

# Almost isomorphic abelian varieties

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**Abstract.** We study abelian varieties over finitely generated fields  $K$  of characteristic zero, whose  $\ell$ -adic Tate modules are isomorphic as Galois modules for all primes  $\ell$ .

## 1 Introduction

Let  $K$  be a field,  $\bar{K}$  its separable algebraic closure,  $G_K = \text{Aut}(\bar{K}/K)$  the absolute Galois group of  $K$ . If  $A$  is an abelian variety over a field  $K$  then we write  $\text{End}(A)$  for its ring of all  $K$ -endomorphisms and  $\text{End}^0(A)$  for the corresponding (finite-dimensional semisimple)  $\mathbb{Q}$ -algebra  $\text{End}(A) \otimes \mathbb{Q}$ .

If  $\ell$  is a prime different from  $\text{char}(K)$  then we write  $T_\ell(A)$  for the  $\mathbb{Z}_\ell$ -Tate module of  $A$  [7, 9], which is a free  $\mathbb{Z}_\ell$ -module of rank  $2\dim(A)$  provided with the natural continuous group homomorphism

$$\rho_{\ell,A} : G_K \rightarrow \text{Aut}_{\mathbb{Z}_\ell}(T_\ell(A))$$

and the  $\mathbb{Z}_\ell$ -ring embedding

$$e_\ell : \text{End}(A) \otimes \mathbb{Z}_\ell \hookrightarrow \text{End}_{\mathbb{Z}_\ell}(T_\ell(A)).$$

The image of  $\text{End}(A) \otimes \mathbb{Z}_\ell$  commutes with  $\rho_{\ell,A}(G_K)$ . Tensoring by  $\mathbb{Q}_\ell$  (over  $\mathbb{Z}_\ell$ ), we obtain the  $\mathbb{Q}_\ell$ -Tate module of  $A$

$$V_\ell(A) = T_\ell(A) \otimes_{\mathbb{Z}_\ell} \mathbb{Q}_\ell,$$

which is a  $2\dim(A)$ -dimensional  $\mathbb{Q}_\ell$ -vector space containing

$$T_\ell(A) = T_\ell(A) \otimes 1$$

as a  $\mathbb{Z}_\ell$ -lattice. We may view  $\rho_{\ell,A}$  as an  $\ell$ -adic representation [11]

$$\rho_{\ell,A} : G_K \rightarrow \text{Aut}_{\mathbb{Z}_\ell}(T_\ell(A)) \subset \text{Aut}_{\mathbb{Q}_\ell}(V_\ell(A))$$

and extend  $e_\ell$  by  $\mathbb{Q}_\ell$ -linearity to the embedding of  $\mathbb{Q}_\ell$ -algebras

$$\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell = \text{End}(A) \otimes \mathbb{Q}_\ell \hookrightarrow \text{End}_{\mathbb{Q}_\ell}(V_\ell(A)),$$

which we still denote by  $e_\ell$ . Further we will identify  $\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$  with its image in  $\text{End}_{\mathbb{Q}_\ell}(V_\ell(A))$ . This provides  $V_\ell(A)$  with the natural structure of  $G_K$ -module; in addition,  $\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$  is a  $\mathbb{Q}_\ell$ -(sub)algebra of endomorphisms of the Galois module  $V_\ell(A)$ . In other words,

$$\text{End}^0(A) \otimes_{\mathbb{Q}} \mathbb{Q}_\ell \subset \text{End}_{G_K}(V_\ell(A)).$$

Let  $K$  be a field of characteristic zero that is finitely generated over  $\mathbb{Q}$ . Suppose we are given an abelian variety  $A$  of positive dimension over  $K$ . Let  $B$  be an abelian variety over  $K$  such that the  $\mathbb{Z}_\ell$ -Tate modules of  $A$  and  $B$  are isomorphic as Galois modules for all  $\ell$ . (We call such  $A$  and  $B$  *almost isomorphic*.) In this paper we discuss the structure of the corresponding right  $\text{End}(A)$ -module  $\text{Hom}(A, B)$ . Using a theorem of Faltings [4, 5] (conjectured by Tate [12]), we prove that  $\text{Hom}(A, B)$  is a locally free module of rank 1. In addition, using a special case of Serre's tensor construction ([2, Sect. 7], [3, Sect. 1.7.4]), we prove that there is a natural bijection between isomorphism classes of locally free modules of rank 1 over  $\text{End}(A)$  and isomorphism classes of abelian varieties  $B$  over  $K$ , whose Tate modules are isomorphic to ones of  $A$ .

The paper is organized as follows. Section 2 deals with isogenies of abelian varieties and corresponding homomorphisms of their Tate modules. In Section 3 we discuss locally free modules of rank 1 over orders in semisimple  $\mathbb{Q}$ -algebras. In Section 4 we apply results of Section 3 to a construction of almost isomorphic abelian varieties.

## 2 Isogenies

If  $\ell$  is a prime then we write  $\mathbb{Z}_{(\ell)}$  for the subring in  $\mathbb{Q}$  that consists of all the rational numbers, whose denominators are prime to  $\ell$ . We have

$$\mathbb{Z} \subset \mathbb{Z}_{(\ell)} = \mathbb{Z}_\ell \bigcap \mathbb{Q} \subset \mathbb{Z}_\ell.$$

(Here the intersection is taken in  $\mathbb{Q}_\ell$ .) In addition, if  $m$  is a positive integer that is prime to  $\ell$  then

$$\mathbb{Z} \subset \mathbb{Z}[1/m] \subset \mathbb{Z}_{(\ell)} \subset \mathbb{Q}.$$

The intersection of all  $\mathbb{Z}_{(\ell)}$ 's (in  $\mathbb{Q}$ ) coincides with  $\mathbb{Z}$ .

