

Anharmonic Effects in the Low-Frequency Vibrational Modes of Aspirin and Paracetamol Crystals

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The low-frequency range of vibrational spectra is sensitive to collective vibrations of the lattice. In molecular crystals, it can be decisive to identify the structure of different polymorphs, and in addition, it plays an important role on the magnitude of the temperature-dependent component of vibrational free energy differences between these crystals. In this work we study the vibrational Raman spectra and vibrational density of states of different polymorphs of the flexible Aspirin and Paracetamol crystals based on dispersion-corrected density-functional theory, density-functional perturbation theory, and *ab initio* molecular dynamics. We examine the effect of quasi-harmonic lattice expansion and compare the results of harmonic theory and the time correlation formalism for vibrational spectra. Lattice expansion and thermal nuclear motion strongly affect the collective vibrations below 300 cm^{-1} , but both are significantly less important at higher frequencies. We also observe that the inclusion or neglect of many-body van der Waals dispersion interactions do not cause large differences in the low-frequency range of anharmonic Raman spectra or vibrational density of states, provided the lattice constants are fixed. We obtain quantitative agreement with experimental room-temperature Raman spectra below 300 cm^{-1} for all polymorphs studied, highlighting the necessity of going beyond the harmonic approximation for a better assignment of different polymorphs based on this technique. Examining the two-dimensional correlations between different vibrations, we find which modes show a larger degree of anharmonic coupling to others, providing a possible route to assess the accuracy of harmonic free energy evaluations in different cases.

I. INTRODUCTION

Common painkillers and antipyretics like Aspirin, Paracetamol, or Ibuprofen belong to a class of crystals denominated molecular crystals. As suggested by their name, such crystals are built from several individual molecular units, which are mainly held together by non-covalent interactions like hydrogen bonds and dispersion forces. An interesting property of these crystals is that the molecular units that constitute them can be arranged in different patterns; Each specific arrangement is called a polymorph. In spite of the small energy differences separating these polymorphs [1], they can present very different physicochemical properties. For instance, Paracetamol form II is known to be more soluble than form I, and is also more easily compressible into tablets [2].

Being able to accurately grasp the energetic balance between different polymorphs and to unambiguously characterize them could potentially lead to reduced costs in the pharmaceutical industry, for example. Doing so is no easy task, though: the energy differences between different polymorphs is typically of the order of only a few meV per molecular unit [1]. Therefore, in order to predict accurate energies one needs to take into account several factors that compete between each other, like anharmonic effects in lattice expansion, nuclear vibration, dispersion forces, polarization of hydrogen-bonds,

etc. [3–5]. Given the unit-cell sizes of some of these molecular crystals, only recently has it been possible to include all of these effects in first-principles theoretical calculations [1, 3, 4, 6, 7].

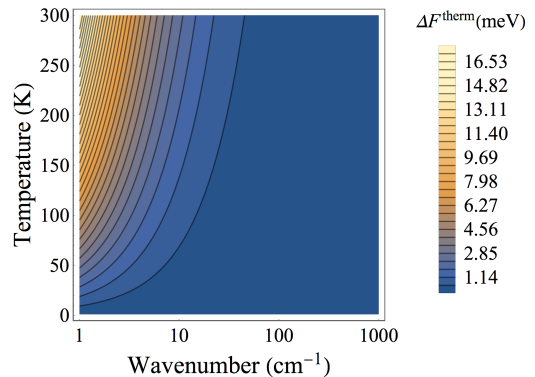


FIG. 1: Errors in the temperature-dependent term of the harmonic quantum vibrational free energy given by a 1 cm^{-1} error at different frequencies.

The impact of anharmonic terms of the potential energy surface (PES) in the temperature-dependent properties of these crystals has only recently started to be addressed [4, 8–11]. In particular, the low-frequency phonon modes, mainly governed by intermolecular interactions (e.g., hydrogen bonds), are sensitive to (anisotropic) lattice expansion at finite temperatures [3, 10, 12] and also to nuclear fluctuations. This region is particularly important since it strongly con-

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tributes to the vibrational free energy at finite temperatures [13]. As an illustration, we show in Fig. 1, the error in the temperature-dependent part of the harmonic vibrational free energy given by a 1 cm^{-1} error in the vibrational density of states at different frequencies. Errors in the lower frequencies have a large impact in this term, which becomes more pronounced as the temperature increases.

As vibrational spectra can be measured with high accuracy and at different temperatures, comparing theoretical and experimental spectra in the low-frequency region is important to gauge whether temperature-dependent vibrational free energies can be accurately described by any given theoretical methodology.

In this paper, we present a theoretical characterization of the low-frequency ($\omega < 300 \text{ cm}^{-1}$) vibrational Raman spectra of the two main polymorphs of Paracetamol and Aspirin. Comparing these particular polymorphs is enlightening since while for Paracetamol the hydrogen bonding pattern in the different polymorphs is quite diverse, in Aspirin they are almost identical, as shown in Fig. 2A. We employ density-functional theory (DFT) and density functional perturbation theory [14] (DFPT), including many-body van der Waals corrections [15] (MBD). We present an analysis of how low-frequency vibrational modes change with anharmonic couplings to other modes, with changes in the lattice, and with changes in the potential energy surface.

II. METHODS

In the following, we provide details about the different methodologies we use, as well as the numerical settings we employ in each case. All our calculations were performed within FHI-aims [16], an all-electron numeric atom centered orbitals code. We obtained the experimental crystal structures from Ref. [17] for Aspirin I, Ref. [18] for Aspirin II, and from Refs. [19, 20] for Paracetamol I and II. We compute energies and forces with the PBE exchange-correlation functional throughout, including MBD dispersion corrections as described in Ref. [15], except where stated otherwise. For the Raman spectra, we calculate the polarizability tensors with DFPT [14]. We calculate the tensors with the LDA functional, given that we have previously shown in Ref. [14] that it saves considerable computational time and the Raman spectra show no differences when calculating these tensors with different functionals. In the following, it will thus always be assumed that LDA was used for calculating polarizabilities, even if not explicitly mentioned. Unless stated otherwise, a $2 \times 2 \times 2$ k -point grid was used for all polymorphs.

The data presented in this work as well as the input and output files used to produce it are publicly available as a dataset [21] in the NOMAD Repository.

