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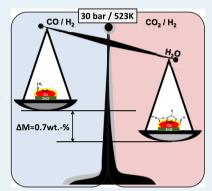
# In Situ Quantification of Reaction Adsorbates in Low-Temperature Methanol Synthesis on a High-Performance Cu/ZnO:Al Catalyst

Andrey V. Tarasov,\*,† Friedrich Seitz,† Robert Schlögl,†,‡ and Elias Frei\*,† [6]

Department of Inorganic Chemistry, Fritz-Haber Institut der Max-Plack Gesellschaft, Faradayweg 4-6, 14195 Berlin, Germany Department of Heterogeneous Reactions, Max-Planck-Institute for Chemical Energy Conversion, Stiftstrasse 34-36, 45470 Mühlheim an der Ruhr, Germany

Supporting Information

ABSTRACT: The industrial low-temperature process has been applied for 50 years; however, in situ data under relevant conditions are rare. We report on the in situ quantification of the surface adsorbates present under industrially relevant conditions by high-pressure thermogravimetry. In addition, high-pressure IR spectroscopy is applied for the identification of carbon-based adsorbates. On a high-performance Cu/ ZnO:Al catalyst it has been shown that during CO<sub>2</sub> hydrogenation adsorbates of up to 1.9 wt % of the catalyst are reversibly accumulated, and 70% of the ad-layer consists of H<sub>2</sub>O-derived species. Under CO-hydrogenation conditions, the weight accumulation on the surface is limited to an increase of 1.2 wt % mainly due to the absence of H<sub>2</sub>O. The stable adsorbate layers from different feeds are qualitatively assigned by surface titration experiments and spectroscopic insights. In accordance with the literature, it is clearly illustrated that, on the basis of the feed-dependent coverage of the surface, different reaction mechanisms for the methanol formation are involved. These



investigations under realistic conditions finally show the importance of Zn-OH groups, likely located at the Cu/ZnO interface, as being crucial for activation and hydrogenation of CO2-derived intermediates to CH3OH. The accumulation of H2O- and carbon-derived species on the surface of the catalyst might explain the poor activity in CH<sub>3</sub>OH formation at low temperatures and consequently limits the application of Cu/ZnO-based catalysts under mild, thermodynamically preferred conditions.

KEYWORDS: methanol synthesis, surface adsorbates, Cu/ZnO:Al catalyst, high-pressure infrared spectroscopy, high-pressure thermogravimetry

#### INTRODUCTION

The conversion of synthesis gas  $(CO/CO_2/H_2)$  to  $CH_3OH$  is one of the most important industrial processes, in particular with respect to the CO<sub>2</sub> emissions as the main cause of climate change. For decades, a Cu/ZnO-based catalyst has been applied and is still controversially discussed. The interfacial contact of Cu/ZnO is generally accepted as an important site where the synergistic effects (structural and electronic) are focused. To gain information on relevant intermediates of the CH<sub>3</sub>OH formation, the adsorption of CO and CO<sub>2</sub> on Cu- and Cu/ZnO-based catalysts has been intensely studied.<sup>3</sup> In addition, the experimental reports and numerous theoretical calculations agreed on the presence of carbon- and waterderived surface species. The most abundant species observed are formates, methoxy, dd,5 carboxyl, b,6 formyl, db,7 carbonates,8 hydroxyl,8 and H2O (Scheme S1). These studies, however, are often performed under low surface coverages and conditions far from industrial relevance. In an attempt to bridge the pressure gap, several IR-reactivity studies were performed under elevated pressure up to 6 bar. The results of a transient kinetic analysis 6a,9 made evident the formation of a formate layer, which was found to be unreactive toward methanol and suggested the reaction pathway through an elusive carboxyl intermediate.6 Investigations on Cu/ZnO

model catalysts, in contrast, identified formates as reaction intermediates.21

Another intriguing phenomenon observed<sup>6a,b</sup> is the ambiguous effect of H<sub>2</sub>O upon CO/CO<sub>2</sub> hydrogenation on the CH<sub>3</sub>OH formation rate. The promotional effect of H<sub>2</sub>O at finite conversions in 1-4% CO2 has been reported previously.<sup>10</sup> On the other hand, at integral conditions, product inhibition by water decreases the CH<sub>3</sub>OH rate as shown by Sahibzada et al.<sup>10a</sup> Beyond mechanistic considerations, the accumulation and influence of reaction products and intermediates on Cu/ZnO-based catalysts are of high relevance, since lower reaction temperatures are needed due to the thermodynamic limitations within the CO2-utilization concept.