Let  $K$  be an arbitrary field. If  $\ell \neq \text{char}(K)$  and  $X$  is an abelian variety over  $K$  then we write  $X[\ell]$  for the kernel of multiplication by  $\ell$  in  $X(\bar{K})$ . It is well known that  $X[\ell]$  is a finite  $G_K$ -submodule in  $X(\bar{K})$  of order  $\ell^{2\dim(X)}$  and there is a natural isomorphism of  $G_K$ -modules  $X[\ell] \cong T_\ell(X)/\ell T_\ell(X)$ .

**Lemma 2.1** *Let  $A$  and  $B$  be abelian varieties of positive dimension over  $K$ .*

- (a) *If  $A$  and  $B$  are isogenous over  $K$  then the right  $\text{End}(A) \otimes \mathbb{Q}$ -module  $\text{Hom}(A, B) \otimes \mathbb{Q}$  is free of rank 1. In addition, one may choose as a generator of  $\text{Hom}(A, B) \otimes \mathbb{Q}$  any isogeny  $\phi : A \rightarrow B$ .*
- (b) *The following conditions are equivalent.*

- (i) *The right  $\text{End}(A) \otimes \mathbb{Q}$ -module  $\text{Hom}(A, B) \otimes \mathbb{Q}$  is free of rank 1.*
- (ii)  *$\dim(A) \leq \dim(B)$  and there exists a  $\dim(A)$ -dimensional abelian  $K$ -subvariety  $B_0 \subset B$  such that  $A$  and  $B_0$  are isogenous over  $K$  and*

$$\text{Hom}(A, B) = \text{Hom}(A, B_0).$$

*In particular, the image of every  $K$ -homomorphism of abelian varieties  $A \rightarrow B$  lies in  $B_0$ .*

- (c) *If the equivalent conditions (i) and (ii) hold and  $\dim(B) \leq \dim(A)$  then  $\dim(A) = \dim(B)$ ,  $B = B_0$ , and  $A$  and  $B$  are isogenous over  $K$ .*

**Proof.** (a) is obvious.

Suppose (bii) is true. Let us pick an isogeny  $\phi : A \rightarrow B_0$ . It follows that  $\text{Hom}(A, B_0) \otimes \mathbb{Q} = \phi \text{End}^0(A)$  is a free right  $\text{End}^0(A)$ -module of rank 1 generated by  $\phi$ . Now (bi) follows from the equality

$$\text{Hom}(A, B) \otimes \mathbb{Q} = \text{Hom}(A, B_0) \otimes \mathbb{Q}.$$

Suppose that (bi) is true. We may choose a homomorphism of abelian varieties  $\phi : A \rightarrow B$  as a generator (basis) of the free right  $\text{End}(A) \otimes \mathbb{Q}$ -module  $\text{Hom}(A, B) \otimes \mathbb{Q}$ . In other words, for every homomorphism of abelian

varieties  $\psi : A \rightarrow B$  there are  $u \in \text{End}(A)$  and a *nonzero* integer  $n$  such that  $n\psi = \phi u$ . In addition, for each *nonzero*  $u \in \text{End}(A)$  the composition  $\phi u$  is a *nonzero* element of  $\text{Hom}(A, B)$ . Clearly,  $B_0 := \phi(A) \subset B$  is an abelian  $K$ -subvariety of  $B$  with  $\dim(B_0) \leq \dim(A)$ . We have

$$n\psi(A) = \phi u(A) \subset \psi(A) \subset B_0.$$

It follows that the identity component of  $\psi(A)$  lies in  $B_0$ . Since  $\psi(A)$  is a (connected) abelian  $K$ -subvariety of  $B$ , we have  $\psi(A) \subset B_0$ . This proves that  $\text{Hom}(A, B) = \text{Hom}(A, B_0)$ . On the other hand, if  $\dim(B_0) = \dim(A)$  then  $\phi : A \rightarrow B_0$  is an *isogeny* and we get (bii) under our additional assumption. If  $\dim(B_0) < \dim(A)$  then  $\ker(\phi)$  has positive dimension that is strictly less than  $\dim(A)$ . By the Poincaré complete reducibility theorem [7], there is an endomorphism  $u_0 \in \text{End}(A)$  such that the image  $u_0(A)$  coincides with the identity component of  $\ker(\phi)$ ; in particular,  $u_0 \neq 0$ ,  $u_0(A) \subset \ker(\phi)$ . This implies that  $\phi u_0 = 0$  in  $\text{Hom}(A, B)$  and we get a contradiction, which proves (bii).

(c) follows readily from (bii).  $\square$

**Lemma 2.2** *Suppose that  $A, B, C$  are abelian varieties over  $K$  of positive dimension that are mutually isogenous over  $K$ . We view  $\text{Hom}(A, B) \otimes \mathbb{Q}$  and  $\text{Hom}(A, C) \otimes \mathbb{Q}$  as right  $\text{End}^0(A) = \text{End}(A) \otimes \mathbb{Q}$ -modules. Then the natural map*

$$m_{B,C} : \text{Hom}(B, C) \otimes \mathbb{Q} \rightarrow \text{Hom}_{\text{End}^0(A)}(\text{Hom}(A, B) \otimes \mathbb{Q}, \text{Hom}(A, C) \otimes \mathbb{Q})$$

*that associates to  $\tau : B \rightarrow C$  a homomorphism of right  $\text{End}(A) \otimes \mathbb{Q}$ -modules*

$$m_{B,C}(\tau) : \text{Hom}(A, B) \otimes \mathbb{Q} \rightarrow \text{Hom}(A, C) \otimes \mathbb{Q}, \psi \mapsto \tau\psi$$

*is a group isomorphism.*

**Proof.** Clearly,  $m_{B,C}$  is injective. In order to check the surjectiveness, notice that the statement is clearly *invariant by isogeny*, so we can assume that  $B = A$  and  $C = A$ , in which case it is obvious.  $\square$

