

# COLORING LINK DIAGRAMS AND CONWAY-TYPE POLYNOMIAL OF BRAIDS

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ABSTRACT. In this paper we define and present a simple combinatorial formula for a 3-variable Laurent polynomial invariant  $I(a, z, t)$  of conjugacy classes in Artin braid group  $\mathbf{B}_m$ . We show that the Laurent polynomial  $I(a, z, t)$  satisfies the Conway skein relation and the coefficients of the 1-variable polynomial  $t^{-k}I(a, z, t)|_{a=1, t=0}$  are Vassiliev invariants of braids.

## 1. INTRODUCTION.

In this work we consider link invariants arising from the Alexander-Conway and HOMFLY-PT polynomials. The HOMFLY-PT polynomial  $P(L)$  is an invariant of an oriented link  $L$  (see for example [10], [18], [23]). It is a Laurent polynomial in two variables  $a$  and  $z$ , which satisfies the following skein relation:

$$(1) \quad aP \left( \begin{array}{c} \text{cross} \\ + \end{array} \right) - a^{-1}P \left( \begin{array}{c} \text{cross} \\ - \end{array} \right) = zP \left( \begin{array}{c} \text{two loops} \\ 0 \end{array} \right).$$

The HOMFLY-PT polynomial is normalized in the following way. If  $O_r$  is the  $r$ -component unlink, then  $P(O_r) = \left(\frac{a-a^{-1}}{z}\right)^{r-1}$ . The Conway polynomial  $\nabla$  may be defined as  $\nabla(L) := P(L)|_{a=1}$ . This polynomial is a renormalized version of the Alexander polynomial (see for example [9], [17]). All coefficients of  $\nabla$  are finite type or Vassiliev invariants.

Recently, invariants of conjugacy classes of braids received a considerable attention, since in some cases they define quasi-morphisms on braid groups and induce quasi-morphisms on certain groups of diffeomorphisms of smooth manifolds, see for example [3, 6, 7, 8, 11, 12, 14, 15, 19, 20].

In this paper we present a certain combinatorial construction of a 3-variable Laurent polynomial invariant  $I(a, z, t)$  of conjugacy classes in Artin braid group  $\mathbf{B}_m$ . We show that the polynomial  $I(a, z, t)$  satisfies the Conway skein relation and the coefficients of the polynomial  $t^{-k}I(a, z, t)|_{a=1, t=0}$  are finite type invariants of braids for every  $k \geq 2$ . We modify the polynomial  $t^{-2}I(a, z, t)|_{a=1, t=0}$ , so that the resulting polynomial is a polynomial invariant of links. In addition, we show that this polynomial equals to  $zP'_a|_{a=1}$ , where  $P'_a|_{a=1}$  is the partial derivative of the HOMFLY-PT polynomial, w.r.t. the variable  $a$ , evaluated at  $a = 1$ . Another interpretation of the later polynomial was recently given by the author in [4, 5].

1.1. **Construction of the polynomial  $I(a, z, t)$ .** Recall that the Artin braid group  $\mathbf{B}_m$  on  $m$  strings has the following presentation:

$$(2) \mathbf{B}_m = \langle \sigma_1, \dots, \sigma_{m-1} \mid \sigma_i \sigma_j = \sigma_j \sigma_i, |i-j| \geq 2; \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \rangle,$$

where each generator  $\sigma_i$  is shown in Figure 1a. Let  $\alpha \in \mathbf{B}_m$ . We take any

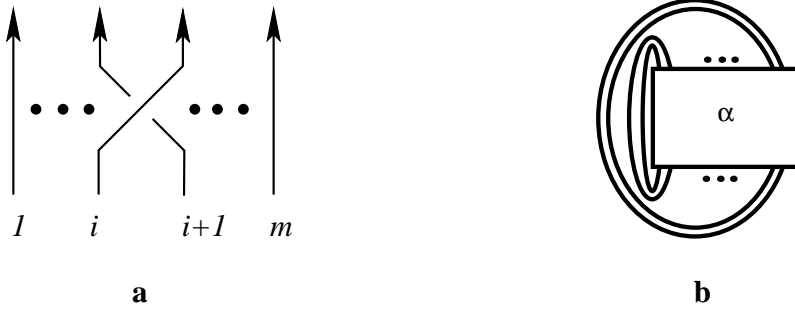


FIGURE 1. Artin generator  $\sigma_i$  and a closure of a braid  $\alpha$ .

representative of  $\alpha$  and connect its opposite ends by simple nonintersecting curves as shown in Figure 1b and obtain the oriented link diagram  $D$ . We impose an equivalence relation on the set of such diagrams as follows. Two such diagrams are equivalent if one can pass from one to another by a finite sequence of  $\emptyset 2a$ ,  $\emptyset 2b$  and  $\emptyset 3$  Reidemeister moves shown in Figure 2.

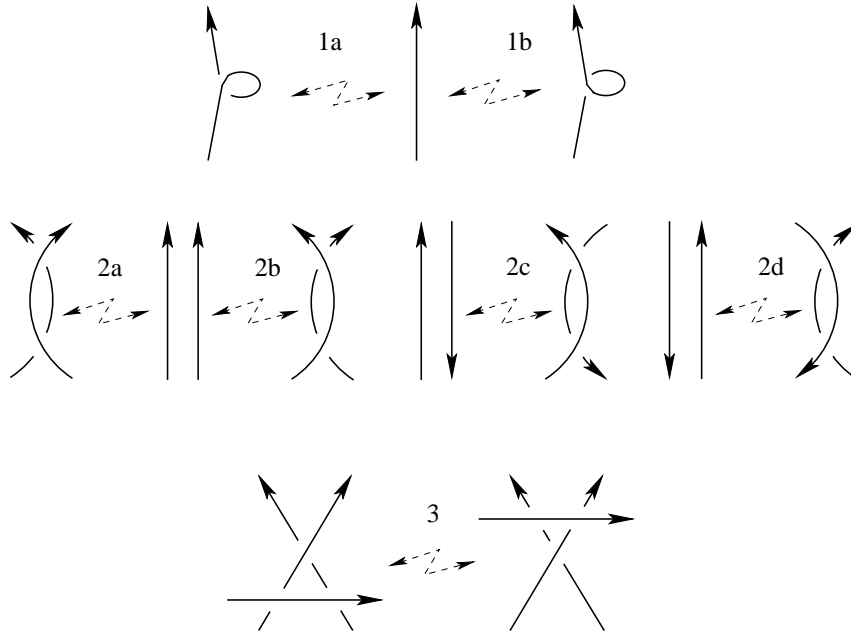


FIGURE 2.  $\emptyset 1a$ ,  $\emptyset 1b$ ,  $\emptyset 2a$ ,  $\emptyset 2b$ ,  $\emptyset 2c$ ,  $\emptyset 2d$  and  $\emptyset 3$  Reidemeister moves.

It follows directly from the presentation (2) of  $\mathbf{B}_m$  that the equivalence class of such diagrams depends on  $\alpha$  and does not depend on the representative of  $\alpha$ , see for example [16]. It is called the *closed braid* and is denoted by

$\hat{\alpha}$ . It is straightforward to show that there is a one-to-one correspondence between the conjugacy classes in the braid groups  $\mathbf{B}_1, \mathbf{B}_2, \mathbf{B}_3, \dots$  and closed braids, see for example [16].

Now we are ready to describe our construction of the polynomial  $I(a, z, t)$ . We fix a natural number  $k \geq 2$ . Let  $D$  be a diagram of an oriented link  $L$ . We remove from  $D$  a small neighborhood of each crossing, see Figure 3. The remaining arcs we will color by numbers from  $\{1, \dots, k\}$  according to

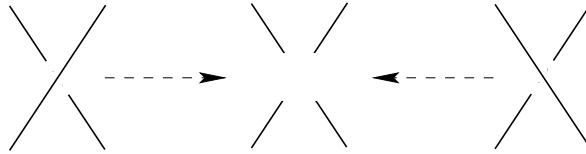


FIGURE 3. Elimination of a neighborhood.

the following rule: the adjacent arcs of each crossing we color as shown in Figure 4a or in Figure 4b. Note that in Figure 4a we require that  $p < q$  and in Figure 4b we do not have this requirement, that is,  $p$  can possibly be more than or equal to  $q$ . We also require that for every number in the set  $\{1, \dots, k\}$  there exists at least one arc which is colored by this number. We call a diagram  $D$  with colored arcs a *coloring* of  $D$ . Denote by  $\mathcal{C}(D)$  the set of all colorings of  $D$ . We say that a crossing in a coloring of  $D$  is *special* if its adjacent arcs are colored as in Figure 4a.

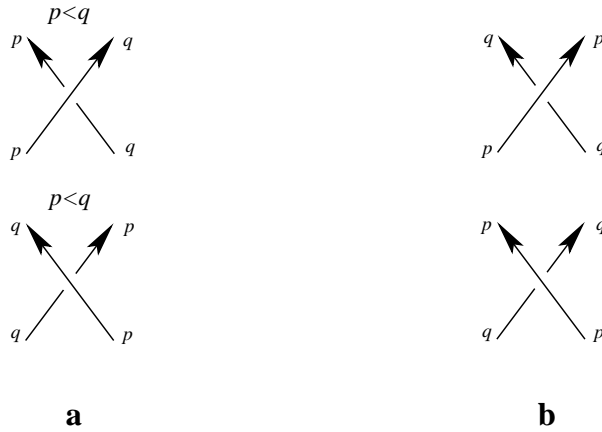
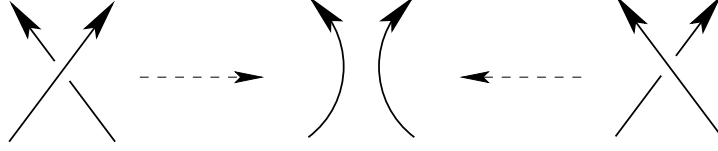


FIGURE 4. Coloring of arcs.

