

Suicidal Red Queen: Population dynamics and genetic drift accelerate diversity loss

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Abstract

Long term oscillations of genotype abundances in host-parasite systems are difficult to confirm experimentally. Therefore, much of our current understanding of these dynamics is based on theoretical concepts explored in mathematical models. However, the same biological assumptions can lead to very different mathematical models with diverging properties. The precise model can depend on the level of abstraction from reality, on the educational background and taste of the modeler, and on the current trends and conventions in the field. Here, we first review the current literature in the light of mathematical approaches. We then propose and compare our own framework of biologically similar, yet mathematically very different models that can all lead to host-parasite Red Queen dynamics. We highlight the different mathematical properties and use analytical and numerical tools to understand the long term dynamics. We focus on (i) the difference between deterministic and stochastic models and (ii) how ecological aspects, in our case population size, can influence the evolutionary dynamics. Our results show not only that stochastic effects can lead to extinction of subtypes, but that a changing population size speeds up this extinction. The loss of strain diversity can be counteracted with random mutations which then allow the populations to recurrently undergo fluctuating selection dynamics and selective sweeps.

1 Introduction

Van Valen (1973) first introduced the term Red Queen Hypothesis in an abstract verbal model explaining constant extinction as a result of biotic selection pressure. Today, Red Queen dynamics are interpreted as oscillations in genotype abundances induced by antagonistic co-evolution

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31 between host and parasite populations (Woolhouse et al., 2002). Since other associations with
32 the term Red Queen are common in the literature (Salathé et al., 2008; Brockhurst et al., 2014;
33 Neiman et al., 2017; Strotz et al., 2018; da Silva, 2018), it may be useful to think of Red Queen
34 Dynamics as oscillating selection dynamics (sometimes also called fluctuating selection dynam-
35 ics), in contrast to arms race dynamics. The intense interaction with often catastrophic impacts
36 on either population strongly determines the genotype distributions over time and evolutionary
37 parameters like the diversity within a population and the virulence or resistance of certain strains.
38 Although a well known hypothesis, there is only little evidence for the ubiquitous prevalence of
39 long term Red Queen oscillations in nature – empirical challenges preclude the observation of
40 more than a few subsequent oscillations, as these require an impressive degree of experimen-
41 tal ingeniousness Koskella and Lively (2009); Buckling and Rainey (2002); Decaestecker et al.
42 (2007). Thus, most work on the actual long term temporal dynamics is theoretical. Here, we
43 examine several mathematical models all based on the same verbal models, which all assume a
44 very simple form of antagonistic interactions and can verbally be described in exactly the same
45 way. While most models so far produce and analyse the oscillations under various aspects and
46 foci, only few assess their occurrence and show under what assumptions the oscillations do not
47 occur (Gokhale et al., 2013; Schenk et al., 2017).

48 Many mathematical models have been formulated in order to address the impact of different
49 assumptions like diverse infection matrices, population structure, few/many genotypes, different
50 virulence dependencies, sexual vs. asexual reproduction, spatial structure, infection and recovery
51 patterns, etc. Other assumptions are often not mentioned, as they are often implicit or not of
52 further interest to the scientist. As these models are strong abstractions, there are typically
53 numerous such assumptions. Examples are the commonly assumed Markov property, continuous
54 time or discrete generations, a constant environment, no influence of life history and continuous
55 density due to high population sizes. Finally, certain additional assumptions would make a
56 model much too complicated to analyse which is circumvented by collapsing several cascades or
57 complex dependencies into one parameter or simple function. We have summarised some of the
58 literature and their assumptions in Table 1.

59 One (sometimes hidden) property of a model is determinism. This makes a model much
60 easier to handle – but makes it impossible to address some important aspects. Coming back to
61 the underlying stochastic process is our first main focus. By allowing genetic drift to influence
62 the dynamics we enable strains to die out or take over the population. Our second focus is the
63 comparison between fixed, constrained, and free population size. Population size is seemingly
64 unimportant because Red Queen dynamics are oscillations of genotype abundances within a pop-
65 ulation, a change in the composition of the population’s gene pool. To keep the model simple
66 and to the point, infinite or constant population size can therefore be assumed by default. But
67 in reality, the effect of a changing population size can enhance the influence of genetic drift,
68 especially when population size is small (Papkou et al., 2016). These two aspects have been
69 examined crudely before (Gokhale et al., 2013). Here, we explore a wider range of possible as-
70 sumptions in seven models to obtain a more general understanding of the influence of population
71 size and stochasticity on co-evolutionary dynamics. To measure this influence we use the time
72 to extinction. In stochastic population models extinction or fixation of a type is often the only
73 absorbing state and therefore inevitable, yet the time to extinction varies. The time to extinction
74 is an informative measure, because extinction of one type implies that Red Queen dynamics are
75 terminated and that genetic variation is reduced. Another important read-out is the stability of

76 an internal fixed point in the analogous deterministic model. Amplitude size and frequency can
77 also be of interest, yet in many models these measures vary greatly in the course of the dynamics.