A. Lattice Expansion

In order to assess anisotropy in the quasi-harmonic lattice expansion calculations we assumed fixed angles for each molecular crystal polymorph and minimized the second order Taylor-expansion of the Helmholtz free energy F ,

$$F(a, b, c; T) = F_0(T) + \mathbf{p}^t \mathbf{H} \mathbf{p}, \quad (1)$$

where $F_0(T)$ is the free energy at the equilibrium lattice parameters at the temperature of choice, \mathbf{H} is the matrix of second derivatives of the free energy with respect to the lattice parameters, $\mathbf{p} = (a - a_0, b - b_0, c - c_0)$ where a_0 , b_0 and c_0 are the equilibrium unit-cell parameters at a given temperature, and \mathbf{p}^t its transpose. Further details of this procedure can be found in the SI. We note that a possible alternative, which would require a comparable amount of phonon evaluations (at least 6), would be to evaluate mode-specific Grüneisen parameters [22] to approximate variation of phonon frequency with each lattice parameter.

The *tight* basis sets of FHI-aims were used for all atomic species and the phonon calculations using a $1 \times 1 \times 1$ supercell and a $5 \times 5 \times 5$ q -point grid were performed using the Phonopy program [23]. We calculated 14 distortions of the lattice for Aspirin I, 10 for Aspirin II, 15 for Paracetamol I and 13 for Paracetamol II. Due to the number of parameters in Eq. 1, at least 10 phonon evaluations are necessary (see SI), and we found that additional calculations are sometimes needed to ensure stable solutions.

B. Harmonic Raman Spectra

A standard way to evaluate vibrational Raman spectra is through the harmonic approximation, in which the Taylor-expansion of the potential energy is truncated at the second order; In this procedure, Raman intensities are proportional to the derivatives of the polarizability tensor with respect to atomic displacements (see e.g., Refs. [24, 25]). We calculate the orientation-averaged “powder” harmonic Raman intensity $I_H(\omega)$ (which is directly proportional to the Raman scattering cross section [26]) of a given normal mode p by [27],

$$I_H(\omega) = I_H^\perp + I_H^\parallel \propto \frac{1}{\omega(1 - e^{-\beta\hbar\omega})} \frac{1}{30} (10G_p^{(0)} + 7G_p^{(2)}), \quad (2)$$

$$\begin{aligned} G_p^{(0)} &= \frac{1}{3} [(\alpha'_{xx})_p + (\alpha'_{yy})_p + (\alpha'_{zz})_p]^2 \\ G_p^{(2)} &= \frac{1}{2} [(2\alpha'_{xy})_p^2 + (2\alpha'_{xz})_p^2 + (2\alpha'_{yz})_p^2] + \\ &\quad + \frac{1}{3} \{[(\alpha'_{xx})_p - (\alpha'_{yy})_p]^2 + \\ &\quad + [(\alpha'_{xx})_p - (\alpha'_{zz})_p]^2 + [(\alpha'_{yy})_p - (\alpha'_{zz})_p]^2\} \end{aligned}$$

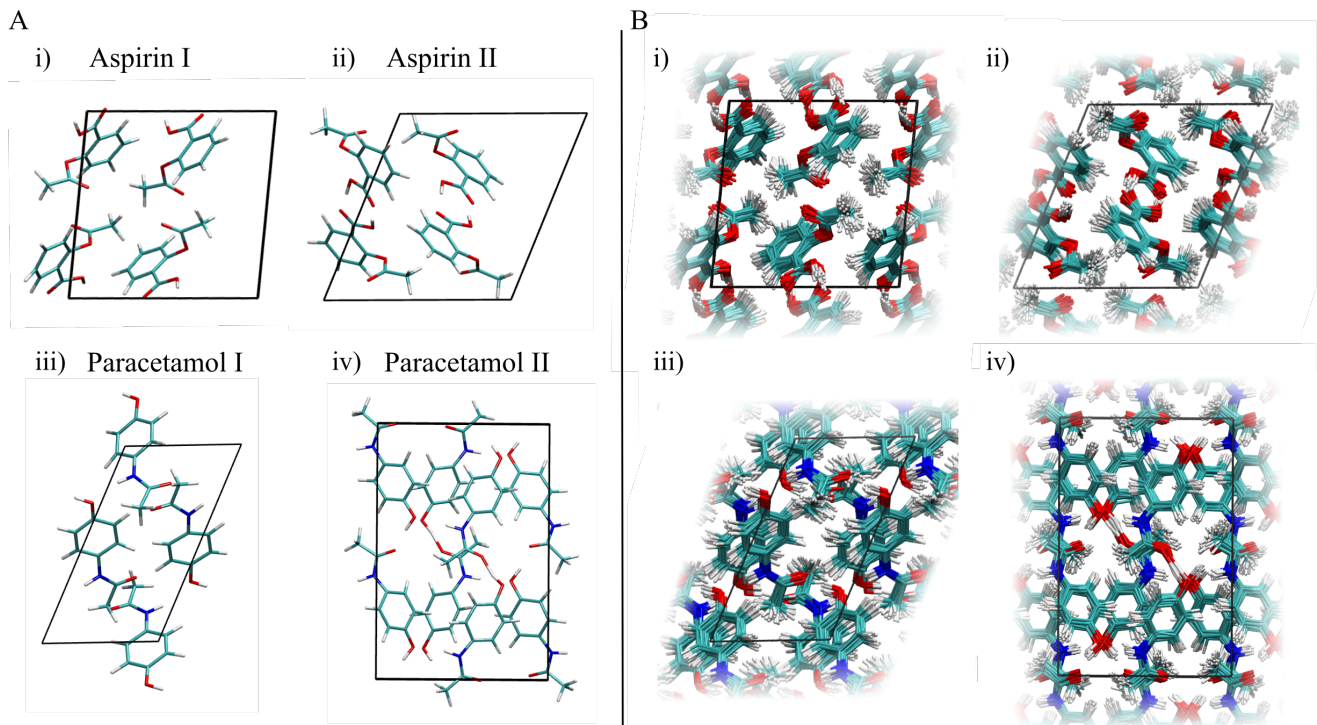


FIG. 2: Panel A: The 4 polymorphs of this study at equilibrium: i) Aspirin form I, ii) Aspirin form II, iii) Paracetamol form I, and iv) Paracetamol form II. The unit cell is drawn in black. Panel B: superposition of multiple frames coming from an aiMD simulation. In all systems, methyl groups rotate freely. In Aspirin form II, the distance between neighbouring acetyl groups varies over time.

where I^\perp and I^\parallel are the depolarized and polarized Raman intensities respectively, $\beta = 1/(k_B T)$, $(\alpha'_{ij})_p = (\partial\alpha_{ij}/\partial Q_p)_0$ is the derivative of the ij component of the polarizability with respect to the displacement of normal mode Q_p . We compute these derivatives through finite differences, in which we evaluate the polarizability tensor from DFPT [14] at $6N$ (forward and backward) nuclear displacements in the unit cell around the equilibrium position, N being the number of atoms per unit cell. Knowing the space group of our crystals, we then apply all symmetry operations pertaining to this group onto the vibrational modes, in order to determine whether they show Raman activity or not [28]. *A posteriori*, we discard modes that are Raman-inactive. This procedure is important mostly for the low-frequency region, where small numerical errors can lead to spurious signals.

