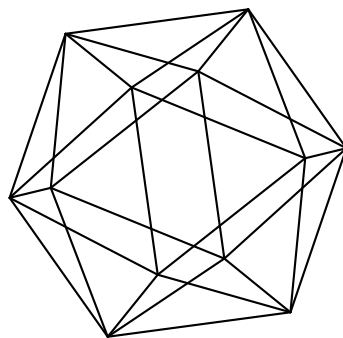


# Max-Planck-Institut für Mathematik Bonn

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quantum groups

by

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# R-matrix and Mickelsson algebras for orthosymplectic quantum groups

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## **Abstract**

Let  $\mathfrak{g}$  be a complex orthogonal or symplectic Lie algebra and  $\mathfrak{g}' \subset \mathfrak{g}$  the Lie subalgebra of rank  $\text{rk } \mathfrak{g}' = \text{rk } \mathfrak{g} - 1$  of the same type. We give an explicit construction of generators of the Mickelsson algebra  $Z_q(\mathfrak{g}, \mathfrak{g}')$  in terms of Chevalley generators via the R-matrix of  $U_q(\mathfrak{g})$ .

Mathematics Subject Classifications: 81R50, 81R60, 17B37.

Key words: Mickelsson algebras, quantum groups, R-matrix, lowering/raising operators.

# 1 Introduction

In the mathematics literature, lowering and raising operators are known as generators of step algebras, which were originally introduced by Mickelsson [1] for reductive pairs of Lie algebras,  $\mathfrak{g}' \subset \mathfrak{g}$ . These algebras naturally act on  $\mathfrak{g}'$ -singular vectors in  $U(\mathfrak{g})$ -modules and are important in representation theory, [2, 3].

The general theory of step algebras for classical universal enveloping algebras was developed in [2, 4] and was extended to the special linear and orthogonal quantum groups in [5]. They admit a natural description in terms of extremal projectors, [4], introduced for classical groups in [6, 7] and extended to the quantum group case in [8]. It is known that the step algebra  $Z(\mathfrak{g}, \mathfrak{g}')$  is generated by the image of the orthogonal complement  $\mathfrak{g} \ominus \mathfrak{g}'$  under the extremal projector of the  $\mathfrak{g}'$ . Another description of lowering/raising operators for classical groups was obtained in [9, 10, 11, 12] in an explicit form of polynomials in  $\mathfrak{g}$ .

A generalization of the results of [9, 10] to quantum  $\mathfrak{gl}(n)$  can be found in [13]. In this special case, the lowering operators can be also conveniently expressed through "modified commutators" in the Chevalley generators of  $U(\mathfrak{g})$  with coefficients in the field of fractions of  $U(\mathfrak{h})$ . Extending [11, 12] to a general quantum group is not straightforward, since there are no immediate candidates for the nilpotent triangular Lie subalgebras  $\mathfrak{g}_{\pm}$  in  $U_q(\mathfrak{g})$ . We suggest such a generalization, where the lack of  $\mathfrak{g}_{\pm}$  is compensated by the entries of the universal R-matrix with one leg projected to the natural representation. Those entries are nicely expressed through modified commutators in the Chevalley generators turning into elements of  $\mathfrak{g}_{\pm}$  in the quasi-classical limit. Their commutation relation with the Chevalley generators modify the classical commutation relations with  $\mathfrak{g}_{\pm}$  in a tractable way. This enabled us to generalize the results of [9, 10, 11, 12] and construct generators of Mickelsson algebras for the non-exceptional quantum groups.

## 1.1 Quantized universal enveloping algebra

In this paper,  $\mathfrak{g}$  is a complex simple Lie algebra of type  $B$ ,  $C$  or  $D$ . The case of  $\mathfrak{gl}(n)$  can be easily derived from here due to the natural inclusion  $U_q(\mathfrak{gl}(n)) \subset U_q(\mathfrak{g})$ , so we do not pay special attention to it. We choose a Cartan subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  with the canonical inner product  $(\cdot, \cdot)$  on  $\mathfrak{h}^*$ . By  $R$  we denote the root system of  $\mathfrak{g}$  with a fixed subsystem of positive roots  $R^+ \subset R$  and the basis of simple roots  $\Pi^+ \subset R^+$ . For every  $\lambda \in \mathfrak{h}^*$  we denote by  $h_{\lambda}$  its image under the isomorphism  $\mathfrak{h}^* \simeq \mathfrak{h}$ , that is  $(\lambda, \beta) = \beta(h_{\lambda})$  for all  $\beta \in \mathfrak{h}^*$ . We put  $\rho = \frac{1}{2} \sum_{\alpha \in R^+} \alpha$  for the Weyl vector.

Suppose that  $q \in \mathbb{C}$  is not a root of unity. Denote by  $U_q(\mathfrak{g}_\pm)$  the  $\mathbb{C}$ -algebra generated by  $e_{\pm\alpha}$ ,  $\alpha \in \Pi^+$ , subject to the  $q$ -Serre relations

$$\sum_{k=0}^{1-a_{ij}} (-1)^k \begin{bmatrix} 1-a_{ij} \\ k \end{bmatrix}_{q_{\alpha_i}} e_{\pm\alpha_i}^{1-a_{ij}-k} e_{\pm\alpha_j} e_{\pm\alpha_i}^k = 0,$$

where  $a_{ij} = \frac{2(\alpha_i, \alpha_j)}{(\alpha_i, \alpha_i)}$ ,  $i, j = 1, \dots, n = \text{rk } \mathfrak{g}$ , is the Cartan matrix,  $q_\alpha = q^{\frac{(\alpha, \alpha)}{2}}$ , and

$$\begin{bmatrix} m \\ k \end{bmatrix}_q = \frac{[m]_q!}{[k]_q! [m-k]_q!}, \quad [m]_q! = [1]_q \cdot [2]_q \cdots [m]_q.$$

Here and further on,  $[z]_q = \frac{q^z - q^{-z}}{q - q^{-1}}$  whenever  $q^{\pm z}$  make sense.

