $1/\tau_{\ell m} \in [50, 500]\text{s}^{-1}$, with $2\pi f_{\ell m} = \omega_{\ell m}$.

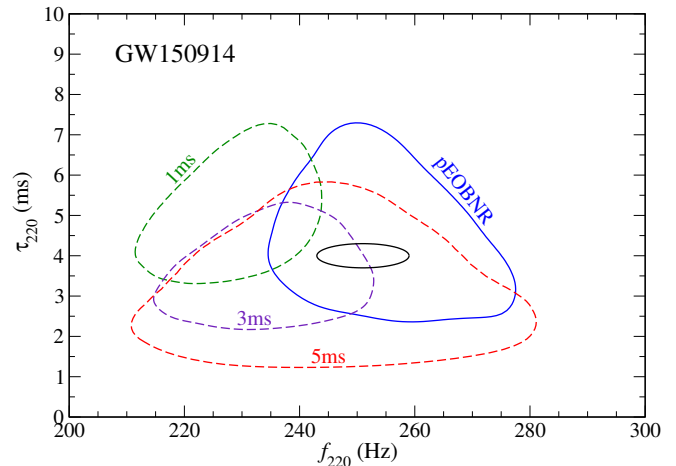


FIG. 2. 90% credible interval contours for the dominant QNM, using the pEOBNR model and a damped sinusoid model at starting times $t_0 = 1, 3, 5$ ms after merger. The black solid line shows the 90% credible region for the frequency and decay time of the (2,2) QNM inferred from the posterior distributions of the remnant mass and spin parameters as derived in Ref. [7]. GW150914 is consistent with the coalescence of two nonspinning BHs, with an inferred total (redshifted) mass of $M/M_\odot = 70.6^{+4.6}_{-4.5}$, mass ratio $q = 0.82^{+0.17}_{-0.20}$ and luminosity distance $D_L/\text{Mpc} = 410^{+160}_{-180}$ [53].

A. Putting the IMR model to test using GW150914

GW150914 [2] was the first and, so far, loudest BBH's GW signal detected by Advanced LIGO and Virgo. Constraints for the frequency and damping time of the dominant QNM for this event were computed in Ref. [7]. Following the latter, we use 8 s of data centered around GW150914 from both Livingston and Hanford LIGO detectors, and infer GW150914's parameters using the pEOBNR model. In Fig. 2 we show the 90% credible intervals of the 2D PDF for the recovery of the dominant QNM frequency f_{220} and damping time τ_{220} . We also compare the results with the constraints that we obtain when using the two damped sinusoid model with different starting times⁵. We also show the frequencies as inferred by assuming GR and using the posterior distributions

⁵ We fix the starting time of the damped sinusoid model to be $t_0 = t_c + 1, 3, 5$ ms (in units of the BBH total mass this corresponds to $\sim 3M, 9M, 15M$ after merger, respectively), where we choose t_c to be given by the maximum likelihood GPS time obtained from the run using the pEOBNR model, namely we use $t_c = 1126259462.408$ s. For the sky position we fix the right ascension $\alpha = 1.953$ rad and declination $\delta = -1.2$ rad.

