

# On the Bombieri-Pila Method Over Function Fields

A. Sedunova

June 30, 2015

## Abstract

In [1] E. Bombieri and J. Pila introduced a method for bounding the number of integral lattice points that belong to a given arc under several assumptions. In this paper we generalize the Bombieri-Pila method to the case of function fields of genus 0 in one variable. We then apply the result to counting the number of elliptic curves contain in an isomorphism class and with coefficients in a box.

## 1 Introduction

In [1] E. Bombieri and J. Pila proved that if  $\Gamma$  is a subset of an irreducible algebraic curve of degree  $d$  inside a square of side  $N$ , then the number of lattice points on  $\Gamma$  is bounded by  $c(d, \varepsilon)N^{\frac{1}{d}+\varepsilon}$  for any  $\varepsilon > 0$ , where the constant  $c(d, \varepsilon)$  does not depend on  $\Gamma$ . There are many analogues of this remarkable result. For example, one can be interested in finding a bound for a number of solutions of  $f(x, y) = 0 \pmod{p}$  with  $x \in I$ ,  $y \in J$ , where  $I$  and  $J$  are short intervals in  $\mathbb{Z}/p\mathbb{Z}$  (see [2] and [3]). Such results are  $p$ -analogues of the Bombieri-Pila bound. (Here we should assume that the lengths of  $I$  and  $J$  are much shorter than  $p$ , so that the Weil bound and other standard methods cannot be applied.)

One can go further and look for a function field analogue. Here we work in a finite field  $\mathbb{F}_{q^n}$  modelled as  $\mathbb{F}_q[T]/f(T)$  where  $f$  is a fixed irreducible polynomial of degree  $n$  and  $T$  is a formal variable. Then an interval is the set of polynomials of the form  $X + Y = X(T) + Y(T)$ , where  $X \in \mathbb{F}_q[T]$  is a fixed polynomial and  $Y(T)$  runs through all polynomials of degree bounded by a given natural number. This point of view was used by J. Cilleruelo and I. Shparlinski in [4] for obtaining some bounds on the number of solutions of polynomial congruences modulo a prime with variables in short intervals. The same authors also formulated [4, Problem 9], which is solved here.

Our main goal is to prove

**Theorem 1** *Let  $\mathcal{C}$  be an irreducible algebraic curve of degree  $d$  over  $\mathbb{F}_q[T]$ ,  $q$  is a prime power. Define  $S$  as the set of points on  $\mathcal{C}$  inside  $I^2$ , where  $I$  is a set of polynomials  $X \in \mathbb{F}_q[T]$  with  $\deg X \leq n$  and  $|I| = q^{n+1}$ . Then*

$$|S| \ll_{d, \varepsilon} |I|^{\frac{1}{d}+\varepsilon}.$$

One can pose a question: why can we not just follow the Bombieri-Pila approach in order to get Theorem 1? Unfortunately, in this case we will cross some difficulties in getting Lemma 2 of [1], since we do not have the necessary analogue of the mean value theorem in function fields (see [5], Lemma 1). There seem to be at least two plausible ways to avoid this difficulty. The first one consists in getting a function field variant of Theorem 4 in Heath-Brown's article [6]. The second one, which we will follow here, is to adapt the method of Helfgott-Venkatesh [7].

We will need analogues of Propositions 3.1 and 3.2 of [7]. Combining and developing the original ideas of [1] together with an adaptation of some results of [7] will lead us to our main result.

After that we will use Theorem 1 to get some applications, such as a calculation of the number of isomorphism classes which are represented by elliptic curves  $E_{a,b}$  parametrized by coefficients  $a, b \in \mathbb{F}_q[T]$  lying in a small box, say,  $I^2$ . Using this result one can calculate the number of elliptic curves lying in a given isomorphism class with coefficients lying in a small box. To proceed we will work with ideas proposed in [3].