Denote by  $U_q(\mathfrak{h})$  the commutative  $\mathbb{C}$ -algebra generated by  $q^{\pm h_\alpha}$ ,  $\alpha \in \Pi^+$ . The quantum group  $U_q(\mathfrak{g})$  is a  $\mathbb{C}$ -algebra generated by  $U_q(\mathfrak{g}_\pm)$  and  $U_q(\mathfrak{h})$  subject to the relations

$$q^{h_\alpha} e_{\pm\beta} q^{-h_\alpha} = q^{\pm(\alpha, \beta)} e_{\pm\beta}, \quad [e_\alpha, e_{-\beta}] = \delta_{\alpha, \beta} \frac{q^{h_\alpha} - q^{-h_\alpha}}{q_\alpha - q_\alpha^{-1}}.$$

Remark that  $\mathfrak{h}$  is not contained in  $U_q(\mathfrak{g})$ , still it is convenient for us to keep reference to  $\mathfrak{h}$ .

Fix the comultiplication in  $U_q(\mathfrak{g})$  as in [14]:

$$\begin{aligned} \Delta(e_\alpha) &= e_\alpha \otimes q^{h_\alpha} + 1 \otimes e_\alpha, & \Delta(e_{-\alpha}) &= e_{-\alpha} \otimes 1 + q^{-h_\alpha} \otimes e_{-\alpha}, \\ \Delta(q^{\pm h_\alpha}) &= q^{\pm h_\alpha} \otimes q^{\pm h_\alpha}, \end{aligned}$$

for all  $\alpha \in \Pi^+$ .

The subalgebras  $U_q(\mathfrak{b}_\pm) \subset U_q(\mathfrak{g})$  generated by  $U_q(\mathfrak{g}_\pm)$  over  $U_q(\mathfrak{h})$  are quantized universal enveloping algebras of the Borel subalgebras  $\mathfrak{b}_\pm = \mathfrak{h} + \mathfrak{g}_\pm \subset \mathfrak{g}$ .

The Chevalley generators  $e_\alpha$  can be extended to a set of higher root vectors  $e_\beta$  for all  $\beta \in R$ . A normally ordered set of root vectors generate a Poincaré-Birkhoff-Witt (PBW) basis of  $U_q(\mathfrak{g})$  over  $U_q(\mathfrak{h})$ , [14]. We will use  $\mathfrak{g}_\pm$  to denote the vector space spanned by  $\{e_{\pm\beta}\}_{\beta \in R^+}$ .

The universal  $R$ -matrix is an element of a certain extension of  $U_q(\mathfrak{g}) \otimes U_q(\mathfrak{g})$ . We heavily use the intertwining relation

$$\mathcal{R}\Delta(x) = \Delta^{op}(x)\mathcal{R}, \tag{1.1}$$

between the coproduct and its opposite for all  $x \in U_q(\mathfrak{g})$ . Let  $\{\varepsilon_i\}_{i=1}^n \subset \mathfrak{h}^*$  be the standard orthonormal basis and  $\{h_{\varepsilon_i}\}_{i=1}^n$  the corresponding dual basis in  $\mathfrak{h}$ . The exact expression for  $\mathcal{R}$  can be extracted from [14], Theorem 8.3.9, as the ordered product

$$\mathcal{R} = q^{\sum_{i=1}^n h_{\varepsilon_i} \otimes h_{\varepsilon_i}} \prod_{\beta} \exp_{q_\beta} \{(1 - q_\beta^{-2})(e_\beta \otimes e_{-\beta})\} \in U_q(\mathfrak{b}_+) \hat{\otimes} U_q(\mathfrak{b}_-), \tag{1.2}$$

where  $\exp_q(x) = \sum_{k=0}^{\infty} q^{\frac{1}{2}k(k+1)} \frac{x^k}{[k]_q!}$ .

We use the notation  $e_i = e_{\alpha_i}$  and  $f_i = e_{-\alpha_i}$  for  $\alpha_i \in \Pi^+$ , in all cases apart from  $i = n$ ,  $\mathfrak{g} = \mathfrak{so}(2n+1)$ , where we set  $f_n = [\frac{1}{2}]_q e_{-\alpha_n}$ . The reason for this is two-fold. Firstly, the natural representation can be defined through the classical assignment on the generators, as given below. Secondly, we get rid of  $q_{\alpha_n} = q^{\frac{1}{2}}$  and can work over  $\mathbb{C}[q]$ , as the relations involved turn into

$$[e_n, f_n] = \frac{q^{h_{\alpha_n}} - q^{-h_{\alpha_n}}}{q - q^{-1}},$$

$$f_n^3 f_{n-1} - (q+1+q^{-1})f_n^2 f_{n-1} f_n + (q+1+q^{-1})f_n f_{n-1} f_n^2 - f_{n-1} f_n^3 = 0.$$

It is easy to see that the square root of  $q$  disappears from the corresponding factor in the presentation (1.2).

In what follows, we regard  $\mathfrak{gl}(n) \subset \mathfrak{g}$  to be the Lie subalgebra with the simple roots  $\{\alpha_i\}_{i=1}^{n-1}$  and  $U_q(\mathfrak{gl}(n))$  the corresponding quantum subgroup in  $U_q(\mathfrak{g})$ .