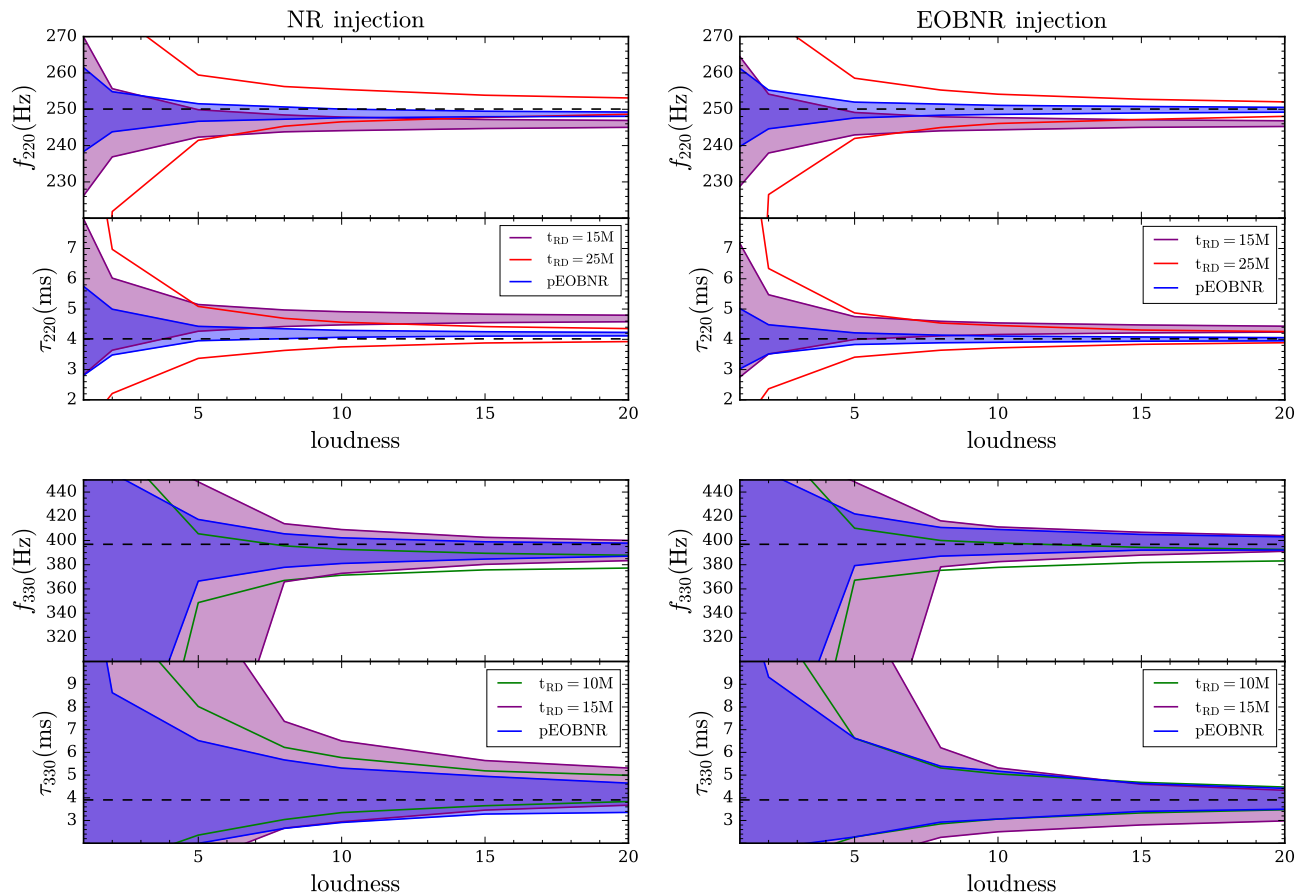


FIG. 3. 95% credible interval contours for the frequency and damping time of the (220) and (330) modes of a GW event with mass ratio $q = 1.5$, total (redshifted) mass $M = 70M_{\odot}$ as a function of the *loudness*, defined as $\text{loudness} = 500\text{Mpc}/D_L$. The dashed black lines corresponds to the injected values. We compare the recovery using the pEOBNR model with the one using a single damped sinusoid with starting time $t_0 = t_c + t_{\text{RD}}$. In the left panels we show the recovery for an NR injection, while in the right panels we show the recovery for an injection with an EOBNR waveform with the same parameters.

of the remnant mass and spin parameters as derived in Ref. [7] (black solid line). Our main conclusion is that the pEOBNR model gives constraints that are in full agreement with the ones inferred from the posterior distributions of the remnant mass and spin parameters, and even slightly stronger than the damped sinusoid model. In addition, as already emphasized, the pEOBNR model avoids intrinsic issues inherent with using a damped sinusoid model such as potential biases due a non-optimal choice of the *a priori* unknown starting time for the ringdown signal. In addition, the uncertainty in the measurement of the time at coalescence and sky position is naturally included in the pEOBNR model, while such uncertainty cannot be easily incorporated in the damped sinusoid model (see Ref. [33] for a proposal on how to include such uncertainty).

B. Putting the IMR waveform model to test using numerical-relativity waveforms

It was recently claimed in Ref. [37] that there is an intrinsic limit in the accuracy with which one can extract QNM frequencies, when describing the post-merger signal by a sum of exponentially damped sinusoids. In particular, Ref. [37] argued that although a more sensitive detector can probe later times in the GW signal, it does not necessarily mean one can get tighter constraints on the ringdown frequencies and damping times, due to a tension between the need to maximize the SNR at which one extracts the QNM frequencies, and an optimal choice for the time at which the signal can be well-described by a sum of QNMs. The authors speculated that this effect might be due to residual nonlinearities decaying on similar timescales to the ringdown signal, but more recently Ref. [54] argued that this effect is likely due to the increasing importance of the overtones in the large-SNR limit.

In fact, as we show below and as expected, we do not find any conclusive evidence of this limitation when using