Herein, we report on probing a Cu/ZnO:Al industrial-like catalyst under CH<sub>3</sub>OH synthesis conditions in CO- and CO<sub>2</sub>containing feeds. High-pressure in situ thermogravimetry investigations provide quantitative insights into the composition of adsorbed layers. The observed results are qualitatively compared with in situ IR experiments at 30 bar and transient

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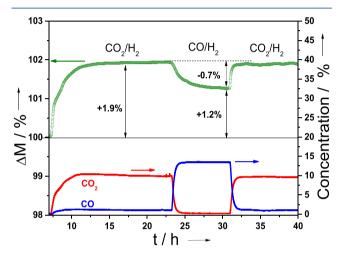


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surface reaction/titration experiments. Special attention is given to the role of water-derived species as part of the catalytically formed ad-layer and on possible carbon-based reaction adsorbates stable under reaction conditions. The complementary applied characterization techniques give new insights into the surface composition under industrially relevant conditions, clearly emphasizing the complexity of catalytic surface processes.

# QUANTIFICATION OF THE REACTION ADSORBATES

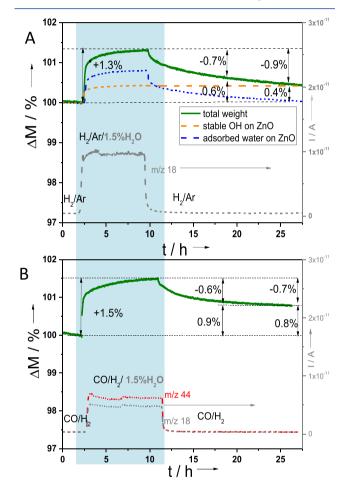
The in situ thermogravimetric measurement in different feed compositions at 30 bar and 523 K is shown in Figure 1. The



**Figure 1.** In situ thermogravimetry in different feed gases at 523 K.  $CO_2/H_2/He$  (12/59/29),  $CO/H_2/Ar/He$  (14/59/4/23), 120 mL min<sup>-1</sup> at 30 bar, with online analysis of the gas phase.

correction for the buoyancy force for various gas mixtures is described in the Supporting Information (SI, see also Table S1). After activating the catalyst (20%  $H_2$  in Ar), the gas mixture is switched to a  $CO_2$ -containing feed. A direct weight increase makes evident the formation of an adsorbate layer on the catalyst surface, reaching a steady-state situation after  $\approx 10$  h under  $H_2/CO_2$  feed and a mass gain of 1.9 wt % of the initial mass. Changing the feed composition to  $H_2/CO$  causes a reversible loss of 0.7 wt % within a period of  $\approx 8$  h (Figure 1). One has to consider that the pure support also shows a reversible mass uptake of 0.1–0.2 wt % when substituting  $H_2/CO$  by  $H_2/CO_2$  (Figures S1 and S2). This deviation defines the accepted level of tolerance for the mass at steady state.

Based on transient  $H_2$  adsorption and  $N_2O$  reactive frontal chromatography techniques, the Cu sites are given as 400  $\mu$ mol  $g_{cat}^{-1}$  and  $ZnO_x$  sites as 273  $\mu$ mol  $g_{cat}^{-1}$  (see also Table 1 and Table S2). The experimental values of the available redox active surface sites are used to calculate a possible mass increase based on the available surface sites. The good agreement between the calculated and experimentally quantified mass gain in the thermobalance also serves as validation of the applied surface and reaction model. To clarify the possible role of  $H_2O$  and  $H_2O$ -derived species (e.g., OH groups), the catalyst was saturated in the thermobalance with a  $H_2/A$ r stream enriched with 1.5 vol %  $H_2O$  (Figure 2A). After



**Figure 2.** In situ TG of Cu/ZnO:Al at 1 bar, 523 K. Introduction of 1.5 vol %  $H_2O$  vapor to (A) 85%  $H_2/Ar$  feed (dotted lines visualize the adsorbed  $H_2O$ -derived species on  $ZnO_x$ ) and (B) 14%CO/59%  $H_2/27\%$  inert.

