

# RECOGNIZING PRODUCTS OF SURFACES AND SIMPLY CONNECTED 4-MANIFOLDS

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ABSTRACT. We give necessary and sufficient conditions for a closed smooth 6-manifold  $N$  to be diffeomorphic to a product of a surface  $F$  and a simply connected 4-manifold  $M$  in terms of basic invariants like the fundamental group and cohomological data. Any isometry of the intersection form of  $M$  is realized by a self-diffeomorphism of  $M \times F$ .

## 1. INTRODUCTION

Simply-connected closed 6-manifolds were classified by Wall [13], Jupp [6], and Žubr [14]. However, if the fundamental group is non-trivial, such complete information is not within reach of current techniques except in special cases.

In this paper we consider the following problem: given a closed, oriented 6-manifold  $N$ , can we identify a closed, oriented surface  $F$  and a simply-connected, closed 4-manifold  $M$  such that  $N$  is diffeomorphic to  $M \times F$ ? Since simply connected 6-manifolds are already classified, we assume from now on that  $F \neq S^2$  has genus  $\geq 1$ , but the results remain true in the simply connected case. First we discuss some of the necessary conditions.

**Condition 1.** *The fundamental group  $\pi_1(N)$  is isomorphic to the fundamental group of a closed, oriented surface  $F$ .*

We choose a base-point preserving classifying map  $u: N \rightarrow F$  for the universal covering. Up to homotopy and choice of base points this is equivalent to choosing an isomorphism  $\alpha: \pi_1(N) \rightarrow \pi_1(F)$ , where  $u_\# = \alpha$ .

The next condition concerns the second homology group of the universal covering  $H_2(\tilde{N})$ , which for the product of  $F$  with a simply connected 4-manifold  $M$  is a trivial module over  $\pi_1(N)$  and so we require this:

**Condition 2.**  *$H_2(\tilde{N})$  is a trivial  $\pi_1(N)$ -module.*

Under this condition, the Serre spectral sequence for the fibration over  $F = K(\pi_1(N), 1)$  with fibre  $\tilde{N}$ , implies that we have an exact sequence

$$0 \rightarrow H^2(F) \xrightarrow{u^*} H^2(N) \xrightarrow{p^*} H^2(\tilde{N}) \rightarrow 0,$$

where  $p$  is the universal covering projection. It follows that  $H_2(\tilde{N})$  is a finitely-generated free abelian group.

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The key to our recognition result is the observation that the cohomology algebra of  $N$  provides a candidate for the intersection form of a closed, simply-connected topological 4-manifold  $M$ . To identify this candidate, suppose that Condition 1 holds. Then the trilinear cup product form on  $H^2(N)$  induces a well-defined symmetric bilinear form

$$I(N) : H^2(N)/u^*H^2(F) \times H^2(N)/u^*H^2(F) \rightarrow \mathbb{Z}$$

by mapping  $x$  and  $y$  to  $\langle u^*([F]) \cup x \cup y, [N] \rangle$ , where  $[F] \in H^2(F)$  is the cohomology fundamental class. If Condition 2 holds, then  $V := H^2(N)/u^*H^2(F) \cong H^2(\tilde{N})$  is a finitely-generated free abelian group.

Under the assumption that  $N \approx M \times F$ , this form  $I(N)$  is *unimodular* and the finitely-generated free abelian group  $V$  is isomorphic to  $H^2(M)$ . Moreover, the form  $I(N)$  and the intersection form

$$s_M : H^2(M) \times H^2(M) \rightarrow \mathbb{Z}$$

are isometric. We recall that vanishing of the Kirby-Siebenmann invariant of  $M$ , denoted  $KS(M)$  is a necessary and sufficient condition for  $M \times F$  to be smoothable (see [7, Theorem 5.14, p. 318]). If  $M$  is a spin manifold, then this condition is assured by requiring  $\text{sign}(M) \equiv 0 \pmod{16}$ . Thus we have:

**Condition 3.** *The symmetric bilinear form  $I(N)$  is unimodular, and  $\text{sign } I(N) \equiv 0 \pmod{16}$  if  $N$  is a spin manifold.*

If  $I(N)$  is unimodular there exists a closed, simply-connected topological 4-manifold  $M$  with this intersection form, by the foundational results of Freedman [4, Theorem 1.5]. If  $M$  is non-spin, then Freedman shows that we may assume  $KS(M) = 0$ . In either case, if  $KS(M) = 0$  the manifold  $M$  is *uniquely* determined (up to homeomorphism) by its intersection form  $s_M$ . Moreover, the smooth structures on  $M \times F$  are determined by lifts of its stable topological tangent bundle  $\tau_{M \times F}$  (see [7, Theorem 10.1, p. 194] for the precise statement).