Consider the natural representation of  $\mathfrak{g}$  in the vector space  $\mathbb{C}^N$ . We use the notation  $i' = N+1-i$  for all integers  $i = 1, \dots, N$ . The assignment

$$\pi(e_i) = e_{i,i+1} \pm e_{i',i'-1}, \quad \pi(f_i) = e_{i+1,i} \pm e_{i',i'-1}, \quad \pi(h_{\alpha_i}) = e_{ii} - e_{i+1,i+1} + e_{i'-1,i'-1} - e_{i'i'},$$

for  $i = 1, \dots, n-1$ , defines a direct sum of two representations of  $\mathfrak{gl}(n)$  for each sign. It extends to the natural representation of the whole  $\mathfrak{g}$  by

$$\pi(e_n) = e_{n,n+1} \pm e_{n',n'}, \quad \pi(f_n) = e_{n+1,n} \pm e_{n',n'-1}, \quad \pi(h_{\alpha_n}) = e_{nn} - e_{n'n'},$$

$$\pi(e_n) = e_{nn'}, \quad \pi(f_n) = e_{n'n}, \quad \pi(h_{\alpha_n}) = 2e_{nn} - 2e_{n'n'},$$

$$\pi(e_n) = e_{n-1,n'} \pm e_{n,n'+1}, \quad \pi(f_n) = e_{n',n-1} \pm e_{n'+1,n}, \quad \pi(h_{\alpha_n}) = e_{n-1,n-1} + e_{nn} - e_{n'n'} - e_{n'+1,n'+1},$$

respectively, for  $\mathfrak{g} = \mathfrak{so}(2n+1)$ ,  $\mathfrak{g} = \mathfrak{sp}(2n)$ , and  $\mathfrak{g} = \mathfrak{so}(2n)$ .

Two values of the sign give equivalent representations. The choice of minus corresponds to the standard representation that preserves the bilinear form with entries  $C_{ij} = \delta_{i'j}$ , for  $\mathfrak{g} = \mathfrak{so}(N)$ , and  $C_{ij} = \text{sign}(i' - i)\delta_{i'j}$ , for  $\mathfrak{g} = \mathfrak{sp}(N)$ . However, we fix the sign to  $+$  in order to simplify calculations. The above assignment also defines representations of  $U_q(\mathfrak{g})$ .

## 2 $R$ -matrix of non-exceptional quantum groups

Define  $\check{\mathcal{R}} = q^{-\sum_{i=1}^n h_{\varepsilon_i} \otimes h_{\varepsilon_i}} \mathcal{R}$ . Denote by  $\check{R}^- = (\pi \otimes \text{id})(\check{\mathcal{R}}) \in \text{End}(\mathbb{C}^N) \otimes U_q(\mathfrak{g}_-)$  and by  $\check{R}^+ = (\pi \otimes \text{id})(\check{\mathcal{R}}_{21}) \in \text{End}(\mathbb{C}^N) \otimes U_q(\mathfrak{g}_+)$ . In this section, we deal only with  $\check{R}^-$  and suppress the label "–" for simplicity,  $\check{R} = \check{R}^-$ .



Denote by  $N_+$  the ring of all upper triangular matrices in  $\text{End}(\mathbb{C}^N)$  and by  $N'_+$  its ideal spanned by  $e_{ij}$ ,  $i < j + 1$ .

**Lemma 2.1.** *One has*

$$\check{R} = 1 \otimes 1 + (q^{1+\delta_{1n}} - q^{-1-\delta_{1n}}) \sum_{i=1}^n \pi(e_i) \otimes f_i \pmod{N'_+ \otimes U_q(\mathfrak{g}_-),}$$

where  $\delta_{1n}$  is present only for  $\mathfrak{g} = \mathfrak{sp}(2n)$ .

*Proof.* For all positive roots  $\alpha, \beta$  the matrix  $\pi(e_\alpha e_\beta)$  belongs to  $N'_+$ . Also,  $\pi(e_\beta) \in N'_+$  for all  $\beta \in R^+ \setminus \Pi^+$ . Therefore, the only terms that contribute to  $\text{Span}_{\varepsilon_i - \varepsilon_j \in \Pi^+} \{e_{ij} \otimes U_q(\mathfrak{g}_-)\}$  are those of degree 1 from the series  $\exp_{q_\alpha}(1 - q_\alpha^{-2})(e_\alpha \otimes e_{-\alpha})$  with  $\alpha \in \Pi^+$ .  $\square$

Write  $\check{R} = \sum_{i,j=1}^N e_{ij} \otimes \check{R}_{ij}$ , where  $\check{R}_{ij} = 0$  for  $i > j$ . Due to the  $\mathfrak{h}$ -invariance of  $\check{R}$ , the entry  $\check{R}_{ij} \in U_q(\mathfrak{g}_-)$  carries weight  $\varepsilon_j - \varepsilon_i$ .

For all  $\mathfrak{g}$ , we have  $f_{k,k+1} = f_k = f_{k'-1,k'}$  once  $k < n$  and  $f_{n,n+1} = f_n = f_{n+1,n'}$  for  $\mathfrak{g} = \mathfrak{so}(2n+1)$ ,  $f_{n-1,n'} = f_n = f_{n,n'+1}$  for  $\mathfrak{g} = \mathfrak{so}(2n)$ , and  $f_{nn'} = [2]_q f_n$  for  $\mathfrak{g} = \mathfrak{sp}(2n)$ . We present explicit expressions for the entries  $f_{ij}$  in terms of modified commutators in Chevalley generators,  $[x, y]_a = xy - ayx$ , where  $a$  is a scalar; we also put  $\bar{q} = q^{-1}$ .

