

ANALYTIC EXTENSIONS OF ALGEBRAIC ISOMORPHISMS

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ABSTRACT. Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine algebraic subvarieties of \mathbb{C}^n such that $n > \max(2 \dim X_1, \dim TX_1)$. We prove that Ψ can be extended to a holomorphic automorphism of \mathbb{C}^n . Furthermore, when Ψ is an isomorphism of curves such an extension exists for every $n \geq 3$ even when $\dim TX_1 = n$.

INTRODUCTION

Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine algebraic subvarieties of \mathbb{C}^n . It was proven in [7], [9] that Ψ is the restriction of an algebraic automorphism of \mathbb{C}^n provided $n > \max(2 \dim X_1 + 1, \dim TX_1)$. The inequality on $\dim TX_1$ cannot be improved [7] while the question whether $2 \dim X_1 + 1$ is the optimal bound in this theorem remains open. It is unknown, for instance, whether an isomorphism of two closed curves in \mathbb{C}^3 (even when these curves are isomorphic to \mathbb{C}) can be extended to an algebraic automorphism of \mathbb{C}^3 . However, any two algebraic¹ embeddings of \mathbb{C} into \mathbb{C}^3 are equivalent up to a holomorphic coordinate substitution [8]. In this paper we get the following improvements of the last statement.

Theorem 0.1. *Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine algebraic subvarieties of \mathbb{C}^n and $n > \max(2 \dim X_1, \dim TX_1)$. Then Ψ can be extended to a holomorphic automorphism $\tilde{\Psi}$ of \mathbb{C}^n .*

Theorem 0.2. *Let X_1 and X_2 be closed affine algebraic curves in \mathbb{C}^n , $n \geq 3$, and $\Psi : X_1 \rightarrow X_2$ be their isomorphism. Then Ψ extends to a holomorphic automorphism of \mathbb{C}^n .*

In particular, in the case of an isomorphism of closed curves in \mathbb{C}^3 there are no analytic invariants that can prevent an extension to an algebraic automorphism.

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1. SEMI-ISOMORPHISMS

Our central technical tools will be the following notion of semi-isomorphism and also a weaker notion of pseudo-isomorphism that will be used in Section 3.

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¹Precaution: the similar statement does not hold for proper holomorphic embeddings of \mathbb{C} into \mathbb{C}^n for any $n \geq 2$, see [5], [8].

Definition 1.1. Let $\varphi : X \rightarrow Y$ be a holomorphic map of Stein spaces. We call φ a semi-isomorphism (resp. pseudo-isomorphism) if the following conditions hold

- (a) φ is a finite bimeromorphic map;
- (b) for every $x \in X$ (resp. every smooth point $x \in X$) there exists a neighborhood $U \subset X$ of x such that $\varphi|_U$ is an embedding;
- (c) there exists a neighborhood U_0 of the singular set X_{sing} of X such that $U_0 = \varphi^{-1}(\varphi(U_0))$ and the restriction of $\varphi|_{U_0} : U_0 \rightarrow \varphi(U_0)$ is an embedding (resp. injection), while the singular set Y_{sing} of Y is a disjoint union of $\varphi(X_{\text{sing}})$ and a discrete set of nodes (i.e. singular points $y \in Y$ such that the analytic germ of Y at y is a union of two smooth irreducible branches meeting transversally at y).

We apply these notions mostly in algebraic case when $\varphi : X \rightarrow Y$ is a morphism of affine algebraic varieties over \mathbb{C} (which will be the ground field throughout the paper). The main property of semi-isomorphisms we are going to exploit is given by the next straightforward fact.

Proposition 1.2. *Let $\varphi : X \rightarrow Y$ be a semi-isomorphism as in Definition 1.1. Let y_1, y_2, \dots be the set of nodes of Y not contained in $\varphi(X_{\text{sing}})$. Suppose that $\varphi^{-1}(y_i) = \{x'_i, x''_i\}$ for every i . Then the algebra $\mathcal{O}(Y)$ of holomorphic functions on Y is naturally isomorphic to the subalgebra R of the algebra $\mathcal{O}(X)$ of holomorphic functions on X such that $f \in R$ if and only if $f(x'_i) = f(x''_i)$ for every $i = 1, \dots, k$.*

To describe semi-isomorphisms geometrically in the algebraic situation we need the following.

Notation 1.3. For $m \leq n$ the space of linear surjective maps (projections) from \mathbb{C}^n to \mathbb{C}^m will be denoted by $L_{n,m}$. This notion depends on the choice of coordinate system in \mathbb{C}^n , i.e. on the choice of an embedding of \mathbb{C}^n into $\mathbb{P}^n = \mathbb{C}^n \cup H$ where H is the hyperplane at infinity. For every affine subvariety X of \mathbb{C}^n denote by \bar{X} its closure in \mathbb{P}^n and by X_H the variety $\bar{X} \cap H$. For every $x \in X$ one can treat the Zariski tangent space $T_x X$ as a linear subspace of \mathbb{C}^n . With this interpretation we consider the variety $T'X = \{x+v | x \in X, v \in T_x X\}$. We let $Ch(X)$ be the variety of chords of X (recall that a chord is a line that passes at least through two points of X) and CX be the closure (in \mathbb{C}^n) of $\bigcup_{\ell \in Ch(X)} \ell$. Note that there is a natural morphism $\pi : Ch(X) \rightarrow (CX)_H$ such that for general $z \in (CX)_H$ the preimage $\pi^{-1}(z)$ consists of parallel chords of X whose direction is determined by z .

