

# CALCULATING THE MORDELL-WEIL RANK OF ELLIPTIC THREEFOLDS AND THE COHOMOLOGY OF SINGULAR HYPERSURFACES

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ABSTRACT. In this paper we give a method for calculating the rank of a general elliptic curve over the field of rational functions in two variables. We reduce this problem to calculating the cohomology of a singular hypersurface in a weighted projective 4-space. We then give a method for calculating the cohomology of a certain class of singular hypersurfaces, extending work of Dimca for the isolated singularity case.

## 1. INTRODUCTION

Throughout this paper we work over the field of complex numbers  $\mathbf{C}$ . We study families  $\pi : X \rightarrow S$  of elliptic curves over rational surfaces, i.e.,  $X$  is a smooth threefold,  $S$  a smooth rational surface and  $\pi$  is a flat morphism admitting a section  $\sigma_0 : S \rightarrow X$ . Throughout this paper we will assume that  $X$  is not birational to a product  $E \times S'$  with  $E$  an elliptic curve and  $S'$  a rational surface.

The two main invariants of  $\pi$  are its configuration of singular fibers and the Mordell-Weil group  $\text{MW}(\pi)$  consisting of *rational* sections of  $\pi$ . Unlike the configuration of singular fibers the Mordell-Weil group is a birational invariant (in the sense of Section 2).

The configuration of singular fibers is well-understood. The general fiber of  $\pi$  is an elliptic curve over  $\mathbf{C}(S)$ , in particular we have an equation of the form

$$(1) \quad y^2 = x^3 + Ax + B, \text{ where } A, B \in \mathbf{C}(S).$$

The singular fibers lie over the curve  $\Delta$  given by the zero and pole divisor of  $4A^3 + 27B^2$ . The fiber-type over a general point  $p$  of some irreducible component of  $\Delta$  can be easily calculated using Tate's algorithm. The fiber-type over a special point can be calculated using the work of Miranda [19].

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In this paper we concentrate on the Mordell-Weil group  $\text{MW}(\pi)$ . Using the Shioda-Tate-Wazir formula [26, Theorem 4.2] one can relate the rank of  $\text{MW}(\pi)$  to the Picard numbers  $\rho(S)$  and  $\rho(X)$  and the type of singular fibers of  $\pi$  over a general point of each component of  $\Delta$ . In general it turns out to be rather hard to calculate  $\rho(X)$  directly. Even in the case of elliptic surfaces it is a difficult problem to calculate  $\rho(X)$  for a given example, this can only be done in very specific cases, see e.g. [15].

The main idea is the following: every elliptic threefold over a rational surface (with a section) has a model as a hypersurface  $Y$  of degree  $6n$  in the weighted projective space  $\mathbf{P} := \mathbf{P}(2n, 3n, 1, 1, 1)$ , for some  $n$ . The existence of such a model (with minimal  $n$ ) is a direct consequence of the existence of a (global minimal) Weierstrass equation for an elliptic curve over the function field  $\mathbf{C}(S)$  of  $S$ . Whenever we refer to a minimal model in this paper we mean the model given by a minimal Weierstrass equation, not to a minimal model in the sense of Mori theory. In general, this threefold  $Y$  is singular. In the first part of this paper we show

**Theorem 1.1.** *Let  $\pi : X \rightarrow S$  be an elliptic threefold  $X$  over a rational surface  $S$  and let  $Y$  be a minimal model of  $X/S$  in  $\mathbf{P}(2n, 3n, 1, 1, 1)$ . Assume that  $H^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure. Then*

$$\text{rank MW}(\pi) = \text{rank}(H^{2,2}(H^4(Y, \mathbf{C})) \cap H^4(Y, \mathbf{Z})) - 1.$$

In particular, this theorem shows that a multiple of a Hodge class is algebraic.

The advantage of this theorem is that we can relate the computation of  $\text{MW}(\pi)$  to a computation for a hypersurface in weighted projective space. The latter problem is indeed doable as we will show in the second part of the paper.

The assumption that  $H^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure is very weak. We do not know of examples such that  $H^4(Y, \mathbf{Q})$  does not have a pure weight 4 Hodge structure. Later on we will describe a large class of elliptic threefolds for which we have a method to calculate  $H^4(Y, \mathbf{Q})$ . Each member  $Y$  of this class has a pure weight 4 Hodge structure on  $H^4(Y, \mathbf{Q})$ .

For a complete proof we refer to Section 4. Here we only give a sketch of the proof: from [19] we get a factorization of the birational map  $Y \dashrightarrow X$ . This factorization is sufficiently explicit to relate the difference  $\rho(X) - \rho(S)$  to  $H^{2,2}(H^4(Y, \mathbf{C})) \cap H^4(Y, \mathbf{Z})$ . The configuration of singular fibers of  $\pi$  is relatively easy to compute. Applying the Shioda-Tate-Wazir formula then yields the proof.

If  $X$  is chosen sufficiently general then  $Y$  is quasismooth and hence a  $V$ -manifold. Using this one can show that  $h^4(Y) = 1$ . Theorem 1.1 then implies  $\text{rank MW}(\pi) = 0$ . For this reason we shall focus in this paper on non-quasismooth hypersurfaces.

A more explicit form of the above remark is the following (see Corollary 4.4):

**Corollary 1.2.** *Let  $\pi : X \rightarrow S$  be an elliptic threefold associated with a hypersurface defined by*

$$y^2 = x^3 + Px + Q$$

*with  $P \in \mathbf{C}[z_0, z_1, z_2]_{4n}$  and  $Q \in \mathbf{C}[z_0, z_1, z_2]_{6n}$ , such that*

- (1) *the curve  $\Delta : 4P^3 + 27Q^2 = 0$  is reduced with only double points as singularities and  $Q$  vanishes at each of these double points or*
- (2)  *$P$  is identically zero and  $Q = 0$  defines a smooth curve of degree  $6n$  in  $\mathbf{P}^2$ .*

*Then  $\text{rank MW}(\pi) = 0$ .*

Theorem 1.1 implies the following two results: if we call  $\delta = h^4(Y) - 1$  the defect of  $Y$  then  $\text{rank MW}(\pi) \leq \delta$ . (The notion of defect for singular hypersurfaces is due to Clemens [3].) Moreover, one can show that  $\text{MW}(\pi) \otimes \mathbf{Q}$  is isomorphic to the group of Weil Divisors on  $Y$  modulo the Cartier Divisors tensored with  $\mathbf{Q}$ .

In the case of elliptic surfaces  $\psi : E \rightarrow \mathbf{P}^1$  one has a theorem similar to Theorem 1.1. However, we are not aware of any statement concerning elliptic surfaces similar to Corollary 1.2. The reason for this is the following: let  $T$  be a surface in weighted projective space corresponding to  $\psi$ . The degree of  $T$  is divisible by 6. Set  $n = \text{deg}(T)/6$ . One can show that  $\text{rank MW}(\psi) = \text{rank}(H^{1,1}(H^2(T, \mathbf{C})) \cap H^2(T, \mathbf{Z})) - 1$  and  $h^{2,0}(H^2(T, \mathbf{C})) = n - 1$ . In this case, using Noether-Lefschetz theory, one can obtain a series of statements on the Mordell-Weil rank of a very general elliptic surface: e.g., one obtains statements on the Mordell-Weil rank for a very general degree  $6n$  elliptic surface, and results on the dimension of the locus of elliptic surfaces with fixed Mordell-Weil-rank [4, 16]. However, if  $n > 1$  then  $h^{2,0}(E) > 0$  and hence it seems hard to calculate  $\text{rank}(H^{1,1}(H^2(E, \mathbf{C})) \cap H^2(E, \mathbf{Z})) - 1$  in concrete examples. This is the key obstruction for proving results similar to Corollary 1.2.

To calculate the rank of  $\text{MW}(\pi)$  we need to calculate the group  $H^4(Y, \mathbf{C})$  together with its Hodge structure. If  $Y$  has only isolated singularities and all singularities are semi-weighted homogeneous hypersurface singularities then this can be done by applying a method of Dimca [8]. However,  $Y$  might have non-isolated singularities. It turns out in our situation that at a general point of a one-dimensional component of  $Y_{\text{sing}}$  we have a transversal *ADE* surface singularity. We extend Dimca's method to a class of hypersurfaces with non-isolated singularities:

For the calculation of  $H^4(Y, \mathbf{C})$  there is no reason to assume that the hypersurface comes from an elliptic fibration, i.e., at this stage we work in the following context: let  $\mathbf{P} = \mathbf{P}(w_0, w_1, w_2, w_3, w_4)$  be a 4-dimensional weighted projective space and set  $w = w_0 + w_1 + w_2 + w_3 + w_4$ . We call a degree  $d$  hypersurface  $Y \subset \mathbf{P}$  *admissible* if  $Y$  is defined by a weighted homogeneous polynomial  $f \in \mathbf{C}[x_0, x_1, x_2, x_3, x_4]$ , such that

- (1)  $Y$  intersects  $\mathbf{P}_{\text{sing}}$  transversally, i.e., if  $\Sigma$  is the locus where all the partials of  $f$  vanish, then  $\Sigma \cap \mathbf{P}_{\text{sing}} = \emptyset$ . ( $Y$  will still have singularities along  $\mathbf{P}_{\text{sing}}$ , these arise from the construction of the weighted projective space and are finite quotient singularities.)
- (2)  $Y$  is smooth in codimension 1.
- (3) In codimension 2 the threefold  $Y$  has only transversal  $ADE$  surface singularities.
- (4) In codimension 3 all singularities are contact equivalent to a weighted homogeneous hypersurface singularity (cf. Remark 7.2).

To formulate our theorem concerning the calculation of the cohomology groups we have to introduce some notation: we define  $\mathcal{P}$  as the set of all points  $p \in \Sigma$ , such that  $(Y, p)$  is not a transversal  $ADE$  surface singularity. Now let  $f_p \in \mathbf{C}[y_0, y_1, y_2, y_3]$  be such that  $(f_p, 0)$  is contact equivalent to  $(Y, p)$ , where  $f_p$  is weighted homogeneous of degree  $d_p$  and  $w_p$  is the sum of the weights. In particular,  $f_p = 0$  defines a surface in some weighted projective 3-space.

Let  $R(f_p)$  be the Jacobian ring of  $f_p$ . If  $(Y, p)$  is an isolated singularity we set  $\tilde{R}(f_p) = R(f_p)$ . If  $(Y, p)$  is not an isolated singularity, then  $\tilde{R}(f_p)$  is defined as follows: the equation  $f_p = 0$  determines a surface  $S \subset \mathbf{P}(v_0, v_1, v_2, v_3)$ , which has finitely many singularities  $(S, q_1), \dots, (S, q_t)$ . Let  $M_j$  be the Milnor-algebra of  $(S, q_j)$  and set  $\mu := \sum_j \dim M_j$  to be the total Milnor number. Let  $h_1, \dots, h_\mu$  be polynomials of degree  $2d_p - w_p$ , such that their image under the natural (surjective) map  $R(f_p)_{2d-w} \rightarrow \bigoplus_j M_j$  spans  $\bigoplus_j M_j$  and set  $\tilde{R}(f_p) = R(f_p)/(h_1, \dots, h_\mu)$ .

Using that  $f_p = 0$  is contact equivalent to  $(Y, 0)$  one obtains a natural map  $R(f)_{kd-w} \rightarrow R(f_p)_{kd_p-w_p}$  for  $k = 1, 2$ .

The following theorem is a combination of Proposition 7.7 and several results from Section 8.

**Theorem 1.3.** *Let  $Y$  be an admissible hypersurface. Then*

$$H^1(Y, \mathbf{Q}) = H^5(Y, \mathbf{Q}) = 0 \text{ and} \\ H^0(Y, \mathbf{Q}) = \mathbf{Q}, H^2(Y, \mathbf{Q}) = \mathbf{Q}(-1), H^6(Y, \mathbf{Q}) = \mathbf{Q}(-3).$$

*The group  $H^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure, with vanishing  $h^{4,0}$  and  $h^{0,4}$  and*

$$h^{3,1}(H^4(Y, \mathbf{C})) = \dim \text{coker}(R(f)_{d-w} \rightarrow \bigoplus_{p \in \mathcal{P}} \tilde{R}(f_p)_{d_p-w_p}) \\ h^{2,2}(H^4(Y, \mathbf{C})) = \dim \text{coker}(R(f)_{2d-w} \rightarrow \bigoplus_{p \in \mathcal{P}} \tilde{R}(f_p)_{2d_p-w_p}).$$

Combining Theorems 1.1 and 1.3 we obtain the following (see also Section 9)

**Theorem 1.4.** *Let  $\pi : X \rightarrow S$  be an elliptic threefold, such that  $S$  is a rational surface, and the associated threefold  $Y \subset \mathbf{P}$  is admissible. Assume that the map*

$$R(f)_{d-w} \rightarrow \bigoplus_{p \in \mathcal{P}} \tilde{R}(f_p)_{d_p-w_p}$$

is surjective. Then

$$\text{rank MW}(\pi) = \dim \text{coker}(R(f)_{2d-w} \rightarrow \bigoplus_{p \in \mathcal{P}} \tilde{R}(f_p)_{2d-w_p}).$$

**Remark 1.5.** The only non-zero Betti number that we have not determined so far is  $h^3(Y)$ . Usually, one is able to calculate  $e(Y)$  and one can use this to determine  $h^3(Y)$ .

**Remark 1.6.** If  $Y$  is not admissible then our method fails. In this case the first step would be to calculate the local cohomology  $H_p^i(Y, \mathbf{Q})$  of such a singularity. To our knowledge there is no method which works for a large class of such singularities.

This theorem can be used to classify elliptic threefolds with small numerical invariants. In [17] we classify the possibilities for  $\text{MW}(\pi)$  if  $n = 1$  and the  $j$ -invariant of the fibers of  $\pi$  is constant.

Our method is similar to Dimca's, but differs from recent methods such as work by Cynk [5], Rams [22], Grooten-Steenbrink [13], and the classical work of Clemens [3], Werner [28], Schoen [23] and van Geemen-Werner [11].

The differences between the methods of the papers quoted above and ours are the following: in all cases the method is applied to a smaller class of singularities, namely in the isolated singularity case Rams deals with isolated  $A_k, D_m, E_n$  singularities. In the non-isolated case, Grooten-Steenbrink deal with transversal  $A_1$  singularities and singularities of the type  $w^2 = xyz$  and  $zw = x^2y$ . The other papers deal with a subset of these singularities.

The restriction on the type of singularity (by Rams and by Grooten-Steenbrink) implies that  $(R_{f_p})_{d-w} = 0$  for all singularities. In particular,  $H^4(Y, \mathbf{Q})$  is a pure  $(2, 2)$  Hodge structure. In most of these cases a map  $\psi : H^4(\mathbf{P} \setminus Y, \mathbf{C}) \rightarrow V$  is constructed, where  $V$  is a certain vector space, such that  $\text{coker}(\psi) \cong H^4(Y, \mathbf{C})$ . We use  $V = \bigoplus_{p \in \mathcal{P}} (R_{f_p})_{2d-w}$ , whereas in the above mentioned articles vector spaces of higher dimension are used. In the isolated singularity case we can explain this as follows: Rams takes  $V = \bigoplus_{p \in \mathcal{P}} H^4(F_p, \mathbf{C})$ , where  $F_p$  is the Milnor fiber of  $(Y, p)$ . In this language our choice of  $V$  corresponds to  $\bigoplus_{p \in \mathcal{P}} H^4(F_p, \mathbf{C})_0$ , the subspace fixed by the monodromy. For an isolated  $A_k$ -singularity one has that  $h^4(F_p, \mathbf{C}) = k$ , whereas  $h^4(F_p, \mathbf{C})_0 = 0$  or  $1$ . Choosing a smaller dimensional space is of computational advantage.

The organization of this paper is as follows. In Section 2 we recall some standard facts on elliptic fibrations over rational varieties. In Section 3 we discuss some results of Miranda from [19] that allow us to describe the rational map  $X \dashrightarrow Y$ . In Section 4 we give proofs of Theorem 1.1 and Corollary 1.2. In Section 5 we recall some standard results on the cohomology of hypersurfaces  $Y$  in weighted projective space. In the case of non-quasismooth hypersurfaces we use the Poincaré residue map to calculate the cohomology of the smooth part of  $Y$ . In Sections 6, 7 and 8 we relate the cohomology of the smooth part of  $Y$  and some local cohomology with the cohomology of  $Y$ . This enables us to prove Theorem 1.3. In Section 9 we

summarize our method to calculate the Mordell-Weil group. The remaining sections are devoted to applications of our method. In Section 10 we discuss how one can obtain the results of Grooten and Steenbrink [13] by our approach. In Section 11 we calculate the Mordell-Weil rank of three elliptic threefolds. In Section 12 we calculate the Mordell-Weil rank of a class of elliptic Calabi-Yau threefolds which were constructed by Hirzebruch. This calculation allows us to compute all the Hodge numbers of these threefolds.

## Part 1. Relation between the Mordell-Weil group and cohomology of singular hypersurfaces

### 2. SET-UP

**Definition 2.1.** An *elliptic threefold* is a quadruple  $(X, S, \pi, \sigma_0)$ , with  $X$  a smooth projective threefold,  $S$  a smooth projective surface,  $\pi : X \rightarrow S$  a flat morphism, such that the generic fiber is a genus 1 curve and  $\sigma_0$  is a section of  $\pi$ .

The *Mordell-Weil group* of  $\pi$ , denoted by  $\text{MW}(\pi)$ , is the group of rational sections  $\sigma : S \dashrightarrow X$  with identity element  $\sigma_0$ .

Recall that a morphism  $\pi : X \rightarrow S$  (with  $X$  a smooth projective threefold and  $S$  a smooth projective surface) is flat if and only if all fibers have dimension one. Clearly  $\text{MW}(\pi)$  is a birational invariant, in the sense that if  $\pi_i : X_i \rightarrow S_i$ ,  $i = 1, 2$  are elliptic threefolds such that there exist a birational isomorphism  $\psi : X_1 \xrightarrow{\sim} X_2$  mapping the general fiber of  $\pi_1$  to the general fiber of  $\pi_2$  then  $\psi^* : \text{MW}(\pi_2) \rightarrow \text{MW}(\pi_1)$  is well-defined and is an isomorphism.

The following technical definition will be needed

**Definition 2.2.** Let  $\pi : X \rightarrow S$  be an elliptic threefold. An effective divisor  $D \subset X$  is called *fibral* if  $\pi(D) \subset S$  is a curve.

We shall frequently make use of the following fundamental result:

**Theorem 2.3** (Shioda-Tate-Wazir, [26, Theorem 4.2]). *Let  $\pi : X \rightarrow S$  be an elliptic threefold then*

$$\rho(X) = \rho(S) + f + \text{rank MW}(\pi) + 1$$

where  $f$  is the number of irreducible surfaces  $F$  in  $X$  such that  $\pi(F)$  is a curve, and  $F \cap \sigma_0(S) = \emptyset$ .

Using Lefschetz' (1,1) theorem and Poincaré duality we can rephrase the Shioda-Tate-Wazir formula as

$$\text{rank MW}(\pi) = \text{rank } H^{2,2}(X, \mathbf{C}) \cap H^4(X, \mathbf{Z}) - f - \rho(S) - 1.$$

In general this is hard to compute. Theorem 1.1 says that the analogous formula also holds if we replace  $X$  by a minimal (singular) Weierstrass model. In this case one has tools to compute the right hand side.

We shall now describe in some detail how to associate to an elliptic threefold  $\pi : X \rightarrow S$  a hypersurface in weighted projective 4-space. Here we restrict ourselves to the case where  $S$  is a rational surface. In this case we can find a hypersurface  $Y$  of degree  $6n$  in  $\mathbf{P}(2n, 3n, 1, 1, 1)$  which is birational to  $X$  as follows: the morphism  $\pi$  establishes  $\mathbf{C}(X)$  as a field extension of  $\mathbf{C}(S) = \mathbf{C}(z_1, z_2)$ . The field  $\mathbf{C}(X)$  is the function field of an elliptic curve over  $\mathbf{C}(z_1, z_2)$ , i.e.,  $\mathbf{C}(X) = \mathbf{C}(x, y, z_1, z_2)$  where

$$(2) \quad y^2 = x^3 + f_1(z_1, z_2)x + f_2(z_1, z_2)$$

with  $f_1, f_2 \in \mathbf{C}(z_1, z_2)$ . Without loss of generality we may assume that (2) is a global minimal Weierstrass equation, i.e.,  $f_1, f_2$  are polynomials and there is no polynomial  $g \in \mathbf{C}[z_1, z_2]$  such that  $g^4$  divides  $f_1$  and  $g^6$  divides  $f_2$ .

To obtain a hypersurface in  $\mathbf{P}(2n, 3n, 1, 1, 1)$  we need to find a weighted homogeneous polynomial. Let  $n = \lceil \max\{\deg(f_1)/4, \deg(f_2)/6\} \rceil$  and define  $P$  and  $Q$  as the polynomials

$$P = z_0^{4n} f_1(z_1/z_0, z_2/z_0), \quad Q = z_0^{6n} f_2(z_1/z_0, z_2/z_0).$$

Then

$$y^2 = x^3 + P(z_0, z_1, z_2)x + Q(z_0, z_1, z_2)$$

defines a hypersurface  $Y$  of degree  $6n$  in  $\mathbf{P} := \mathbf{P}(2n, 3n, 1, 1, 1)$ . Let  $\Sigma$  be the locus where all the partial derivatives of the defining equation vanish. Consider the projection  $\tilde{\psi} : \mathbf{P}(2n, 3n, 1, 1, 1) \dashrightarrow \mathbf{P}^2$  with center  $L = \{z_0 = z_1 = z_2 = 0\}$  and its restriction  $\psi = \tilde{\psi}|_Y$  to  $Y$ . Then there exists a diagram

$$\begin{array}{ccc} X & \dashrightarrow & Y \\ \downarrow \pi & & \downarrow \psi \\ S & \dashrightarrow & \mathbf{P}^2. \end{array}$$

Note that  $Y \cap L = \{(1 : 1 : 0 : 0 : 0)\}$ . If  $n = 1$  then  $\mathbf{P}_{\text{sing}}$  consists of two points, none of which lie on  $Y$ . If  $n > 1$  then an easy calculation in local coordinates shows that  $\mathbf{P}_{\text{sing}}$  is precisely  $L$ , that  $\Sigma$  and  $L$  are disjoint and that  $Y$  has an isolated singularity at  $(1 : 1 : 0 : 0 : 0)$ . For any  $n$  we have that  $\psi$  is not defined at  $(1 : 1 : 0 : 0 : 0)$ . Let  $\tilde{\mathbf{P}}$  be the blow-up of  $\mathbf{P}$  along  $L$ . Let  $X_0$  be the strict transform of  $Y$  in  $\tilde{\mathbf{P}}$ . An easy calculation in local coordinates shows that  $X_0 \rightarrow Y$  resolves the singularity of  $Y$  at  $(1 : 1 : 0 : 0 : 0)$  and that the induced map  $\pi_0 : X_0 \rightarrow S_0$  with  $S_0 = \mathbf{P}^2$  is a morphism. Moreover, all fibers of  $\pi_0$  are irreducible curves.