Harmonic Raman spectra presented in this paper were calculated with *light* numerical and basis-set settings in the FHI-aims code [16], for direct comparison with the anharmonic spectra. Differences between *light* and *tight* harmonic spectra are minor and shown in the SI.

C. Anharmonic Raman Spectra

A way to go beyond the harmonic approximation is to resort to the time correlation formalism. Vibrational

Raman lineshapes are proportional to the Fourier transform of the static polarizability (or static dielectric) autocorrelation function [29]. Realizing this formalism requires computing polarizability tensors along molecular dynamics trajectories, as explained in Ref. [14]. For comparison with experimental spectra taken from powder samples, we here calculate the anharmonic powder averaged Raman intensity $I_A(\omega)$. This can be calculated from the isotropic and anisotropic contributions to the signal as follows (see Ref. [30], Eqs. 21-99 and 21-100, and also [26]),

$$\begin{aligned}
 I_A(\omega) &= I_A^\perp + I_A^\parallel = I_{\text{iso}}(\omega) + \frac{7}{3}I_{\text{aniso}}(\omega) \quad (3) \\
 I_{\text{iso}}(\omega) &= I_A^\parallel - \frac{4}{3}I_A^\perp \propto \int_{-\infty}^{+\infty} dt e^{-i\omega t} \langle \bar{\alpha}(0)\bar{\alpha}(t) \rangle \\
 I_{\text{aniso}}(\omega) &= I_A^\perp \propto \int_{-\infty}^{+\infty} dt e^{-i\omega t} \frac{1}{10} \langle \text{Tr}[\beta(0) \cdot \beta(t)] \rangle,
 \end{aligned}$$

where the polarizability (or dielectric) tensor $\alpha = \bar{\alpha}\mathbf{I} + \beta$ and the brackets $\langle \cdot \rangle$ denote the canonical average. We apply the so-called quantum or Kubo-transform correction factor to the lineshapes of $\beta\hbar\omega/(1 - e^{-\beta\hbar\omega})$.

All our *ab initio* molecular dynamics (aiMD) simulations were performed with *light* numerical and basis sets settings in FHI-aims and otherwise the same settings as

for all other calculations. We performed a thermalization (NVT) run of about 2 picoseconds for each polymorph, followed by two NVE simulations of 15 picoseconds each, using a time step of 0.5 femtosecond. We computed polarizability tensors with DFPT calculations every 1 fs.

D. 2D-correlation spectra

Two-dimensional (2D) correlation spectra can be calculated from our MD simulations. We follow the procedure detailed in Ref. [31]. These 2D correlations “provide a quantitative comparison of spectral density variations observed at two different spectral variables over some finite observation interval T_{min} and T_{max} ” [31]. It is thus a useful tool to understand couplings between different modes, as has been recently shown for the case of water [32]. We recall the main formulae from Ref. [31] to produce such spectra in the present text. We define the dynamic spectrum $\tilde{I}_j(\omega)$ as

$$\tilde{I}_j(\omega) = I_j(\omega) - \bar{I}(\omega), \quad (4)$$

where $I_j(\omega)$ is simply the intensity (e.g., Raman intensity as defined in Eq. 3) of the peak located at ω , evaluated for a given time window T_{win} , the duration of which depends on the phenomenon one wants to observe. If we divide our trajectory of interval $[T_{min}, T_{max}]$ into m segments of length T_{win} evenly spaced by an increment of $(T_{max} - T_{min})/(m - 1)$, the average spectrum $\bar{I}(\omega)$ is given by

$$\bar{I}(\omega) = \frac{1}{m} \sum_{j=1}^m I_j(\omega), \quad (5)$$

and the synchronous 2D correlation intensity takes the following expression,

$$\phi(\omega_1, \omega_2) = \frac{1}{m-1} \sum_{j=1}^m \tilde{I}_j(\omega_1) \tilde{I}_j(\omega_2). \quad (6)$$

In all our simulations, we choose $T_{max} - T_{min} = 1$ ps.

The diagonal peaks appearing in these spectra are referred to as autopeaks and are always positive; They represent the change in intensity at a given frequency over a given period of time. Hence, regions that change intensity a lot will have strong autopeaks, while regions that vary little will have weak autopeaks. Off-diagonal peaks, or cross-peaks, correspond to simultaneous changes (of equal or opposite sides) in intensities at two different frequencies over a given duration, indicating a possible coupling between the two corresponding vibrational modes.

III. RESULTS

A. Lattice Expansion and Harmonic Raman Spectra

The four molecular crystals studied in this work, namely Aspirin I and II, and Paracetamol I and II, have

known crystal structures which are shown in Fig. 2A. In many molecular-crystal polymorphs, important structural differences can already be spotted simply by looking at the molecular arrangement and the shape of the unit cell. For a few, however, differences are much more subtle. This is particularly true for Aspirin, for which both forms appear to have the same structure in projection. One key difference lies in the pattern formed by intermolecular hydrogen bondings between the acetyl groups (CH_3CO) [18, 33–36].

Lattice expansion can have a large impact on the energetics, affecting the stability ranking of polymorphs [37]. However, we will here focus on its impact on vibrational spectra, and more specifically on Raman spectra. To this end, we calculate the harmonic Raman spectra (see section II B) of Aspirin I and II, using for each of them two different lattice parameters: the experimentally determined ones obtained from Refs. [17–20], and the ones coming from the procedure outlined in Section II A. The lattice parameters we use are given in Table I.

We observe that most calculated lattice parameters at 300 K are relatively close to the experimental 300 K results, although the changes are quite heterogeneous. The most notable differences can be seen for the b parameter of Paracetamol II and the c parameter of Aspirin II, that show both a difference of about 2.8%. The absolute values of the calculated lattice constants at 300 K for form I of Paracetamol and Aspirin are closer to experiment than those of their respective form II, although the relative expansion is better reproduced for the latter (see SI, Fig. S1). Other works have been conducted on a similar topic, but employing different functionals and a different approach, as they fixed the experimentally-determined volumes at different temperatures and only optimized the lattice parameters, leading to an expected good agreement with experimental values [38]. We draw attention to the fact that lattice parameters calculated without van der Waals dispersion interactions (at the potential energy surface), shown in Table I, strongly deviate from experimental values, highlighting the importance of these interactions in determining the shape and density of these crystals.

