

# On the mod $p$ kernel of the theta operator and Eisenstein series

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## Abstract

Siegel modular forms in the space of the mod  $p$  kernel of the theta operator are constructed by the Eisenstein series in some odd-degree cases. Additionally, a similar result in the case of Hermitian modular forms is given.

## 1 Introduction

The theta operator is a kind of differential operator operating on modular forms. Let  $F$  be a Siegel modular form with the generalized  $q$ -expansion  $F = \sum a(T)q^T$ ,  $q^T := \exp(2\pi i \operatorname{tr}(TZ))$ . The theta operator  $\Theta$  is defined as

$$\Theta : F = \sum a(T)q^T \mapsto \Theta(F) := \sum a(T) \cdot \det(T)q^T,$$

which is a generalization of the classical Ramanujan's  $\theta$ -operator. It is known that the notion of singular modular form  $F$  is characterized by  $\Theta(F) = 0$ .

For a prime number  $p$ , the mod  $p$  kernel of the theta operator is defined as the set of modular form  $F$  such that  $\Theta(F) \equiv 0 \pmod{p}$ . Namely, the element in the kernel of the theta operator can be interpreted as a mod  $p$  analogue of the singular modular form.

In the case of Siegel modular forms of even degree, several examples are known (cf. Remark 2.3). In [16], the first author constructed such a form by using Siegel Eisenstein series in the case of even degree. However little is known about the existence of such a modular form in the case of odd degree.

In this paper, we shall show that some odd-degree Siegel Eisenstein series give examples of modular forms in the mod  $p$  kernel of the theta operator (see Theorem 2.4). Our proof is based on Katsurada's functional equation of Kitaoka's polynomial appearing as the main factor of the Siegel series.

For a Siegel modular form  $F \in M_k(\operatorname{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  (here the subscript  $\mathbb{Z}_{(p)}$  means every Fourier coefficient of  $F$  belongs to  $\mathbb{Z}_{(p)}$ ), we denote by  $\omega(F)$  the filtration of  $F \pmod{p}$ , that is, minimum weight  $l$  such that there exists  $G \in M_l(\operatorname{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  and  $F \equiv G \pmod{p}$  (congruence between  $q$ -expansions). Our ultimate aim is that, for a given weight  $k$ , list all  $F \in M_k(\operatorname{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  and  $\Theta(F) \equiv 0 \pmod{p}$  such that  $\omega(F) = k$ . In elliptic modular form case, this problem was already solved (cf. [19], [12]) and there is a simple description.

Assume  $p \geq 5$  and let  $f \in M_k(\mathrm{SL}_2(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  with  $\Theta(f) \equiv 0 \pmod{p}$  and  $\omega(f) = k$ , then  $k$  is divisible by  $p$  and there exists  $g \in M_{k/p}(\mathrm{SL}_2(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  such that  $f \equiv g^p \pmod{p}$  and  $\omega(g) = k/p$ .

There are several methods to construct  $F \in M_k(\mathrm{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  with  $\Theta(F) \equiv 0 \pmod{p}$  other than by using Eisenstein series.

1. By theta series (with harmonic polynomials) associated to quadratic forms with discriminant divisible by  $p$ .
2. By the operator  $A(p)$ .

Böcherer, Kodama and the first author argue the first method in [3]. In several cases, it gives  $F \in M_k(\mathrm{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  with  $\Theta(F) \equiv 0 \pmod{p}$  and  $\omega(F) = k$ . As for the second method, the operator  $A(p)$  is defined by

$$F|A(p) \equiv F - \Theta^{(p-1)}F \pmod{p}.$$

This operator was introduced in [6] and [8]. If  $\Theta(F) \equiv 0 \pmod{p}$ , then we have  $F|A(p) \equiv F \pmod{p}$ . Therefore for any  $F \in M_k(\mathrm{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  with  $\Theta(F) \equiv 0 \pmod{p}$ , there exists  $l \in \mathbb{Z}_{\geq 0}$  and  $G \in M_l(\mathrm{Sp}_n(\mathbb{Z}))_{\mathbb{Z}_{(p)}}$  such that  $F \equiv G|A(p) \pmod{p}$ . However it seems difficult to compute  $\omega(F|A(p))$  in terms of  $\omega(F)$ , and the filtration  $\omega(F|A(p))$  can be large compared to  $\omega(F)$  (cf. [2, §4, §6]).

Additionally, we give a similar result in the case of Hermitian modular forms (Theorem 3.3). In this case, we use Ikeda's functional equation which is the corresponding result of Katsurada's one.

## 2 Siegel modular case

### 2.1 Siegel modular forms

Let  $\Gamma^{(n)} = \mathrm{Sp}_n(\mathbb{Z})$  be the Siegel modular group of degree  $n$  and  $M_k(\Gamma^{(n)})$  be the space of Siegel modular forms of weight  $k$  for  $\Gamma^{(n)}$ . Any element  $F$  in  $M_k(\Gamma^{(n)})$  has a Fourier expansion of the form

$$F(Z) = \sum_{0 \leq T \in \Lambda_n} a(T; F)q^T, \quad q^T := \exp(2\pi i \mathrm{tr}(TZ)), \quad Z \in \mathbb{H}_n,$$

where

$$\begin{aligned} \mathbb{H}_n &= \{ Z \in \mathrm{Sym}_n(\mathbb{C}) \mid \mathrm{Im}(Z) > 0 \} \text{ (the Siegel upper half space),} \\ \Lambda_n &:= \{ T = (t_{jl}) \in \mathrm{Sym}_n(\mathbb{Q}) \mid t_{jj} \in \mathbb{Z}, 2t_{jl} \in \mathbb{Z} \}. \end{aligned}$$

We also denote by  $S_k(\Gamma^{(n)})$  the space of  $M_k(\Gamma^{(n)})$  consisting of cusp forms.

For a subring  $R \subset \mathbb{C}$ ,  $M_k(\Gamma^{(n)})_R$  (resp.  $S_k(\Gamma^{(n)})_R$ ) consists of an element  $F$  in  $M_k(\Gamma^{(n)})$  (resp.  $S_k(\Gamma^{(n)})$ ) whose Fourier coefficients  $a(T; F)$  lie in  $R$ .

## 2.2 Theta operator

For an element  $F$  in  $M_k(\Gamma^{(n)})$ , we define

$$\Theta : F = \sum a(F; T)q^T \mapsto \Theta(F) := \sum a(F; T) \cdot \det(T)q^T$$

and call it the *theta operator*. It should be noted that  $\Theta(F)$  is not necessarily a Siegel modular form. However, we have the following result.

**Theorem 2.1.** (Böcherer-Nagaoka [4]) Let  $p$  be a prime number with  $p \geq n + 3$  and  $\mathbb{Z}_{(p)}$  be the ring of  $p$ -integral rational numbers. If  $F \in M_k(\Gamma^{(n)})_{\mathbb{Z}_{(p)}}$ , then there exists a cusp form  $G \in S_{k+p+1}(\Gamma^{(n)})_{\mathbb{Z}_{(p)}}$  such that

$$\Theta(F) \equiv G \pmod{p},$$

where the congruence means the Fourier coefficient-wise one.

