

# Exponential mixing for automorphisms on compact Kähler manifolds

Tien-Cuong Dinh and Nessim Sibony

July 22, 2009

## Abstract

Let  $f$  be a holomorphic automorphism of positive entropy on a compact Kähler surface. We show that the equilibrium measure of  $f$  is exponentially mixing. The proof uses some recent development on the pluripotential theory. The result also holds for automorphisms on compact Kähler manifolds of higher dimension under a natural condition on their dynamical degrees.

**AMS classification :** 37F, 32H.

**Key-words :** dynamical degree, equilibrium measure, exponential mixing.

## 1 Introduction

Let  $(X, \omega)$  be a compact Kähler manifold of dimension  $k$  and  $f$  a holomorphic automorphism of  $X$ . The *dynamical degree of order  $q$*  of  $f$  is the spectral radius of the pull-back operator  $f^*$  acting on the Hodge cohomology group  $H^{q,q}(X, \mathbb{C})$ . It is denoted by  $d_q(f)$  or simply by  $d_q$  if there is no confusion. We have  $d_0 = d_k = 1$  and if  $f^n := f \circ \cdots \circ f$  ( $n$  times) is the iterate of order  $n$  of  $f$ , then  $d_q(f^n) = d_q^n$ .

A theorem by Khovanskii [13], Teissier [18] and Gromov [8] implies that the sequence  $q \mapsto \log d_q$  is concave. So, there are integers  $0 \leq p \leq p' \leq k$  such that

$$1 = d_0 < \cdots < d_p = \cdots = d_{p'} > \cdots > d_k = 1.$$

An instructive example with  $p \neq p'$  is a map  $f$  on a product  $X = Y \times Z$  of compact Kähler manifolds such that  $f(y, z) = (g(y), z)$  for  $(y, z) \in Y \times Z$ . More interesting examples of maps preserving a fibration were considered in [4].

Most dynamical studies on automorphisms of compact Kähler manifolds are concentrated on the case where the consecutive dynamical degrees are distinct, i.e.  $p = p'$ . Somehow, this condition insures that the considered dynamical systems have no trivial direction. From now on, we also assume that  $f$  satisfies this natural condition. In [6, 7], we constructed for  $f$  canonical invariant currents

(Green currents) and ergodic invariant probability measures using the theory of intersection of currents, see also [10].

When the operator  $f^*$ , acting on  $H^{p,p}(X, \mathbb{C})$ , admits only one eigenvalue of maximal modulus, there is only one invariant probability measure obtained as the intersection of a Green  $(p, p)$ -current of  $f$  and a Green  $(k - p, k - p)$ -current of  $f^{-1}$ . We call it the *equilibrium measure* of  $f$ . The above eigenvalue is necessarily equal to  $d_p$  and the obtained measure is shown to be mixing, hyperbolic and of maximal entropy. The reader finds in [7] and in Section 4 below some details. Here is our main theorem.

**Theorem 1.1.** *Let  $f$  be a holomorphic automorphism on a compact Kähler manifold  $(X, \omega)$  and  $d_q$  its dynamical degrees. Assume that there is a degree  $d_p$  strictly larger than the other ones and that  $f^*$ , acting on  $H^{p,p}(X, \mathbb{C})$ , admits only one eigenvalue of maximal modulus  $d_p$ . Then the equilibrium probability measure  $\mu$  of  $f$  is exponentially mixing. More precisely, if  $\delta$  is a constant such that  $\max(d_{p-1}, d_{p+1}) < \delta < d_p$  and all the eigenvalues of  $f^*$ , acting on  $H^{p,p}(X, \mathbb{C})$ , except  $d_p$ , are strictly smaller than  $\delta$ , then*

$$|\langle \mu, (\varphi \circ f^n) \psi \rangle - \langle \mu, \varphi \rangle \langle \mu, \psi \rangle| \leq A \|\varphi\|_{\mathcal{C}^\beta} \|\psi\|_{\mathcal{C}^{\beta'}} (d_p/\delta)^{-n\beta\beta'/8},$$

for all  $\mathcal{C}^\beta$  function  $\varphi$  and all  $\mathcal{C}^{\beta'}$  function  $\psi$  on  $X$  with  $0 \leq \beta, \beta' \leq 2$ . Here,  $A = A(\beta, \beta', \delta)$  is a constant independent of  $\varphi, \psi$  and of the integer  $n \geq 0$ .