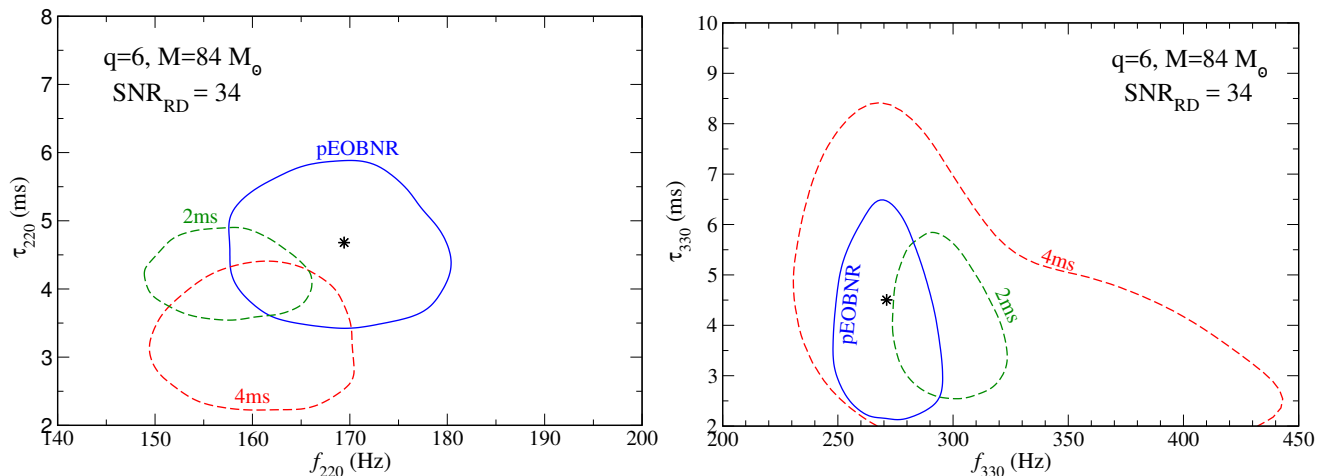


FIG. 4. Left: 90% credible interval contours for the (220) QNM complex frequency for NR waveform with mass ratio $q = 6$, total (redshifted) mass $M = 84M_{\odot}$ and IMR network $\text{SNR} \approx 70$, corresponding to an SNR in the ringdown of $\text{SNR}_{\text{RD}} \approx 34$. The black star corresponds to the injected value for the (220) QNM. Right: Same, but for the recovery of the (330) QNM.

the IMR waveform at our disposal. In particular, as already emphasized, the pEOBNR model includes overtones and naturally encodes information on the starting time of the ringdown. In addition to these features, the model also includes crucial information necessary to accurately measure subdominant modes, such as time shifts between the peak of the different modes and their relative phase and amplitude difference compared to the dominant (220) mode.

To reproduce the features seen in Ref. [37] we inject an NR waveform with mass ratio $q = 1.5$ (SXS:BBH:0007) and total redshifted mass $M = 70M_{\odot}$ at different distances while keeping all the other parameters constant⁶. Following [37] we define the loudness of the signal as $\text{loudness} = 500\text{Mpc}/D_L$. For the injections that we consider, loudness = 1 corresponds to a network $\text{SNR} \approx 50$ and $\text{SNR}_{\text{RD}} \approx 20$ ⁷. We also note that, everything else being fixed, loudness $\propto \text{SNR}$. Following Ref. [37], and to avoid potential errors introduced by the presence of higher-modes in the NR signal, we inject the (2,2) and (3,3) modes of the NR waveform separately. To understand whether potential biases are due to residual nonlinearities in the NR waveform or simply due to a non-optimal choice of the starting time for the damped sinusoid model, we also inject the EOBNR waveform mode [36] with the same parameters of the NR waveform, for which the ringdown part is exactly described by a sum of QNMs (see Eq. (1)). The injected signals are then recovered using both the pEOBNR model, which has free QNM complex frequencies, and a single damped sinusoid model, with different starting times.

Our results are summarized in Fig. 3. As expected, by increasing the loudness (i.e., increasing the SNR of the injected signal), the error decreases roughly as $1/\text{SNR}$. As can be seen

in the left panels, when recovering the NR signal with a single damped sinusoid, if one chooses a starting time too early after merger, one expects the damped sinusoid to recover inaccurate QNM frequencies, while choosing a starting time too late after merger leads to large statistical errors. We find that one needs to start the matching at a time after merger of at least $t_{\text{RD}} \gtrsim 20M$ for the (220) mode and $t_{\text{RD}} \gtrsim 15M$ for the (330) mode, to get unbiased frequencies and damping times. This is consistent with recent studies on the starting time of the ringdown in BBH mergers [55]. On the other hand, the pEOBNR model recovers both the frequency and damping time of the NR waveform with a very good accuracy, although we find a small bias of $\sim 1\%$ for the (220) frequency compared to the injected value. This is likely a systematic bias due to modeling errors in the inspiral-plunge part of the IMR model [56]. In fact, as can be seen in the right panels, when injecting the EOBNR waveform, as expected the pEOBNR model recovers unbiased frequencies and damping times while the behavior of the damped sinusoid model is similar to what we found for the NR injection. Therefore, we find no conclusive evidence that the limitation discussed in Ref. [37] is due to residual nonlinearities in the ringdown part of the NR waveform, and in particular we find no evidence that the IMR pEOBNR model has such limitation (aside from modeling errors).

So far, we have assumed that the different modes in the signal can be distinguished and recovered separately. In a realistic scenario one would prefer instead to use the IMR pEOBNR model against the full GW signal, since disentangling the different modes is a very challenging task that would induce unavoidable systematic errors. Therefore, in Fig. 4 we also show an example where we inject an NR waveform with all available modes (i.e., up to $\ell = 8$), for mass ratio $q = 6$ (SXS:BBH:0166) and total (redshifted) mass $M = 84M_{\odot}$. We consider an injection with total network $\text{SNR} \approx 70$, corresponding to a luminosity distance $D_L = 160\text{Mpc}$ and $\text{SNR}_{\text{RD}} \approx 34$. We recover again the GW signal using the

⁶ We use $\theta = 2.2\text{rad}$, $\alpha = 1.21\text{rad}$, $\delta = -1.165\text{rad}$ and $t_c = 1126259462\text{ s}$.