**Definition 1.1.** The *standard smooth structure* on  $M \times F$  is the one determined by product of the unique lift of  $\tau_M : M \rightarrow B\text{TOP}$  to  $BO$ , together with  $\tau_F : F \rightarrow BO$ . The lift of  $\tau_M$  is unique because  $\text{TOP}/O \simeq \text{TOP}/PL = K(\mathbb{Z}/2, 3)$  in this range of dimensions.

We then fix the standard smooth structure on  $M \times F$  and take the product orientation with respect to given orientations on  $M$  and  $F$ . This is our candidate for recognizing  $N$  as the product  $M \times F$ .

Finally, we need some more information about the *oriented* integral cohomology ring of  $N$  and the Pontrjagin class  $p_1(N) \in H^4(N)$ . Let  $q_1 : M \times F \rightarrow M$  and  $q_2 : M \times F \rightarrow F$  denote the first and second factor projection maps. Note that the integral cohomology of  $M \times F$  is  $\mathbb{Z}$ -torsion free, so any map  $H^*(M \times F) \rightarrow H^*(N)$  of integral cohomology rings reduced mod 2 induces a map on  $\mathbb{Z}/2$ -cohomology.

**Condition 4.** *Let  $M$  be a closed, oriented, simply-connected topological 4-manifold with  $s_M \cong I(N)$  and  $KS(M) = 0$ . There exists an isomorphism*

$$\phi : H^*(M \times F) \rightarrow H^*(N)$$

*of oriented integral cohomology rings. We assume that*

- (i)  $\phi([M] \times [F]) = [N] \in H^6(N)$ ,
- (ii)  $\phi \circ q_2^* = u^*: H^*(F) \rightarrow H^*(N)$ , and
- (iii)  $\phi$  preserves the second Stiefel-Whitney class:

$$\phi(w_2(M \times F)) = w_2(N) \in H^2(N; \mathbb{Z}/2).$$

- (iv) Moreover, the relation

$$\langle \phi(x) \cup p_1(N), [N] \rangle = \begin{cases} 3 \operatorname{sign}(M) & \text{if } x = q_2^*([F]) \in H^2(M \times F), \\ 0 & \text{if } x = q_1^*(y) \end{cases}$$

holds for all  $y \in H^2(M)$ .

**Example 1.2.** Unless  $M = S^4$ , the cohomology ring determines the Steenrod operations, and so  $\phi$  preserves the second Stiefel-Whitney class. On the other hand, consider an oriented 4-sphere bundle  $N$  over  $F$  with  $w_2(N) \neq 0$ . Then  $N$  has the same cohomology ring as  $S^4 \times F$  but is not diffeomorphic to the product.

Now we are ready to formulate our main result.

**Theorem A.** *Let  $N$  be a closed, oriented smooth 6-manifold, and  $\alpha: \pi_1(N) \cong \pi_1(F)$  for some closed, oriented surface  $F$ , such that Conditions 1-3 hold. Suppose that*

- (i)  $M$  is the closed, simply-connected topological 4-manifold  $M$ , such that  $s_M \cong I(N)$ , with  $KS(M) = 0$ , and
- (ii)  $\phi: H^*(M \times F) \xrightarrow{\cong} H^*(N)$  is a ring isomorphism satisfying Condition 4.

*Then, there is an orientation and base-point preserving diffeomorphism  $f: N \rightarrow M \times F$  such that  $f_{\#} = \alpha$  and  $f^* = \phi$ .*

We can also ask which automorphisms of the second cohomology of  $M \times F$  are induced by self-diffeomorphisms. In particular, we consider automorphisms of  $H^2(M)$ , and extend them by the identity on  $H^2(F)$  via the identification:

$$(q_1^*, q_2^*): H^2(M) \oplus H^2(F) \cong H^2(M \times F).$$

From the ring structure in cohomology, a necessary condition is that the automorphism on  $H^2(M)$  is an isometry of the intersection form.