## 2 Auxiliary statements

Let  $X$  and  $Y$  be variables with values in  $\mathbb{F}_q[T]$ , i.e. their values are of the form  $X = X(T) = a_0 + a_1T + \dots + a_nT^n$ ,  $Y = Y(T) = b_0 + b_1T + \dots + b_mT^m$ , where  $T$  is a place holder,  $a_i, b_j \in \mathbb{F}_q$ ,  $i = 0, \dots, \deg X = n$ ,  $j = 0, \dots, \deg Y = m$ . For  $X \in \mathbb{F}_q[T]$  we denote by  $|X|$  its norm:  $|X| = q^{\deg X}$ .

Define "an interval"  $I$  as the set of polynomials on a formal variable  $T$  of the form  $X(T) + Y(T)$ , where  $X(T)$  is a fixed polynomial and  $Y(T)$  runs through all polynomials of degree less or equal than a given integer.

In what follows  $\mathcal{C}$  is an irreducible algebraic curve of degree  $d$  over  $\mathbb{F}_q[T]$ , which is described by  $F(X, Y) = 0$ ,  $F(X, Y) \in (\mathbb{F}_q[T])[X, Y]$ . Write  $S$  for the set of points on  $\mathcal{C}$  inside  $I^2$ .

For any  $F(X, Y) \in (\mathbb{F}_q[T])[X, Y]$  we write  $\deg_X F$  and  $\deg_T F$  to denote the degree of a polynomial  $F$  with respect to  $X$  and  $T$  respectively. We also use the standard notation  $\deg F(X, Y)$  for the degree of  $F(X, Y)$  as a polynomial in  $X$  and  $Y$ .

Let  $\mathcal{W}$  be a set consisting of finitely many linearly independent polynomials  $F \in (\mathbb{F}_q[T])[X, Y]$  including the constant polynomial  $\mathbf{1}$ . Write  $d_{\mathcal{W}}$  for the total degree of all elements of  $\mathcal{W}$ . Assume that the elements of  $\mathcal{W}$  separate points, meaning that  $\forall (X_1, Y_1), (X_2, Y_2) \in (\mathbb{F}_q[T])^2$  there is an  $F \in \mathcal{W}$  such that  $F(X_1, Y_1) \neq F(X_2, Y_2)$ . We define a  $\mathcal{W}$ -curve to be an affine algebraic curve described by an equation  $G(X, Y) = 0$ , where all the monomials of  $G$  belong to  $\mathcal{W}$ .

During the proof of Theorem 1 we will use the following choice of  $\mathcal{W}$ :

**Example 1** Define  $\mathcal{W} = \mathcal{W}_{d,M}$  as

$$\mathcal{W} = \{X^i Y^j \mid i \leq d, j \leq M\},$$

where  $d$  and  $M$  are given numbers. Then  $|\mathcal{W}| = (d+1)(M+1)$ ,  $d_{\mathcal{W}} = (d+1)(M+1)\frac{d+M}{2}$ . The  $\mathcal{W}$ -curves are plane curves of degree less or equal than  $d$  and  $M$  in  $X$  and  $Y$  respectively.

This choice is taken straight from the work of Bombieri and Pila [1].

**Lemma 1** Let  $\mathcal{C}$  be an irreducible algebraic curve of degree  $d$  over  $\mathbb{F}_q[T]$  and let  $S$  be the set of points on  $\mathcal{C}$  inside  $I^2$ . Suppose that the number of residues  $\{(X, Y) \bmod f, X, Y \in S\}$  is at most  $\alpha|f|$  for some fixed  $\alpha > 0$  and for every irreducible polynomial  $f \in \mathbb{F}_q[T]$ . Assume that  $\mathcal{W}$  is chosen in a way that any  $\mathcal{W}$ -curve contains at most constant number  $C$  of elements of  $S$ . Then the following holds

$$|S| \ll_{\mathcal{W}} |I|^{\frac{2\alpha d_{\mathcal{W}}}{\omega(\omega-1)} + o_{\alpha, C}(1)},$$

where  $\omega = |\mathcal{W}|$ .