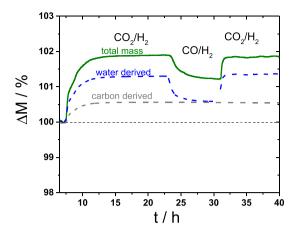
Table 1. Theoretical Mass Increase of the Catalyst under the Assumption of a Surface Fully Covered with One Type of Species  $^a$ 

group/M, b g mol <sup>-1</sup>	surface stoichiometry	$\Delta M$ , $^{c}$ % (Cu sites)	$\Delta M$ , d $\%$ (ZnO sites)	full $\Delta M$ , % (Cu/ZnO <sub>x</sub> sites)
H <sub>2</sub> O/18 water	1	0.72	0.49	1.21
OH/17 hydroxy	1	0.68	0.46	1.14
OCH <sub>3</sub> /31 methoxy	1	1.24	0.85	2.09
CHO/29 formyl	1	1.16	0.79	1.95
CHOO/45 formate	2/1	1.8/0.9	1.2/0.6	3/1.5

<sup>a</sup>Percent of the initial catalyst loading indicated. <sup>b</sup>Proposed stable surface species present on the surface <sup>13</sup> <sup>c</sup>Calculation performed using the number of Cu sites of 400  $\mu$ mol(Cu)  $g_{cat}^{-1}$  as determined by the H<sub>2</sub> transient adsorption technique. <sup>14</sup> <sup>d</sup>The amount of oxygen vacancies of layered ZnO<sub>x</sub><sup>15</sup> was measured as the difference of the values obtained from N<sub>2</sub>O RFC and H<sub>2</sub> TA as suggested by Schumann et al., <sup>14a</sup> and assessed as 273  $\mu$ mol(ZnO<sub>x</sub>)  $g_{cat}^{-1}$ . Calculations are shown in the SI.

ca. 10 h time-on-stream (TOS), a stable mass increase of 1.3 wt % was reached. Switching to H<sub>2</sub>/Ar leads to a mass decrease of 0.7 wt % within ≈8 h which continued to decrease slowly. An identical experiment was conducted with H<sub>2</sub>/CO enriched using 1.5 vol % H<sub>2</sub>O. After stabilizing at a mass increase of 1.5 wt %, a mass loss of 0.6 wt % is observed upon switching to H<sub>2</sub>/CO again (Figure 2B). The experiments of switching the gas feed  $(H_2/CO_2)$  and  $H_2/CO)$  and  $H_2/Ar$  enriched with 1.5% H<sub>2</sub>O were repeated with a Cu/MgO reference catalyst (Figure S3A,B). This catalyst exposes an almost identical Cu nanostructure (compared to Cu/ZnO:Al, see Table S2), but the mass uptake in the H<sub>2</sub>O-containing feed seems to be negligible (Figure S3B). This makes evident that the mass increase under H<sub>2</sub>O atmosphere directly correlates with the presence of ZnO. These findings are already incorporated into Figure 2A, where the mass increase is separated into two contributions from ZnO, adsorbed H2O and strongly bound OH groups. The weight increase due to the stable OH groups (0.4-0.6 wt %) correlates very well with the calculated values based on oxygen vacancies in ZnO<sub>x</sub> (Table 1 and Table S2, 0.5 wt %) and supports our findings. To investigate the possible impact of  $p(H_2O)$  on the mass gain, a series of experiments with different  $H_2O$  concentrations in  $H_2/Ar$  (up to 2.0 vol %) were conducted (Figure S4). Already at comparably low H<sub>2</sub>O concentrations (0.5 vol %), the mass uptake levels off, and a saturation regime is reached. This implies that the experiments described in Figure 2 already reached steady state. In addition, the gained information from the TG curves in Figure 2A,B makes evident that, in Figure 1, when switching between H<sub>2</sub>/  $CO_2$  and  $H_2/CO$ , the mass loss is also due to the removal of H<sub>2</sub>O-derived species. As a consequence, the maximum amount of strongly bound OH groups still present on the ZnO<sub>x</sub> surface ranges from 0.4 to 0.8 wt % of the catalyst, again in agreement with our reference experiments (Figure 2A,B, Figure S3, and Table 1). Further, it is very likely that, depending on the gas feed used, carbon-based reaction intermediates are also present. This is supported by the residual mass after switching from  $H_2/CO_2$  to  $H_2/CO$  (Figure 1A, stable ad-layer of 1.2 wt %); at least 0.4-0.8 wt % consists of non-H<sub>2</sub>O-related adsorbates, namely, carbon-based derivatives (dependent on the stable OH group concentration). The majority of the carbon-derived adsorbates might be placed on the Cu moieties, also in agreement with Cu/MgO reference experiments (Figure S3A).