### 3. MIRANDA'S CONSTRUCTION

The threefolds  $X_0$  and  $X$  are birational and one might therefore ask for a precise sequence of birational morphisms relating  $X_0$  and  $X$ . This question might be too hard. A slightly weaker problem is solved by Miranda: starting with  $\pi_0 : X_0 \rightarrow S_0$  Miranda [19] produces a smooth elliptic threefold  $\pi' : X' \rightarrow S'$  birational to  $\pi$ . Actually, Miranda produces a series  $\{\pi_i : X_i \rightarrow S_i\}$

where  $\{\pi_{i+1} : X_{i+1} \rightarrow S_{i+1}\}$  can be obtained from  $\{\pi_i : X_i \rightarrow S_i\}$  by applying one of the following three types of birational transformations:

- (1)  $S_{i+1}$  is the blow-up of  $S_i$  in a point  $p$  of the discriminant curve of  $\pi$ , i.e., with  $\pi_i^{-1}(p)$  a singular curve. Then we define  $X_{i+1}$  as the fiber product of  $X_i$  with  $S_{i+1}$  over  $S_i$ :

$$\begin{array}{ccc} X_{i+1} := X_i \times_{S_i} S_{i+1} & \longrightarrow & X_i \\ \downarrow & & \downarrow \\ S_{i+1} := \text{Bl}_p S_i & \longrightarrow & S_i. \end{array}$$

This procedure is applied in the following two cases

- (a) To simplify the geometry: let  $\Delta_i \subset S_i$  be the (reduced) discriminant curve of  $\pi_i$ . After applying this procedure sufficiently many times, we may assume that each irreducible component of  $\Delta_i$  is smooth, and that  $\Delta_i$  has only ordinary double points as singularities.
  - (b) Suppose  $X_i$  has an isolated singularity in the fiber of  $p \in S_i$ . Blowing up this singularity would yield a non-flat morphism. Instead, if we apply this base change procedure we get a curve of singular points in  $X_{i+1}$ .
- (2) Even when we start with a minimal local equation, we might obtain a non-minimal equation, i.e., it might happen that  $X_i$  has, in one of its charts, a local equation of the form  $y^2 = x^3 + u^4 f_1 x + u^6 f_2$ , where  $f_1, f_2 \in \mathbf{C}[z_0, z_1]$  and  $u \in \mathbf{C}[z_0, z_1] \setminus \mathbf{C}$  is irreducible. In this chart the elliptic fibration is given by  $(x, y, z_0, z_1) \mapsto (z_0, z_1)$ , which can be interpreted as projection onto the plane  $x = y = 0$ . Note that after applying the first operation sufficiently many times, we can assume that  $x = y = u = 0$  is a smooth irreducible curve. We need to get rid of the factor  $u^4$  and  $u^6$  in the equation, which can be done as follows:
    - (a) Blow up  $C_i : x = y = u = 0$ , yielding a threefold  $X_{i+1}$  with local equation  $y^2 = ux^3 + u^3 f_1 x + u^4 f_2$  in one of the charts. An easy calculation shows that in the other two “new” charts we have that  $X_{i+1}$  is smooth.
    - (b) Blow up  $C_{i+1} : x = y = u = 0$ , yielding a (non-normal) threefold  $X_{i+2}$  with local equation  $y^2 = u^2 x^3 + u^2 f_1 x + u^2 f_2$  in one of the charts.
    - (c) Blow up the surface  $R_{i+2} : u = y = 0$ , yielding a threefold  $X_{i+3}$  with local equation  $y^2 = x^3 + f_1 x + f_2$  in one of the charts.
    - (d) If we patch all the local charts together, we see that the fiber over a point in  $\{u = 0\}$  is a reducible curve, consisting of two rational curves and one elliptic curve. Actually  $\pi_{i+3}^{-1}(\{u = 0\})$  consists of three irreducible components, two of them are ruled



surfaces over  $C : \{u = 0\}$ , the third is an elliptic surface. We can contract the two ruled surfaces, obtaining  $X_{i+5}$ .

An easy calculation in local coordinates shows that both  $X_{i+3} \rightarrow X_{i+4}$  and  $X_{i+4} \rightarrow X_{i+5}$  are blow-ups with center a smooth curve contained in the smooth locus.

The base surface remains unchanged, i.e.,  $S_i = S_{i+1} = \cdots = S_{i+5}$ . The geometric construction is summarized in the following table:

Threefold	Singular locus	Important divisor
$X_i$	$C_i$ (curve)	$F_i = \pi_i^{-1}(\{u = 0\})$
$X_{i+1} = \text{Bl}_{C_i}(X_i)$	$C_{i+1}$ (curve)	$E_{i+1}/C = \mathbf{P}^1 - \text{bdle.}$
$X_{i+2} = \text{Bl}_{C_{i+1}}(X_{i+1})$	$R_{i+2} = E_{i+2}$ (surface)	$E_{i+2}/C = \mathbf{P}^1 - \text{bdle.}$
$X_{i+3} = \text{Bl}_{R_{i+2}}(X_{i+2})$	$\emptyset$	$E_{i+3} = \text{elliptic surface}$ double cover of $E_{i+2}$
$X_{i+4} = \text{Con}_{E_{i+1}}(X_{i+3})$		
$X_{i+5} = \text{Con}_{F_i}(X_{i+4})$		

When we contract  $E_{i+1}, F_i$  we mean that we contract the strict transform of  $E_{i+1}, F_i$ .

- (3) To resolve singularities:  $X_{i+1}$  is obtained by blowing up a curve  $C$  inside the singular locus of  $X_i$  such that  $C_{\text{red}}$  is smooth. Set  $S_{i+1} = S_i$  and  $\pi_{i+1}$  to be the composition  $X_{i+1} \rightarrow X_i \xrightarrow{\pi_i} S_i$ .

Note that by using the defining equation one can show that at a general point of  $C_{\text{red}}$  one has a transversal  $ADE$  surface singularity.

These three steps should be applied in the following order:

- (1) Apply step 1, to obtain a fibration with nice properties: i.e., repeat step 1 until  $\Delta_{i,\text{red}} \subset S_i$  has at most nodes as singularities and the  $j$ -function  $j : S_i \dashrightarrow \mathbf{P}^1$  is a morphism.  
At this stage we obtain a Weierstrass fibration i.e., there exists a line bundle  $\mathcal{L}_i$  on  $S_i$  and sections  $A \in H^0(S_i, \mathcal{L}_i^{\otimes 4}), B \in H^0(S_i, \mathcal{L}_i^{\otimes 6})$  such that  $X_i = \{Y^2Z = X^3 + AXZ^2 + BZ^3\} \subset \mathbf{P}(\mathcal{O} \oplus \mathcal{L}_i^{-2} \oplus \mathcal{L}_i^{-3})$ . We can consider  $A = 0$  and  $B = 0$  as curves inside  $S_i$ . Repeat step 1 until the reduced curves underlying  $A = 0$  and  $B = 0$  have at most ordinary double points as singularities.
- (2) Apply step 2, until there is no curve  $C \subset S_i$  such that  $A$  vanishes along  $C$  with order at least 4, and  $B$  vanishes along  $C$  with order at least 6.
- (3) Apply step 3, until  $X_i$  has only isolated singularities or is smooth. If  $X_i$  is smooth then stop.
- (4) Apply step 1 for each of the isolated singularities of  $X_i$ . The outcome of this is a threefold whose singular locus consist of finitely many smooth irreducible curves which are all disjoint.
- (5) If necessary apply step 2.
- (6) Go to point (3).

From this description it is not at all clear why this procedure should terminate. For this fact we refer to [19].

**Remark 3.1.** Miranda uses a slightly different order and he uses a fourth type of modification, namely the contraction of  $\mathbf{P}^1 \times \mathbf{P}^1$  to a  $\mathbf{P}^1$ . We indicate now why this does not influence the termination of this procedure.

The extra modification is applied if  $X_i$  has an isolated  $A_1$  singularity at  $p \in X_i$ . We can then first blow up  $X_i$  in  $p$ . The exceptional divisor  $E$  is isomorphic to  $\mathbf{P}^1 \times \mathbf{P}^1$ . The morphism  $\pi_{i+1} : X_{i+1} \rightarrow S_{i+1} = S_i$  has a fiber with a two-dimensional component, contradicting flatness. This can be resolved by contracting  $E$  to  $\mathbf{P}^1$ , a so-called “small resolution”. The problem is that the space  $X_{i+2}$  obtained in this way is a priori only an algebraic space, rather than an algebraic variety. To determine whether  $X_{i+2}$  is actually an algebraic variety one needs to consider the global geometry of  $X_{i+2}$ .

To avoid this problem we choose a different procedure: namely we blow up  $S_i$  in  $\pi_i(p)$  and then base change. The threefold  $X_{i+1}$  now has a curve  $C$  of singularities. Then we blow up  $C$  and obtain a threefold  $X_{i+2}$ . A direct calculation in local coordinates shows that  $X_{i+2}$  is smooth in a neighborhood of the exceptional divisor of  $X_{i+2} \rightarrow X_{i+1}$ . We give a sketch of this calculation: in local coordinates  $(X_i, p)$  is given by  $t_1^2 + t_2^2 + t_3^2 + t_4^2 = 0$ . If we use the base change procedure, we obtain a curve  $C \subset X_{i+1}$  of singularities. A straightforward calculation shows that at a general point of  $C$  we have a local equation of the form  $s_1^2 + s_2^2 + s_3^2 = 0$ , i.e., we have a transversal  $A_1$  surface singularity, except for two points on  $C$  where we have a local equation of the form  $s_1^2 + s_2^2 + s_4 s_3^2 = 0$  (a so-called pinch point). Here  $C$  is given by the equation  $s_1 = s_2 = s_3 = 0$ .

Following the above algorithm, we now need to blow up  $C$ . A calculation in local coordinates shows that the threefold  $X_{i+2}$  obtained in this way is smooth in a neighborhood of the exceptional divisor.

In order to show that our procedure terminates, note that one could follow Miranda’s algorithm until one has only isolated  $A_1$ -singularities left. It is clear that the above procedure then resolves all the remaining singularities.

#### 4. COMPARING MORDELL-WEIL RANKS

Starting with an elliptic threefold  $\pi : X \rightarrow S$  we found a hypersurface  $Y \subset \mathbf{P}(2n, 3n, 1, 1, 1)$ . Applying Miranda’s construction to  $Y$  gives us an elliptic threefold  $\pi' : X' \rightarrow S'$ . We now want to express  $\text{rank MW}(\pi) = \text{rank MW}(\pi')$  in terms of invariants of  $Y$ . For this we use the following result:

**Theorem 4.1.** *Let  $V$  and  $\tilde{V}$  be complex varieties. Let  $\varphi : \tilde{V} \rightarrow V$  be a proper birational morphism. Let  $\mathcal{Z} \subset V$  be a closed subvariety such that  $\varphi$  restricted to  $\tilde{V} \setminus \pi^{-1}(\mathcal{Z})$  is injective. Set  $E := \pi^{-1}(\mathcal{Z})$ . Then there is an exact sequence of Mixed Hodge structures*

$$\dots \rightarrow H^{i-1}(E, \mathbf{Q}) \rightarrow H^i(V, \mathbf{Q}) \rightarrow H^i(\tilde{V}, \mathbf{Q}) \oplus H^i(\mathcal{Z}, \mathbf{Q}) \rightarrow H^i(E, \mathbf{Q}) \rightarrow \dots$$

*Proof.* See [21, Corollary 5.37].  $\square$

**Lemma 4.2.** *Let  $V$  be a threefold,  $C \subset V$  be a smooth curve contained in the smooth locus of  $V$ . Let  $\tilde{V}$  be the blow-up of  $V$  along  $C$ , let  $E$  be the exceptional divisor and  $\iota : E \rightarrow \tilde{V}$  be the inclusion. Then*

$$\iota^* : H^3(\tilde{V}, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q})$$

*is surjective.*

*Proof.* Let  $\psi : V_1 \rightarrow V$  be a resolution of singularities of  $V$  and let  $E_1$  be the exceptional divisor of  $\psi$ . Since  $C$  is contained in the smooth locus we have that  $\psi^{-1}(C)$  is isomorphic to  $C$ . Let  $\psi_1 : \tilde{V}_1 \rightarrow V_1$  be the blow-up of  $V_1$  along  $\psi^{-1}(C)$ . Equivalently,  $\tilde{V}_1 = \tilde{V} \times_V V_1$ .

The exceptional divisor of  $\psi_1$  is isomorphic to  $E$  and the exceptional divisor of  $\tilde{V}_1 \rightarrow V$  is isomorphic to the disjoint union of  $E$  and  $E_1$ . Denote  $\Sigma = V_{\text{sing}}$ .

From Theorem 4.1 we get the following exact sequence

$$\cdots \rightarrow H^3(\tilde{V}_1, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q}) \rightarrow H^4(V_1, \mathbf{Q}) \rightarrow \cdots$$

Since  $V_1$  and  $E$  are smooth we have that  $H^3(E, \mathbf{Q})$  has a pure weight 3 Hodge structure and  $H^4(V_1, \mathbf{Q})$  has a pure weight 4 Hodge structure. Hence the map  $H^3(E, \mathbf{Q}) \rightarrow H^4(V_1, \mathbf{Q})$  is the zero map and  $H^3(\tilde{V}_1, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q})$  is surjective. Consider now the exact sequence of Theorem 4.1 for  $\psi_1 \circ \psi$ :

$$\cdots \rightarrow H^3(\tilde{V}_1, \mathbf{Q}) \oplus H^3(\Sigma, \mathbf{Q}) \rightarrow H^3(E_1, \mathbf{Q}) \oplus H^3(E, \mathbf{Q}) \rightarrow H^4(V, \mathbf{Q}) \rightarrow \cdots$$

Since  $H^3(\tilde{V}_1, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q})$  is surjective we obtain that  $H^3(E, \mathbf{Q}) \rightarrow H^4(V, \mathbf{Q})$  is the zero map.

Consider now the exact sequence of Theorem 4.1 for  $\tilde{V} \rightarrow V$ :

$$\cdots \rightarrow H^3(\tilde{V}, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q}) \rightarrow H^4(V, \mathbf{Q}) \rightarrow \cdots$$

Since  $H^3(E, \mathbf{Q}) \rightarrow H^4(V, \mathbf{Q})$  is the zero map we obtain that  $H^3(\tilde{V}, \mathbf{Q}) \rightarrow H^3(E, \mathbf{Q})$  is surjective.  $\square$

**Theorem 4.3.** *Let  $Y \subset \mathbf{P}^3$  be a minimal Weierstrass fibration and let  $\pi : X \rightarrow S$  be an elliptic threefold, birational to  $Y$ . Assume that  $H^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure. Then*

$$\text{rank MW}(\pi) = \text{rank} (H^{2,2}(H^4(Y, \mathbf{C})) \cap H^4(Y, \mathbf{Z})) - 1$$

*and  $H^5(Y, \mathbf{Q}) \cong H^5(X, \mathbf{Q})$ .*

*Proof.* Since both  $\text{rank MW}(\pi)$  and  $H^5(X, \mathbf{Q})$  are birational invariants of smooth fibred threefolds, it suffices to prove this statement for the elliptic threefold  $\pi' : X' \rightarrow S'$  obtained from Miranda's procedure. Then by the Shioda-Tate-Wazir formula and Lefschetz (1,1) one has

$$\begin{aligned} \text{rank MW}(\pi) &= \rho(X') - \rho(S') - f - 1 \\ &= \text{rank } H^2(X', \mathbf{Z}) \cap H^{1,1}(X', \mathbf{C}) - \rho(S') - f - 1 \\ &= \text{rank } H^4(X', \mathbf{Z}) \cap H^{2,2}(X', \mathbf{C}) - \rho(S') - f - 1 \end{aligned}$$

where  $f$  is the number of independent fibral divisors, not intersecting the image of the zero section.

Let  $\pi_i : X_i \rightarrow S_i$  be the associated sequence of modifications. Let  $f_i$  denote the number of independent fibral divisors of  $\pi_i$ , not intersecting the zero-section. We will show by induction that for each  $i$  we have that  $H^4(X_i, \mathbf{Q})$  has a pure weight 4 Hodge structure and that

$$(3) \quad \text{rank} (H^{2,2}(H^4(X_i, \mathbf{C})) \cap H^4(X_i, \mathbf{Z})) - \rho(S_i) - f_i - 1$$

is independent of  $i$ .

This suffices for the first statement: for the elliptic threefold in the final step of Miranda's construction we have that (3) equals  $\text{rank MW}(\pi)$  by the Shioda-Tate-Wazir formula.

Now consider (3) for  $i = 0$ . From  $S_0 = \mathbf{P}^2$  we get  $\rho_0(S_0) = 1$ . Since all fibers of  $\pi_0$  are irreducible, we get  $f_0 = 0$ . Finally, Theorem 4.1 applied to  $X_0 \rightarrow Y$  yields an exact sequence of  $\mathbf{Q}$ -MHS

$$H^3(E, \mathbf{Q}) \rightarrow H^4(Y, \mathbf{Q}) \rightarrow H^4(X, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q}) \rightarrow H^5(X, \mathbf{Q}).$$

Since  $E \cong \mathbf{P}^2$  we get  $H^3(E, \mathbf{Q}) = 0$  and  $H^4(E, \mathbf{Q}) = \mathbf{Q}(-2)$ . Also the map  $H^4(X, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$  is non-zero, hence we get

$$0 \rightarrow H^4(Y, \mathbf{Q}) \rightarrow H^4(X_0, \mathbf{Q}) \rightarrow \mathbf{Q}(-2) \rightarrow 0.$$

In particular,  $H^4(X_0, \mathbf{Q})$  has a pure weight 4 Hodge structure and

$$\begin{aligned} & \text{rank} (H^{2,2}(H^4(X_0, \mathbf{C})) \cap H^4(X_0, \mathbf{Z})) - \rho(S_0) - f_0 - 1 \\ &= \text{rank} (H^{2,2}(H^4(X_0, \mathbf{C})) \cap H^4(X_0, \mathbf{Z})) - 2 \\ &= \text{rank} (H^{2,2}(H^4(Y, \mathbf{C})) \cap H^4(Y, \mathbf{Z})) - 1. \end{aligned}$$

To prove that (3) is actually independent of  $i$ , we consider each of the three types of modifications mentioned in Miranda's construction separately. In each case we apply Theorem 4.1 several times without mentioning it explicitly:

- (1) Consider the first type of modification, i.e. we blow up a point  $p \in \Delta \subset S_i$  and then base change. For the proper modification  $X_{i+1} \rightarrow X_i$  we have that  $\mathcal{Z} = C \subset X_i$  is a curve of arithmetic genus 1, i.e.,  $C$  is either a union of  $k$  rational curves, a cuspidal rational curve or a nodal rational curve. In the last two cases we set  $k = 1$ . Using the universal property of the fiber product we obtain that the exceptional divisor  $E \subset X_{i+1}$  is isomorphic to a product  $C \times \mathbf{P}^1$ . Using our induction hypothesis on  $H^4(X_i, \mathbf{Q})$  (i.e., that it is of pure weight 4) and that  $H^3(E, \mathbf{Q})$  has no classes of weight  $\geq 4$  [21, Theorem 5.39], the exact sequence of Theorem 4.1 yields the following exact sequence

$$0 \rightarrow H^4(X_i, \mathbf{Q}) \rightarrow H^4(X_{i+1}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q}) = \mathbf{Q}(-2)^k.$$

Each of the  $k$  irreducible components of  $C \times \mathbf{P}^1$  yields a class  $\xi_j$  in  $H^4(X_{i+1}, \mathbf{Q})$ . I.e., we have

$$\text{span}\{\xi_1, \dots, \xi_k\} \subset H^4(X_{i+1}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$$

Clearly  $\dim H^4(E, \mathbf{Q}) = k$  and the  $\xi_j$  map to a basis of  $H^4(E, \mathbf{Q})$ . In particular, the  $\xi_j$  are independent in  $H^4(X_{i+1}, \mathbf{Q})$  and the map  $H^4(X_{i+1}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$  is surjective. The conclusion is that

$$\begin{aligned} & \text{rank}(H^{2,2}(H^4(X_{i+1}, \mathbf{C})) \cap H^4(X_{i+1}, \mathbf{Z})) = \\ & = k + \text{rank}(H^{2,2}(H^4(X_i, \mathbf{C})) \cap H^4(X_i, \mathbf{Z})), \end{aligned}$$

$f_{i+1} = f_i + k - 1$  and  $\rho(S_{i+1}) = \rho(S_i) + 1$ , and hence the quantity (3) is unchanged.

- (2) The second modification consists of two blow-ups of a curve, the blow-up of a rational surface and two blow-down morphisms. We consider first the blow-up of a curve in  $X_i$ , and the blow-up of the curve in  $X_{i+1}$ . A reasoning very similar to the previous case yields that  $H^4(X_{i+1}, \mathbf{Q})$  and  $H^4(X_{i+2}, \mathbf{Q})$  have a pure weight 4 Hodge structure, that classes of type  $(2, 2)$  are added to  $H^4(X_{i+1}, \mathbf{Z})$  and  $H^4(X_{i+2}, \mathbf{Z})$  and that  $f_{i+2} = f_{i+1} + 1 = f_i + 2$ . I.e., the quantity (3) is unchanged.

Consider now the third step, the blow-up of a rational surface. In this case both  $\mathcal{Z}$  and  $E$  are irreducible surfaces and we have an isomorphism  $H^4(\mathcal{Z}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$ . Since  $H^3(E, \mathbf{Q})$  has Hodge weights at most 3 [21, Theorem 5.39] and  $H^4(X_{i+2}, \mathbf{Q})$  has a pure weight 4 Hodge structure, Theorem 4.1 implies that we have an isomorphism  $H^4(X_{i+2}, \mathbf{Q}) \rightarrow H^4(X_{i+3}, \mathbf{Q})$ . Hence  $H^4(X_{i+1}, \mathbf{Q})$  is of pure weight 4 and all entries in (3) remain unchanged.

The final two steps are the contraction of the two ruled surfaces. I.e.,  $X_{i+3} \rightarrow X_{i+4}$  and  $X_{i+4} \rightarrow X_{i+5}$  are blow-ups of curves. In the previous section it is argued that these curves are smooth and lie in the smooth locus of  $X_{i+4}$  and  $X_{i+5}$ .

Combining Lemma 4.2 with the exact sequence of Theorem 4.1 yields exact sequences

$$0 \rightarrow H^4(X_{i+4}, \mathbf{Q}) \rightarrow H^4(X_{i+3}, \mathbf{Q}) \rightarrow H^4(E_{i+1}, \mathbf{Q}) \rightarrow \dots$$

and

$$0 \rightarrow H^4(X_{i+5}, \mathbf{Q}) \rightarrow H^4(X_{i+4}, \mathbf{Q}) \rightarrow H^4(F_i, \mathbf{Q}) \rightarrow \dots$$

(notation as in the previous section.)

In particular,  $H^4(X_{i+4}, \mathbf{Q})$  and  $H^4(X_{i+5}, \mathbf{Q})$  have pure weight 4 Hodge structures. As above, one can show that the class of  $E_{i+1}$  (resp.  $F_i$ ) in  $H^4(X_{i+3}, \mathbf{Q})$  (resp.  $H^4(X_{i+4}, \mathbf{Q})$ ) is mapped to a nonzero element in  $H^4(E_{i+1}, \mathbf{Q})$  (resp.  $H^4(F_i, \mathbf{Q})$ ). Hence these maps are surjective, i.e.,  $H^4(X_{i+5}, \mathbf{Z})$  has rank 1 smaller than  $H^4(X_{i+4}, \mathbf{Z})$ , and the difference is a class of type  $(2, 2)$ . Similarly,

$H^4(X_{i+4}, \mathbf{Z})$  has rank 1 smaller than  $H^4(X_{i+3}, \mathbf{Z})$ , and the difference is a class of type  $(2, 2)$ . Moreover,  $f_{i+3} = f_{i+4} + 1 = f_{i+5} + 2$ , hence the quantity (3) is unchanged.