**Proof.** We are going to prove it in the spirit of [7, Proposition 3.1]. Write  $P = (X, Y)$  for a point in  $(\mathbb{F}_q[T])^2$  with coordinates  $X, Y \in \mathbb{F}_q[T]$ . Fixing an arbitrary ordering  $F_1, F_2, \dots, F_{\omega}$  for the elements of  $\mathcal{W}$ , we define a function

$$W : ((\mathbb{F}_q[T])^2)^{\omega} \rightarrow \mathbb{F}_q[T]$$

by

$$W(P_1, \dots, P_{\omega}) = \det(F_i(P_j))_{1 \leq i, j \leq \omega}.$$

Let  $\mathbf{P}$  denote an ensemble of points in  $S$ :  $\mathbf{P} = (P_1, \dots, P_{\omega})$ ,  $P_i = (X_i, Y_i) \in S$ . We say that  $\mathbf{P}$  is admissible if  $W(\mathbf{P}) = W(P_1, \dots, P_{\omega}) \neq \mathbf{0}$  (where  $\mathbf{0}$  stands for zero polynomial in  $\mathbb{F}_q[T]$ ). Define

$$\Delta = \prod_{\mathbf{P}}^* |W(\mathbf{P})|,$$

where  $*$  means that we take the operation over all admissible  $\mathbf{P}$ .

By the definition of  $d_{\mathcal{W}}$  we have

$$|W(\mathbf{P})| \ll_{\mathcal{W}} |I|^{d_{\mathcal{W}}}$$

for every  $\mathbf{P} \in S^{\omega}$ . Taking  $\log \Delta$  and applying the expression above gives

$$\frac{\log \Delta}{|S|^{\omega}} = \frac{\sum_{\mathbf{P}}^* \log |W(\mathbf{P})|}{|S|^{\omega}} \leq d_{\mathcal{W}} \log |I| + O_{\mathcal{W}}(1). \quad (2.1)$$

Fix any irreducible polynomial  $f$  with  $|f| \leq N$ , where  $N$  is to be set at the end. Then for every point  $P \in (\mathbb{F}_q[T])^2$  let  $\rho_P$  be the fraction of points in  $S$  that reduce to  $P \bmod f$ . For each  $\mathbf{P}$  let  $\kappa(\mathbf{P}) \in \{0, 1, \dots, \omega - 1\}$  be defined in a way that  $\omega - \kappa(\mathbf{P})$  is the number of distinct points among the points  $P_i \bmod f$ . Then one can state

$$\text{ord}_f \Delta \geq \sum_{\mathbf{P}}^* \kappa(\mathbf{P}) = \sum_{\mathbf{P}} \kappa(\mathbf{P}) - \sum_{\mathbf{P}}^{na} \kappa(\mathbf{P}), \quad (2.2)$$

where the first sum on the right hand side is taken over all  $\mathbf{P}$  and the second one is the sum over all inadmissible ensembles  $\mathbf{P}$ .

We are going to proceed in two steps. First, we will calculate the sum over all  $\mathbf{P} \in S^\omega$  by probabilistic methods. Here we see  $P_1, \dots, P_\omega$  as  $\omega$  independent random variables with values in  $(\mathbb{F}_q[T])^2$  and use

$$Y_P = \begin{cases} 1, & \text{if at least one of } P_i \in S/\{P\} \text{ is equal to } P \bmod f; \\ 0, & \text{otherwise.} \end{cases}$$

In the inadmissible case of  $\mathbf{P}$  we have either at least two points  $P_i = P_j$  among the entries of  $\mathbf{P}$  or at least two points  $P_i = P_j \bmod f$ ,  $P_i, P_j \in \mathbf{P}$ ,  $P_i \neq P_j$ . The number of pairs  $P_i, P_j$  that satisfy the first possibility can be easily bounded by  $O(|S|^{\omega-1})$  and for the latter case we permute the entries of our matrix in order to have

$$\det(F_i(P_j))_{1 \leq i, j \leq l} \neq 0$$

of a maximal possible size  $l$  and then apply the fact that any  $\mathcal{W}$ -curve contains at most constant number of elements of  $S$ .