We need also the following.

Lemma 1.4. *Let X be a closed proper affine algebraic subvariety of \mathbb{C}^n such that $n \geq 2 \dim X + 1$. Then the coordinate system in \mathbb{C}^n can be chosen so that for any pair of general points x_1, x_2 in X (not necessarily in the same irreducible component²) the following condition holds*

²Recall that a point of an algebraic variety X is called general if it is not contained in a proper closed subvariety Z of X . We require additionally that such Z does not contain any irreducible component of X .

(#) *the vector space generated by $T_{x_1}X, T_{x_2}X$ and the vector $\overrightarrow{x_1, x_2}$ has dimension $\dim T_{x_1}X + \dim T_{x_2}X + 1$ (in particular, it is $2 \dim X + 1$ when x_1 and x_2 belong to components of X with the largest dimension).*

Proof. Let A be a finite set of general points of X such that every irreducible component of X contains at least two points from A . By [1, Theorem 4.14 and Remark 4.16] there exists an algebraic automorphism β of \mathbb{C}^n that fixes every point $a \in A$ and has prescribed values of $(\beta)_*|_{T_a\mathbb{C}^n}$ in $SL(T_a\mathbb{C}^n)$ for each $a \in A$. Choosing appropriate collection $\{(\beta)_*|_{T_a\mathbb{C}^n} | a \in A\}$ and replacing X by $\beta(X)$ we get Condition (#). \square

Remark 1.5. (1) Let $\varphi \in L_{n,m}$ be such that $\overrightarrow{x_1, x_2} \in \text{Ker } \varphi$ for x_1, x_2 as in Lemma 1.4. Then Condition (#) implies that $\varphi(T_{x_1}X) \cap \varphi(T_{x_2}X) = \{0\}$.

(2) One can apply [1, Theorem 4.14 and Remark 4.16] in the proof of this Lemma 1.4 because \mathbb{C}^n is so-called flexible variety. Let Y be a closed subvariety of \mathbb{C}^n with $\dim Y \leq n - 2$. Then $\mathbb{C}^n \setminus Y$ is still flexible (e.g., see [3]). In particular, if Y does not contain any irreducible component of X then the automorphism of \mathbb{C}^n that induces a new coordinate system in Lemma 1.4 can be chosen so that its restriction to Y (and even to any given infinitesimal neighborhood of Y) is identical [3, Theorem 1.6].

(3) The similar proof shows that Lemma 1.4 is valid in the case when X is a closed proper analytic subset of \mathbb{C}^n with a finite number of irreducible components. A bit more complicated argument implies that it remains true even for an infinite number of components (but we shall not need this fact later).

Convention 1.6. Further in this section we suppose that X is a closed proper affine algebraic subvariety of \mathbb{C}^n such that $n \geq 2 \dim X + 1$ and $\dim TX \leq 2 \dim X$ where TX is the Zariski tangent bundle of X . We continue to treat every tangent space T_xX as a natural subspace of \mathbb{C}^n and suppose that Condition (#) of Lemma 1.4 holds.

Lemma 1.7. *Let Convention 1.6 hold. Then $\dim CX = 2 \dim X + 1$ and therefore $\dim(CX)_H = 2 \dim X$. Furthermore, there is a subvariety $(CX)'_H \subset (CX)_H$ of dimension at most $2 \dim X - 1$ such that for every $z \in (CX)_H \setminus (CX)'_H$*

- (i) *the preimage $\pi^{-1}(z)$ is at most finite;*
- (ii) *for each $\ell \in \pi^{-1}(z)$ every pair of distinct points x_1 and x_2 in $\ell \cap X$ satisfies Condition (#)³;*
- (iii) *ℓ does not contain points from X_{sing} and ℓ is not tangent to X at any smooth point;*
- (iv) *ℓ meets X exactly at two points.*

Proof. Consider general points x_1 and x_2 in an irreducible component D of X such that $\dim D = \dim X$. Let U_i be a small Euclidean neighborhood of x_i in A , i.e. U_i corresponds to a neighborhood of the origin in $T_{x_i}D$. Condition (#) implies that the union of chord through points of U_1 and U_2 is of dimension $2 \dim X + 1$ which yields the first statement.

³That is, for general $\varphi \in L_{n,n-1}$ and every pair of points $x_1, x_2 \in X$ such that $\overrightarrow{x_1, x_2} \in \text{Ker } \varphi$ one has Condition (#).

The union B of chords containing points from X_{sing} has dimension at most $\dim X_{\text{sing}} + \dim X + 1 \leq 2 \dim X$. That is, $\dim B_H < \dim H$. Similarly by Convention 1.6 $\dim(T'X)_H < 2 \dim X$. Choosing $(CX)'_H$ so that B_H and $(T'X)_H$ are contained in $(CX)'_H$ we get (iii). In particular every ℓ is a line that meets X transversally at the intersection points.