In some cases, it happens that  $G \equiv 0 \pmod{p}$ , namely,

$$\Theta(F) \equiv 0 \pmod{p}.$$

In such a case, we say that the modular form  $F$  is an element of the *mod  $p$  kernel of the theta operator  $\Theta$* .

A Siegel modular form  $F$  with  $p$ -integral Fourier coefficients is called *mod  $p$  singular* if it satisfies

$$a(T; F) \equiv 0 \pmod{p}$$

for all  $T \in \Lambda_n$  with  $T > 0$ . Of course, a mod  $p$  singular modular form  $F$  satisfies  $\Theta(F) \equiv 0 \pmod{p}$ .

If an element  $F$  of the mod  $p$  kernel of the theta operator is not mod  $p$  singular, we call it here *essential*.

The main purpose of this paper is to construct essential forms by using Eisenstein series.

## 2.3 Siegel Eisenstein series

Let

$$\Gamma_{\infty}^{(n)} := \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma^{(n)} \mid C = 0_n \right\}.$$

For an even integer  $k > n + 1$ , the *Siegel Eisenstein series of weight  $k$*  is defined by

$$E_k^{(n)}(Z) := \sum_{\begin{pmatrix} * & * \\ \tilde{C} & \tilde{D} \end{pmatrix} \in \Gamma_{\infty}^{(n)} \backslash \Gamma^{(n)}} \det(CZ + D)^{-k}.$$

We set  $\Lambda_n^+ = \{T \in \Lambda_n \mid T > 0\}$ . For  $T \in \Lambda_n^+$ , we define  $D(T) = 2^{2\lfloor n/2 \rfloor} \det(T)$  and, if  $n$  is even, then  $\chi_T$  denotes the primitive Dirichlet character corresponding

to the extension  $K_T = \mathbb{Q}(\sqrt{(-1)^{n/2} \det(2T)})/\mathbb{Q}$ . We define a positive integer  $C(T)$  by

$$C(T) = \begin{cases} D(T)/\mathfrak{d}_T & n : \text{even}, \\ D(T) & n : \text{odd}. \end{cases}$$

Here  $\mathfrak{d}_T$  is the absolute value of the discriminant of  $K_T/\mathbb{Q}$ .

It is known that the Fourier coefficient  $a(T; E_k^{(n)})$  ( $T \in \Lambda_n^+$ ) can be expressed as follows (cf. [20], [21], [11], [9], and [22]).

$$\begin{aligned} a(T; E_k^{(n)}) &= \zeta(1-k)^{-1} \prod_{i=1}^{\lfloor \frac{n}{2} \rfloor} \zeta(1+2i-2k)^{-1} \cdot \prod_{\substack{q|C(T) \\ q:\text{prime}}} F_q(T, q^{k-n-1}) \\ &\times \begin{cases} 2^{n/2} L(1 + \frac{n}{2} - k; \chi_T) & (n: \text{even}) \\ 2^{(n+1)/2} & (n: \text{odd}), \end{cases} \end{aligned} \quad (2.1)$$

where  $\zeta(s)$  is the Riemann zeta function and  $L(s; \chi)$  is the Dirichlet  $L$ -function with character  $\chi$ , and  $F_q(T, X) \in \mathbb{Z}[X]$  is a polynomial with constant term 1. The polynomial  $F_q(T, X)$  is defined by the polynomial  $g_T(X)$  in [21, Theorem 13.6] for  $K = F = \mathbb{Q}_q$ ,  $\varepsilon' = 1$  and  $r = n$ .

First we assume that  **$n$  is even**.

**Theorem 2.2.** (Nagaoka [16]) Let  $n$  be an even integer and  $p$  be a prime number with  $p > n + 3$  and  $p \equiv (-1)^{n/2} \pmod{4}$ . Then, for any odd integer  $t \geq 1$ , there exists a modular form  $F \in M_{\frac{n}{2} + \frac{p-1}{2} \cdot t}(\Gamma^{(n)})_{\mathbb{Z}(p)}$  satisfying

$$\Theta(F) \equiv 0 \pmod{p}.$$

Moreover  $F$  is essential.

**Remark 2.3.** (1) The modular form  $F$  is realized by a constant multiple of Eisenstein series.

(2) In the case that  $n = 2$ ,  $t = 1$ , and  $p = 23$ , we obtain

$$\Theta(E_{12}^{(2)}) \equiv 0 \pmod{23}.$$

(3) There are several modular forms in  $M_{12}(\Gamma^{(2)})$  satisfying a congruence relation similar to that given in (2). For example,

$$\Theta(\vartheta_{\mathcal{L}_{\text{Leech}}^{(2)}}) \equiv 0 \pmod{23},$$

where  $\vartheta_{\mathcal{L}_{\text{Leech}}^{(2)}}$  is the degree 2 Siegel theta series attached to the Leech lattice  $\mathcal{L}_{\text{Leech}}$  (cf. [17]). Moreover,

$$\Theta([\Delta_{12}]) \equiv 0 \pmod{23},$$

where  $[\Delta_{12}]$  is the Klingen-Eisenstein series attached to the degree one cusp form  $\Delta_{12} \in S_{12}(\Gamma^{(1)})$  with  $a(1; \Delta_{12}) = 1$  (cf. [1]).

(4) Let  $\chi_{35}$  be the Igusa cusp form of degree 2 and weight 35. It is known that

$$\Theta(\chi_{35}) \equiv 0 \pmod{23},$$

(cf. [13]).

In the rest of this section, we treat the case that  **$n$  is odd**. We recall the formula given in (2.1). In this case, for  $T \in \Lambda_n^+$ , we have

$$a(T; E_k^{(n)}) = A_{n,k} \cdot \prod_{\substack{q|D(T) \\ q:\text{prime}}} F_q(T, q^{k-n-1}),$$

$$A_{n,k} := 2^{(n+1)/2} \cdot \zeta(1-k)^{-1} \prod_{i=1}^{(n-1)/2} \zeta(1+2i-2k)^{-1}.$$

Our first result is as follows.

**Theorem 2.4.** Let  $n$  be a positive integer such that  $n \equiv 3 \pmod{8}$ . Assume that  $p$  is a prime number such that  $p > n$ . For any positive integer  $t$ , We define a constant multiple of Siegel-Eisenstein series  $F_k^{(n)}$  by

$$F_k^{(n)} := p^{-\alpha_p(n,k)} \cdot E_k^{(n)}.$$

Here

$$k := \frac{n+1}{2} + (p-1) \cdot t,$$

$$\alpha_p(n, k) := \text{ord}_p(A_{n,k}) = \text{ord}_p \left( \zeta(1-k)^{-1} \prod_{i=1}^{(n-1)/2} \zeta(1+2i-2k)^{-1} \right).$$

Then for any positive integer  $t$ , the modular form  $F_k^{(n)}$  has  $\mathbb{Z}_{(p)}$  integral Fourier coefficients and satisfies

$$\Theta(F_k^{(n)}) \equiv 0 \pmod{p}.$$

Moreover,  $F_k^{(n)}$  is essential.