Let us start with the sum over all  $\mathbf{P} \in S^\omega$ . Consider  $\mathbf{P}$  as a random variable with uniform distribution. Then the expected value of the number of distinct points among the  $P_i \bmod f$  is equal to

$$\frac{\sum_{\mathbf{P}} (\omega - \kappa(\mathbf{P}))}{|S|^\omega} = \mathbb{E} \left( \sum_P Y_P \right).$$

Further,

$$\begin{aligned} \mathbb{E} \left( \sum_P Y_P \right) &= \sum_P \mathbb{E}(Y_P) = \sum_P \text{Prob}(\exists P_i | P_i \equiv P \bmod f) = \sum_P (1 - \text{Prob}(\nexists P_i | P_i \equiv P \bmod f)) \\ &= \sum_P (1 - \text{Prob}(\forall P_i | P_i \not\equiv P \bmod f)) = \sum_P \left( 1 - \prod_i \text{Prob}(P_i \not\equiv P \bmod f) \right) = \sum_P \left( 1 - \prod_i (1 - \rho_P) \right) \\ &= \sum_P (1 - (1 - \rho_P)^\omega). \end{aligned}$$

We then have

$$\frac{\sum_{\mathbf{P}} (\omega - \kappa(\mathbf{P}))}{|S|^\omega} = \sum_P (1 - (1 - \rho_P)^\omega).$$

Next

$$\frac{\sum_{\mathbf{P}} \kappa(\mathbf{P})}{|S|^\omega} = \frac{\sum_{\mathbf{P}} \omega}{|S|^\omega} - \sum_P (1 - (1 - \rho_P)^\omega) = \sum_P ((1 - \rho_P)^\omega + \omega \rho_P - 1).$$

Since

$$(1 - \rho_P)^\omega + \omega \rho_P - 1 = 1 - \omega \rho_P + \binom{\omega}{2} \rho_P^2 + \dots + (-1)^\omega \binom{\omega}{\omega} \rho_P^\omega + \omega \rho_P - 1 = \rho_P^2 \left( \binom{\omega}{2} - o_{C, \omega}(1) \right),$$

then

$$\frac{\sum_{\mathbf{P}} \kappa(\mathbf{P})}{|S|^\omega} = \frac{\omega(\omega - 1)}{2} \sum_P \rho_P^2 - o_{C, \omega} \left( \sum_P \rho_P^2 \right). \quad (2.3)$$

Now let us bound the sum over all inadmissible  $\mathbf{P}$ . Consider the set of such  $\mathbf{P}$  with  $\kappa(\mathbf{P}) > 0$ . Then one of the followings is true:

1. There exist  $i$  and  $j$ , such that  $P_i = P_j$ ;
2. There exist  $i$  and  $j$ , such that  $P_i \equiv P_j \pmod{f}$ , but  $P_i \neq P_j$ .

The total number of inadmissible  $\mathbf{P}$ , such that the first condition above holds is equal to  $O(|S|^{\omega-1})$ . Let us estimate this number for the second case. Permute the entries in such a way that  $i = 1, j = 2$  and  $F_1 = \mathbf{1}, F_2(P_i) \neq F_2(P_j)$  (this is possible since we have assumed that the elements of  $\mathcal{W}$  separate points and  $\mathcal{W}$  contains  $\mathbf{1}$ ). Then for  $l = 2$

$$\det(F_i(P_j))_{1 \leq i, j \leq l} \neq 0.$$

Choose the maximal  $l$ , such that the above statement still holds. Then  $P_{l+1}$  lies on a  $\mathcal{W}$  curve determined by  $P_1, P_2, \dots, P_l$ . As we demanded, the number of possible values for  $P_{l+1}$  is bounded above by a constant. Then the number of inadmissible  $\mathbf{P}$ , such that the second case takes place is equal to

$$O_\omega(|S|^{\omega-3}\delta),$$

where  $\delta$  is the number of pairs  $(Q_1, Q_2) \in S^2$  that reduce to the same point mod  $f$ . By the definition of  $\rho_P$  we have

$$\delta = |S|^2 \sum_P \rho_P^2.$$

Summing two results we see that there are at most

$$O_\omega(|S|^{\omega-1} + |S|^{\omega-3}\delta) = O_\omega\left(|S|^{\omega-1} \left(1 + \sum_P \rho_P^2\right)\right) = |S|^\omega O_\omega\left(|S|^{-1} \left(1 + \sum_P \rho_P^2\right)\right) \quad (2.4)$$

inadmissible  $\mathbf{P}$  with  $\kappa(\mathbf{P}) > 0$ . Putting (2.3) and (2.4) into (2.2) we have

$$\frac{\text{ord}_f \Delta}{|S|^\omega} \geq \frac{\sum_{\mathbf{P}} \kappa(\mathbf{P}) - \sum_{\mathbf{P}}^{na} \kappa(\mathbf{P})}{|S|^\omega} \geq \left(\frac{\omega(\omega-1)}{2} - o_{C,\omega}(1)\right) \sum_P \rho_P^2 - O_\omega\left(|S|^{-1} \left(1 + \sum_P \rho_P^2\right)\right).$$