The harmonic Raman spectra of Aspirin form I and II computed with our calculated lattice parameters at 300 K are shown in Fig. 3. We observe that using different lattice parameters has seemingly no influence in the middle- and high-frequency range for both polymorphs of Aspirin. The impact is most easily seen at low frequency, especially for form II, where the intensities of several peaks are modified, and also the general lineshape changes substantially.

It is not surprising to see that the changes manifest mostly at low frequency, since, as mentioned in the introduction, low-frequency phonon modes tend to be sensitive to the intermolecular potential, which is determined by the shape of the lattice. The differences between the two polymorphs can stem from different factors. As we have previously seen, the difference between the experi-

System/parameter	PES (PBE)				PES (PBE+MBD)				Calc. 300K				Exp. 300K				Δ (Calc-Exp) (%)		
	a	b	c	β	a	b	c	β	a	b	c	β	a	b	c	β	Δa	Δb	Δc
Paracetamol I	6.92	12.51	12.98	55.8	7.01	9.15	12.77	66	7.09	9.23	12.75	66	7.08	9.34	12.85	64.5	0.14	1.18	0.78
Paracetamol II	11.63	9.14	17.40	90	11.59	7.30	17.26	90	11.62	7.61	17.26	90	11.83	7.40	17.16	90	1.78	2.84	0.58
Aspirin I	12.30	7.00	12.30	96	11.40	6.52	11.33	96	11.50	6.51	11.46	96	11.42	6.60	11.48	96	0.70	1.36	0.17
Aspirin II	13.26	6.85	12.38	114	12.27	6.43	11.35	111	12.52	6.65	11.82	111	12.36	6.53	11.50	112	1.29	1.84	2.78

TABLE I: Lattice parameters. Unit vectors are in Å, and angles in degrees. Second and third columns: fully (atomic positions and unit cell) optimized structure at the potential energy surface (PES) using the PBE and the PBE+MBD functional. Fourth column: calculated lattice constants from our quasiharmonic lattice expansion scheme, calculated with the PBE+MBD functional. Fifth column: experimental lattice constants at 300K from Refs. [17–20]. Last column: error (in percentage) between the calculated and experimental lattice parameters at 300K.

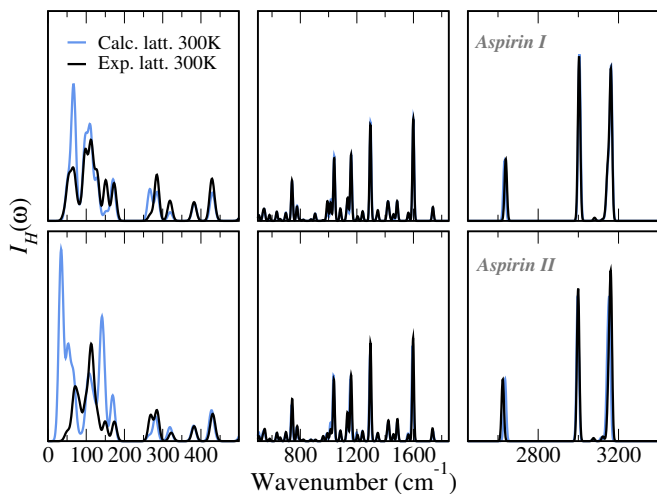


FIG. 3: Harmonic Raman spectra of Aspirin I (top) and II (bottom) obtained with the experimental lattice parameters (black) and the calculated lattice parameters (blue) at 300 K. We used the PBE+MBD functional.

mental lattice constants and the calculated ones is greater for Aspirin II than Aspirin I, so it is only logical that this difference is reflected in the spectrum. Also, it is known that Aspirin II is more easily compressible, as it forms flat hydrogen-bonded sheets along the c axis, as opposed to a wave-like pattern in form I [34]. This seems to be consistent with the larger expansion of the c lattice vector of Aspirin II, which is observed both in our calculations and in experiments, even though the differences between both polymorphs are rather small. In any case, it is very interesting to notice that relatively small changes in lattice parameters can translate to a noticeable change on the low-frequency range of the harmonic Raman spectrum.

The lattice is not the only parameter that may impact a vibrational spectrum. Another worthwhile aspect to consider is the exchange-correlation functional that is used. Especially for systems such as molecular crystals, dispersion forces are known to play an important role and change the energetics substantially [5]. We report the impact of dispersion interaction on the harmonic Ra-

man spectra of the same Aspirin polymorphs in Fig. 4. In order to decouple different effects, we maintain the experimental lattice parameters in this case, but fully optimize the atomic positions with the different functionals.

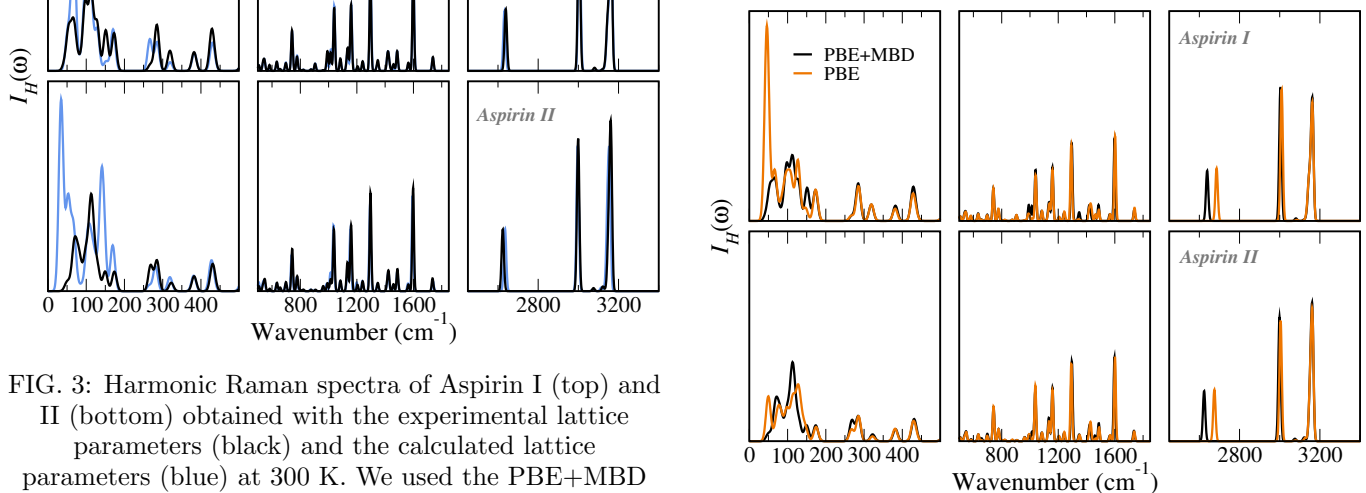


FIG. 4: Harmonic Raman spectra of Aspirin I (top) and II (bottom) obtained with the PBE (orange) and the PBE+MBD (black) functionals, using the 300 K experimental lattice constants.