Let  $j \geq 0$ . We denote by  $\mathcal{C}(D)_j$  the set of all colorings of  $D$  such that each coloring contains *exactly*  $j$  special crossings. Note that  $\mathcal{C}(D) = \bigcup_j \mathcal{C}(D)_j$ .

Let  $C \in \mathcal{C}(D)_j$ , then the *sign* of  $C$  is the product of the usual signs of the  $j$  special crossings if  $j > 0$  and  $+$  otherwise. We denote it by  $\text{sign}(C)$ . The coloring  $C$  defines  $k$  oriented links  $L_1, \dots, L_k$  as follows: We smooth all  $j$  special crossings as shown below, and denote by  $L_i$  the oriented link whose diagram  $D_i$  consists only of components colored by  $i$ . It is straightforward

to see that the diagrams  $D_i$  are subdiagrams of  $D$  after the smoothing of  $j$  special crossings, and so the links  $L_i$  are well-defined.



**Example 1.1.** Let  $k = 2$ . Figure 5 shows a coloring  $C \in \mathcal{C}(D)_1$ , where  $D$  is a diagram of the trefoil, together with diagrams  $D_1$  and  $D_2$ .

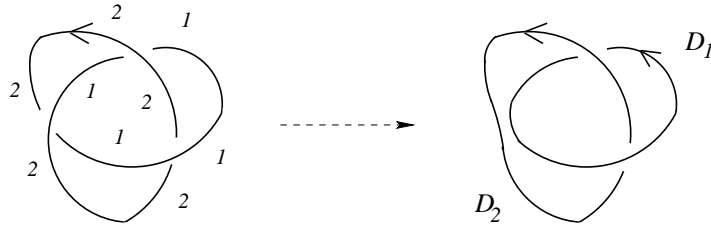


FIGURE 5. Coloring of the trefoil.

**Definition 1.2.** Denote by  $\phi(D)$  the *writhe* of  $D$ , that is, the sum of signs of all crossings in  $D$ . We define

$$I_k(D) := \sum_{j=0}^{\infty} z^j \sum_{C \in \mathcal{C}(D)_j} \text{sign}(C) a^{\phi(D_1)} P(L_1) \cdots a^{\phi(D_k)} P(L_k),$$

where  $P(L_i)$  is the HOMFLY-PT polynomial of the link  $L_i$ , whose diagram  $D_i$  is induced by a coloring  $C$ .

We denote by  $\langle D \rangle$  and  $\text{comp}(D)$  the number of crossings and connected components in  $D$  respectively. Note that if  $j > \langle D \rangle$  then by definition  $\mathcal{C}(D)_j = \emptyset$ . Hence  $I_k$  is a well-defined Laurent polynomial. Set

$$I(D) := \sum_{k=2}^{\infty} I_k(D) t^k.$$

Let  $k \geq 2$  and  $C \in \mathcal{C}(D)$ . Recall that by definition each arc of  $C$  must be colored by some number from the set  $\{1, \dots, k\}$ . Note that if  $k$  is big enough, for example if  $k > 4\langle D \rangle + \text{comp}(D)$ , then  $\mathcal{C}(D) = \emptyset$  and so  $I_k(D) = 0$ . It follows that  $I(D)$  is a well-defined 3-variable Laurent polynomial in variables  $a, z, t$ .

**Example 1.3.** Let  $D$  be an oriented diagram of the trefoil shown on the left of Figure 6. Let us compute  $I(D)$ . If  $k \geq 3$ , then  $I_k(D) = 0$  because in this case the set of colorings  $\mathcal{C}(D)$  is empty. Let  $k = 2$ . If  $j = 0$ , then  $\mathcal{C}(D)_0 = \emptyset$ , because the rule of using all colors is violated. Let  $j = 1$ . Then there are 3 possible colorings  $C_1, C_2$  and  $C_3$  of  $D$  with  $j = 1$  special crossings. These colorings are shown on the top-right of Figure 6. Each  $C_i$  has a positive sign and it induces, as for example shown in Figure 5, two standard diagrams

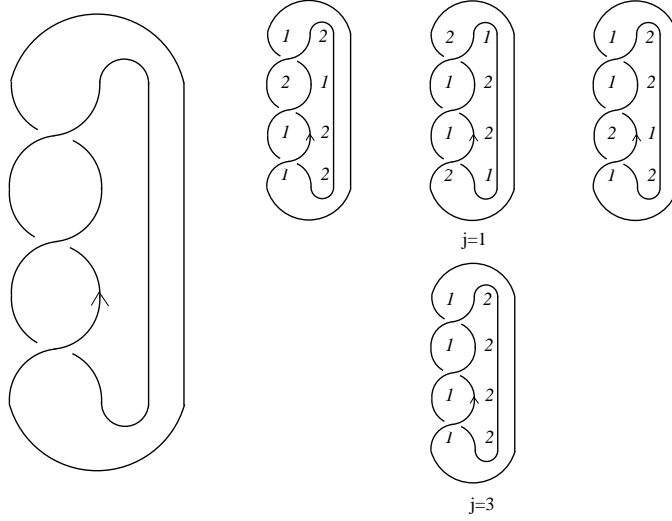


FIGURE 6. Diagram of the trefoil together with all possible colorings.

of two unknots, colored by 1 and 2 respectively. Hence the contribution of each  $C_i$  is  $z$ . Let  $j = 2$ . Then  $\mathcal{C}(D)_2 = \emptyset$ . Let  $j = 3$ . Then there is only one possible coloring  $C$  of  $D$  with  $j = 3$  special crossings. This coloring has a positive sign and it is shown on the bottom-right of Figure 6. In this case  $C$  induces two diagrams of the unknot. Hence the contribution of  $C$  is  $z^3$ . It follows that

$$I(D) = 3zt^2 + z^3t^2.$$

**1.2. Main results.** Let us state our main results.

**Theorem 1.** *Let  $\alpha \in \mathbf{B}_m$  and let  $D$  be any diagram of a closed braid  $\hat{\alpha}$ . Then the polynomial  $I(D)$  is an invariant of the conjugacy class represented by  $\alpha$ .*

Recall that the *Conway polynomial*  $\nabla(L)$  is an invariant of an oriented link  $L$ . It is a polynomial in the variable  $z$ , which satisfies the Conway skein relation:

$$(3) \quad \nabla \left( \begin{array}{c} \text{positive crossing} \\ \text{+} \end{array} \right) - \nabla \left( \begin{array}{c} \text{negative crossing} \\ \text{-} \end{array} \right) = z \nabla \left( \begin{array}{c} \text{smoothed crossing} \\ \text{0} \end{array} \right).$$

Let us state our second theorem.

**Theorem 2.** *Let  $D$  be a diagram of an oriented link  $L$ . Then the polynomial*

$$t^{-2}I(D)|_{a=1,t=0} - \phi(D)z\nabla(L)$$

*is independent of  $D$  and hence is an invariant of the link  $L$ .*

Now we recall the notion of a Conway triple of link diagrams. Let  $D_+$ ,  $D_-$  and  $D_0$  be a triple of link diagrams which are identical except for a small fragment, where  $D_+$  and  $D_-$  have a positive and a negative crossing respectively, and  $D_0$  has a smoothed crossing, see Figures 7a and 7b. Such a triple of link diagrams is called a *Conway triple*.

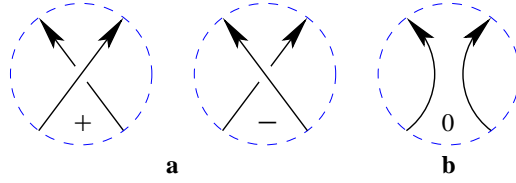


FIGURE 7. Conway triple.

**Theorem 3.** *The polynomial  $I$  satisfies the Conway skein relation, that is, for each Conway triple of link diagrams  $D_+$ ,  $D_-$  and  $D_0$  we have*

$$I(D_+) - I(D_-) = zI(D_0).$$

Here we recall the notion of real-valued Vassiliev or finite type invariants of braids. This is a straightforward modification of real-valued finite type link invariants, see [1, 24, 25]. Let  $v: \mathbf{B}_m \rightarrow \mathbf{R}$  be a real-valued invariant of braids. In the same way as knots are extended to singular knots one can extend the braid group  $\mathbf{B}_m$  to the singular braid monoid  $\mathbf{SB}_m$  of singular braids on  $m$  strands [2]. We extend  $v$  to singular braids by using the recursive rule

$$(4) \quad v \left( \text{sing. braid} \right) = v \left( \text{positive resolution} \right) - v \left( \text{negative resolution} \right).$$

The picture on the left hand side represents a small neighborhood of a singular point in a singular braid. Those on the right hand side represent the braids which are obtained from the previous one by a positive and negative resolution of that singular point. The singular braids on the right hand side have one singular point less than the braid on the left hand side.