Mixing is equivalent to the property that the left hand side of the above inequality converges to 0 when  $n$  goes to infinity. In the proof of Theorem 1.1, we use in particular dynamical properties of the map  $F := (f^{-1}, f)$  acting on  $X \times X$ . A Green  $(k, k)$ -current of  $F$  can be obtained as the limit of  $d_p^{-2n} (F^n)^*[\Delta]$ , where  $[\Delta]$  is the current of integration on the diagonal  $\Delta$  of  $X \times X$ . The speed of convergence is the key point in the proof of our result, see Proposition 3.1 below. The idea was already introduced in [3, 5, 7]. However, the use of the pseudoconvexity of  $\mathbb{C}^k$  is no longer valid in the compact setting. We will replace it with the use of the Hölder continuity of Green super-potentials.

Theorem 1.1 still holds under weaker hypothesis: all the eigenvalues of maximal modulus of  $f^*$ , acting on  $H^{p,p}(X, \mathbb{C})$ , are equal to  $d_p$  and the spectral radius  $d_p$  of this operator is of multiplicity 1, i.e.  $\|(f^n)^*\| \sim d_p^n$ . The last property can be seen in the Jordan form of the square matrix associated to  $f^*$ : the Jordan blocks whose diagonal entries have modulus  $d_p$ , are of size  $1 \times 1$ . Very likely, the condition  $\|(f^n)^*\| \sim d_p^n$  is necessary because it insures that the cohomology classes associated to  $d_p^{-2n} (F^n)^*[\Delta]$  converge exponentially fast. Otherwise, we cannot have a good speed of convergence for the currents  $d_p^{-2n} (F^n)^*[\Delta]$ . In the considered case, the construction in [7] gives a finite family of invariant probability measures of maximal entropy. They are all exponentially mixing.

Consider now an automorphism  $f$  of positive entropy on a compact Kähler surface  $X$ . Results by Gromov [9] and Yomdin [20] say that the (topological)

entropy of  $f$  is equal to  $\log d_1$ . So,  $d_1 > 1$  and the consecutive dynamical degrees of  $f$  are distinct. These automorphisms were studied by Cantat in [2]. He showed in particular that all the eigenvalues of  $f^*$ , acting on  $H^{1,1}(X, \mathbb{C})$ , have modulus 1 except two eigenvalues  $d_1$  and  $1/d_1$ . So, we can apply Theorem 1.1 and deduce the following result.

**Corollary 1.2.** *Let  $f$  be a holomorphic automorphism of positive entropy on a compact Kähler surface  $X$ . Then the equilibrium measure of  $f$  is exponentially mixing.*

Note that exponential mixing for polynomial automorphisms was proved by Nguyen and the authors in [3, 5]. We refer to Bedford-Kim [1], Keum-Kondo [12], McMullen [14] and Oguiso [15] for interesting examples of automorphisms on compact Kähler manifolds.

**Acknowledgement.** The first author wishes to express his gratitude to the Max-Planck Institut für Mathematik in Bonn for its hospitality during the preparation of this paper.

## 2 Super-potentials of currents

Super-potentials were introduced by the authors in order to develop a calculus on positive closed currents. We recall some basic properties and refer to [7] for details.

Let  $\mathcal{D}_p$  denote the real space generated by positive closed  $(p, p)$ -currents on  $X$ . If  $S$  is a current in  $\mathcal{D}_p$ , define the *norm*  $\|S\|_*$  of  $S$  by

$$\|S\|_* := \min \|S^+\| + \|S^-\|$$

where the minimum is taken over the positive closed currents  $S^\pm$  with  $S = S^+ - S^-$ . Here,  $\|S^\pm\|$  denote the *mass* of  $S^\pm$  which are defined by

$$\|S^\pm\| := \langle S, \omega^{k-p} \rangle.$$

Observe  $\|S^\pm\|$  depend only on the cohomology classes of  $S^\pm$  in  $H^{p,p}(X, \mathbb{R})$ . We say that a subset of  $\mathcal{D}_p$  is *\*-bounded* if it is bounded for the  $\|\cdot\|_*$ -norm. Let  $\mathcal{D}_p^0$  denote the subspace of currents  $S$  in  $\mathcal{D}_p$  whose classes  $\{S\}$  in  $H^{p,p}(X, \mathbb{R})$  are zero.

We consider on  $\mathcal{D}_p$  and  $\mathcal{D}_p^0$  the following *topology*: a sequence  $(S_n)$  in  $\mathcal{D}_p$  or  $\mathcal{D}_p^0$  converges to a current  $S$  if  $S_n$  converge to  $S$  in the sense of currents and if  $\|S_n\|_*$  are bounded by a constant independent of  $n$ . Smooth forms are dense in  $\mathcal{D}_p$  and  $\mathcal{D}_p^0$  for this topology.