78

79 We start by introducing the specificities of the models, then all models are examined via
80 individual based simulations, supported by analytical calculations or approximations. The most
81 pronounced effect is that Red Queen oscillations survive for a shorter time in models with a
82 freely changing population size. A second result is that the strength of selection usually, but
83 not always increases the time to extinction in some models. Finally, we include more types and
84 argue that species diversity declines based on our assumptions, however, reviving subtypes from
85 a reservoir of previously extinct types (by recombination, mutation or immigration) can lead to
86 cascades of arms race and oscillating selection dynamics.

Table 1: Literature overview. Mathematical models and properties discussed in this paper sorted by publication year. Many models deal with relative (allele) abundances without considering ecological dynamics – these have been categorised as constant population size models. Those models that include a changing population size and stochastic effects focus on completely different aspects than the possible extinction that are the focus of this paper.



Authors (year)	focus	deterministic/ stochastic	equations/method	time	population size
Schaffer and Rosenzweig (1978)	CSS	deterministic	ODE	continuous	constrained ⁴
Seger (1988)	many genotypes, chaos	deterministic	recursion equation	discrete	constant
Nee (1989)	co-evolution, recombination	deterministic	recursion equation	discrete	constant
Dybdahl and Lively (1998)	time lag, experiment	deterministic	recursion equation	discrete	constant
Boots and Sasaki (1999)	infection on lattice	both	ODE, IBM, AD	continuous	variable
Peters and Lively (1999)	fluctuating epistasis	deterministic	recursion equation	discrete	constant
Sasaki (2000)	multilocus GfG	deterministic	ODE	continuous	infinite
Agrawal and Lively (2001)	selfing vs outcrossing	deterministic	recursion equation	discrete	infinite
Agrawal and Lively (2002)	GfG vs MA	deterministic	recursion equation	discrete	constant
Gandon (2002)	local adaptation (spatial)	deterministic	recursion equation	discrete	infinite, constant
Gandon (2004)	multihost parasites	deterministic	ODE, AD	continuous, discrete	constant
Kouyos et al. (2007)	oscillations in stochastic model	both ⁷	ODE	discrete, continuous	constant ⁵
Alizon and van Baalen (2008)	multiple infections	deterministic	ODE, AD	continuous	
Agrawal (2009)	sex vs recombination	deterministic	recursion equation	discrete	constant
Best et al. (2009)	transmission, susceptibility	deterministic	ODE, AD	continuous	constant
Lively (2010b)	sex (long term persistence)	both ⁶	recursion equation	discrete	variable
Gilman et al. (2012)	multiple host traits, resistance	stochastic	IBM	discrete	constant, constrained ⁴
Gokhale et al. (2013)	population size	stochastic	IBM	continuous	variable, constrained
Luijckx et al. (2013)	MA, Daphnia	deterministic	recursion equation	discrete	constant
Abou Chakra et al. (2014)	plastic behaviour	both	ODE, IBM	discrete, continuous	constant
Taylor et al. (2014)	virus of virus	deterministic	ODE	continuous	constrained
Ashby and King (2015)	diversity, transmission, sex	stochastic	IBM	continuous	variable
Engelstädter (2015)	infection matrices	deterministic	recursion equation	discrete	constant
Rabajante et al. (2015)	many types	deterministic	ODE	continuous	carrying capacity
Song et al. (2015)	population size, GfG MA	deterministic	ODE	continuous	constant, variable
Hesse et al. (2015)	environment, specialisation	deterministic	ODE, AD	continuous	variable
Rabajante et al. (2016)	rare types	deterministic, noise ¹	ODE, SDE	continuous	constrained
Nordbotten and Stenseth (2016)	RQ vs stasis	deterministic	PDE	continuous	variable
Best et al. (2017)	no specificity	deterministic ³	ODE, AD	continuous	constrained ⁴
Bonachela et al. (2017)	crossfeeding	deterministic ²	ODE and mutants	continuous	variable
Greenspoon and Mideo (2017)	relatedness, transmission	deterministic	ODE	continuous	constant
Lively (2017)	allopatric, sympatric parasites	deterministic ²	recursion equation	discrete	constrained
Nuismer (2017)	local, global adaptation	deterministic ²	recursion equation	discrete	constant
Veller et al. (2017)	speed of evolution (RQ, RK)	stochastic	IBM	discrete	constant
Current paper	population size, extinction	stochastic	IBM	discrete, continuous	constant, constrained, variable

ODE/PDE/SDE: ordinary/partial/stochastic differential equation, IBM: individual based model (stochastic simulations), AD: adaptive dynamics (most often ODE with added mutants), MA: matching alleles, GfG: gene for gene, RQ: Red Queen (oscillations in genotype abundances or in trait space), RK: Red King (slow evolution favoured), CSS: coevolutionary stable strategy. ¹ not intrinsic stochasticity ² stochastic mutants added ³ adaptive dynamics simulations (no intrinsic stochasticity) ⁴ via carrying capacity ⁵ but discussed ⁶ some randomness in infection (+/- 1 in next generation) ⁷ when time discrete, only host stochastic