Now till the end of this paper we assume that  $K$  is a field of characteristic zero that is finitely generated over  $\mathbb{Q}$ , and  $A$  and  $B$  are abelian varieties of positive dimension over  $K$ . By a theorem of Faltings [4, 5],

$$\text{Hom}_{G_K}(T_\ell(A), T_\ell(B)) = \text{Hom}(A, B) \otimes \mathbb{Z}_\ell. \quad (*)$$

**Lemma 2.3** *Let  $\ell$  be a prime. Then the following conditions are equivalent.*

- (i) *There is an isogeny  $\phi_\ell : A \rightarrow B$ , whose degree is prime to  $\ell$ .*
- (ii) *The Tate modules  $T_\ell(A)$  and  $T_\ell(B)$  are isomorphic as  $\mathbb{Z}_\ell[G_K]$ -Galois modules.*

*If the equivalent conditions (i) and (ii) hold then the right  $\text{End}(A) \otimes \mathbb{Z}_{(\ell)}$ -module  $\text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)}$  is free of rank 1 and the right  $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$  is free of rank 1*

**Proof.** (i) implies (ii). Indeed, let  $\phi_\ell : A \rightarrow B$  be an isogeny such that its degree  $d := \deg(\phi_\ell)$  is prime to  $\ell$ . Then there exists an isogeny  $\varphi_\ell : B \rightarrow A$  such that  $\phi_\ell \varphi_\ell$  is multiplication by  $d$  in  $B$  and  $\varphi_\ell \phi_\ell$  is multiplication by  $d$  in  $A$ . This implies that  $\phi_\ell$  induces an  $G_K$ -equivariant isomorphism of the  $\mathbb{Z}_\ell$ -Tate modules of  $A$  and  $B$ .

Suppose that (ii) holds. Since the rank of the free  $\mathbb{Z}_\ell$ -module  $T_\ell(A)$  (resp.  $T_\ell(B)$ ) is  $2\dim(A)$  (resp.  $2\dim(B)$ ), we conclude that  $2\dim(A) = 2\dim(B)$ , i.e.  $\dim(A) = \dim(B)$ . By the theorem of Faltings (\*), there is an isomorphism of the  $\mathbb{Z}_\ell$ -Tate modules of  $A$  and  $B$  that lies in  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ . Since  $\text{Hom}(A, B)$  is dense in  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$  in the  $\ell$ -adic topology, and the set of isomorphisms  $T_\ell(A) \cong T_\ell(B)$  is open in  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ , there is  $\phi_\ell \in \text{Hom}(A, B)$  that induces an isomorphism  $T_\ell(A) \cong T_\ell(B)$ . Clearly,  $\ker(\phi_\ell)$  does not contain points of order  $\ell$  and therefore is finite. This implies that  $\phi_\ell$  is an isogeny, whose degree is prime to  $\ell$ . This proves (i).

In order to prove the last assertion of Lemma 2.3, one has only to observe that  $\phi_\ell \in \text{Hom}(A, B) \subset \text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)} \subset \text{Hom}(A, B) \otimes \mathbb{Z}_\ell$  is a generator of the (obviously) free right  $\mathbb{Z}_{(\ell)}$ -module  $\text{Hom}(A, B) \otimes \mathbb{Z}_{(\ell)}$  and of the free right  $\mathbb{Z}_\ell$ -module  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ .  $\square$

We say that  $A$  and  $B$  are *almost isomorphic* if for all primes  $\ell$  the equivalent conditions (i) and (ii) of Lemma 2.3 hold. Clearly, if  $A$  and  $B$  are isomorphic over  $K$  then they are almost isomorphic. It is also clear that if  $A$  and  $B$  are almost isomorphic then they are isogenous over  $K$ . Obviously, the property of being almost isomorphic is an equivalence relation on the set of (nonzero) abelian varieties over  $K$ .

**Corollary 2.4** *Suppose that  $A$  and  $B$  are almost isomorphic. Then  $A$  and  $B$  are isomorphic over  $K$  if and only if  $\text{Hom}(A, B)$  is a free  $\text{End}(A)$ -modules of rank 1. In particular, if  $\text{End}(A)$  is a principal ideal domain (for example,  $\text{End}(A) = \mathbb{Z}$ ) then every abelian variety over  $K$ , which is almost isomorphic to  $A$ , is actually isomorphic to  $A$ .*

**Proof.** Suppose  $\text{Hom}(A, B)$  is a free  $\text{End}(A)$ -module, i.e., there is a homomorphism of abelian varieties  $\phi : A \rightarrow B$  such that  $\text{Hom}(A, B) = \phi \text{End}(A)$ . We know that for any prime  $\ell$  there is an isogeny  $\phi_\ell : A \rightarrow B$  of degree prime to  $\ell$ . (In particular,  $\dim(A) = \dim(B)$ .) Therefore there is  $u_\ell \in \text{End}(A)$  with  $\phi_\ell = \phi u_\ell$ . In particular,  $\phi_\ell(A) \subset \phi(A)$  and  $\deg(\phi_\ell)$  is divisible by  $\deg(\phi)$ . Since  $\phi_\ell(A) = B$  and  $\deg(\phi_\ell)$  is prime to  $\ell$ , we conclude that  $\phi(A) = B$  (i.e.,  $\phi$  is an isogeny) and  $\deg(\phi)$  is prime to  $\ell$ . Since the latter is true for all primes  $\ell$ , we conclude that  $\deg(\phi) = 1$ , i.e.,  $\phi$  is an isomorphism.

Conversely, if  $A \cong B$  then  $\text{Hom}(A, B)$  is obviously a free  $\text{End}(A)$ -module generated by an isomorphism between  $A$  and  $B$ .

The last assertion of Corollary follows from the well-known fact that every finitely generated module without torsion over a principal ideal domain is free.  $\square$

**Remark** The special case of Corollary 2.4 when  $\text{End}(A) = \mathbb{Z}$  was actually done in [10, second paragraph of p. 1205].

The next statement is a generalization of Corollary 2.4.