**Remark 2.5.** By Theorem 3.5 in [2], if  $k = \frac{n+1}{2} + (p-1)$ , then we have  $\omega(F_k^{(n)}) = k$ , where  $\omega(F_k^{(n)})$  is the filtration of  $F_k^{(n)} \pmod{p}$ .

*Proof.* Using the theorem of von Staudt-Clausen and the fact  $p > n$ , we see that all values  $\zeta(1-k)$  and  $\zeta(1+2i-k)$  ( $1 \leq i \leq \frac{n-1}{2}$ ) are  $p$ -integral. Therefore we have  $\alpha_p(n, k) \leq 0$ . We prove that  $F_k^{(n)}$  satisfies the required properties:

(i)  $F_k^{(n)}$  has  $p$ -integral Fourier coefficients,

- (ii)  $\Theta(F_k^{(n)}) \equiv 0 \pmod{p}$ ,
- (iii)  $F_k^{(n)}$  is essential, i.e.,  $a(T; F_k^{(n)}) \not\equiv 0 \pmod{p}$  for some  $T \in \Lambda_n^+$ .

First we prove (i). The proof is reduced to show that  $p^{-\alpha_p(n,k)} \cdot a(T; E_k^{(n)})$  is  $p$ -integral for any  $T \in \Lambda_n$ .

For  $T \in \Lambda_n$  with  $\text{rank}(T) = r \leq n$ , we have

$$T[U] = \begin{pmatrix} T_1 & 0 \\ 0 & 0_{n-r} \end{pmatrix} \quad T_1 \in \Lambda_r^+, \text{ and } U \in \text{GL}_n(\mathbb{Z}).$$

We denote by  $A_{r,k}(T)$  the zeta- $L$  factor of  $a(T; E_k^{(n)})$ , i.e.,

$$A_{r,k}(T) = \zeta(1-k)^{-1} \prod_{i=1}^{\lfloor \frac{r}{2} \rfloor} \zeta(1+2i-2k)^{-1} \\ \times \begin{cases} 2^{r/2} L(1 + \frac{r}{2} - k; \chi_{T_1}) & (r: \text{ even}) \\ 2^{(r+1)/2} & (r: \text{ odd}). \end{cases}$$

When  $r$  is odd,  $p^{-\alpha_p(n,k)} \cdot A_{r,k}(T) = p^{-\alpha_p(n,k)} \cdot A_{r,k}$  is  $p$ -integral because  $\text{ord}_p(A_{r,k}(T)) = \text{ord}_p(A_{r,k}) \geq \alpha_p(n,k)$ . Hence  $p^{-\alpha_p(n,k)} \cdot a(T; E_k^{(n)})$  is  $p$ -integral for  $T \in \Lambda_n$  with odd rank.

In the case that  $r$  is even, the  $L$ -factor  $L(1 + \frac{r}{2} - k; \chi_{T_1})$  appears in  $A_{r,k}(T)$ . We prove that  $L(1 + \frac{r}{2} - k; \chi_{T_1})$  is  $p$ -integral for even  $r$  ( $2 \leq r \leq n-1$ ).

The following result is known regarding the  $L$ -value  $L(1-m; \chi)$  ( $m \in \mathbb{N}$ ,  $\chi$ : quadratic).

For a prime number  $p > 2$ , the value  $L(1-m; \chi)$  is  $p$ -integral except for the case that the conductor of  $\chi$  is equal to  $p$  and  $m$  is an odd multiple of  $(p-1)/2$ . Moreover, if we exclude this exceptional case,  $L(1-m; \chi)$  is a rational integer (cf. [5], Theorem 3).

We shall show that the integer  $k - \frac{r}{2}$  ( $2 \leq r \leq n-1$ ,  $r$ : even) cannot be an odd multiple of  $(p-1)/2$ . If we assume that  $k - \frac{r}{2} = \frac{n+1}{2} + (p-1) \cdot t - \frac{r}{2}$  is a multiple of  $(p-1)/2$ , then we have  $n+1-r$  is a multiple of  $p-1$ . By the assumption  $p > n$ , this is impossible. Therefore,  $L(1 + \frac{r}{2} - k; \chi_{T_1})$  is a rational integer. This implies that  $p^{-\alpha_p(n,k)} \cdot A_{r,k}(T)$  is  $p$ -integral. Consequently, we see that  $p^{-\alpha_p(n,k)} \cdot a(T; E_k^{(n)})$  is  $p$ -integral for any  $T \in \Lambda_n$  with even rank.

Secondly we prove (ii), namely,

$$\Theta(F_k^{(n)}) \equiv 0 \pmod{p}.$$

To do this, it suffices to show that, if  $T \in \Lambda_n^+$  satisfies  $\det(T) \not\equiv 0 \pmod{p}$ , then the corresponding Fourier coefficient  $a(T; F_k^{(n)})$  satisfies

$$a(T; F_k^{(n)}) \equiv 0 \pmod{p}. \quad (2.2)$$

Our proof is based on Katsurada's functional equation for  $F_q(T, X)$ .

**Theorem 2.6.** (Katsurada [11]) We assume that  $n \in \mathbb{Z}_{>0}$  is odd,  $q$  is a prime number, and  $T \in \Lambda_n^+$ . Then we have

$$F_q(T, q^{-n-1}X^{-1}) = \eta_q(T)(q^{(n+1)/2}X)^{-\text{ord}_q(D(T))} F_q(T, X), \quad (2.3)$$

where

$$\eta_q(T) = h_q(T)(\det(T), (-1)^{\frac{n-1}{2}} \det(T))_q (-1, -1)_q^{\frac{n^2-1}{8}},$$

$h_q(T)$  is the Hasse invariant, and  $(a, b)_q$  is the Hilbert symbol.

The following is a key lemma of our proof.