87 2 Models and properties

88 The mean population dynamics is ultimately driven by events on the individual level. These
 89 individual based models can be written in the form of chemical reactions with a certain reaction
 90 rate. All our stochastic processes are based on these individual interactions, where parasites have
 91 negative fitness effects on the hosts, but beneficial effects on the parasite. Although our models
 92 can be explained with the same words and biological relevance, the mathematics behind them
 93 can be completely different. A verbal summary of the model is given in Table 2, for mathematical
 94 details we refer to the supplementary material (Section S1 and Table S1). Whether parasites can
 95 successfully infect a host or not is controlled by specificities. Parasites are often highly specific
 96 to certain host subtypes (Carius et al., 2001; Schulte et al., 2011). We define the mathematical
 97 subtypes by their infectivity/susceptibility to another type. Then we can describe the infection in
 98 a simple table which records the impact of each parasite type (columns) upon a host type (rows).
 99 For example, in the simplest case of only two host phenotypes and two parasite phenotypes, we
 100 have $M^H = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$. For equally virulent parasite types and strict specificity we obtain $a = d = -1$
 101 and $b = c = 0$, which is the matching allele model where P_1 can infect H_1 and P_2 can infect H_2 .

Table 2: **Model overview.** Model names and their main assumptions. Models are ordered by population size constraint.

Model	reactions	time	population size	fixed point	dimension
discrete time Moran (dtMoran)	reactions within host (host Birth death*) or within parasite population (parasite Birth death), or simultaneously in both, global competition	discrete	constant	attractive 	2D
Moran	as above, but with continuous time and no simultaneous reactions	continuous	constant	attractive	2D
Discrete time Pairwise Comparison (dtPC)	like dtMoran but with local competition	discrete	constant	neutral 	2D
Pairwise Comparison (PC)	like Moran but with local competition	continuous	constant	neutral	2D
Self controlling population size (SCPS)	reactions between two subtypes of different populations, single birth of parasite and death of host by dynamically adjusted rates.	continuous	nearly constant	neutral	4D
Logistic independent reactions (logIR)	reactions between two subtypes of different populations, or competition in hosts, also single birth of parasite and death of host	continuous	constrained	attractive	4D
Independent reactions (IR)	like logIR but with no competition	continuous	unconstrained	neutral	4D/2x2D

* we write Birth death (Bd) when selection is on birth and death is random

102 We propose seven models ranging between stochastic Game Theory and original models of
 103 antagonism based on individuals. The most constrained models are the Birth-death processes
 104 taken from Evolutionary Game Theory and Population Genetics (see Supplement S1.1, Table

105 S2). Every time an individual duplicates (gives birth to the same type), another random one dies,
106 keeping population size constant, e.g. $H_1 + H_2 \xrightarrow{\text{rate}(\text{fitness})} 2H_2$. The birthrate is proportional to
107 the individual's fitness, i.e. the payoff gained from this particular antagonistic interaction, which
108 depends on the relative abundances of matching or non-matching types of the other population
109 (host vs parasite). In the Moran process, fitness of a subtype depends on the average fitness of
110 it's own population over all subtypes. A similar model is the Pairwise Comparison (PC) process,
111 where the difference in fitness between two rival types flows into the reproduction rate. Both
112 models can be implemented with discrete time (dtMoran, dtPC) or continuous time (Gillespie
113 algorithm, see Supplement S1.2). On the other end of the spectrum (and unrelated to Evolution-
114 ary Game Theory) is the completely free independent reactions (IR) model (Supplement S1.3).
115 Here, an interaction between matching host-parasite pairs directly results in parasite birth, e.g.
116 $H_2 + P_2 \xrightarrow{\lambda} H_2 + 2P_2$ or host death $H_2 + P_2 \xrightarrow{\lambda} P_2$. The dynamics can be slightly constrained by
117 introducing competition in the hosts, equivalent to logistic growth around a carrying capacity
118 (logIR, Supplement S1.3). Finally, an intermediate model with self controlled, but not fixed,
119 population size (SCPS, see Supplement S1.4) is built from the individual interactions model,
120 but with reaction rates taken from Game Theory. We implement all models using the matching
121 allele interaction matrix, as described above. We could work with any other interaction matrix,
122 but as we are interested in a comparison of different dynamical process, it is simpler to focus on
123 a particular interaction mode.

124 In total, these considerations define a microscopic process describing individual deaths and
125 births. To analyse these models, we can in some cases directly analyze the stochastic process,
126 but often we have to resort to deterministic limits or numerical simulations.

127 3 Results

128 The distribution of many independent simulations can be approximated by the stochastic process,
129 described by a Fokker Planck equation derived from the individual "chemical" reactions (Master
130 equation, see Supplementary Material S2 and Table S3). The Fokker Planck equation has a
131 drift term (mean deterministic dynamics) and a diffusion term (affecting the variance) and can
132 be solved only for simpler models than ours. In addition, the Fokker Planck equation can
133 be used to derive a stochastic differential equation (SDE). An SDE describes, like individual
134 simulations, a single realisation of the process. Yet while population size is an inherent property
135 of the stochastic simulations, it is only a technical parameter affecting the noise in the SDE.
136 Importantly, the Fokker Planck equation, or the SDE, provide detailed information on the noise,
137 which is not simply white noise added to the deterministic part, but dependent on the variables
138 of host and parasite abundance.