- (3) The third modification is to blow up a curve  $C$  inside  $X_{i,\text{sing}}$  such that  $C_{\text{red}}$  is smooth. The exceptional divisor of such a blow up is not necessarily irreducible, say it has  $k$  irreducible components, hence  $H^4(E, \mathbf{Q}) = \mathbf{Q}(-2)^k$ . Each component of  $E$  yields a class  $\xi_j$  in  $H^4(X_{i+1}, \mathbf{Q})$  and the same argument as above shows that  $H^4(X_{i+1}, \mathbf{Q})$  has pure weight 4 and that the classes  $\xi_j$  are independent. Hence  $f_{i+1} = f_i + k$  and  $\text{rank}(H^{2,2}(H^4(X_i, \mathbf{C})) \cap H^4(X_i, \mathbf{Z}))$  increases by  $k$ . Since  $S_{i+1} = S_i$  we have proved that (3) remains unchanged.

To prove that  $H^5(Y, \mathbf{Q}) \cong H^5(X, \mathbf{Q})$ , note that in all three cases the map  $H^4(X_i, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$  is surjective. Since  $h^5(\mathcal{Z}, \mathbf{Q}) = h^5(E, \mathbf{Q}) = 0$  it follows from Theorem 4.1 that  $H^5(X_i, \mathbf{Q}) \cong H^5(X_{i+1}, \mathbf{Q})$  for all  $i$ .  $\square$

**Corollary 4.4.** *Let  $\pi : X \rightarrow S$  be an elliptic threefold associated with a hypersurface*

$$y^2 = x^3 + Px + Q \text{ or } y^2 = x^3 + R$$

with  $P \in \mathbf{C}[z_0, z_1, z_2]_{4n}$  and  $Q \in \mathbf{C}[z_0, z_1, z_2]_{6n}$ , such that

- (1) the curve  $\Delta : 4P^3 + 27Q^2 = 0$  is reduced,  $\Delta$  has only double points as singularities, and  $Q$  vanishes at each of these double points or
- (2)  $P$  is identical zero and  $Q = 0$  defines a smooth curve of degree  $6n$  in  $\mathbf{P}^2$ .

Then  $\text{rank MW}(\pi) = 0$ .

*Proof.* Using Lefschetz hyperplane Theorem [9, Theorem B22] we obtain that  $h^2(Y) = 1$ . An easy calculation shows that our assumptions on  $P$  and  $Q$  are equivalent to  $Y$  being quasismooth. Then [9, Corollary B19] states that  $H^i(Y, \mathbf{Q})$  satisfies Poincaré duality, hence

$$h^4(Y) = h^2(Y) = 1$$

and  $\text{rank MW}(\pi) = 0$ .  $\square$

## Part 2. Cohomology of hypersurfaces in $\mathbf{P}$

### 5. COHOMOLOGY OF HYPERSURFACES IN $\mathbf{P}$ : GENERAL RESULTS

In this section let  $Y$  be an irreducible and reduced hypersurface of degree  $d$  in some weighted projective space  $\mathbf{P}$  of dimension  $n + 1$  defined by the polynomial  $g$ . Let  $\Sigma \subset \mathbf{P}$  denote the locus where all the partials of  $g$  vanish. We assume that  $\Sigma$  does not intersect  $\mathbf{P}_{\text{sing}}$ , i.e.,  $Y$  intersects the singular locus of  $\mathbf{P}$  transversally. As usual we set  $\dim \emptyset = -1$ .

For an arbitrary hypersurface  $Y$  the following form of Lefschetz' hyperplane theorem holds:

**Proposition 5.1** ([9, Corollary B22]). *We have the following isomorphisms for the cohomology of  $Y$ :*

- (1)  $H^i(Y, \mathbf{Q}) \cong H^i(\mathbf{P}, \mathbf{Q})$  for  $i \leq n - 1$ .
- (2)  $H^i(Y, \mathbf{Q}) \cong H^i(\mathbf{P}, \mathbf{Q})$  for  $n + 2 + \dim \Sigma \leq i \leq 2n$ .

In all our applications we have  $\dim \Sigma \leq 1$ . Our main interest lies in the case where  $\Sigma \neq \emptyset$ , but we start by discussing what happens in the case  $\Sigma = \emptyset$ , i.e., we assume for the moment that  $Y$  is quasismooth.

We can calculate the cohomology for such  $Y$  as follows: from Proposition 5.1 it follows that  $H^i(Y, \mathbf{Q}) \cong H^i(\mathbf{P}, \mathbf{Q})$  for  $i \neq n, 2n + 2$ . Since  $\dim Y = n$  we have that  $H^{2n+2}(Y, \mathbf{Q}) = 0$ . Hence it remains to calculate  $H^n(Y, \mathbf{Q})$ . The Poincaré residue map

$$H^{n+1}(\mathbf{P} \setminus Y, \mathbf{C})(1) \rightarrow H^n(Y, \mathbf{C})_{\text{prim}}$$

is an isomorphism (see e.g. [27, Section 6.1.1].) The left hand side can be calculated using ideas of Griffiths [12], extended to weighted projective spaces by Steenbrink [24]:

Let  $U := \mathbf{P} \setminus Y$ . Since  $U$  is affine we have that

$$H^k(U, \mathbf{C}) = H^0(U, \Omega_U^k) / dH^0(U, \Omega_U^{k-1}).$$

Note that

$$H^0(U, \Omega_U^k) \cong \cup_{i \geq 0} H^0(\mathbf{P}, \Omega_{\mathbf{P}}^k(iY)).$$

For  $\omega \in H^0(U, \Omega_U^k)$  define  $\text{ord}_Y(\omega) := \min\{i : \omega \in H^0(\mathbf{P}, \Omega_{\mathbf{P}}^k(iY))\}$ . Let  $P^\bullet$  be the filtration defined by

$$P^s H^0(U, \Omega_U^k) = \{\omega \in H^0(U, \Omega_U^k) : \text{ord}_Y(\omega) \leq k - s + 1\}.$$

Since  $d(P^s H^0(U, \Omega_U^{k-1})) \subset P^s H^0(U, \Omega_U^k)$  this induces a filtration  $P^\bullet$  on  $H^k(U, \mathbf{C})$ , called the polar filtration.

**Theorem 5.2** (Griffiths-Steenbrink [24, Section 4]). *The Hodge Filtration  $F^\bullet$  on  $H^{n+1}(U, \mathbf{C})$  coincides with the filtration  $P^\bullet$ .*

If we drop the assumption that  $Y$  is quasismooth then we get the following weaker

**Theorem 5.3** (Deligne-Dimca [6]). *For any hypersurface  $Y \subset \mathbf{P}$  we have*

$$P^s H^k(U, \mathbf{C}) \supset F^s H^k(U, \mathbf{C}).$$

There exist examples for which both filtrations differ, see [9, Remark 6.1.33], [10].

**Remark 5.4.** Since  $H^{n+1}(U, \mathbf{C}) = F^1 H^{n+1}(U, \mathbf{C})$  it follows from the above theorem that  $H^{n+1}(U, \mathbf{C}) = P^1 H^{n+1}(U, \mathbf{C})$ . This implies that every class of  $H^{n+1}(U, \mathbf{C})$  has pole order at most  $n + 1$ .

Our main interest lies in the case where  $k = n + 1 = \dim U$ . In this case we can make this more explicit. The de Rham complex with filtration  $P^\bullet$  yields a spectral sequence  $E_r^{p,q}$ . Essentially, Griffiths and Steenbrink

show that this spectral sequence degenerates at  $E_1$  in the case that  $Y$  is quasismooth. This yields an isomorphism

$$\mathrm{Gr}_F^p H^{n+1}(U, \mathbf{C}) = \mathrm{Gr}_P^p H^{n+1}(U, \mathbf{C}) = \frac{H^0(\mathbf{P}, \Omega_{\mathbf{P}}^{n+1}((n+2-p)Y))}{H^0(\mathbf{P}, \Omega_{\mathbf{P}}^{n+1}((n+1-p)Y)) + dH^0(\mathbf{P}, \Omega_{\mathbf{P}}^n((n+1-p)Y))}.$$

Recall that  $g$  is a defining polynomial for  $Y$ . Let  $x_i$  denote the coordinates on  $\mathbf{P}$  of weight  $w_i$  and let  $w = \sum w_i$ . Set

$$\Omega := \left( \prod_j x_j \right) \sum (-1)^i w_i \frac{dx_0}{x_0} \wedge \frac{dx_1}{x_1} \wedge \cdots \wedge \frac{\widehat{dx_i}}{x_i} \wedge \cdots \wedge \frac{dx_{n+1}}{x_{n+1}}.$$

Then  $H^0(\mathbf{P}, \Omega^{n+1}(kY))$  is generated (as  $\mathbf{C}$ -vector space) by

$$\omega_f := \frac{f}{g^k} \Omega$$

where  $\deg(f) = kd - w$ . An easy calculation shows that  $\omega_f \in H^0(\mathbf{P}, \Omega^{n+1}(k-1)Y) + dH^0(\mathbf{P}, \Omega^n(kY))$  if and only if  $f$  is in the ideal  $(g_{x_0}, \dots, g_{x_n})$  generated by the partial derivatives of  $g$ . Let  $R(g)$  be the Jacobian ring

$$\mathbf{C}[x_0, \dots, x_{n+1}] / (g_{x_0}, \dots, g_{x_{n+1}}).$$

Combining this observation with Theorem 5.2 yields:

**Proposition 5.5.** *We have natural identifications between  $R(g)_{id-w}$  and*

$$\mathrm{Gr}_P^{n+2-i} H^{n+1}(U, \mathbf{C}) \cong \mathrm{Gr}_F^{n+2-i} H^{n+1}(U, \mathbf{C}) \cong \mathrm{Gr}_F^{n+1-i} H^n(Y, \mathbf{C})_{\mathrm{prim}}.$$

We will now extend these results in certain cases to the non-quasismooth situation: suppose that  $Y \subset \mathbf{P}$  is a hypersurface in a weighted projective space  $\mathbf{P}$  of dimension  $n+1$  defined by a weighted homogeneous equation  $g=0$ . Write  $Y^* = Y \setminus \Sigma$  and let  $\mathbf{P}^* = \mathbf{P} \setminus \Sigma$  where, as before,  $\Sigma$  is defined by the vanishing of the partials of  $g$ . Note that, since we have assumed that  $Y$  intersects  $\mathbf{P}_{\mathrm{sing}}$  transversally, we have  $\Sigma \cap \mathbf{P}_{\mathrm{sing}} = \emptyset$ . In particular,  $U = \mathbf{P}^* \setminus Y^* = \mathbf{P} \setminus Y$ .

In generalizing the approach described above we encounter the following problems:

- (1) The Poincaré residue map is not an isomorphism.
- (2) We can still define the filtered de Rham complex and construct the spectral sequence  $E_r^{p,q}$ . This sequence, however, does not degenerate at  $E_1$  but at a higher step.
- (3) The polar filtration and the Hodge filtration differ.

The following approach is similar to [8], where Dimca studied hypersurfaces with isolated singularities. The exact sequence of the pair  $(Y, Y^*)$  reads as

$$(4) \quad \cdots \rightarrow H_{\Sigma}^k(Y, \mathbf{Q}) \rightarrow H^k(Y, \mathbf{Q}) \rightarrow H^k(Y^*, \mathbf{Q}) \rightarrow H_{\Sigma}^{k+1}(Y, \mathbf{Q}) \rightarrow \cdots$$

This is a sequence of Mixed Hodge structures by [21, Proposition 5.47].



From now we on assume that  $n = 2$  and  $\dim \Sigma \leq 0$  or  $n = 3$  and  $\dim \Sigma \leq 1$ . This will be the case in all our applications. By Proposition 5.1 the only interesting cohomology groups are  $H^i(Y, \mathbf{Q})$  for  $i = n, n + 1, n + 2$ . We will study these groups by using (4). In this section we focus on the calculation of  $H^i(Y^*, \mathbf{Q})$ . The calculation of  $H_{\Sigma}^i(Y, \mathbf{Q})$  will then be done in the following sections.

We start by relating the cohomology of  $Y^*$  to the cohomology of  $U$  and  $\Sigma$ . For this we need the notion of primitive cohomology. If  $V \subset \mathbf{P}$  is a quasi-projective subvariety of codimension  $c$ , we define  $H^i(V, \mathbf{Q})_{\text{prim}}$  to be the kernel of the natural map  $H^i(V, \mathbf{Q}) \rightarrow H^{i+2c}(\mathbf{P}, \mathbf{Q})(c)$ , given by repeated cupping with the hyperplane class.

In the quasismooth case we can relate  $H^i(Y^*, \mathbf{C})_{\text{prim}}$  to  $H^{i+1}(U, \mathbf{C})$  by using the Poincaré residue map. In the non-quasismooth case this is more subtle.

**Proposition 5.6.** *We have the following:*

- (1) *Suppose  $n = 2$  and  $\dim \Sigma = 0$ , then*

$$H^2(Y^*, \mathbf{Q})_{\text{prim}} \cong H^3(U, \mathbf{Q})(1); \quad H^3(Y^*, \mathbf{Q}) \cong \mathbf{Q}(-2)^{\#\Sigma-1}$$

$$\text{and } H^4(Y^*, \mathbf{Q}) = 0.$$

- (2) *Suppose  $n = 3$  and  $\dim \Sigma = 0$ , then*

$$H^3(Y^*, \mathbf{Q}) \cong H^4(U, \mathbf{Q})(1); \quad H^4(Y^*, \mathbf{Q}) \cong \mathbf{Q}(-2)$$

$$\text{and } H^5(Y^*, \mathbf{Q}) \cong \mathbf{Q}(-3)^{\#\Sigma-1}.$$

- (3) *Suppose  $n = 3$  and  $\dim \Sigma = 1$ , then*

$$0 \rightarrow H^4(U, \mathbf{Q})(1) \rightarrow H^3(Y^*, \mathbf{Q}) \rightarrow H^2(\Sigma, \mathbf{Q})_{\text{prim}}^*(-3) \rightarrow 0$$

*is exact. Moreover*

$$H^4(Y^*, \mathbf{Q}) \cong H^1(\Sigma, \mathbf{Q})^*(-3) \text{ and } H^5(Y^*, \mathbf{Q}) \cong H^0(\Sigma, \mathbf{Q})_{\text{prim}}^*(-3).$$

Before proving Proposition 5.6 we shall prove some auxiliary results.

**Proposition 5.7.** *We have a Thom-type isomorphism*

$$(5) \quad T : H^k(Y^*, \mathbf{Q}) \rightarrow H^{k+2}(\mathbf{P}^*, U, \mathbf{Q})(1).$$

*Proof.* The map  $T$  is induced by the Thom isomorphism on the (punctured) affine cones over  $Y^*$ ,  $\mathbf{P}^*$  and  $U$ . For the precise construction we refer to [8, Section 2].  $\square$

Consider now the long exact sequence of MHS of the pair  $(\mathbf{P}^*, U)$ :

$$(6) \quad \dots \rightarrow H^k(\mathbf{P}^*, U, \mathbf{Q}) \xrightarrow{j^*} H^k(\mathbf{P}^*, \mathbf{Q}) \xrightarrow{i^*} H^k(U, \mathbf{Q}) \rightarrow H^{k+1}(\mathbf{P}^*, U, \mathbf{Q}) \rightarrow \dots$$

**Lemma 5.8.** *We have that*

$$H^k(\mathbf{P}^*, U, \mathbf{Q}) \cong H^k(\mathbf{P}^*, \mathbf{Q})$$

for  $k > n + 2$  and that

$$H^k(Y^*, \mathbf{Q}) \cong H^{k+2}(\mathbf{P}^*, \mathbf{Q})(1)$$

for  $k > n$ .

*Proof.* Since  $U$  is affine we have  $H^i(U, \mathbf{Q}) = 0$  for  $i \geq n + 2$ , hence the first isomorphism follows from sequence (6). The second isomorphism follows from the Thom isomorphism combined with the first isomorphism.  $\square$

Using that  $\mathbf{P}^*$  is a  $V$ -manifold we can relate  $H^k(\mathbf{P}^*)$  to the cohomology of  $\Sigma$ :

**Lemma 5.9.** *If  $\dim \Sigma = 0$  then*

$$H^i(\mathbf{P}^*, \mathbf{Q}) \cong \begin{cases} 0 & \text{for } i = 2n + 2 \\ H^0(\Sigma, \mathbf{Q})_{\text{prim}}^*(-n - 1) & \text{for } i = 2n + 1 \\ H^i(\mathbf{P}, \mathbf{Q}) & \text{for } i < 2n + 1 \end{cases}$$

as MHS and if  $\dim \Sigma = 1$  then

$$H^i(\mathbf{P}^*, \mathbf{Q}) = \begin{cases} 0 & \text{for } i = 2n + 2 \\ H^0(\Sigma, \mathbf{Q})_{\text{prim}}^*(-n - 1) & \text{for } i = 2n + 1 \\ H^1(\Sigma, \mathbf{Q})^*(-n - 1) & \text{for } i = 2n \\ H^2(\Sigma, \mathbf{Q})_{\text{prim}}^*(-n - 1) & \text{for } i = 2n - 1 \\ H^i(\mathbf{P}, \mathbf{Q}) & \text{for } i < 2n - 1 \end{cases}$$

as MHS.

*Proof.* We have the Gysin exact sequence

$$0 \rightarrow H_c^0(\mathbf{P}^*, \mathbf{Q}) \rightarrow H_c^0(\mathbf{P}, \mathbf{Q}) \rightarrow H_c^0(\Sigma, \mathbf{Q}) \rightarrow H_c^1(\mathbf{P}^*, \mathbf{Q}) \rightarrow \dots$$

Note that  $\mathbf{P}$  and  $\Sigma$  are compact. If  $\dim \Sigma = 0$  then it follows immediately from the Gysin sequence that

$$H_c^i(\mathbf{P}^*, \mathbf{Q}) = \begin{cases} 0 & i = 0 \\ H^0(\Sigma, \mathbf{Q})_{\text{prim}} & i = 1 \\ H^i(\mathbf{P}, \mathbf{Q}) & i > 1. \end{cases}$$

If  $\dim \Sigma = 1$  it follows that

$$H_c^i(\mathbf{P}^*, \mathbf{Q}) = \begin{cases} 0 & i = 0 \\ H^0(\Sigma, \mathbf{Q})_{\text{prim}} & i = 1 \\ H^1(\Sigma, \mathbf{Q}) & i = 2 \\ H^2(\Sigma, \mathbf{Q})_{\text{prim}} & i = 3 \\ H^i(\mathbf{P}, \mathbf{Q}) & i > 3. \end{cases}$$

Since  $\mathbf{P}$  is a  $V$ -manifold, the same holds for  $\mathbf{P}^*$  and we can apply Poincaré duality to obtain the lemma.  $\square$

We are now in a position to prove Proposition 5.6.

*Proof of Proposition 5.6.* Suppose that  $n = 2$  and  $\dim \Sigma = 0$ . Then we have

$$\begin{aligned} H^3(Y^*, \mathbf{Q}) &\cong H^5(\mathbf{P}^*, U, \mathbf{Q})(1) \cong H^5(\mathbf{P}^*, \mathbf{Q})(1) \\ &\cong H^0(\Sigma, \mathbf{Q})_{\text{prim}}(-2)^* \cong \mathbf{Q}(-2)^{\#\Sigma-1}. \end{aligned}$$

The first isomorphism is the Thom-isomorphism (Proposition 5.7), the second isomorphism comes from Lemma 5.8, the third isomorphism comes from Lemma 5.9 and the fourth isomorphism is immediate. Similarly, one has  $H^4(Y^*, \mathbf{Q}) \cong H^6(\mathbf{P}^*, U, \mathbf{Q})(1) = 0$ . To calculate  $H^2(Y^*, \mathbf{Q})$  consider the long exact sequence (6) of the pair  $(\mathbf{P}^*, U)$ :

$$\dots \rightarrow H^3(\mathbf{P}^*, \mathbf{Q}) \rightarrow H^3(U, \mathbf{Q}) \rightarrow H^4(\mathbf{P}^*, U, \mathbf{Q}) \rightarrow H^4(\mathbf{P}^*, \mathbf{Q}) \rightarrow \dots$$

It follows from Lemma 5.9 that  $H^3(\mathbf{P}^*, \mathbf{Q}) \cong H^3(\mathbf{P}, \mathbf{Q}) = 0$ . From the same lemma it follows that  $H^4(\mathbf{P}^*, \mathbf{Q}) \cong H^4(\mathbf{P}, \mathbf{Q})$ . Since  $U$  is affine and of dimension 3, we have that  $H^4(U, \mathbf{Q}) = 0$ . Finally, the Thom-isomorphism yields  $H^4(\mathbf{P}^*, U, \mathbf{Q}) \cong H^2(Y^*, \mathbf{Q})(-1)$ . Combining everything gives

$$0 \rightarrow H^3(U, \mathbf{Q}) \rightarrow H^2(Y^*, \mathbf{Q})(-1) \rightarrow H^4(\mathbf{P}, \mathbf{Q}) \rightarrow 0$$

whence  $H^3(U, \mathbf{Q})(1) \cong H^2(Y^*, \mathbf{Q})_{\text{prim}}$ .

In the case  $n = 3$  we can proceed similarly: combining the Thom isomorphism with Lemmas 5.8 and 5.9 yields the following isomorphisms:

$$H^5(Y^*, \mathbf{Q}) \cong H^7(\mathbf{P}^*, \mathbf{Q})(1) \cong H^0(\Sigma, \mathbf{Q})_{\text{prim}}^*(-3).$$

If  $\dim \Sigma = 0$  then

$$H^4(Y^*, \mathbf{Q}) \cong H^6(\mathbf{P}^*, \mathbf{Q})(1) \cong H^6(\mathbf{P}, \mathbf{Q})(1) = \mathbf{Q}(-2)$$

and if  $\dim \Sigma = 1$  then

$$H^4(Y^*, \mathbf{Q}) \cong H^6(\mathbf{P}^*, \mathbf{Q})(1) \cong H^1(\Sigma, \mathbf{Q})^*(-3).$$

The calculation of  $H^3(Y^*, \mathbf{Q})$  is slightly more complicated. We have an exact sequence

$$H^4(\mathbf{P}^*, \mathbf{Q}) \rightarrow H^4(U, \mathbf{Q}) \rightarrow H^5(\mathbf{P}^*, U, \mathbf{Q}) \rightarrow H^5(\mathbf{P}^*, \mathbf{Q}) \rightarrow H^5(U, \mathbf{Q}) = 0.$$

From Lemma 5.9 it follows that  $H^5(\mathbf{P}^*, \mathbf{Q}) \cong H^2(\Sigma, \mathbf{Q})_{\text{prim}}^*(-3)$ . From the same lemma it follows that  $H^4(\mathbf{P}^*, \mathbf{Q}) \cong H^4(\mathbf{P}, \mathbf{Q})$ . Since  $H^4(\mathbf{P}, \mathbf{Q}) \rightarrow H^4(U, \mathbf{Q})$  is the zero-map, we obtain, after applying the Thom-isomorphism, the following short exact sequence

$$0 \rightarrow H^4(U, \mathbf{Q})(1) \rightarrow H^3(Y^*, \mathbf{Q}) \rightarrow H^2(\Sigma, \mathbf{Q})_{\text{prim}}^*(-3) \rightarrow 0.$$

To finish the proof, note that if  $\dim \Sigma = 0$  then  $H^0(\Sigma, \mathbf{Q})_{\text{prim}} = \mathbf{Q}^{\#\Sigma-1}$  and  $H^2(\Sigma, \mathbf{Q})_{\text{prim}} = 0$ . In particular,  $H^4(U, \mathbf{Q})(1) \cong H^3(Y^*, \mathbf{Q})$  in this case.  $\square$

**Remark 5.10.** Later on we will show that the contribution of  $H^\bullet(\Sigma, \mathbf{Q})$  to  $H^\bullet(Y^*, \mathbf{Q})$  is irrelevant for the calculation of  $H^4(Y, \mathbf{Q})$ .