Using Cauchy's inequality

$$\sum_P \rho_P^2 \geq \frac{1}{\alpha|f|} \left(\sum_P \rho_P\right)^2 = \frac{1}{\alpha|f|}$$

one can state

$$\frac{\text{ord}_f \Delta}{|S|^\omega} \geq \left(\frac{\omega(\omega-1)}{2} - o_{C,\omega}(1)\right) \frac{1}{\alpha|f|} - O_{\omega,\alpha,|f|}(|S|^{-1}).$$

Multiply the equation above by  $\log |f|$  and sum over all  $|f| \leq N$ :

$$\sum_{|f| \leq N} \log |f| \left(\frac{\omega(\omega-1)}{2} - o_{C,\omega}(1)\right) \frac{1}{\alpha|f|} + O_{\omega,\alpha} \left(|S|^{-1} \sum_{|f| \leq N} \log |f|\right) \leq \frac{\log \Delta}{|S|^\omega}. \quad (2.5)$$

As we know from (2.1)

$$\frac{\log \Delta}{|S|^\omega} \leq d_{\mathcal{W}} \log |I| + O_{\mathcal{W}}(1).$$

Applying this estimate to (2.5) gives

$$\frac{\omega(\omega-1)}{2\alpha} \sum_{|f| \leq N} \frac{\log |f|}{|f|} + O_{\omega,\alpha} \left(|S|^{-1} \sum_{|f| \leq N} \log |f|\right) - o_{C,\omega,\alpha} \left(\sum_{|f| \leq N} \frac{\log |f|}{|f|}\right) \leq d_{\mathcal{W}} \log |I| + O_{\mathcal{W}}(1).$$

Taking  $N = |S|$  we end with

$$|S| \ll_{\omega,\mathcal{W}} |I|^{\frac{2\alpha d_{\mathcal{W}}}{\omega(\omega-1)} + o_{\alpha,C}(1)}.$$

□

**Lemma 2** Let  $\mathcal{C}$  be an irreducible algebraic curve of degree  $d$  over  $\mathbb{F}_q[T]$  which is defined by  $F(X, Y) = 0$ . There exists a linear transformation

$$(X, Y) \rightarrow (X', Y')$$

such that  $\deg_{X'} F(X', Y') = d$ .

**Proof.** We can assume  $\deg_X F(X, Y) < d$ , otherwise we are done. Any polynomial of the form  $F(X, Y) \in (\mathbb{F}_q[T])[X, Y]$  can be written as

$$F(X, Y) = \sum_{\substack{i \in J_1 \\ j \in J_2}} F_{ij} X^i Y^j,$$

where  $J_1, J_2 \subset \{0, 1, \dots, d\}$ ,  $F_{ij} \in \mathbb{F}_q$  and

$$\max_{\substack{i \in J_1 \\ j \in J_2}} (i + j) = \deg F = d, \quad \max_{i \in J_1} i = \deg_X F < d.$$

Consider a linear transformation

$$(X, Y) \rightarrow (X', Y')$$

such that  $(X, Y) = (AX' + BY', CX' + DY')$ , where  $A, B, C, D \in \mathbb{F}_q[T]$  with  $AD - BC \neq \mathbf{0}$ . Changing the variables  $(X, Y) \rightarrow (X', Y')$  we obtain

$$\begin{aligned} F(X, Y) &= \sum_{\substack{i \in J_1 \\ j \in J_2}} F_{ij} (AX' + BY')^i (CX' + DY')^j \\ &= \sum_{\substack{i \in J_1 \\ j \in J_2}} \sum_{k=0}^i \sum_{l=0}^j \binom{i}{k} \binom{j}{l} F_{ij} A^{i-k} B^k C^{j-l} D^l (X')^{i+j-k-l} (Y')^{k+l}. \end{aligned}$$