An invariant  $v$  is said to be of *finite type* or *Vassiliev*, if for some  $n \in \mathbf{N}$  it vanishes for any singular braid  $\alpha \in \mathbf{SB}_m$  with more than  $n$  singular points. A minimal such  $n$  is called the degree of  $v$ . As a corollary of Theorem 3 we have

**Corollary 1.4.** *The  $n$ -th coefficient of the polynomial  $(t^{-k}I)|_{a=1, t=0}$ , where  $k \geq 2$ , is a finite type invariant of braids of degree  $n$ .*

*Proof.* Let  $k \geq 2$ . Note that  $P|_{a=1} = \nabla$ , hence by definition we have

$$(t^{-k}I)|_{a=1, t=0} = \sum_{j=0}^{\infty} z^j \sum_{C \in \mathcal{C}(D)_j} \text{sign}(C) \nabla(L_1) \cdots \nabla(L_k),$$

which is a polynomial in the variable  $z$ . It follows from Theorem 3 that  $(t^{-k}I)|_{a=1, t=0}$  satisfies the Conway skein relation, and hence by the same argument as in the proof for the coefficients of the Conway polynomial  $\nabla$ , see for example [1, Page 10], its  $n$ -th coefficient is a finite type invariant of degree  $n$ .  $\square$

Let  $P(L)$  be the HOMFLY-PT polynomial of a link  $L$ . We denote by  $P'_a(L)$  the first partial derivative of  $P(L)$  w.r.t.  $a$ . Then  $zP'_a(L)|_{a=1}$  is a polynomial in the variable  $z$ .

**Theorem 4.** *Let  $D$  be any diagram of a link  $L$ . Then*

$$t^{-2}I(D)|_{a=1,t=0} - w(D)z\nabla(L) = zP'_a(L)|_{a=1}.$$

As a corollary we have

**Corollary 1.5.** *The  $n$ -th coefficient of the polynomial  $(t^{-2}I)|_{a=1,t=0} - wz\nabla$  is a finite type link invariant of degree  $n$ .*

*Proof.* The polynomial  $zP'_a(L)|_{a=1}$  satisfies the following skein relation

$$zP'_a(L_+)|_{a=1} - zP'_a(L_-)|_{a=1} = z(zP'_a(L_0)|_{a=1} - \nabla(L_+) - \nabla(L_-)).$$

It follows that its  $n$ -th coefficient is a finite type invariant of degree  $n$ .  $\square$

**Example 1.6.** Let  $D$  be a diagram of the trefoil  $T$  shown in Figure 6. Note that  $w(D) = 3$  and  $\nabla(T) = 1 + z^2$ . In Example 1.3 we showed that  $t^{-2}I(D)|_{a=1,t=0} = 3z + z^3$ . Hence

$$t^{-2}I(D)|_{a=1,t=0} - w(D)z\nabla(T) = -2z^3,$$

and this coincides with the fact that  $zP'_a(T)|_{a=1} = -2z^3$ .

**Remark 1.7.** Let  $G$  be a Gauss diagram of  $L$  (for a precise definition see for example [13, 22]). Another interpretation of the polynomial  $zP'_a(L)|_{a=1}$  in terms of counting surfaces with two boundary components in  $G$  was given recently by the author in [4, 5].

## 2. PROOFS

**2.1. Invariance under certain Reidemeister moves.** Let  $D$  be a link diagram and  $k \geq 2$ . For each coloring  $C \in \mathcal{C}(D)_j$  set

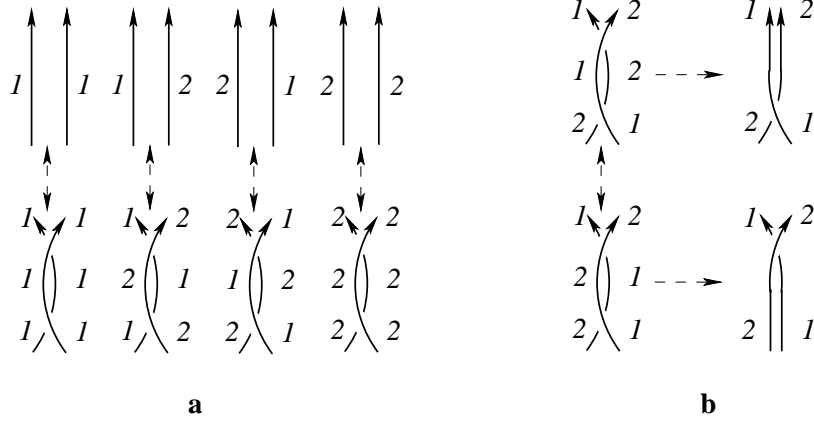
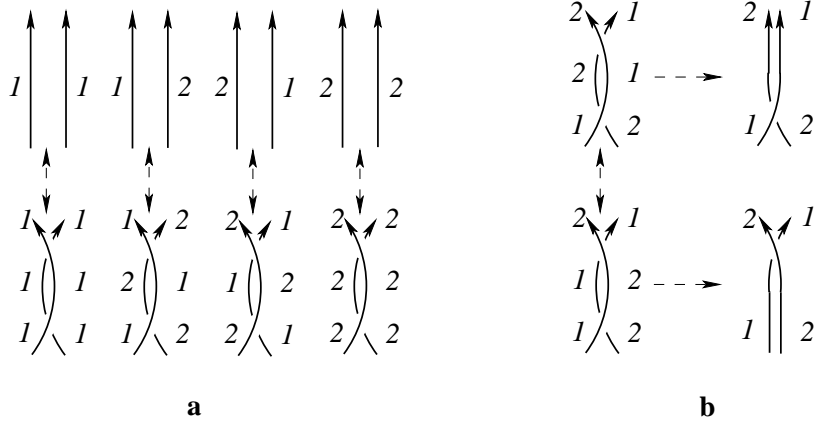
$$I_{k,j}(D)_C := \text{sign}(C)z^j a^{\phi(D_1)}P(L_1) \cdots a^{\phi(D_k)}P(L_k),$$

where  $L_i$  is the link induced by  $C$ , as explained in Subsection 1.1. At the beginning we will prove the following useful

**Proposition 2.1.** *The polynomial  $I$  is invariant under  $\emptyset 2a$  and  $\emptyset 2b$  moves shown in Figure 2.*

*Proof.* Recall, that by definition the polynomial  $I := \sum_{k=2}^{\infty} I_k t^k$ . Hence it is enough to prove the statement for the polynomials  $I_k$ .

Let  $k = 2$ , and  $D$  and  $\tilde{D}$  be two diagrams which differ by an application of one  $\emptyset 2a$  move such that  $(\tilde{D}) = (D) + 2$ . For each  $j \geq 0$  and  $0 \leq d \leq 2$  denote by  $\mathcal{C}(\tilde{D})_{j,d}$  the subset of  $\mathcal{C}(\tilde{D})_j$  which contains all colorings with  $d$  special crossings in the distinguished fragment of  $\tilde{D}$ . Let  $j \geq 0$ . We have a bijection between the sets  $\mathcal{C}(D)_j$  and  $\mathcal{C}(\tilde{D})_{j,0}$  as shown in Figure 8a. We assume here and further on that the coloring of arcs away from the distinguished fragments is identical for two corresponding colorings  $C$  and  $\tilde{C}$ . For each two corresponding colorings  $C \in \mathcal{C}(D)_j$  and  $\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0}$  the

FIGURE 8. Invariance under  $\emptyset 2a$  move.FIGURE 9. The invariance under  $\emptyset 2b$  move.

diagrams  $D_1$  and  $\tilde{D}_1$  as well as the diagrams  $D_2$  and  $\tilde{D}_2$  are isotopic. We also have  $\text{sign}(C) = \text{sign}(\tilde{C})$ ,  $\phi(D_1) = \phi(\tilde{D}_1)$  and  $\phi(D_2) = \phi(\tilde{D}_2)$ . Hence

$$(5) \quad I_{2,j}(D)_C = I_{2,j}(\tilde{D})_{\tilde{C}}.$$

Note that by definition the set  $\mathcal{C}(\tilde{D})_{j,1}$  contains all colorings of a diagram  $\tilde{D}$  which have exactly  $j$  special crossings, so that the distinguished fragment contains exactly one special crossing. For each  $\tilde{C}' \in \mathcal{C}(\tilde{D})_{j,1}$  there exists a corresponding coloring  $\tilde{C}'' \in \mathcal{C}(\tilde{D})_{j,1}$  and vice-versa. This correspondence is shown in Figure 8b. In this case  $\text{sign}(\tilde{C}') = -\text{sign}(\tilde{C}'')$  and hence

$$(6) \quad \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,1}} I_{2,j}(\tilde{D})_{\tilde{C}} = 0.$$

Since there could be no coloring with exactly two special crossings in the distinguished fragment of  $\tilde{D}$ , because it will violate the rule of Figure 4, we have  $\mathcal{C}(\tilde{D})_{j,2} = \emptyset$  for each  $j$ . Combining this statement with equations (5)



and (6) we obtain

$$I_2(D) = \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D)_j} I_{2,j}(D)_C = \sum_{j=0}^{\infty} \sum_{d=0}^2 \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,d}} I_{2,j}(\tilde{D})_{\tilde{C}} = I_2(\tilde{D}).$$

The invariance of  $I_2$  under  $\emptyset 2b$  move is proved similarly. The correspondence of colorings is summarized in Figure 9.