**Remark 5.11.** To finish our analysis of  $H^n(Y^*, \mathbf{Q})$  we give a set of generators for  $H^{n+1}(U, \mathbf{C})$ . Recall that we have the pole order filtration on  $\Omega_U^\bullet$ , inducing a filtration on  $H^i(U, \mathbf{C})$ .

As explained above, the pole filtration on the de Rham complex yields a spectral sequence. Remark 5.4 implies that  $P^1 H^{n+1}(U, \mathbf{C}) = H^{n+1}(U, \mathbf{C})$ . From this it follows easily that

$$\bigoplus_{p=0}^{n+1} E_1^{n+1-p,p} \rightarrow H^{n+1}(U, \mathbf{C})$$

is surjective. An easy calculation (the same as in the quasismooth case) shows that

$$\bigoplus_{p=0}^{n+1} E_1^{n+1-p,p} = \bigoplus_{k=1}^{n+1} R(g)_{dk-w}.$$

The right hand side is finite dimensional and generates  $H^{n+1}(U, \mathbf{C})$ . Moreover, the direct sum decomposition is the same as the direct sum decomposition with respect to the graded pieces of the polar filtration.

A summary of our results is the following:

**Proposition 5.12.** *Suppose  $n = 3$ . Let  $C$  be the cokernel of  $H^4(U, \mathbf{Q}) \rightarrow H_\Sigma^4(Y, \mathbf{Q})$ . Suppose  $C$  is a pure weight 4 Hodge structure, with trivial  $(4, 0)$  and  $(0, 4)$ -part. Then the cokernel of*

$$\psi_1 : R_{d-w}(g) \rightarrow H_\Sigma^4(Y, \mathbf{C})$$

*contains  $F^3 C_{\mathbf{C}}$ . The cokernel of*

$$\psi_2 : R_{2d-w}(g) \oplus R_{d-w}(g) \rightarrow F^2 H_\Sigma^4(Y, \mathbf{C})$$

*contains  $F^2 C_{\mathbf{C}}$ . Moreover, if  $\psi_1$  is surjective, then  $C$  has a pure  $(2, 2)$ -Hodge structure with*

$$\dim C = \dim \operatorname{coker}(R_{2d-w}(g) \rightarrow H_\Sigma^4(Y^*, \mathbf{C})).$$

*Proof.* Since  $P^4 H^4(U, \mathbf{C})$  consists of forms of pole order 0, we have that  $P^4 H^4(U, \mathbf{C})$  and  $H^0(\mathbf{P}, \Omega_{\mathbf{P}}^4)$  are isomorphic. Since this group vanishes we have that  $P^4 H^4(U, \mathbf{C}) = 0$ . Since  $F^3 H^4(U, \mathbf{C}) \subset P^3 H^4(U, \mathbf{C})$  (by Theorem 5.3) it follows that

$$P^3 H^4(U, \mathbf{C}) = \operatorname{Gr}_P^3 H^4(U, \mathbf{C}) \rightarrow \operatorname{Gr}_F^3 H^4(U, \mathbf{C})$$

is surjective. Since  $R_{d-w}(g)$  surjects onto  $P^3 H^4(U, \mathbf{C})$  we obtain that  $h^{3,1}(C)$  equals the dimension of the cokernel of

$$R_{d-w}(g) \rightarrow \operatorname{Gr}_F^3 H_\Sigma^4(Y, \mathbf{C}).$$

Similarly one obtains that  $h^{3,1}(C) + h^{2,2}(C)$  equals the dimension of the cokernel

$$R_{d-w}(g) \oplus R_{2d-w}(g) \rightarrow F^2 H_\Sigma^4(Y, \mathbf{C}).$$

Finally, if  $\psi_1$  is surjective then  $0 = h^{3,1}(C) = h^{1,3}(C)$ . Hence  $C$  is of pure type  $(2, 2)$  and

$$\begin{aligned} \dim C_{\mathbf{C}} = \dim \operatorname{Gr}_F^2 C_{\mathbf{C}} &= \dim \operatorname{coker}(R_{2d-w}(g) \rightarrow \operatorname{Gr}_F^2 H_\Sigma^4(Y, \mathbf{C})) \\ &= \dim \operatorname{coker}(R_{2d-w}(g) \rightarrow H_\Sigma^4(Y, \mathbf{C})). \end{aligned}$$

□

**Remark 5.13.** The above proof could be slightly simplified if  $P^\bullet = F^\bullet$ . However, there exist degree 5 surfaces in  $\mathbf{P}^4$  with one singularity, namely an ordinary double point, such that  $F^\bullet \neq P^\bullet$ . See [10].

## 6. COHOMOLOGY OF A SURFACE WITH ISOLATED ADE-SINGULARITIES

Let  $S \subset \mathbf{P}$  be a surface in a 3-dimensional weighted projective space given by an equation  $g = 0$ , such that the set  $\Sigma$ , the locus where all partials of  $g$  vanish, is finite and all singularities of  $S$  at points of  $\Sigma$  are of type  $A_k$ ,  $D_m$  or  $E_n$ . As usual we set  $S^* = S \setminus \Sigma$ . We want to calculate  $H^2(S, \mathbf{Q})_{\text{prim}}$  and for this reason compare it to a quasismooth surface  $\tilde{S}$  of the same degree as  $S$ .

**Lemma 6.1.** *Let  $\mu$  be the total Milnor number of  $S$ . We have that  $H^i(S, \mathbf{Q})$  has a pure Hodge structure of weight  $i$  and*

$$h^{p,q}(S) = \begin{cases} h^{p,q}(\tilde{S}) & \text{if } (p,q) \neq (1,1) \\ h^{1,1}(\tilde{S}) - \mu & \text{if } (p,q) = (1,1). \end{cases}$$

*Proof.* We first remark that the statement follows from the Lefschetz Hyperplane Theorem 5.1 for all  $p + q \neq 2, 3$ .

Consider the long exact sequence of the pair  $(S, S^*)$

$$\begin{aligned} \dots &\rightarrow H_{\Sigma}^3(S, \mathbf{Q}) \rightarrow H^3(S, \mathbf{Q}) \rightarrow H^3(S^*, \mathbf{Q}) \\ &\rightarrow H_{\Sigma}^4(S, \mathbf{Q}) \rightarrow H^4(S, \mathbf{Q}) \rightarrow H^4(S^*, \mathbf{Q}) \rightarrow \dots \end{aligned}$$

from e.g. [8, Example 1.9] it follows that  $H_{\Sigma}^3(S, \mathbf{Q}) = 0$ . For each  $p \in \Sigma$  we have that  $(S, p)$  is given locally by a weighted homogeneous equation. In particular, we can find a small neighborhood  $X$  of  $p$  such that  $X$  is a cone over a projective curve, and  $X^* = X \setminus \{p\}$  is a  $\mathbf{C}^*$ -bundle over this curve. It follows directly from the Leray-spectral sequence that  $H^3(X^*, \mathbf{Q}) = H^1(\mathbf{C}^*, \mathbf{Q}) \otimes H^2(X, \mathbf{Q}) = H^2(X^*, \mathbf{Q})(-1)$ . From the long exact sequence of the pair  $(X, X^*)$  and the fact that  $X$  is contractible it follows that  $H_p^4(S, \mathbf{Q}) = H_p^4(X, \mathbf{Q}) = H^3(X^*, \mathbf{Q}) = \mathbf{Q}(-2)$ .

Using Proposition 5.6 the above exact sequence simplifies to

$$0 \rightarrow H^3(S, \mathbf{Q}) \rightarrow \mathbf{Q}(-2)^{\#\Sigma-1} \rightarrow \mathbf{Q}(-2)^{\#\Sigma} \rightarrow \mathbf{Q}(-2) \rightarrow 0.$$

In particular,  $H^3(S, \mathbf{Q}) = 0$ . The same argument with  $\Sigma = \emptyset$  also shows  $H^3(\tilde{S}, \mathbf{Q}) = 0$ . It remains to show that  $H^2(S, \mathbf{Q})$  has a pure Hodge structure and to determine the Hodge numbers of  $H^2(S, \mathbf{Q})$ .

Let  $S'$  be a minimal resolution of the singularities of  $S$  that are contained in  $\Sigma$ . The exceptional locus  $E$  consist of a union of smooth rational curves. Each connected component has an intersection matrix of type  $ADE$ . We want to apply Theorem 4.1 with  $\mathcal{Z} = \Sigma$  and exceptional locus  $E$ . Since the singularities are rational we have  $h^1(E, \mathbf{Q}) = 0$ . In particular,  $H^2(S, \mathbf{Q}) \hookrightarrow H^2(S', \mathbf{Q})$ . Since  $H^2(S', \mathbf{Q})$  has pure weight 2 Hodge structure the same holds for  $H^2(S, \mathbf{Q})$ .

Again using that  $S$  has rational singularities it follows that  $h^{2,0}(S) = h^{2,0}(\tilde{S})$  and  $h^{0,2}(S) = h^{0,2}(\tilde{S})$  (see e.g., [25, Introduction]). Since  $e(S) = e(\tilde{S}) - \mu$  (e.g., by [9, Corollary 5.4.4]), the lemma follows.  $\square$

As argued in Section 5, we can express the Hodge numbers of  $\tilde{S}$  in terms of the Jacobian ideal of  $\tilde{g}$ , where  $\tilde{g}$  is an equation for  $\tilde{S}$ . Let  $d = \deg(\tilde{g})$  and  $w = \sum w_i$ . Let  $R(\tilde{g})$  be the Jacobian ring of  $\tilde{g}$ . Then  $h^{2,0}(\tilde{S}) = h^{0,2}(\tilde{S}) = \dim R(\tilde{g})_{d-w} = \dim R(\tilde{g})_{3d-w}$  and  $h^{1,1}(\tilde{S}) = \dim R(\tilde{g})_{2d-w}$ .

We want to calculate  $H^2(S, \mathbf{C})$  together with the Hodge filtration. From Proposition 5.6 it follows that  $H^3(U, \mathbf{C})(1) \cong H^2(S, \mathbf{C})_{\text{prim}}$ . In [25] it is proven that the Hodge and polar filtration coincide in this case.

Let  $g$  be an equation for  $S$  and let  $R(g)$  be Jacobian Ring of  $S$ . Then we have surjections

$$R(g)_{d-w} \rightarrow H^{2,0}(S, \mathbf{C}), \quad R(g)_{3d-w} \rightarrow H^{0,2}(S, \mathbf{C})$$

and

$$R(g)_{2d-w} \rightarrow H^{1,1}(S, \mathbf{C})_{\text{prim}}$$

(cf. the results in Section 5, in particular, Remark 5.11).

In [25] this statement is made more precise. For each singularity  $(S, p)$  let  $g_p$  be a local equation and let  $R(g_p)$  be the Jacobian ring of  $g_p$ . Note that  $R(g_p)$  is naturally isomorphic to the Milnor algebra of  $(S, p)$ . Let  $\pi_p : R(g) \rightarrow R(g_p)$  be the natural projection. Then

**Theorem 6.2** (Steenbrink [25]). *The Poincaré residue map induces the following isomorphisms*

$$H^{2,0}(S, \mathbf{C}) \cong R_{d-w}(g)$$

and

$$H^{1,1}(S, \mathbf{C})_{\text{prim}} \cong \{f \in R_{2d-w}(g) : f \in \ker(\pi_p) \ \forall p \in \Sigma\}.$$

*Proof.* This is a reformulation of the main result of [25]. We show how this statement can be obtained from the result in [25]. In the introduction of [25] it is argued that  $H^{2,0}(S) \cong R_{d-w}(g)$ . In Section 5 of [25] it is moreover shown that  $\dim R_{2d-w}(g) = \dim R_{2d-w}(\tilde{g}) (= h^{1,1}(\tilde{S})_{\text{prim}})$ . As argued in Section 5 the map

$$R_{2d-w}(g) \rightarrow H^{1,1}(S)_{\text{prim}}$$

is surjective. Using these two facts and  $h^{1,1}(S) = h^{1,1}(\tilde{S}) - \mu$  we get that the kernel of

$$R_{2d-w}(g) \rightarrow H^{1,1}(S, \mathbf{C})_{\text{prim}}$$

has dimension  $\mu$ .

We will now construct a section to this map. Let  $j : S \setminus \Sigma \rightarrow S$  be the inclusion. Let  $\tilde{\Omega}_S^p = j_* \Omega_{S \setminus \Sigma}^p$  and let  $\mathcal{T}$  be the cokernel of  $d : \Omega^1(S) \rightarrow \Omega^2(2S)$ . Then  $\mathcal{T}$  is a skyscraper sheaf supported at  $\Sigma$ . At each  $p \in \Sigma$  we have that the stalk  $\mathcal{T}_p$  is isomorphic to the Tjurina algebra of  $(S, p)$ , which is by definition isomorphic to  $R(g_p)$ . Since  $S$  has only *ADE* singularities we have for each

$p \in \Sigma$  that the Milnor algebra and the Tjurina algebra of  $(S, p)$  coincide, in particular,  $h^0(S, \mathcal{T}_p) = \mu$ .

Consider the exact sequence (from [25, Corollary 17])

$$0 \rightarrow H^1(S, \tilde{\Omega}_S^1)_{\text{prim}} \rightarrow R_{2d-w}(g) \rightarrow H^0(S, \mathcal{T}) \rightarrow H^2(S, \tilde{\Omega}_S^1) \rightarrow 0.$$

As argued in [25] we have that  $H^2(S, \tilde{\Omega}_S^1) \subset H^3(S, \mathbf{C}) = 0$ .

Hence this exact sequence reduces to

$$0 \rightarrow H^1(S, \tilde{\Omega}_S^1)_{\text{prim}} \rightarrow R_{2d-w}(g) \rightarrow \bigoplus_{p \in \Sigma} R(g_p) \rightarrow 0.$$

In [25] it is then argued that  $H^1(S, \tilde{\Omega}_S^1) = H^{1,1}(S, \mathbf{C})$ . Hence the above map provides the desired section. (The fact that  $H^{1,1}(S) \rightarrow R_{2d-w}(g) \rightarrow H^{1,1}(S)$  is actually the identity follows from the construction of the first map in [25].)  $\square$

**Remark 6.3.** Steenbrink's point of view is different from the approach taken by Dimca. In the previous section we constructed a surjection from  $R_{2d-w}(g)$  onto  $H^{1,1}(S, \mathbf{C})$ , whereas Steenbrink constructs an injection from  $H^{1,1}(S, \mathbf{C})$  to  $R_{2d-w}(g)$ , which is a section of the former map.

To unite the two approaches we can do the following. Let  $\mu$  be the total Milnor number of  $S$ . Fix  $\mu$  polynomials  $h_1, \dots, h_\mu$  of degree  $2d - w$  such that their image spans  $\bigoplus_{p \in \Sigma} R(g_p)$ . Set  $\tilde{R}(g) := R(g)/(h_1, \dots, h_\mu)$ . Then  $H^{2,0}(Y, \mathbf{C}) \cong \tilde{R}_{d-w}(g)$  and  $H^{1,1}(Y, \mathbf{C}) \cong \tilde{R}_{2d-w}(g)$ .

**Remark 6.4.** Suppose  $p \in \Sigma$  has a non-trivial stabilizer group, i.e.,  $\tilde{p} := (x_0, x_1, x_2, x_3)$  is a lift of  $p$  to  $\mathbf{C}^4$  and the stabilizer subgroup  $G_p \subset \mathbf{C}^*$  of  $\tilde{p}$  is non-trivial.

Without loss of generality we can assume that  $\tilde{p} = (1, \alpha, 0, 0)$ . Suppose  $f(x_0, x_1, x_2, x_3)$  is a defining polynomial for  $S$ . Let  $g(x_1, x_2, x_3) = f(1, x_1 + \alpha, x_2, x_3)$ . If  $G_p$  consists of one element then the Milnor algebra of  $(S, p)$  equals  $\mathbf{C}\{x_1, x_2, x_3\}/(g_{x_1}, g_{x_2}, g_{x_3})$ . However, if  $\#G_p > 1$  then the Milnor algebra of  $(S, p)$  equals

$$(\mathbf{C}\{x_1, x_2, x_3\}/(g_{x_1}, g_{x_2}, g_{x_3}))^{G_p}.$$

## 7. CALCULATION OF $H_\Sigma^4(Y, \mathbf{C})$ , LOCAL INFORMATION

In this and the following section we assume that  $Y$  is an admissible hypersurface in a weighted projective space  $\mathbf{P}(w_0, \dots, w_4)$  (cf. the Introduction) given by  $f = 0$ . Let  $\Sigma \subset \mathbf{P}(w_0, \dots, w_4)$  be the locus where all partials of  $f$  vanish.

Since  $Y$  is admissible we can find for every  $p \in \Sigma$  a weighted homogeneous polynomial  $g_p$  (with weights  $w_{1,p}, w_{2,p}, w_{3,p}, w_{4,p}$  and degree  $d_p$ ) such that

- (1)  $(Y, p)$  is contact equivalent to  $(\{g_p = 0\}, 0) \subset (\mathbf{C}^4, 0)$ ;
- (2) the surface  $S := \{g_p = 0\} \subset \mathbf{P}(w_{1,p}, w_{2,p}, w_{3,p}, w_{4,p})$  has finitely many *ADE*-singularities.

**Remark 7.1.** The conditions on the singularities of  $Y$  are very mild. For example in the case of elliptic threefolds we considered hypersurfaces of the form  $y^2 = x^3 + Px + Q$ , with  $(P, Q) \in \mathbf{C}[z_0, z_1, z_2]_{4n} \times \mathbf{C}[z_0, z_1, z_2]_{6n}$ . For fixed  $n$  the locus where the conditions on the singularities are not satisfied has a large codimension. E.g., in the isolated singularity case the most frequently occurring singularities such as  $ADE$  threefold singularities are all weighted homogeneous singularities.

**Remark 7.2.** Recall that two singularities  $(\{f_1 = 0\}, 0)$  and  $(\{f_2 = 0\}, 0)$  are contact equivalent if and only if

$$\mathbf{C}\{x_1, \dots, x_n\}/(f_1) \cong \mathbf{C}\{x_1, \dots, x_n\}/(f_2).$$

If  $f_1$  (and  $f_2$ ) are isolated singularities then  $f_1$  and  $f_2$  are contact equivalent if and only if their Milnor algebras are isomorphic. If we assume that  $f_1$  is weighted homogeneous then, by the Euler formula, we get  $f_1 + J(f_1) = J(f_1)$ , hence the Tjurina algebra and the Milnor algebra of  $f_1$  are isomorphic.

It turns out that if  $f_2$  is isolated and contact equivalent to a weighted homogeneous singularity  $f_1$  then it is also right equivalent to  $f_1$ , and hence the Tjurina algebra of  $f_2$  is isomorphic to the Tjurina algebra of  $f_1$ . This implies that in the isolated case we could reword our condition on  $(Y, p)$  by saying that the Milnor number and the Tjurina number of  $(Y, p)$  coincide. (Details of this reasoning can be found in [7, Theorem 7.42] and [14, Section 9.1].)

For non-isolated singularities we are not aware of such a simple reformulation.

**Remark 7.3.** Note that the surface  $S$  satisfies the hypothesis of the previous section. We define  $S^* = S \setminus \Sigma_p$  where  $\Sigma_p$  is the locus where all the partials of  $g_p$  vanish. Let  $X \subset \mathbf{C}^4$  be the zero set of  $g_p$ , i.e. the affine cone over the surface  $S$ .

**Lemma 7.4.**

$$H_p^i(Y, \mathbf{Q}) \cong H_0^i(X, \mathbf{Q}).$$

*Proof.* This follows directly from the definition of contact equivalence.  $\square$

Let  $\Sigma'$  be the singular locus of  $X$  and set  $X^* = X \setminus \{0\}$ . In this section we relate  $H_0^\bullet(X, \mathbf{Q})$  to  $H^\bullet(S, \mathbf{Q})$ .

**Lemma 7.5.** *For  $i > 1$  we have isomorphisms*

$$H_0^i(X, \mathbf{Q}) \cong H^{i-1}(X^*, \mathbf{Q}).$$

*Moreover,*

$$H_0^i(X, \mathbf{Q}) = 0$$

*for  $i = 0, 1$ .*



*Proof.* Since  $X$  is the affine cone over  $S \subset \mathbf{P}(w_{1,p}, w_{2,p}, w_{3,p}, w_{4,p})$  it is contractible and hence  $H^i(X, \mathbf{Q}) = 0$  for  $i > 0$ . The long exact sequence of the pair  $(X, X^*)$  therefore yields an isomorphism

$$H_0^i(X, \mathbf{Q}) \cong H^{i-1}(X^*, \mathbf{Q})$$

for  $i > 1$ . Clearly, the natural map

$$H^0(X, \mathbf{Q}) \rightarrow H^0(X^*, \mathbf{Q})$$

is an isomorphism. Since  $H^1(X, \mathbf{Q}) = 0$  the same sequence gives that both  $H_0^0(X, \mathbf{Q})$  and  $H_0^1(X, \mathbf{Q})$  vanish.  $\square$

The cone  $X^*$  is a  $\mathbf{C}^*$ -fibration over  $S$ . Recall from Section 6 that  $H^i(S, \mathbf{Q})$  vanishes unless  $i = 0, 2, 4$  and that  $H^0(S, \mathbf{Q}) = \mathbf{Q}$ ,  $H^4(S) = \mathbf{Q}(-2)$ . The Hodge structure on  $H^2(S, \mathbf{Q})$  can be calculated by Theorem 6.2. This enables us to calculate the Hodge structure of  $H_0^\bullet(X, \mathbf{Q})$ .