**Corollary 2.5** *Suppose that  $A, B, C$  are abelian varieties of positive dimension over  $K$  that are almost isomorphic to each other.*

*Then  $B$  and  $C$  are isomorphic over  $K$  if and only if the right  $\text{End}(A)$ -modules  $\text{Hom}(A, B)$  and  $\text{Hom}(A, C)$  are isomorphic.*

**Proof.** We know that all  $A, B, C$  are mutually isogenous over  $K$ . Let us choose an isogeny  $\phi : B \rightarrow C$ . We are given an isomorphism  $\delta : \text{Hom}(A, B) \cong \text{Hom}(A, C)$  of right  $\text{End}(A)$ -modules that obviously extends by  $\mathbb{Q}$ -linearity to the isomorphism  $\text{Hom}(A, B) \otimes \mathbb{Q} \rightarrow \text{Hom}(A, C) \otimes \mathbb{Q}$  of right  $\text{End}(A) \otimes \mathbb{Q}$ -modules, which we continue to denote by  $\delta$ . By Lemma 2.2, there exists  $\tau_0 \in \text{Hom}(B, C) \otimes \mathbb{Q}$  such that  $\delta = m_{B,C}(\tau_0)$ , i.e.,

$$\delta(\psi) = \tau_0 \psi \quad \forall \psi \in \text{Hom}(A, B) \otimes \mathbb{Q}.$$

There exists a positive integer  $n$  such that  $\tau = n\tau_0 \in \text{Hom}(B, C)$  and  $\tau$  is not divisible in  $\text{Hom}(B, C)$ . This implies that

$$n \cdot \text{Hom}(A, C) = n\delta(\text{Hom}(A, B)) = n\tau_0 \text{Hom}(A, B) = \tau \text{Hom}(A, B).$$

Since  $B$  and  $C$  are almost isomorphic, for each  $\ell$  there is an isogeny  $\phi_\ell : B \rightarrow C$  of degree prime to  $\ell$ . Since  $n\phi_\ell \in \tau \text{Hom}(A, B)$ , we conclude that  $\tau$  is an

isogeny and  $\deg(\tau)$  is prime to  $\ell$  if  $\ell$  does *not* divide  $n$ . We need to prove that  $\tau$  is an isomorphism. Suppose it is not, then there is a prime  $\ell$  that divides  $\deg(\tau)$  and therefore divides  $n$ . We need to arrive to a contradiction. Since  $A$  and  $B$  are almost isomorphic, there is an isogeny  $\psi_\ell : A \rightarrow B$  of degree prime to  $\ell$ . We have  $\tau\psi_\ell \in n \cdot \text{Hom}(A, C) \subset \ell \cdot \text{Hom}(A, C)$ . This implies that  $\tau$  kills *all* points of order  $\ell$  on  $B$  and therefore is divisible by  $\ell$  in  $\text{Hom}(B, C)$ , which is not the case. This gives us the desired contradiction.  $\square$

**Remark** Let  $\mathcal{Z}(A)$  (resp.  $\mathcal{Z}(B)$ ) be the center of  $\text{End}(A)$  (resp.  $\text{End}(B)$ ). Then  $\mathcal{Z}(A)_\mathbb{Q} := \mathcal{Z}(A) \otimes \mathbb{Q}$  (resp.  $\mathcal{Z}(B)_\mathbb{Q} := \mathcal{Z}(B) \otimes \mathbb{Q}$ ) is the center of  $\text{End}(A) \otimes \mathbb{Q}$  (resp.  $\text{End}(B) \otimes \mathbb{Q}$ ) and for all primes  $\ell$  the  $\mathbb{Z}_{(\ell)}$ -subalgebra

$$\mathcal{Z}(A)_{(\ell)} := \mathcal{Z}(A) \otimes \mathbb{Z}_{(\ell)} \subset \mathcal{Z}(A)_\mathbb{Q} \subset \text{End}(A) \otimes \mathbb{Q}$$

(resp. the  $\mathbb{Z}_{(\ell)}$ -subalgebra

$$\mathcal{Z}(B)_{(\ell)} := \mathcal{Z}(B) \otimes \mathbb{Z}_{(\ell)} \subset \mathcal{Z}(B)_\mathbb{Q} \subset \text{End}(B) \otimes \mathbb{Q}$$

is the center of  $\text{End}(A) \otimes \mathbb{Z}_{(\ell)}$  (resp. of  $\text{End}(B) \otimes \mathbb{Z}_{(\ell)}$ ). Every  $K$ -isogeny  $\phi : A \rightarrow B$  gives rise to an isomorphism of  $\mathbb{Q}$ -algebras

$$i_\phi : \text{End}(A) \otimes \mathbb{Q} \cong \text{End}(B) \otimes \mathbb{Q}, \quad u \mapsto \phi u \phi^{-1},$$

such that  $i_\phi(\mathcal{Z}(A)_\mathbb{Q}) = \mathcal{Z}(B)_\mathbb{Q}$  and the restriction  $i_\mathcal{Z} : \mathcal{Z}(A)_\mathbb{Q} \cong \mathcal{Z}(B)_\mathbb{Q}$  of  $i_\phi$  to the center(s) does *not* depend on a choice of  $\phi$  [14]. If  $\phi_\ell : A \rightarrow B$  is a  $K$ -isogeny of degree prime to  $\ell$  then  $i_{\phi_\ell}(\text{End}(A) \otimes \mathbb{Z}_{(\ell)}) = \text{End}(B) \otimes \mathbb{Z}_{(\ell)}$  and therefore  $i_\mathcal{Z}(\mathcal{Z}(A)_{(\ell)}) = \mathcal{Z}(B)_{(\ell)}$ . This implies that if  $A$  and  $B$  are *almost isomorphic* then  $i_\mathcal{Z}(\mathcal{Z}(A))$  coincides with  $\mathcal{Z}(B)$  and therefore  $i_\mathcal{Z}$  defines a canonical isomorphism of commutative rings  $\mathcal{Z}(A) \cong \mathcal{Z}(B)$ . In particular, if  $\text{End}(A)$  is commutative then  $\text{End}(B)$  is also commutative (because  $\text{End}(A) \otimes \mathbb{Q}$  and  $\text{End}(B) \otimes \mathbb{Q}$  are isomorphic) and there is a canonical ring isomorphism  $\text{End}(A) \cong \text{End}(B)$ .