**Corollary B.** *Let  $M$  be a closed topological 4-manifold with  $KS(M) = 0$  and  $F$  a closed oriented surface. Then each isometry of the intersection form of  $M$  is induced by a self-diffeomorphism of  $M \times F$ .*

*Proof.* There is an automorphism  $\phi$  of  $H^*(M \times F)$ , which on  $H^2(M \times F)$  is the given isometry on  $H^2(M)$  extended by the identity on  $H^2(F)$ . By Theorem A there is a self-diffeomorphism of  $M \times F$  inducing  $\phi$ , and therefore the given isometry on  $H^2(M)$ .  $\square$

**Remark 1.3.** In the case where  $M$  is itself smooth, Donaldson theory (see [5, Theorem 6]) provides examples of isometries of  $H^2(M)$  which cannot be realized by self-diffeomorphisms of  $M$ . We also remark that an alternate argument can be given for Corollary B by using further results of Freedman and Kirby-Siebenmann. By [4, p. 371]

there is a homeomorphism  $h: M \rightarrow M$  realizing the given isometry. Consider the  $s$ -cobordism

$$W^5 := (M \times I) \cup_h (M \times I)$$

obtained by gluing two cylinders  $M \times I$  via  $h$ . Since  $H^4(W, \partial W; \mathbb{Z}/2) = H^3(M; \mathbb{Z}/2) = 0$ , we can pick a lift of  $\tau_W: W \rightarrow BO$  extending the standard lift of  $\tau_M$  on both boundary components. Taking the product of the  $s$ -cobordism with  $F$ , we obtain an  $s$ -cobordism  $W \times F$  with the product lift of  $\tau_{W \times F}$  over  $BO$ . By Kirby-Siebenmann [7, Theorem 10.1, p. 194] there is a smooth structure on  $W \times F$  which restricts to the standard smooth structure on both ends. The  $s$ -cobordism theorem then gives a self-diffeomorphism of the standard smooth structure on  $M \times F$ , realizing the given isometry.

Finally, we note that the smooth structure on  $M \times F$  is actually *unique* up to diffeomorphism.

**Corollary C.** *Let  $M$  be a closed, simply-connected topological 4-manifold with  $KS(M) = 0$ , and let  $F$  be a closed oriented surface. Then  $M \times F$  has a unique smooth structure.*

*Proof.* We can apply Theorem A to the topological manifold  $M \times F$  equipped with two different smooth structures. By Novikov [10, Theorem 1], we have Condition 4 with  $\phi = id$ .  $\square$

**Remark 1.4.** The results of Kirby and Siebenmann [7, Theorem 5.4, p. 318] show that the set of distinct smoothings of  $M \times F$  is in bijection with

$$[M \times F, TOP/O] = [M \times F, TOP/PL] = H^3(M \times F; \mathbb{Z}/2),$$

since in this dimension every  $PL$  manifold admits a unique smooth structure. Theorem A shows that  $\text{Homeo}(M \times F)$  acts transitively on the set of smoothings. It would be interesting to construct a corresponding homeomorphism for each  $\alpha \in H^3(M \times F; \mathbb{Z}/2)$ .

Here a *smoothing* is a pair  $(N, h)$ , where  $N^6$  is a smooth 6-manifold and  $h: N \rightarrow M \times F$  is a homeomorphism; two smoothings  $(N, h)$  and  $(N', h')$  are equivalent if there exists a diffeomorphism  $\varphi: N \rightarrow N'$  such that  $h$  and  $h' \circ \varphi$  are topologically isotopic.

**Remark 1.5.** The effectiveness of our recognition result in practice will depend on the difficulty of verifying Conditions 3 and 4, but most of this is linear algebra. After obtaining Conditions 1 and 2, one might proceed by showing that  $H^*(\tilde{N})$  is isomorphic to a 4-dimensional algebra  $\Lambda^*$ , with  $\Lambda^0 = \Lambda^4 = \mathbb{Z}$ ,  $\Lambda^1 = \Lambda^3 = 0$ , carrying the symmetric bilinear form  $I(N): \Lambda^2 \otimes \Lambda^2 \rightarrow \mathbb{Z}$  on a free abelian group  $\Lambda^2 \cong \mathbb{Z}^r$ . This gives the Euler characteristic equation  $\chi(N) = \chi(F) \cdot (r + 2)$ , and shows that  $H^3(N) \cong H^1(F) \otimes H^2(\tilde{N})$  is torsion-free. Now Poincaré duality for  $H^3(N)$  shows that  $I(N)$  is unimodular. After that, it will be necessary to check that  $H^*(N) \cong \Lambda^* \otimes H^*(F)$  as graded algebras, and proceed to construct a cohomology ring isomorphism  $\phi: H^*(M \times F) \rightarrow H^*(N)$  with the required conditions on  $w_2(N)$  and  $p_1(N)$ .