⁷ Here we define the SNR in the ringdown, SNR_{RD} , as the SNR computed starting from the peak (or merger) of the (2,2) mode.

pEOBNR model, with all the modes available in the model, and contrast it with the recovery when using a two damped-sinusoid model, with amplitudes fitted to NR[24], using different starting times. Due to the large-mass ratio, in this case there is a clear hierarchy between the amplitude of different modes, and higher modes have a non-negligible contribution to the overall waveform. For this mass ratio the peak amplitude of the (3,3)-mode is roughly 70% smaller than the (2,2)-mode, as can be seen in Fig. 1, and therefore strong constraints on a second QNM can be obtained even for a reasonable SNR in the ringdown (i.e., ≈ 34). As we see, the pEOBNR model recovers unbiased results for the ringdown frequency and damping time, even if the NR waveform includes more subdominant modes. On the other hand, when using the two damped-sinusoid model and choosing starting times that give comparable errors to the pEOBNR model, we always recover slightly biased QNM parameters. These results demonstrate the need of including more physical effects in the more theory-agnostic damped-sinusoid model, if one wanted to use it to get accurate and precise values for the QNM frequencies and damping times of BBHs event, and test the no-hair conjecture.

We note that at the time of this writing, no suitable NR waveform computed in alternative theories of gravity are available for testing. While the tests in this section validate our approach for small deviations from GR, we do hope that further tests with non-GR waveforms will be performed in the future.

IV. TESTING THE GENERAL RELATIVISTIC NO-HAIR CONJECTURE

Having laid down the ability of the pEOBNR waveform model to measure the ringdown complex frequencies, we now investigate the capacity of the IMR model to detect small deviations from GR in the ringdown part of the signal using two approaches: (i) a Bayesian model selection scheme, and (ii) by directly measuring the QNM frequencies using Bayesian parameter estimation and computing the constraints on deviations from GR.

Such approaches have been used in the past [24, 25], however focusing on the damped sinusoid model, which as we have argued above, is prone to technical difficulties and might not be ideal if one wishes to get as much as possible information from the GW signal. Therefore, from now on, we focus solely on the IMR pEOBNR model.

A. Bayesing model selection

Bayesian model selection has been extensively used in the context of testing GR [24, 25, 57, 58], and is particularly useful to find statistical evidence for deviations from GR even when the majority of the GW events have a small SNR, and parameter estimation alone might not be enough to confidently measure such deviations. Model selection can also naturally be used to get statistical evidence from a small deviation

from GR by combining the information from several observations [25, 58]. In fact, for most of the BBH events that Advanced LIGO and Virgo is detecting, we do not expect to be able to impose strong constraints on the QNM complex frequencies [31], and therefore this is the most promising avenue to detect deviations from GR, before LISA or third-generation detectors on the ground, such as Cosmic Explorer and Einstein Telescope are online.

As said above, similar studies were done in the past in Refs. [24, 25], but they focused on damped-sinusoid models, both for the injected GW signal and the waveform model used to recover it, and they were done using the PSD of Einstein Telescope. Besides the use of an IMR model to recover the signal, another crucial difference here, is that we also inject IMR waveforms. If one would do a Bayesian model selection study on such population using damped sinusoids as templates, one would need to deal with the problem of defining the optimal starting time for the ringdown, that is in general dependent on the particular binary's configuration. Using the IMR model completely avoids this problem. In addition, a Bayesian model selection with an IMR model also naturally incorporates the consistency test that both the inspiral-plunge and merger-ringdown are consistent with GR.

In general, given some observed data d , the support for a given model hypotheses \mathcal{H} can be quantified by integrating Eq. (4) (with h replaced by \mathcal{H}) over \mathcal{D} :

$$p(\mathcal{H}|d) \propto \mathcal{L}(d|\mathcal{H}) \times p(\mathcal{H}). \quad (6)$$

To compare two different model hypotheses, say \mathcal{H}_i and \mathcal{H}_j , in light of the observed data, we compute the ratio of posterior probabilities also known as the odds ratio [57, 58]:

$$\mathcal{O}_j^i = \frac{p(\mathcal{H}_i|d)}{p(\mathcal{H}_j|d)} = \frac{p(\mathcal{H}_i)}{p(\mathcal{H}_j)} \frac{\mathcal{L}(d|\mathcal{H}_i)}{\mathcal{L}(d|\mathcal{H}_j)} = \frac{p(\mathcal{H}_i)}{p(\mathcal{H}_j)} B_j^i, \quad (7)$$

where $p(\mathcal{H}_i)/p(\mathcal{H}_j)$ is the prior odds of the two hypotheses and B_j^i is the Bayes factor. In the following, we quote directly the Bayes factor, so that by construction, if $B_j^i > 1 (< 1)$ the data prefers the model $i (j)$. Then, we need to multiply by the prior odds (which in the case of GR versus non-GR could be a large effect) to get the odds ratio.