Figure 3 illustrates the deconvolution of experimental mass changes in different reaction feeds (H<sub>2</sub>/CO<sub>2</sub> or CO) to H<sub>2</sub>Oderived and carbon-derived. 11 Approximately 70% of the adlayer consists of H<sub>2</sub>O-derived species. The amount of carbonbased intermediates is interpreted as stable upon switching to the  $H_2/CO$  feed. This 0.6 wt % (or ~30% of the ad-layer) does, very likely, not consist of similar adsorbates, since different mechanisms (and intermediates) are discussed for the hydrogenation of CO and CO<sub>2</sub>. <sup>3a,6a,b,12</sup> However, it is expected that the CO<sub>2</sub>-related ad-layer is very stable, which hinders its activation in the CO/H<sub>2</sub> feed after consecutive switching, and the exchange might be slow.<sup>3a</sup> Consistent with a previous spectroscopic analysis, 13 the remaining layer may comprise -methoxy (-OCH<sub>3</sub>), -formyl (-CHO), and/or -formate (-O<sub>2</sub>CH) species balanced with OH groups, in very good agreement with the mass changes and resulting quantitative assessments from our thermogravimetric experiments (Table 1).



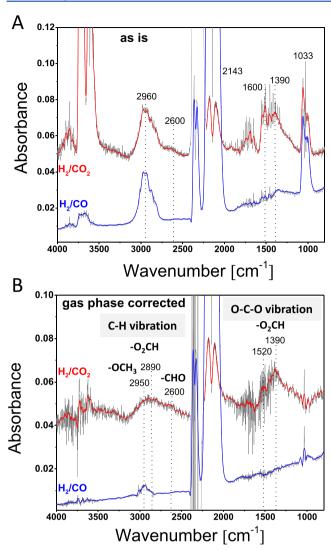
**Figure 3.** In situ thermogravimetry with different gas feeds  $[CO_2/H_2/He~(12/59/29)~and~CO/H_2/Ar/He~(14/59/4/23),120~mL~min^{-1}]$  at 523 K and 30 bar. Dotted lines visualize the possible mass change associated with  $H_2O_2$  and carbon-derived species.

### ■ NATURE OF THE CARBON-BASED ADSORBATES

To further clarify the nature of the intermediates adsorbed during catalysis, high-pressure IR experiments were conducted under reaction conditions (30 bar, 523 K) in a cell as already described. To overcome the high noise level caused by the low IR transparency of the catalyst, four spectra with 2048 scans each were averaged for the data evaluation.

The spectra are dominated by the gas phase signals of the applied feeds, namely, CO and/or  $CO_2$  and the corresponding overtones (Figure 4A). With the onset of the reaction, bands due to the reaction product  $CH_3OH$  (2960 and 1033 cm<sup>-1</sup>) become visible and directly make evident the active state of the catalyst within the spectrometer. In addition to this, in the  $H_2/CO_2$  feed, the rotovibrational bands of the rWGS reaction products  $H_2O$  (centered at 3780 and 1600 cm<sup>-1</sup>) and CO (centered at 2143 cm<sup>-1</sup>) occur. The  $H_2O$  signals are strongly decreased under  $H_2/CO$  conditions, since CO reacts instantly with traces of  $H_2O$  under the formation of  $CO_2$  (Figure 4A, WGS reaction).

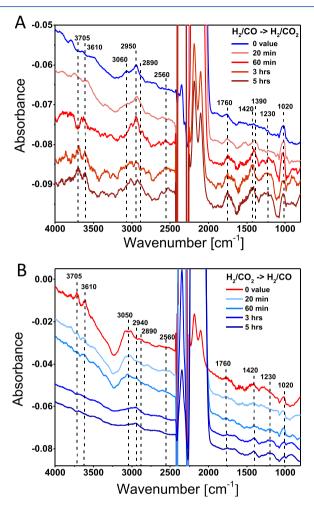
Only after correcting for the gas phase products, a meaningful interpretation of surface adsorbates is possible (Figure 4B). In the  $H_2/CO_2$  feed, a broad feature with a maximum at 2890 cm $^{-1}$  and a weaker one at  $\sim$ 2600 cm $^{-1}$  are assigned to C-H stretching vibrations and are distinctly different from the CH<sub>3</sub>OH band at 2950 cm<sup>-1</sup>. The clearly visible bands around 1520 and 1390 cm<sup>-1</sup> are similar to assignments of formate species.<sup>17</sup> The mode around 1520 cm<sup>-1</sup> corresponds either to formate on the ZnO:Al support (or at the interface to Cu) or to its asymmetric stretch vibration on Cu. <sup>17a</sup> The band at 1390 cm<sup>-1</sup> is assigned to the symmetric vibration of bidentate formate on Cu. These findings agree well with the bidentate formate C—H modes at 2890 cm<sup>-1</sup>. The signal at the 2600 cm<sup>-1</sup> band is typical for C—H stretching modes of formyl species, which is supported by the C=O stretching vibration at 1760 cm<sup>-1</sup>. The shoulder to higher wavenumbers at 2950 cm<sup>-1</sup> might be related to methoxy groups. 13 Since the IR bands are rather broad, other carbonbased intermediates like carboxylates cannot be ruled out. The presence of surface O-H groups is evident from IR absorption features around 3500-3700 cm<sup>-1</sup> partly obscured by the CO<sub>2</sub> overtones and supported by thermogravimetric measurements.