However complicated the process, at least the conditions depend only on the primary algebraic topology of  $N$  and do not involve determining the full homotopy type of  $N$ . For example, we do not assume anything about  $\pi_3(N)$ .

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## 2. THE NORMAL 2-TYPE AND NORMAL 2-SMOOTHINGS

For the proof we use the methods from [8] and assume that the reader is familiar with the basic concepts and theorems although we repeat the relevant definitions briefly.

We abbreviate  $V := H^2(N)/u^*H^2(F) \cong H^2(\tilde{N})$ , and let  $H = \pi_2(N) = H_2(\tilde{N})$ . We have  $H^2(\tilde{N}) \cong \text{Hom}_{\mathbb{Z}}(H_2(\tilde{N}), \mathbb{Z})$ , so that  $H \cong \text{Hom}_{\mathbb{Z}}(V, \mathbb{Z}) = V^*$ . The following remark is immediate from the definitions.

**Lemma 2.1.** *If  $N$  satisfies Condition 4 with respect to  $M \times F$ , then  $H^2(M) \cong V$ .*

We start by determining the normal 2-type of  $N$ . By definition, this is a fibration  $B$  over  $BSO$  where the homotopy groups of the fibre vanish in degree  $\geq 3$  and such there is a lift of the normal Gauss map of  $N$  over  $B$ , which is a 3-equivalence. We have to distinguish two cases, where the symmetric bilinear form  $I(N): V \times V \rightarrow \mathbb{Z}$  is an even or odd. In the first case, the normal 2-type is

$$p_{\text{even}}: B = K(H, 2) \times F \times BSpin \rightarrow BSO,$$

where the map is the composition of the projection to  $BSpin$  and the canonical projection to  $BSO$ . If the form  $I(N)$  is odd, one chooses a primitive characteristic element  $v \in V$ , and a complex line bundle  $L_v$  over  $K(H, 2)$  with first Chern class  $v$ . Then the normal 2-type is

$$p_{\text{odd}}: B = K(H, 2) \times F \times BSpin \rightarrow BSO,$$

where  $p_{\text{odd}}$  is the map given by the projection to  $K(H, 2) \times BSpin$  composed by the map given by the Whitney sum of line bundle  $L_v$  and the canonical map to  $BSO$  (of course, we have to replace this map by a fibration).

**Lemma 2.2.** *The normal 2-types of  $M \times F$  and  $N$  are given by  $(B, p_{\text{even}})$ , if  $M$  is spin, or  $(B, p_{\text{odd}})$  if  $M$  is non-spin.*

*Proof.* We first look at the second stage of the Postnikov tower of  $N$ , this is a fibration over  $K(\pi_1(N), 1)$  with fibre  $K(\pi_2(N), 2)$ , where in our situation  $\pi_2(N) = H$ . These fibrations are classified by the action of  $\pi_1(N)$  on  $\pi_2(N)$  and the  $k$ -invariant  $k \in H^3(\pi_1(N); \pi_2(N))$ . This group is zero, and so the action of  $\pi_1(N)$  on  $\pi_2(N)$  determines the Postnikov tower. If the  $\pi_1(N)$ -action is trivial, then we have the trivial fibration. Next, we use our data to construct a 3-equivalence

$$c_{M \times F} := g_{M \times F} \times h_{M \times F}: M \times F \rightarrow K(H, 2) \times F,$$

and a 3-equivalence

$$c_N := g_N \times h_N: N \rightarrow K(H, 2) \times F,$$

which is compatible with our data  $\alpha$  and  $\phi$ . For this we consider the map

$$g_{M \times F}: M \times F \rightarrow K(H, 2)$$

such that  $(g_{M \times F})^*: V \rightarrow H^2(M \times F) = H^2(M) \oplus H^2(F) = V \oplus H^2(F)$  is the inclusion onto the first summand (see Lemma 2.1), and choose a base point preserving map  $g_N: N \rightarrow$

$K(H, 2)$  such that  $(g_N)^* = \phi \circ (g_{M \times F})^*$ . Then we consider the projection  $h_{M \times F} = q_2: M \times F \rightarrow F$  and  $h_N = u: N \rightarrow F$ . From Conditions 1 - 4 it is clear that the maps  $c_{M \times F}$  and  $c_N$  are 3-equivalences, with  $(c_N)^* = \phi \circ (c_{M \times F})^*$ .