139 For the deterministic description, we can calculate the fixed points and analyse their stability
140 (Table 2 and Supplement S3). A population only departs from fixed points under the influence
141 of stochastic fluctuations. An internal coexistence fixed point exists in all models described here.
142 Yet, whether this stationary state is reached is another issue and determined by its stability (also
143 recorded in Table 2). Attractive fixed points pull the dynamics inward, this produces damped
144 oscillations which finally reach the stable state. Neutral fixed points do not exert this pulling
145 force; When starting away from the coexistence fixed point, dynamics oscillate around this point
146 indefinitely.

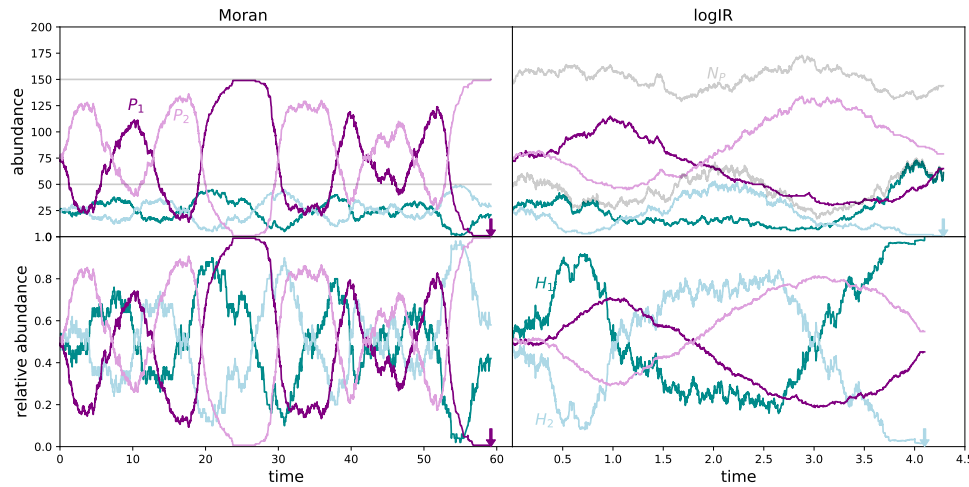


Figure 1: **Oscillations of host and parasite genotype abundances with drift for constant and changing population size.** The Moran Process (Gillespie algorithm) with constant population size and logistic independent reactions (logIR) are simulated until one subtype dies out (arrow). Note that the time axis is not the same, the logIR oscillations are sustained for much shorter times. Top: actual abundances of subtypes and total population size, bottom: relative abundances (densities) within the population. The simulations start with equal abundance of both types $H_1(0) = H_2(0) = N_H/2$ and $P_1(0) = P_2(0) = N_P/2$, which coincides with the deterministic attractive fixed point. Parameters: $N_H = 50$, $N_P = 150$, $w_H = 0.6$, $w_P = 0.9$, $\alpha = 1$, $\beta = 0$, $d_P = 1$, $b_H = 6$, $K = 100$, $\lambda_0 = 4$, $\lambda = \frac{\lambda_0}{K}$, $\mu = \frac{b_H}{K}$

147 Yet, under the influence of stochasticity (diffusion/genetic drift), the dynamics are always
 148 perturbed. In models with attractive coexistence, the opposing forces can balance the dynamics
 149 such that oscillations can persist (McKane and Newman, 2005), but in neutrally stable models
 150 the dynamics are pushed further outwards (oscillations become larger, amplitudes increase) and
 151 extinction occurs faster. These observations are intuitive and well known in the field of stochastic
 152 dynamical systems. What is also quite clear is that populations with low total abundances
 153 (population sizes) are prone to extinction more than large populations, simply by the fact that
 154 minima in the oscillations of relative abundances refer to lower absolute abundances of subtypes
 155 when population size is small.

156 While a variable population size does not necessarily speed up extinctions, we show in our
 157 case that it does. In other words, genetic drift (stochastic diffusion) is much more influential
 158 when population size is not constant. As an example with two host strains and two parasite
 159 types (Fig. 1), we pick the Moran process and the logistic independent reactions (logIR), which
 160 both have a pulling force as described above, but in the independent reactions model population
 161 size is not fixed, merely constrained.

162 These single simulations are only a snapshot and one specific realisation of the process.
 163 Ideally, we would analytically derive extinction times depending on the parameters of the model.
 164 Yet, to derive an exact analytical solution for this problem is extremely challenging. In addition
 165 to, simulations, we have calculated the numerical (but exact) sojourn times and provide an
 166 approximative method based on the averaged drift (see Supplementary material S4 for further