### 3 Locally free modules of rank 1

Throughout this section,  $\Lambda$  is a ring with 1 that, viewed as an additive group, is a free  $\mathbb{Z}$ -module of finite positive rank. In addition, we assume that the

finite-dimensional  $\mathbb{Q}$ -algebra  $\Lambda_{\mathbb{Q}} := \Lambda \otimes \mathbb{Q}$  is *semisimple*. We write  $\Lambda_{\ell}$  (resp.  $\Lambda_{(\ell)}$ ) for the  $\mathbb{Z}_{\ell}$ -algebra  $\Lambda \otimes \mathbb{Z}_{\ell}$  (resp. for the  $\mathbb{Z}_{(\ell)}$ -algebra  $\Lambda \otimes \mathbb{Z}_{(\ell)}$ ). We have

$$\Lambda = \Lambda \otimes 1 \subset \Lambda_{(\ell)} \subset \Lambda_{\mathbb{Q}} \subset \Lambda \otimes \mathbb{Q}_{\ell},$$

$$\Lambda \subset \Lambda_{(\ell)} \subset \Lambda_{\ell} \subset \Lambda \otimes \mathbb{Q}_{\ell}.$$

In addition, the intersection of  $\Lambda_{\ell}$  and  $\Lambda_{\mathbb{Q}}$  (in  $\Lambda \otimes \mathbb{Q}_{\ell}$ ) coincides with  $\Lambda_{(\ell)}$ .

Let  $M$  be an *arbitrary* free commutative group of finite positive rank that is provided with a structure of a right  $\Lambda$ -module. We write  $M_{\mathbb{Q}}$  for the right  $\Lambda_{\mathbb{Q}}$ -module  $M \otimes \mathbb{Q}$ ,  $M_{\ell}$  for the right  $\Lambda_{\ell}$ -module  $M \otimes \mathbb{Z}_{\ell}$  and  $M_{(\ell)}$  for the right  $\Lambda_{(\ell)}$ -module  $M \otimes \mathbb{Z}_{(\ell)}$ . We have

$$M = M \otimes 1 \subset M_{(\ell)} \subset M_{\mathbb{Q}} \subset M \otimes \mathbb{Q}_{\ell},$$

$$M \subset M_{(\ell)} \subset M_{\ell} \subset M \otimes \mathbb{Q}_{\ell}.$$

In addition, the intersection of  $M_{\ell}$  and  $M_{\mathbb{Q}}$  (in  $M \otimes \mathbb{Q}_{\ell}$ ) coincides with  $M_{(\ell)}$ .

**Definition.** We say that  $M$  is a *locally free right  $\Lambda$ -module of rank 1* if for all primes  $\ell$  the right  $\Lambda_{\ell}$ -module  $M_{\ell}$  is free of rank 1. (See [6].)

**Theorem 3.1** *Let  $M$  be a locally free right  $\Lambda$ -module of rank 1. Then it enjoys the following properties.*

- (i)  *$M$  is a projective  $\Lambda$ -module. More precisely,  $M$  is isomorphic to a direct summand of a free right  $\Lambda$ -module of rank 2.*
- (ii) *The right  $\Lambda_{\mathbb{Q}}$ -module  $M_{\mathbb{Q}}$  is free of rank 1.*
- (iii) *The right  $\Lambda_{(\ell)}$ -module  $M_{(\ell)}$  is free of rank 1 for all primes  $\ell$ .*

**Proof.** Let  $J(\Lambda_{\mathbb{Q}})$  be the (multiplicative) *idele group* of  $\Lambda_{\mathbb{Q}}$ , i.e., the group of invertible elements of the *adele ring* of  $\Lambda_{\mathbb{Q}}$  [6, p. 114]. (In the notation of [6, Sect. 2],  $\mathfrak{o} = \mathbb{Z}$ ,  $K = \mathbb{Q}$ ,  $A = \Lambda_{\mathbb{Q}}$ ,  $\mathfrak{A} = \Lambda$ .) To each  $\alpha \in J(\Lambda_{\mathbb{Q}})$  corresponds a certain right  $\Lambda$ -submodule  $\alpha\Lambda \subset \Lambda_{\mathbb{Q}}$  that is a locally free  $\Lambda$ -module of rank 1 and a  $\mathbb{Z}$ -lattice of maximal rank in the  $\mathbb{Q}$ -vector space  $\Lambda_{\mathbb{Q}}$ , i.e., the natural homomorphism of  $\mathbb{Q}$ -vector spaces  $\alpha\Lambda \otimes \mathbb{Q} \rightarrow \Lambda_{\mathbb{Q}}$  is an isomorphism [6, p. 114]. This implies that  $(\alpha\Lambda)_{\mathbb{Q}}$  is a free  $\Lambda_{\mathbb{Q}}$ -module of rank 1. In addition, the direct sum  $\alpha\Lambda \oplus \alpha^{-1}\Lambda$  is a free right  $\Lambda$ -module of rank 2 [6, Th. 1 on pp. 114–115]. This implies that  $\alpha\Lambda$  is isomorphic to a direct summand of a rank 2 free module; in particular, it is projective. By the same Theorem 1 of



[6], every right locally free  $\Lambda$ -module  $M$  of rank 1 is isomorphic to  $\alpha\Lambda$  for a suitable  $\alpha$ . This proves (i) and (ii).