Suppose that $\pi^{-1}(z)$ is not finite. That is, assuming that $x_1, x_2 \in \ell$ and U_1, U_2 are as before we can find biholomorphic analytic subsets $W_i \subset U_i$ of positive dimensions such that every $w_1 \in W_1$ and its image w_2 in W_2 are joined by a chord from $\pi^{-1}(z)$. This implies that for φ from Remark 1.5 (1) $\varphi(T_{x_1}X) \cap \varphi(T_{x_2}X)$ contains $\varphi(T_{x_1}W_1) \simeq \varphi(T_{x_2}W_2)$. However the points x_1 and x_2 are general since z is general. This contradicts Condition (#) from Convention 1.6. That is, W_i is zero-dimensional and we have (i). By the same reason we have (ii).

Note that the number of points in $\ell \cap X$ is constant for general $z \in (CX)_H$ and these points depend continuously on z . (Indeed, there is a natural morphism from the complement to the diagonal in $X \times X$ into $(CX)_H$ and the statement is a consequence of the semi-continuity theorem [6].) Assume that ℓ contains at least three points x_1, x_2 and x_3 in X , i.e. the vectors $\overrightarrow{x_1x_2}$ and $\overrightarrow{x_1x_3}$ are parallel. Let U_i be a neighborhood x_i as before. Choose a sequence of points x_{2i} in U_2 convergent to x_2 and consider the chords through x_1 and x_{2i} . By semi-continuity and continuous dependence on z there must be a point $x_{3i} \in U_3$ on the same chord as x_1 and x_{2i} . However this implies that for $\psi \in L_{n,n-1}$ with $\ell \in \text{Ker } \psi$ one would have again $\psi(T_{x_2}X) \cap \psi(T_{x_3}X) \neq \{0\}$ contrary to Condition (#). Hence we have (iv). □

The following is the main result of this section.

Theorem 1.8. *Let X be a closed affine subvariety of \mathbb{C}^n with Convention 1.6 valid, and let $2 \dim X \leq m < n$. Suppose that φ is a general element of $L_{n,m}$. Then $\varphi|_X : X \rightarrow Y := \varphi(X) \subset \mathbb{C}^m$ is a semi-isomorphism.*

Proof. Since for $m = 2 \dim X + 1$ and general $\varphi \in L_{n,m}$ the map $\varphi|_X : X \rightarrow Y$ is an isomorphism onto a closed subvariety of \mathbb{C}^m (e.g., see [7]) we can suppose that $n = 2 \dim X + 1$ and $m = 2 \dim X$. Then by Lemma 1.7 (i) $\varphi|_X : X \rightarrow Y$ is bijective in the complement to a finite set for general φ . That is, such a φ is birational.

Since $V = \text{Ker } \varphi$ is now a line, V_H is a general point in H . Then $V_H \cap X_H = \emptyset$ because $\dim X_H = \dim X - 1$. Hence $\varphi|_X : X \rightarrow Y$ is a proper morphism, and Y is closed in \mathbb{C}^m . Furthermore being proper and quasi-finite, $\varphi|_X$ is finite by Grothendieck's theorem.

Similarly $V_H \cap (T'X)_H = \emptyset$ for a general φ since $\dim TX \leq 2 \dim X$ and thus $\dim(T'X)_H \leq 2 \dim X - 1$. Hence for every $x \in X$ the linear map $\varphi_* : T_x X' \rightarrow T_{\varphi(x)} Y'$ is an isomorphism where X' is the analytic germ of X at x and $Y' = \varphi(X')$. This implies that for some neighborhood $U \subset X$ the restriction $\varphi|_U$ is an étale map (e.g, see [7, Proposition 7]).

Thus we have Conditions (a) and (b) from Definition 1.1 while Condition (c) follows from Lemma 1.7 (ii), (iii), and (iv). □

Remark 1.9. (1) Since $\varphi \in L_{n,m}$ in Theorem 1.8 is general we can suppose that any forgetting projection $\mathbb{C}^n \rightarrow \mathbb{C}^m$ to a coordinate subspace of dimension m can serve as φ in this Theorem.

(2) Since any semi-isomorphism does not distinguish only a finite number of points and any general linear function separates elements of finite sets we can also suppose that any forgetting projection $p : \mathbb{C}^n \rightarrow \mathbb{C}^{m+1}$ yields an isomorphism between X and $p(X)$.

Furthermore, one can see that the proof yields a stronger statement.

Proposition 1.10. *Let X and Y be as in Theorem 1.8. If $l = 2 \dim X$ and M is a subvariety of $L_{n,l}$ of dimension at least $l + 1$ such that for a general $\varphi \in M$ and any points $x_1, x_2 \in X$ with $\varphi(x_1) = \varphi(x_2)$ Condition (#) from Lemma 1.4 holds, then the restriction $\varphi|_X : X \rightarrow Y$ is a semi-isomorphism.*