**Proposition 7.6.** *We have that*

$$H_0^i(X, \mathbf{Q}) = \begin{cases} H^2(S, \mathbf{Q})_{\text{prim}} & \text{for } i = 3 \\ H^2(S, \mathbf{Q})_{\text{prim}}(-1) & \text{for } i = 4 \\ \mathbf{Q}(-3) & \text{for } i = 6 \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Consider the  $E_2$  part of the Leray spectral sequence for  $X^* \rightarrow S$ :

$$\begin{array}{c|c|c|c|c|c} H^1(\mathbf{C}^*, \mathbf{Q}) & \mathbf{Q}(-1) & 0 & H^2(S, \mathbf{Q})(-1) & 0 & \mathbf{Q}(-3) \\ H^0(\mathbf{C}^*, \mathbf{Q}) & \mathbf{Q} & 0 & H^2(S, \mathbf{Q}) & 0 & \mathbf{Q}(-2) \\ \hline & H^0(S, \mathbf{Q}) & H^1(S, \mathbf{Q}) & H^2(S, \mathbf{Q}) & H^3(S, \mathbf{Q}) & H^4(S, \mathbf{Q}) \end{array}$$

The only possible non-zero differentials are the maps  $\mathbf{Q}(-1) \rightarrow H^2(S, \mathbf{Q})$  and  $H^2(S, \mathbf{Q})(-1) \rightarrow \mathbf{Q}(-2)$ . We will show below that these maps are actually injective, respectively surjective. Assuming this for the moment it follows that the  $E_3$ -terms equals

$$\begin{array}{c|c|c|c|c|c} H^1(\mathbf{C}^*) & 0 & 0 & H^2(S, \mathbf{Q})_{\text{prim}}(-1) & 0 & \mathbf{Q}(-3) \\ H^0(\mathbf{C}^*) & \mathbf{Q} & 0 & H^2(S, \mathbf{Q})_{\text{prim}} & 0 & 0 \\ \hline & H^0(S) & H^1(S) & H^2(S) & H^3(S) & H^4(S) \end{array}$$

and the spectral sequence degenerates at  $E_3$ . Hence  $H^i(X^*, \mathbf{Q}) \cong \bigoplus_j E_3^{i-j, j}$  and thus

$$H^i(X^*, \mathbf{Q}) = \begin{cases} \mathbf{Q} & \text{for } i = 0 \\ 0 & \text{for } i = 1 \\ H^2(S, \mathbf{Q})_{\text{prim}} & \text{for } i = 2 \\ H^2(S, \mathbf{Q})_{\text{prim}}(-1) & \text{for } i = 3 \\ 0 & \text{for } i = 4 \\ \mathbf{Q}(-3) & \text{for } i = 5. \end{cases}$$

By Lemma 7.5 we have  $H_0^i(X, \mathbf{Q}) = H^{i-1}(X^*, \mathbf{Q})$  for  $i > 1$  and thus we obtain the proposition.

It remains to show that the differential  $\mathbf{Q}(-1) \rightarrow H^2(S, \mathbf{Q})$  is injective and that the differential  $H^2(S, \mathbf{Q})(-1) \rightarrow \mathbf{Q}(-2)$  is surjective.

Let  $\tilde{X}$  be the blow-up of  $X$  at 0. Then  $\tilde{X}$  is a  $\mathbf{C}$ -fibration over  $S$ . Note that  $S$  admits Poincaré duality (a consequence of Lemma 6.1). Using that  $H_c^i(\mathbf{C}^*, \mathbf{Z}) = 0$  for  $i \neq 1$  it follows that the Leray-Spectral sequence (for cohomology with compact support) associated with  $\tilde{X} \rightarrow S$  degenerates at  $E_2$  and we get that  $H_c^{6-i}(\tilde{X}, \mathbf{Q}) \cong H^i(S, \mathbf{Q})(-1)$ . Similarly, we get that  $H^i(\tilde{X}, \mathbf{Q}) = H^i(S, \mathbf{Q})$ .

Let  $E \subset \tilde{X}$  be the exceptional divisor. Then  $E \cong S$  and  $\tilde{X} \setminus E = X^*$ . Consider the following part of the Gysin exact sequence:

$$\begin{aligned} H^1(E, \mathbf{Q}) = 0 &\rightarrow H_c^2(X^*, \mathbf{Q}) \rightarrow H_c^2(\tilde{X}, \mathbf{Q}) \rightarrow H^2(E, \mathbf{Q}) \\ &\rightarrow H_c^3(X^*, \mathbf{Q}) \rightarrow H_c^3(\tilde{X}, \mathbf{Q}) = 0. \end{aligned}$$

The map  $H_c^2(\tilde{X}, \mathbf{Q}) \rightarrow H^2(E, \mathbf{Q})$  is induced by a map from integral cohomology. Let  $h \in H^2(E, \mathbf{Z})$  be the hyperplane class. From the Leray spectral sequence it follows that  $H_c^2(\tilde{X}, \mathbf{Z}) = H^0(E, \mathbf{Z}) \otimes H_c^2(\mathbf{C}, \mathbf{Z})$ . Let  $h_1 \in H_c^2(\tilde{X}, \mathbf{Z})$  be  $[E]$  times a generator of  $H_c^2(\mathbf{C}, \mathbf{Z})$ . Let  $\iota : E \rightarrow \tilde{X}$  be the inclusion. Then it is easy to see that  $\iota^*(h_1) = -h$ . Hence the map  $\iota^*$  is not constant and since  $h_c^2(\tilde{X}, \mathbf{Q}) = h^4(S, \mathbf{Q}) = 1$  it follows that  $\iota^*$  is injective. From the Gysin exact sequence it follows that  $H_c^2(X^*, \mathbf{Q}) = 0$  and that  $h_c^3(X^*) = h^2(E) - 1$ . Assume for the moment that  $X^*$  is smooth, i.e.,  $E$  is quasismooth. Using Poincaré duality we get that  $h^3(X^*) = h^2(E) - 1$ . Since  $H^3(X^*, \mathbf{Q})$  equals

$$\ker(H^2(E, \mathbf{Q})(-1) \rightarrow H^4(\tilde{X}, \mathbf{Q})) = \ker(H^2(S, \mathbf{Q})(-1) \rightarrow \mathbf{Q}(-2))$$

it follows that the differential  $H^2(S, \mathbf{Q})(-1) \rightarrow \mathbf{Q}(-2)$  is surjective.

For the other differential we can proceed similarly:

$$\begin{aligned} H^3(E, \mathbf{Q}) = 0 &\rightarrow H_c^4(X^*, \mathbf{Q}) \rightarrow H_c^4(\tilde{X}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q}) \\ &\rightarrow H_c^5(X^*, \mathbf{Q}) \rightarrow H_c^5(\tilde{X}, \mathbf{Q}) = 0. \end{aligned}$$

The map  $H_c^4(\tilde{X}, \mathbf{Q}) \rightarrow H^4(E, \mathbf{Q})$  is again induced by a map on integral cohomology, and the class of  $h$  times a generator of  $H_c^2(\mathbf{C}, \mathbf{Z})$  is mapped to a nonzero multiple of a generator of  $H^4(E, \mathbf{Z})$ . This implies that  $h_c^4(X^*) = h_c^4(\tilde{X}) - h^4(E) = h^2(E) - 1$ . Using Poincaré duality we get that the differential  $\mathbf{Q}(-1) \rightarrow H^2(S, \mathbf{Q})$  is injective, provided that  $S$  is quasismooth.

If  $S$  is not quasismooth then we can find a family of quasismooth surfaces  $S_\lambda$  degenerating to  $S$  for  $\lambda = 0$ . Now for  $\lambda \neq 0$ , we have that the differential

$$\mathbf{Q}(-1) \rightarrow H^2(S_\lambda, \mathbf{Q})$$

is induced by a non-zero map  $H^2(\tilde{X}_\lambda, \mathbf{Z}) \rightarrow H^2(E_\lambda, \mathbf{Z})$ . Let  $h_\lambda$  be a family of generators of  $H^2(E_\lambda, \mathbf{Z})$  and let  $h'_\lambda$  be a family of generators of  $H^2(\tilde{X}_\lambda, \mathbf{Z})$ . Then  $h'_\lambda$  is mapped to  $-h_\lambda$ . By taking the limit  $\lambda \rightarrow 0$ , we see that  $h'_0$  is mapped to  $-h_0$ , hence  $H^2(\tilde{X}_0, \mathbf{Q}) \rightarrow H^2(E, \mathbf{Q})$  is injective, and from this it follows that  $\mathbf{Q}(-1) \rightarrow H^2(S, \mathbf{Q})$  is injective. A similar argument shows that also  $H^2(S, \mathbf{Q}) \rightarrow \mathbf{Q}(-2)$  is surjective. This finishes the proof.  $\square$

The following proposition will be useful for our purposes

**Proposition 7.7.** *Let  $Y, p, d_p$  be as above. Let  $w_p = w_{1,p} + w_{2,p} + w_{3,p} + w_{4,p}$ . Then  $H_p^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure without  $(0, 4)$  and  $(4, 0)$ -component. We have*

$$F^3 H_p^4(Y, \mathbf{C}) \cong \tilde{R}_{d_p - w_p}(g_p)$$

and

$$F^2 H_p^4(Y, \mathbf{C}) / F^3 H_p^4(Y, \mathbf{C}) \cong \tilde{R}_{2d_p - w_p}(g_p)$$

where  $\tilde{R}$  is obtained from  $R$  as explained in Remark 6.3.

*Proof.* This is a combination of Lemma 7.4, Proposition 7.6 and Theorem 6.2.  $\square$

**Proposition 7.8.** *Let  $(Y, p)$  be a transversal ADE surface singularity. Then  $H_p^6(Y, \mathbf{Q}) = \mathbf{Q}(-3)$  and  $H_p^i(Y) = 0$  for  $i \neq 6$ .*

*Proof.* For simplicity we assume that  $(Y, p)$  is an  $A_k$ -singularity. Using Lemma 7.4 it suffices to prove the statement for  $(Y, p)$  given by

$$x_1^2 + x_2^2 + x_3^{k+1} = 0.$$

This equation defines a surface  $S \subset \mathbf{P}(k+1, k+1, 2, 1)$  of degree  $2k+2$  with an isolated  $A_k$  singularity in  $(0 : 0 : 0 : 1)$ .

From Lemma 7.4 and Proposition 7.6 it follows that it suffices to prove that  $H^2(S, \mathbf{Q})_{\text{prim}} = 0$ . We start by calculating  $h^2(\tilde{S})$  for a quasismooth surface  $\tilde{S}$  of the same degree, e.g.,  $\tilde{g} := x_1^2 + x_2^2 + x_3^{k+1} + x_4^{2k+2} = 0$ . This can be done by calculating the dimension of several graded pieces of the Jacobian ring of  $\tilde{Y}$ . The sum of the weights equals  $2k+5$ , hence we are interested in  $h^{2,0}(\tilde{S}) = \dim R(\tilde{g})_{-3} = 0$ ,  $h^{0,2}(\tilde{S}) = R(\tilde{g})_{4k+1} = 0$  and

$$h^{1,1}(\tilde{S}) = \dim R(\tilde{g})_{2k-1} = \dim \text{span}\{[x_3^i x_4^j] : 2i + j = 2k - 1\} = k.$$

Hence  $h^2(\tilde{S})_{\text{prim}} = k$ . Since  $\mu(Y, p) = k$ , we get  $h^2(S)_{\text{prim}} = h^2(\tilde{S})_{\text{prim}} - \mu(Y, p) = 0$ . This finishes the  $A_k$  case.

For  $D_m, E_n$  singularities one can proceed similarly.  $\square$

## 8. GLUEING LOCAL INFORMATION

Let  $\mathbf{P}$  be a four dimensional weighted projective space and let  $Y \subset \mathbf{P}$  be a hypersurface, given by  $f = 0$ . Let  $\Sigma$  be the locus where all the partials of  $f$  vanish. We assume the usual conditions, i.e.,  $\Sigma \cap \mathbf{P}_{\text{sing}} = \emptyset$ ,  $\dim \Sigma \leq 1$  and that at a general point of any one dimensional component of  $\Sigma$  we have a transversal ADE surface singularity. Finally, let  $\mathcal{P} \subset \Sigma$  be the set of points  $p \in \Sigma$  such that  $(Y, p)$  is not a transversal ADE surface singularity.

We want to use the previous section to relate  $H^4(Y, \mathbf{Q})_{\text{prim}}$  to the cokernel of  $H^4(U, \mathbf{Q})(1) \rightarrow \oplus_{p \in \mathcal{P}} H_p^4(Y, \mathbf{Q})$ . In this section all considerations are topological. For this reason we work with  $\mathbf{Q}$  coefficients and use  $H^i(\cdot)$  as shorthand for  $H^i(\cdot, \mathbf{Q})$ .

For each point  $p \in \mathcal{P}$ , fix a small contractible neighborhood  $U_p \subset \Sigma$ . Let  $\Sigma_1 := \Sigma \setminus \cup_{p \in \mathcal{P}} U_p$  be the complement of the  $U_p$ . Note that  $\Sigma_1$  is a closed Riemann surface with boundary embedded in  $\mathbf{P}$ .

**Lemma 8.1.** *We have that*

$$H_{\Sigma_1}^4(Y) \cong H^2(\Sigma_1)^*(-3), \quad H_{\Sigma_1}^5(Y) \cong H^1(\Sigma_1)^*(-3)$$

and

$$H_{\Sigma_1}^6(Y) \cong H^0(\Sigma_1)^*(-3).$$

*Proof.* Take a finite open covering  $\mathcal{U} := \{V_i\}$  of  $\Sigma_1$  such that each  $V_i$  is homeomorphic to a disc with boundary  $S^1$ , in particular each  $V_i$  is contractible. Let  $D_i = \overline{V_i}$  be the closure in the complex topology. It is easy to show that we can find such a covering with the property that each intersection  $D_{i_1} \cap D_{i_2} \cap \dots \cap D_{i_k}$  is empty or contractible.

We now proceed by induction. If  $\#\mathcal{U} = 1$ , then  $\Sigma_1$  is contractible. Hence  $H^0(\Sigma_1) = \mathbf{Q}$  and all other cohomology groups of  $\Sigma_1$  vanish. In this case we have a deformation retract  $(Y, Y \setminus \Sigma_1)$  to  $(Y', Y' \setminus \{p\})$  where  $(Y', p)$  is a transversal ADE surface singularity. From this it follows that  $H_{\Sigma_1}^i(Y) \cong H_p^i(Y')$ . From Proposition 7.8 it follows that  $H_p^6(Y') = \mathbf{Q}(-3)$  and all other local cohomology groups vanish. Hence the statement is true in this case.

Assume now  $\#\mathcal{U} = k$ , let  $\Sigma_0 = \cup_{1 \leq i \leq k-1} D_i$ . We have two Mayer-Vietoris sequences (one is dual to the usual Mayer-Vietoris sequence, the other is Mayer-Vietoris for cohomology with support), namely

$$\begin{array}{ccccccc} H^i(D_k \cap \Sigma_0)^* & \longrightarrow & H^i(D_k)^* \oplus H^i(\Sigma_0)^* & \longrightarrow & H^i(\Sigma_1)^* & \longrightarrow & H^{i-1}(D_k \cap \Sigma_0)^* \\ \downarrow \sim & & \downarrow \sim & & \downarrow & & \downarrow \sim \\ H_{D_k \cap \Sigma_0}^{6-i}(Y)(3) & \longrightarrow & H_{D_k}^{6-i}(Y)(3) \oplus H_{\Sigma_0}^{6-i}(Y)(3) & \longrightarrow & H_{\Sigma_1}^{6-i}(Y)(3) & \longrightarrow & H_{D_k \cap \Sigma_0}^{6-(i-1)}(Y)(3) \end{array}$$

The first two vertical maps are isomorphisms by the induction hypothesis. From the five-lemma it follows that  $\dim H^i(\Sigma) = \dim H_{\Sigma}^{6-i}(Y)$ , which yields the lemma.  $\square$

**Lemma 8.2.** *We have that*

$$H_{\Sigma}^6(Y) \cong H^0(\Sigma)^*(-3) \quad \text{and} \quad H_{\Sigma}^5(Y) \cong H^1(\Sigma)^*(-3).$$

*Proof.* Let  $D_p = \overline{U_p}$ . Using that  $D_p$  is contractible we have that  $H_{D_p}^i(Y) \cong H_p^i(Y)$ . From Proposition 7.6 it follows that  $H_p^6(Y) = \mathbf{Q}(-3)$  and also that  $H_p^5(Y) = 0$ .

Let  $\Sigma_2 = \cup \overline{U_p}$ . Since  $\overline{U_p}$  is contractible we have that  $H^1(\Sigma_2) = 0$  and  $H_{\Sigma_2}^5(Y) = \oplus H_p^5(Y) = 0$ . In a similar way we get  $H_{\Sigma_2}^6(Y) = \mathbf{Q}(-3)^{\#\mathcal{P}} = H^0(\Sigma_2)^*(-3)$ .

Along  $D := \Sigma_1 \cap \Sigma_2$ , which is union of circles, we have transversal ADE surface singularities. A reasoning as in Lemma 8.1 shows that  $H_D^5(Y) \cong H^1(Y)^*$  and  $H_D^6(Y) \cong H^0(D)^*$ .

As in the previous lemma we can consider the two Mayer-Vietories sequences (the vertical arrows are isomorphisms by either the above discussion or using Lemma 8.1)

$$\begin{array}{ccccccc}
 H^1(D)^* & \longrightarrow & H^1(\Sigma_1)^* \oplus H^1(\Sigma_2)^* & \longrightarrow & H^1(\Sigma)^* & \longrightarrow & \dots \\
 \downarrow \sim & & \downarrow \sim & & \downarrow & & \\
 H_D^5(Y)(3) & \longrightarrow & H_{\Sigma_1}^5(Y)(3) \oplus H_{\Sigma_2}^5(Y)(3) & \longrightarrow & H_{\Sigma}^5(Y)(3) & \longrightarrow & \dots \\
 \\ 
 \dots & \longrightarrow & H^0(D)^* & \longrightarrow & H^0(\Sigma_1)^* \oplus H^0(\Sigma_2)^* & \longrightarrow & H^0(\Sigma)^* \longrightarrow 0 \\
 & & \downarrow \sim & & \downarrow \sim & & \downarrow \\
 \dots & \longrightarrow & H_D^6(Y)(3) & \longrightarrow & H_{\Sigma_1}^6(Y)(3) \oplus H_{\Sigma_2}^6(Y)(3) & \longrightarrow & H_{\Sigma}^6(Y)(3) \longrightarrow 0
 \end{array}$$

An application of the five-lemma yields the proof.  $\square$

**Lemma 8.3.** *Suppose  $\dim \Sigma = 1$ . Then  $H^5(Y) = 0$  and  $H^4(Y^*) \rightarrow H_{\Sigma}^5(Y)$  is an isomorphism.*

*Proof.* Consider the exact sequence of the pair  $(Y, Y^*)$

$$\begin{aligned}
 H^4(Y) & \rightarrow H^4(Y^*) \rightarrow H_{\Sigma}^5(Y) \rightarrow H^5(Y) \\
 & \rightarrow H^5(Y^*) \rightarrow H_{\Sigma}^6(Y) \rightarrow H^6(Y) \rightarrow H^6(Y^*) = 0.
 \end{aligned}$$

Note that it follows from Proposition 5.6 that  $H^5(Y^*) = H^0(\Sigma)_{\text{prim}}^*(-3)$ . Using Lemma 8.2 it follows that  $h^5(Y^*) = h_{\Sigma}^6(Y) - h^6(Y)$ , hence the map  $H^5(Y^*) \rightarrow H_{\Sigma}^6(Y)$  is injective.

From Proposition 5.6 it follows that  $H^4(Y^*)$  is isomorphic to  $H^1(\Sigma)^*(-3)$ . From Lemma 8.2 it follows that  $H_{\Sigma}^5(Y)$  is isomorphic to  $H^1(\Sigma)^*(-3)$ . Hence  $H^4(Y^*)$  and  $H_{\Sigma}^5(Y)$  have the same dimension.

Note that the possible Hodge weights of  $H^4(Y^*) \cong H^1(\Sigma)^*(-3)$  are 5 and 6, where  $H^4(Y)$  has Hodge weights at most 4 [21, Theorem 5.39]. Hence  $H^4(Y) \rightarrow H^4(Y^*)$  is the zero-map,  $H^4(Y^*) \cong H_{\Sigma}^5(Y)$  and  $H^5(Y) = 0$ .  $\square$

**Theorem 8.4.** *We have that*

$$H^4(Y)_{\text{prim}} = \text{coker}(H^4(U)(1) \rightarrow \bigoplus_{p \in \mathcal{P}} H_p^4(Y)).$$

*Proof.* Suppose first that  $\dim \Sigma = 0$ . Then  $\mathcal{P} = \Sigma$ .

Consider the exact sequence

$$H^3(Y^*) \rightarrow H_{\Sigma}^4(Y) \rightarrow H^4(Y) \rightarrow H^4(Y^*).$$

From Proposition 5.6 it follows  $H^4(Y^*)_{\text{prim}} = 0$  and  $H^3(Y^*) \cong H^4(U)(1)$ , hence we have an exact sequence

$$H^4(U)(1) \rightarrow H_{\Sigma}^4(Y) \rightarrow H^4(Y)_{\text{prim}} \rightarrow 0.$$

This proves the case  $\dim \Sigma = 0$ .

Suppose that  $\dim \Sigma = 1$ . Consider the diagram (where both the horizontal and the vertical sequence are exact)

$$\begin{array}{ccccccc}
0 & \longrightarrow & H^4(U)(1) & \longrightarrow & H^3(Y^*) & \longrightarrow & H^2(\Sigma)^*(-3)_{\text{prim}} \longrightarrow 0 \\
& & & & \downarrow & & \\
& & & & H^4_{\Sigma}(Y) & & \\
& & & & \downarrow & & \\
& & & & H^4(Y) & & \\
& & & & \downarrow & & \\
& & & & 0 & & 
\end{array}$$

The horizontal sequence comes from Proposition 5.6, the vertical sequence is part of the long exact sequence of the pair  $(Y, Y^*)$ . From Lemma 8.3 it follows that  $H^4_{\Sigma}(Y) \rightarrow H^4(Y)$  is surjective.

We start by constructing a map  $H^4_{\Sigma}(Y) \rightarrow H^2(\Sigma)^*(-3)$ : let  $\tilde{Y}$  be a resolution of all singularities contained in  $\Sigma$  of  $Y$ . Let  $E$  be the exceptional divisor. Then there is a natural map  $H^2(\Sigma) \rightarrow H^2(E)$ . Since  $\tilde{Y}$  is smooth we have that  $H^i_E(\tilde{Y}) = H^{6-i}(E)^*(-3)$ . The resolution  $(\tilde{Y}, E) \rightarrow (Y, \Sigma)$  induces a natural map  $H^i_{\Sigma}(Y) \rightarrow H^i_E(\tilde{Y})$ . Composing the maps as follows

$$H^4_{\Sigma}(Y) \rightarrow H^4_E(\tilde{Y}) \cong H^2(E)^*(-3) \rightarrow H^2(\Sigma)^*(-3)$$

yields a map  $H^4_{\Sigma}(Y) \rightarrow H^2(\Sigma)^*(-3)$ . It is easy to check that the composition  $H^3(Y^*) \rightarrow H^4_{\Sigma}(Y) \rightarrow H^2(\Sigma)^*(-3)_{\text{prim}}$  is the same map as the map  $H^3(Y^*) \rightarrow H^2(\Sigma)^*(-3)$  in the above diagram.

Let  $K$  be the kernel of the map  $H^4_{\Sigma}(Y)_{\text{prim}} \rightarrow H^2(\Sigma)^*_{\text{prim}}(-3)$ . The above diagram shows that

$$H^4(Y)_{\text{prim}} = \text{coker } H^3(Y^*) \rightarrow H^4_{\Sigma}(Y)_{\text{prim}} = \text{coker } H^4(U)(1) \rightarrow K.$$

The final equality is a consequence of the snake lemma.