We observe that at high frequencies, ignoring van der Waals contributions in this case results in a blueshift of 47 (51) cm^{-1} of the peak located at 2639 (2624) cm^{-1} for Aspirin I (II). This peak corresponds to symmetric O-H stretching between the molecular dimers. We note that we observe a similar shift of this band when simulating these spectra using 300 K and 123 K experimental lattice parameters (see SI). These observations are consistent with the fact that removing van der Waals interactions from the model weakens the H-bonds, and so does increasing the temperature. Consequently, these two effects lead to a blue-shift of this band.

The middle-frequency range remains basically unaltered by the change of functional. At low frequencies, however, there are more noticeable changes. In particular for form I, we witness a slight shift in frequencies of several modes between 44 cm^{-1} and 55 cm^{-1} , as well as

an important change of intensity around 45 cm^{-1} . In the geometries considered here, we observe the presence of a vibrational mode at 33 cm^{-1} in both polymorphs when including MBD corrections. The same modes are at 34 cm^{-1} when neglecting MBD corrections. These are not Raman-active modes, though, and hence do not show up in Fig. 4.

The results presented so far confirm the importance of taking lattice expansion into account when assessing Raman spectra. The discrepancies we observe in our calculated lattice constants at 300 K, in comparison to experiment, can be due to several factors, among them the exchange-correlation functional and the approximations in the lattice-expansion procedure itself (for instance the assumption of fixed angles or the fact that the multi-parameter optimization of Eq. 1 can lead to meta-stable minima). A detailed investigation of this issue requires studying a broader set of crystals, functionals, and a careful benchmark between different methods (including carrying out computationally costly constant pressure aiMD simulations at different temperatures directly). This will be the subject of a future study. For the remainder of the present work, and in order to focus solely on the impact of vibrational anharmonic contributions, we maintain the experimental lattice parameters (see Table I) for Aspirin I, II, Paracetamol I and the one reported in Ref. [39] for Paracetamol II.

B. Anharmonic effects on vibrational modes and Raman lineshapes

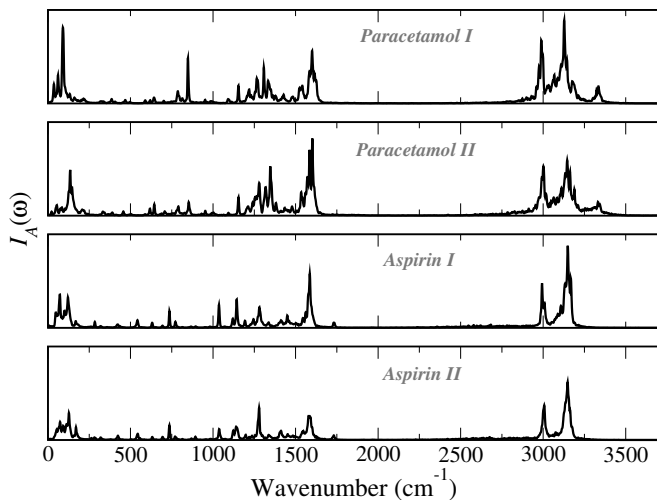


FIG. 5: Anharmonic Raman spectra of the four polymorphs of this study on the whole frequency range calculated from aiMD at 300 K with the PBE+MBD functional. Here the intensities have been scaled by a frequency-dependent factor of $\sqrt{\omega}$ solely for visualization purposes.

As shown in Fig. 2(b), the pronounced nuclear fluctu-

ations that are observed at room temperature hints that the harmonic approximation may not be valid for these flexible crystals at 300 K. We note, for example, that during aiMD simulations, in all cases the methyl (CH_3) groups rotate freely and we observe hydrogen-transfer events between two Aspirin monomers. Other torsional motions within the molecular units are also activated, for example the rotation of the aromatic ring with respect to the rest of the molecules. The question is how these fluctuations translate to the vibrational Raman spectra.

The time correlation formalism gives access to the full anharmonicity of the potential energy surface within the approximation used for the dynamics of the nuclei (e.g., classical or quantum). It is thus able to capture combination bands, overtones and the phonon lifetimes that give rise to the anharmonic lineshape. A drawback of this formalism is that the assignment of vibrational modes is not straightforward and it is often based on the corresponding harmonic spectrum, for which modes are well defined [40]. Techniques have been proposed to extract effective vibrational modes directly from aiMD simulations [41, 42]. Such techniques, although very successful in small molecules, require large sampling time and are not straightforward to apply to larger and very flexible systems or in simulations that incorporate nuclear quantum statistics. A clear advantage of resorting to dynamics in these simulations of molecular crystals is that at finite temperatures these crystals are not perfectly periodic. This effect is naturally grasped by techniques that rely on aiMD, in contrast to techniques that rely on “static” perturbation expansions.

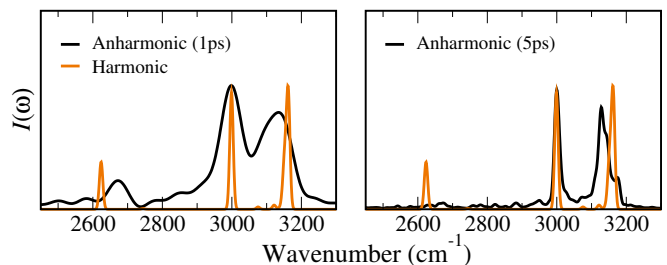


FIG. 6: Evolution of the anharmonic Raman spectrum of Aspirin II for different simulation lengths as compared to the harmonic Raman spectrum. In each case, the height of the anharmonic peak located at 3000 cm^{-1} has been adjusted to the harmonic one.