Proof. Indeed, for $1 \leq m \leq n$ and every $\psi \in L_{n,m}$ let us treat $\psi(\mathbb{C}^n)$ as orthogonal complement to $\text{Ker } \psi$ (i.e. as a subspace of \mathbb{C}^n). Then one can fix a general $\psi \in L_{n,l+1}$ such that $\psi|_X : X \rightarrow \psi(X)$ is an isomorphism onto the closed subvariety $\psi(X)$ of \mathbb{C}^{l+1} and for general $\varphi \in M$ the restriction of ψ to $\varphi(\mathbb{C}^n) \subset \mathbb{C}^n$ is injective. We can present now $\psi \circ \varphi : \mathbb{C}^n \rightarrow \mathbb{C}^l$ as a composition $\varphi' \circ \psi : \mathbb{C}^n \rightarrow \mathbb{C}^l$ where $\varphi' \in L_{l+1,l}$, and replace X by $\psi(X)$ and M be the family M' that consists of such φ' . Generality of ψ implies that its restriction to the subspace generated by $T_{x_1}X, T_{x_2}X$ and the vector $\overrightarrow{x_1, x_2}$ is injective and therefore the modified Condition (#) does not suffer under this change from M to M' which yields Condition (c) from Definition 1.1 while Conditions (a) and (b) follow as before from the fact that $\dim M' = l + 1 > \dim(CX)'_H$. \square

To describe a specific submanifold M needed later we introduce the following.

Notation 1.11. Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine algebraic subvarieties of \mathbb{C}^n where $n = 2 \dim X_1 + 1$. Suppose that Convention 1.6 is true for the embeddings $X_i \subset \mathbb{C}^n$, $i = 1, 2$, and the restriction of forgetting projections satisfy Remark 1.9 (1). Consider the graph Γ of Ψ in \mathbb{C}^{2n} with natural coordinates $(\bar{z}, \bar{w}) = (z_1, \dots, z_n, w_1, \dots, w_n)$ and the forgetting projections $\varrho_{m,k} : \mathbb{C}^{2n} \rightarrow \mathbb{C}^{m+k}$ given by

$$(\bar{z}, \bar{w}) \rightarrow (z_1, \dots, z_m, w_1, \dots, w_k).$$

Proposition 1.12. *Let Notation 1.11 hold and $l = 2 \dim X_1$. Suppose that M is the subvariety of $L_{2n,l}$ that consists of all projections of form $\varphi = (\varphi_1, \varphi_2)$ where φ_1 depends on \bar{z} only while φ_2 depends on \bar{w} only. Then there is a polynomial coordinate substitution in $\mathbb{C}^{2n} = \mathbb{C}^n \oplus \mathbb{C}^n$ of form $\alpha = (\alpha_1, \alpha_2)$ where α_i is an algebraic automorphism of \mathbb{C}^n such that after this substitution the restriction $\varphi|_\Gamma : \Gamma \rightarrow \varphi(\Gamma)$ is a semi-isomorphism onto a closed subvariety of \mathbb{C}^l for any general $\varphi \in M$. In particular, one can assume (by Remark 1.9) that the restriction to Γ of each $\varrho_{2n,l}$ is a semi-isomorphism and of each $\varrho_{2n,n}$ is an isomorphism.*

Proof. Consider general points a_1 and a_2 in Γ . Suppose that $\varphi \in M$, i.e. $\varphi = (\varphi_1, \varphi_2) : \mathbb{C}^{2n} = \mathbb{C}^n \oplus \mathbb{C}^n \rightarrow \mathbb{C}^l = \mathbb{C}^m \oplus \mathbb{C}^{l-m}$. We can assume $m \geq \dim X$ (if not, switch m and

$l - m$). Let $a_{ij} = \varphi_i(a_j)$ (in particular, a_{i1} and a_{i2} are general points in X_i) and $V_{ij} = (\varphi_i)_*(T_{a_j}\Gamma)$. Generality and Remark 1.9 imply that $\dim V_{1j} = \dim T_{a_{1j}}X_1$ for every j . Choose every α_i so that it preserves a_{i1} and a_{i2} . Since $n \geq 2$, by [1, Theorem 4.14 and Remark 4.16] α_i can be also chosen with prescribed values of $(\alpha_i)_*$ in $SL(T_{a_{ij}}\mathbb{C}^n)$ for each j . In particular, we can make this choice so that the space generated by $\alpha_{1*}(V_{11})$, $\alpha_{1*}(V_{12})$, and the vector $\overrightarrow{a_{11}a_{12}}$ has dimension $\dim T_{a_{11}}X_1 + \dim T_{a_{12}}X_1 + 1$. That is, Condition (#) from Lemma 1.4 holds for points a_1 and a_2 . Since φ_1 (resp. φ_2) is a general element of $L_{n,m}$ (resp. $L_{n,l-m}$) and a_{ij} is a general point of X_i we can suppose that after a perturbation $\varphi_i(a_{i1}) = \varphi_i(a_{i2})$ for $i = 1, 2$, i.e. $\varphi(a_1) = \varphi(a_2)$. Thus we are done now by Remark 1.9. \square

2. THE PROOF OF THEOREM 0.1

Proposition 2.1. *Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine algebraic subvarieties of \mathbb{C}^n where $n = 2 \dim X_1 + 1$. Suppose that $\varphi \in L_{n,n-1}$ is such that $\varphi(X_1) = \varphi(X_2) =: Y$ is closed in \mathbb{C}^n and $\varphi|_{X_i} : X_i \rightarrow Y$ is a semi-isomorphism for $i = 1, 2$. Then there exists an extension of Ψ to a holomorphic automorphism $\tilde{\Psi} : \mathbb{C}^n \rightarrow \mathbb{C}^n$.*