For any  $0 < l < \infty$ , we can associate to  $\mathcal{D}_p$  a *norm*  $\|\cdot\|_{e^{-l}}$  and a *distance*  $\text{dist}_l$  defined by

$$\|S\|_{e^{-l}} := \sup_{\|\Phi\|_{e^l} \leq 1} |\langle S, \Phi \rangle| \quad \text{and} \quad \text{dist}_l(S, S') := \|S - S'\|_{e^{-l}},$$

where  $\Phi$  is a smooth test form of bidegree  $(k-p, k-p)$  on  $X$ . The weak topology on each  $*$ -bounded subset of  $\mathcal{D}_p$  coincides with the topology induced by  $\|\cdot\|_{e^{-t}}$ . If  $0 < l < l' < \infty$  are two constants, then on each  $*$ -bounded subset of  $\mathcal{D}_p$  we have

$$\text{dist}_{l'} \leq \text{dist}_l \leq c_{l,l'} (\text{dist}_{l'})^{l/l'}$$

for some positive constant  $c_{l,l'}$ .

The super-potential of a current  $S$  in  $\mathcal{D}_p$  is a canonical linear function defined, under some normalization, on the smooth forms in  $\mathcal{D}_{k-p+1}^0$ . It plays the same role as the potentials of positive closed  $(1, 1)$ -currents which are quasi-p.s.h. functions.

Let  $\alpha = (\alpha_1, \dots, \alpha_h)$  with  $h := \dim H^{p,p}(X, \mathbb{R})$  be a fixed family of real smooth closed  $(p, p)$ -forms such that the family of classes  $\{\alpha\} = (\{\alpha_1\}, \dots, \{\alpha_h\})$  is a basis of  $H^{p,p}(X, \mathbb{R})$ . Let  $R$  be a current in  $\mathcal{D}_{k-p+1}^0$ . Since the cohomology class of  $R$  is zero, there is a real  $(k-p, k-p)$ -current  $U_R$  such that  $dd^c U_R = R$ . We call  $U_R$  a *potential of  $R$* . Adding to  $U_R$  a suitable closed form allows to assume that  $\langle U_R, \alpha_i \rangle = 0$  for  $i = 1, \dots, h$  and we say that  $U_R$  is  $\alpha$ -normalized. When  $R$  is smooth, we can choose  $U_R$  smooth and the  $\alpha$ -normalized super-potential  $\mathcal{U}_S$  of  $S$  is defined by

$$\mathcal{U}_S(R) := \langle S, U_R \rangle.$$

The definition does not depend on the choice of  $U_R$ .

When the function  $\mathcal{U}_S$  extends continuously to  $\mathcal{D}_{k-p+1}^0$  for the considered topology, we say that  $S$  has a *continuous super-potential*. If  $S$  is in  $\mathcal{D}_p^0$  then  $\mathcal{U}_S$  does not depend on the choice of  $\alpha$ ; if moreover  $S$  is smooth, it has a continuous super-potential and we have the formula

$$\mathcal{U}_S(R) = \mathcal{U}_R(S),$$

where  $\mathcal{U}_R$  is the super-potential of  $R$  which is also independent of the normalization. We can extend the above equality to the case where  $S$  has a continuous super-potential.

We say that  $\mathcal{U}_S$  is  $(l, \lambda, M)$ -Hölder continuous if it is continuous and if

$$|\mathcal{U}_S(R)| \leq M \|R\|_{e^{-t}}^\lambda$$

for  $R \in \mathcal{D}_{k-p+1}^0$  with  $\|R\|_* \leq 1$ , where  $l > 0$ ,  $0 < \lambda \leq 1$  and  $M \geq 0$  are constants. If  $l' > 0$  is another constant, the above comparison between  $\text{dist}_l$  and  $\text{dist}_{l'}$  implies that when  $\mathcal{U}_S$  is  $(l, \lambda, M)$ -Hölder continuous, it is  $(l', \lambda', M')$ -Hölder continuous for some constants  $\lambda'$  and  $M'$  which are independent of  $S$ .

Here is the main result in this section. It improves Theorem 3.2.6 in [7] and can be seen as a version of the classical exponential estimates for p.s.h. functions.

**Proposition 2.1.** *Let  $R$  be a current in  $\mathcal{D}_{k-p+1}^0$  with  $\|R\|_* \leq 1$  such that its super-potential  $\mathcal{U}_R$  is  $(2, \lambda, M)$ -Hölder continuous. Then there is a constant  $A > 0$  independent of  $R, \lambda$  and  $M$  such that the super-potential  $\mathcal{U}_S$  of  $S$  satisfies*

$$|\mathcal{U}_S(R)| \leq A(1 + \lambda^{-1} \log^+ M),$$

for any current  $S$  in  $\mathcal{D}_p^0$  with  $\|S\|_* \leq 1$ , where  $\log^+ := \max(0, \log)$ .