**Lemma 2.7.** We assume that  $n \equiv \pm 3 \pmod{8}$  and  $T \in \Lambda_n^+$ . Then there is a prime divisor  $q$  of  $D(T)$  satisfying

$$F_q(T, q^{-\frac{n+1}{2}}) = 0.$$

*Proof of the lemma.* By the assumption  $n \equiv \pm 3 \pmod{8}$ , we have

$$(-1, -1)_{\infty}^{\frac{n^2-1}{8}} = -1.$$

This implies  $\eta_{\infty}(T) = -1$ . By the product formula of Hilbert symbol (i.e.,  $\prod_{q \leq \infty} \eta_q(T) = 1$ ), we see that there is a prime  $q$  such that  $\eta_q(T) = -1$ . For this  $q$ , we substitute  $q^{-\frac{n+1}{2}}$  for  $X$  in (2.3). This shows  $F_q(T, q^{-\frac{n+1}{2}}) = 0$ , which completes the proof of the lemma. □

**Example 2.8.** We give a short table of  $\prod F_q(T, X)$  in the case that  $n = 3$ .

Table 1: Example of  $\prod F_q(T, X)$  in the case  $n = 3$  and  $D(T) \leq 12$

$D(T)$	$\prod F_q(T, X)$	$D(T)$	$\prod F_q(T, X)$
2	$1 - 2^2 X$	9	$1 - 3^4 X^2$
3	$1 - 3^2 X$	$10_1$	$(1 - 2^2 X)(1 + 5^2 X)$
4	$1 - 2^4 X^2$	$10_2$	$(1 + 2^2 X)(1 - 5^2 X)$
5	$1 - 5^2 X$	11	$1 - 11^2 X$
$6_1$	$(1 + 2^2 X)(1 - 3^2 X)$	$12_1$	$(1 - 2^2 X + 2^4 X^2)(1 - 3^2 X)$
$6_2$	$(1 - 2^2 X)(1 + 3^2 X)$	$12_2$	$(1 + 2^2 X + 2^4 X^2)(1 - 3^2 X)$
7	$1 - 7^2 X$	$12_3$	$(1 + 2^4 X^2)(1 - 3^2 X)$
$8_1$	$(1 - 2^2 X)(1 + 2^4 X^2)$	$12_4$	$(1 - 2^4 X^2)(1 + 3^2 X)$
$8_2$	$1 - 2^6 X^3$	13	$1 - 13^2 X$

Here we used a suffix notation  $D(T)_i$  when the  $T$  has multiple genera. The index is distinguished by their 2-adic types (cf. [18]).

We return to the proof of the theorem 2.4. We assume that  $n \equiv 3 \pmod{8}$  and prove  $a(T; F_k^{(n)}) \equiv 0 \pmod{p}$  under the condition  $\det(T) \not\equiv 0 \pmod{p}$ . (We need to exclude the case  $n \equiv -3 \pmod{8}$  because the weight  $k$  must be even.) The condition  $\det(T) \not\equiv 0 \pmod{p}$  implies that  $D(T) \not\equiv 0 \pmod{p}$ . Hence, by Lemma 2.7, we have

$$\begin{aligned} \prod_{q|D(T)} F_q(T, q^{k-n-1}) &= \prod_{q|D(T)} F_q(T, q^{-\frac{n+1}{2}+(p-1)t}) \\ &\equiv \prod_{q|D(T)} F_q(T, q^{-\frac{n+1}{2}}) = 0 \pmod{p}. \end{aligned}$$

Since  $p^{-\alpha_p(n,k)} \cdot A_{n,k}(T)$  is a  $p$ -integral (in particular  $p$ -adic unit), we obtain

$$a(T; F_k^{(n)}) \equiv 0 \pmod{p}.$$

Finally we shall prove that  $F_k^{(n)}$  is essential.

For this purpose, it suffices to show that there is a matrix  $T \in \Lambda_n^+$  such that  $D(T) = p$  because we can prove  $a(T; F_k^{(n)}) \not\equiv 0 \pmod{p}$  for such  $T$  (note that  $p^{-\alpha_p(n,k)} \cdot A_{n,k}(T)$  is  $p$ -adic unit).

We set  $n = 8s + 3$ . In the ternary case, it is known that there is a matrix  $T_1 \in \Lambda_3^+$  satisfying  $D(T_1) = 2^2 \det(T_1) = p$  for any prime number  $p$  (cf. Remark 2.9, (2)). We set

$$T = T_1 \perp \underbrace{\frac{1}{2}U \perp \cdots \perp \frac{1}{2}U}_{s \text{ times}} \in \Lambda_n^+,$$

where  $U$  is a positive-definite even unimodular symmetric matrix of rank 8. Then the matrix  $T$  satisfies the required property  $D(T) = p$ . This shows that  $F_k^{(n)}$  is essential and completes the proof of Theorem 2.4.  $\square$

**Remark 2.9.** (1) We consider the Eisenstein series

$$E_k^{(n)}(Z, s) = \sum_{\substack{(* \ *) \\ (C \ D) \in \Gamma_\infty^{(n)} \backslash \Gamma^{(n)}}} \det(CZ + D)^{-k} |\det(CZ + D)|^{-s}, \quad (Z, s) \in \mathbb{H}_n \times \mathbb{C}.$$

The analytic properties of this series were studied by Weissauer, Shimura, and others. Weissauer proved the following (cf. [23], § 14):

If  $\frac{n+1}{2} \equiv 2 \pmod{4}$ , then  $E_{\frac{n+1}{2}}^{(n)}(Z, s)$  is holomorphic at  $s = 0$ ; moreover,

$$E_{\frac{n+1}{2}}^{(n)}(Z, 0) \equiv 0 \quad (\text{identically vanishes}). \quad (2.4)$$

Since the condition  $\frac{n+1}{2} \equiv 2 \pmod{4}$  is equivalent to  $n \equiv 3 \pmod{8}$ , our Lemma 2.7 shows that  $E_{\frac{n+1}{2}}^{(n)}(Z, 0)$  is a singular modular form, and thus it identically vanishes (note that  $(n+1)/2$  is not a singular weight). Namely,



Lemma 2.7 gives another proof of (2.4).

(2) In the proof of Theorem 2.4, we used the fact that there is an element  $T_1 \in \Lambda_3^+$  such that  $D(T_1) = p$  for any prime number  $p$ . In fact, we may take  $T_1$  as follows:

$$T_1 = \begin{pmatrix} 1 & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & 1 & 0 \\ \frac{1}{2} & 0 & 1 \end{pmatrix} \text{ for } p = 2, \quad T_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & \frac{1}{2} \\ 0 & \frac{1}{2} & \frac{p+1}{4} \end{pmatrix} \text{ for } p \text{ with } p \equiv -1 \pmod{4}.$$

In the case  $p \equiv 5 \pmod{8}$ , we may set  $T_1 = \begin{pmatrix} 1 & 0 & \frac{1}{2} \\ 0 & 2 & \frac{1}{2} \\ \frac{1}{2} & \frac{1}{2} & \frac{p+3}{8} \end{pmatrix}$ . Finally we

consider the case  $p \equiv 1 \pmod{8}$ . The following is due to Schulze-Pillot:

Choose a prime  $q$  with  $q \equiv 3 \pmod{4}$ ,  $\left(\frac{p}{q}\right) = \left(\frac{q}{p}\right) = -1$ , and  $a \in \mathbb{Z}$  with  $a^2 \equiv -p \pmod{q}$ . Set

$$T_1 = \begin{pmatrix} \frac{a^2q+a^2+p}{q} & -a & \frac{-a(q+1)}{2} \\ -a & 1 & \frac{q}{2} \\ \frac{-a(q+1)}{2} & \frac{q}{2} & \frac{q(q+1)}{4} \end{pmatrix}.$$

Then  $T_1$  is positive definite and  $D(T_1) = p$ .