Let  $f_0$  be a generator of the free  $\Lambda_{\mathbb{Q}}$ -module  $M_{\mathbb{Q}}$  of rank 1. Multiplying  $f_0$  by a sufficiently divisible positive integer, we may and will assume that  $f_0 \in M = M \otimes 1 \subset M_{\mathbb{Q}}$ . Clearly, the right  $\Lambda \otimes \mathbb{Q}_{\ell}$ -module

$$M \otimes \mathbb{Q}_{\ell} = M_{\mathbb{Q}} \otimes_{\mathbb{Q}} \mathbb{Q}_{\ell} = M_{\ell} \otimes_{\mathbb{Z}_{\ell}} \mathbb{Q}_{\ell}$$

is free of rank 1 for all primes  $\ell$  and  $f_0$  is also a generator of  $M \otimes \mathbb{Q}_{\ell}$ . It is also clear that every generator  $f_{\ell}$  of the  $\Lambda_{\ell}$ -module  $M_{\ell}$  is a generator of the  $\Lambda \otimes \mathbb{Q}_{\ell}$ -module  $M \otimes \mathbb{Q}_{\ell}$ . We claim that there is a generator  $f_{\ell}$  that lies in  $M$ . Indeed, with respect to the  $\ell$ -adic topology, the subset

$$M = M \otimes 1 \subset M \otimes \mathbb{Z}_{\ell} = M_{\ell}$$

is dense in  $M_{\ell}$  while the set of generators of the free  $\Lambda_{\ell}$ -module  $M_{\ell}$  is open, because the group of units  $(\Lambda_{\ell})^*$  is open in  $\Lambda_{\ell}$ . This implies that there exists a (nonzero) generator  $f_{\ell} \in M \subset M_{\ell}$  of the  $\Lambda_{\ell}$ -module  $M_{\ell}$ . Recall that  $f_{\ell}$  is also a generator of the free  $\Lambda \otimes \mathbb{Q}_{\ell}$ -module  $M \otimes \mathbb{Q}_{\ell}$ . This implies that there exists  $\mu_0 \in (\Lambda \otimes \mathbb{Q}_{\ell})^*$  such that  $f_{\ell} = f_0 \mu_0 \in M \otimes \mathbb{Q}_{\ell}$ . On the other hand, since  $f_{\ell}$  lies in the free rank 1  $\Lambda_{\mathbb{Q}}$ -module  $M_{\mathbb{Q}} = f_0 \Lambda_{\mathbb{Q}}$ , we have  $\mu_0 \in \Lambda_{\mathbb{Q}}$ . This implies that  $\mu_0$  is *not* a zero divisor in the finite-dimensional  $\mathbb{Q}$ -algebra  $\Lambda_{\mathbb{Q}}$  (because it is invertible in  $\Lambda \otimes \mathbb{Q}_{\ell}$ ) and therefore lies in  $\Lambda_{\mathbb{Q}}^*$ . It follows that  $f_{\ell}$  is also a generator of the free  $\Lambda_{\mathbb{Q}}$ -module  $M_{\mathbb{Q}}$  of rank 1.

We want to prove that  $M_{(\ell)} = f_{\ell}[\Lambda \otimes \mathbb{Z}_{(\ell)}]$ . (This would prove that  $M_{(\ell)}$  is a free right  $\Lambda_{(\ell)}$ -module of rank 1 with the generator  $f_{\ell}$ .) For each  $x \in M_{(\ell)}$  there exists a unique  $\lambda \in \Lambda_{\ell}$  with  $x = f_{\ell} \lambda$ . We need to prove that  $\lambda \in \Lambda_{(\ell)}$ . Notice that  $x \in M_{(\ell)} \subset M_{\mathbb{Q}}$ . Since  $f_{\ell}$  is a generator of the free  $\Lambda_{\mathbb{Q}}$ -module  $M_{\mathbb{Q}}$ , there exists exactly one  $\mu_0 \in \Lambda_{\mathbb{Q}}$  such that  $x = f_{\ell} \mu_0$ . We get the equalities  $f_{\ell} \mu_0 = x = f_{\ell} \lambda$  in  $M \otimes \mathbb{Q}_{\ell}$ .

Since  $f_{\ell}$  is a generator of the free  $\Lambda \otimes \mathbb{Q}_{\ell}$ -module  $M \otimes \mathbb{Q}_{\ell}$ , we get  $\mu = \mu_0$ . Since  $\Lambda_{(\ell)}$  coincides with intersection of  $\Lambda_{\ell}$  and  $\Lambda_{\mathbb{Q}}$  in  $\Lambda \otimes \mathbb{Q}_{\ell}$ , we conclude that  $\mu = \mu_0 \in \Lambda_{(\ell)}$  and therefore  $x \in f_{\ell}[\Lambda \otimes \mathbb{Z}_{(\ell)}]$ . This implies that  $M_{(\ell)}$  is a free right  $\Lambda_{(\ell)}$  module of rank 1, which proves (iii).  $\square$

**Corollary 3.2** *Let  $M$  be a free commutative group of finite positive rank that is provided with a structure of a right  $\Lambda$ -module. Then  $M$  is a locally free  $\Lambda$ -module of rank 1 if and only if the right  $\Lambda_{(\ell)}$ -module  $M_{(\ell)}$  is free of rank 1 for all primes  $\ell$ .*

**Proof.** Clearly, if  $M_{(\ell)}$  is a free right  $\Lambda_{(\ell)}$ -module of rank 1 then the right  $\Lambda_{\ell}$ -module  $M_{\ell}$  is free of rank 1. The converse follows from Theorem 3.1(iii).

□

**Remark** Suppose that  $\Lambda$  is an *order* in a number field  $E$ , i.e.,  $\Lambda$  is a finitely generated over  $\mathbb{Z}$  a subring (with 1) of  $E$  such that  $\Lambda_{\mathbb{Q}} = E$ . Let  $M$  be a  $\Lambda$ -module in  $E$ , i.e., a free commutative additive (sub)group of finite rank in  $E$  such that  $M \cdot \Lambda = M$ . In particular,  $M_{\mathbb{Q}} = E$  is a free  $E = \Lambda_{\mathbb{Q}}$ -module of rank 1.