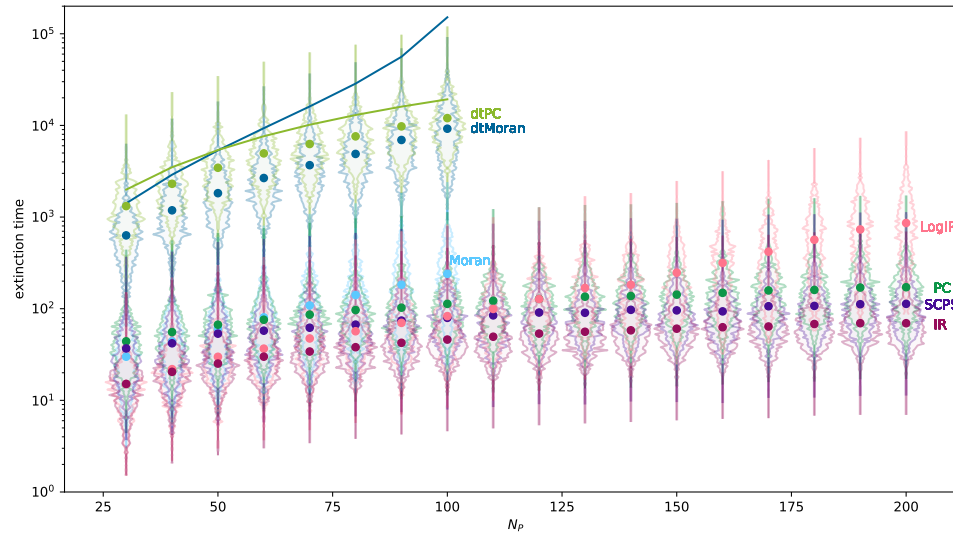


Figure 2: **Extinction time** of one type of host or parasite for different average population size of the parasite N_P and six different models. We show the mean extinction time over 1000 independent simulations (dots) and the distribution of those extinction times (shaded area around the mean). The simulations start with equal abundance of both types $H_1(0) = H_2(0) = N_H/2$ and $P_1(0) = P_2(0) = N_P/2$. Lines denote approximative results from the constant of motion drift method (see Supplement S4). Parameters: $N_H = 250$, $w_H = 0.5$, $w_P = 1$, $\alpha = 1$, $\beta = 0$, $d_P = 1$, $K = 500$, $\lambda_0 = 4$, $\lambda = \frac{\lambda_0}{K}$, $\mu = \frac{b_H}{K}$ but $\mu = 0$ for the IR model. The birthrate b_h in the logIR model is chosen $b_h \in \{0.24, 0.32, \dots, 1.6\}$ and with $\mu = 0$ in the IR model without competition $b_h \in \{0.12, 0.16, \dots, 0.8\}$ to achieve the population sizes N_P displayed.

167 details). These methods are limited to a subset of the seven models and can thus not be used for
 168 a comparison of all models, but only to support the computationally costly simulations which
 169 provide our now following main result.

170 We simulate 1000 replicates for several parameter combinations and show that the more
 171 constrained a population size is (upper models in Table 2), the longer oscillations survive (higher
 172 extinction times in Figure 2). Thus, as a rule of thumb, the more flexible the population size
 173 is in a model, the more likely it is that classic Red Queen oscillations of genotype abundances
 174 subside in the long run. The lines for the discrete time processes in Figure 2 are results from
 175 an approximate average drift method using a constant of motion (Supplement S4), inspired by
 176 Claussen (2007); Claussen and Traulsen (2008). The error of this approach cannot be neglected,
 177 but the qualitative trend is clearly visible and the result is fully analytical. Counterintuitively,
 178 the population size freedom seems to have a stronger influence on extinction times than the
 179 stability of the coexistence fixed point, at least in the parameter region tested here. Due to the
 180 challenges of employing an exact analytical approach, we cannot analytically tune the models
 181 for the same amplitudes, fluctuations and frequencies/periods of oscillations. The specific choice
 182 of the parameters is not necessarily directly comparable, but we have made an effort to choose
 183 them in a meaningful way, such that the fixed points are exactly the same and amplitudes
 184 comparable. We choose strong selection for the parasite $w_P = 1$ and weaker selection for the

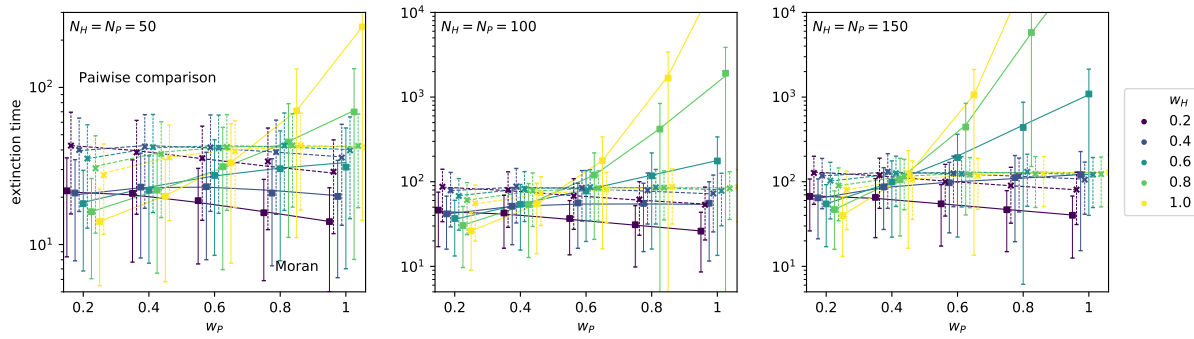


Figure 3: Average extinction time for constant population sizes and different selection intensities. The dtMoran and dtPC process are compared by the mean (\blacksquare , \times) extinction times and the standard deviation from simulations with the exact sojourn times ($—$, $- -$) calculated analytically. The simulations start with equal abundance of both types $H_1(0) = H_2(0) = N_H/2$ and $P_1(0) = P_2(0) = N_P/2$. Parameters: $N_H = 250$, $w_H = w_P = 1$, $\alpha = 1$, $\beta = 0$. Note the log scale and different ranges on the y-axis.