Even though no waveform model that corresponds to a non-GR theory is currently available, we may ask: ‘‘Given the observed data, are the QNM frequencies and damping times compatible with GR?’’. To address this question, we consider two different hypotheses models: (i) \mathcal{H}_{GR} , which corresponds to the hypothesis that the events are described by EOBNR waveforms where QNM frequencies are fixed to the GR values, and (ii) $\mathcal{H}_{\text{nonGR}}$, which corresponds to the hypothesis that the QNM complex frequencies are (additional) free parameters and are described by pEOBNR waveforms. Note that the latter also includes GR for a particular choice of QNM frequencies, however, even if GR is the correct theory, the model is penalized when performing Bayesian model selection due to the addition of extra parameters that are not needed to describe the data. For simplicity, in this work, the model $\mathcal{H}_{\text{nonGR}}$ uses the hypothesis that only the frequencies and damping times of the (220) and (330) are not fixed by the

inspiral parameters as given in GR, but all the other QNMs included in the model do (i.e., the 21-mode, 44-mode, and 55-mode and their overtones). We note that we could follow an approach similar to TIGER (Test Infrastructure for General Relativity) [58], where all combinations of possible free parameters are included in the non-GR hypothesis. This approach is in general quite robust in finding deviations from GR even for low SNR systems, but it can be computationally expensive because several models must be analyzed. The hypothesis that only the frequencies and damping times of the (220) and (330) are free is the most conservative assumption, and for practical purposes we consider it here.

To carry out the analysis on a reasonable timescale, we fix the sky position and the parameters influencing mostly the inspiral-plunge phase, namely the mass ratio q and chirp mass M_c . Given that the inspiral is the same for both the GR (EOBNR) and non-GR (pEOBNR) hypotheses, this is a reasonable assumption that should not influence the qualitative picture of the results, especially at large SNRs, where the inspiral parameters and the sky position are measured with very good accuracy. However, the model and framework presented here are not limited to those assumptions, and we plan to relax them and do a more comprehensive analysis in the near future.

Given a detection, we compute the Bayes factor as:

$$B_{\text{GR}}^{\text{nonGR}} = \frac{B_{\text{noise}}^{\text{nonGR}}}{B_{\text{noise}}^{\text{GR}}}, \quad (8)$$

where $B_{\text{noise}}^{\text{nonGR}}$ and $B_{\text{noise}}^{\text{GR}}$ are the Bayes factors for $\mathcal{H}_{\text{nonGR}}$ and \mathcal{H}_{GR} against the hypothesis that the data contain only noise, which we obtain using a nested sampling algorithm as implemented in the LIGO ALGORITHM LIBRARY [49].

For the catalogs of injections we construct two populations of 100 BBH sources, one with GR waveforms using the EOBNR waveform model [36], that we call the GR population, and a second catalogue with the pEOBNR model with QNM frequencies given by $\sigma_{220} = \sigma_{220}^{\text{GR}}(1 + \delta\sigma)$ and $\sigma_{330} = \sigma_{330}^{\text{GR}}(1 + \delta\sigma)$ where we fixed $\delta\sigma = 0.1$. Below we refer to the latter as the non-GR population. We note that deviations up to 10% in the QNM frequencies are possible in some alternative theories to GR. QNM frequencies of spherically symmetric solutions were computed in theories such as Einstein-Maxwell-dilaton [59], dynamical Chern-Simons gravity [60], Einstein-dilaton-Gauss-Bonnet gravity [61–63] and for some solutions in massive (bi)gravity [64–66]. On the other hand, not much progress has been made to compute QNMs for spinning BHs in alternative theories to GR, the only exception being the Kerr-Newman case in Einstein-Maxwell theory [67–70]. Most of the estimates for QNMs of spinning BHs in modified gravity have instead used the connection between the light ring and QNMs [62, 71–73], which is formally only valid in the eikonal $\ell \rightarrow \infty$ limit and known to fail to describe some families of QNMs when additional degrees of freedom are present [62].

We draw the component (redshifted) masses of the 100 sources from a uniform distribution between 30 and 180 M_{\odot} and maximum total (redshifted) mass 210 M_{\odot} . This choice implies a distribution for the mass ratios proportional to $1/q^2$

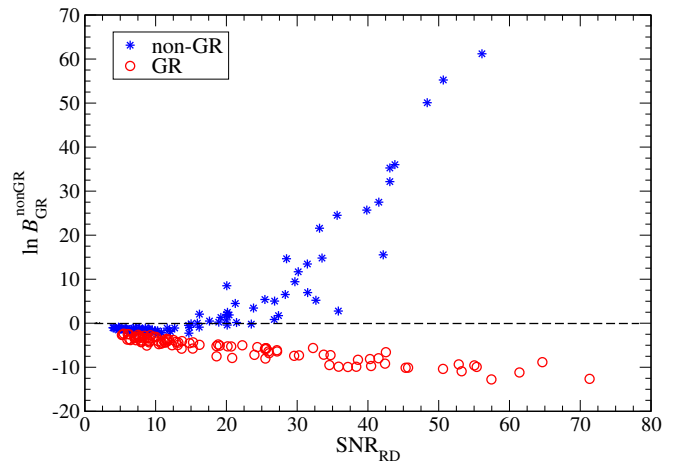


FIG. 5. The log Bayes factors for individual sources. The red circles represent signals with GR waveforms (EOBNR), while the blue crosses correspond to the non-GR waveforms (pEOBNR). A separation between the two is visible for $\text{SNR}_{\text{RD}} \sim 15$, and becomes more pronounced as the SNR increases.

with a maximum value $q = 6$. We draw the sky position and orientations $(\alpha, \delta, \psi, \theta)$ from uniform distributions on the sphere. The signals are distributed uniformly in volume with a network SNR for the IMR signal ranging from $\text{SNR} = 8$ to $\text{SNR} = 100$ (corresponding to luminosity distances from roughly $D_L = 100 \text{ Mpc}$ up to $D_L = 5000 \text{ Mpc}$).