Proof. Let $\bar{z} = (z_1, \dots, z_n)$ be a coordinate system on \mathbb{C}^n . Without loss of generality one can suppose that the coordinate form of φ is $\bar{z} \rightarrow (z_1, \dots, z_{n-1}) =: \bar{\zeta}$. Denote by f_i the restriction of the function z_n to X_i . Then f_i can be treated as a rational function on Y with possible indeterminacy points in $Y_{\text{sing}} \setminus S$ where $S = \varphi((X_1)_{\text{sing}}) = \varphi((X_2)_{\text{sing}})$ (the last equality holds since otherwise Ψ is not an isomorphism). Consider a holomorphic automorphism α of \mathbb{C}^n given by $\bar{z} \rightarrow (\bar{\zeta}, e^{g(\bar{\zeta})} z_n)$ where g is a polynomial in $\bar{\zeta}$. Our aim to choose g so that $h := f_1 - e^{g(\bar{\zeta})} f_2$ is a holomorphic function on Y .

Let $y \in Y_{\text{sing}} \setminus S$ and $\varphi^{-1}(y) \cap X_i = \{x'_i, x''_i\}$. Suppose that $a'_i = f_i(x'_i)$ and $a''_i = f_i(x''_i)$. Note that $a'_i \neq a''_i$ since otherwise $x'_i = x''_i$ is a singular point of X_i contrary to the assumption. Choose g so that

$$e^{g(y)} = \frac{a'_1 - a''_1}{a'_2 - a''_2}$$

for every $y \in Y_{\text{sing}} \setminus S$. Then $h(x'_1) = h(x''_1)$ and therefore h can be viewed as a holomorphic function on Y by Proposition 1.2. We denote by the same symbol a holomorphic extension of h to \mathbb{C}^{n-1} . Let β be the holomorphic automorphism of \mathbb{C}^n given by $\bar{z} \rightarrow (\bar{\zeta}, z_n + h(\bar{\zeta}))$. Then the composition $\alpha^{-1} \circ \beta$ is the desired extension of Ψ . \square

Remark 2.2. (1) The same argument shows that an extension to a holomorphic automorphism in Proposition 2.1 exists in the case when $\Psi : X_1 \rightarrow X_2$ is a biholomorphic map of closed analytic subsets of \mathbb{C}^n and Y is a closed analytic subset of \mathbb{C}^{n-1} .

(2) If φ is only a pseudo-isomorphism then a priori the meromorphic function $h = f_1 - e^{g(\bar{\zeta})} f_2 = f_1 - f_2 + (1 - e^{g(\bar{\zeta})}) f_2$ constructed in the proof is holomorphic only on $Y \setminus \varphi(X_{\text{sing}})$. However, suppose that X_{sing} is, say, discrete and for every $x \in X_{\text{sing}}$ there exists a natural $k = k(x)$ such that every germ of a continuous meromorphic

function, that vanishes at x with multiplicity at least k , is in fact holomorphic at x . If it is also known that $f_1 - f_2$ is holomorphic at points of $\varphi(X_{\text{sing}}) \subset Y$ then requiring additionally that g vanishes on $\varphi(X_{\text{sing}})$ with sufficiently large multiplicity one gets h holomorphic on the entire Y . In particular in this case an extension of Ψ to a holomorphic automorphism again exists.

Definition 2.3. Let Notation 1.11 hold but we allow $n \geq 2 \dim X_1 + 1$. We say that the triple (Ψ, X_1, X_2) is admissible with respect to the coordinate system (\bar{z}, \bar{w}) if

- (i) $n > l := \max(2 \dim X_1, \dim TX_1)$;
- (ii) for every $m = 0, 1, \dots, l$ the set $Z_m = \varrho_{m, l-m}(\Gamma)$ is a closed affine algebraic subvariety of \mathbb{C}^l ;
- (iii) the restriction $\varrho_{m, l-m}|_{\Gamma} : \Gamma \rightarrow Z_m$ is a semi-isomorphism for $m = 0, \dots, l$.
- (iv) the restriction $\varrho_{m, l+1-m}|_{\Gamma} : \Gamma \rightarrow W_m := \varrho_{m, l+1-m}(\Gamma)$ is an isomorphism for $m = 0, \dots, l+1$.

Proposition 2.4. *Let a triple (Ψ, X_1, X_2) be admissible. Then Ψ can be extended to a holomorphic automorphism $\tilde{\Psi} : \mathbb{C}^n \rightarrow \mathbb{C}^n$.*

Proof. If $\dim TX_1 \geq 2 \dim X_1 + 1$ then $\tilde{\Psi}$ can be chosen algebraic by [7]. Thus we suppose that $\dim TX_1 \leq 2 \dim X_1$. By the same reason we can suppose that $n = 2 \dim X_1 + 1 = l + 1$. Consider \mathbb{C}^n equipped with a coordinate system (u_1, \dots, u_n) . Let W'_m be the image of Γ under the morphism $\mathbb{C}^{2n} \rightarrow \mathbb{C}^n$ given by

$$(\bar{z}, \bar{w}) \rightarrow (z_1, \dots, z_{m-1}, w_1, \dots, w_{n-m}, z_m).$$