Hence it remains to show that

$$K \cong \bigoplus_{p \in \mathcal{P}} H^4_p(Y).$$

Let  $\Sigma_2 := \cup \overline{U_p}$  and  $D = \Sigma_1 \cap \Sigma_2$ . Note that  $D$  is a union of circles. Consider the Mayer-Vietoris sequence

$$\begin{aligned}
H^4_D(Y) &\rightarrow H^4_{\Sigma_1}(Y) \oplus H^4_{\Sigma_2}(Y) \rightarrow \\
&\rightarrow H^4_{\Sigma}(Y) \rightarrow H^5_D(Y) \rightarrow H^5_{\Sigma_1}(Y) \oplus H^5_{\Sigma_2}(Y) \rightarrow H^5_{\Sigma}(Y)
\end{aligned}$$

Note that  $H^5_{\Sigma}(Y) = H^1(\Sigma)^*(-3)$  by Lemma 8.2. Note also that that  $H^5_{\Sigma_2}(Y) = H^1(\Sigma_2)^*(-3)$  by a reasoning similar to the one in the proof of Lemma 8.1. Since  $H^1(\Sigma_2) = 0$  it follows that  $H^5_{\Sigma_2} = 0$ .

Since we have transversal *ADE* singularities along  $D$  and  $\Sigma_1$  this sequence becomes (after tensoring with  $\mathbf{Q}(3)$ )

$$\begin{aligned} 0 = H^2(D)^* &\rightarrow H^2(\Sigma_1)^* \oplus H_{\Sigma_2}^4(Y)(3) \rightarrow \\ &\rightarrow H_{\Sigma}^4(Y)(3) \rightarrow H^1(D)^* \rightarrow H^1(\Sigma_1) \rightarrow H^1(\Sigma)^* \rightarrow \dots \end{aligned}$$

Since  $\Sigma_1$  is a deformation retract of  $\Sigma \setminus \mathcal{P}$  we obtain the following exact sequence: (dualized sequence of the pair  $(\Sigma, \Sigma \setminus \mathcal{P})$ )

$$0 \rightarrow H^2(\Sigma_1)^* \rightarrow H^2(\Sigma)^* \rightarrow \bigoplus_{p \in \mathcal{P}} H_p^2(\Sigma)^* \rightarrow H^1(\Sigma_1)^* \rightarrow H^1(\Sigma)^*$$

This yields a diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^2(\Sigma_1)^* \oplus H_{\Sigma_2}^4(Y)(3) & \xrightarrow{\varphi_1} & H_{\Sigma}^4(Y)(3) & \longrightarrow & H^1(D)^* \longrightarrow H^1(\Sigma_1)^* \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & H^2(\Sigma_1)^* & \xrightarrow{\varphi_2} & H^2(\Sigma)^* & \longrightarrow & \bigoplus_{p \in \mathcal{P}} H_p^2(\Sigma)^* \longrightarrow H^1(\Sigma_1)^* \end{array}$$

Here, the map  $H_{\Sigma_2}^4(Y)(3) \rightarrow H^2(\Sigma)^*$  is the unique map, making this diagram commutative.

Using that  $g_p = 0$  is weighted homogeneous we get that  $(\Sigma, p)$  is locally a set of  $m$  lines through  $p$ . In particular,  $U_p \setminus \{p\}$  can be retracted to  $\overline{U_p} \cap \Sigma_1$ . Taking direct sums over all  $p \in \mathcal{P}$  this shows that  $H^i(\Sigma_2 \setminus \mathcal{P}) \cong H^i(D)$ . Since for each  $p \in \mathcal{P}$  we have that  $U_p$  is contractible we get a natural isomorphism

$$H_{\mathcal{P}}^{i+1}(\Sigma) \cong H^i(\Sigma_2 \setminus \mathcal{P}) \cong H^i(D).$$

Hence the above diagram simplifies to

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^2(\Sigma_1)^* \oplus H_{\Sigma_2}^4(Y) & \xrightarrow{\varphi_1} & H_{\Sigma}^4(Y) & \longrightarrow & \text{coker } \varphi_1 \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \sim \\ 0 & \longrightarrow & H^2(\Sigma_1)^* & \xrightarrow{\varphi_2} & H^2(\Sigma) & \longrightarrow & \text{coker } \varphi_2 \longrightarrow 0 \end{array}$$

(The main point here is that  $\text{coker } \varphi_1 \cong \text{coker } \varphi_2$ .) From this diagram it follows that  $H_{\Sigma_2}^4(Y) = \ker(H_{\Sigma}^4(Y) \rightarrow H^2(\Sigma)^*) = \ker(H_{\Sigma}^4(Y)_{\text{prim}} \rightarrow H^2(\Sigma)_{\text{prim}}^*)$ .

Since the  $D_p := \overline{U_p}$  are contractible, there exists a deformation retract from  $Y \setminus \Sigma_2$  to  $Y \setminus \mathcal{P}$ , hence  $H_{\Sigma_2}^4(Y) \cong H_{\mathcal{P}}^4(Y)$ , which yields the proof.  $\square$

## 9. METHOD FOR CALCULATING $MW(\pi)$

In this section we present a method to calculate the Mordell-Weil rank of a general elliptic threefold.

We start by identifying the set  $\Sigma$  and a finite subset  $\mathcal{P}'$  containing the set  $\mathcal{P}$  (cf. the previous section.)

**Proposition 9.1.** *Suppose we have a threefold  $Y \subset \mathbf{P}(2n, 3n, 1, 1, 1)$  defined by the vanishing of  $g := -y^2 + x^3 + Px + Q$ , where  $P$  and  $Q$  are homogeneous polynomials in  $z_0, z_1, z_2$  of degree  $4n$  and  $6n$ . Suppose  $Y$  is minimal.*

Let  $\Delta$  be the curve defined by  $4P^3 + 27Q^2 = 0$  and  $\Delta_1$  be the underlying reduced curve. Let  $\psi : \mathbf{P}(2n, 3n, 1, 1, 1) \rightarrow \mathbf{P}^2$  be the projection onto the plane  $x = y = 0$ . Take  $\mathcal{P}$  to be the set defined in Section 8. Then  $\psi(\mathcal{P})$  is contained in  $\Delta_{1,\text{sing}} \cup \mathcal{Q}_1 \cup \mathcal{Q}_2$  where

$$\mathcal{Q}_1 := \{q \in \Delta_{1,\text{smooth}} : q \text{ is an isolated zero of } P|_{\Delta_1}\}.$$

and

$$\mathcal{Q}_2 := \left\{ q \in \Delta_{1,\text{smooth}} : \begin{array}{l} P \text{ and } \Delta_1 \text{ have a common component } C \\ \text{containing } q, \text{ord}_C(P) = 2 \text{ and } \text{ord}_q(P) \geq 3. \end{array} \right\}.$$

*Proof.* If all the partials of  $g$  vanish at  $p$  then, in particular,  $\partial g/\partial x$  and  $\partial g/\partial y$  vanish, hence  $p$  is a singular point of  $\psi|_{\bar{Y}^{-1}}\psi(p)$  and  $\psi(\Sigma) \subset \Delta_1$ . Moreover, if  $p \in \Sigma$ , then  $p$  is the unique singular point of  $\psi|_{\bar{Y}^{-1}}(\psi(p))$ .

For a general point  $q$  on a component  $C$  of  $\Delta$  one can find the transversal type of the singularity along the corresponding component of  $\Sigma$  by Tate's algorithm. For more details we refer to [19]. We will use Tate's algorithm to identify the set of points where we do not have a transversal surface singularity.

**$I_\nu$ -fiber.** Suppose  $C$  is a component of  $\Delta$  of multiplicity  $\nu$  and  $P|_C \neq 0$ . We show now that if  $p \in \mathcal{P}$  then  $q := \psi(p)$  is either in  $\Delta_{1,\text{sing}}$  or  $P(q) = 0$  (i.e.,  $q \in \mathcal{Q}_1$ ).

For each  $q \in C$  we have that  $\overline{\psi^{-1}(q)}$  has precisely one singular point. Let  $\Sigma'$  be the union of all these points. Let  $t = 0$  be an equation for  $C$  and let  $s$  be a second local coordinate.

An easy calculation show that at a general point of  $C$  the  $x$ -coordinate of  $p$  equals  $-3Q(s, t)/2P(s, t)$ . As long as  $P(s, t) \neq 0$  we can move the point  $x = -3Q(s, t)/2P(s, t), y = 0$  to  $(0, 0)$ . This yields a new local equation of  $Y$ , namely

$$8P^3y^2 = 8P^3x^3 - 36PQ^2x^2 + 2P\Delta x - Q\Delta.$$

Since  $\Delta(s, t) = t^\nu h(s, t)$ , we have that  $(Y, p)$  is equivalent to the singularity

$$y^2 = x^2 + t^\nu x + t^\nu$$

unless  $h(t, s)P(t, s)Q(t, s) = 0$ . For degree reasons we can disregard  $t^\nu x$ , hence we have a transversal  $A_{\nu-1}$  singularity unless  $h(t, s)P(t, s)Q(t, s) = 0$ . Since  $\Delta = 4P^3 + 27Q^2$  we have that then  $h(t, s)P(t, s) = 0$ .

**$I_\nu^*$ -fiber,  $\nu > 0$ .** Suppose  $C$  is a component of  $\Delta$  with multiplicity  $6 + \nu$  and that  $\text{ord}_C(P) = 2, \text{ord}_C(Q) = 3$ . Let  $t = 0$  be an equation for  $C$  and let  $s$  be a second local coordinate. I.e., we can write  $P(s, t) = t^2P_1(s, t)$  and  $Q(s, t) = t^3Q_1(s, t)$ . As above, we move the point  $(-3tQ_1(s, t)/P(s, t), 0)$  to  $(0, 0)$ . Then we get a local equation of the form

$$8P_1(t)^3y^2 = 8P_1(t)^3x^3 - 36tP_1(t)Q_1(t)^2x^2 + 2t^2P_1(t)\Delta_2(t)x - t^3Q_1(t)\Delta_2(t).$$

Where  $\Delta_2(t, s) = \Delta(t, s)/t^6$ . Then  $\Delta_2 = 4P_1(t, s)^3 + 27Q_1(t, s)^2 = t^\nu h(t, s)$  for some  $h$ . This local equation is equivalent to a transversal  $D_{4+\nu}$ -singularity, unless  $P_1(t, s)Q_1(t, s)h(t, s) = 0$ . A reason similar to the  $I_\nu$  case shows



that either  $p \in \Delta_{1,\text{sing}}$  or  $P_1$  and  $Q_1$  vanish at  $q$ , which implies that  $P = t^2 P_1$  vanish at least up to order 3 at  $q$ , i.e.,  $q \in \mathcal{Q}_2$ .

**Exceptional cases**  $II, III, IV, I_0^*, IV^*, III^*, II^*$ .

Of these we do only the most difficult cases  $II^*, III^*$ , the other cases being very similar.

Case  $II^*$ : from Tate's algorithm it follows that we have a local equation of the form

$$y^2 = x^3 + t^4 P_1(s, t)x + t^5 Q_1(s, t)$$

such that  $Q_1(s, t)$  does not vanish at a general point of  $C$ . Hence  $\Delta(s, t) = t^{10}(4t^2 P_1(s, t)^3 + 27Q_1(s, t)^5)$ . This is a transversal  $E_8$  singularity unless  $Q_1(s, t)$  vanishes, but then  $q$  is a singular point of  $\Delta_1$ .

Case  $III^*$ : from Tate's algorithm it follows that we have a local equation of the form

$$y^2 = x^3 + t^3 P_1(s, t)x + t^5 Q_1(s, t)$$

such that  $P_1(s, t)$  does not vanish at a general point of  $C$ . Hence  $\Delta(s, t) = t^9(4P_1(s, t)^3 + 27tQ_1(s, t)^2)$ . This is a transversal  $E_7$  singularity unless  $P_1(s, t)$  vanishes, but then  $q$  is a singular point of  $\Delta_1$ .  $\square$

**Lemma 9.2.** *Suppose  $q \in \mathbf{P}^2$  is such that  $P(q) = 0$  and  $q$  is an isolated double point of  $\Delta$ . Then  $\mathcal{P} \cap \psi^{-1}(q) = \emptyset$ .*

*Proof.* Using that  $\Delta = 4P^3 + 27Q^2$  and our assumptions on  $\Delta$  and  $P$  we obtain that  $Q = 0$  is a smooth reduced curve in a neighborhood of  $q$  and that  $Q = 0$  does not have a common component with  $P = 0$  or  $\Delta = 0$  in a neighborhood of  $p$ . I.e., we have a local equation of the form

$$y^2 = x^3 + Px + s.$$

If  $\Sigma$  and  $\psi^{-1}(q)$  intersect, then the fiber needs to be singular at that point, i.e.,  $(x, y, t, s) = (0, 0, 0, 0)$ . However, it is easy to see that  $Y$  is smooth at this point, hence  $\psi^{-1}(q) \cap \Sigma = \emptyset$ .  $\square$

For a Weierstrass equation  $g := -y^2 + x^3 + Px + Q$  let  $\mathcal{Q} := (\Delta_{1,\text{sing}} \cup \mathcal{Q}_1 \cup \mathcal{Q}_2) \setminus \mathcal{Q}_3$ , where  $\mathcal{Q}_1$  and  $\mathcal{Q}_2$  are defined as in Proposition 9.1 and

$$\mathcal{Q}_3 = \{q \in \Delta_{1,\text{sing}} : P(q) = 0 \text{ and } q \text{ is an isolated double point of } \Delta\}.$$

Let

$$\mathcal{P}' := \bigcup_{q \in \mathcal{Q}} \overline{\psi|_Y^{-1}(q)_{\text{sing}}} \subset Y.$$

Note that  $\mathcal{P}'$  is a finite set and contains the set  $\mathcal{P}$  of the previous section.

**Procedure 9.3.** *Given an equation  $y^2 = x^3 + Px + Q$  with homogeneous polynomials  $P \in \mathbf{C}[z_0, z_1, z_2]_{4n}$ ,  $Q \in \mathbf{C}[z_0, z_1, z_2]_{6n}$  such that there is no  $u \in \mathbf{C}[z_0, z_1, z_2] \setminus \mathbf{C}$  with  $u^4 | P$  and  $u^6 | Q$ .*

- (1) Set  $Y = \{(x, y, z_0, z_1, z_2) \in \mathbf{P}(2n, 3n, 1, 1, 1) : y^2 = x^3 + Px + Q\}$ .
- (2) Determine the set  $\mathcal{P}' \subset Y$  defined above.

- (3) For each  $p \in \mathcal{P}'$  check whether  $(Y, p)$  is contact equivalent to a weighted homogeneous hypersurface singularity  $(Y', p')$ .

If not, then stop, otherwise fix weights  $w_{1,p}, w_{2,p}, w_{3,p}, w_{4,p}$  and a weighted homogeneous polynomial  $g_p \in \mathbf{C}[y_1, y_2, y_3, y_4]$  such that  $(Y, p)$  is contact equivalent to  $(\{g_p = 0\}, 0)$ . Fix also a map  $(Y, p) \rightarrow (\{g_p = 0\}, 0)$ . Let  $d_p := \deg g_p$ ,  $w_p := \sum w_{i,p}$ .

- (4) For each  $p \in \mathcal{P}'$  let  $R(g_p)$  be the Jacobian ring of  $g_p$ . If  $(Y, p)$  is an isolated singularity then set  $\tilde{R}(g_p) = R(g_p)$ . If  $(Y, p)$  is not an isolated singularity then  $\tilde{R}$  is defined as in Remark 6.3.
- (5) Calculate the dimension  $r_1$  of the cokernel of the natural map

$$\mathbf{C}[x, y, z_0, z_1, z_2]_{7n-3} \rightarrow \bigoplus_{p \in \mathcal{P}'} \tilde{R}(g_p)_{2d_p - w_p}.$$

- (6) Calculate the dimension  $r_0$  of the cokernel of the natural map

$$\mathbf{C}[x, y, z_0, z_1, z_2]_{n-3} \rightarrow \bigoplus_{p \in \mathcal{P}'} \tilde{R}(g_p)_{d_p - w_p}.$$

- (7) If  $r_0 = 0$  then  $\text{rank MW}(\pi) = r_1$ .
- (8) If  $r_0 > 0$  then  $\text{rank MW}(\pi) \leq r_1$ .

*Proof.* As is shown above  $\mathcal{P}'$  is finite and contains  $\mathcal{P}$ . For each  $p \in \mathcal{P}' \setminus \mathcal{P}$  we have that  $(Y, p)$  is smooth or a transversal ADE surface singularity. By 7.8 it follows that  $H_p^4(Y, \mathbf{Q}) = 0$ . Hence to calculate the cokernel of  $H^4(U, \mathbf{Q})(1) \rightarrow \bigoplus_{q \in \mathcal{P}} H_q^4(Y, \mathbf{Q})$ , we can replace  $\mathcal{P}$  by  $\mathcal{P}'$ .

We proceed by calculating  $h^{3,1}(H^4(Y, \mathbf{C}))$  and  $h^{2,2}(H^4(Y, \mathbf{C}))$ . Combining Proposition 7.7 with Theorem 8.4 yields that

- (1)  $h^{3,1}(H^4(Y, \mathbf{C})) \leq r_0$  and  $h^{2,2}(H^4(Y, \mathbf{C}))_{\text{prim}} \leq r_1$ .
- (2) If  $r_0 = 0$  then  $h^{3,1}(H^4(Y, \mathbf{C})) = h^{4,0}(H^4(Y, \mathbf{C})) = 0$ . Since  $H^4(Y, \mathbf{Q})$  has a pure weight 4 Hodge structure it follows that  $h^{1,3}(H^4(Y, \mathbf{C})) = h^{0,4}(H^4(Y, \mathbf{C})) = 0$ , hence  $H^4(Y, \mathbf{C})$  is of pure type (2, 2) and

$$\text{rank } H^4(Y, \mathbf{C})_{\text{prim}} \cap H^{2,2}(H^4(Y))_{\text{prim}} = r_1.$$

Applying Theorem 4.3 finishes the proof.  $\square$

**Remark 9.4.** An elliptic curve  $E$  over  $\mathbf{C}(t_1)$  is for trivial reasons also an elliptic curve over  $\mathbf{C}(t_1, t_2)$ . We discuss what the outcome of our method is, if we apply it to such  $Y$ . Note that  $Y$  is defined as the zero-set of

$$-y^2 + x^3 + P(z_0, z_1)x + Q(z_0, z_1)$$

i.e.,  $Y$  is a cone over an elliptic surface. Here we assume that  $n$  is such that  $\deg(P) = 4n$  and  $\deg(Q) = 6n$ . The discriminant curve is a union of lines through  $(0 : 0 : 1)$ . From this it follows that  $\mathcal{P}' = \{(0 : 0 : 0 : 0 : 1)\}$ . For simplicity assume that the  $(0 : 0 : 0 : 0 : 1)$  is an isolated singularity.

For  $p = (0 : 0 : 0 : 0 : 1)$  we have a local equation

$$(7) \quad -v^2 + u^3 + P(s, t)u + Q(s, t) = 0$$

i.e., we have  $d_p = 6n$  and  $w_p = 5n + 2$ . Our algorithm tells us that we should calculate the dimension  $r_1$  of the cokernel of

$$\mathbf{C}[x, y, z_0, z_1, z_2]_{7n-3} \rightarrow \tilde{R}(g_p)_{7n-2}$$

and calculate the dimension  $r_0$  of the cokernel of

$$\mathbf{C}[x, y, z_0, z_1, z_2]_{n-3} \rightarrow \bigoplus_{p \in \mathcal{P}'} \tilde{R}(g_p)_{n-2}.$$

It is easy to see that both maps are the zero map. In particular, our method tells us that

$$\text{rank MW}(\pi) \leq r_1 = \dim R(g_p)_{7n-2} = h^{1,1}(S)_{\text{prim}}$$

where  $S$  is the elliptic surface defined by (7). Of course, we could obtain this inequality directly, i.e., by applying the Shioda-Tate formula to  $S$ .

### Part 3. Examples

#### 10. EXAMPLE OF GROOTEN-STEENBRINK

Grooten and Steenbrink studied the family of threefolds

$$g := -W^2 + A_2X_0^2 + 2A_1X_0(X_1X_3 - X_2^2) + A_0(X_1X_3 - X_2^2)^2 = 0$$

where  $A_i \in \mathbf{C}[X_1, X_2, X_3]_i$ . This defines a degree 4 threefold  $Y$  in  $\mathbf{P} = \mathbf{P}(1, 1, 1, 1, 2)$ .

For general  $A_0, A_1, A_2$  Grooten and Steenbrink proved that  $h^4(Y) = 2$ . Here we will give a proof of this by our methods. We shall use the notation of the previous sections.

**Lemma 10.1.** *Let  $A_i \in \mathbf{C}[X_1, X_2, X_3]_i$  for  $i = 0, 1, 2$ . Assume that  $A_2A_0 - A_1^2$  defines a smooth conic intersecting the conic  $X_1X_3 - X_2^2$  in four distinct points. Then*

- (1) *The locus  $\Sigma$  is given by  $W = X_0 = X_1X_3 - X_2^2 = 0$ .*
- (2) *If  $p \in \Sigma$  is such that  $A_2(p)A_0(p) - A_1^2(p) \neq 0$  then  $(Y, p)$  is a transversal  $A_1$ -singularity.*
- (3) *If  $p \in \Sigma$  is such that  $A_2(p)A_0(p) - A_1^2(p) = 0$  then  $(Y, p)$  is a pinch point. There are precisely four such points.*

*Proof.* An easy calculation shows that the partials of  $F$  vanish if and only if  $W = 0, X_0 = 0, X_1X_3 - X_2^2 = 0$ , yielding the first claim.

Note that the conic  $X_1X_3 - X_2^2 = 0$  is smooth. Hence we can parameterize this conic by a local coordinate  $t$ . Let  $s$  be a second local coordinate in the plane  $W = X_0 = 0$ , such that  $s = 0$  is a local equation for the conic  $X_1X_3 - X_2^2 = 0$ . Then we have a local equation for  $Y$  of the form

$$w^2 = A_2x_0^2 + 2A_1x_0s + A_0s^2.$$

If  $p$  is such that  $A_2(p)A_0 - A_1^2(p) \neq 0$  then this defines a transversal  $A_1$ -singularity. This gives the second claim.

If  $p$  is such that  $A_2(p)A_0 - A_1(p)^2 = 0$  then we change the coordinate  $t$  such that  $t = 0$  is an equation for  $A_2A_0 - A_1^2$ . Then  $Y$  has a local equation of the form  $w^2 = x_0^2 + ts^2$ , hence we have a pinch point.

The pinch points are precisely the point in the intersection of  $A_2(p)A_0 - A_1(p)^2 = 0$  with  $\Sigma$ , i.e., the intersection of two plane conics. Our assumptions on the  $A_i$  yield that there are exactly four distinct intersection points, i.e., there are four pinch points. This finishes the proof.  $\square$

**Proposition 10.2.** *Let  $A_i \in \mathbf{C}[X_1, X_2, X_3]_i$  for  $i = 0, 1, 2$ . Assume that  $A_2A_0 - A_1^2$  defines a smooth conic intersecting the conic  $X_1X_3 - X_0^2$  in four distinct points. Then  $h^4(Y) = 2$ .*

*Proof.* Lemma 10.1 shows that the set  $\mathcal{P}$  of Theorem 8.4 consists precisely of the four pinch points  $p_1, p_2, p_3, p_4$ . A local equation for a pinch point  $p$  is  $g_p := x_1x_2 - x_3^2x_4 = 0$ . If we set  $\text{wt}(x_1) = 2$  and let all other variables have weight 1, then we get a weighted homogeneous equation of degree 3. The surface  $S$  defined by  $g_p$  has an  $A_1$ -singularity at  $q : x_1 = x_2 = x_3 = 0$ . This implies that we can apply Theorem 6.2 to calculate the cohomology of  $S$ :

$$H^{2,0}(S, \mathbf{C}) = R(g_p)_{-2} = 0, \quad H^{1,1}(S, \mathbf{C})_{\text{prim}} = \{f \in R(g_p)_1 : f(q) = 0\}.$$

To determine the latter group, note that  $x_1$  and  $x_2$  are in the Jacobian ideal of  $g_p$ , hence  $R(g_p)_1 = \langle \bar{x}_3, \bar{x}_4 \rangle$  and

$$\tilde{R}(g_p)_1 = H^{1,1}(S, \mathbf{C})_{\text{prim}} = \mathbf{C}\bar{x}_3.$$

We would like to calculate  $H^4(Y, \mathbf{Q})$ . By Proposition 7.7 and Theorem 8.4 we have that  $h^{3,1}(Y, \mathbf{C})$  is at most the dimension of the cokernel

$$R(g)_{-2} \rightarrow \bigoplus_{i=1}^4 R(g_{p_i})_{-2}$$

hence  $h^{3,1}(Y, \mathbf{C}) = 0$ .