If  $N$  is Spin-manifold, then by assumption  $M \times F$  is a Spin-manifold and we equip both manifolds with an arbitrary Spin structure  $\omega_N$  and  $\omega_{M \times F}$ . If  $N$  and so  $M \times F$  are not Spin-manifolds, then we choose a primitive class  $v \in H^2(M \times F; \mathbb{Z})$ , such that its component in  $H^2(F; \mathbb{Z})$  is zero, which reduces to  $w_2(M \times F)$  and a spin structure  $\omega_{M \times F}$  on  $\nu(M \times F) \oplus L_v$ , where  $L_v$  is the complex line bundle classified by  $v$ . Similarly, we choose a Spin structure  $\omega_N$  on  $\nu(M) \oplus L_{\phi(v)}$ . The maps  $c_{M \times F}$  and  $c_N$  together with the (twisted) Spin-structures are normal 2-smoothings in  $(B, p_{\text{odd}/\text{even}})$ .  $\square$

### 3. THE BORDISM GROUPS

The next step in the proof of Theorem A is to show that, under the given conditions, the normal 2-smoothings constructed in Section 2 are bordant in  $\Omega_6(B, \xi)$ , where  $\xi$  is the bundle classified by  $p_{\text{odd}}$  or  $p_{\text{even}}$  depending on the normal 2-type.

The method of proof is based on detecting elements in the bordism group by explicit invariants. We have  $H \cong \mathbb{Z}^r$  so that  $K := K(H, 2) = (\mathbb{C}\mathbb{P}^\infty)^r$ . Let  $Dp_1(N) \in H_2(N)$  denote the Poincaré dual of the first Pontrjagin class.

**Proposition 3.1.** *There is an injection  $\Omega_6(B, \xi) \rightarrow \mathbb{Z} \oplus H_6(K) \oplus H_4(K) \oplus H_2(K)$ , given by  $\text{sign } I(N)$ , and the images of  $[N]$ ,  $[N] \cap u^*([F])$ ,  $Dp_1(N)$  under the reference maps  $c_N: N \rightarrow B$  for the normal 2-types.*

To compute the bordism groups we consider the functor associating to a space  $X$  the bordism group of  $p_{\text{odd}/\text{even}}: X \times K(H, 2) \times BSpin \rightarrow BSO$ , where the maps are defined as above in the case  $X = F$ . This is a homology theory denoted by  $h_k(X)$  and so we can use the Mayer-Vietoris sequence to compute it, by writing a surface of genus  $g$  as  $D_2 \cup Y$ , where  $Y$  is a wedge of  $2g$  circles. Then we obtain an exact sequence

$$\tilde{h}_7(S^2) \rightarrow \tilde{h}_6(Y) \rightarrow \tilde{h}_6(F) \rightarrow \tilde{h}_6(S^2) \rightarrow \tilde{h}_5(Y),$$

or, if we apply the suspension isomorphism, the exact sequence:

$$(3.2) \quad h_5(pt) \rightarrow \sum_{2g} h_5(pt) \rightarrow \tilde{h}_6(F) \rightarrow h_4(pt) \rightarrow .$$

The map from  $h_6(F)$  to  $h_4(pt)$  is defined by sending  $[N, c_N] \mapsto [Q, c_Q]$ , where  $c_N: N \rightarrow B$  is a lift of the normal Gauss map, and  $Q \subset N$  is the pre-image of a regular value of the composition of the map to  $B$  with the projection to  $F$ . The reference map  $c_Q: Q \rightarrow B$  is given by the restriction of  $c_N$  to  $K := K(H, 2)$ , together with the induced bundle and (twisted) Spin-structure.

To proceed further we need information about  $h_k(pt) = \Omega_k^{\text{Spin}}((\mathbb{C}\mathbb{P}^\infty)^r)$ , for  $p_{\text{even}}$ , and  $h_k(pt) = \Omega_k^{\text{Spin}}((\mathbb{C}\mathbb{P}^\infty)^r, L)$ , for  $p_{\text{odd}}$ . We begin with the case  $r = 1$ .

**Lemma 3.3.** *Let  $L$  denote the Hopf bundle over  $\mathbb{C}\mathbb{P}^\infty$ .*

- (i) *The map  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty) \rightarrow \mathbb{Z} \oplus \mathbb{Z}$  given by the signature and the image of the fundamental class is injective.*

- (ii)  $\Omega_6^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty) = \mathbb{Z} \oplus \mathbb{Z}$ , detected by the image of the fundamental class and the image  $Dp_1(N)$ ,
- (iii)  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L) \cong \mathbb{Z} \oplus \mathbb{Z}$ , detected by the image of the fundamental class and the image of  $Dp_1(N)$ .