**Figure 4.** In situ IR spectroscopy at 30 bar and 523 K: (A)  $H_2/CO_2$  feed and  $H_2/CO$  feed and (B) with gas phase correction. The colored lines represent the smoothed spectra.

Thus, the results of high-pressure in situ IR spectroscopy in the H<sub>2</sub>/CO<sub>2</sub> feed confirm the presence of surface formate groups on Cu (bands at 2890 and 1390 cm<sup>-1</sup>), possibly on ZnO:Al or the interface to Cu (1520 cm<sup>-1</sup>), methoxy groups (2950 cm<sup>-1</sup>), and surface hydroxyl groups ( $\sim$ 3500 cm<sup>-1</sup>). Carbonates are ruled out since CO<sub>2</sub> does not adsorb at 483K/ 523 K and 30 bar pressure (Figure S5B). These findings agree well with the interpretation of the thermogravimetry experiments discussed above, identifying H2O- and carbon-derived adsorbates on the surface (Figures 1 and 3 and Table 1). The presence of methoxy groups is further supported by the gas phase corrected spectra from the H<sub>2</sub>/CO feed (Figure 4B). The pronounced signal at 2950 cm<sup>-1</sup> is clearly identified as the C-H stretch vibration of the methoxy group, with small contributions of the C-O stretching or bending modes at 1020 cm<sup>-1</sup> and not part of the gas phase CH<sub>3</sub>OH signals. In addition, no formate contributions are detected. Changes in the range of 3500 cm<sup>-1</sup> due to additional OH groups (i.e., Zn-OH groups, in comparison to the H<sub>2</sub>/Ar reduction mix) are also absent. This means that, under different feed gas compositions  $(H_2/CO \text{ versus } H_2/CO_2)$ , the nature of the adsorbates is differently pronounced. The intermediate

concentration increases with higher temperatures and reaction rates (Figure S6, 523 versus 483 K), which is an indication for a surface accumulation. To mimic the switch of the gas feed applied in Figure 1 ( $\rm H_2/CO_2 \rightarrow \rm H_2/CO \rightarrow \rm H_2/CO_2$ ), an adapted transient experiment was conducted in the IR spectrometer (Figure 5A,B). Starting from a H<sub>2</sub>/CO feed

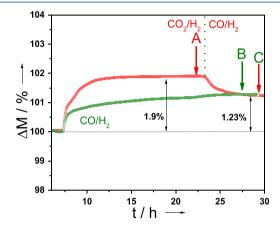


**Figure 5.** In situ IR spectroscopy at 523 K and 30 bar, upon switching the gas phase from (A)  $H_2/CO$  (blue) to  $H_2/CO_2$  (red) and (B) from  $H_2/CO_2$  (red) to  $H_2/CO$  (blue). The curves represent smoothed spectra.

(Figure 5A, blue curve), a change to H<sub>2</sub>/CO<sub>2</sub> leads also to a change of the adsorbed species (Figure 5A, red curves). From a methoxy dominated surface (2950 cm<sup>-1</sup>, 1020 cm<sup>-1</sup>) with contributions from a carboxylate species (3040 cm<sup>-1</sup>, 1760 cm<sup>-1</sup>, 1230 cm<sup>-1</sup>), <sup>3b</sup> formate (2890 cm<sup>-1</sup>, 1390 cm<sup>-1</sup>) and formyl (2600 cm<sup>-1</sup>, around 1760 cm<sup>-1</sup>) species start to grow. As a function of time, the latter become more intense, and the OH/H<sub>2</sub>O influence is clearly visible between 3500 and 3750 cm<sup>-1</sup> (O-H stretching modes) and 1500-1750 cm<sup>-1</sup> (O-H bending modes). Likely, the asymmetric modes of formate intermediates contribute to the shoulder at 1520 cm<sup>-1</sup> and shift the maximum at 1390 cm<sup>-1</sup> (symmetric formate vibration) to higher wavenumbers (1420 cm<sup>-1</sup>). The inverse experiment (Figure 5B) clearly shows that a gas switch from the H<sub>2</sub>/CO<sub>2</sub> to H<sub>2</sub>/CO feed does not lead to a complete exchange of the carbon-derived species toward methoxy groups but to a removal of the H<sub>2</sub>O-derived species (e.g., above 3500