The proof of the invariance of the polynomials  $I_k$  ( $k \geq 3$ ) under  $\emptyset 2a$  and  $\emptyset 2b$  moves is very similar and is left to the reader  $\square$

Now we try to understand how  $\emptyset 2c$  and  $\emptyset 2d$  moves affect the polynomial  $I_2$ . Let  $D$  and  $\tilde{D}$  be two diagrams which differ by an application of one  $\emptyset 2c$  move, such that  $(\tilde{D}) = (D) + 2$ . In this case, there is a bijection between the sets  $\mathcal{C}(D)_j$  and  $\mathcal{C}(\tilde{D})_{j,0}$  as shown in Figure 10a. For each two corresponding

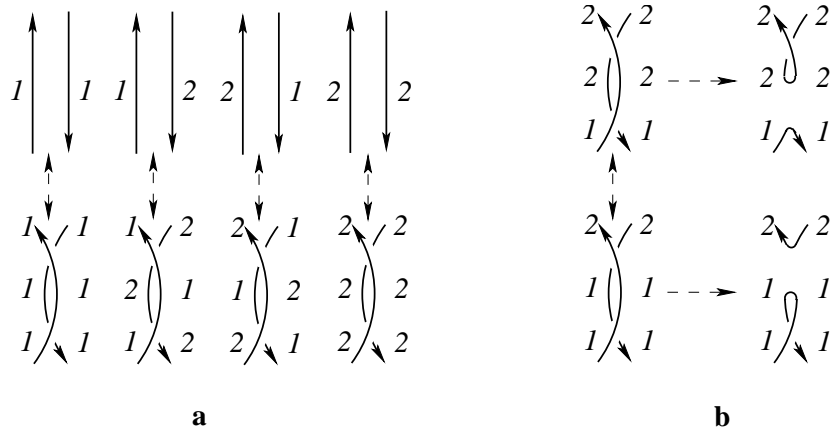


FIGURE 10. Invariance under  $\emptyset 2c$  move.

colorings  $C \in \mathcal{C}(D)_j$  and  $\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0}$  the diagrams  $D_1$  and  $\tilde{D}_1$  as well as diagrams  $D_2$  and  $\tilde{D}_2$  are isotopic. We also have  $\text{sign}(C) = \text{sign}(\tilde{C})$ ,  $\phi(D_1) = \phi(\tilde{D}_1)$  and  $\phi(D_2) = \phi(\tilde{D}_2)$ . Hence

$$(7) \quad I_{2,j}(D)_C = I_{2,j}(\tilde{D})_{\tilde{C}}.$$

For each  $\tilde{C}' \in \mathcal{C}(\tilde{D})_{j,1}$  there exists a corresponding coloring  $\tilde{C}'' \in \mathcal{C}(\tilde{D})_{j,1}$  and vice-versa. This correspondence is shown in Figure 10b ( $\tilde{C}'$  is on the top and  $\tilde{C}''$  is on the bottom). Let  $\tilde{D}'_1, \tilde{D}'_2, \tilde{D}''_1$  and  $\tilde{D}''_2$  be the diagrams induced by  $\tilde{C}'$  and  $\tilde{C}''$  respectively. Let  $L_1$  and  $L_2$  be the links whose diagrams are  $\tilde{D}'_1, \tilde{D}''_1$  and  $\tilde{D}'_2, \tilde{D}''_2$  respectively. It follows that

$$\begin{aligned} I_{2,j}(\tilde{D})_{\tilde{C}'} &= \text{sign}(\tilde{C}') z^j a^{\phi(\tilde{D}'_1)} P(L_1) \cdot a^{\phi(\tilde{D}'_2)} P(L_2), \\ I_{2,j}(\tilde{D})_{\tilde{C}''} &= \text{sign}(\tilde{C}'') z^j a^{\phi(\tilde{D}''_1)} P(L_1) \cdot a^{\phi(\tilde{D}''_2)} P(L_2). \end{aligned}$$

Note that in this case  $\text{sign}(\widetilde{C}') = -\text{sign}(\widetilde{C}'')$ ,  $\phi(\widetilde{D}'_1) = \phi(\widetilde{D}''_1) + 1$  and  $\phi(\widetilde{D}'_2) = \phi(\widetilde{D}''_2) + 1$ . It follows that

$$(8) \quad I_{2,j}(\widetilde{D})_{\widetilde{C}'} + I_{2,j}(\widetilde{D})_{\widetilde{C}''} = \text{sign}(\widetilde{C}') z^j a^{\phi(\widetilde{D}'_1) + \phi(\widetilde{D}'_2)} P(L_1) \cdot P(L_2) (1 - a^{-2}).$$

Combining equations (7) and (8) we obtain

$$I_2(\widetilde{D}) - I_2(D) = 0 \quad \text{only if } a = \pm 1.$$

In the case of  $\emptyset 2d$  move a similar analysis shows that

$$I_2(\widetilde{D}) - I_2(D) = 0 \quad \text{only if } a = \pm 1.$$

The correspondence of colorings is shown in Figure 11. Hence we proved the following corollary

**Corollary 2.2.** *The polynomials  $I_2(\pm 1, z)$  are invariant under  $\emptyset 2a$ ,  $\emptyset 2b$ ,  $\emptyset 2c$  and  $\emptyset 2d$  moves.*

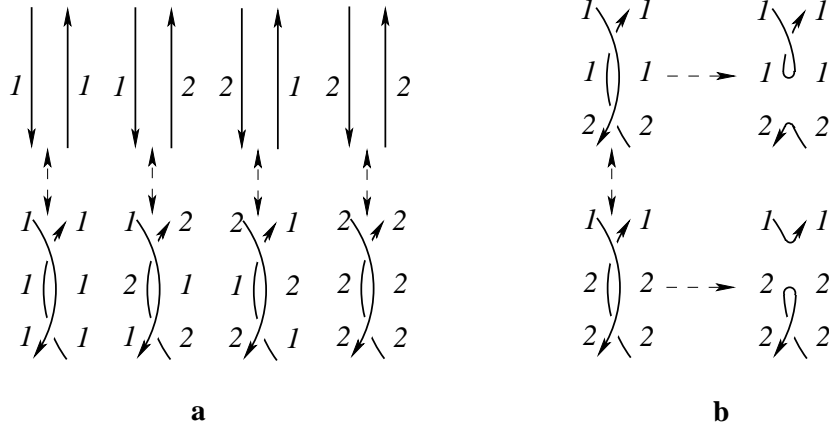


FIGURE 11. Invariance under  $\emptyset 2d$  move.

**Remark 2.3.** Polynomial  $I_2(1, z)$  determines the polynomial  $I_2(-1, z)$  and vice-versa, hence further we will not discuss the polynomial  $I_2(-1, z)$ . By inspecting the definition of  $I_k(D)$  we see that

$$t^{-k} I(a, z, t)(D)|_{a=1, t=1} = I_k(1, z)(D) = \sum_{j=0}^{\infty} z^j \sum_{C \in \mathcal{C}(D)_j} \text{sign}(C) \nabla(L_1) \cdots \nabla(L_k).$$

**Proposition 2.4.** *The polynomial  $I_2$  is invariant under  $\emptyset 3$  Reidemeister move shown in Figure 2.*

*Proof.* Let  $D$  and  $\widetilde{D}$  be two diagrams which differ by an application of one  $\emptyset 3$  move. For each  $j \geq 0$  and  $d \geq 0$  denote by  $\mathcal{C}(D)_{j,d}$  and  $\mathcal{C}(\widetilde{D})_{j,d}$  the subsets of  $\mathcal{C}(D)_j$  and  $\mathcal{C}(\widetilde{D})_j$  respectively which contain all colorings with  $d$  special crossings in the distinguished fragments of  $D$  and  $\widetilde{D}$ . Note that the number of colors is  $k = 2$ , hence for  $d > 2$  the sets  $\mathcal{C}(D)_{j,d}$  and  $\mathcal{C}(\widetilde{D})_{j,d}$  are empty.

**Case 1.** Let  $d = 0$ . Note that if  $j = 0$ , then  $d = 0$  and in this case we have

$\mathcal{C}(D)_0 = \mathcal{C}(D)_{0,0}$  and  $\mathcal{C}(\tilde{D})_0 = \mathcal{C}(\tilde{D})_{0,0}$ . There is a bijection between the sets  $\mathcal{C}(D)_{j,0}$  and  $\mathcal{C}(\tilde{D})_{j,0}$ . This bijection is shown in Figure 12. HOMFLY-PT polynomial is a link invariant and hence for each pair of corresponding colorings  $C$  and  $\tilde{C}$  we have

$$I_{2,j}(D)_C = I_{2,j}(\tilde{D})_{\tilde{C}},$$

and hence

$$(9) \quad \sum_{C \in \mathcal{C}(D)_{j,0}} I_{2,j}(D)_C = \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0}} I_{2,j}(\tilde{D})_{\tilde{C}}.$$

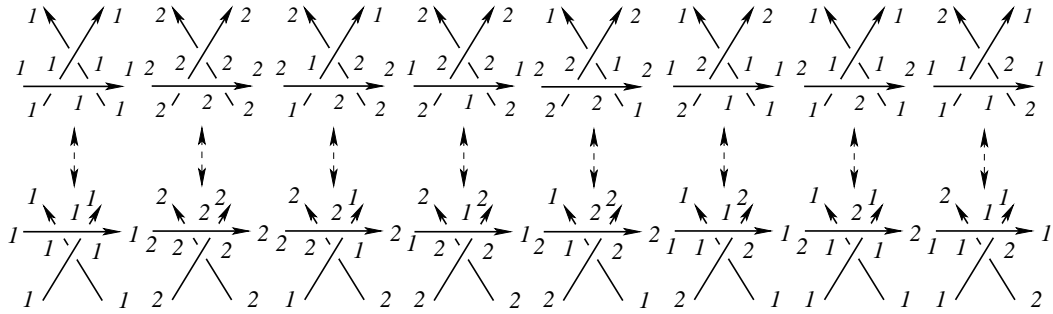


FIGURE 12. Correspondence of colorings with zero special crossings.