In Fig. 5, we show the calculated anharmonic Raman spectra in the full frequency range [44]. The most interesting observation regarding the high-frequency region is that the intense peak observed at 2639 (2624) cm^{-1} for Aspirin I (II) in the harmonic approximation, corresponding to the stretch of O-H bonds, seems to be completely absent from the anharmonic spectra, and in fact also from experiments as shown in Ref. [45]. However, when calculating Raman spectra from short-time (1 ps) autocorrelation functions of the polarizability tensors, one can see that this peak is present, but gets broadened

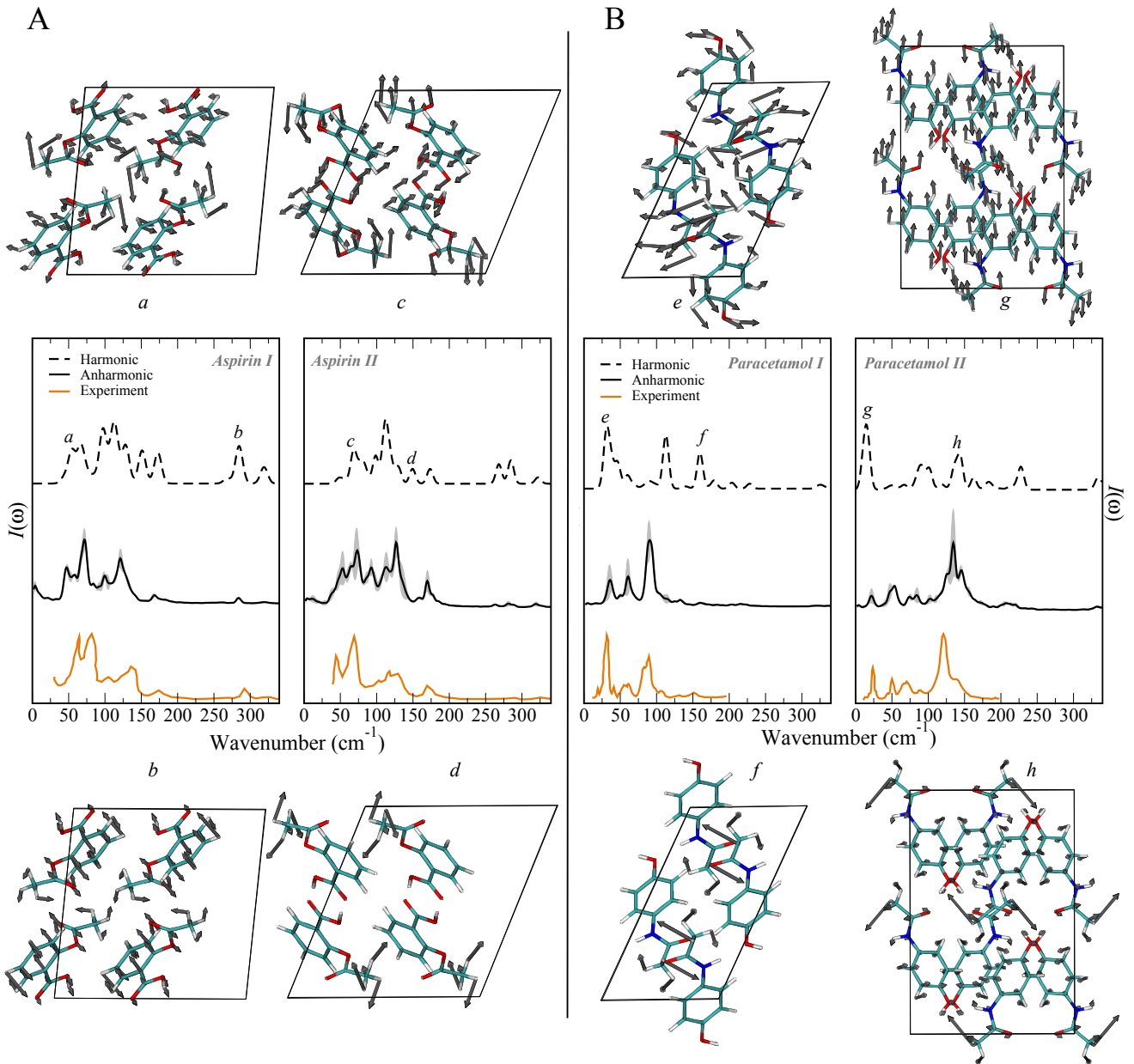


FIG. 7: Comparison of the PBE+MBD harmonic, anharmonic (300 K), and experimental (300 K) Raman spectra of Aspirin I and II, and Paracetamol I and II. The experimental data was extracted from Ref. [33] and [43]. The shaded areas around the anharmonic spectra indicate the uncertainty derived from the statistical error of the different trajectories. Selected normal modes from the harmonic analysis are also shown, for which the arrows represent the direction and the amplitude of the moving atoms. The unit cell is drawn in black.

and loses intensity upon increasing simulation time, as shown in Fig. 6 (we also show in the SI that this vibration is actually present in the vibrational density of states -VDoS- of the hydrogen involved in this mode). In the harmonic approximation, we cannot account for possible symmetry-breaking fluctuations that render this mode effectively Raman inactive. Perhaps, this could be captured in much larger supercells. The inclusion of temperature through aiMD simulations and the time averaging

involved in the evaluation of the autocorrelation function is able to capture this effect already in the primitive cell. In addition, this mode is connected to the observed hydrogen transfer events between two Aspirin monomers, which we expect to become more pronounced or turn into a fully shared hydrogen if nuclear quantum effects are included.

As shown in the SI and further discussed in the next section, neglecting vdW contributions in the anhar-

monic Raman spectra of all crystals results in only small changes to the lineshapes and intensities, as long as the lattice parameters are kept fixed at the same values. Another interesting aspect is that for frequencies above 600 cm^{-1} the Raman spectra of the polymorphs studied here look very similar, especially for the case of Aspirin, as can be seen on Fig. 5.

In Fig. 7 we focus on the structure-sensitive low-frequency range of these spectra. We compare our harmonic and anharmonic spectra to experimental results for Aspirin and Paracetamol obtained from powder samples at room temperature, as reported in Refs. [33] and [43], respectively. For visual comparison, we normalized to 1 the highest peak of each spectrum.

For both systems, the harmonic approximation does not quite reproduce all the features present in the experimental spectra. For clarity, we depict some of the low frequency normal modes in the harmonic approximation in Fig. 7. The anharmonic spectra, on the other hand, match experimental data exceedingly well, both in terms of relative peak positions and lineshapes for all four polymorphs.

For Aspirin I, the 5 peaks in the experiment at $66, 82, 105, 138, 176, 293$ and 326 cm^{-1} are all reproduced by the anharmonic spectrum with shifted positions at $48, 72, 101, 122, 170, 285$ and 319 cm^{-1} , and in general with matching relative intensities. The correspondence with the harmonic spectrum is less clear, as several peaks could match the anharmonic ones, making an unambiguous attribution difficult, except for some isolated peaks. With this in mind, the peaks we mentioned previously could be interpreted as being the ones situated at $55, 68, 98, 113, 174$ and 285 cm^{-1} . The visual agreement is nevertheless poor. Analogous observations can be made for Aspirin II.