**Proposition 2.2.** *Suppose that  $\varepsilon_i - \varepsilon_j \in R^+ \setminus \Pi^+$ . Then the elements  $f_{ij}$  are given by the following formulas:*

For all  $\mathfrak{g}$  and  $i + 1 < j \leq \frac{N+1}{2}$ :

$$f_{ij} = [f_{j-1}, \dots [f_{i+1}, f_i]_{\bar{q}} \dots]_{\bar{q}}, \quad f_{j'i'} = [\dots [f_i, f_{i+1}]_{\bar{q}}, \dots f_{j-1}]_{\bar{q}}. \quad (2.3)$$

Furthermore,

- For  $\mathfrak{g} = \mathfrak{so}(2n+1)$ :  $f_{nn'} = (q-1)f_n^2$  and

$$f_{i,n+1} = [f_n, f_{i,n}]_{\bar{q}}, \quad f_{n+1,i'} = [f_{n',i'}, f_n]_{\bar{q}}, \quad i < n,$$

$$f_{ij'} = q^{\delta_{ij}} [f_{n+1,j'}, f_{i,n+1}]_{\bar{q}^{\delta_{ij}}}, \quad i, j < n.$$

- For  $\mathfrak{g} = \mathfrak{sp}(2n)$ :  $f_{nn'} = [2]_q f_n$  and

$$f_{in'} = [f_n, f_{in}]_{\bar{q}^2}, \quad f_{n'i'} = [f_{n',i'}, f_n]_{\bar{q}^2}, \quad i < n,$$

$$f_{ij'} = q^{\delta_{ij}} [f_{n,j'}, f_{in}]_{\bar{q}^{1+\delta_{ij}}}, \quad i, j < n.$$

- For  $\mathfrak{g} = \mathfrak{so}(2n)$ :  $f_{nn'} = 0$  and

$$f_{in'} = [f_n, f_{i,n-1}]_{\bar{q}}, \quad f_{ni'} = [f_{n'+1,i'}, f_n]_{\bar{q}}, \quad i < n - 2,$$

$$f_{ji'} = q^{\delta_{ij}} [f_{ni'}, f_{j,n}]_{\bar{q}^{1+\delta_{ij}}}, \quad i, j \leq n - 1.$$

*Proof.* The proof is a direct calculation with the use of the identity

$$(f_\alpha \otimes 1)\check{\mathcal{R}} - \check{\mathcal{R}}(f_\alpha \otimes 1) = \check{\mathcal{R}}(q^{-h_\alpha} \otimes f_\alpha) - (q^{h_\alpha} \otimes f_\alpha)\check{\mathcal{R}},$$

which follows from the intertwining axiom (1.1) for  $x = f_\alpha$ . This allows us to construct the elements  $f_{ij}$  by induction starting from  $f_\alpha$ ,  $\alpha \in \Pi^+$ .  $\square$

For each  $\alpha \in \Pi^+$ , denote by  $P(\alpha)$  the set of ordered pairs  $l, r = 1, \dots, N$ , with  $\varepsilon_l - \varepsilon_r = \alpha$ . We call such pairs simple.

**Proposition 2.3.** *The matrix entries  $f_{i,j} \in U_q(\mathfrak{g}_-)$  such that  $\varepsilon_i - \varepsilon_j \notin \Pi^+$  satisfy the identity*

$$[e_\alpha, f_{ij}] = \sum_{(l,r) \in P(\alpha)} (f_{il} \delta_{jr} q^{h_\alpha} - q^{-h_\alpha} \delta_{il} f_{rj}),$$

for all simple positive roots  $\alpha$ .

*Proof.* The proof is a straightforward calculation based on the intertwining relation (1.1), which is equivalent to

$$(1 \otimes e_\alpha)\check{\mathcal{R}} - \check{\mathcal{R}}(1 \otimes e_\alpha) = \check{\mathcal{R}}(e_\alpha \otimes q^{h_\alpha}) - (e_\alpha \otimes q^{-h_\alpha})\check{\mathcal{R}},$$

for  $x = e_\alpha$ ,  $\alpha \in \Pi^+$ . Alternatively, one can use the expressions for  $f_{ij}$  from Proposition 2.2.  $\square$

### 3 Mickelsson algebras

Consider the Lie subalgebra  $\mathfrak{g}' \subset \mathfrak{g}$  corresponding to the root subsystem  $R_{\mathfrak{g}'} \subset R_{\mathfrak{g}}$  generated by  $\alpha_i$ ,  $i > 1$ , and let  $\mathfrak{h}' \subset \mathfrak{g}'$  denote its Cartan subalgebra. Let the triangular decomposition  $\mathfrak{g}'_- \oplus \mathfrak{h}' \oplus \mathfrak{g}'_+$  be compatible with the triangular decomposition of  $\mathfrak{g}$ . Recall the definition of step algebra  $Z_q(\mathfrak{g}, \mathfrak{g}')$  of the pair  $(\mathfrak{g}, \mathfrak{g}')$ . Consider the left ideal  $J = U_q(\mathfrak{g})\mathfrak{g}'_+$  and its normalizer  $\mathcal{N} = \{x \in U_q(\mathfrak{g}) : e_\alpha x \subset J, \forall \alpha \in \Pi_{\mathfrak{g}'}^+\}$ . By construction,  $J$  is a two-sided ideal in the algebra  $\mathcal{N}$ . Then  $Z_q(\mathfrak{g}, \mathfrak{g}')$  is the quotient  $\mathcal{N}/J$ .