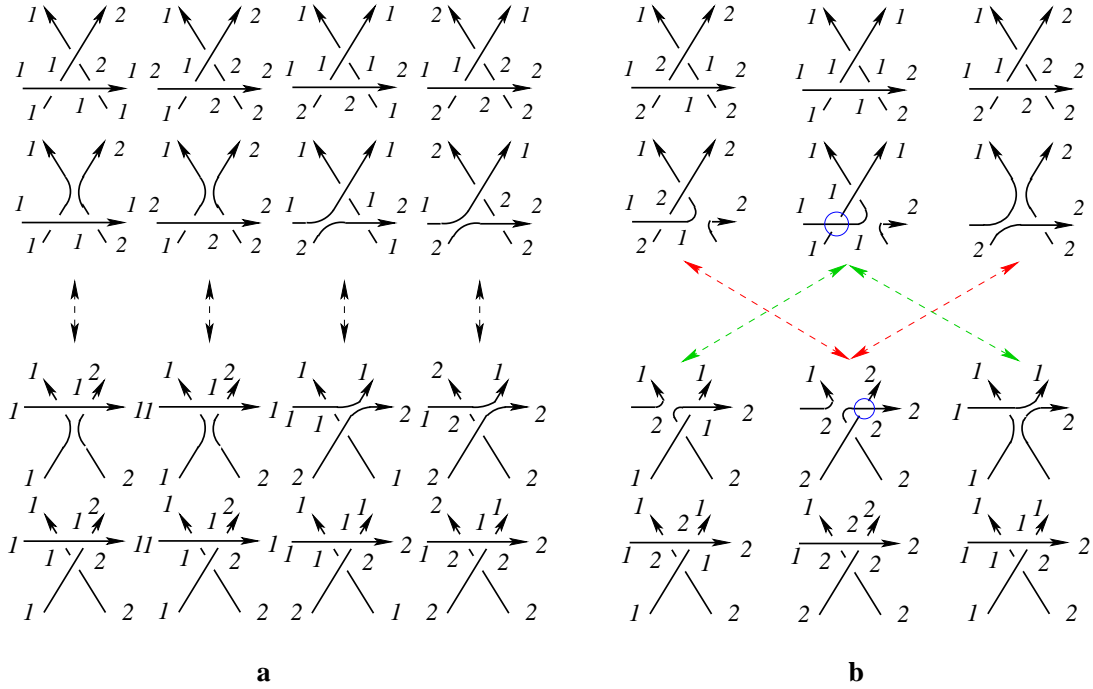


FIGURE 13. Correspondence between  $\mathcal{C}(D)_{j,1} \cup \mathcal{C}(D)_{j+1,2}$  and  $\mathcal{C}(\tilde{D})_{j,1} \cup \mathcal{C}(\tilde{D})_{j+1,2}$ .

**Case 2.** Let  $j \geq 1$  and  $d = 1, 2$ . There is a correspondence between the sets  $\mathcal{C}(D)_{j,1} \cup \mathcal{C}(D)_{j+1,2}$  and  $\mathcal{C}(\tilde{D})_{j,1} \cup \mathcal{C}(\tilde{D})_{j+1,2}$ . It is shown in Figure 13. In the top row we present colorings of distinguished fragment of diagrams in the set  $\mathcal{C}(D)_{j,1} \cup \mathcal{C}(D)_{j+1,2}$  and in the bottom row we present colorings of distinguished fragment of diagrams in the set  $\mathcal{C}(\tilde{D})_{j,1} \cup \mathcal{C}(\tilde{D})_{j+1,2}$ . Outside of these fragments the colorings of corresponding diagrams are the same. The second and third row from the top represent distinguished fragments of  $D$  and  $\tilde{D}$  respectively, after smoothing of all special crossings in these fragments. Now we are going to discuss this correspondence in greater detail.

Each pair of corresponding colorings  $C$  and  $\tilde{C}$  in Figure 13a belongs to the sets  $\mathcal{C}(D)_{j,1}$  and  $\mathcal{C}(\tilde{D})_{j,1}$  respectively. The induced diagrams  $D_i$  and  $\tilde{D}_i$  are isotopic for  $i = 1, 2$ . Hence

$$I_{2,j}(D)_C = I_{2,j}(\tilde{D})_{\tilde{C}}.$$

The correspondence of the remaining colorings is much more complicated and it is shown in Figure 13b. Let us denote by  $C_l$  (respectively by  $\tilde{C}_l$ ),  $C_c$  (respectively by  $\tilde{C}_c$ ) and  $C_r$  (respectively by  $\tilde{C}_r$ ) the colorings in the set  $\mathcal{C}(D)_{j,1} \cup \mathcal{C}(D)_{j+1,2}$  (respectively in the set  $\mathcal{C}(\tilde{D})_{j,1} \cup \mathcal{C}(\tilde{D})_{j+1,2}$ ) whose fragment is shown on the top-left (respectively on the bottom-left), top-center (respectively on the bottom-center) and top-right (respectively on the bottom-right) of Figure 13b. Note that  $C_l, C_c \in \mathcal{C}(D)_{j,1}$ ,  $\tilde{C}_l, \tilde{C}_c \in \mathcal{C}(\tilde{D})_{j,1}$  and  $C_r \in \mathcal{C}(D)_{j+1,2}$ ,  $\tilde{C}_r \in \mathcal{C}(\tilde{D})_{j+1,2}$ . We will show that

$$(10) \quad \sum_{C_c \in \mathcal{C}(D)_{j,1}} I_{2,j}(D)_{C_c} = \sum_{\tilde{C}_l \in \mathcal{C}(\tilde{D})_{j,1}} I_{2,j}(\tilde{D})_{\tilde{C}_l} + \sum_{\tilde{C}_r \in \mathcal{C}(\tilde{D})_{j+1,2}} I_{2,j+1}(\tilde{D})_{\tilde{C}_r}$$

$$(11) \quad \sum_{\tilde{C}_c \in \mathcal{C}(\tilde{D})_{j,1}} I_{2,j}(\tilde{D})_{\tilde{C}_c} = \sum_{C_l \in \mathcal{C}(D)_{j,1}} I_{2,j}(D)_{C_l} + \sum_{C_r \in \mathcal{C}(D)_{j+1,2}} I_{2,j+1}(D)_{C_r}.$$

We start with the proof of (10). The corresponding colorings  $C_c$ ,  $\tilde{C}_l$  and  $\tilde{C}_r$  (the correspondence is shown by green arrows) induce link diagrams  $D_{1c}$ ,  $D_{2c}$ ,  $\tilde{D}_{1l}$ ,  $\tilde{D}_{2l}$  and  $\tilde{D}_{1r}$ ,  $\tilde{D}_{2r}$ . It is shown in Figure 13b that the diagrams  $D_{2c}$ ,  $\tilde{D}_{2l}$  and  $\tilde{D}_{2r}$  are isotopic. We have

$$\begin{aligned} & a^{\phi(D_{1c})} P(D_{1c}) - a^{\phi(\tilde{D}_{1l})} P(\tilde{D}_{1l}) - za^{\phi(\tilde{D}_{1r})} P(\tilde{D}_{1r}) := \\ & a^{\phi(D_{1c})} P \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) - a^{\phi(\tilde{D}_{1l})} P \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) - za^{\phi(\tilde{D}_{1r})} P \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) = \\ & a^{\phi(D_{1c})-1} \left( aP \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) - a^{-1} P \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) - zP \left( \begin{array}{c} \nearrow \\ \searrow \\ \text{---} \end{array} \right) \right) = 0 \end{aligned}$$

The second equality follows from the fact that  $P$  is invariant under the second Reidemeister move, and the third equality is the HOMFLY-PT skein

relation (1) applied to the blue crossing in  $D_{1c}$ . All crossings in the distinguished fragments of  $D$  and  $\tilde{D}$  are positive. It follows that

$$\text{sign}(C_c) = \text{sign}(\tilde{C}_l) = \text{sign}(\tilde{C}_r).$$

This yields

$$\begin{aligned} I_{2,j}(D)_{C_c} &:= \text{sign}(C_c) z^j a^{\phi(D_{1c})} P(D_{1c}) \cdot a^{\phi(D_{2c})} P(D_{2c}) = \\ &\text{sign}(\tilde{C}_l) z^j a^{\phi(\tilde{D}_{1l})} P(\tilde{D}_{1l}) \cdot a^{\phi(\tilde{D}_{2l})} P(\tilde{D}_{2l}) + \\ &\text{sign}(\tilde{C}_r) z^{j+1} a^{\phi(\tilde{D}_{1r})} P(\tilde{D}_{1r}) \cdot a^{\phi(\tilde{D}_{2r})} P(\tilde{D}_{2r}) := I_{2,j}(D)_{\tilde{C}_l} + I_{2,j+1}(D)_{\tilde{C}_r}, \end{aligned}$$

and equation (10) follows.

The proof of (11) is very similar to the proof of (10). In this case the corresponding colorings  $\tilde{C}_c$ ,  $C_l$  and  $C_r$  (the correspondence is shown by red arrows) induce link diagrams  $\tilde{D}_{1c}$ ,  $\tilde{D}_{2c}$ ,  $D_{1l}$ ,  $D_{2l}$  and  $D_{1r}$ ,  $D_{2r}$ . It is shown in Figure 13b that the diagrams  $\tilde{D}_{1c}$ ,  $D_{1l}$  and  $D_{1r}$  are isotopic. In this case

$$\begin{aligned} &a^{\phi(\tilde{D}_{2c})} P(\tilde{D}_{2c}) - a^{\phi(D_{2l})} P(D_{2l}) - z a^{\phi(D_{2r})} P(D_{2r}) := \\ &a^{\phi(\tilde{D}_{2c})} P \left( \begin{array}{c} \text{blue crossing} \\ \nearrow \quad \searrow \\ \text{red arrows} \end{array} \right) - a^{\phi(D_{2l})} P \left( \begin{array}{c} \nearrow \\ \searrow \end{array} \right) - z a^{\phi(D_{2r})} P \left( \begin{array}{c} \curvearrowright \\ \searrow \end{array} \right) = \\ &a^{\phi(\tilde{D}_{2c})-1} \left( a P \left( \begin{array}{c} \text{blue crossing} \\ \nearrow \quad \searrow \\ \text{red arrows} \end{array} \right) - a^{-1} P \left( \begin{array}{c} \nearrow \\ \searrow \end{array} \right) - z P \left( \begin{array}{c} \curvearrowright \\ \searrow \end{array} \right) \right) = 0. \end{aligned}$$

Note that  $\text{sign}(\tilde{C}_c) = \text{sign}(C_l) = \text{sign}(C_r)$  and equation (11) follows.