We will use a family of linear regularizing operators  $\mathcal{L}_\theta : \mathcal{D}_p^0 \rightarrow \mathcal{D}_p^0$  introduced in [7] with  $\theta$  in  $\mathbb{P}^1 = \mathbb{C} \cup \{\infty\}$ . Let us recall some properties of  $\mathcal{L}_\theta$ . Fix a constant  $c > 0$  large enough which depends only on the geometry of  $(X, \omega)$ .

The operators  $\mathcal{L}_\theta$  are continuous for the considered topology on  $\mathcal{D}_p^0$  and are bounded for the  $\|\cdot\|_*$ -norm, i.e.  $\|\mathcal{L}_\theta(S)\|_* \leq c\|S\|_*$  with  $c > 0$  independent of  $\theta$  and  $S$ . We have  $\mathcal{L}_0(S) = S$  and

$$\text{dist}_2(S, \mathcal{L}_\theta(S)) \leq c\|S\|_*|\theta|.$$

Moreover,  $\mathcal{L}_\theta = \mathcal{L}_\infty$  for  $|\theta| \geq 1$ .

Let  $p \geq 1$  be a constant and let  $q \geq 1$  such that when  $p < k + 1$ ,  $1/q = 1/p - 1 + k/(k + 1)$  and  $q = \infty$  when  $p \geq k + 1$ . We always have  $\|\mathcal{L}_\infty(S)\|_{L^1} \leq c\|S\|_*$ . If  $S$  is an  $L^p$  form,  $p \geq 1$ , then  $\mathcal{L}_\infty(S)$  is an  $L^q$  form satisfying

$$\|\mathcal{L}_\infty(S)\|_{L^q} \leq c\|S\|_{L^p}.$$

Here,  $c > 0$  is a constant large enough. Recall also that the function  $u_S(\theta) := \mathcal{U}_{\mathcal{L}_\theta(S)}(R)$  is continuous and is constant out of the unit disc. It satisfies

$$\|dd^c u_S(\theta)\| \leq c\|S\|_*\|R\|_*.$$

The last properties hold for  $R$  smooth and extend by continuity to currents  $R$  with a continuous super-potential.

**Proof of Proposition 2.1.** For  $R$  and  $S$  as in the proposition, we have  $\|S\|_* \leq 1$  and  $\|R\|_* \leq 1$ . Multiplying  $S$  by a constant allows to assume that  $\|S\|_* \leq c^{-k-3}$ . Define  $S_0 := S$  and  $S_{i+1} := \mathcal{L}_\infty(S_i)$  for  $0 \leq i \leq k + 1$ . Define also  $u_i(\theta) := \mathcal{U}_{\mathcal{L}_\theta(S_i)}(R)$  and  $m_i := u_i(0) = u_{i-1}(\infty)$ . Using inductively the above estimates, we get  $\|S_i\|_* \leq 1/c$ ,  $\|dd^c u_i\| \leq 1$  and  $\|S_{k+2}\|_{L^\infty} \leq 1$ . The last inequality implies that  $|m_{k+2}|$  is bounded by a constant independent of  $S, R$ . Indeed,  $R$  always admits a potential  $U_R$  of bounded  $L^1$ -norm and we have  $m_{k+2} = \langle S_{k+2}, U_R \rangle$ .

We need to show that  $|m_0| \leq A(1 + \lambda^{-1} \log^+ M)$  for some constant  $A > 0$ . For this purpose, we can assume that  $M > 1$  and it is enough to check that  $|m_i - m_{i+1}| \leq A(1 + \lambda^{-1} \log M)$  for some constant  $A > 0$ . We have  $m_i - m_{i+1} = v_i(0)$  where  $v_i := u_i - m_{i+1}$ . The above properties of  $u_i$  imply that  $v_i$  are continuous, vanish outside the unit disc and satisfy  $\|dd^c v_i\| \leq 1$ . The classical exponential estimates for subharmonic functions imply that  $\|e^{v_i}\|_{L^1(\mathbb{P}^1)} \leq c$  for some universal constant  $c > 0$ , see [7, Lemma 2.2.4] and [11, Th. 4.4.5]. We then deduce that there is a  $\theta$  satisfying  $|\theta| \leq M^{-1/\lambda}$  and  $|v_i(\theta)| \leq (A - 1) + A\lambda^{-1} \log M$  for a fixed constant  $A$  large enough. Finally, using the Hölder continuity of  $\mathcal{U}_R$ , we get

$$\begin{aligned} |v_i(0) - v_i(\theta)| &= |\mathcal{U}_{S_i}(R) - \mathcal{U}_{\mathcal{L}_\theta(S_i)}(R)| = |\mathcal{U}_R(S_i) - \mathcal{U}_R(\mathcal{L}_\theta(S_i))| \\ &\leq M \text{dist}_2(S_i, \mathcal{L}_\theta(S_i))^\lambda \leq M|\theta|^\lambda \leq 1. \end{aligned}$$