For all  $\beta_i \in R_{\mathfrak{g}}^+ \setminus R_{\mathfrak{g}'}^+$  let  $e_{\beta_i}$  be the corresponding PBW generators and let  $Z$  be the vector space spanned by  $e_{-\beta_l}^{k_l} \dots e_{-\beta_1}^{k_1} e_0^{k_0} e_{\beta_1}^{m_1} \dots e_{\beta_l}^{m_l}$ , where  $e_0 = q^{h_{\alpha_1}}$ ,  $k_i \in \mathbb{Z}_+$ , and  $k_0 \in \mathbb{Z}$ . The PBW factorization  $U_q(\mathfrak{g}) = U_q(\mathfrak{g}'_-) Z U_q(\mathfrak{h}') U_q(\mathfrak{g}'_+)$  gives rise to the decomposition

$$U_q(\mathfrak{g}) = Z U_q(\mathfrak{h}') \oplus (\mathfrak{g}'_- U_q(\mathfrak{g}) + U_q(\mathfrak{g}) \mathfrak{g}'_+).$$

**Proposition 3.1** ([5], Theorem 1). *The projection  $U_q(\mathfrak{g}) \rightarrow Z U_q(\mathfrak{h}')$  implements an embedding of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  in  $Z U_q(\mathfrak{h}')$ .*

*Proof.* The statement is proved in [5] for the orthogonal and special linear quantum groups but the arguments apply to symplectic groups too.  $\square$

It is proved within the theory of extremal projectors that generators of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  are labeled by the roots  $\beta \in R_{\mathfrak{g}} \setminus R_{\mathfrak{g}'}$  plus  $z_0 = q^{h_{\alpha_1}}$ . We calculate them in the subsequent sections, cf. Propositions 3.5 and 3.9.

### 3.1 Lowering operators

In what follows, we extend  $U_q(\mathfrak{g})$  along with its subalgebras containing  $U_q(\mathfrak{h})$  over the field of fractions of  $U_q(\mathfrak{h})$  and denote such an extension by hat, e.g.  $\hat{U}_q(\mathfrak{g})$ . In this section we calculate representatives of the negative generators of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  in  $\hat{U}_q(\mathfrak{b}_-)$ .

Set  $h_i = h_{\varepsilon_i} \in \mathfrak{h}$  for all  $i = 1, \dots, N$  and introduce  $\eta_{ij} \in \mathfrak{h} + \mathbb{C}$  for  $i, j = 1, \dots, N$ , by

$$\eta_{ij} = h_i - h_j + (\varepsilon_i - \varepsilon_j, \rho) - \frac{1}{2} \|\varepsilon_i - \varepsilon_j\|^2. \quad (3.4)$$

Here  $\|\mu\|$  is the Euclidean norm on  $\mathfrak{h}^*$ .

**Lemma 3.2.** *Suppose that  $(l, r) \in P(\alpha)$  for some  $\alpha \in \Pi^+$ . Then*

- i) if  $l < r < j$ , then  $\eta_{lj} - \eta_{rj} = h_{\alpha} + (\alpha, \varepsilon_j - \varepsilon_r)$ ,
- ii) if  $i < l < r$ , then  $\eta_{li} - \eta_{ri} = h_{\alpha} + (\alpha, \varepsilon_i - \varepsilon_r)$ ,
- iii)  $\eta_{lr} = h_{\alpha}$ .

*Proof.* We have  $(\alpha, \rho) = \frac{1}{2} \|\alpha\|^2$  for all  $\alpha \in \Pi^+$ . This proves iii). Further, for  $\varepsilon_l - \varepsilon_r = \alpha$ :

$$\begin{aligned} \eta_{lj} - \eta_{rj} &= h_{\alpha} + \frac{1}{2} \|\alpha\|^2 + \frac{1}{2} \|\varepsilon_j - \varepsilon_r\|^2 - \frac{1}{2} \|\varepsilon_j - \varepsilon_r - \alpha\|^2 = h_{\alpha} + (\alpha, \varepsilon_j - \varepsilon_r), \quad r < j, \\ \eta_{li} - \eta_{ri} &= h_{\alpha} + \frac{1}{2} \|\alpha\|^2 + \frac{1}{2} \|\varepsilon_i - \varepsilon_r\|^2 - \frac{1}{2} \|\varepsilon_i - \varepsilon_r - \alpha\|^2 = h_{\alpha} + (\alpha, \varepsilon_i - \varepsilon_r), \quad i < l, \end{aligned}$$

which proves i) and ii).  $\square$

We call a strictly ascending sequence  $\vec{m} = (m_1, \dots, m_s)$  of integers a route from  $m_1$  to  $m_s$ . We write  $m < \vec{m}$  and  $\vec{m} < m$  for  $m \in \mathbb{Z}$  if, respectively,  $m < \min \vec{m}$  and  $\max \vec{m} < m$ . More generally, we write  $\vec{m} < \vec{k}$  if  $\max \vec{m} < \min \vec{k}$ . In this case, a sequence  $(\vec{m}, \vec{k})$  is a route from  $\min \vec{m}$  to  $\max \vec{k}$ .