For Paracetamol I, the three main peaks in the experiment at $32, 61$ and 90 cm^{-1} are also present in the anharmonic spectrum at $36, 61$ and 91 cm^{-1} , although the relative intensities show some discrepancies that appear to be within our statistical errors. This time, the identification of the peaks in the harmonic approximation is clearer. In particular, the first and third peaks are well present at 32 and 112 cm^{-1} , but the second peak is not distinguishable. We note an anomaly in the harmonic spectrum at 160 cm^{-1} (methyl group rotation), where an intense peak is present, although shows much lower intensity both in our anharmonic calculations and in experiment. As shown and further discussed in the SI, the intensity of low-frequency modes in the harmonic approximation can depend on the supercell size, while modes at higher energies are not affected.

Finally, for Paracetamol II in this frequency range, four main peaks are present in the experiment at $24, 51, 69$ and 122 cm^{-1} . These four peaks are present in the anharmonic spectrum at $23, 53, 80$ and 135 cm^{-1} . The four peaks also seem to be present in the harmonic spectrum at $16, 66, 96$ and 144 cm^{-1} , although in particular the second peak is barely visible and the relative intensities

do not match.

C. Mode coupling

In order to further analyze the effects of anharmonic mode coupling in the low-frequency vibrational range of these crystals, we turn our attention to the vibrational density of states (VDoS), which we here also calculate within the time-correlation formalism, by taking the Fourier transform of the velocity autocorrelation functions. This quantity gives information about all vibrational modes of the system, and not only the ones allowed by certain selection rules. It is also the quantity that directly plays a role in the estimation of vibrational free energies of these crystals. Experimentally, only inelastic neutron scattering can directly access the VDoS and such measurements are rare for most materials.

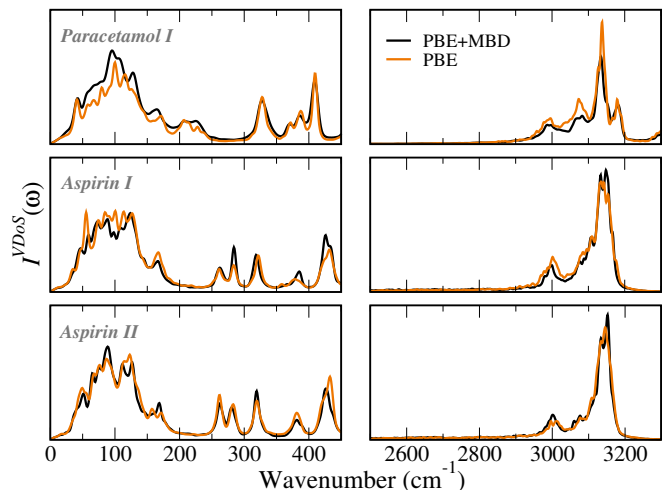


FIG. 8: VDoS spectra of (from top to bottom) Paracetamol I, Aspirin I and Aspirin II obtained with the PBE (orange) and PBE+MBD (black) functionals.

We report the VDoS of Paracetamol I, Aspirin I and Aspirin II in Fig. 8 with the PBE and PBE+MBD functionals (data for Paracetamol II and the PBE+MBD functional is shown in the SI). We note that in all cases, the VDoS is almost insensitive to the inclusion of many-body dispersion in the whole frequency range. It thus appears that anharmonic contributions play a more important role than the inclusion or not of vdW effects, *if* the lattice parameters are kept constant (we note that vdW are extremely important when it comes to relaxing unit cell parameters, as can be seen on Table I, where the unit cell obtained with PBE+MBD is very different from the one obtained neglecting MBD corrections).

In order to characterize mode coupling, we calculate the 2D VDoS correlation spectra as explained in Section IID. Our results are shown in Fig. 9 for all four polymorphs presented in this study. For each 2D-correlation plot, we make two cuts. The first cut corresponds to

the lowest observed frequency of the system, while the second one is meant to highlight a difference in inter-mode couplings between polymorphs. Additionally, we focus on correlations within the 0-900 cm^{-1} region only. The following assignment of vibrational modes will be based on results from the harmonic approximation, albeit knowing that frequency shifts may be present. We choose each time the most probable coupling, i.e., the closest harmonic peak.

For Aspirin I, the lowest-observed frequency at around 35 cm^{-1} , corresponds to a “sliding-motion” of the molecules with respect to one another. It couples in particular to a high-frequency mode at about 740 cm^{-1} that mainly consists of a wagging motion of the benzene ring. The second vibration we focus on, at around 430 cm^{-1} , corresponds to collective motions involving in majority CO and CC bending motions; It couples positively most strongly to two other modes at 155 and 310 cm^{-1} , corresponding, respectively, to methyl group rotations (rocking) and to bendings between methyl groups and their radicals.

For Aspirin II, the 35 cm^{-1} mode (analogue to that of Aspirin I) couples most strongly (and positively) to the mode at 130 cm^{-1} which corresponds to collective partial methyl group rotations and bending motions between benzene rings and their radicals. In addition it shows a non-negligible negative correlation with three higher-frequency modes, two of them at about 590 cm^{-1} (several bending patterns for CO and CH, but in particular a quite strong twisting motion in methyl groups) and 800 cm^{-1} (wagging motion of the benzene ring). The second cut at 430 cm^{-1} (analogous to that of Aspirin I), shows only weak correlation to other modes, in stark contrast to Aspirin I.

For Paracetamol I, the lowest frequency vibration around 35 cm^{-1} (involving small rotations of the individual molecular units as a whole) shows a pronounced coupling with another mode at 630 cm^{-1} , consisting for the most part of C-C bendings. Similarly, the second mode we pick at 630 cm^{-1} , shows in particular two couplings at 35 cm^{-1} and 800 cm^{-1} , the latter showing N-H, C-H and O-H bending motions, without twisting the backbone structure.

For Paracetamol II one observes that throughout the low-frequency region the lowest energy mode at about 16 cm^{-1} (“sliding-motion” of the molecules with respect to one another) couples very little to higher-frequency vibrations. Conversely, the intense mode at 630 cm^{-1} , which is extremely similar to that of Paracetamol I, has a strong negative coupling with a mode at 450 cm^{-1} , composed mainly of C-O and C-N bendings.