Therefore,  $|v_i(0)| \leq A(1 + \lambda^{-1} \log M)$ . This completes the proof.  $\square$

### 3 Convergence towards Green currents

Let  $f$ ,  $d_q$  and  $\delta$  be as in Theorem 1.1. Fix a constant  $\delta_0 < \delta$ , close enough to  $\delta$ , so that  $\delta_0$  satisfies also the same properties as  $\delta$ . We recall some known facts and refer to [7] for details. By Poincaré duality, the dynamical degree  $d_q$  of  $f$  is equal to the degree  $d_{k-q}(f^{-1})$  of  $f^{-1}$ . Since the mass of a positive closed current can be computed cohomologically, if  $S$  is in  $\mathcal{D}_q$  and  $R$  is in  $\mathcal{D}_{k-p+1}$ , we have  $\|(f^n)^*(S)\|_* \leq cd_p^n \|S\|_*$  and  $\|(f^n)_*(R)\|_* \leq c\delta_0^n \|R\|_*$  for some constant  $c > 0$  independent of  $S, R$  and  $n$ .

By Perron-Frobenius theorem, the eigenspace  $H$  associated to the eigenvalue  $d_p$  of  $f^*$  acting on  $H^{p,p}(X, \mathbb{R})$  is a real line. Therefore,  $d_p^{-n}(f^n)^*$  converge to a linear operator  $L_\infty : H^{p,p}(X, \mathbb{R}) \rightarrow H$ . Under the hypothesis of Theorem 1.1, it is easy to deduce that on  $H^{p,p}(X, \mathbb{R})$

$$\|d_p^{-n}(f^n)^* - L_\infty\| \leq c(d/\delta_0)^{-n}$$

for some constant  $c > 0$ . A *Green*  $(p, p)$ -current  $T_+$  of  $f$  is a non-zero positive closed  $(p, p)$ -current invariant under  $d_p^{-1}f^*$ , i.e.  $f^*(T_+) = d_p T_+$ . Its cohomology class  $\{T_+\}$  generates the real line  $H$ . Moreover, it is known [7] that  $T_+$  is the unique positive closed current in  $\{T_+\}$ . So, if  $S$  is a current in  $\mathcal{D}_p$ , then  $d_p^{-n}(f^n)^*(S)$  converge to a multiple of  $T_+$ . Here is the main result of this section.

**Proposition 3.1.** *Let  $f, d_q, \delta$  be as in Theorem 1.1 and  $S$  a current in  $\mathcal{D}_p$ . Let  $r$  be the constant such that  $d_p^{-n}(f^n)^*(S)$  converge to  $rT_+$ . Let  $R$  be a current in  $\mathcal{D}_{k-p+1}^0$  with  $\|R\|_* \leq 1$  whose super-potential  $\mathcal{U}_R$  is  $(2, \lambda, 1)$ -Hölder continuous. Let  $\mathcal{U}_+, \mathcal{U}_n$  be the  $\alpha$ -normalized super-potentials of  $T_+$  and of  $d_p^{-n}(f^n)^*(S)$ . Then*

$$|\mathcal{U}_n(R) - r\mathcal{U}_+(R)| \leq A(d/\delta)^{-n}$$

where  $A > 0$  is a constant independent of  $R$  and of  $n$ .

We first prove the following lemma.

**Lemma 3.2.** *Let  $R$  be a current in  $\mathcal{D}_{k-p+1}^0$  whose super-potential  $\mathcal{U}_R$  is  $(2, \lambda, M)$ -Hölder continuous. Then, there is a constant  $A_0 \geq 1$  independent of  $R, \lambda, M$  such that the super-potential  $\mathcal{U}_{f_*(R)}$  of  $f_*(R)$  is  $(2, \lambda, A_0M)$ -Hölder continuous.*

*Proof.* Let  $T$  be a current in  $\mathcal{D}_p^0$  such that  $\|T\|_* \leq 1$ . We have seen that  $\|f^*(T)\|_* \leq c$  for some constant  $c \geq 1$  independent of  $T$ . Define  $T' := c^{-1}f^*(T)$ . If  $T$  is smooth and  $U_T$  is a smooth potential of  $T$ , then  $f^*(U_T)$  is a smooth potential of  $f^*(T)$  and we have

$$\mathcal{U}_{f_*(R)}(T) = \langle f_*(R), U_T \rangle = \langle R, f^*(U_T) \rangle = \mathcal{U}_R(f^*(T)).$$