*Proof.* The  $E^2$ -term of the Atiyah-Hirzebruch spectral sequence computing  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty)$  gives  $\mathbb{Z}$  in position  $(0, 4)$  and  $(4, 0)$ , and  $\mathbb{Z}/2$  in position  $(2, 2)$ . The differential

$$d: H_4(\mathbb{C}\mathbb{P}^\infty; \mathbb{Z}) \rightarrow H_2(\mathbb{C}\mathbb{P}^\infty; \mathbb{Z}/2)$$

is the reduction mod 2 composed by the dual of  $Sq^2$  [12, Proposition 1, p. 750] and so is nontrivial. This implies that

$$\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty) \rightarrow \mathbb{Z} \oplus \mathbb{Z}$$

given by the signature and the image of the fundamental class is injective.

Analyzing the Atiyah-Hirzebruch spectral sequence for  $\Omega_6^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty)$  gives an entry  $\mathbb{Z}$  at position  $(2, 4)$  and  $(6, 0)$  and  $\mathbb{Z}/2$  at position  $(4, 2)$ . This time the differential vanishes and so the bordism group is either  $\mathbb{Z} \oplus \mathbb{Z}$  or  $\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}/2$ . It was proven in [9, p. 258] that

$$\Omega_6^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty) = \mathbb{Z} \oplus \mathbb{Z},$$

detected by the image of the fundamental class and the image of  $Dp_1(N)$ .

Now we consider the bordism groups twisted by the line bundle  $L$ . We reduce the 4-th bordism group to the untwisted case by using the isomorphism given by taking the transversal preimage of  $\mathbb{C}\mathbb{P}^{N-1}$ , where we replace  $\mathbb{C}\mathbb{P}^\infty$  by  $\mathbb{C}\mathbb{P}^N$  for a large  $N$ :

$$\Omega_6^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty) \cong \Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L)$$

(here we use that  $\Omega_6^{\text{Spin}} = \Omega_5^{\text{Spin}} = 0$ ) implying that  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L) \cong \mathbb{Z} \oplus \mathbb{Z}$  again detected by the image of the fundamental class and the signature.

Finally the computation of  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L) \cong \mathbb{Z} \oplus \mathbb{Z}$ , again detected by the image of the fundamental class and the image of  $Dp_1(N)$ , follows from the Atiyah-Hirzebruch spectral sequence. This time the  $E^\infty$ -term is torsion free in the 6-line, since the differential  $d: H_6(\mathbb{C}\mathbb{P}^\infty; \mathbb{Z}) \rightarrow H_4(\mathbb{C}\mathbb{P}^\infty; \mathbb{Z}/2)$  is the reduction mod 2 composed by the dual of  $Sq^2$  plus  $c_1(L) \cup \dots$  (see again [12, Proposition 1, p. 750]) and so is trivial.  $\square$

**Lemma 3.4.**  *$h_5(pt)$  is zero. The map  $h_6(pt) \rightarrow H_6(K) \oplus H_2(K)$  given by the image of the fundamental class and the image of  $Dp_1(N)$  is injective. The map given by the signature and the image of the fundamental class is an injection  $h_4(pt) \rightarrow \mathbb{Z} \oplus H_4(K)$ .*

*Proof.* Now we consider the general case. If we show that the bordism groups are again torsion free, then the statements follow from the Atiyah-Hirzebruch spectral sequence. We first note that by applying an appropriate isomorphism of  $H \cong \mathbb{Z}^r$  we can assume in the twisted case that  $c_1(L) = (0, \dots, 0, 1)$ . With this we write  $(\mathbb{C}\mathbb{P}^\infty)^r = X \times \mathbb{C}\mathbb{P}^\infty$  and compute  $\Omega_k^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty)$  and  $\Omega_k^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L)$  for  $k = 4$  and  $6$ , where  $X = (\mathbb{C}\mathbb{P}^\infty)^{r-1}$  and  $L$  is the Hopf bundle over the last factor. We assume inductively that  $\Omega_k(X)$  is torsion free for  $k = 4$  and  $k = 6$ . Using again the transversal preimage of  $\mathbb{C}\mathbb{P}^{N-1}$ , where

we replace  $\mathbb{C}\mathbb{P}^\infty$  by  $\mathbb{C}\mathbb{P}^N$  for a large  $N$ , we have an exact Gysin sequence (see [2, Section I.6, p. 315], [11]):

$$\Omega_5^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L) \rightarrow \Omega_6^{\text{Spin}}(X) \rightarrow \Omega_6^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty) \rightarrow \Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L).$$