Given a route  $\vec{m} = (m_1, \dots, m_s)$ , define the product  $f_{\vec{m}} = f_{m_1, m_2} \cdots f_{m_{s-1}, m_s} \in U_q(\mathfrak{g}_-)$ . Consider a free right  $\hat{U}_q(\mathfrak{h})$ -module  $\Phi_{1\vec{m}}$  generated by  $f_{\vec{m}}$  with  $1 \leq \vec{m} \leq j$  and define an operation  $\partial_{lr}: \Phi_{1j} \rightarrow \hat{U}_q(\mathfrak{b}_-)$  for  $(l, r) \in P(\alpha)$  as follows. Assuming  $1 \leq \vec{\ell} < l < r < \vec{\rho} < j$ , set

$$\begin{aligned} \partial_{lr} f_{(\vec{\ell}, l)} f_{(l, r)} f_{(r, \vec{\rho})} &= f_{(\vec{\ell}, l)} f_{(r, \vec{\rho})} [\eta_{lj} - \eta_{rj}]_q, \\ \partial_{lr} f_{(\vec{\ell}, l)} f_{(l, \vec{\rho})} &= -f_{(\vec{\ell}, l)} f_{(r, \vec{\rho})} q^{-\eta_{lj} + \eta_{rj}}, \\ \partial_{lr} f_{(\vec{\ell}, r)} f_{(r, \vec{\rho})} &= f_{(\vec{\ell}, l)} f_{(r, \vec{\rho})} q^{\eta_{lj} - \eta_{rj}}, \\ \partial_{lr} f_{\vec{m}} &= 0, \quad l \notin \vec{m}, \quad r \notin \vec{m}. \end{aligned}$$

Extend  $\partial_{lr}$  to entire  $\Phi_{1j}$  by  $\hat{U}_q(\mathfrak{h})$ -linearity. Let  $p: \Phi_{1j} \rightarrow \hat{U}(\mathfrak{g})$  denote the natural homomorphism of  $\hat{U}_q(\mathfrak{h})$ -modules.

**Lemma 3.3.** *For all  $\alpha \in \Pi^+$  and all  $x \in \Phi_{1j}$ ,  $e_\alpha \circ p(x) = \sum_{(l, r) \in P(\alpha)} \partial_{lr} x \pmod{\hat{U}_q(\mathfrak{g})e_\alpha}$ .*

*Proof.* A straightforward analysis based on Proposition 2.3 and Lemma 3.2.  $\square$

To simplify the presentation, we suppress the symbol of projection  $p$  in what follows.

Introduce elements  $A_r^j \in \hat{U}_q(\mathfrak{h})$  by

$$A_r^j = \frac{q - q^{-1}}{q^{-2\eta_{rj}} - 1}, \quad (3.5)$$

for all  $r, j \in [1, N]$  subject to  $r < j$ . For each simple pair  $(l, r)$  we define  $(l, r)$ -chains as

$$f_{(\vec{\ell}, l)} f_{(l, \vec{\rho})} A_l^j + f_{(\vec{\ell}, l)} f_{(l, r)} f_{(r, \vec{\rho})} A_l^j A_r^j + f_{(\vec{\ell}, r)} f_{(r, \vec{\rho})} A_r^j, \quad f_{(\vec{\ell}, l)} f_{l, j} A_l^j + f_{(\vec{\ell}, j)}, \quad (3.6)$$

where  $1 \leq \vec{\ell} < l$  and  $r < \vec{\rho} \leq j$ . Remark that  $f_{(l, r)} = \left[ \frac{(\alpha, \alpha)}{2} \right]_q e_{-\alpha}$ , where  $\alpha = \varepsilon_l - \varepsilon_r$ .

**Lemma 3.4.** *The operator  $\partial_{lr}$  annihilates  $(l, r)$ -chains.*

*Proof.* Applying  $\partial_{lr}$  to the 3-chain in (3.6), we get

$$f_{(\vec{\ell}, l)} f_{(r, \vec{\rho})} (-q^{-\eta_{lj} + \eta_{rj}} A_l^j + [\eta_{lj} - \eta_{rj}]_q A_l^j A_r^j + q^{\eta_{lj} - \eta_{rj}} A_r^j).$$

The factor in the brackets turns zero on substitution of 3.5.

Now apply  $\partial_{lj}$  to the right expression in (3.6) and get

$$f_{(\vec{\ell}, l)} ([h_\alpha]_q A_l^j + q^{h_\alpha}) = f_{(\vec{\ell}, l)} \left( \frac{q^{h_\alpha} - q^{-h_\alpha}}{q^{-2\eta_{lj}} - 1} + q^{h_\alpha} \right) = f_{(\vec{\ell}, l)} \frac{[h_\alpha - \eta_{lj}]_q}{[-\eta_{lj}]_q} = 0,$$

so long as  $\eta_{lj} = h_\alpha$  by Lemma 3.2.  $\square$

Given a route  $\vec{m} = (m_1, \dots, m_s)$ , put  $A_{\vec{m}}^j = A_{m_1}^j \cdots A_{m_s}^j \in \hat{U}_q(\mathfrak{h})$  (and  $A_{\vec{m}}^j = 1$  for the empty route) and define

$$z_{-j+1} = \sum_{1 < \vec{m} < j} f_{(1, \vec{m}, j)} A_{\vec{m}}^j \in \hat{U}_q(\mathfrak{b}_-), \quad j = 2, \dots, N, \quad (3.7)$$

where the summation is taken over all possible  $\vec{m}$  subject to the specified inequalities plus the empty route.

**Proposition 3.5.**  $e_\alpha z_{-j} = 0 \pmod{\hat{U}_q(\mathfrak{g})e_\alpha}$  for all  $\alpha \in \Pi_{\mathfrak{g}'}^+$  and  $j = 1, \dots, N-1$ .