185 host $w_H = 0.5$ in the models derived from Game Theory, because the logIR model is built
 186 in a similar way: Parasite birth can only occur through the antagonistic interaction, but host
 187 mortality is also influenced by the competition term. While the parasite is obligate and thus
 188 completely dependent on the host, the host possibly only suffers mildly from an infection. (The
 189 predator-prey “run for dinner vs. run for life” is reversed here: In predator-prey interactions
 190 the exploiter can choose a different dinner or hunt later, while in the host-parasite interaction
 191 the exploiter is an obligate and specialised parasite. One would thus expect a higher selection
 192 pressure on the prey, but a higher selection pressure on the parasite.)

193 Although our focus lies on comparing the variability of the population size, other interesting
 194 features can be explored in the models we have chosen. We briefly provide some insight into
 195 global vs. local competition, the influence of selection intensity, and what happens when we
 196 increase the number of types and add an option for mutation. It would be beyond the scope
 197 of the paper to provide a complete analysis for all these extensions, thus we limit the results to
 198 some exemplary set-ups.

199 We now go back to a constant population size and turn to the impact of selection intensity
 200 (in the Moran and PC process from Game Theory), which modulates the pulling force in models
 201 with an attractive fixed point. For a more robust result we compare the simulations (lines in
 202 Figure 3) with sojourn times (Supplement S5) calculated for the discrete time dtMoran and
 203 dtPC processes. Since only discrete time and discrete state processes can be represented by a
 204 transition matrix, we can only apply this approach to the discrete time constant population size
 205 processes.

206 Although the Moran process has an attracting fixed point, intuitively making extinction
 207 times longer than in the PC process, for low population sizes and weak selection we see the
 208 opposite – fast extinction. Furthermore, while the extinction time increases exponentially with
 209 strong selection in the Moran process, the PC extinction times stay comparably constant, which
 210 is not surprising considering the neutral stability. One interesting and unexpected result is that
 211 extinction time is lower for increasing selection intensity of one species while keeping the other

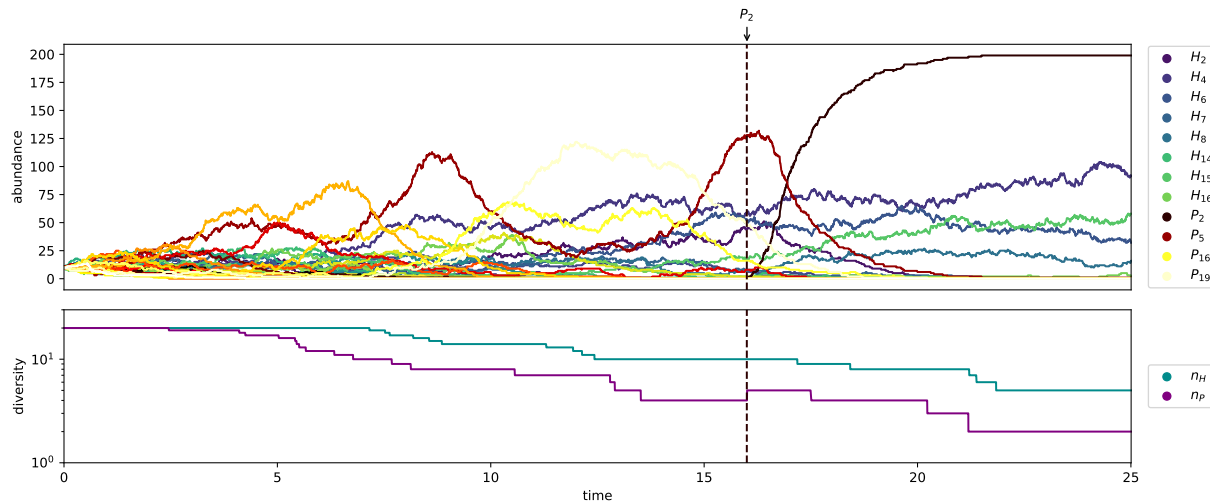


Figure 4: **Diversity decline** of subtypes of hosts and parasites. Example of a Moran process implemented with a Gillespie algorithm. The simulations start with equal abundance of all 20 types $H_i(0) = N_H/20$ and $P_i(0) = N_P/20$. At time point $t = 16$ a well adapted but extinct $P_2 = 1$ is reintroduced manually, while P_5 is reduced by one individual to keep N_P constant. Parameters: $N_H = 200$, $N_P = 200$, $w_H = w_P = 1$, $\alpha = 1$, $\beta = 0$.

212 constant. This occurs when one of the selection intensities is very low (some lines are decreasing,
 213 especially for example $w_H = 0.1$ and colours are reversed for fixed low values of w_P).