From the same results it follows that  $h^{2,2}(Y, \mathbf{C})$  equals the dimension of the cokernel

$$\psi_2 : R(g)_2 \rightarrow \bigoplus_{p_i \in \mathcal{P}} \tilde{R}(g_{p_i})_1.$$

An easy calculation shows that for  $f \in R(g)_2$  we have

$$\psi_2(f) = (f_{X_0}(p_1), f_{X_0}(p_2), f_{X_0}(p_3), f_{X_0}(p_4)).$$

Since  $f$  is a degree 2 polynomial it follows that  $f_{X_0} = a_0X_0 + a_1X_1 + a_2X_2 + a_3X_3$  for some  $a_i \in \mathbf{C}$ . All points of  $\mathcal{P}$  lie in the subspace given by  $W = X_0 = 0$ , i.e., are of the form  $(0 : \alpha_i : \beta_i : \gamma_3 : 0)$ . This means that the image of  $\psi_2$  is of the form

$$\text{span}(a_1\alpha_i + a_2\beta_i + a_3\gamma_i : i = 1, 2, 3).$$

Hence the image of  $\psi_2$  has dimension at most 3. If the dimension of the image were less than 3 then the above description shows that the four points in  $\mathcal{P}$  are collinear, contradicting the fact that they lie on an irreducible conic, hence the image has dimension 3, and the cokernel is one dimensional. This implies that  $H^4(Y, \mathbf{Q}) = \mathbf{Q}(-2)^2$ , and the defect of  $Y$  equals 1.  $\square$

## 11. THREE EASY EXAMPLES

We give three examples of rational elliptic threefolds. The first and third threefold have  $\text{rank MW}(\pi) = 2$  and the second threefold satisfies  $\text{rank MW}(\pi) = 0$ .

**Example 11.1.** Consider  $y^2 = x^3 + z_0^6 + z_1^2 z_2^4$ . The set  $\Sigma$  consists of  $p = (0 : 0 : 0 : 0 : 1)$  and  $q = (0 : 0 : 0 : 1 : 0)$ . Local equations for  $p$  and  $q$  are  $v^2 = u^3 + t_1^6 + s_1^2$  and  $v^2 = u^3 + t_2^6 + s_2^4$ , both singularities are weighted homogeneous with weights  $(3, 1, 2, 3)$  and  $(3, 2, 4, 6)$  and of degree 6 and 12 respectively. In particular  $w_p = 9, w_q = 15$ . Hence  $R(g_p)_{d_p - w_p} = R(g_q)_{d_q - w_q} = 0$ , and  $H^4(Y, \mathbf{Q})$  has a pure  $(2, 2)$  Hodge structure by Proposition 7.7 with Theorem 8.4.

Note that

$$R(g_p)_{2d_p - w_p} = R(g_p)_3 = \mathbf{C}t_1^3 \oplus \overline{\mathbf{C}t_1 u}$$

and

$$R(g_q)_{2d_q - w_q} = R(g_q)_9 = \overline{\mathbf{C}ut_2 s_2} \oplus \overline{\mathbf{C}t_2^3 s_2}.$$

This implies that  $\mathbf{C}[x, y, z_0, z_1, z_2]_4 \rightarrow H_p^4(Y, \mathbf{C})$  is

$$f \mapsto (\delta_{z_0}^3 f(p), \delta_x \delta_{z_0} f(p))$$

and  $\mathbf{C}[x, y, z_0, z_1, z_2]_4 \rightarrow H_q^4(Y, \mathbf{C})$  is the map

$$f \mapsto (\delta_{z_0}^3 \delta_{z_2} f(q), \delta_x \delta_{z_0} \delta_{z_2} f(q)).$$

Write  $f \in \mathbf{C}[x, y, z_0, z_1, z_2]_4$  as  $\sum_I a_I w^I$ . Then it follows that

$$\psi_2(f) = ((6a_{00301}, a_{10101}), (6a_{00301}, a_{10101})).$$

Hence the image of  $\psi$  has dimension 2. From this it follows that the cokernel is also 2-dimensional and  $\text{rank MW}(\pi) = 2$ .

Let  $\omega = e^{2\pi i/3}$ . Note that the sections  $(x, y) = (z_0^2, z_1 z_2^2)$  and  $(x, y) = (\omega z_0^2, z_1 z_2^2)$  are independent in  $\text{MW}(\pi)$ , hence generate a finite index subgroup of  $\text{MW}(\pi)$ .

To determine the torsionpart of  $\text{MW}(\pi)$ , fix a general line  $\ell \subset \mathbf{P}^2$ . Then  $\pi_\ell : \pi^{-1}(\ell) \rightarrow \ell$  is a rational elliptic surface with 6  $I_2$ -fibers. From e.g. [20] it follows that  $\text{MW}(\pi_\ell) \cong \mathbf{Z}^8$ , in particular it has no torsion. Since  $\text{MW}(\pi) \rightarrow \text{MW}(\pi_\ell)$  is injective it follows that  $\text{MW}(\pi)$  has also no torsion.

**Example 11.2.** Consider  $y^2 = x^3 + x^2 + f$ , where  $f$  is the product of six distinct lines, and no three lines pass through one point. The set  $\mathcal{P}$  is precisely the set of points  $(0 : 0 : \alpha : \beta : \gamma)$ , where  $(\alpha : \beta : \gamma)$  is an intersection point of two of these lines.

All singularities are of type  $A_1$ , with local equation  $x_0^2 + x_1^2 + x_2^2 + x_3^2 = 0$ . From this it follows that  $R(f_p)_{2d_p - w_p} = \mathbf{C}\bar{1}$ .

Fix some coordinates  $(\alpha_i : \beta_i : \gamma_i)$  for  $p_i \in \mathcal{P}$ . Then  $\text{rank MW}(\pi)$  equals

$$\dim \text{coker } \mathbf{C}[x, y, z_0, z_1, z_2]_4 \rightarrow \mathbf{C}^{15}$$

where we map  $f$  to  $\oplus f(0, 0, \alpha_i, \beta_i, \gamma_i)$ .

Since  $\dim \mathbf{C}[x, y, z_0, z_1, z_2]_4 = 15$ , this cokernel is non-zero precisely when there exists a degree 4 plane curve  $C$  containing all the  $p_i$ . Such a curve does not exist since for each of the six lines  $L_j$  we have  $\#C \cap L_j \geq 5$ , hence  $C \supset L_j$ . Since there are six distinct lines, this implies  $\deg(C) \geq 6$ , contradicting  $\deg(C) = 4$ .

Hence the cokernel is trivial and  $\text{rank MW}(\pi) = 0$ . Using a reasoning similar as in the previous example it follows that the torsion part of  $\text{MW}(\pi)$  is also trivial, hence  $\text{MW}(\pi) = 0$ .

**Example 11.3.** Consider the elliptic threefold  $Y$

$$y^2 + x^3 + z_0^2 z_2^2 (z_0 z_2 - z_1^2).$$

The locus  $\Sigma$  of  $Y$  is given by  $y = w = z_0 z_2 = 0$ , i.e., is 1-dimensional.

The discriminant curve is  $z_0^2 z_2^2 (z_0 z_2 - z_1^2)$ . The set  $\mathcal{P}'$  consists of three points  $p_1 = (0 : 0 : 1 : 0 : 0)$ ,  $p_2 = (0 : 0 : 0 : 1 : 0)$ ,  $p_3 = (0 : 0 : 0 : 0 : 1)$ . Note that  $\Sigma$  is one dimensional in this case.

At  $p_1$  and  $p_3$  we have a local equation of the form

$$v^2 = u^3 + t^2 s^2 + s^3$$

Set weights for  $s, t, u, v$  as 2, 1, 2, 3. Then this equation is weighted homogeneous of degree 6, and

$$R(g_p)_{d_p - w_p} = 0, \quad R(g_p)_{2d_p - w_p} = \text{span}\{\overline{t^4}, \overline{s^2}, \overline{rt^2}, \overline{rs}\}.$$

Along  $v = u = s = 0$  we have a transversal  $A_2$ -singularity. The Milnor algebra of an isolated  $A_2$ -singularity  $v^2 + u^3 + t^2$  is generated by 1 and  $u$ . If we homogenize these two monomials we get  $t^4$  and  $ut^2$ . Hence

$$\tilde{R}(g_p)_{2d_p - w_p} = R(g_p)_{2d_p - w_p} / (\overline{t^4}, \overline{ut^2}) = \text{span}\{\overline{s^2}, \overline{us}\}.$$

For  $p = p_1$  we have that, after homogenizing,  $s^2$  corresponds to  $z_0^2 z_2^2$  and  $xs$  corresponds to  $xz_0 z_2$ . For  $p = p_3$  we get similarly that  $\tilde{R}_{g_p}$  is generated by  $\overline{z_0^2 z_2^2}$  and  $\overline{xz_0 z_2}$ .

At  $p = p_2$  we have a local equation of the form

$$v^2 = u^3 + t^2 s^2$$

If we set weights for  $s, t, u, v$  as 2, 2, 1, 3 we get a weighted homogeneous equation of degree 12. Again  $R(g_p)_{d_p - w_p} = 0$ . We get that  $R(g_p)_{2d_p - w_p}$  is four dimensional, and that

$$\tilde{R}(g_p)_{2d_p - w_p} = 0.$$

This implies that  $r_0 = 0$  and  $r_1$  is the cokernel of

$$\mathbf{C}[x, y, z_0, z_1, z_2]_4 \rightarrow \tilde{R}(g_{p_1})_4 \oplus \tilde{R}(g_{p_3})_4.$$

Since both summands have the same generators it turns out that the cokernel has dimension 2. In particular,  $\text{rank MW}(\pi)$  is 2. The sections  $(x = \omega^i z_0 z_2, y = z_0 z_1 z_2)$  for  $i = 0, 1$  generate a finite-index subgroup of  $\text{MW}(\pi)$ .

In order to determine the torsion subgroup of  $\text{MW}(\pi)$ : fix a general line  $\ell$  in  $\mathbf{P}^2$  and consider  $\pi_\ell : \pi^{-1}(\ell) \rightarrow \ell$ . Then  $\pi^{-1}(\ell)$  is a rational elliptic surface

with  $2IV$  fibers and  $2II$  fibers. Such an elliptic surface has trivial torsion subgroup [20], hence  $\text{MW}(\pi)$  has no torsion.

## 12. AN APPLICATION

The following construction of Calabi-Yau threefolds is due to F. Hirzebruch and was communicated to us by N. Yui. Some of the details of the construction were worked out in the Diplomarbeit [2] of N. Behrens.

**Construction 12.1.** Let  $S$  be a del Pezzo surface, i.e., the blow-up of  $\mathbf{P}^2$  in  $m$  points  $p_1, \dots, p_m$  in general position (meaning no three points on a line, and no six points on a conic),  $0 \leq m \leq 8$ . By  $E_i$  we denote the exceptional divisors of the blow-down morphism  $\varphi : S \rightarrow \mathbf{P}^2$ . Let  $L$  be the pullback to  $S$  of a general line in  $\mathbf{P}^2$ .

We consider the anti-canonical line bundle  $\mathcal{L} = \omega_S^{-1} = \mathcal{O}(3L - \sum E_i)$  and define the rank 3 bundle  $\mathcal{E} = \mathcal{O} \oplus \mathcal{L}^{-2} \oplus \mathcal{L}^{-3}$ . Then  $\mathbf{P}(\mathcal{E})$  is a  $\mathbf{P}^2$ -bundle over  $S$ . We use Grothendieck's definition of projective space, in particular  $p_*\mathcal{O}_{\mathbf{P}(\mathcal{E})}(1) = \mathcal{E}$  where  $p$  is the bundle projection. Fix sections

$$\begin{aligned} X &:= (0, 1, 0) \in H^0(\mathcal{L}^2 \oplus \mathcal{O} \oplus \mathcal{L}^{-1}) = H^0(\mathcal{O}_{\mathbf{P}(\mathcal{E})}(1) \otimes \mathcal{L}^2), \\ Y &:= (0, 0, 1) \in H^0(\mathcal{L}^3 \oplus \mathcal{L} \oplus \mathcal{O}) = H^0(\mathcal{O}_{\mathbf{P}(\mathcal{E})}(1) \otimes \mathcal{L}^3), \\ Z &:= (1, 0, 0) \in H^0(\mathcal{O} \oplus \mathcal{L}^{-2} \oplus \mathcal{L}^{-3}) = H^0(\mathcal{O}_{\mathbf{P}(\mathcal{E})}(1)). \end{aligned}$$

For general sections  $g_2, g_3$  in  $H^0(\mathcal{L}^4)$  and  $H^0(\mathcal{L}^6)$  respectively, the equation

$$(8) \quad Y^2Z = 4X^3 + g_2XZ^2 + g_3Z^3$$

defines a *smooth* hypersurface  $W$  in  $\mathbf{P}(\mathcal{E})$ . Note that  $W$  is in the linear system defined by the anti-canonical line bundle  $\omega_{\mathbf{P}(\mathcal{E})}^{-1} = (p^*\mathcal{L}^6) \otimes \mathcal{O}_{\mathbf{P}(\mathcal{E})}(3)$ . The projection onto  $S$  defines an elliptic fibration  $\pi : W \rightarrow S$  with a section.

**Lemma 12.2.** *The threefold  $W$  has trivial canonical bundle.*

*Proof.* Since

$$\omega_{\mathbf{P}(\mathcal{E})} = p^*(\omega_S \otimes \det \mathcal{E}) \otimes \mathcal{O}_{\mathbf{P}(\mathcal{E})}(-3) = p^*\mathcal{L}^{-6} \otimes \mathcal{O}_{\mathbf{P}(\mathcal{E})}(-3)$$

and  $\mathcal{O}_{\mathbf{P}(\mathcal{E})}(W_7) = p^*\mathcal{L}^6 \otimes \mathcal{O}_{\mathbf{P}(\mathcal{E})}(3)$  it follows from the adjunction formula that

$$\omega_{W_7} = \omega_{\mathbf{P}(\mathcal{E})}(W_7)|_{W_7} = \mathcal{O}_{W_7}.$$

□

In [2] a detailed proof of the following result is given:

**Theorem 12.3** ([2, Theorem 2.35]). *Let  $r = \text{rank MW}(\pi)$ . Then  $W$  has the following Hodge numbers:*

- (1)  $h^{1,0}(W) = h^{0,1}(W) = h^{2,0}(W) = h^{0,2}(W) = 0$ ,
- (2)  $h^{1,3}(W) = h^{3,1}(W) = 0$ ,
- (3)  $h^{0,3}(W) = h^{3,0}(W) = 1$ ,
- (4)  $h^{1,1}(W) = m + 2 + r$ ,

$$(5) \quad h^{1,2}(W) = h^{2,1}(W) = 272 - 29m + r.$$

The topological Euler characteristic  $e(W) = -540 + 60m$ .

**Remark 12.4.** The fact that  $h^{1,0}(W) = h^{2,0}(W) = 0$  and that  $\omega_{W_7} = \mathcal{O}_{W_7}$  implies that  $W_7$  is a Calabi-Yau threefold. For Calabi-Yau threefolds finding their mirror partner is of particular interest. The line bundle  $(p^*\mathcal{L}^6) \otimes \mathcal{O}_{\mathbf{P}(\mathcal{E})}(3)$  is not an ample line bundle. (This follows e.g., since  $\pi_*(\mathcal{O}_{\mathbf{P}(\mathcal{E})}(1) \otimes \mathcal{L}^2) = \mathcal{E} \otimes \mathcal{L}^2 = \mathcal{L}^2 \oplus \mathcal{O}_S \oplus \mathcal{L}^{-1}$ .) Hence we are not in a position where Batyrev's mirror construction [1] can be applied directly. In order to find a mirror family it is first of all necessary to compute the Hodge numbers of  $W$ . This was the motivation behind [2].

To actually find the Hodge numbers we need to determine the rank of  $MW(\pi)$ . In [2] it is conjectured that  $r = 0$  for all such  $W$ . We apply our methods to prove this conjecture. We first calculate the Mordell-Weil rank by computing  $h^4(Y)$ . In the second half of this section we illustrate our methods by determining all Hodge numbers by going through the various constructions, thus avoiding a direct reference to Theorem 12.3.

We know that  $W$  is birational to a hypersurface  $Y$  of degree  $6n$  in some weighted projective space  $\mathbf{P}(2n, 3n, 1, 1, 1)$ . For  $n = 1, 2$  such a threefold is a deformation of a rational variety. Since  $W$  is a Calabi-Yau hypersurface we have  $n \geq 3$ .

**Lemma 12.5.** *There exists a degree 18 hypersurface  $Y$  in  $\mathbf{P}(6, 9, 1, 1, 1)$ , birational to  $W$  and such that  $Y_{\text{sing}}$  consists of  $(1 : 1 : 0 : 0 : 0)$  and  $m$  isolated semi-weighted homogeneous hypersurface singularities with Milnor number 50. For each of these singularities we have that  $H_p^4(Y, \mathbf{Q}) \cong \mathbf{Q}(-2)^8$ .*

*Proof.* We need to consider  $g_2, g_3$  as functions on  $\mathbf{P}^2$ , rather than elements in  $H^0(S, \mathcal{L}^i)$ . Since  $\varphi_*\mathcal{L} = \mathcal{O}(3) \otimes \mathcal{I}_{p_1, \dots, p_m}$ , it follows that  $g_2 \in H^0(\mathcal{O}(12) \otimes \mathcal{I}_{p_1, \dots, p_m}^4)$  and  $g_3 \in H^0(\mathcal{O}(18) \otimes \mathcal{I}_{p_1, \dots, p_m}^6)$ . Let  $P$  and  $Q$  be the associated weighted homogeneous polynomials of degree 12 and 18 respectively. Then

$$(9) \quad y^2 = x^3 + Px + Q$$

defines a degree 18 hypersurface  $Y$  in  $\mathbf{P}(6, 9, 1, 1, 1)$  birational to  $W$ .

Let  $\tilde{\psi} : \mathbf{P} \rightarrow \mathbf{P}^2$  be the projection from  $\{z_0 = z_1 = z_2 = 0\}$  to the plane  $\{x = y = 0\}$ . Then  $\psi = \tilde{\psi}|_Y$  corresponds to the elliptic fibration on  $W$ . Note that  $p$  is defined on  $Y \setminus \{(1 : 1 : 0 : 0 : 0)\}$ . Since  $W$  is smooth all singularities (besides  $(1 : 1 : 0 : 0 : 0)$ ) lie in  $\psi^{-1}(p_i)$  for  $i = 1, \dots, m$ .

Equation (9) shows that  $\overline{\psi^{-1}(p_i)}$  has equation  $Y^2Z = X^3 + P(p_i)XZ^2 + Q(p_i)Z^3$ . In particular,  $\overline{\psi^{-1}(p_i)}$  is an irreducible and reduced cubic plane curve and it has at most one singularity. Since  $Y$  is singular at  $q_i = (0 : 0 : p_i)$ , the same holds for  $\overline{\psi^{-1}(p_i)}$ , and there are no other singular points on  $Y \setminus \{(1 : 1 : 0 : 0 : 0)\}$ .

We proceed by calculating the Milnor number of  $(Y, q_i)$ . A local equation for  $Y$  around  $q_i$  is

$$v^2 = 4u^3 + h_4(t, s)u + h_6(t, s) + h.o.t.$$



An easy calculation, using that  $W$  is smooth, shows that the lowest degree part

$$v^2 = 4u^3 + h_4(t, s)u + h_6(t, s)$$

defines a quasismooth surface in  $\mathbf{P}(2, 3, 1, 1)$ . In particular,  $(Y, q_i)$  is a semi-weighted homogeneous hypersurface singularity, i.e., we may ignore the higher order terms.

To calculate the Milnor number of  $(Y, q_i)$  we need to consider the Jacobian ring  $R$  of the defining equation of the singularity. Using Lemma 12.6 (proven below) it follows that

$$\sum \dim R_d t^d = 1 + 2t + 4t^2 + 6t^3 + 8t^4 + 8t^5 + 8t^6 + 6t^7 + 4t^8 + 2t^9 + t^{10}.$$

Hence  $\mu = \dim R = 50$ .

To calculate the local cohomology it suffices to determine  $\dim R_{d-w} = R_{-1}$  and  $\dim R_{2d-w} = \dim R_5$ . The former space is 0, the latter space is 8-dimensional. Now apply Proposition 7.6 and Theorem 6.2.  $\square$

**Lemma 12.6.** *Let  $f \in \mathbf{C}[x_0, \dots, x_{n+1}]$  be a weighted homogeneous polynomial of degree  $d$  with weights  $w_0, \dots, w_{n+1}$ . Assume that each  $w_i$  divides  $d$  and that  $f = 0$  has at most an isolated singularity at the origin. Let  $R$  be the Jacobian ring of  $f$ . Then*

$$\sum_k \dim R_k t^k = \prod \frac{t^{d-w_i} - 1}{t^{w_i} - 1}.$$

*Proof.* Since  $f = 0$  has at most a singularity at the origin it follows that the partials of  $f$  form a regular sequence in  $\mathbf{C}[x_0, \dots, x_{n+1}]$ . This implies that  $R$  is resolved by its Koszul complex. An easy calculation yields the proof.  $\square$

For the rest of this section, let  $Y$  be the degree  $6n$  hypersurface in  $\mathbf{P}(6, 9, 1, 1, 1)$  constructed in the proof above. In particular,  $Y \cap \{z_1 = z_2 = z_3 = 0\} = \{(1 : 1 : 0 : 0 : 0)\}$ . Let  $q_i = (0 : 0 : p_i)$ .

The form of the singularity  $(Y, q_i)$  allows us to use Dimca's results. For this we first prove the following two lemmas.