We combine equations (9), (10) and (11) and obtain

$$\begin{aligned} I_2(D) &:= \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D)_j} I_{2,j}(D)_C = \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D)_{j,0}} I_{2,j}(D)_C + \sum_{j=1}^{\infty} \sum_{C \in \mathcal{C}(D)_{j,1}} I_{2,j}(D)_C + \\ &\sum_{j=2}^{\infty} \sum_{C \in \mathcal{C}(D)_{j,2}} I_{2,j}(D)_C = \sum_{j=0}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0}} I_{2,j}(\tilde{D})_{\tilde{C}} + \sum_{j=1}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,1}} I_{2,j}(\tilde{D})_{\tilde{C}} + \\ &\sum_{j=2}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,2}} I_{2,j}(\tilde{D})_{\tilde{C}} = \sum_{j=0}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_j} I_{2,j}(\tilde{D})_{\tilde{C}} := I_2(\tilde{D}). \end{aligned}$$

This concludes the proof of the proposition.  $\square$

**Remark 2.5.** The polynomials  $I_2(D)$  and  $I_2(1, z)(D)$  are not invariant under  $\emptyset 1a$  and  $\emptyset 1b$  Reidemeister moves shown in Figure 2. Let  $D$ ,  $D'$  and  $D''$  diagrams of the unknot shown in Figures 14a, 14b and 14c respectively. Then  $I_2(1, z)(D) = I_2(D) = 0$ , but  $I_2(1, z)(D') = I_2(D') = z$  and  $I_2(1, z)(D'') = I_2(D'') = -z$ .

**2.2. Proof of Theorem 2.** It follows from the work of Polyak [21] that in order to prove the invariance of  $t^{-2}I(a, z, t)|_{a=1, t=0} - \emptyset z \nabla$  it is enough to prove its invariance under  $\emptyset 1a$ ,  $\emptyset 1b$ ,  $\emptyset 2c$ ,  $\emptyset 2d$  and  $\emptyset 3$  moves.

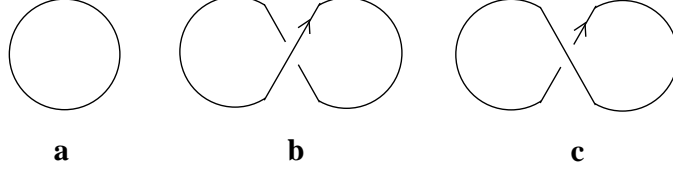


FIGURE 14. Diagrams of the unknot.

We know that the writhe  $\emptyset$  and the Conway polynomial  $\nabla$  are invariant under  $\emptyset 2c$ ,  $\emptyset 2d$  and  $\emptyset 3$  moves. Note that by definition

$$(12) \quad (t^{-2}I(a, z, t))|_{a=1, t=0} = I_2(1, z).$$

It follows from Corollary 2.2 and Proposition 2.4 that it is enough to prove the invariance of  $I_2(1, z) - \emptyset z \nabla$  under  $\emptyset 1a$  and  $\emptyset 1b$  moves.

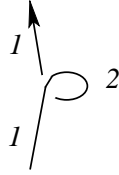
Let  $D$  and  $\tilde{D}$  be two diagrams which differ by an application of  $\emptyset 1a$  move such that  $\langle \tilde{D} \rangle = \langle D \rangle + 1$ . For each  $j \geq 0$  and  $d = 0, 1$  denote by  $\mathcal{C}(\tilde{D})_{j,d}$  the subset of  $\mathcal{C}(\tilde{D})_j$  which contains all colorings with  $d$  special crossings in the distinguished fragment of  $\tilde{D}$ . Recall that

$$I_2(1, z)(D) = \sum_{j=0}^{\infty} z^j \sum_{C \in \mathcal{C}(D)_j} \text{sign}(C) \nabla(L_1) \cdot \nabla(L_2).$$

The Conway polynomial is invariant under  $\emptyset 1a$  move and hence

$$I_2(1, z)(D) = \sum_{j=0}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0}} I_{2,j}(1, z)(\tilde{D})_{\tilde{C}}.$$

For each  $\tilde{C} \in \mathcal{C}(\tilde{D})_{j,1}$  the coloring of arcs in the distinguished fragment is shown below.



We have

$$\begin{aligned} I_2(1, z)(\tilde{D}) - I_2(1, z)(D) &= \sum_{j=1}^{\infty} \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,1}} I_{2,j}(1, z)(\tilde{D})_{\tilde{C}} = \\ &= \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{1,1}} I_{2,j}(1, z)(\tilde{D})_{\tilde{C}} = z \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{1,1}} \nabla(L_1) \cdot \nabla(L_2) = z \nabla(L). \end{aligned}$$

The second equality follows from the fact that Conway polynomial of split links equals zero, the third equality is a definition and the fourth equality follows from the fact that  $L_2$  is the unknot and  $L_1$  is a link  $L$ . Note that

$$w(\tilde{D})z \nabla(L) - w(D)z \nabla(L) = z \nabla(L),$$

and thus

$$I_2(1, z)(\tilde{D}) - w(\tilde{D})z\nabla(L) - (I_2(1, z)(D) - w(D)z\nabla(L)) = 0.$$

The proof of the invariance of  $I_2(1, z) - \emptyset z\nabla$  under  $\emptyset 1b$  move is very similar and is left to the reader.  $\square$

**2.3. Proof of Theorem 1.** It follows from Propositions 2.1 and 2.4 that it is enough to prove the invariance of the polynomials  $I_k$  ( $k \geq 3$ ) under  $\emptyset 3$  move.

Let  $k \geq 3$ , and  $D$  and  $\tilde{D}$  be two diagrams which differ by an application of one  $\emptyset 3$  move. For each  $j \geq 0$  and  $d \geq 0$  denote by  $\mathcal{C}(D)_{j,d,3}$  and  $\mathcal{C}(\tilde{D})_{j,d,3}$  the subsets of  $\mathcal{C}(D)_{j,d}$  and  $\mathcal{C}(\tilde{D})_{j,d}$  respectively (these sets were defined in the proof of Proposition 2.4) which contain all colorings with  $j$  special crossings, and exactly  $d$  special crossings and exactly 3 different colors in the distinguished fragments of  $D$  and  $\tilde{D}$ . Note that when  $d > 3$  the sets  $\mathcal{C}(D)_{j,d}$  and  $\mathcal{C}(\tilde{D})_{j,d}$  are empty. We start with the model case when  $k = 3$ .

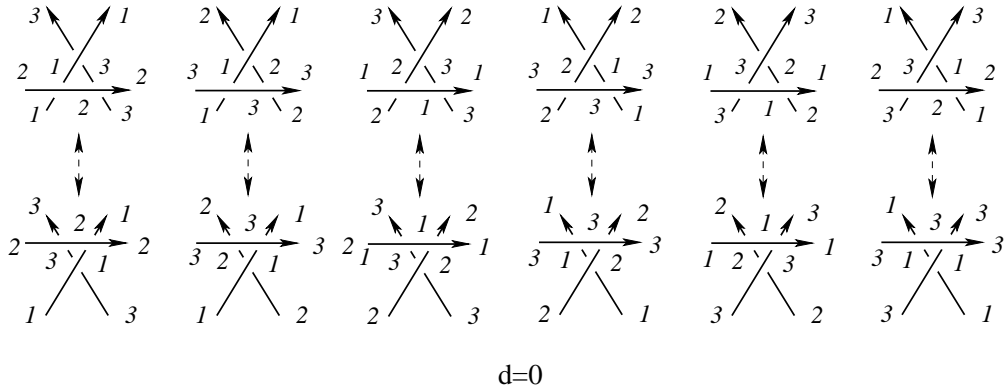


FIGURE 15. Correspondence of colorings with 0 special crossings and exactly 3 different colors in the fragments.

**Case 1.** Let  $d = 0$ . There is a bijection between the sets  $\mathcal{C}(D)_{j,0,3}$  and  $\mathcal{C}(\tilde{D})_{j,0,3}$ . This bijection is shown in Figure 15. It follows that for each pair of corresponding colorings  $C$  and  $\tilde{C}$  we have

$$I_{3,j}(D)_C = I_{3,j}(\tilde{D})_{\tilde{C}},$$

and hence

$$(13) \quad \sum_{C \in \mathcal{C}(D)_{j,0,3}} I_{3,j}(D)_C = \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0,3}} I_{3,j}(\tilde{D})_{\tilde{C}}.$$

Same proof as the proof of case 1 in Proposition 2.4 shows that

$$(14) \quad \sum_{C \in \mathcal{C}(D)_{j,0} \setminus \mathcal{C}(D)_{j,0,3}} I_{3,j}(D)_C = \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,0} \setminus \mathcal{C}(\tilde{D})_{j,0,3}} I_{3,j}(\tilde{D})_{\tilde{C}}.$$