### 3 Hermitian modular case

Let  $m$  be a positive integer and  $\mathbf{K} = \mathbb{Q}(\sqrt{-D_{\mathbf{K}}})$  an imaginary quadratic field with discriminant  $-D_{\mathbf{K}} < 0$ . We denote by  $\mathcal{O}_{\mathbf{K}}$  the ring of integers of  $\mathbf{K}$ . Let  $\chi_{\mathbf{K}}$  be the quadratic Dirichlet character of conductor  $D_{\mathbf{K}}$  corresponding to the extension  $\mathbf{K}/\mathbb{Q}$  by the global class field theory. Denote by  $\underline{\chi}_{\mathbf{K}} = \prod_v \underline{\chi}_{\mathbf{K},v}$  the idele class character which corresponds to  $\chi_{\mathbf{K}}$ .

#### 3.1 Hermitian modular forms

For a  $\mathbb{Q}$ -algebra  $R$ , the group  $SU(m, m)(R)$  is given as

$$SU(m, m)(R) = \left\{ g \in \mathrm{SL}_{2m}(R \otimes_{\mathbb{Q}} \mathbf{K}) \mid g^* \begin{pmatrix} 0_m & -1_m \\ 1_m & 0_m \end{pmatrix} g = \begin{pmatrix} 0_m & -1_m \\ 1_m & 0_m \end{pmatrix} \right\},$$

where  $g^* = {}^t \bar{g}$ .

We set

$$\Gamma_{\mathbf{K}}^{(m)} = SU(m, m)(\mathbb{Q}) \cap \mathrm{SL}_{2m}(\mathcal{O}_{\mathbf{K}}).$$

We denote by  $M_k(\Gamma_{\mathbf{K}}^{(m)})$  the space of Hermitian modular forms of weight  $k$  for  $\Gamma_{\mathbf{K}}^{(m)}$ . Any modular form  $F$  in  $M_k(\Gamma_{\mathbf{K}}^{(m)})$  has a Fourier expansion of the form

$$F(Z) = \sum_{0 \leq H \in \Lambda_m(\mathcal{O}_{\mathbf{K}})} a(H; F) q^H, \quad q^H = \exp(2\pi i \mathrm{tr}(HZ)), \quad Z \in \mathcal{H}_m,$$

where

$$\begin{aligned}\mathcal{H}_m &= \{ Z \in M_m(\mathbb{C}) \mid \frac{1}{2i}(Z - Z^*) > 0 \} \text{ (the Hermitian upper half space),} \\ \Lambda_m(\mathcal{O}_{\mathbf{K}}) &= \{ H = (h_{jl}) \in M_m(\mathbf{K}) \mid H^* = H, h_{jj} \in \mathbb{Z}, \sqrt{-D_{\mathbf{K}}} h_{jl} \in \mathcal{O}_{\mathbf{K}} \}. \\ \text{We also set } \Lambda_m^+(\mathcal{O}_{\mathbf{K}}) &= \{ H \in \Lambda_m(\mathcal{O}_{\mathbf{K}}) \mid H > 0 \}.\end{aligned}$$

We can also define the theta operator as in the case of Siegel modular forms:

$$\Theta : F = \sum a(H; F) q^H \longmapsto \Theta(F) := \sum a(H; F) \cdot \det(H) q^H.$$

### 3.2 Hermitian Eisenstein series

We set

$$\Gamma_{\mathbf{K}, \infty}^{(m)} = \left\{ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma_{\mathbf{K}}^{(m)} \mid C = 0_m \right\}.$$

For a positive even integer  $k > 2m$ , we define Eisenstein series of weight  $k$  by

$$\mathcal{E}_k^{(m)}(Z) = \sum_{M = \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma_{\mathbf{K}, \infty}^{(m)} \setminus \Gamma_{\mathbf{K}}^{(m)}} \det(CZ + D)^{-k}, \quad Z \in \mathcal{H}_m.$$

For a prime number  $q$ , we set  $\mathcal{O}_{\mathbf{K}, q} = \mathcal{O}_{\mathbf{K}} \otimes_{\mathbb{Z}} \mathbb{Z}_q$  and set

$$\begin{aligned}\Lambda_m(\mathcal{O}_{\mathbf{K}, q}) \\ = \left\{ H = (h_{jl}) \in M_m(\mathbf{K} \otimes_{\mathbb{Q}} \mathbb{Q}_q) \mid H^* = H, h_{jj} \in \mathbb{Z}_q, \sqrt{-D_{\mathbf{K}}} h_{jl} \in \mathcal{O}_{\mathbf{K}, q} \right\}.\end{aligned}$$

Let  $H \in \Lambda_m(\mathcal{O}_{\mathbf{K}})$  with  $H \geq 0$  and set  $r = \text{rank}_{\mathbf{K}} H$ . For each prime number  $q$ , take  $U_q \in \text{GL}_m(\mathcal{O}_{\mathbf{K}, q})$  so that

$$H[U_q] = \begin{pmatrix} H'_q & 0 \\ 0 & 0 \end{pmatrix} \quad (3.1)$$

with  $H'_q \in \Lambda_r(\mathcal{O}_{\mathbf{K}, q})$ . Here, for  $A, B \in \text{Res}_{\mathbf{K}/\mathbb{Q}} M_n$ , we define

$$A[B] := B^* A B.$$

For  $H \in \Lambda_r(\mathcal{O}_{\mathbf{K}, q})$  with  $\det H \neq 0$ , we denote by  $\mathcal{F}_q(H, X) \in \mathbb{Z}[X]$  the polynomial given in [10], § 2 (Ikeda denotes it by  $F_p(H; X)$ ). Then the polynomial  $\mathcal{F}_q(H'_q, X)$  does not depend on the choice of  $U_q$ . Therefore, we denote it by  $\mathcal{F}_q(H, X)$ . For  $H \in \Lambda_r(\mathcal{O}_{\mathbf{K}})$  (resp.  $\in \Lambda_r(\mathcal{O}_{\mathbf{K}, q})$ ) with  $\det H \neq 0$ , we define

$$\gamma(H) = (-D_{\mathbf{K}})^{\lfloor r/2 \rfloor} \det(H) \in \mathbb{Z} \quad (\text{resp. } \in \mathbb{Z}_q).$$

**Theorem 3.1.** Let  $H \in \Lambda_m(\mathcal{O}_{\mathbf{K}})$  with  $H \geq 0$  and set  $r = \text{rank}_{\mathbf{K}} H$ . Then the  $H$ th Fourier coefficient  $a(H; \mathcal{E}_k^{(m)})$  of the Hermitian Eisenstein series  $\mathcal{E}_k^{(m)}$  is given as follows:

$$2^r \left( \prod_{i=1}^r L(i-k, \chi_{\mathbf{K}}^{i-1})^{-1} \right) \left( \prod_{q:\text{prime}} \mathcal{F}_q(H; q^{k-2r}) \right). \quad (3.2)$$

Here we understand that  $L(i-k, \chi_{\mathbf{K}}^{i-1}) = \zeta(i-k)$  if  $i$  is odd.