- (i) If  $\Lambda$  is the ring of all integers in  $E$  then it is a Dedekind ring and each of its *localizations*  $\Lambda_{(\ell)}$  is a Dedekind ring with finitely many maximal ideals and therefore is a *principal ideal domain* [8, Ch. III, Prop. 2.12 on p.93]. This implies that  $M_{(\ell)}$  is a free  $\Lambda_{(\ell)}$ -module, whose rank is obviously 1. By Corollary 3.2,  $M$  is locally free of rank 1.
- (ii) Suppose that  $E$  is a quadratic field. We don't impose any restrictions on  $\Lambda$  but instead assume that  $\text{End}_{\Lambda}(M) = \Lambda$ . Then it is known [1, Lemma 2 on p. 55] that for each prime  $\ell$  there is a nonzero ideal  $\mathfrak{J} \subset \Lambda$  such that the order of the finite quotient  $\Lambda/\mathfrak{J}$  is prime to  $\ell$  and the  $\Lambda$ -modules  $M$  and  $\mathfrak{J}$  are isomorphic. This implies that the  $\Lambda_{(\ell)}$ -module  $J_{(\ell)} = \Lambda_{(\ell)}$  is free and therefore the  $\Lambda_{(\ell)}$ -module  $M_{(\ell)}$  is also free and its rank is obviously 1. By Corollary 3.2,  $M$  is locally free of rank 1.

## 4 Tensor products

Now we are going to use Theorem 3.1, in order to construct abelian varieties  $A \otimes M$  over  $K$  that are *almost isomorphic* to a given  $A$ . Notice that our  $A \otimes M$  are a rather special *naive* case of powerful *Serre's tensor construction* ([2, Sect. 7], [3, Sect. 1.7.4]).

Suppose we are given a a free commutative group  $M$  of finite (positive) rank that is provided with a structure of a right locally free  $\Lambda = \text{End}(A)$ -module of rank 1. Let  $F_2$  be a free right  $\Lambda$ -module of rank 2. It follows from Theorem 3.1(i) that there is an endomorphism  $\gamma : F_2 \rightarrow F_2$  of the right  $\Lambda$ -module  $F_2$  such that  $\gamma^2 = \gamma$  and whose image  $M' = \gamma(F_2)$  is isomorphic to  $M$ . Notice that  $\text{End}_{\Lambda}(F_2)$  is the matrix algebra  $\mathbb{M}_2(\Lambda)$  of size 2 over  $\Lambda$ . So, the idempotent

$$\gamma \in \text{End}_{\Lambda}(F_2) = \mathbb{M}_2(\Lambda) = \mathbb{M}_2(\text{End}(A)) = \text{End}(A^2)$$

where  $A^2 = A \times A$ . Let us define the  $K$ -abelian (sub)variety

$$B = A \otimes M := \gamma(A^2) \subset A^2.$$

Clearly,  $B$  is a direct factor of  $A^2$ . More precisely, if we consider the  $K$ -abelian (sub)variety  $C = (1 - \gamma)(A^2) \subset A^2$  then the natural homomorphism  $B \times C \rightarrow A^2$ ,  $(x, y) \mapsto x + y$  of abelian varieties over  $K$  is an isomorphism, i.e.,  $A^2 = B \times C$ . This implies that the right  $\text{End}(A)$ -module  $\text{Hom}(A, B)$  coincides with

$$\gamma \text{Hom}(A, A^2) \subset \text{Hom}(A, A^2) = \text{End}(A) \oplus \text{End}(A) = F_2$$

and therefore the right  $\text{End}(A)$ -module  $\text{Hom}(A, B)$  is canonically isomorphic to  $\gamma(F_2) = M' \cong M$ . It also follows that for every prime  $\ell$

$$\gamma(A^2[\ell]) = B[\ell]. \quad (**)$$

**Theorem 4.1** *Let us consider the abelian variety  $B = A \otimes M$  over  $K$ . Then:*

- (i)  *$A$  and  $B$  are isogenous over  $K$ .*
- (ii) *The right  $\text{End}(A)$ -module  $\text{Hom}(A, B)$  is isomorphic to  $M$ .*
- (iii)  *$A$  and  $B$  are almost isomorphic.*

**Proof.** We have already seen that  $\text{Hom}(A, B) \cong M$ , which proves (ii).

Since the right  $\text{End}(A) \otimes \mathbb{Q}$ -module  $M \otimes \mathbb{Q}$  is free of rank 1, the same is true for the right  $\text{End}(A) \otimes \mathbb{Q}$ -module  $\text{Hom}(A, B)$ . By Lemma 2.1,  $\dim(A) \leq \dim(B)$  and there exists a  $\dim(A)$ -dimensional abelian  $K$ -subvariety  $B_0 \subset B$  such that  $A$  and  $B_0$  are isogenous over  $K$  and

$$\text{Hom}(A, B) = \text{Hom}(A, B_0). \quad (***)$$

We claim that  $B = B_0$ . Indeed, if  $B_0 \neq B$  then, by the Poincaré Complete Reducibility theorem [7, Th. 6 on p. 28], there is an “almost complimentary” abelian  $K$ -subvariety  $B_1 \subset B$  of positive dimension  $\dim(B) - \dim(B_0)$  such that the intersection  $B_0 \cap B_1$  is finite and  $B_0 + B_1 = B$ . It follows from (\*\*\*) that  $\text{Hom}(A, B_1) = \{0\}$ . However,  $B_1 \subset B \subset A^2$  is an abelian  $K$ -subvariety of  $A^2$  and therefore there is a surjective homomorphism  $A^2 \rightarrow B$  and therefore there exists a nonzero homomorphism  $A \rightarrow B$ . This is a contradiction, which proves that  $B = B_0$ , the right  $\text{End}(A)$ -module  $\text{Hom}(A, B)$  is isomorphic to

$M$ , and  $A$  and  $B$  are isogenous over  $K$ . In particular,  $\dim(A) = \dim(B)$ . This proves (i).