Note that all W_m are closed subvarieties of \mathbb{C}^n by Condition (ii) of Definition 2.3. The same is true for W'_m since W_m and W'_m are isomorphic under the automorphism $\beta_m : \mathbb{C}^n \rightarrow \mathbb{C}^n$ that switches coordinates u_m and u_n . Note also that $W_0 = X_2$ while $W_n = X_1$. By Condition (iv) of Definition 2.3 there are isomorphisms $\psi_m : W_{m-1} \rightarrow W_m$ such that $\Psi = \psi_1 \circ \dots \circ \psi_n$. Consider the projection $\varphi : \mathbb{C}^n \rightarrow \mathbb{C}^l$ given by $(u_1, \dots, u_n) \rightarrow (u_1, \dots, u_l)$. Note that $\varphi(W_{m-1}) = \varphi(W'_m)$ and by Condition (iii) of Definition 2.3 the restrictions of φ to W_m or to W'_m yield semi-isomorphisms. By Proposition 2.1 there is a holomorphic automorphism $\alpha_m : \mathbb{C}^n \rightarrow \mathbb{C}^n$ that extends the isomorphism $\beta_m \circ \psi_m$. Hence $\beta_m \circ \alpha_m : \mathbb{C}^n \rightarrow \mathbb{C}^n$ is the extension of ψ_m and $(\beta_1 \circ \alpha_1) \circ \dots \circ (\beta_n \circ \alpha_n)$ is the desired extension of Ψ . \square

2.1. Proof of Theorem 0.1. By [7, Theorem 1] we can suppose that $\dim TX_1 \leq 2 \dim X_1$. Let $l = 2 \dim X_1 + 1$. Since for a general $\varphi \in L_{n,l}$ the restrictions $\varphi|_{X_i} : X_i \rightarrow \varphi(X_i)$, $i = 1, 2$ are isomorphisms onto closed subvarieties of \mathbb{C}^l we can suppose that $n = 2 \dim X_1 + 1$. Applying algebraic automorphisms α_i of \mathbb{C}^n to X_i , $i = 1, 2$ we can suppose that the last statement of Proposition 1.12 holds. In particular, the triple (Ψ, X_1, X_2) is admissible. Hence by Proposition 2.4 Ψ can be extended to a holomorphic automorphism $\tilde{\Psi}$ of \mathbb{C}^n which is the desired conclusion. \square

3. THE CASE OF CURVES

It is interesting to find out whether the restriction on $\dim TX_1$ in Theorem 0.1 can be made weaker; say, whether in the case of isolated singularities the inequality

$n \geq 2 \dim X_1 + 1$ yields the existence of an extension to a holomorphic automorphism. At present we do not know a complete answer except for the one-dimensional case. Recall that there are isomorphic curves in \mathbb{C}^3 whose isomorphism does not extend to an algebraic automorphism⁴ of \mathbb{C}^3 [7, Example] but we shall show that an extension to a holomorphic automorphism always exists.

Convention 3.1. We study below affine algebraic subvarieties of \mathbb{C}^n equipped with coordinates (x, y, \bar{z}) where $\bar{z} = (z_1, \dots, z_{n-2})$. For the sake of notation but without loss of generality we suppose further that $n = 3$ and (x, y, z) is a coordinate system on $\mathbb{C}^3 = \mathbb{C}^n$.

We shall need later holomorphic automorphisms of \mathbb{C}^n preserving some coordinates and having prescribed jets at a finite collection of points⁵.

Proposition 3.2. *Let $S = \{s_1, \dots, s_k\}$ be a finite subset in \mathbb{C}^3 with coordinates (x, y, z) and $s_i = (x_i, y_i, z_i)$. Suppose that $z_i \neq z_j$ for $i \neq j$. Then for every natural number $m \geq 1$ and a collection of polynomials $f_i(x, y, z)$, $i = 1, \dots, k$ with $f_i(0, 0, 0) = 0$ there exists a holomorphic automorphism α of \mathbb{C}^3 identical on S and such that for every $i = 1, \dots, k$ the Taylor series of α at s_i is of form $\alpha(x, y, z) =$*

$$(1) \quad (x, y, z) + (c_i \Delta x_i + f_i(\Delta x_i, \Delta y_i, \Delta z_i) + M^m(\Delta x_i, \Delta y_i, \Delta z_i), M^m(\Delta x_i, \Delta y_i, \Delta z_i), \bar{0})$$

where M is the maximal ideal of $\mathbb{C}[x, y, z]$ corresponding to the origin, $c_i \in \mathbb{C}^*$, $\Delta x_i = x - x_i$, $\Delta y_i = y - y_i$, and $\Delta z_i = z - z_i$.

Proof. Consider a polynomial $h(y, z)$ such that $h(y_i, z_i) = \ln c_i$ and $h(\Delta y_i, \Delta z_i) - \ln c_i \in M^m(0, \Delta y_i, \Delta z_i)$ for every $i = 1, \dots, k$. Then using composition with holomorphic automorphism $(x, y, z) \rightarrow (e^{h(y, z)}y, z)$ one can always suppose that each $c_i = 1$. Furthermore, applying triangular automorphism of form $(x, y, z) \rightarrow (x + p(y, z), y, z)$ we can suppose that each $f_i(x, y, z)$ is divisible by x . Another simplifying observation is that it suffices to prove the statement in the case of $f_i(x, y, z) = x^l g(y, z)$ where $1 \leq l \leq m$. Indeed, if this is true then taking composition of such holomorphic automorphisms with l changing from 1 to m one gets Formula (1) in general case.