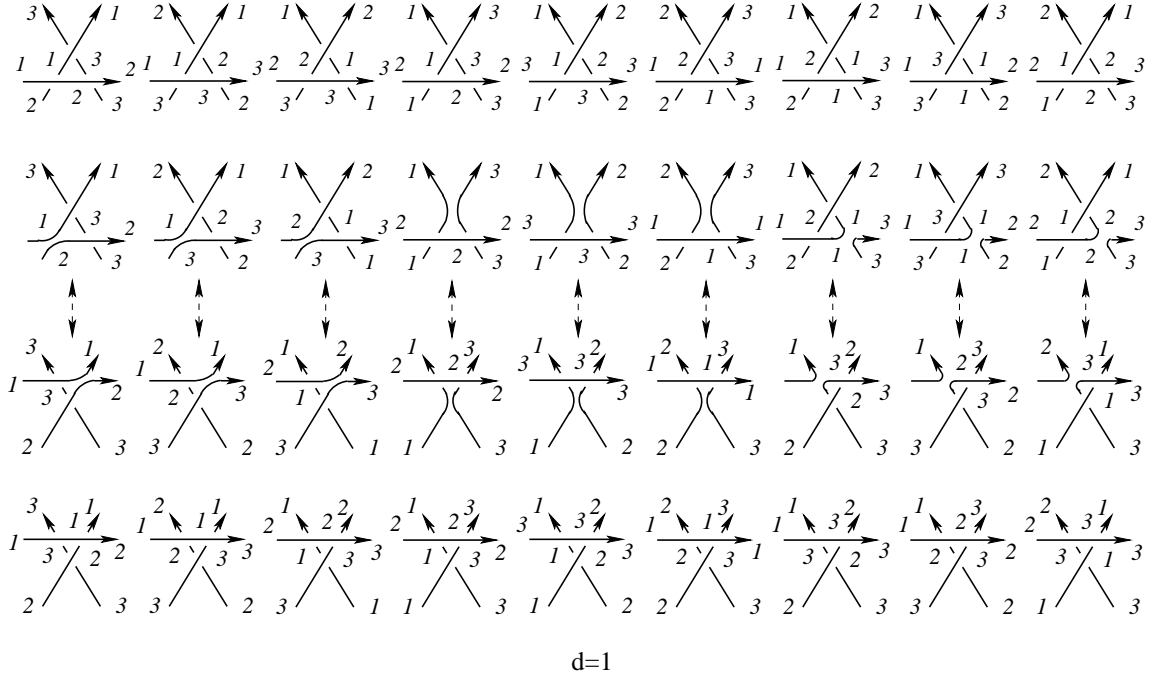


FIGURE 16. Correspondence of colorings with 1 special crossing and exactly 3 different colors in the fragments.

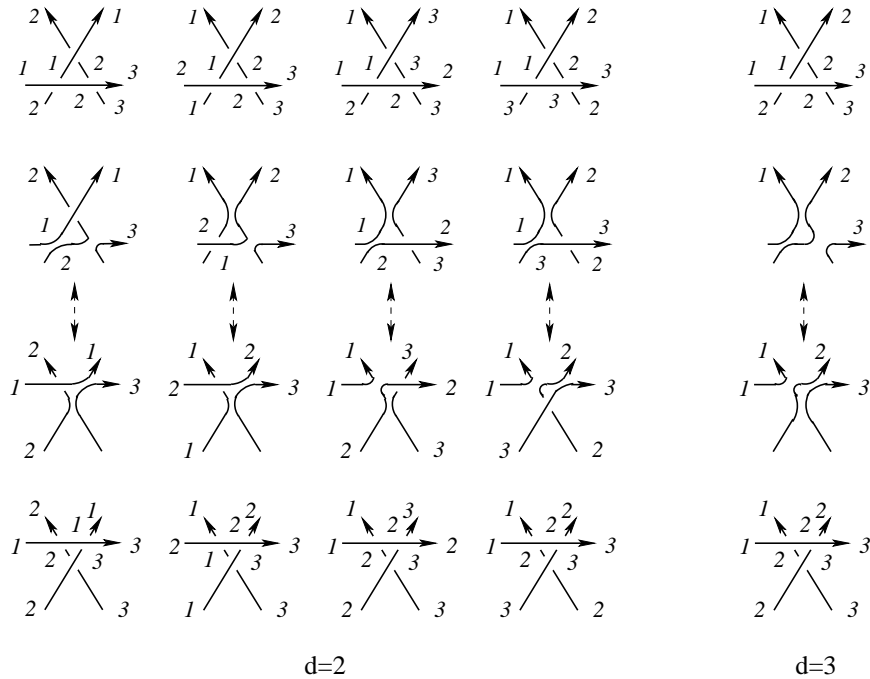


FIGURE 17. Correspondence of colorings with 2 and 3 special crossings and exactly 3 different colors in the fragments.

**Case 2.** Let  $1 \leq d \leq 3$ . In this case there is a correspondence between the sets  $\mathcal{C}(D)_{j,d,3}$  and  $\mathcal{C}(\tilde{D})_{j,d,3}$ . This bijection is shown in Figures 16 and 17.



It follows that for each pair of corresponding colorings  $C$  and  $\tilde{C}$  we have

$$I_{3,n,j}(D)_C = I_{3,n,j}(\tilde{D})_{\tilde{C}},$$

and hence for each  $1 \leq d \leq 3$  we have

$$(15) \quad \sum_{C \in \mathcal{C}(D)_{j,d,3}} I_{3,j}(D)_C = \sum_{\tilde{C} \in \mathcal{C}(\tilde{D})_{j,d,3}} I_{3,j}(\tilde{D})_{\tilde{C}}.$$

Note that if  $d = 3$  then  $\mathcal{C}(D)_{j,d,3} = \mathcal{C}(D)_{j,d}$  and  $\mathcal{C}(\tilde{D})_{j,d,3} = \mathcal{C}(\tilde{D})_{j,d}$ . It follows that  $\bigcup_{d=1}^3 (\mathcal{C}(D)_{j,d} \setminus \mathcal{C}(D)_{j,d,3})$  and  $\bigcup_{d=1}^3 (\mathcal{C}(\tilde{D})_{j,d} \setminus \mathcal{C}(\tilde{D})_{j,d,3})$  contain colorings with *1 or 2 special crossings* and with *exactly 2 colors* in the distinguished fragments. Now the same proof as the proof of Proposition 2.4 shows that

$$(16) \quad \sum_{j=1}^{\infty} \sum_{d=1}^3 \sum_{C \in (\mathcal{C}(D)_{j,d} \setminus \mathcal{C}(D)_{j,d,3})} I_{3,j}(D)_C = \sum_{j=1}^{\infty} \sum_{d=1}^3 \sum_{\tilde{C} \in (\mathcal{C}(\tilde{D})_{j,d} \setminus \mathcal{C}(\tilde{D})_{j,d,3})} I_{3,j}(\tilde{D})_{\tilde{C}}.$$

We combine equations (13), (14), (15) and (16). This gives us the proof in the case of  $k = 3$  colors. The proof of the general case of  $k > 3$  colors follows immediately, since in this case the distinguished fragments of  $D$  and  $\tilde{D}$  may be colored by at most 3 different colors. Hence the same proof as in the case of  $k = 3$  colors proves the general case.  $\square$

**Remark 2.6.** Let  $k \geq 3$  and  $D, D'$  be two diagrams of the  $(k-2)$ -component unlink shown in Figure 18a. Then  $I_k(D) = 0$  and  $I_k(D') = -\frac{k!}{6}z^2$ . Let  $D$  and  $D''$  be two diagrams of the  $(k-2)$ -component unlink shown in Figure 18b. Then  $I_k(D) = 0$  and  $I_k(D'') = -\frac{k!}{6}z^2$ . This shows that the polynomials  $I_k(D)$  and  $I_k(1, z)(D)$  are not invariant under  $\emptyset 2c$  and  $\emptyset 2d$  Reidemeister moves and hence are not link invariants. However, a modification of the polynomials  $I_k$  for  $k \geq 3$ , so that the resulting polynomials are link invariants, may be deduced from [4].

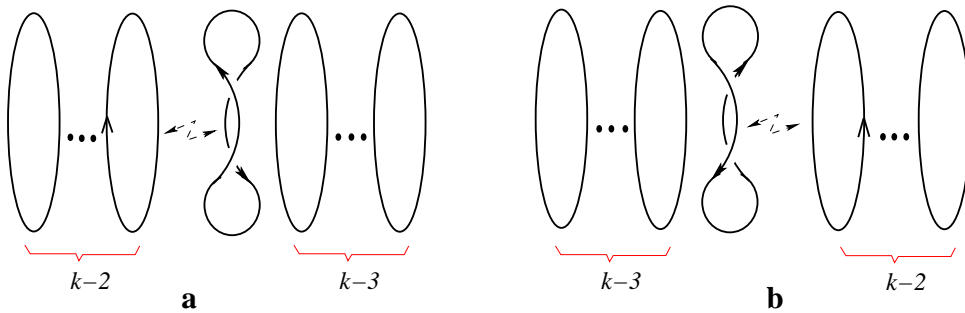


FIGURE 18. Diagrams of the unknot which differ by  $\emptyset 2c$  and  $\emptyset 2d$  Reidemeister moves.

**2.4. Proof of Theorem 3.** For each  $j \geq 0$  and  $d = 0, 1$  denote by  $\mathcal{C}(D_+)_{j,d}$  and  $\mathcal{C}(D_-)_{j,d}$  the subsets of  $\mathcal{C}(D_+)_j$  and  $\mathcal{C}(D_-)_j$  respectively which contain all colorings with  $d$  *special crossings* in the distinguished fragments of  $D_+$  and  $D_-$ .

**Case 1.** Let  $d = 0$ . For each color  $1 \leq p \leq k$  denote by  $\mathcal{C}(D_+)_{j,0,p}$ ,  $\mathcal{C}(D_-)_{j,0,p}$  and  $\mathcal{C}(D_0)_{j,p}$  the subsets of  $\mathcal{C}(D_+)_j$ ,  $\mathcal{C}(D_-)_j$  and  $\mathcal{C}(D_0)_j$  respectively which contain all colorings whose arcs in the distinguished fragments are colored by  $p$ , see Figure 19a.