In new variables  $(X', Y')$  we have

$$\deg_{X'} F = \max_{\substack{k \in \{0, \dots, i\}, i \in J_1 \\ l \in \{0, \dots, j\}, j \in J_2}} (i + j - k - l),$$

which is equal to  $d$ , since  $\max_{\substack{i \in J_1 \\ j \in J_2}} (i + j) = \deg F = d$ . □

### 3 Proof of the theorem

We start with an interpolation argument, which is used for a similar goal in [6]. Let again  $F \in (\mathbb{F}_q[T])[X, Y]$  be written in a form

$$F(X, Y) = \sum_{\substack{i \in J_1 \\ j \in J_2}} F_{ij} X^i Y^j,$$

where  $J_1, J_2 \subset \{0, 1, \dots, d\}$ ,  $F_{ij} \in \mathbb{F}_q$ . We are counting the number of distinct lattice points  $P = (X, Y) \in I^2 \cap \mathcal{C}$ . If we have less than  $r(d) = d^2 + 1$  such points, then we are done. Suppose that we have at least  $r(d)$  points:  $P_i = (X_i, Y_i) \in \mathcal{C} \cap I^2$ ,  $i = 1, \dots, r(d)$  with  $F(P_i) = \mathbf{0}$ . Denote by  $n(d) = \frac{1}{2}(d+1)(d+2)$  the number of monomials of degree less or equal than  $d$ . Consider  $n(d) \times r(d)$  matrix  $A$ , whose  $i$ -th row consists of the monomials of degree  $d$  in the variables  $X_i, Y_i$ . Let  $\vec{b} \in \mathbb{F}_q^{n(d)}$  be a vector, whose entries are the corresponding coefficients  $F_{ij}$  of  $F(X, Y)$ . For such a vector  $\vec{b}$  we have an equation

$$A\vec{b} = \vec{0}.$$

Since  $\vec{b} \neq \vec{0}$ , then the matrix  $A$  has a rank less than or equal to  $n(d) - 1$ . Thus there is a solution  $\vec{g} \neq \vec{0}$ , where  $\vec{g}$  is constructed out of the minors of  $A$  with  $|\vec{g}| \ll_d |I|^{dn(d)}$ . Let  $G \in (\mathbb{F}_q[T])[X, Y]$  be the form of degree  $d$  corresponding to the vector  $\vec{g}$ . Then  $G(X, Y)$  and  $F(X, Y)$  share  $r(d)$  zeros (points  $P_i$ ). By Bézout's theorem it is possible only if  $G$  is a multiple of  $F$ . Since  $F$  is irreducible, then  $G$  is also irreducible and defines the same curve  $\mathcal{C}$ . Let us work with  $G$  instead of  $F$ .

We are going to proceed in two steps:

1. If  $\deg_X G < d$ , then by Lemma 2 we can change variables so that  $\deg_{X'} G = d$ . If not, then proceed to the next step.
2. Using Weil bounds we obtain

$$|\{(X, Y) \in (\mathbb{F}_q[T] \bmod f)^2 : G(X, Y) = 0 \bmod f\}| = |f| + O_d(\sqrt{|f|}).$$

Further, for every  $\varepsilon > 0$  and for every irreducible polynomial  $f \in \mathbb{F}_q[T]$  with the condition  $|f| \geq c(\varepsilon)$  the set  $S$  intersects at most  $(1 + \frac{\varepsilon}{2})|f|$  residue classes mod  $f$  (here  $c(\varepsilon)$  is a constant that depends only on  $\varepsilon$ ). Applying Lemma 1 with  $\alpha = 1 + \frac{\varepsilon}{2}$  and  $\mathcal{W}$  from Example 1:  $\mathcal{W} = \mathcal{W}_{d-1, M}$  we obtain

$$|S| \ll_{\varepsilon, \mathcal{W}} |I| \frac{(1 + \frac{\varepsilon}{2})^{(d+M-1)}}{(d(M+1)-1)} + o_{\varepsilon, C}(1).$$