214

215 So far we have compared models with two types in each species. We now provide an outlook
 216 of how diversity can decline for many types. The Moran process simulated with a Gillespie
 217 algorithm is updated such that the interaction matrix is normalised depending on the number of
 218 strains (otherwise there is an imbalance between matching and non-matching pairs which results
 219 in change of selection strength). Strains are constantly lost from the population with a constant
 220 rate as shown by the exponential decline in diversity (Figure 4).

221 Oscillating selection can give an advantage to any type, but at different time points. A previ-
 222 ously extinct parasite type (P_2) is reintroduced manually at time point 16, where the abundance
 223 of the corresponding host is especially high. Allowing the possibility for a re-introduction or
 224 mutation thus gives some types an extreme advantage if they are revived at the right time in
 225 which they are adapted perfectly.

226 In reality, subtypes are not as static in their traits as described here, but one of our types can
 227 be seen as an average of several individuals with slightly different traits. We can now add a form
 228 of mutation or recombination to the model so that reproduction does not necessarily result in a
 229 clonal daughter, but a new individual with different traits. For example, parasites could evolve
 230 fast by allowing (beneficial) mutations to produce other (even extinct) genotypes. Depending
 231 on the model system, a sexually reproducing host could also store genetic material to revive
 232 long extinct phenotypes by recombination. We abstract both of these processes by starting with
 233 many pre-defined genotypes and inserting a conversion rate μ from one type to the neighbouring
 234 type. For example with five types, $H_1 \xrightarrow{\mu/2} H_5$ and $H_1 \xrightarrow{\mu/2} H_2$, etc.. The dynamics we now

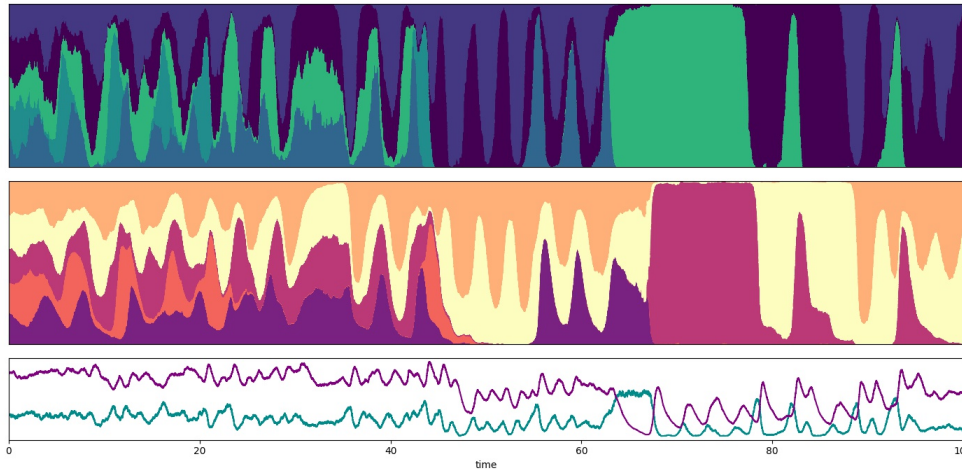


Figure 5: **Revival of types and evolution of diverse strains** of hosts (top) and parasites (middle) with conversion rate $\mu_H = 0.005$ and $\mu_P = 0.01$ to neighbouring types. Stacked plots: the area covered by one colour is proportional to the relative abundance of that subtype of host (top) or parasite (middle panel). Lower panel: total abundance of hosts and parasites. Example of a logistic Independent Reaction (logIR) process implemented with a Gillespie algorithm. The simulations start with equal abundance of all 5 types $H_i(0) = N_H/5$ and $P_i(0) = N_P/5$. Parameters: $N_H = 300$, $N_P = 900$ (each initially), $b_H = 6$, $d_P = 1$, $K = 600$, $\lambda_0 = 10$.

235 observe (Figure 5) are not pure Red Queen oscillations, but a mixture of oscillations and arms
236 race dynamics, where selective sweeps can make a population monoclinal in a very short time,
237 but a re-introduction of extinct times allows for short term Red Queen oscillations.

238 4 Discussion

239 We here provide a systematic comparison of seven related host-parasite co-evolution models and
240 the resulting interaction dynamics. We demonstrate that the presence of stochastic effects and
241 limited population size, which are likely common in nature, yet usually ignored in mathematical
242 models, have a significant effect on evolutionary dynamics, often leading to rapid loss of genotypes
243 and thus termination of Red Queen dynamics. In detail, the seven models are all based on the
244 same widely used biological assumptions, but with differences in their mathematical properties:
245 discrete and continuous time models with attractive or neutral deterministic dynamics in differ-
246 ent dimensions. Instead of analysing only the deterministic versions, we have allowed genetic
247 drift (intrinsic stochasticity) to govern the dynamics. We have found that flexible population
248 sizes lead to a faster extinction of subtypes when genetic drift is allowed (Figures 1 and 2). We
249 see that global competition stabilises the coexistence of types and leads to a prolonged period of
250 oscillations when selection is strong. This effect is greater for large population sizes (Figure 3).
251 Diversity (the number of types or strains present in the population) declines exponentially with
252 time at a constant rate (Figure 4), but can be stabilised when types are allowed to mutate or
253 recombine (Figure 5).