Since the odd dimensional groups are by the Atiyah-Hirzebruch spectral sequence torsion, we see that  $\Omega_6^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty)$  is torsion free, if  $\Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L)$  is torsion free. For this we consider the corresponding exact Gysin sequence (again, see [2, Section I.6]):

$$\Omega_3^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L \oplus L) \rightarrow \Omega_4^{\text{Spin}}(X) \rightarrow \Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L) \rightarrow \Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L \oplus L).$$

The Atiyah-Hirzebruch spectral sequence implies that

$$\Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L \oplus L) \cong H_2(X \times \mathbb{C}\mathbb{P}^\infty) \oplus \mathbb{Z}/2.$$

Now we compare this exact sequence with that for  $X$  a point:

$$\Omega_3^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L \oplus L) \rightarrow \Omega_4^{\text{Spin}} \rightarrow \Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L) \rightarrow \Omega_2^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L \oplus L).$$

We have maps from the first to the second exact sequence given by the projection from  $X$  to a point. Now suppose that  $\Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L)$  contains a torsion element. Then, since by assumption  $\Omega_4^{\text{Spin}}(X)$  is torsion free, this maps to the non-trivial torsion element in  $\Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L \oplus L)$ . But then the image in  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L)$  is again a non-trivial torsion element, since in  $\Omega_2^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L \oplus L)$  it maps to the non-trivial element. But this is a contradiction to what we have shown above that  $\Omega_4^{\text{Spin}}(\mathbb{C}\mathbb{P}^\infty; L)$  is torsion free.

Now we have shown half of our statements, namely that  $\Omega_6^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty)$  is torsion free as well as  $\Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L)$ . We prove the other cases by a similar argument using this time the exact Gysin sequences:

$$\Omega_5^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 2}) \rightarrow \Omega_6^{\text{Spin}}(X) \rightarrow \Omega_6^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L) \rightarrow \Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 2})$$

and

$$\Omega_3^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 3}) \rightarrow \Omega_4^{\text{Spin}}(X) \rightarrow \Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 2}) \rightarrow \Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 3}).$$

This case is easier since  $\Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L^{\oplus 3})$  is torsion free, the torsion in the  $E^2$  term is killed by the  $d_2$ -differential.

Finally we show that  $\Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty)$  is torsion free using the exact sequence:

$$\Omega_3^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L) \rightarrow \Omega_4^{\text{Spin}}(X) \rightarrow \Omega_4^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty) \rightarrow \Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L).$$

By the same argument as above the group  $\Omega_2^{\text{Spin}}(X \times \mathbb{C}\mathbb{P}^\infty; L)$  is torsion free finishing the argument.

Now we show that  $h_5(pt) = 0$ . On the line corresponding to  $h_5(pt)$  the only non-trivial entry in the  $E_2$ -term is  $H_4(K; \mathbb{Z}/2)$ . If  $I(N)$  is even, the differentials are even given by the dual of  $Sq^2$ . If  $I(N)$  is odd, where we had to use twisted Spin-structures, the differentials are given by the dual of  $Sq^2$  plus  $x \mapsto Sq^2x + w_2 \cup x$ , where  $w_2$  is the reduction of  $c$  mod 2. It is an easy exercise to show that the  $E^3$ -term is zero in both cases.  $\square$



With this information we show that the bordism classes of  $N$  and  $M \times F$ , equipped with the normal 2-smoothings constructed in Section 2, agree when identified via the maps  $\alpha$  and  $\phi$ . By the exact sequence (3.2) and Lemma 3.4, this amounts to showing (i) the bordism classes in  $h_6(pt)$  agree, and (ii) that the classes in  $h_4(pt)$  agree, which we obtain as transversal preimages of a regular value of the map to  $F$  given by composing our normal 2-smoothings with the projection to  $F$ .