*Proof.* Thanks to Lemma 3.3, we can reduce consideration to the action of operators  $\partial_{lr}$ , with  $(l, r) \in P(\alpha)$ . According to the definition of  $\partial_{lr}$  the summands in (3.7) that survive the action of  $\partial_{lr}$  can be organized into a linear combination of  $(l, r)$ -chains with coefficients in  $\hat{U}_q(\mathfrak{h})$ . By Lemma 3.4 they are killed by  $\partial_{lr}$ .  $\square$

The elements  $z_{-i}$ ,  $i = 1, \dots, N-1$ , belong to the normalizer  $\mathcal{N}$  and form the set of negative generators of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  for symplectic  $\mathfrak{g}$ . In the orthogonal case, the negative part of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  is generated by  $z_{-i}$ ,  $i = 1, \dots, N-2$ .

## 3.2 Raising operators

In this section we construct positive generators of  $Z_q(\mathfrak{g}, \mathfrak{g}')$ , which are called raising operators. Consider an algebra automorphism  $\omega: U_q(\mathfrak{g}) \rightarrow U_q(\mathfrak{g})$  defined on the generators by  $f_\alpha \leftrightarrow e_\alpha$ ,  $q^{\pm h_\alpha} \mapsto q^{\mp h_\alpha}$ . For  $i < j$ , let  $g_{ji}$  be the image of  $f_{ij}$  under this isomorphism. The natural representation restricted to  $U_q(\mathfrak{g}_\pm)$  intertwines  $\omega$  and matrix transposition. Since  $(\omega \otimes \omega)(\check{\mathcal{R}}) = \check{\mathcal{R}}_{21}$ , the matrix  $\check{R}^+ = (\pi \otimes \text{id})(\check{\mathcal{R}}_{21})$  is equal to  $1 \otimes 1 + (q - q^{-1}) \sum_{i < j} e_{ji} \otimes g_{ji}$ .

**Lemma 3.6.** For all  $\alpha \in \Pi_{\mathfrak{g}'}^+$  and all  $i > 1$ ,  $e_\alpha g_{i1} = \sum_{(l,r) \in P(\alpha)} \delta_{il} g_{r1} \pmod{\hat{U}_q(\mathfrak{g})e_\alpha}$ .

*Proof.* Follows from the intertwining property of the R-matrix.  $\square$

Consider the right  $\hat{U}_q(\mathfrak{h})$ -module  $\Psi_{i1}$  freely generated by  $f_{(\vec{m}, k)} g_{k1}$  with  $i \leq \vec{m} < k$ . We define operators  $\partial_{lr}: \Psi_{i1} \rightarrow \hat{U}_q(\mathfrak{g})$  similarly as we did it for  $\Phi_{1j}$ . For a simple pair  $(l, r) \in P(\alpha)$ , put

$$\partial_{l,r} f_{(\vec{m}, k)} g_{k1} = \begin{cases} f_{(\vec{m}, l)} g_{r1}, & l = k, \\ (\partial_{l,r} f_{(\vec{m}, k)}) g_{k1}, & l \neq k, \end{cases} \quad i \leq \vec{m} < r.$$

The Cartan factors appearing in  $\partial_{lr} f_{(\vec{m}, k)}$  depend on  $h_\alpha$ . When pushed to the right-most position,  $h_\alpha$  is shifted by  $(\alpha, \varepsilon_1 - \varepsilon_r)$ . We extend  $\partial_{lr}$  to an action on  $\Psi_{i1}$  by the requirement

that  $\partial_{lr}$  commutes with the right action of  $\hat{U}_q(\mathfrak{h})$ . Let  $p$  denote the natural homomorphism of  $\hat{U}_q(\mathfrak{h})$ -modules,  $p: \Psi_{i1} \rightarrow \hat{U}_q(\mathfrak{g})$ . One can prove the following analog of Lemma 3.3.

**Lemma 3.7.** *For all  $\alpha \in \Pi_{\mathfrak{g}'}^+$  and all  $x \in \Psi_{i1}$ ,  $e_\alpha \circ p(x) = \sum_{(l,r) \in P(\alpha)} \partial_{lr} x \pmod{\hat{U}_q(\mathfrak{g})e_\alpha}$ .*

*Proof.* Straightforward.  $\square$

We suppress the symbol of projection  $p$  to simplify the formulas.

Define  $\sigma_i$  for all  $i = 1, \dots, N$  as follows. For  $i < j$  let  $|i - j|$  be the number of simple positive roots entering  $\varepsilon_i - \varepsilon_j$ . For all  $i, k = 2, \dots, N$ ,  $i < k$ , put

$$A_k^i = \frac{q^{\eta_{k1} - \eta_{i1}}}{[\eta_{i1} - \eta_{k1}]_q}, \quad B_k^i = \frac{(-1)^{|i-k|}}{[\eta_{i1} - \eta_{k1}]_q},$$

For each  $(l, r) \in P(\alpha)$ , where  $\alpha \in \Pi_{\mathfrak{g}'}^+$ , define 3-chains as

$$f_{(i, \vec{m}, l)} g_{l1} B_l^i + f_{(i, \vec{m}, l)} f_{(l, r)} g_{r1} A_l^i B_r^i + f_{(i, \vec{m}, r)} g_{r1} B_r^i, \quad (3.8)$$

with  $i < \vec{m} < l < r \leq N$  and

$$f_{(i, \vec{\ell}, l)} f_{(l, \vec{\rho}, k)} g_{k1} A_l^i + f_{(i, \vec{\ell}, l)} f_{(l, r)} f_{(r, \vec{\rho}, k)} g_{k1} A_l^i A_r^i + f_{(i, \vec{\ell}, r)} f_{(r, \vec{\rho}, k)} g_{k1} A_r^i \quad (3.9)$$

with  $i < \vec{\ell} < l < r < \vec{\rho} < k \leq N$ . The 2-chains are defined as

$$g_{i1} + f_{(i, r)} g_{r1} B_r^i, \quad f_{(i, \vec{m}, k)} g_{k1} + f_{(i, r)} f_{(r, \vec{m}, k)} g_{k1} A_r^i \quad (3.10)$$

where  $r$  is such that  $\varepsilon_i - \varepsilon_r \in \Pi_{\mathfrak{g}'}^+$  and  $i < r < \vec{m} < k \leq N$ . In all cases the empty routes  $\vec{m}$  are admissible.