cm<sup>-1</sup>). Still, after hours, absorption features of other oxygenates are present. The exchange of surface intermediates triggered by a change of the gas phase seems to be rather a process of hours, <sup>19</sup> which is in line with the thermogravimetric kinetics and, e.g., the recovering of a Cu/MgO catalyst after poisoning with  $\rm CO_2$ . <sup>3a</sup> The formation of, e.g., the  $\rm H_2/\rm CO_2$ -induced surface intermediates directly after activation seems to be a rather fast process and not resolvable on the minute scale (Figure S5A).

Figure 6 shows additional experiments in the thermobalance, where from the  $H_2/CO$  feed (green curve) the same mass gain



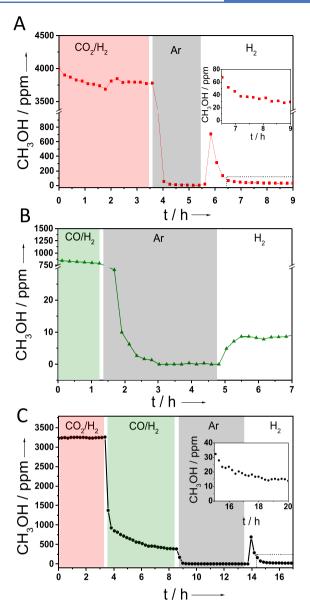
**Figure 6.** In situ TG experiments at 30 bar and 523 K, exposures starting from  $H_2/CO$  (green) and  $H_2/CO_2$  (red). Letters indicate the points where the titration experiments in a fixed bed reactor were performed.

is reached as upon switching to  $H_2/CO$  after introducing  $H_2/CO_2$  (red curve). With respect to the assignments made by high-pressure in situ IR experiments, the mass gain in  $H_2/CO$  is interpreted as mainly caused by methoxy groups on Cu sites, which would allow for a mass increase in the range of 1.24% according to Table 1. It is very likely that also OH groups contribute as a minor fraction to the mass increase and that the Cu surface is not completely covered since  $H_2$  activation has to be possible. Further, we propose different surface adsorbates in  $H_2/CO$  after  $H_2/CO_2$  treatment (Figure 6, state C) compared to only the  $H_2/CO$  feed (Figure 6, state B). This means that the accumulated adsorbates, e.g., formyland formate groups (Figure 6, state A), are in part substituted by methoxy groups during  $H_2/CO$  treatment at 30 bar, also made evident by IR spectroscopy (Figure 5B).

# ■ REACTIVITY OF THE SURFACE AD-LAYER

To probe the stability and difference in origin of the carbon-related species formed in  $\rm H_2/CO_2$  and  $\rm H_2/CO$  feeds, a transient surface titration technique analogous to that reported by Yang et al.  $^{6a,21}$  was applied. In addition, as shown previously in our purging experiments,  $^{3a}$  a sustained methanol production for several hours was observed when switching from the  $\rm CO_{\it x}/H_2$  feed gas to  $\rm H_2/Ar.$ 

Herein, after reaching steady state at 30 bar, the catalysts were purged with Ar gas until reaching a zero signal (baseline, monitored by online GC). Subsequently, H<sub>2</sub>/Ar mixtures were introduced to mobilize possible surface intermediates. Figure 6 shows the different steady-state points A, B, and C for which the investigations were performed. The experimental results are shown in Figure 7, also named as A, B, and C. In Figure 7A



**Figure 7.**  $H_2$  surface titration experiments after purging with Ar from steady state at 523 K and 30 bar. Starting in the (A)  $H_2/CO_2$  feed, (B)  $H_2/CO$  feed, (C)  $H_2/CO_2$  feed, and followed by the  $H_2/CO$  feed.