By Lemma 3.4, the first invariant is given by two invariants, the image of the fundamental class in  $H_6(K(H, 2))$  and the image of  $Dp_1(N)$  in  $H_2(K(H, 2))$ . The image of the fundamental class in  $H_6(K(H, 2))$  is (by the cohomological structure of  $K(H, 2)$ ) equivalent to the triple product  $g^*(x) \cup g^*(y) \cup g^*(z)$  for classes  $x, y, z$  in  $H^2(K(H, 2))$ . But these products vanish for  $M \times F$  with  $g = g_{M \times F}$ , and for  $N$  with  $g = g_N$ , since  $\phi$  is an isometry of the cohomology rings and  $(g_N)^* = \phi \circ (g_{M \times F})^*$ . The image of  $Dp_1(N)$  in  $H_2(K(H, 2))$  is determined by the products  $g^*(x) \cup p_1$  for all  $x \in H^2(K(H, 2))$  and vanishes for  $M \times F$  and for  $N$  by Condition 4.

Thus we are left with the invariant in  $h_4(pt)$ . Let  $Q \subset N$  be the transversal preimage of a regular value of the map  $u: N \rightarrow F$ . By Lemma 3.4, bordism classes in  $h_4(pt)$  are determined by the signature of the underlying 4-manifold, and the image of the fundamental class  $[Q]$  in  $H_4(K(H, 2))$ . For a class  $\beta \in H^4(N)$  we have the adjunction formula

$$\langle u^*([F]) \cup \beta, [N] \rangle = \langle i^*(\beta), [Q] \rangle,$$

where  $i: Q \rightarrow N$  is the inclusion. Applying this to  $\beta = p_1(N)$  we obtain:

$$\langle p_1(N) \cup u^*([F]), [N] \rangle = \langle p_1(Q), [Q] \rangle,$$

since the normal bundle of  $Q$  is trivial. The signature theorem for  $Q$  and Condition 4 (iv) imply that

$$\langle p_1(N) \cup u^*([F]), [N] \rangle = 3 \text{sign}(Q) = 3 \text{sign}(M),$$

proving the equality for the first invariant in  $h_4(pt)$ .

For the second invariant we note that the image of the fundamental class of  $Q$  in  $H_4(K(H, 2))$  is determined by the numbers

$$\langle i^* g^*(x) \cup i^* g^*(y), [Q] \rangle.$$

We apply again the adjunction formula for  $\beta = g^*(x) \cup g^*(y)$ , and get

$$\langle g^*(x) \cup g^*(y) \cup u^*([F]), [N] \rangle = \langle i^* g^*(x) \cup i^* g^*(y), [Q] \rangle,$$

where  $g = g_N$ . A similar formula holds for  $M \times F$  and  $g = g_{M \times F}$ . The left side agrees for  $N$  and  $M \times F$ , since  $\phi$  is an isomorphism of the cohomology ring. Thus also the second invariant for the element in  $h_4(pt)$  agrees. Summarizing, we have shown:

**Proposition 3.5.** *If the conditions of Theorem A are fulfilled, then the bordism classes*

$$[N, c_N] = [M \times F, c_{M \times F}] \in \Omega_6(B, \xi),$$

*for the normal 2-smoothings on  $N$  and  $M \times F$  constructed in Lemma 2.2.*

## 4. THE PROOF OF THEOREM A

We consider  $N$  and  $M \times F$  equipped with normal 2-smoothings compatible with  $\alpha$  and  $\phi$ . By Proposition 3.5, the corresponding bordism classes are equal. Choose a  $B$ -bordism  $W$  between these two normal 2-smoothings. Since the Euler characteristics of  $N$  and  $M \times F$  agree, there is an obstruction  $\theta(W) \in l_7(\pi_1(F))$  which is elementary if and only if  $W$  is  $B$ -bordant to an s-cobordism. We first note that the Whitehead group for  $\pi_1(F)$  vanishes by a result of Farrell-Hsiang [3], so that we can ignore decorations in the  $l$ -monoids and  $L$ -groups. Next we note that the intersection form on  $\pi_3(M \times F) \cong \pi_3(M)$  with values in the group ring vanishes identically (since  $\text{Hom}_{\mathbb{Z}G}(\mathbb{Z}, \mathbb{Z}G) = 0$  for  $G$  an infinite group). By [8, Proposition 8, p. 739], this implies that  $\theta(W)$  sits in the ordinary  $L$ -group  $L_7(\pi_1(F))$ . But by Cappell [1, Theorem 18], there is a closed 7-manifold with  $B$ -structure so that after taking the disjoint union of  $W$  with this manifold the obstruction in  $L_7(\pi_1(F))$  vanishes. This completes the proof.

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