Let $q_{l,m}$ be the sum of the first $m+1$ terms of the Taylor series for $1/(1+lx^{l-1})$ at the origin, i.e. the Jacobian on the map $(x, y) \rightarrow (x + x^l, y(1 + q_{l,m}(x)))$ is $1 + M^m(x, y, 0)$. By [1, Theorem 4.14] there exists a polynomial automorphism $\beta_0 = (\beta'_0, \beta''_0) : \mathbb{C}^2 \rightarrow \mathbb{C}^2$ whose m -jet at the origin coincides with $(x, y) \rightarrow (x + x^l, y(1 + q_{l,m}(x)))$. Let $r_1(z)$ and $r_2(z)$ be polynomials such that $r_1(z_i) = x_i$ and $r_2(z_i) = y_i$ for each i . Consider the automorphism β of \mathbb{C}^3 given by

$$\beta(x, y, z) = (r_1(z) + \beta'_0(x - r_1(z)), r_2(z) + \beta''_0(y - r_2(z)), z).$$

⁴An example of such curves is given by the images of the morphisms $\varrho_i : \mathbb{C} \rightarrow \mathbb{C}^3$, where $\varrho_1(t) = (t^7, t^{11}, t^{13})$ and $\varrho_2(t) = (t^{7+14}, t^{11}, t^{13})$ with an isomorphism between them given by $(x, y, z) \rightarrow (x + x^2, y, z)$. Actually, the argument in [7] shows that there is no extension of this isomorphism to a holomorphic automorphism with constant Jacobian.

⁵Holomorphic automorphisms with prescribed jets at a finite set were constructed in [4] but the condition on preservation of coordinates does not allow a direct use of that result.

Let $q(x)$ be the sum of the first m terms of the Taylor series of $\ln q_{l,m}$ at the origin. Note that the composition β_1 of β with the holomorphic automorphism $(x, y, z) \rightarrow (x, e^{-q(x-r_1(z))}y, z)$ satisfies Formula (1) with $f_i(x, y, z) = x^l$ for each i . Let $g(y, z)$ be a polynomial such that $g(y_i, z_i) = 0$ for every i and the Taylor series of g at (y_i, z_i) is of form $g_i(y - y_i, z - z_i) + M^m(0, y - y_i, z - z_i)$ where $\{g_i \mid i = 1, \dots, k\}$ is a given collection of polynomials. Then the composition γ_l of β_1 with the holomorphic automorphism $(x, y, z) \rightarrow (e^{g(y,z)}x, y, z)$ satisfies Formula (1) with $f_i(x, y, z) = x^l g_i(y, z)$, $i = 1, \dots, k$ and we are done. \square

Lemma 3.3. *Let X and Y be the germs of algebraic curves at the origin o of \mathbb{C}^3 and M be as in Proposition 3.2. Suppose that $\psi : X \rightarrow Y$ is a bijective morphism. Then there exists a natural m such that $M^m|_X \subset \psi^*(\mathcal{O}(Y))$.*

Proof. Suppose first that Y is irreducible, i.e. it is the image of the germ $(\mathbb{C}, 0)$ under an injective holomorphic map $\lambda : (\mathbb{C}, 0) \rightarrow (Y, o)$. Let $R = \lambda^*(\mathcal{O}(Y))$ and let S be the similar ring for X , i.e. S can be viewed as a finitely generated R -module containing R as a submodule. The set of zero multiplicities of functions from R at $0 \in \mathbb{C}$ form a semi-group G and, furthermore, there exists a natural m such that G contains $\{n \in \mathbb{N} \mid n \geq m\}$ ⁶.

Suppose that I is the ideal of S induced by $M^m|_X$. By the Krull theorem (e.g., see [2]) $\bigcap_{k=1}^{\infty} I^k = 0$. Hence $\bigcap_{k=1}^{\infty} I^k / (R \cap I) = 0$. Then the above property of the semi-group G implies that for every $k > 0$ and $f \in I$ there exists $g \in R \cap I$ such that $f + g \in I^k$. That is, the image of f in $I / (R \cap I)$ is zero which implies the desired conclusion in the irreducible case.

Suppose now that Y is not irreducible. Say, it consists of two components Y' and Y'' . Let m' and m'' play the same role for this components as m for Y in the irreducible case. Let g' be a holomorphic function that vanishes on Y'' but not on Y' while g'' plays the opposite role. Let n' be the zero multiplicity of g' on Y' and n'' the zero multiplicity of g'' on Y'' . The irreducible case implies now that any function on Y that vanishes on Y'' (resp. Y') and has zero multiplicity $n' + m'$ on Y' (resp. $n'' + m''$ on Y'') is contained in R . Taking the sum of such functions we get the desired conclusion with $m = \max(n' + m', n'' + m'')$. \square

Proposition 3.4. *Let $\varphi : \mathbb{C}^3 \rightarrow \mathbb{C}_{y,z}^2$ be the forgetting projection, $\Psi : X_1 \rightarrow X_2$ be a isomorphism of closed affine algebraic curves in \mathbb{C}^3 such that $\varphi|_{X_1} = \varphi \circ \Psi$ and $\varphi|_{X_i} : X_i \rightarrow Y := \varphi(X_i)$ is a pseudo-isomorphism. Suppose also that we have $(X_1)_{\text{sing}} = (X_2)_{\text{sing}} =: S$. Then there exists an extension of Ψ to a holomorphic automorphism of \mathbb{C}^3 .*