a steady-state CH<sub>3</sub>OH concentration of ~3800 ppm is reached, and upon switching to Ar within 0.5 h, no traces of CH<sub>3</sub>OH are detected anymore. Introducing H<sub>2</sub> finally leads to an increase of the CH<sub>3</sub>OH concentration up to several hundred ppm. Still, after hours, low CH3OH concentrations are detected (see the inset in Figure 7A). Besides the CH<sub>3</sub>OH evolution also H<sub>2</sub>O formation is observed (Figure S7B), which is in accordance with the interpretation of the in situ thermogravimetric results (Figures 1 and 2B). Performing the identical experiment starting from a H<sub>2</sub>/CO feed (Figure 7B), a steady-state concentration of ~800 ppm is measured. After purging with Ar and switching to H<sub>2</sub>/Ar, a relatively lasting increase of the CH<sub>3</sub>OH concentration to ~10 ppm is detected, and no additional H<sub>2</sub>O is detected (Figure S7B). With respect to the surface titration from the  $H_2/CO_2$  feed, a different kinetic behavior is clearly visible (see also MS traces in Figure S7A,C). Interestingly, the carbon-derived adsorbates

formed under H<sub>2</sub>/CO<sub>2</sub> conditions stay on the surface even after introducing H<sub>2</sub>/CO for hours, made evident by an identical profile and concentration of CH<sub>3</sub>OH while titrating with H<sub>2</sub>/Ar after purging in Ar (Figure 7C, Figure S7A MS signals). This is additionally supported by a lower catalytic activity in the H<sub>2</sub>/CO feed if H<sub>2</sub>/CO<sub>2</sub> was applied before (Figure S9, CO<sub>2</sub>-derived intermediates block active sites). A similar purging experiment was conducted in the thermobalance (Figure S8) by switching from a  $H_2/CO_2$  feed to  $H_2/CO$ and finally purging with H<sub>2</sub> (see also Figure 1). According to the results discussed above (Figure 2A), the surface is still covered with strongly bound OH groups and carbon-derived species. The introduction of H<sub>2</sub> leads to a fast mass decrease within the first 30 min followed by a slow one (lasting for hours). This interpretation is in very good agreement with the results in Figure 7A,C and Figure 7A,B, where a fast CH<sub>3</sub>OH/ H<sub>2</sub>O evolution occurs followed by a slow and lasting decrease. Generally, the quantity of adsorbed carbon-derived intermediates (Table 1) and the amount of CH<sub>3</sub>OH formed upon surface titration are in an acceptable agreement.

The conversion of, e.g., the methoxy groups (see also Figures 7B and 4B) formed likely on the Cu sites (400  $\mu$ mol  $g_{cat}^{-1}$ ) would lead to a calculated constant formation of 10 ppm of CH<sub>3</sub>OH lasting for 20 h. Another selected example is the CH<sub>3</sub>OH formation of H<sub>2</sub>/CO<sub>2</sub>-derived adsorbates (Figure 7C). Surface titration with H<sub>2</sub>/Ar leads to a calculated constant CH<sub>3</sub>OH concentration of 65 ppm for 5 h (based on the available Cu sites of 400  $\mu$ mol  $g_{cat}^{-1}$ ). Again, a reasonable agreement between the surface titration experiments (Figure 7) and the quantitative assessment from the thermobalance (Figures 1 and 3) is obtained.

## **■ GENERAL DISCUSSION AND CONCLUSION**

On the basis of the results gained from high-pressure in situ thermogravimetric experiments (Figures 1-3, Table 1), the quantitative assessment of the adsorbates is in the range  $\sim 1-2$ ML (monolayers) with respect to the surface titration experiments. The carbon-based ad-layer (~0.25 ML) is in fair agreement with the findings interpreted by Yang et al. and also very likely located on the Cu moieties. 6b As we discussed the activated state of the catalyst under steady-state conditions, a significant amount of the surface adsorbates consist of waterderived species (at least when a CO2-containing feed is applied). Consequently, for any fundamental discussion, the role of OH groups and H2O has to be considered. This interpretation is confirmed by in situ high-pressure IR spectroscopy, where under realistic conditions the presence of carbon- and H<sub>2</sub>O/OH-derived intermediates was identified. In addition, surface titration experiments showed that the carbon-based intermediates are convertible into CH<sub>3</sub>OH upon titration with H<sub>2</sub>/Ar. The oxygenates formed under the CO<sub>2</sub>containing atmosphere seem to be quite stable, since they are still present after changing the feed gas to H<sub>2</sub>/CO. Solely under H<sub>2</sub>/CO atmosphere methoxy groups are highly populated. The titration of methoxy to CH<sub>3</sub>OH is a very slow process (high reaction barriers), <sup>2f,12</sup> which would explain the poor reaction rate of CH<sub>3</sub>OH in H<sub>2</sub>/CO (800 ppm versus 4000 ppm for  $H_2/CO_2$ ) and the lasting  $CH_3OH$  decrease upon titration (Figures 4B and 7). The surface titration experiments from the CO<sub>2</sub>-based feed (Figure 7A,C, Figure S8A,B) show that the carbon-based adsorbates are convertible into CH<sub>3</sub>OH and H<sub>2</sub>O. The different kinetics upon titration with respect to the CH<sub>3</sub>OH formation under the H<sub>2</sub>/CO feed suggest that