All the vibrational modes mentioned above can be visualized in the SI. In general, there is no unique coupling between two specific vibrational modes, but rather a complex pattern of correlations between several of them in this low-frequency range composed of delocalized modes. We do, however, observe a difference in correlation patterns between different polymorphs and also between dif-

ferent frequency ranges. This serves as a guide to understand which polymorphs and in which frequency regions one can expect more changes due to anharmonic effects and can thus serve as a diagnostic tool as to whether harmonic evaluations of free energy will be more or less accurate. We plan to further explore this aspect in the future.

IV. CONCLUSIONS

In this paper, we calculated harmonic and anharmonic Raman spectra of two polymorphs of Paracetamol and Aspirin, using a recent implementation of DFPT in the FHI-aims code [14] and focusing especially in the low-frequency range, below 300 cm^{-1} . We studied the impact of quasi-harmonic lattice expansion over harmonic Raman spectra, and concluded that while the middle- and high-frequency ranges are almost insensitive to small changes in lattice parameters, the low-frequency harmonic Raman spectra show important changes. We also measured the influence of many-body dispersion corrections, both in harmonic and anharmonic Raman spectra and vibrational density of states at fixed lattice parameters. For Raman spectra, the harmonic picture again shows changes almost exclusively at low frequencies, while the influence in the anharmonic results is more tenuous. Conversely, the impact of MBD on the VDoS at room temperature is negligible. Dispersion interactions are, nevertheless, extremely important for determining the lattice parameters and the thermal lattice expansion in these crystals, as one would expect, given the nature of the intermolecular interactions. We compared anharmonic Raman spectra below 300 cm^{-1} to experimental room-temperature spectra of all polymorphs, obtaining excellent agreement. Finally we reported VDoS 2D-correlation spectra, and showed that different correlations exist between low- and higher-frequency vibrational modes, suggesting a high degree of anharmonicity for specific modes, but not for others. Interestingly, similar vibrational modes in different polymorphs show very different correlation patterns to other modes.

Overall, our results show that vibrational properties calculated from aiMD can accurately describe the low-frequency vibrational region of molecular crystals, while the harmonic approximation produces poorer results. This means that temperature-dependent free energy calculations based on aiMD [4] can indeed serve as benchmark values for such crystals, provided the cost of such simulations is affordable and a good estimation of the lattice constants at different temperatures is possible, either through simulations or experiment.

The results also highlight once more the complexity of studying systems like molecular crystals, the structure and properties of which depend on a delicate interplay between several phenomena. Cheaper methods like the harmonic approximation still give valuable insights into these structures, but are by essence bound to fail at low

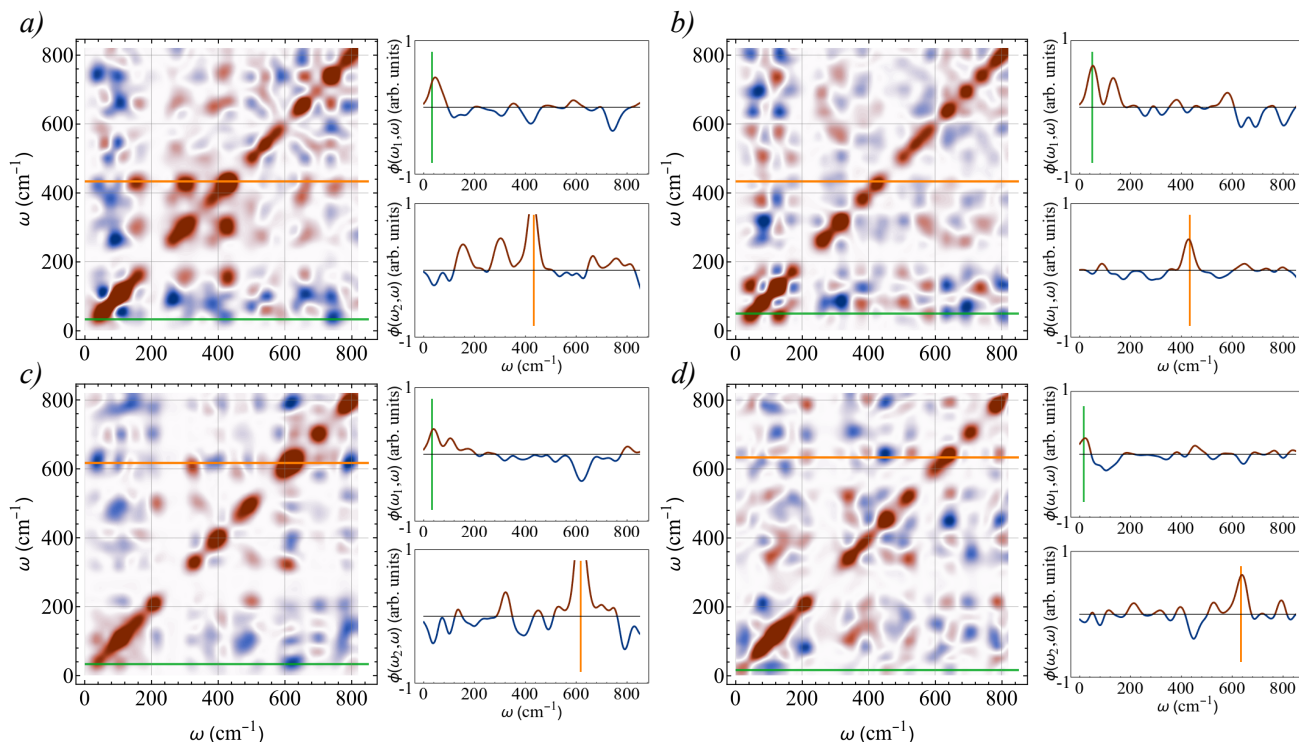


FIG. 9: VDoS 2D correlation spectra of a) Aspirin I, b) Aspirin II, c) Paracetamol I and d) Paracetamol II. Blue (Red) indicates a negative (positive) correlation between modes, i.e., the intensities of a given pair vary in the opposite (same) direction. $T_{\max} - T_{\min} = 1$ ps.

frequencies and/or high temperatures, as illustrated by our comparison to experimental data. Given that calculating free-energies from aiMD methods is more expensive than obtaining reasonable estimates of the VDoS, the calculation of 2D-correlation spectra could allow, in principle, to assess the validity of harmonic free-energy evaluations, given that weak intermode correlations suggest that anharmonic effects are less important. We may explore this aspect in the future.

For a more thorough understanding and assessment of polymorphic molecular crystals, the anharmonic route thus seems to be unavoidable if one can overcome the cost of such simulations in large scale studies. Machine-

learning approaches would be a possible way of cutting down this cost, allowing in turn the study of a much wider range of systems.

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