254

255 The models suggested here differ in many aspects, for example in the stability of the inner
256 fixed point. Throughout the paper we discuss the flexibility of population size as the most in-
257 fluential factor, but as population sizes increase, the stochastic models become more like their
258 deterministic analogues, as intuitively expected. When the stability of the fixed point gains in
259 importance, the dynamics are pulled more towards the inner equilibrium state, making stochas-
260 ticity less influential. It is challenging to find the parameters that determine the tipping point
261 from which drift becomes less influential and the pulling force of stable fixed points take over. An
262 estimate can be made from the average drift method. Our results are mostly based on simula-
263 tions owing to the complexity of stochastic models, but we have compared them with numerical
264 results and even analytic approximations where possible. The infection pattern is restricted to
265 the matching alleles model, yet other zero-sum infection matrices would not gain more qualitative
266 insight into the outcome of extinction studied here.

267 The term Red Queen has been used to explain several different phenomena, always following
268 the metaphor describing co-evolution derived from Lewis Carroll's children's book 'Through the
269 looking glass': you have to run to stay in the same place (because your surroundings are also
270 running). Originally Van Valen (1973) observed that over millions of years taxa go extinct
271 with a constant rate. In the Red Queen Hypothesis, he proposed that biotic forces, especially
272 antagonistic interactions, are a source of changing selection pressure which can explain the law
273 of constant extinction. He further envisioned a zero-sum game theory approach, at a time when
274 Evolutionary Game Theory was being developed (Maynard Smith and Price, 1973). Bell (1982)
275 then used the term Red Queen dynamics to describe oscillations of genotype relative abundances
276 over time, without extinction. Since parasites are selected to target the most common resource
277 and thus the most abundant host genotype, being a rare strain is advantageous for the host.
278 This temporary high fitness makes the subtype grow in relative abundance, but before it can
279 take over the whole population, it is severely diminished by new evolved parasites, which now
280 target this common host type. Bell also put the spotlight on host-parasite interactions (rather
281 than predator-prey or other victim-exploiter interactions) as the most influential antagonistic
282 association, as they are common, often inter-dependent and exert the required high selective
283 pressures on the interacting organisms. These dynamics are now often called fluctuating selection
284 dynamics (oscillations of genotype abundances), to distinguish them from arms race dynamics
285 (selective sweeps of new types taking over the population), but both are often referred to as Red
286 Queen dynamics. The most prominent usage of the term Red Queen, is probably the Red Queen
287 Hypothesis for the maintenance of sex (see reviews (Lively, 2010a; Neiman et al., 2017; Ashby
288 and King, 2015; West et al., 1999)), which uses persistent changes of selection pressure (induced
289 by parasites) to justify otherwise costly sexual reproduction.

290 We propose here, that Red Queen dynamics are not as regular and ongoing as previously be-
291 lieved and often illustrated. Even in the most simple and pure form of antagonistic interactions,
292 as implemented in all models discussed here, oscillating selection dynamics cannot withstand a
293 loss in diversity in the long run. The more complete picture includes all possibilities discussed
294 in the Red Queen literature: there can be constant extinction, as suggested by Van Valen on
295 a taxonomic level and there can be oscillations and arms race dynamics as suggested by host-
296 parasite interactions and the resulting co-evolution. With our preliminary results we might be
297 going too far if we also justify sexual reproduction, yet, without recombination or mutation,
298 diversity decline is inevitable. If parasites can evolve more quickly due to shorter generation
299 times and larger numbers, then hosts are given an advantage by being able to store genotypes

300 through recombination. See Neiman et al. (2017) for a comprehensive connection to the Red
301 Queen Hypothesis for sexual reproduction. Here, we merely wish to show that under simple
302 mathematical models, arising from the same verbal biological description, many possible dy-
303 namics can occur. Our most important point remains an increased extinction under a variable
304 population size, which we believe should be considered in modelling and when discussing the
305 underlying co-evolutionary mechanisms of two antagonistic organisms.

306 These model predictions may also apply to the real world. Bottlenecks are likely more
307 common in natural host-parasite associations (Papkou et al., 2016) than usually assumed and,
308 therefore, the interaction dynamics are likely shaped by genetic drift and, thus, stochastic effects.
309 For example, seasonal epidemics in influenza are characterised by changes in diversity in the
310 pathogen (Rambaut et al., 2008). While in *Daphnia* the epidemic size changes diversity in
311 the host (Auld and Brand, 2017). Yet, cyclic oscillations of population sizes also occur on
312 smaller time scales (Bjørnstad et al., 2001). In consideration of model results, it would thus
313 be of particular importance to assess the occurrence of bottlenecks, drift and stochasticity in
314 natural host-parasite associations and relate them to the resulting allele frequency dynamics.
315 Such empirical data would help us to obtain a more general understanding of host-parasite
316 co-evolution and the importance of Red Queen dynamics in this context.

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450 **Authors' contributions:**

451 Hinrich and Arne designed the research question. Hanna and Arne developed/adapted the
452 models. Hanna conducted the analysis. All authors discussed and interpreted the results. Hanna
453 wrote the initial draft. All authors revisited the manuscript critically and approved the final
454 version.

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456 We declare no competing interests.

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