Since  $\mathcal{U}_R$  is continuous and smooth forms are dense in  $\mathcal{D}_p^0$ , we deduce that  $\mathcal{U}_{f_*(R)}$  is continuous and  $\mathcal{U}_{f_*(R)}(T) = \mathcal{U}_R(f^*(T))$  for every  $T$  in  $\mathcal{D}_p^0$ . Therefore,

$$|\mathcal{U}_{f_*(R)}(T)| = c|\mathcal{U}_R(T')| \leq cM\|T'\|_{c^{-2}}^\lambda.$$

Now, it is enough to show that  $\|f^*(T)\|_{\mathcal{C}^{-2}} \leq c'\|T\|_{\mathcal{C}^{-2}}$  for some constant  $c' > 0$ . Consider test  $(k-p, k-p)$ -forms  $\Phi$  such that  $\|\Phi\|_{\mathcal{C}^2} \leq 1$ . Since  $f^{-1}$  is smooth, there is a constant  $c' > 0$  such that  $\|f_*(\Phi)\|_{\mathcal{C}^2} \leq c'$ . It follows that

$$\|f^*(T)\|_{\mathcal{C}^{-2}} = \sup_{\Phi} |\langle f^*(T), \Phi \rangle| = \sup_{\Phi} |\langle T, f_*(\Phi) \rangle| \leq c'\|T\|_{\mathcal{C}^{-2}}.$$

This completes the proof.  $\square$

**Proof of Proposition 3.1.** We have seen that  $\|d_p^{-n}(f^n)^* - L_\infty\| \lesssim (d/\delta_0)^{-n}$  on  $H^{p,p}(X, \mathbb{R})$ . So, the computation in [7, Lemma 4.2.3] shows that if  $\mathcal{U}_S$  is continuous,  $|\mathcal{U}_n(R) - r\mathcal{U}_+(R)| \lesssim (d/\delta)^{-n}$ . Therefore, subtracting from  $S$  a smooth closed  $(p, p)$ -form allows to assume that  $\{S\} = 0$  and hence  $r = 0$ .

Define  $R_n := c^{-1}\delta_0^{-n}(f^n)_*(R)$  where  $c \geq 1$  is a fixed constant large enough. We have  $\|R_n\|_* \leq 1$ . Lemma 3.2 implies by induction that  $\mathcal{U}_{R_n}$  is  $(2, \lambda, A_0^n)$ -Hölder continuous. As in the proof of this lemma, we obtain  $\mathcal{U}_n(R) = c(d_p/\delta_0)^{-n}\mathcal{U}_S(R_n)$ . Finally, we deduce from Proposition 2.1 that

$$|\mathcal{U}_n(R)| = c(d_p/\delta_0)^{-n}|\mathcal{U}_S(R_n)| \lesssim n(d_p/\delta_0)^{-n}.$$

The result follows.  $\square$

## 4 Exponential mixing

In this section, we prove Theorem 1.1. Theory of interpolation between the Banach spaces  $\mathcal{C}^0$  and  $\mathcal{C}^2$  [19] implies that it is enough to consider the case  $\beta = \beta' = 2$ , see [3, 5] for details. Assume now that  $\varphi$  and  $\psi$  are  $\mathcal{C}^2$  functions such that  $\|\varphi\|_{\mathcal{C}^2} \leq 1$  and  $\|\psi\|_{\mathcal{C}^2} \leq 1$ . Subtracting from  $\psi$  a constant allows to assume also that  $\langle \mu, \psi \rangle = 0$ . We have to show that

$$|\langle \mu, (\varphi \circ f^n)\psi \rangle| \lesssim (d/\delta)^{-n/2}.$$

We only need to consider the case where  $n$  is even. Indeed, if  $n$  is odd, we can replace  $\varphi$  with  $\varphi \circ f$  and deduce the result from the first case. So, it is enough to check that

$$|\langle \mu, (\varphi \circ f^{2n})\psi \rangle| \lesssim (d/\delta)^{-n}.$$

We will apply Proposition 3.1 to the automorphism  $F$  of  $X \times X$  defined by  $F(x, y) := (f^{-1}(x), f(y))$ . By Künneth formula [17, Th. 11.38], there is a canonical isomorphism

$$H^{q,q}(X \times X, \mathbb{C}) = \bigoplus_{s+r=q} H^{s,r}(X, \mathbb{C}) \otimes H^{r,s}(X, \mathbb{C}).$$

It is not difficult to see that  $F^*$  preserves the above decomposition. So, the dynamical degree of order  $k$  of  $F$  is equal to  $d_p^2$ . It was shown in [4] that the

spectral radius of  $f^*$  on  $H^{r,s}(X, \mathbb{C})$ , which is also the spectral radius of  $f_*$  on  $H^{k-r,k-s}(X, \mathbb{C})$ , is smaller or equal to  $\sqrt{d_r d_s}$ . Therefore, the dynamical degrees and the eigenvalues of  $F^*$  on  $H^{k,k}(X \times X, \mathbb{R})$ , except  $d_p^2$ , are strictly smaller than  $d_p \delta_0$ . So, we can apply Proposition 3.1 to  $F$ .