We summarize the results in Fig. 5 where we show the (log) Bayes factor for the individual sources as a function of the SNR in the ringdown part of the signal only (SNR_{RD}). Since the sources are distributed uniformly in volume, the majority of our signals has an $\text{SNR}_{\text{RD}} < 10$. In this region, there is no clear difference between the log Bayes factor for the GR and non-GR population. In fact, for $\text{SNR}_{\text{RD}} < 10$, even for the non-GR population the preferred model is the GR waveform (which follows from the fact that $\ln B_{\text{GR}}^{\text{nonGR}} < 0$ for the non-GR population). This is consistent with the fact that the GR and non-GR waveforms have the same inspiral. Therefore, since the SNR in the ringdown is small, and Bayesian model selection naturally incorporates an Occam’s razor selection, the model with less parameters (i.e., the GR waveform) is favored in this region. However, for $\text{SNR}_{\text{RD}} \gtrsim 15$ we see a separation between the GR injections and the non-GR injections and for $\text{SNR}_{\text{RD}} \gtrsim 25$, the non-GR waveform are always favored for the non-GR events (i.e., $\ln B_{\text{GR}}^{\text{nonGR}} > 0$). As one would expect, the separation becomes much clearer with increasing SNR_{RD} . We note that the threshold SNR_{RD} at which deviations from GR can be detected are dependent on the particular non-GR deviation. However, this study illustrates the non-trivial fact that even at relatively low SNRs, Bayesian model selection is able to find statistical evidence for deviations from GR.

B. Bounding free parameters of the ringdown signal

Given a set of detected GW signals from BBHs for which QNM frequencies and damping times can be measured, the natural steps to follow is to first test the compatibility of the waveform with GR using Bayesian model selection, as done in the previous subsection, and then quantify how well we can constrain deviations from GR using parameter estimation. This can be done for single GW events, but stronger constraints can be obtained by combining the information from all the detections as shown in Ref. [25]. There, two different approaches were proposed: (i) the odds ratio obtained in the previous subsection can be combined by just multiplying the odds ratio coming from all the events, thus allowing to get stronger evidence for or against GR. For a large group of \mathcal{N} identical events, this method effectively improves the SNR of the single event case by a factor $\sim \mathcal{N}^{1/4}$ [26]; and (ii) assuming that the Bayesian model selection test gives no evidence for deviations of GR, one combines the posterior density distributions for $\delta\sigma_{\ell m}$, which measures the fractional deviation from the QNM complex frequencies of a Kerr BH in GR:

$$\sigma_{\ell m} = \sigma_{\ell m}^{\text{GR}}(1 + \delta\sigma_{\ell m}). \quad (9)$$

Given that in GR $\delta\sigma_{\ell m} = 0$, the information from multiple events can be combined by multiplying the posterior density distributions of all detections as

$$p(\delta\sigma|\mathcal{H}, d_1, d_2, d_3, \dots, \mathcal{N}) = \frac{1}{p(\delta\sigma)^{1-\mathcal{N}}} \prod_{A=1}^{\mathcal{N}} p(\delta\sigma|\mathcal{H}, d_A), \quad (10)$$

where \mathcal{N} denotes the number of detections. For a large group of \mathcal{N} events, the width of this PDF decreases as $\sim \mathcal{N}^{-1/2}$. We emphasize that when using Eq. (10) one assumes that the value of $\delta\sigma_{\ell m}$ is the same across all events. Therefore, since for generic theories of gravity the deviations $\delta\sigma_{\ell m}$ could also be a function of the final BH mass, spin and any other charges that may be present in the *correct* theory of gravity, constraints obtained using this method only make sense if no evidence for deviations from GR are found after performing the Bayesian model selection test [25].

More recently Ref. [26] proposed an alternative hypothesis testing method that makes use of the combined information from multiple detections and could, in principle, enhance the efficiency to detect sub-leading modes compared to the Bayesian model selection method used in Ref. [25]. This method proposes to make full use of the information coming from the measured BBH parameters, to coherently sum the ringdown signal of a target mode from multiple events. It could, in an ideal scenario, effectively improve the SNR of a single event by a factor $\sim \mathcal{N}^{1/2}$, assuming \mathcal{N} identical events [26]. However, implementing the coherent stacking method of Ref. [26] is technically very challenging. Here, we follow Ref. [25] and use Eq. (10) to combine the information from a population of detected BBHs.