Let  $\ell$  be a prime. Since  $M \otimes \mathbb{Z}_\ell$  is a free right  $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module of rank 1,  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$  is a free right  $\text{End}(A) \otimes \mathbb{Z}_\ell$ -module of rank 1. Let us choose a generator  $\phi \in \text{Hom}(A, B)$  of the module  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ . The surjection  $\gamma : A^2 \rightarrow B \subset A^2$  is defined by a certain pair of homomorphisms  $\phi_1, \phi_2 : A \rightarrow B$ , i.e.,

$$\gamma(x_1, x_2) = \phi_1(x_1) + \phi_2(x_2) \quad \forall (x_1, x_2) \in A^2.$$

Since  $\phi$  is the generator, there are  $u_1, u_2 \in \text{End}(A) \otimes \mathbb{Z}_\ell$  such that

$$\phi_1 = \phi u_1, \quad \phi_2 = \phi u_2$$

in  $\text{Hom}(A, B) \otimes \mathbb{Z}_\ell$ . It follows that

$$\gamma(A^2[\ell]) = \phi_1(A[\ell]) + \phi_2(A[\ell]) = \phi u_1(A[\ell]) + \phi u_2(A[\ell]) \subset \phi(A[\ell]) \subset B[\ell].$$

By (\*\*),  $\gamma(A^2[\ell]) = B[\ell]$ . This implies that  $\phi$  induces a surjective homomorphism  $A[\ell] \rightarrow B[\ell]$ . Since finite groups  $A[\ell]$  and  $B[\ell]$  have the same order,  $\phi$  induces an isomorphism  $A[\ell] \rightarrow B[\ell]$ . This implies that  $\ker(\phi)$  does not contain points of order  $\ell$  and therefore is an *isogeny* of degree prime to  $\ell$ . This proves (iii).  $\square$

**Corollary 4.2** *Suppose that for each  $i = 1, 2$  we are given a commutative free group  $M_i$  of finite positive rank provided with the structure of a right locally free  $\text{End}(A)$ -module of rank 1. Then abelian varieties  $B_1 = A \otimes M_1$  and  $B_2 = A \otimes M_2$  are isomorphic over  $K$  if and only if the  $\text{End}(A)$ -modules  $M_1$  and  $M_2$  are isomorphic.*

**Proof.** By Theorem 4.1(ii), the right  $\text{End}(A)$ -module  $\text{Hom}(A, B_i)$  is isomorphic to  $M_i$ . Now the result follows from Theorem 4.1(iii) combined with Corollary 2.5.  $\square$

**Corollary 4.3** *Let  $A$  and  $B$  be abelian varieties over  $K$  of positive dimension. Suppose that the Galois modules  $T_\ell(A)$  and  $T_\ell(B)$  are isomorphic for all primes  $\ell$ . Then abelian varieties  $B$  and  $C := A \otimes \text{Hom}(A, B)$  are isomorphic over  $K$ .*

**Proof.** By Theorem 4.1(ii), the right  $\text{End}(A)$ -module  $\text{Hom}(A, C)$  is isomorphic to  $\text{Hom}(A, B)$ . Now the result follows from Theorem 4.1(iii) combined with Corollary 2.5.  $\square$

**Remark** Let  $g \geq 2$  be an integer and a  $g$ -dimensional abelian variety  $A$  is a product  $A_1 \times A_2$  where  $A_1$  and  $A_2$  are abelian varieties of positive dimension over  $K$  with  $\text{Hom}(A_1, A_2) = \{0\}$ . Then  $\text{End}(A) = \text{End}(A_1) \oplus \text{End}(A_2)$ . Suppose that for each  $i = 1, 2$  we are given a commutative free group  $M_i$  of finite positive rank provided with the structure of a right locally free  $\text{End}(A_i)$ -module of rank 1.

Then the direct sum  $M = M_1 \oplus M_2$  becomes a right locally free module of rank 1 over the ring  $\text{End}(A_1) \oplus \text{End}(A_2) = \text{End}(A)$ .

There is an obvious canonical isomorphism between abelian varieties  $A \otimes M$  and  $(A_1 \otimes M_1) \times (A_2 \otimes M_2)$  over  $K$ .

For example, we may take as  $A_2$  (for a suitable number field  $K$ ) an elliptic curve such that  $\text{End}(A_2)$  is the ring of integers in an imaginary quadratic field with class number  $> 1$  while  $A_1$  is a  $(g - 1)$ -dimensional principally polarized with

$$\text{End}(A_1 \times \bar{K}) = \text{End}(A_1) = \mathbb{Z}.$$

(If  $g > 2$  then one may take as  $A_1$  the  $(g - 1)$ -dimensional jacobian of the hyperelliptic curve  $y^2 = x^{2g-1} - x - 1$ , see [13].) Clearly, all  $\bar{K}$ -endomorphisms of  $A$  are defined over  $K$ ; in particular,  $A_1$  is absolutely simple. Let us take  $M_1 = \mathbb{Z}$ . Clearly,  $\text{Hom}(A_1, A_2) = \{0\}$ . Actually, every  $\bar{K}$ -homomorphism between  $A_1$  and  $A_2$  is 0. Let  $M_2$  be a *non-principal* ideal in  $\text{End}(A_2)$ . Then elliptic curves  $A_2$  and  $A_2 \otimes M$  are almost isomorphic but are *not isomorphic* over  $K$  and even over  $\bar{K}$ . This implies that  $A \otimes M = A_1 \times (A_2 \otimes M_2)$  is almost isomorphic over  $K$  but is *not isomorphic* to  $A = A_1 \times A_2$  over  $\bar{K}$ . Notice that both  $A$  and  $A \otimes M$  are principally polarized, since  $A_1$  is principally polarized while both  $A_2$  and  $A_2 \otimes M_2$  are elliptic curves.

**Remark** See last section of [15] for examples of almost isomorphic but not isomorphic elliptic curves over finite fields.

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