**Remark 3.2.** (1) The product over all primes  $q$  is actually a finite product. The polynomial  $\mathcal{F}_q(H; X)$  is a  $\mathbb{Z}$ -coefficient polynomial of degree  $\text{ord}_q(\gamma(H'_q))$  with the constant term 1 (see Theorem 3.4). Here  $H'_q$  is the matrix in (3.1).  
(2) This formula is also stated in [10] for the case  $\det H \neq 0$ .

We shall prove Theorem 3.1 in §3.3. The second main result is as follows.

**Theorem 3.3.** Let  $m$  be a positive integer such that  $m \equiv 2 \pmod{4}$ . Assume that  $p > m + 1$  is a prime number such that  $D_{\mathbf{K}} \not\equiv 0 \pmod{p}$ . For any positive integer  $t$ , We define a constant multiple of Eisenstein series by

$$G_k^{(m)} := p^{-\beta_p(m,k)} \cdot \mathcal{E}_k^{(m)}.$$

Here

$$k := m + (p - 1) \cdot t$$

$$\beta_p(m, k) := \text{ord}_p \left( \prod_{i=1}^m L(i - k, \chi_{\mathbf{K}}^{i-1})^{-1} \right),$$

where  $L(i - k, \chi_{\mathbf{K}}^{i-1}) = \zeta(i - k)$  if  $i$  is odd as in Theorem 3.2. Then for any positive integer  $t$ , the modular form  $G_k^{(m)}$  has  $\mathbb{Z}_{(p)}$  integral Fourier coefficients and satisfies

$$\Theta(G_k^{(m)}) \equiv 0 \pmod{p}.$$

Moreover  $G_k^{(m)}$  is essential.

*Proof.* Since  $p > m + 1$ , we see that the weight  $k = m + (p - 1) \cdot t$  is greater than  $2m$ , so the condition on the convergence of  $\mathcal{E}_k^{(m)}$  is fulfilled.

By the assumptions on  $p$  and  $k$ , we see that the each factor  $L(i - k, \chi_{\mathbf{K}}^{i-1})$  is  $p$ -integral, so  $\beta_p(m, k) \leq 0$ .

First we prove the  $p$ -integrality of  $G_k^{(m)}$ . We set

$$B_{r,k} := \prod_{i=1}^r L(i - k, \chi_{\mathbf{K}}^{i-1})^{-1},$$

which is the  $L$ -factor appearing in the Fourier coefficient  $a(H; \mathcal{E}_k^{(m)})$  for  $H$  for  $r = \text{rank}(H)$ . Since each factor  $L(i - k, \chi_{\mathbf{K}}^{i-1})$  is  $p$ -integral, we see that  $p^{-\beta_p(m,k)} \cdot B_{r,k}$  is  $p$ -integral. Consequently,  $p^{-\beta_p(m,k)} \cdot a(H; \mathcal{E}_k^{(m)})$  is  $p$ -integral for any  $H \in \Lambda_m(\mathcal{O}_{\mathbf{K}})$ .

Next we show that  $\Theta(G_k^{(m)}) \equiv 0 \pmod{p}$ . As in the case of Siegel modular forms, it is sufficient to show the following:

If  $H \in \Lambda_m^+(\mathcal{O}_{\mathbf{K}})$  satisfies  $\det(H) \not\equiv 0 \pmod{p}$ , then

$$a(H; G_k^{(m)}) \equiv 0 \pmod{p}. \quad (3.3)$$

For the proof, we use the functional equation for  $\mathcal{F}_q(H, X)$  due to Ikeda.

**Theorem 3.4.** (Ikeda [10]) For  $H \in \Lambda_m(\mathcal{O}_{\mathbf{K},q})$  with  $\det(H) \neq 0$ , the polynomial  $\mathcal{F}_q(H, X)$  has the functional equation

$$\mathcal{F}_q(H, q^{-2m}X^{-1}) = \underline{\chi}_{\mathbf{K},q}(\gamma(H))^{m-1} (q^m X)^{-\text{ord}_q(\gamma(H))} \mathcal{F}_q(H, X). \quad (3.4)$$

The following is a key lemma in the case of Hermitian modular forms.

**Lemma 3.5.** Assume that  $m \equiv 2 \pmod{4}$  and  $H \in \Lambda_m^+(\mathcal{O}_{\mathbf{K}})$ . Then there is a prime divisor  $q$  of  $\gamma(H)$  such that

$$\mathcal{F}_q(H, q^{-m}) = 0. \quad (3.5)$$

*Proof of the lemma.* By  $m \equiv 2 \pmod{4}$ , we see that  $\gamma(H) < 0$ , and so  $\underline{\chi}_{\mathbf{K},\infty}(\gamma(H)) = -1$ . By the product formula of the idele class character, there is a prime number  $q$  such that  $\underline{\chi}_{\mathbf{K},q}(\gamma(H)) = -1$ . In view of the functional equation (3.4), we obtain  $\mathcal{F}_q(H, q^{-m}) = 0$ . □

We return to the proof of (3.3). Since  $\det(H) \not\equiv 0 \pmod{p}$ , we see that  $\gamma(H) \not\equiv 0 \pmod{p}$ . (It should be noted that  $D_{\mathbf{K}} \not\equiv 0 \pmod{p}$ .) This implies that

$$\begin{aligned} \prod_{q|\gamma(H)} \mathcal{F}_q(H, q^{k-2m}) &= \prod_{q|\gamma(H)} \mathcal{F}_q(H, q^{-m+(p-1)\cdot t}) \\ &\equiv \prod_{q|\gamma(H)} \mathcal{F}_q(H, q^{-m}) = 0 \pmod{p}. \end{aligned}$$

Therefore,

$$\begin{aligned} a(H; G_k^{(m)}) &= (\text{a } p\text{-adic integer } C) \times \prod_{q|\gamma(H)} \mathcal{F}_q(H, q^{k-2m}) \\ &\equiv C \times 0 = 0 \pmod{p}. \end{aligned}$$

Finally we prove that  $G_k^{(m)}$  is essential. It is enough to show the existence of  $H \in \Lambda_m^+(\mathcal{O}_{\mathbf{K}})$  with  $\gamma(H) = -p$  because we have  $a(H; G_k^{(m)}) \not\equiv 0 \pmod{p}$  for such  $H$ . Namely we can prove that  $p^{-\beta_p(m,k)} \cdot B_{m,k}$  is  $p$ -adic unit and

$$\prod_{q|\gamma(H)} \mathcal{F}_q(H, q^{k-2m}) \not\equiv 0 \pmod{p}$$

for such  $H$ .

We set  $m = 4s + 2$ . First we take a matrix  $H_1 \in \Lambda_2^+(\mathcal{O}_{\mathbf{K}})$  with  $\gamma(H_1) = -p$  (for the existence of  $H_1$ , see, e.g., [15], Lemma 3.1).