Since for each event we sample on the parameter $\sigma_{\ell m}$, we compute the PDFs for $\delta\sigma_{\ell m}$ a posteriori by using Eq. (9). To compute $\sigma_{\ell m}^{\text{GR}}$ we use the fitting formulas in Ref. [23] (see

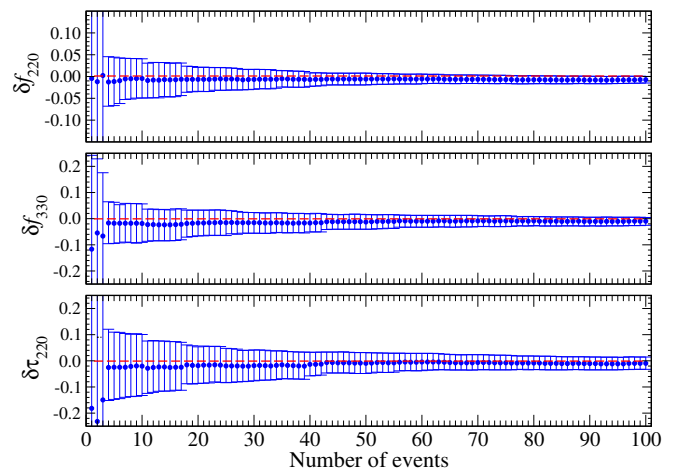


FIG. 6. Evolution of the medians and 95% confidence intervals evolution for deviations on the QNM frequencies as a function of the number of events included in the computation of the joint posterior density distributions, for the population of GR BBHs described in the subsection IV A.

Appendix E therein) where for the spin and mass of the final BH we employ the fitting formulas in Ref. [36] [see Eqs. (29a) and (29b) therein]. The results for the constraints on the parameters $\delta\sigma_{\ell m}$, when considering the GR BBH population described in the previous subsection⁸, are displayed in Fig. 6. In particular, we show how the median and 95% confidence interval evolve with the number of detections ordered randomly. Although the constraints from a single event can be quite uninformative, when all sources are taken into account the 95% confidence interval shrinks to a maximum error away from the median of $\sim 0.7\%$, $\sim 1.6\%$ and $\sim 2.4\%$, for δf_{220} , δf_{330} and $\delta\tau_{220}$, respectively. As expected, we find that at large \mathcal{N} , the error decreases as $\mathcal{N}^{-1/2}$. Overall, our results are consistent with previous studies [25], although we remind that Ref. [25] used damped sinusoids for both the injected GW signal and the recovery, while we injected and recovered with an IMR waveform that consistently includes time and phase shifts between QNMs.

It is worth noticing that if we consider only events with (total) SNR below 30 (which accounts for 60 events of the entire population), and combine them, we obtain at 95% confidence that the maximum errors away from the median are $\sim 1.7\%$, $\sim 5.3\%$ and $\sim 6.7\%$, for δf_{220} , δf_{330} and $\delta\tau_{220}$, respectively. Moreover, we find that δf_{220} is the quantity for which we gain less by combining several events, because it is the best measured quantity — e.g., for some individual events with SNR less than 30, we get errors on the order of $\sim 5\%$. By contrast, if we consider only events with SNR less than 30, the errors of δf_{330} and $\delta\tau_{220}$ for individual events are always larger than 20%.

Quite interestingly, using Eq. (10) for identical GW150914-like events with mass ratio $q = 1.5$, total (redshifted) mass

⁸ We note that for this study, unlike what was done in the previous subsection, we keep all waveform's parameters free.

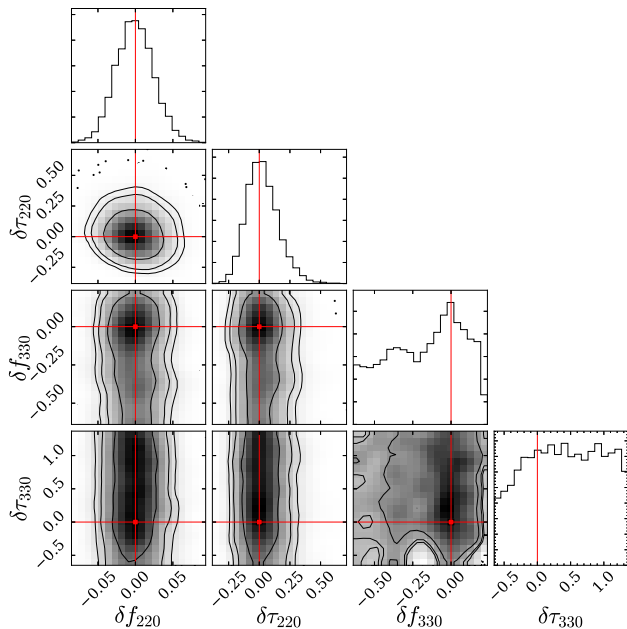


FIG. 7. Posterior density distributions of the quantities δf_{220} , δf_{330} , $\delta \tau_{220}$ and $\delta \tau_{330}$ for a single GW150914-like event with mass ratio $q = 1.5$, total (redshifted) mass $M = 70M_{\odot}$, luminosity distance $D_L = 500\text{Mpc}$ and inclination $\theta = 2.2\text{rad}$. The red solid lines correspond to the injected values.