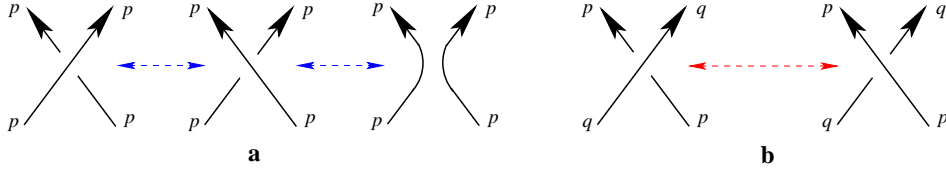


FIGURE 19. Correspondence of colorings in case when  $d = 0$ . In Figure **b** we require that  $p \neq q$ .

Let  $C_+$ ,  $C_-$  and  $C_0$  be the colorings in  $\mathcal{C}(D_+)_{j,0,p}$ ,  $\mathcal{C}(D_-)_{j,0,p}$  and  $\mathcal{C}(D_0)_{j,p}$  respectively, such that they are identical outside the distinguished fragments. They induce link diagrams  $D_{p,+}$ ,  $D_{p,-}$  and  $D_{p,0}$  which are colored by  $p$  respectively. It follows from the HOMFLY-PT skein relation (1) that

$$\begin{aligned} a^{\phi(D_{p,+})}P(L_{p,+}) - a^{\phi(D_{p,-})}P(L_{p,-}) - za^{\phi(D_{p,0})}P(L_{p,0}) = \\ a^{\phi(D_{p,0})}(aP(L_{p,+}) - aP(L_{p,-}) - zP(L_{p,0})) = 0 \end{aligned}$$

Hence

$$I_{k,j}(D_+)_{C_+} - I_{k,j}(D_-)_{C_-} = zI_{k,j}(D_0)_{C_0}.$$

This yields

$$(17) \quad \sum_{C \in \mathcal{C}(D_+)_{j,0,p}} I_{k,j}(D_+)_{C_+} - \sum_{C \in \mathcal{C}(D_-)_{j,0,p}} I_{k,j}(D_-)_{C_-} = z \sum_{C \in \mathcal{C}(D_0)_{j,p}} I_{k,j}(D_0)_{C_0}.$$

There is a bijective correspondence between the sets  $\mathcal{C}(D_+)_{j,0} \setminus \bigcup_{p=1}^k \mathcal{C}(D_+)_{j,0,p}$  and  $\mathcal{C}(D_-)_{j,0} \setminus \bigcup_{p=1}^k \mathcal{C}(D_-)_{j,0,p}$ . It is shown in Figure 19b. Note that for each two corresponding colorings  $C_+$  and  $C_-$  we have

$$I_{k,j}(D_+)_{C_+} = I_{k,j}(D_-)_{C_-}.$$

Combining this equality with (17) and summing over  $p$  and  $j$  we obtain

$$(18) \quad \begin{aligned} \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D_+)_{j,0}} I_{k,j}(D_+)_{C_+} - \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D_-)_{j,0}} I_{k,j}(D_-)_{C_-} = \\ z \sum_{j=0}^{\infty} \sum_{p=1}^k \sum_{C \in \mathcal{C}(D_0)_{j,p}} I_{k,j}(D_0)_{C_0}. \end{aligned}$$

**Case 2.** Let  $d = 1$ . Let  $p, q \in \{1, \dots, k\}$  such that  $p < q$ . Denote by  $\mathcal{C}(D_+)_{j,(p,q)}$  and  $\mathcal{C}(D_-)_{j,(q,p)}$  the subsets of  $\mathcal{C}(D_+)_{j,1}$  and  $\mathcal{C}(D_-)_{j,1}$  which contain all colorings such that the arcs in the distinguished fragment are colored as shown in Figures 20a and 20c respectively. We also denote by  $\mathcal{C}(D_0)_{j,(p,q)}$  and  $\mathcal{C}(D_0)_{j,(q,p)}$  the subsets of  $\mathcal{C}(D_0)_j$  which contain all colorings such that the arcs in the distinguished fragment are colored as shown in Figures 20b and 20d respectively.

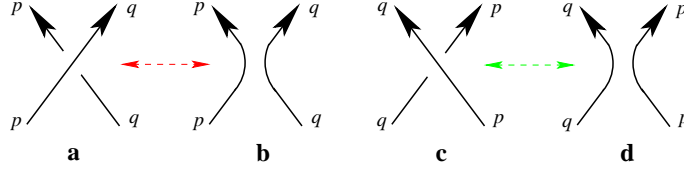


FIGURE 20. Correspondence of colorings in case when  $d = 1$ .

There is a bijective correspondence between sets  $\mathcal{C}(D_+)_{j,(p,q)}$  and  $\mathcal{C}(D_0)_{j-1,(p,q)}$  as well as between sets  $\mathcal{C}(D_-)_{j,(q,p)}$  and  $\mathcal{C}(D_0)_{j-1,(q,p)}$ . These bijections are shown in Figure 20. They are presented by red and green arrows respectively. It follows that for each two corresponding colorings  $C_+ \in \mathcal{C}(D_+)_{j,(p,q)}$  and  $C_0 \in \mathcal{C}(D_0)_{j-1,(p,q)}$  we have

$$I_{k,j}(D_+)_{C_+} = zI_{k,j-1}(D_0)_{C_0},$$

and for each corresponding colorings  $C_- \in \mathcal{C}(D_-)_{j,(q,p)}$  and  $\widetilde{C}_0 \in \mathcal{C}(D_0)_{j-1,(q,p)}$  we have

$$I_{k,j}(D_-)_{C_-} = -zI_{k,j-1}(D_0)_{\widetilde{C}_0}.$$

Summing over all such pairs  $(p, q)$  and  $j$  and noting that  $\mathcal{C}(D_+)_{0,1} = \emptyset$  and  $\mathcal{C}(D_-)_{0,1} = \emptyset$  we obtain

$$(19) \quad \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D_+)_{j,1}} I_{k,j}(D_+)_{C_+} - \sum_{j=0}^{\infty} \sum_{C \in \mathcal{C}(D_-)_{j,1}} I_{k,j}(D_-)_{C_-} = z \sum_{j=0}^{\infty} \sum_{p < q} \left( \sum_{C \in \mathcal{C}(D_0)_{j,(p,q)}} I_{k,j}(D_0)_{C_+} + \sum_{C \in \mathcal{C}(D_0)_{j,(q,p)}} I_{k,j}(D_0)_{C_-} \right).$$

Now adding equations (18) and (19) we obtain

$$I_k(D_+) - I_k(D_-) = zI_k(D_0)$$

and the proof follows.  $\square$

**2.5. Proof of Theorem 4.** By (12), it is enough to show that the polynomials  $I_2(1, z)(D) - w(D)z\nabla(L)$  and  $zP'_a(L)|_{a=1}$  satisfy the same skein relation and receive the same values on the  $r$ -component unlink  $O_r$ . Let  $D_r$  be a diagram of the unlink  $O_r$ , which consists of  $r$  circles and no crossings. If  $r \neq 2$ , then  $I_2(1, z)(D_r) = 0$  because there are no colorings of  $D_r$  with exactly 2 colors. If  $r = 2$ , then there are exactly two colorings of  $D_2$  with

two colors. Hence by definition of  $I_2(1, z)$  we have  $I_2(1, z)(D_2) = 2$ . Note that for each  $r$  we have  $w(D_r) = 0$ . This yields

$$I_2(1, z)(D_r) - w(D_r)z\nabla(O_r) = \begin{cases} 2, & \text{if } r = 2 \\ 0, & \text{otherwise.} \end{cases}$$

Let  $D_+$ ,  $D_-$  and  $D_0$  be a Conway triple of link diagrams. It follows from Theorem 3 and the Conway skein relation (3) that

$$I_2(1, z)(D_+) - w(D_+)z\nabla(L_+) - (I_2(1, z)(D_-) - w(D_-)z\nabla(L_-)) + z\nabla(L_+) + z\nabla(L_-) = z(I_2(1, z)(D_0) - w(D_0)z\nabla(L_0)).$$

The skein relation for the polynomial  $zP'_a|_{a=1}$  follows directly from the skein relation for the HOMFLY-PT polynomial  $P$ . It is of the following form:

$$zP'_a(L_+)|_{a=1} - zP'_a(L_-)|_{a=1} + z\nabla(L_+) + z\nabla(L_-) = z^2P'_a(L_0)|_{a=1}.$$

We also have

$$zP'_a(O_r)|_{a=1} = \begin{cases} 2, & \text{if } r = 2 \\ 0, & \text{otherwise.} \end{cases}$$

Hence both  $I_2(1, z)(D) - w(D)z\nabla(L)$  and  $zP'_a(L)|_{a=1}$  satisfy the same skein relation and normalization and the proof follows.  $\square$

## 2.6. Final questions and remarks.

- (1) Let  $\Gamma$  be a group. Recall that a function  $\psi: \Gamma \rightarrow \mathbf{R}$  is called a *quasi-morphism* if there exists a real number  $A \geq 0$  such that

$$|\psi(gh) - \psi(g) - \psi(h)| \leq A$$

Quasi-morphisms on groups of geometric origin are related to different branches of mathematics see, for example [7]. Let  $J$  be a real-valued link invariant, then it defines a function

$$\widehat{J}: \mathbf{B}_m \rightarrow \mathbf{R}$$

by setting  $\widehat{J}(\alpha) := J(\widehat{\alpha})$ , where  $\widehat{\alpha}$  is the link defined by a closure of the braid  $\alpha$ .

It is interesting to know whether some coefficients of the polynomial  $I$ , which was defined in Theorem 1, define quasi-morphisms, as explained above, on braid groups.

- (2) Another interesting question is whether the polynomial  $I$  is a complete invariant of conjugacy classes of braids. If yes, then it will give (plausibly the fastest) solution to the braid conjugacy problem.

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