Let  $[\Delta]$  denote the positive closed  $(k, k)$ -current associated to the diagonal  $\Delta$  of  $X \times X$ . Recall  $\mu$  is the wedge-product  $T_+ \wedge T_-$  of a Green  $(p, p)$ -current  $T_+$  associated to  $f$  and a Green  $(k-p, k-p)$ -current  $T_-$  associated to  $f^{-1}$ . We have  $f^*(T_+) = d_p T_+$  and  $f_*(T_-) = d_p T_-$ . Hence,  $F_*(T_+ \otimes T_-) = d_p^2 T_+ \otimes T_-$ . We deduce from the uniqueness of Green currents that any Green  $(k, k)$ -current of  $F^{-1}$  is a multiple of  $T_+ \otimes T_-$ . In particular, it has a Hölder continuous super-potential.

Recall that  $\|\varphi\|_{\mathcal{C}^2} \leq 1$  and  $\|\psi\|_{\mathcal{C}^2} \leq 1$ . Define  $\Phi(x, y) := \varphi(x)\psi(y)$ . Since the  $\mathcal{C}^2$ -norm of this function is bounded,  $dd^c\Phi$  is a current in  $\mathcal{D}_2^0(X \times X)$  with bounded  $\|\cdot\|_*$ -norm. If  $\mathcal{U}$  is its super-potential and  $T$  is a current in  $\mathcal{D}_{2k}^0(X \times X)$ , then  $\mathcal{U}(T) = \langle \Phi, T \rangle$ . Clearly,  $\mathcal{U}$  is  $(2, 1, M)$ -Hölder continuous for some constant  $M > 0$  independent of  $\varphi, \psi$ . By Proposition 3.4.2 in [7], the wedge-product of currents with Hölder continuous super-potentials has also a Hölder continuous super-potential. We deduce from the proof of that proposition and the comparison between the distances  $\text{dist}_l$  that  $R := (T_+ \otimes T_-) \wedge dd^c\Phi$  is a current in  $\mathcal{D}_{k+1}^0(X \times X)$  with a  $(2, \lambda, M')$ -Hölder continuous super-potential for some constants  $\lambda, M'$  independent of  $\varphi, \psi$ . This current  $R$  has also a bounded  $\|\cdot\|_*$ -norm. Multiplying  $\varphi$  by a constant allows us to assume that  $\|R\|_* \leq 1$  and  $M' = 1$ .

Proposition 3.1 applied to  $F$ ,  $[\Delta]$  instead of  $f$ ,  $S$  yields

$$|\mathcal{U}_{d_p^{-2n}(F^n)^*[\Delta]}(R) - m| \lesssim (d_p/\delta)^{-n} \quad \text{where} \quad m := \lim_{n \rightarrow \infty} \mathcal{U}_{d_p^{-2n}(F^n)^*[\Delta]}(R).$$

On the other hand, since  $F_*(T_+ \otimes T_-) = d_p^2 T_+ \otimes T_-$  and since Green currents are well approximated by smooth forms, the following calculus holds (see [7])

$$\begin{aligned} \mathcal{U}_{d_p^{-2n}(F^n)^*[\Delta]}(R) &= \langle d_p^{-2n}(F^n)^*[\Delta], \Phi(T_+ \otimes T_-) \rangle \\ &= \langle [\Delta], d_p^{-2n}(\Phi \circ F^{-n})(F^n)_*(T_+ \otimes T_-) \rangle \\ &= \langle [\Delta], (\Phi \circ F^{-n})T_+ \otimes T_- \rangle \\ &= \langle (T_+ \otimes T_-) \wedge [\Delta], \Phi \circ F^{-n} \rangle. \end{aligned}$$

The same arguments and the fact that  $\mu = T_+ \wedge T_-$  is invariant yield

$$\mathcal{U}_{d_p^{-2n}(F^n)^*[\Delta]}(R) = \langle T_+ \wedge T_-, (\varphi \circ f^n)(\psi \circ f^{-n}) \rangle = \langle \mu, (\varphi \circ f^{2n})\psi \rangle.$$

We deduce from the mixing of  $\mu$  that the last integral tends to 0 since  $\langle \mu, \psi \rangle = 0$ . Therefore, we have  $m = 0$ . This together with the above estimate on the super-potential of  $d_p^{-2n}(F^n)^*[\Delta]$  implies that

$$|\langle \mu, (\varphi \circ f^{2n})\psi \rangle| \lesssim (d_p/\delta)^{-n},$$

and completes the proof of Theorem 1.1.