**Lemma 12.7.** *Let  $T \subset \mathbf{P}(6, 9, 1, 1, 1)$  be a quasismooth hypersurface of degree 18. Then  $h^3(T) = 546$  and the topological Euler characteristic  $e(T) = -542$ .*

*Proof.* Since the topology of quasismooth hypersurfaces is invariant under deformation, it suffices to prove this statement for  $T$  given by

$$f := y^2 + x^3 + z_0^{18} + z_1^{18} + z_2^{18}.$$

Let  $R$  be the Jacobian ring of  $f$ . Using Griffiths-Steenbrink (see Section 5) we know that

$$h^3(T) = \dim R_0 + \dim R_{18} + \dim R_{36} + \dim R_{54}.$$

An easy calculation shows that  $\dim R_0 = \dim R_{54} = 1$  and  $\dim R_{18} = \dim R_{36} = 272$ . Hence  $h^3(T) = 546$ . From Lefschetz' hyperplane theorem (Proposition 5.1) it follows that  $h^i(T) = 1$  for  $i = 0, 2, 4, 6$  and all

other Betti numbers vanish. From this the equality  $e(T) = 4 - 546 = -542$  follows.  $\square$

**Lemma 12.8.** *The topological Euler characteristic  $e(Y)$  of  $Y$  equals  $-542 + 50m$ .*

*Proof.* Let  $T$  be a quasismooth hypersurface of the same degree of  $Y$ . From e.g. [9, Corollary 5.4.4] it follows that

$$e(Y) = e(T) + \mu$$

where  $\mu$  is the total Milnor number of  $Y$ , i.e., the sum of the Milnor numbers of the singularities of  $Y$  besides  $(1 : 1 : 0 : 0 : 0)$ . From Lemma 12.5 and Lemma 12.7 it follows that  $e(Y) = -542 + 50m$ .  $\square$

Using the Lefschetz hyperplane theorem (Proposition 5.1) we obtain that

$$h^0(Y) = h^2(Y) = h^6(Y) = 1 \text{ and } h^1(Y) = h^5(Y) = 0.$$

Hence  $h^3(Y) = 546 - 50m + h^4(Y) - 1$ .

To calculate  $h^4(Y)$  we use Dimca's method. For this we need some results on linear systems on  $\mathbf{P}^2$ .

**Definition 12.9.** Let  $L_d(k^m)$  be the linear system of degree  $d$  curves having a point of order  $k$  at  $p_1, \dots, p_m$ . The defect of  $L_d(k^m)$  equals  $m \frac{k(k+1)}{2} - \text{codim}_{\mathbf{C}[z_0, z_1, z_2]_d} L_d(k^m)$ , i.e., the difference between the expected codimension and the actual codimension.

We are interested in  $L_{18}(6^m)$  and  $L_{12}(4^m)$ , in the case that the  $m$  points are the  $p_i$ .

**Proposition 12.10.** *For  $k > 0$  we have that the linear system  $L_{3k}(k^m)$  has no defect.*

*Proof.* Note that  $L_{3k}(k^m)$  is isomorphic to  $H^0(S, \mathcal{O}_S(3kH - k \sum E_m))$ . Set  $D = 3H - \sum E_i$  and let  $C$  be an irreducible smooth curve in  $|D|$ . (Such a curve exists since the  $p_i$  are in general position and  $m \leq 8$ .) Since  $C$  is the strict transform of a degree 3 curve in  $\mathbf{P}^2$  we have that  $g(C) = 1$ .

Let  $\mathcal{L} = \mathcal{O}(D)|_C$ . Then  $\deg(\mathcal{L}) = D^2 = 9 - m > 0$ . Using  $g(C) = 1$  we find for  $t > 0$  that  $h^0(\mathcal{L}^t) = t(9 - m)$  and  $h^1(\mathcal{L}^{\otimes t}) = 0$ .

Consider now the long exact sequence in cohomology associated to

$$0 \rightarrow \mathcal{O}_S((t-1)D) \rightarrow \mathcal{O}_S(tD) \rightarrow \mathcal{L}^{\otimes t} \rightarrow 0.$$

Since for  $t \geq 1$  we have that  $h^1(\mathcal{L}^{\otimes t}) = 0$ , we find that  $h^1(\mathcal{O}_S(tD)) \leq h^1(\mathcal{O}_S((t-1)D))$ . Note that for  $t = 1$  we have that  $h^1(\mathcal{O}_S((t-1)D)) = h^{0,1}(S) = 0$ . Combining this yields that  $h^1(\mathcal{O}_S(tD)) = 0$  for  $t \geq 0$ . This implies that

$$h^0(\mathcal{O}_S(tD)) = h^0(\mathcal{O}_S((t-1)D)) + h^0(\mathcal{L}^{\otimes t}) = h^0(\mathcal{O}_S((t-1)D)) + t(9 - m)$$

whence

$$h^0(\mathcal{O}_S(tD)) = \frac{t(t+1)(9-m)}{2} + h^0(\mathcal{O}_S) = \frac{t(t+1)(9-m)}{2} + 1.$$

The expected dimension of  $L_{3k}(k^m)$  equals

$$\frac{(3k+1)(3k+2)}{2} - m \frac{k(k+1)}{2} = \frac{k(k+1)(9-m)}{2} + 1.$$

This implies that  $L_{3k}(k^m)$  has the expected dimension and thus  $L_{3k}(k^m)$  has no defect.  $\square$

**Proposition 12.11.** *We have that  $h^4(Y) = 1$ , hence  $h^3(Y) = 546 - 50m$ .*

*Proof.* From Dimca's work, (the dimension zero case of Sections 7 and 8), it follows that the primitive cohomology  $H^4(Y, \mathbf{Q})_{\text{prim}}$  is isomorphic to the cokernel of

$$H^4(\mathbf{P} \setminus Y, \mathbf{Q}) \rightarrow \bigoplus_{q_i} H^4(Y, \mathbf{Q}).$$

From Lemma 12.5 we know that  $H^4_{q_i}(Y, \mathbf{Q}) = \mathbf{Q}(-2)^8$ .

A local equation of  $(Y, q_i)$  (see the proof of Lemma 12.5) is

$$f_{q_i} := -v^2 + 4u^3 + h_{4,i}(t, s)u + h_{6,i}(t, s).$$

This equation is weighted homogeneous. Moreover, we know that this is an equation of a quasismooth surface. Let  $R(f_{q_i})$  denote the Jacobian ring of  $f_{q_i}$ .

From Proposition 7.7 and Theorem 8.4 it follows that the cokernel of  $H^4(\mathbf{P} \setminus Y, \mathbf{C}) \rightarrow \bigoplus H^4_{q_i}(Y, \mathbf{C})$  equals the cokernel of  $\text{Gr}_P^2 H^4(\mathbf{P} \setminus Y, \mathbf{C}) \rightarrow \bigoplus H^4_{q_i}(Y, \mathbf{C})$ . Using the natural maps

$$\mathbf{C}[z_0, z_1, z_2]_{12x} \oplus \mathbf{C}[z_0, z_1, z_2]_{18} \twoheadrightarrow R(f)_{18} \twoheadrightarrow \text{Gr}_P^2 H^4(\mathbf{P} \setminus Y, \mathbf{C})$$

it follows that it suffices to prove that

$$(10) \quad \mathbf{C}[z_0, z_1, z_2]_{12x} \oplus \mathbf{C}[z_0, z_1, z_2]_{18} \twoheadrightarrow \bigoplus H^4_{q_i}(Y, \mathbf{C}) = \bigoplus_i R(f_{q_i})_5$$

is surjective.

Define  $T_{q,m,d} : \mathbf{C}[z_0, z_1, z_2]_d \rightarrow \mathbf{C}^{m(m+1)/2}$  to be the  $(m-1)$ st part of the Taylor expansion around  $(\alpha_1, \alpha_2, \alpha_3)$  for some fixed lift of  $q \in \mathbf{P}^2$  to  $\mathbf{C}^3$ . Then the map from (10) can be factored as

$$\mathbf{C}[z_0, z_1, z_2]_{12x} \oplus \mathbf{C}[z_0, z_1, z_2]_{18} \xrightarrow{\bigoplus (T_{q_i,4,12} \oplus T_{q_i,6,18})} \bigoplus_i (\mathbf{C}^{10} \oplus \mathbf{C}^{21}) \twoheadrightarrow \bigoplus R(f_{q_i})_5.$$

The first map is surjective by Proposition 12.10 and the second map is surjective since it is a projection. From this the lemma follows.  $\square$

Applying Theorem 4.3 yields:

**Corollary 12.12.** *We have  $\text{rank MW}(\pi) = 0$ .*

**Remark 12.13.** Actually,  $\text{MW}(\pi) = 0$ : let  $\ell \subset \mathbf{P}^2$  be a general line. Then  $\pi_\ell : \pi^{-1}(\ell) \rightarrow \ell$  is an elliptic surface with 36  $I_1$  fibers. (This follows from the fact that the discriminant curve is reduced.) Suppose  $\text{MW}(\pi_\ell)$  has a torsion section of order  $k$ , then one can factor the  $j$ -map over  $X_1(k) \rightarrow X(1)$  since this map is ramified at  $\infty$  with ramification index  $k$  it turns out that  $\pi_\ell$  has a fiber of type  $I_{km}$  of  $I_{km}^*$  for some  $m \geq 1$ . Since all fibers of  $\pi_\ell$  are of type

$I_1$  it follows that  $\text{MW}(\pi_\ell)$  has trivial torsion part, hence  $\text{MW}(\pi)$  has trivial torsion.

**Remark 12.14.** We can now determine all Hodge number of  $W$  by applying 12.3. In particular,  $h^{1,1}(W) = m + 2$  and  $h^{2,1}(W) = 272 - 29m$ .

We would like to illustrate the techniques used in the proof of Theorem 4.3 by explicitly factorizing the rational map  $W \dashrightarrow Y$ . This explicit factorization yields also the Hodge numbers of  $W$  without using the results of [2].

To study the behavior of the cohomology groups we factor  $W \dashrightarrow Y$  as a series of proper modifications and inverse proper modifications. For each modification  $\mathcal{Z}$  is the center of the modification and  $E$  is the exceptional divisor.

- (1) We start by blowing up  $(1 : 1 : 0 : 0 : 0)$ . Denote the obtained threefold by  $W_1$ . In this case  $\mathcal{Z} = \{pt\}$ , and  $E \cong \mathbf{P}^2$  and  $W_1$  is smooth near  $E$ .
- (2) Base change with  $S$ . We denote the resulting threefold by  $W_2$ . In this case  $\mathcal{Z}$  is the union of  $m$  cuspidal cubic curves  $C$  and  $E$  is the disjoint union of  $m$  copies  $C \times \mathbf{P}^1$ . Applying Theorem 4.1 we obtain that  $h^4(W_2) = h^4(W_1) + m$  and  $h^2(W_2) = h^2(W_1) + m$  and all other Betti number remain unchanged.
- (3) The singular locus of  $W_2$  consists of  $m$  disjoint curves  $C_i$  (topologically a  $\mathbf{P}^1$ ), namely  $m$  copies of  $\{c\} \times \mathbf{P}^1 \subset C \times \mathbf{P}^1$ , where  $C$  is the cuspidal curve from the previous point and  $c \in C$  is the cusp.

Let  $s = 0$  be a local equation for one of the exceptional curves in  $S$ . Then a local equation of  $W_2$  is of the form

$$y^2 = x^3 + s^4 f_1(s, t)x + s^6 f_2(s, t).$$

Blowing up  $\{x = y = s = 0\}$  yields a  $\mathbf{P}^1$ -bundle over  $\{s = 0\}$ . Let  $W_3$  be the blow-up of all  $m$  curves in the singular locus. Then  $\mathcal{Z}$  is the disjoint union of  $m$  copies of  $\mathbf{P}^1$  and  $E$  is the disjoint union of  $m$  ruled surfaces. The ruling on each of the irreducible components of  $E$  gives a class in  $H^4(W_3, \mathbf{Q})$ , in total yielding  $m$  independent classes in  $H^4(W_3, \mathbf{Q})$ . Each irreducible component of  $E$  yields a class in  $H^2(W_3, \mathbf{Q})$ , hence in total we get  $m$  classes in  $H^2(W_3, \mathbf{Q})$ . Applying Theorem 4.1 we obtain that  $h^4(W_3) = h^4(W_2) + m$  and  $h^2(W_3) = h^2(W_2) + m$ , all other Betti number are invariant.

- (4) The singular locus of  $W_3$  consists of  $m$  disjoint curves (topologically a  $\mathbf{P}^1$ ), each of which lies in one of the exceptional divisors of the previous step. Let  $s = 0$  denote the image of such a curve in some open set in  $S$ . Then a local equation of  $W_3$  is of the form

$$y^2 = sx^3 + s^3 f_1(s, t)x + s^4 f_2(s, t).$$

Blowing up  $\{x = y = s = 0\}$  yields a  $\mathbf{P}^1$ -bundle over  $\{s = 0\}$ . Let  $W_4$  be the blow-up of all  $m$  curves in the singular locus. Then  $\mathcal{Z}$  is

the disjoint union of  $m$  copies of  $\mathbf{P}^1$  and  $E$  is the disjoint union of  $m$  ruled surfaces. As above the ruling on each of the irreducible components of  $E$  gives a class in  $H^4(W_4, \mathbf{Q})$ , in total yielding  $m$  independent classes in  $H^4(W_4, \mathbf{Q})$ . Each irreducible component of  $E$  yields a class  $H^2(W_4, \mathbf{Q})$ , hence in total we get  $m$  classes in  $H^2(W_4, \mathbf{Q})$ . Applying Theorem 4.1 we obtain that  $h^4(W_4) = h^4(W_3) + m$  and  $h^2(W_4) = h^2(W_3) + m$ , all other Betti number are invariant.

- (5) The singular locus of  $W_4$  consists of  $m$  disjoint surfaces (topologically a ruled surface  $F$  with base a rational curve in  $S$ ). This locus is precisely the exceptional divisor of  $W_4 \rightarrow W_3$ .

Let  $s = 0$  denote the image of such a surface in some open set in  $S$ . Then a local equation of  $W_4$  is of the form

$$y^2 = s^2x^3 + s^2f_1(s, t)x + s^2f_2(s, t).$$

Blowing up  $\{y = s = 0\}$  yields a smooth threefold  $W_5$ . In this case  $\mathcal{Z}$  consists of  $m$  copies of  $F$ , and  $E$  consists of  $m$  elliptic surfaces  $\mathcal{R}_i$ . A calculation in local coordinates shows that each  $\mathcal{R}_i$  is a relatively minimal rational elliptic surfaces, hence the Betti numbers of  $\mathcal{R}$  equal  $1, 0, 10, 0, 1$  by [18].

The Betti numbers of  $F$  are  $1, 0, 2, 0, 1$ . From these Betti numbers and Theorem 4.1 it follows that  $h^4(W_4) = h^4(W_5)$ . Since  $h^2(W_4) = h^4(W_4)$  we obtain by Poincaré duality on the smooth threefold  $W_5$  that  $h^2(W_4) = h^2(W_5)$ . The exact sequence from Theorem 4.1 reads

$$0 \rightarrow H^2(F, \mathbf{Q})^m \rightarrow H^2(S, \mathbf{Q})^m \rightarrow H^3(W_4, \mathbf{Q}) \rightarrow H^3(W_5, \mathbf{Q}) \rightarrow 0,$$

whence  $h^3(W_4) - h^3(W_5) = 8m$ . The kernel of the map  $H^3(W_4, \mathbf{Q}) \rightarrow H^3(W_5, \mathbf{Q})$  is an  $8m$ -dimensional weight 2 sub-Hodge structure of  $H^3(W_4, \mathbf{Q})$ .

- (6) The threefold  $W_5$  is smooth, but the elliptic fibration  $\pi_5 : W_5 \rightarrow S$  is not minimal. Let  $E_i$  be one of the (fixed) exceptional curves in  $S$ . Then over each point  $p \in E_i$  we have a reducible fiber, consisting of three components, namely two rational curves and one elliptic curve. Actually  $\pi_5^{-1}(E_i)$  is the union of three surfaces, two of which are  $\mathbf{P}^1$ -bundles and one is a rational elliptic surface.

To obtain a minimal elliptic threefold we need to contract the ruled surfaces over the  $E_i$ . Recall that over  $E_i$  we have two ruled surfaces, namely the strict transform of  $\pi_1^{-1}(E_i)$  and the strict transform of the exceptional divisor of  $W_2 \rightarrow W_1$ . In order to obtain a smooth threefold we first need to contract the strict transform of the first exceptional divisor first, denote the threefold obtained in this way by  $W_6$ . Denote by  $W_7$  the threefold obtained by contracting the second ruled surface.

Both contractions are the inverse of a proper modification, where  $\mathcal{Z}$  consists of  $m$  copies of  $\mathbf{P}^1$  and  $E$  consists of  $m$  ruled surfaces.

Applying Theorem 4.3 yields that  $h^2(W_i) = h^2(W_{i-1}) - m$  and  $h^4(W_i) = h^4(W_{i-1}) - m$ , for  $i = 6, 7$ .

A summary of the changes in cohomology is the following:

	$Y$	$W_1$	$W_2$	$W_3$	$W_4$	$W_5$	$W_6$	$W_7$	$W_7$
$h^0$	1								1
$h^1$	0								0
$h^2$	1	+1	+m	+m	+m		-m	-m	$m + 2$
$h^3$	$546 - 50m$					-8m			$546 - 58m$
$h^4$	1	+1	+m	+m	+m		-m	-m	$m + 2$
$h^5$	0								0
$h^6$	1								1

We started with  $W \subset \mathbf{P}(\mathcal{E})$  and we ended up with another smooth threefold  $W_7$ . It is by no means clear that it is again isomorphic to  $W$ . We show now that  $W_7$  can indeed be embedded in  $\mathbf{P}(\mathcal{E})$  and that  $W$  and  $W_7$  are actually isomorphic.

For this we have to consider the notion of a Weierstrass fibration. Given an elliptic fibration  $\pi : X \rightarrow S$  with a zero section  $\sigma_0 : S \rightarrow X$ , we can define a hypersurface  $Y \subset \mathbf{P}(\mathcal{O} \oplus \mathcal{N}^{-2} \oplus \mathcal{N}^{-3})$ , with  $\mathcal{N} = \pi_* \mathcal{N}_{S_0/X}^{-1}$  and  $S_0 = \sigma_0(S)$ , e.g., see [19, Section 1].

The main difference between  $Y$  and  $X$  is that all components of fibers not intersecting the zero section are contracted. A threefold  $Y$  obtained by this procedure is called a Weierstrass fibration. The line bundle  $\mathcal{N}$  is called the associated line bundle.

**Lemma 12.15.** *The following holds for Weierstrass fibrations:*

- (1) *Let  $\psi_1 : Y_1 \rightarrow S_1$  be a Weierstrass fibration with line bundle  $\mathcal{N}_1$ , let  $\varphi : S_2 \rightarrow S_1$  be a morphism and let  $Y_2 = Y_1 \times_{S_1} S_2 \rightarrow S_2$  be the base changed Weierstrass-fibration with associated line bundle  $\mathcal{N}_2$ . Assume that  $\text{Pic}(S_2)$  does not contain an element of order 2. Then  $\mathcal{N}_2 \cong \varphi^* \mathcal{N}_1$ .*
- (2) *Let  $\psi_3 : Y_3 \rightarrow S_3$  be a non-minimal Weierstrass fibration with line bundle  $\mathcal{N}_3$ . Assume that it has an equation of the form*

$$y^2 = x^3 + u^4 f_1 x + u^6 f_2$$

*where  $u = 0$  defines a reduced divisor  $D$ . Assume that  $\text{Pic}(S_3)$  does not contain an element of order 2. Then the Weierstrass fibration given by*

$$y^2 = x^3 + f_1 x + f_2$$

*has associated line bundle  $\mathcal{N}_3(-D)$ .*

*Proof.* (1) A Weierstrass fibration is a fibration of the form

$$y^2 z = x^3 + Axz^2 + Bz^3$$

with  $A \in H^0(\mathcal{N}^4)$ ,  $B \in H^0(\mathcal{N}^6)$ . After base change we get an equation

$$y^2 z = x^3 + A_2 x z^2 + B_2 z^3$$

where  $A_2 = f^*A$  and  $B_2 = f^*B$ , hence the associated line bundle is  $\mathcal{N}_1^{\otimes 4} = f^*\mathcal{N}_1^{\otimes 4}$  and  $\mathcal{N}_2^{\otimes 6} = f^*\mathcal{N}_1^{\otimes 6}$ , whence  $\mathcal{N}_2^{\otimes 2} = f^*\mathcal{N}_1^{\otimes 2}$ . Since  $\text{Pic}(S_2)$  has no elements of order 2, this implies that  $\mathcal{N}_2 = f^*\mathcal{N}_1$ .

(2) Similarly, if we minimize

$$y^2z = x^3 + A_3xz^2 + B_3z^3$$

then we have for the new threefold that

$$y^2z = x^3 + A_4xz^2 + B_4z^3$$

satisfies  $A_4 = A_3/u^4$  and  $B_4 = B_3/u^6$ . Hence  $(\mathcal{N}_4)^{\otimes i} = (\mathcal{N}_3(-D))^{\otimes i}$ , for  $i = 4, 6$ , which yields the statement.  $\square$

**Proposition 12.16.** *The threefold  $W_7$  can be embedded in  $\mathbf{P}(\mathcal{E})$  and it is isomorphic to  $W$ .*

*Proof.* Of the threefolds  $W_i$  we considered above, three are actually Weierstrass fibrations, namely  $W_1, W_2$  and  $W_7$ .

Since  $P \in H^0(\mathcal{O}_{\mathbf{P}^2}(12))$  and  $Q \in H^0(\mathcal{O}_{\mathbf{P}^2}(18))$ , we have that  $W_1$  has associated line bundle  $\mathcal{N}_1 = \mathcal{O}_{\mathbf{P}^2}(3)$ .

Using Lemma 12.15 we get that  $\mathcal{N}_2 = \mathcal{O}_S(3H)$ . In the minimalization process  $W_2 \rightarrow W_7$  we minimalize over  $D = E_1 + E_2 + \cdots + E_m$ . Applying the above lemma yields that  $\mathcal{N}_7 = \mathcal{O}_S(3H - \sum E_i) = \omega_S^{-1} = \mathcal{L}$ . This implies that  $W_7 \subset \mathbf{P}(\mathcal{E})$ . Yielding the first claim.

To show that the procedures  $W \mapsto Y$  and  $Y \mapsto W_7$  are each-other's inverse, we start by describing the former one. We start by taking  $g_2, g_3$  in  $H^0(\mathcal{L}^4)$  and  $H^0(\mathcal{L}^6)$ . We consider these two sections as functions on  $S$ . In order to consider them as function on  $\mathbf{P}^2$  we multiply them with  $u^4$  and  $u^6$ , where  $u = 0$  is a defining equation for  $D$ , the union of all the exceptional divisors. The functions  $u^4g_2$  and  $u^4g_3$  are pullbacks of functions from  $\mathbf{P}^2$ , say  $u^4g_2 = \varphi^*P$  and  $u^6g_3 = \varphi^*Q$ . Then  $Y$  is given by  $y^2 = 4x^3 + Px + Q$ .

The first part of the proof shows that  $Y \mapsto W_7$  is exactly the inverse.  $\square$

From Lemma 12.2 it follows that  $W_7$  has trivial canonical bundle. Hence  $h^{3,0}(W_7) = h^0(W_7, \Omega^3) = 1$ . By Serre duality we get  $H^2(W_7, \mathcal{O}_{W_7}) \cong H^1(W_7, \mathcal{O}_{W_7})^*$ . We already observed that  $h^1(W_7) = 0$ , hence

$$H^2(W_7, \mathcal{O}_{W_7}) \cong H^1(W_7, \mathcal{O}_{W_7})^* = 0.$$

This finishes the determination of all Hodge numbers. To conclude we give the complete Hodge diamond of  $W$  (and  $W_7$ ):

$$\begin{array}{cccccc} & & & & & 1 \\ & & & & 0 & \\ & & & 0 & & 0 \\ & 0 & & 1 & & 0 \\ 1 & & 272 - 29m & & 272 - 29m & 1 \\ & 0 & & 1 & & 0 \\ & & 0 & & 0 & \\ & & & 1 & & . \end{array}$$

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