We choose  $M$  to be large enough and end with

$$|S| \ll_{\varepsilon, \mathcal{W}} |I|^{\frac{1}{d} + \frac{3\varepsilon}{4} + o_{\varepsilon, C}(1)}.$$

## 4 An application to counting elliptic curves

In this section we are going to proceed with counting the number of elliptic curves  $E_{a,b}$  with coefficients  $a, b$  living in a small box that lie in the same isomorphic classes. This is basically the generalization of several statements presented in [3]. Doing this we have an opportunity to apply Theorem 1 and also to show that some results for number fields can be also adapted to function fields.

Let  $I$  stand again for an interval of polynomials of the form  $X(T) + Y(T)$ , where  $X(T) \in \mathbb{F}_q[T]$  is a fixed polynomial and  $Y(T) \in \mathbb{F}_q[T]$  runs through all polynomials of degree less or equal than  $d$ . The coefficients of  $X$  and  $Y$  belong to  $\mathbb{F}_q$  just as in section 2.

For a prime power  $q$  we consider a family of elliptic curves  $E_{a,b}$

$$E_{a,b} : Y^2 = X^3 + aX + b,$$

where  $X$  and  $Y$  belong to  $\mathbb{F}_q[T]$  as before and  $a, b$  are some coefficients from  $\mathbb{F}_q[T]$  with the property that  $4a^3 + 27b^2 \neq 0$ . As in the number field case we say that two curves  $E_{a,b}$  and  $E_{c,d}$  are isomorphic if

$$at^4 \equiv c \pmod{f} \quad \text{and} \quad bt^6 \equiv d \pmod{f}.$$

The existence of an isomorphism between  $E_{a,b}$  and  $E_{c,d}$  implies that

$$a^3 d^2 \equiv c^3 b^2 \pmod{f} \tag{4.1}$$

for some  $f \in \mathbb{F}_q[T]$ . We denote by  $N(I^2)$  the number of solutions to (4.1) with  $(a, b), (c, d) \in I^2$ . Then for  $\lambda \in \mathbb{F}_q[T]$  we write  $N_\lambda(I^2)$  for the number of solutions to the congruence

$$a^3 \equiv \lambda b^2 \pmod{f}, \quad (a, b) \in I^2.$$

We are going to give an upper bound on  $N_\lambda(I^2)$  that implies upper bounds for the number of elliptic curves  $E_{a,b}$  with coefficients  $a, b \in I$  that lie in the same isomorphic classes.

For a polynomial  $X \in \mathbb{F}_q[T]$  and an irreducible polynomial  $f \in \mathbb{F}_q[T]$  we use  $\{X\}_f$  to denote

$$\{X\}_f = \min_{Y \in \mathbb{F}_q[T]} |X - fY| = \min_{Y \in \mathbb{F}_q[T]} q^{\deg(X - fY)}.$$

From Dirichlet pigeon-hole principle we obtain

**Lemma 3** *For real numbers  $T_1, \dots, T_s$  with  $1 \leq T_1, \dots, T_s \leq |f|$ ,  $T_1 \cdots T_s \geq |f|^{s-1}$  and any polynomials  $X_1, \dots, X_s \in \mathbb{F}_q[T]$  there exists a polynomial  $t \in \mathbb{F}_q[T]$  such that  $t$  is not a multiple of  $f$  and*

$$\{X_i t\}_f \ll T_i, \quad i = 1, \dots, s.$$

Now we can give a good bound for  $N_\lambda(I^2)$ :

**Theorem 2** Let  $I$  be an interval of polynomials of degree less or equal than  $d$  with coefficients in  $\mathbb{F}_q$  and the length of  $I$  is  $|I| = q^d$ . For any irreducible polynomial  $f \in \mathbb{F}_q[T]$  such that  $1 \leq |I| \leq |f|^{\frac{1}{5}}$  and for any  $\lambda \in \mathbb{F}_q[T]$  we have

$$N_\lambda(I^2) \leq |I|^{\frac{1}{3}+o(1)}.$$

**Proof.** We have to estimate the number of solutions to

$$(X + X_0)^3 \equiv \lambda(X_0 + Y)^2 \pmod{f}.$$

This congruence is equivalent to

$$X^3 + 3XX_0^2 + 3X^2X_0 - \lambda Y^2 - 2\lambda X_0Y \equiv \lambda X_0^2 - X_0^3 \pmod{f}. \quad (4.2)$$