⁶Since the author does not know a reference to this well-known fact we sketch a proof. Let $k \in \mathbb{N}$ be the greatest common divisor of elements of G , i.e. for some $m > 0$ this semi-group contains $\{nk \mid n \in \mathbb{N}, n \geq m/k\}$. Assume that $k \geq 2$. There must be a function $f \in \mathcal{O}(Y)$ such that Taylor series $f \circ \lambda(t) = \sum_{i=1}^{\infty} a_i t^i$ contains a nonzero term $a_j t^j$ with j non-divisible by k since otherwise the map λ is not injective. Replacing f with its power one can suppose that $a_1 = \dots = a_m = 0$ and still have some $a_i \neq 0$ with i non-divisible by k . Hence adding to f an element of R we can get a function from R whose zero multiplicity is not divisible by k . A contradiction.

Proof. By assumption the coordinate form of Ψ is $(x, y, z) \rightarrow (F(x, y, z), y, z)$. Making a linear substitution in $\mathbb{C}_{y,z}^2$ one can suppose that the restriction of the forgetting projection $\mathbb{C}^3 \rightarrow \mathbb{C}_z$ to $S := (X_i)_{\text{sing}}$ is injective and since Ψ is an isomorphism at every $s_i = (x_i, y_i, z_i) \in S$ the Taylor series of F is of form $F(x, y, z) = c_i x_i + f_i(\Delta x_i, \Delta y_i, \Delta z_i) + M^m(\Delta x_i, \Delta y_i, \Delta z_i)$ where m is a sufficiently large natural number, $c_i, f_i, \Delta x_i, \Delta y_i, \Delta z_i$, and M are as in Proposition 3.2. Hence applying a holomorphic automorphism as in Proposition 3.2 to X_1 we can suppose that $c_i = 1$ and $f_i \equiv 0$ for every $s_i \in S$. Consider $h = x|_{X_1} - x|_{X_2}$ as a meromorphic function on Y . Note that by Lemma 3.3 h is holomorphic at points $\varphi(S)$. Now the desired conclusion follows from Remark 2.2 (2). \square

Proof of Theorem 0.2. By Convention 3.1 we consider the case of curves in \mathbb{C}^3 . Applying a polynomial automorphism to X_2 one can suppose that $S := (X_1)_{\text{sing}}$ coincides with $(X_2)_{\text{sing}}$ as a subset of \mathbb{C}^n . Furthermore, by Proposition 1.12 applying polynomial automorphisms to X_i we can suppose that the restriction of any forgetting coordinate projection $p : \mathbb{C}_{x_1, y_1, z_1, x_2, y_2, z_2}^6 \rightarrow \mathbb{C}^3$ to the graph Γ of Ψ yields an isomorphism between Γ and its image. By virtue of Remark 1.5 (2) one can suppose that these automorphisms do not ruin the equality $(X_1)_{\text{sing}} = (X_2)_{\text{sing}}$ since they can be chosen identical on S . Furthermore, applying a linear automorphism to both X_1 and X_2 we get the following:

- (i) the restriction of any coordinate to S is injective;
- (ii) the restriction of any coordinate to $X_i, i = 1, 2$ is a proper map onto \mathbb{C} ,
- (iii) for any any forgetting coordinate projection $\mathbb{C}^6 \rightarrow \mathbb{C}^2$ its restriction to each X_i yields a pseudo-isomorphism.

Consider the the image X_3 (resp. X_4) of Γ under the projection $\mathbb{C}^6 \rightarrow \mathbb{C}^3$ given by $(x_1, y_1, z_1, x_2, y_2, z_2) \rightarrow (x_2, y_1, z_1)$ (resp. $(x_1, y_1, z_1, x_2, y_2, z_2) \rightarrow (x_2, y_2, z_1)$). Suppose that X_3 and X_4 are naturally embedded in the same sample of \mathbb{C}^3 as X_1 and X_2 . By Proposition 3.4 the natural isomorphism $X_1 \rightarrow X_3$ over \mathbb{C}_{y_1, z_1}^2 (resp. $X_3 \rightarrow X_4$ over \mathbb{C}_{x_2, z_1}^2 ; resp. $X_4 \rightarrow X_2$ over \mathbb{C}_{x_2, y_2}^2) extends to a holomorphic automorphism of \mathbb{C}^3 . Taking composition of these holomorphic automorphisms we get the desired conclusion. \square

Remark 3.5. We used only two specific properties of curves in the proof of Theorem 0.2. Namely, that

- (1) the set S of singularities of $X_i \subset \mathbb{C}^n$, whose Zariski tangent space is of dimension n , is finite and the dimension of $T(X \setminus S)$ is at most $n - 1$;
- (2) for every $x \in S$ each continuous meromorphic function vanishing at x with sufficiently large multiplicity (independent on the function) is in fact holomorphic at x .

Hence the same argument leads to a more general statement.

Let $\Psi : X_1 \rightarrow X_2$ be an isomorphism of closed affine subvarieties of \mathbb{C}^n such that the singularities of X_1 satisfy conditions (1) and (2) above. Suppose also that $n \geq 2 \dim X + 1$. Then Ψ extends to a holomorphic automorphism of \mathbb{C}^n .

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