Next we take a positive-definite even unimodular Hermitian matrix  $W$  of rank 4 such that  $\det(W) = (2/\sqrt{D_{\mathbf{K}}})^4$ . An explicit formula of such a matrix is given in [7], Lemma 1. Then the matrix

$$H = H_1 \perp \underbrace{\frac{1}{2}W \perp \cdots \perp \frac{1}{2}W}_{s \text{ times}} \in \Lambda_m^+(\mathcal{O}_{\mathbf{K}}),$$

satisfies  $\gamma(H) = -p$ . This shows that  $G_k^{(m)}$  is essential and completes the proof.  $\square$

**Remark 3.6.** (1) As in the case of Siegel modular forms, we consider the Eisenstein series

$$\mathcal{E}_k^{(m)}(Z, s) = \sum_{M = \begin{pmatrix} * & * \\ C & D \end{pmatrix} \in \Gamma_{\mathbf{K}, \infty}^{(m)} \setminus \Gamma_{\mathbf{K}}^{(m)}} \det(CZ + D)^{-k} |\det(CZ + D)|^{-s},$$

$$(Z, s) \in \mathcal{H}_m \times \mathbb{C}.$$

We assume that  $m \equiv 2 \pmod{4}$ . In this case, it is known that  $\mathcal{E}_m^{(m)}(Z, s)$  is holomorphic in  $s$  (e.g., cf. Shimura [20]). Moreover, by Lemma 3.5, we have

$$\mathcal{E}_m^{(m)}(Z, 0) \equiv 0 \quad (\text{identically vanishes}).$$

(2) For the case that  $m = 2$ , the mod  $p$  vanishing property of  $\Theta(\mathcal{E}_k^{(2)})$  has previously been studied (Kikuta-Nagaoka [14]).

### 3.3 Proof of Theorem 3.1

In this proof, we denote  $SU(m, m)$  by  $G_m$ . For  $g \in G_m$ , we define  $a_g, b_g, c_g, d_g \in M_m$ , such that  $g = \begin{pmatrix} a_g & b_g \\ c_g & d_g \end{pmatrix}$ . For each place  $v$  of  $\mathbb{Q}$ , we set  $\mathbf{K}_v = \mathbf{K} \otimes_{\mathbb{Q}} \mathbb{Q}_v$ . For a  $\mathbb{Q}$ -algebra  $R$ , we set

$$S_m(R) = \left\{ g \in M_m(R \otimes_{\mathbb{Q}} \mathbf{K}) \mid g^* = g \right\}.$$

For  $x \in S_m$ , we set

$$\nu_m(x) = \begin{pmatrix} 1_m & x \\ 0_m & 1_m \end{pmatrix} \in G_m.$$

For  $\alpha \in \text{Res}_{\mathbf{K}/\mathbb{Q}} \text{GL}_m$  with  $\det \alpha = \det \bar{\alpha}$ , we set

$$\mu_m(\alpha) = \begin{pmatrix} \alpha & 0_m \\ 0_m & (\alpha^*)^{-1} \end{pmatrix} \in G_m.$$

We define the Siegel parabolic subgroup  $P_m$  of  $G_m$  as follows.

$$P_m = \left\{ g \in G_m \mid c_g = 0_m \right\}.$$

For a place  $v$  of  $\mathbb{Q}$ , we define a maximal compact subgroup  $C_v$  as follows:

$$C_v = \begin{cases} \{g \in G_m(\mathbb{R}) \mid g \cdot i = g\} & \text{if } v = \infty, \\ G(\mathbb{Q}_v) \cap \text{GL}_{2m}(\mathcal{O}_{\mathbf{K}, q}) & \text{if } v < \infty. \end{cases}$$

Then the Iwasawa decomposition  $G_m(\mathbb{Q}_v) = P_m(\mathbb{Q}_v)C_v$  for each place  $v$  of  $\mathbb{Q}$  holds. For each place  $v$  of  $\mathbb{Q}$ , we define a function  $\phi_{n,v}$  on  $G_m(\mathbb{Q}_v)$  as follows.

$$\phi_{n,v}(yw) = |\det a_y|_v^k,$$

where  $y \in P_m(\mathbb{Q}_v)$  and  $w \in C_v$ . We note that  $\det a_y \in \mathbb{Q}_v^\times$  by [20], Lemma 1.1. Here we take the norm  $|\cdot|_v$  so that  $|\cdot|_\infty$  is the usual Euclidean norm of  $\mathbb{R}$  and  $|q|_v = q^{-1}$  if  $v = q$  is a finite place. For each place  $v$  of  $\mathbb{Q}$ , we take a Haar measure  $\mu_v(x)$  on  $S_m(\mathbb{Q}_v)$  as in [20] § 3, (3. 19). Further, for each place  $v$  of  $\mathbb{Q}$ , we take an additive character  $\mathbf{e}_v$  of  $\mathbb{Q}_v$  by  $\mathbf{e}_\infty(x) = \mathbf{e}(x)$  for  $x \in \mathbb{R}$ . If  $v = q$  is a finite place, then we take  $\mathbf{e}_q$  so that  $\mathbf{e}_q(x) = \mathbf{e}(-x)$  for  $x \in \mathbb{Z}[q^{-1}]$ .

Let  $H \in \Lambda_m(\mathcal{O}_{\mathbf{K}})$  with  $H \geq 0$  and set  $r = \text{rank } H$ . We take  $U \in \text{SL}_m(\mathbf{K})$  such that

$$H[U] = \begin{pmatrix} H' & 0 \\ 0 & 0_{m-r} \end{pmatrix}.$$

For each place  $v$  of  $\mathbb{Q}$ , we take matrices  $U_v$  and  $H'_v$  as follows. For each prime number  $q$ , we take  $U_q \in \text{SL}_m(\mathcal{O}_{\mathbf{K},q})$  so that

$$H[U_q] = \begin{pmatrix} H'_q & 0 \\ 0 & 0_{m-r} \end{pmatrix}.$$

We take  $U_\infty \in \text{SU}_m(\mathbb{C})$  so that

$$H[U_\infty] = \begin{pmatrix} H'_\infty & 0 \\ 0 & 0_{m-r} \end{pmatrix}.$$

Then for each place  $v$  of  $\mathbb{Q}$ , by the choice of  $U_v$ , there exists  $\alpha_v \in \text{GL}_r(\mathbf{K}_v)$  and  $\beta_v \in \text{GL}_{m-r}(\mathbf{K}_v)$  such that

$$U^{-1}U_v = \begin{pmatrix} \alpha_v & 0 \\ * & \beta_v \end{pmatrix}.$$

Then by a similar argument to that in [20], [22, Proposition 4.2], (though there is a missing factor in [22, Proposition 4.2]), we have the following.

$$a(H; \mathcal{E}_k^{(m)}) \mathbf{e}(i \text{tr} HY) = c_\mu a_\infty(H, Y, k) \prod_{q: \text{prime}} a_q(H, k).$$