For any  $T \leq q^{\frac{1}{4}}/|I|^{\frac{1}{2}}$  we can apply Lemma 3 to

$$X_1 = 1, X_2 = 3X_0, X_3 = 3X_0^2, X_4 = -\lambda, X_5 = -2\lambda X_0$$

and

$$T_1 = T^4|I|^2, T_2 = T_4 = \frac{|f|}{T|I|}, T_3 = T_5 = \frac{|f|}{T}$$

and find that there exists  $t$  with  $|t| \leq T^4|I|^2$  such that

$$\{3X_0t\}_f \leq \frac{|f|}{T|I|}, \{3X_0^2t\}_f \leq \frac{|f|}{T}, \{\lambda t\}_f \leq \frac{q}{T|I|}, \{2\lambda X_0t\}_f \leq \frac{|f|}{T}.$$

For  $i = 1, \dots, 5$  denote by  $f_i$  a polynomial which satisfies  $f_i = X_it$ . Then multiply (4.2) by  $t$  leads us to the equality

$$f_1X^3 + f_2X^2 + f_3X + f_4Y^2 + f_5Y + f_6 = |f|Z, \quad (4.3)$$

where

$$|f_1| \leq T^4|I|^2, |f_2|, |f_4| \leq \frac{|f|}{T|I|}, |f_3|, |f_5| \leq \frac{|f|}{T}, |f_6| \leq \frac{|f|}{2}.$$

Since for  $X, Y \in I$  we have  $|X|, |Y| \leq |I|$ , then the left hand side of (4.3) is bounded above by  $T^4|I|^5 + \frac{4|f||I|}{T} + \frac{|f|}{2}$ . Thus

$$|Z| \ll \frac{T^4|I|^5}{|f|} + \frac{4|I|}{T} + 1.$$

Choosing  $T \approx \frac{|f|^{\frac{1}{5}}}{|I|^{\frac{1}{4}}}$  and applying the condition  $1 \leq |I| \leq |f|^{\frac{1}{5}}$  we end with the bound

$$|Z| \ll \frac{|I|^{\frac{6}{5}}}{q^{\frac{1}{5}}} + 1 \ll 1.$$

□

Application of Theorem 2 to the family of curves  $E_{x^2, x^3}$  with  $|x| \leq |I|^{\frac{1}{3}}$  shows that the result of Theorem 2 can not be improved. Thus in general we are not able to get any bound stronger than  $N_\lambda(I^2) = O(|I|^{\frac{1}{3}})$ .

## References

- [1] E. Bombieri, J. Pila, *The number of integral points on arcs and ovals*, Duke Mathematical Journal 59 (1989), 2, 337–357.
- [2] M. Chang, J. Cilleruelo, M. Garaev, J. Hernández, I. Shparlinski, A. Zumalácarregui, *Points on curves in small boxes and applications*, Michigan Mathematical Journal 63 (2014), 503–534.
- [3] J. Cilleruelo, I. Shparlinski, A. Zumalácarregui, *Isomorphism classes of elliptic curves over a finite field in some thin families*, Math. Res. Lett. 19 (2012), 2, 1–9.

- [4] J. Cilleruelo, I. Shparlinski, *Concentration of points on curves in finite fields*, Monatsh Math (2013), 171, 315–327.
- [5] H.P.F. Swinnerton-Dyer, *The number of lattice points on a convex curve*, J. Number Theory 6 (1974), 128–135.
- [6] D.R. Heath-Brown, *The Density of rational points on curves and surfaces*, Ann. of Math. (2), Vol. 155 (2002), no. 2, 553–598.
- [7] H.A. Helfgott, A. Venkatesh, *How small must ill-distributed sets be?*, Analytic number theory. Essays in honour of Klaus Roth. Cambridge University Press 2009, 224–234.