$M = 70M_{\odot}$, luminosity distance $D_L = 500\text{Mpc}$ and inclination $\theta = 2.2\text{rad}$ (i.e., the EOBNR injection with loudness = 1 in Fig. 3), one can estimate how many such events would be needed to test the BH’s no-hair conjecture with Advanced LIGO and Virgo at design sensitivity, assuming that GR is the correct theory. The posterior density distributions for a single event is shown in Fig. 7, where we see that no relevant constraints can be put on the frequency of the (330) with a single event, however by combining several observations one can get interesting constraints. The results are summarized in Fig. 8 where we plot the $2\text{-}\sigma$ errors for δf_{220} , δf_{330} and $\delta \tau_{220}$. We find that we would need ~ 20 GW150914-like events to constrain the frequency of the (220) mode by 1% at the $2\text{-}\sigma$ level, while to constrain the damping time of the (220) mode by 5% one would need ~ 23 such events. On the other hand, to constrain the frequency of the (330) by 5% we would need at least ~ 32 events, and we note that this last number is highly dependent on the BBH mass ratio and inclination.

V. OUTLOOK

We investigated the advantages of using IMR waveforms, with respect to damped-sinusoid models, to measure ringdown frequencies and damping times in the post-merger signal of a compact-object coalescence. To address this goal, we built a parameterized multipolar IMR waveform model within the EOB formalism (pEOBNR), and investigated its ability in measuring the QNM complex frequencies in GW150914, and

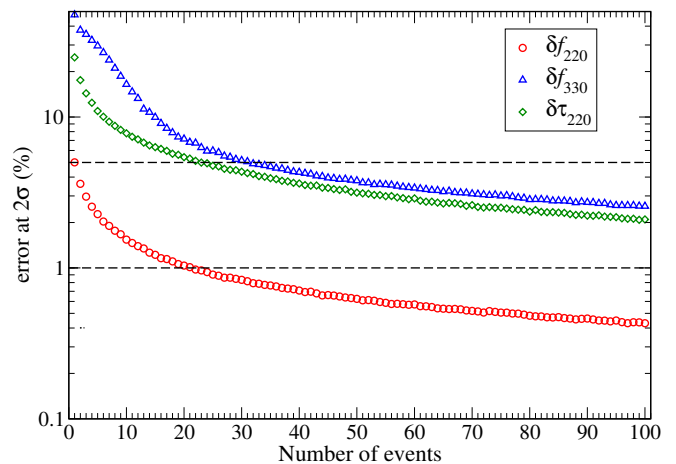


FIG. 8. Evolution of $2\text{-}\sigma$ error of the joint posterior density distributions for the quantities δf_{220} , δf_{330} , $\delta \tau_{220}$, assuming identical GW150914-like events with posterior density distributions for a single event given in Fig. 7. The dashed black lines correspond to errors of 5% and 1%.

in several synthetic GW signals injected in Gaussian noise.

We found the following important advantages: (i) using an IMR model, calibrated to NR waveforms, one does not need to define an *a priori* unknown starting time at which the signal can be described as a sum of exponentially damped sinusoids [24, 25, 33, 44, 54, 55], therefore avoiding potential biases due to a non-optimal choice of the ringdown starting time [37]; (ii) the IMR model avoids technical issues inherent to assuming a waveform with a cutoff at a particular time, namely the need to know in advance the sky position and time at coalescence [7, 33]; (iii) the IMR model naturally includes important physics, such as phase shifts between different modes, their relative amplitudes and the presence of overtones [36, 45]; and (iv) the IMR model generically leads to stronger constraints on the QNM frequencies compared to what can be achieved with a damped-sinusoid model.

The approach that we here presented should also be seen as complementary to previous works on the subject. Besides directly measuring the ringdown frequencies, our IMR model can also be used to validate the results obtained with the more agnostic damped-sinusoid models. In particular, as we showed, the pEOBNR model already provides very interesting constraints on the frequency and damping time of the dominant QNM of GW150914 [2].

This work can be improved in several fronts and should be seen as a first step towards more accurate waveform models that allow to measure deviations from GR. Although we presented results using a nonspinning BBH waveform model, the extension to nonprecessing, spinning BBHs is straightforward and will be done in the future, using the recently developed multipolar EOBNR model with spins aligned/anti-aligned with the direction perpendicular to the orbital plane [74]. Given that EOBNR models naturally encodes time shifts between different modes and their relative amplitudes and phases, it could in principle be used as a starting point to perform the coherent stacking proposed in Refs. [26, 27]. A

proper implementation of the method is, however, challenging and requires further work. The IMR model here presented could also be extended to allow GR deviations in the inspiral phase. In addition, further work in detector noise modelling is needed to handle non-Gaussianities in the data. We do note that longer waveform models, such as the ones generated with our IMR model, are in general more robust against deviations from Gaussian noise than shorter waveform models, such as the damped-sinusoid models. We hope to come back to these relevant issues in the near future.

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ful reading of the manuscript. We are grateful to Andrea Taracchini for help in implementing the parameterized multipolar effective-one-body waveform model (pEOBNR) with free (complex) QNM frequencies in the LIGO ALGORITHM LIBRARY. The Markov-chain Monte Carlo and Nested Sampling runs were performed on the VULCAN cluster at the Max Planck Institute for Gravitational Physics in Potsdam.

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