Here we set  $Y = \frac{Z-Z^*}{2i}$ . The factor  $c_\mu$  is given as

$$c_\mu = 2^{r(r-1)/2} D_{\mathbf{K}}^{-r(r-1)/4}.$$

The factor  $a_\infty(H, Y, k)$  is given as follows.

$$(\det Y)^{-k/2} \times \int_{S_r(\mathbb{R})} \phi_\infty \left( w_{m,r} \nu_m \text{diag}(x, 0_{m-r}) \mu_m(U^{-1}Y^{1/2}) \right) \mathbf{e}_\infty(-\text{tr} H' x) d\mu_\infty(x).$$

The factor  $a_q(H, k)$  is given as follows.

$$\int_{S_r(\mathbb{Q}_q)} \phi_q \left( w_{m,r} \nu_m(\text{diag}(x, 0_{m-r})) \mu_m(U)^{-1} \right) \mathbf{e}_q(-\text{tr} H' x) d\mu_q(x).$$

Here  $w_{m,r}$  is given by

$$\begin{pmatrix} 0_r & & -1_r & \\ & 1_{m-r} & & 0_{m-r} \\ 1_r & & 0_r & \\ & 0_{m-r} & & 1_{m-r} \end{pmatrix}.$$

For a place  $v$  of  $\mathbb{Q}$ , we have  $\text{tr}(xy) \in \mathbb{Q}_v$  for  $x, y \in S_r(\mathbb{Q}_v)$ . We note that we can consider  $\mathbf{e}_v(-\text{tr}H'x)$  for  $x \in S_r(\mathbb{Q}_v)$ . Let  $v = q$  be a finite place. By replacing  $x$  by  $x[\alpha_v^*]$  and noting that there exists  $\gamma \in P_m(\mathbb{Q}_v)$  such that  $\det a_\gamma = (\det \bar{\alpha}_v \alpha_v)^{-1}$  and

$$w_{m,r} \nu_m(\text{diag}(x[\alpha_v^*], 0)) \mu_m(U^{-1}) = \gamma w_{m,r} \nu_m(\text{diag}(x, 0)) \mu_m(U_v^{-1}),$$

we have

$$\begin{aligned} a_q(H, k) &= |\det(\alpha_v \alpha_v^*)|_v^{r-k} \int_{S_r(\mathbb{Q}_v)} \phi_q(w_{m,r} \nu_m(\text{diag}(x, 0))) \mathbf{e}_q(-\text{tr}H'_q x) d\mu_q(x) \\ &= |\det(\alpha_v \alpha_v^*)|_v^{r-k} \int_{S_r(\mathbb{Q}_v)} \phi_q(w_r \nu_r(x)) \mathbf{e}_q(-\text{tr}H'_q x) d\mu_q(x), \end{aligned}$$

where  $w_r = w_{r,r} \in G_r$ . As is well known, this can be written as follows (cf. [20], [10]):

$$a_q(H, k) = |\det(\alpha_v \alpha_v^*)|_v^{r-k} L_{r,q}(k) \mathcal{F}_q(H'_q, q^{-k}),$$

where

$$L_{r,q}(k) = \prod_{i=0}^{r-1} (1 - \chi_{\mathbf{K}}^i(q) q^{i-k}).$$

Here we understand  $\chi_{\mathbf{K}}^i(q) = 1$  if  $i$  is even. By a similar computation at the infinite place, we have the following.

$$a_\infty(H, Y, k) = |\det(\alpha_v \alpha_v^*)|_v^{r-k} \int_{S_r(\mathbb{R})} |\det(x + \eta i)|_\infty^{-k} \mathbf{e}_\infty(-\text{tr}H'_\infty x) d\mu_\infty(x).$$

Here  $\eta$  is the  $r \times r$  upper left block of  $Y[U_v]$ . By using the notation and the result of Shimura [20], (7.12), we have

$$\begin{aligned} \xi(\eta, H'_v, k, 0) &= \int_{S_r(\mathbb{R})} |\det(x + \eta i)|_\infty^{-k} \mathbf{e}_\infty(-\text{tr}H'_\infty x) d\mu_\infty(x), \\ &= 2^{(1-r)r} i^{-rk} (2\pi)^{rk} \Gamma_r(k)^{-1} (\det H'_v)^{k-r} \mathbf{e}(i \text{tr} H'_v \eta). \end{aligned}$$

Here

$$\Gamma_m(s) = \pi^{m(m-1)/2} \prod_{i=0}^{m-1} \Gamma(s - i).$$

In the rest of the proof, we assume that  $r$  is even for simplicity. We omit the proof for an odd  $r$  since the proof is the same. We set

$$L_r(k) = \prod_{q: \text{prime}} L_{r,q}(k) = \prod_{i=0}^{r-1} L(k - i, \chi_{\mathbf{K}}^i)^{-1},$$

and set  $r = 2r'$  with  $r' \in \mathbb{Z}_{\geq 1}$ . Then by functional equations of Dirichlet  $L$ -functions, we have

$$L_r(k) = (-1)^{r'} 2^r (2\pi)^{r(r-1)/2-kr} \\ \times D_{\mathbf{K}}^{(k+1/2)r'-r'(r'+1)} \prod_{i=0}^{r-1} \Gamma(k-i) \prod_{i=1}^r L(i-k, \chi_{\mathbf{K}}^{i-1})^{-1}.$$

Thus we have

$$c_\mu L_r(k) \xi(\eta, H'_v, k, 0) = (-1)^{r'} 2^r D_{\mathbf{K}}^{r'(k-r)} (\det H'_\infty)^{k-r} \\ \times \prod_{i=1}^r L(i-k, \chi_{\mathbf{K}}^{i-1})^{-1} \mathbf{e}(i \operatorname{tr} HY).$$

Let  $H \in \Lambda_r(\mathcal{O}_{\mathbf{K},q})$  with  $\det H \neq 0$ . By Theorem 3.4, we have

$$\mathcal{F}_q(H, q^{-k}) = |\gamma(H)|_q^{k-r} \underline{\chi}_{\mathbf{K},q}(\gamma(H)) \mathcal{F}_q(H, q^{k-2r}).$$

Therefore, we have

$$c_\mu L_r(k) \xi(\eta, H'_v, k, 0) \prod_{q:\text{prime}} \mathcal{F}_q(H'_q, q^{-k}) = \\ 2^r \prod_{v:\text{place of } \mathbb{Q}} |\gamma(H'_v)|_v^{r-k} \prod_{q:\text{prime}} \mathcal{F}_q(H'_q, q^{k-2r}) \prod_{i=1}^r L(i-k, \chi_{\mathbf{K}}^{i-1})^{-1} \mathbf{e}(i \operatorname{tr} HY).$$

Since  $H'_v[\alpha_v^{-1}] = H' \in S_r(\mathbb{Q})$ , we have the assertion of Theorem 3.1.

Acknowledgement: We thank S. Böcherer for helpful discussions related to this work. This work was supported by JSPS KAKENHI: first author, Grant-in-Aid (C) (No. 25400031); second author, Grant-in-Aid (B) (No. 16H03919).

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