another carbon intermediate is involved and that H<sub>2</sub>O/OH groups are needed for its conversion (see Figure S7B, no H<sub>2</sub>O evolution since CO<sub>2</sub> free). The importance of H<sub>2</sub>O/OH for the CH3OH formation was already indicated for Cu systems. 6b,c Since we investigate a high-performance Cu/ ZnO:Al, the residual strongly bound OH groups are located on the ZnO moieties of the catalyst (Figures 1 and 2). Kattel et al. 2t already suggested the relevance of OH groups located on ZnO at the interface to Cu. Our findings from high-pressure in situ IR, thermogravimetry, and surface titration experiments support this interpretation. In this context, the promoting role (electronic and structure) 14a,22 of ZnO has to be extended to a mechanistic one, where H<sub>2</sub>O/OH groups are crucial for the activation of, e.g., formats, formyl, or carboxylates (CO2derived oxygenates). To what extent the detected intermediates are part of the rate-determining step and reaction mechanism is beyond the scope of this article. The apparent activation energy of this catalyst for CH<sub>3</sub>OH ( $E_A$ = 53 kJ mol<sup>-1</sup>, determined under differential conditions, Figure S10A,B) matches perfectly with the literature values.<sup>23</sup> For H<sub>2</sub>O a comparably high value of  $E_A = 75 \text{ kJ mol}^{-1}$  is measured, which is interpreted as a proxy of the rWGS contribution, 2d resulting from the CO<sub>2</sub> hydrogenation to CO and/or CH<sub>3</sub>OH (Figure \$10B). However, as the importance of H<sub>2</sub>O for the CH<sub>3</sub>OH formation was already made evident, we tentatively interprete the adsorption-desorption processes of accumulated H<sub>2</sub>Oderived species as strongly rate influencing (activation barrier  $\sim$ 1 eV)<sup>6c</sup> and possibly defining for the lowest temperature of operation.

In summary, our results make evident the formation of a reactive ad-layer with considerable lifetimes on the surface of a high-performance Cu/ZnO:Al catalyst during and after CO<sub>2</sub> hydrogenation. The present observations demonstrate for the first time a quantitative assessment of the catalytically formed intermediates under realistic conditions (1.9 wt % or 1-2 ML). An exchange of accumulated intermediates is a process lasting for hours. This is in agreement with surface titration experiments, where, e.g., a fraction of a ML of carbon-derived intermediates is converted with H2 within hours. H2O-derived species play a crucial role within the reaction network as intermediates, reaction participants, and possibly rate-determining reactants. Further, the accumulation of H<sub>2</sub>O on Cu/ ZnO catalysts might also be one reason for its limited applicability at milder temperatures (thermodynamically more favored conditions). The combined approach of in situ thermogravimetry (quantitative), in situ IR spectroscopy (qualitative), and surface titration experiments enabled the identification of another promoting effect of ZnO, since the CO<sub>2</sub>-derived reaction intermediates are only activated when Zn-OH is present. Our experimental data and their corresponding interpretation are in very good agreement with the literature and further clarify some ambiguities since investigations were performed on a real Cu/ZnO:Al catalyst under meaningful conditions. The current work may be considered as an example of the development and implementation of a new diagnostic tool based on a wellestablished method, providing highly relevant in situ data for a reliable mechanistic/kinetic discussion and modeling in the future.

#### ASSOCIATED CONTENT

# **S** Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscatal.9b01241.

Experimental section and additional data and figures including reference measurements, thermobalance experiments, impact of the  $p(H_2O)$ , IR reference experiments, surface titration experiments, and catalytic data (PDF)

# AUTHOR INFORMATION

#### **Corresponding Authors**

\*E-mail: tarasov@fhi-berlin.mpg.de. \*E-mail: efrei@fhi-berlin.mpg.de.

ORCID ®

Elias Frei: 0000-0003-3565-1199

Notes

The authors declare no competing financial interest.

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