**Lemma 3.8.** *For all  $\alpha \in \Pi_{\mathfrak{g}'}^+$  and all  $(l, r) \in P(\alpha)$  the  $(l, r)$ -chains are annihilated by  $\partial_{lr}$ .*

*Proof.* Suppose that  $i = l$  and apply  $\partial_{ir}$  to the left 2-chain in (3.10). The result is

$$g_{r1} + [h_\alpha]_q g_{r1} B_r^i = g_{r1} (1 + [h_\alpha + (\alpha, \varepsilon_1 - \varepsilon_r)]_q B_r^i) = g_{r1} (1 + [\eta_{i1} - \eta_{r1}]_q B_r^i) = 0,$$

by Lemma 3.2. Applying  $\partial_{ir}$  to the right 2-chain in (3.10) we get

$$f_{(r, \vec{m}, k)} g_{k1} (-q^{-\eta_{i1} + \eta_{r1}} + [\eta_{i1} - \eta_{r1}]_q A_r^i) = 0.$$

Now consider 3-chains. The action of  $\partial_{lr}$  on the (3.9) produces

$$-f_{(i, \vec{\ell}, l)} q^{-h_\alpha} f_{(r, \vec{\rho}, k)} g_{k,1} A_l^i + f_{(i, \vec{\ell}, l)} [h_\alpha]_q f_{(r, \vec{\rho}, k)} g_{k,1} A_l^i A_r^i + f_{(i, \vec{\ell}, l)} q^{h_\alpha} f_{(r, \vec{\rho}, k)} g_{k,1} A_r^i,$$

which turns zero since  $-q^{\eta_{r1} - \eta_{i1}} A_l^i + [\eta_{l1} - \eta_{r1}]_q A_l^i A_r^i + q^{\eta_{i1} - \eta_{r1}} A_r^i = 0$ . The action of  $\partial_{lr}$  on (3.8) yields

$$f_{(i, \vec{m}, l)} g_{r1} B_l^i + f_{(i, \vec{m}, l)} [h_\alpha]_q g_{r1} A_l^i B_r^i + f_{(i, \vec{m}, l)} q^{h_\alpha} g_{r1} B_r^i.$$

This is vanishing since  $B_l^i + [\eta_{l1} - \eta_{r1}]_q A_l^i B_r^i + q^{\eta_{i1} - \eta_{r1}} B_r^i = B_l^i + \frac{[\eta_{i1} - \eta_{r1}]_q}{[\eta_{i1} - \eta_{l1}]_q} B_r^i = 0$ .  $\square$

Given a route  $\vec{m} = (m_1, \dots, m_k)$  such that  $i < \vec{m}$  let  $A_{\vec{m}}^i$  denote the product  $A_{m_1}^i \dots A_{m_k}^i$ . Introduce elements  $z_i \in \hat{U}_q(\mathfrak{g}_-)\mathfrak{g}_+$  of weight  $\varepsilon_1 - \varepsilon_i$  by

$$z_{i-1} = g_{i1} + \sum_{i < \vec{m} < k \leq N} f_{(i, \vec{m}, k)} g_{k1} A_{\vec{m}}^i B_k^i, \quad i = 2, \dots, N.$$

Again, the summation includes empty  $\vec{m}$ .

**Proposition 3.9.**  $e_\alpha z_i = 0 \pmod{\hat{U}_q(\mathfrak{g})e_\alpha}$ , for all  $\alpha \in \Pi_{\mathfrak{g}'}^+$  and  $i = 1, \dots, N - 1$ .

*Proof.* By Lemma 3.6, the vectors  $g_{2'1}$  and  $z_{N-1} = g_{1'1}$  are normalizing the left ideal  $\hat{U}_q(\mathfrak{g})\mathfrak{g}'_+$ , so is  $z_{N-2} = g_{2'1} + f_1 g_{1'1} B_{2'}^{1'}$ . Once the cases  $i = 2', 1'$  are proved, we further assume  $i < 2'$ . In view of Lemma 3.7, it is sufficient to show that  $z_{i-1}$  is killed, modulo  $\hat{U}_q(\mathfrak{g})\mathfrak{g}'_+$ , by all  $\partial_{lr}$  such that  $\varepsilon_l - \varepsilon_r \in \Pi_{\mathfrak{g}'}^+$ . Observe that  $z_{i-1}$  can be arranged into a linear combination of chains, which are killed by  $\partial_{lr}$ , as in Lemma 3.8.  $\square$

The elements  $z_i$ ,  $i = 1, \dots, N - 1$ , belong to the normalizer  $\mathcal{N}$ . They form the set of positive generators of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  for symplectic  $\mathfrak{g}$ . In the orthogonal case, the positive part of  $Z_q(\mathfrak{g}, \mathfrak{g}')$  is generated by  $z_i$ ,  $i = 1, \dots, N - 2$ .

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