**Remark 4.1.** Let  $\delta_+ \geq d_{p-1}$  (resp.  $\delta_- \geq d_{p+1}$ ) denote the smallest number such that the eigenvalues of  $f^*$  acting on  $H^{p,p}(X, \mathbb{C})$ , except  $d_p$ , are of modulus smaller than or equal to  $\delta_+$  (resp.  $\delta_-$ ). Theorem 1.1 still holds for any  $\delta$  such that

$$\frac{2 \log \delta_+ \log \delta_-}{\log \delta_+ + \log \delta_-} < \log \delta < \log d_p.$$

Indeed, there are positive integers  $l, m$  such that

$$\max(\delta_+^l, \delta_-^m) < \delta^{\frac{l+m}{2}}$$

and it is enough to follow the proof of Theorem 1.1 where we replace  $F$  with the automorphism  $(f^{-l}, f^m)$ . The details are left to the reader.

## References

- [1] Bedford E., Kim K., Dynamics of Rational Surface Automorphisms: Linear Fractional Recurrences, *preprint*, 2006. [arXiv:math/0611297](https://arxiv.org/abs/math/0611297)
- [2] Cantat S., Dynamique des automorphismes des surfaces K3, *Acta Math.*, **187** (2001), no. 1, 1-57.
- [3] Dinh T.-C., Decay of correlations for Hénon maps, *Acta Math.*, **195** (2005), 253-264.
- [4] —, Suites d'applications méromorphes multivaluées et courants laminaires, *J. Geom. Anal.*, **15** (2005), no. 2, 207-227.
- [5] Dinh T.-C., Nguyen V.-A., Sibony N., Dynamics of horizontal-like maps in higher dimension, *Adv. Math.*, **219** (2008), 1689-1721.
- [6] Dinh T.-C., Sibony N., Green currents for holomorphic automorphisms of compact Kähler manifolds, *J. Amer. Math. Soc.*, **18** (2005), 291-312.
- [7] —, Super-potentials for currents on compact Kähler manifolds and dynamics of automorphisms, 2008. [arXiv:0804.0860](https://arxiv.org/abs/0804.0860)
- [8] Gromov M., Convex sets and Kähler manifolds, *Advances in Differential Geometry and Topology* World Sci. Publishing, Teaneck, NJ (1990), 1-38.
- [9] —, On the entropy of holomorphic maps, *Enseign. Math. (2)*, **49** (2003), no. 3-4, 217-235.
- [10] Guedj V., Propriétés ergodiques des applications rationnelles, *Panoramas et Synthèses*, to appear.
- [11] Hörmander L., *An introduction to complex analysis in several variables*, Third edition, North-Holland Mathematical Library, **7**, North-Holland Publishing Co., Amsterdam, 1990.

- [12] Keum J., Kondo S., The automorphism groups of Kummer surfaces associated with the product of two elliptic curves, *Trans. Amer. Math. Soc.*, **353**(4) (2001), 1469-1487.
- [13] Khovanskii A.G., The geometry of convex polyhedra and algebraic geometry, *Uspehi Mat. Nauk.*, **34:4** (1979), 160-161.
- [14] McMullen C.T., Dynamics on blowups of the projective plane, *Publ. Math. Inst. Hautes Études Sci.*, No. **105** (2007), 49-89.
- [15] Oguiso K., A remark on Dynamical degrees of automorphisms of compact Hyperkähler manifolds, *Manuscripta Math.*, to appear.
- [16] Sibony N., Dynamique des applications rationnelles de  $\mathbb{P}^k$ , *Panoramas et Synthèses*, **8** (1999), 97-185.
- [17] Voisin C., *Théorie de Hodge et géométrie algébrique complexe*, Cours Spécialisés, **10**, Société Mathématique de France, Paris, 2002.
- [18] Teissier B., Du théorème de l'index de Hodge aux inégalités isopérimétriques, *C. R. Acad. Sci. Paris Sér. A-B*, **288** (1979), no. 4, 287-289.
- [19] Triebel H., *Interpolation theory, function spaces, differential operators*, North-Holland, 1978.
- [20] Yomdin Y., Volume growth and entropy, *Israel J. Math.*, **57** (1987), no. 3, 285-300.

T.-C. Dinh, UPMC Univ Paris 06, UMR 7586, Institut de Mathématiques de Jussieu, F-75005 Paris, France. [dinh@math.jussieu.fr](mailto:dinh@math.jussieu.fr), <http://www.math.jussieu.fr/~dinh>

N. Sibony, Université Paris-Sud, Mathématique - Bâtiment 425, 91405 Orsay, France. [nessim.sibony@math.u-psud.fr](mailto:nessim.sibony@math.u-psud.fr)