STEMS AND SPECTRAL SEQUENCES

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ABSTRACT. We introduce the category $\mathcal{P}stem[n]$ of *n*-stems, with a functor $\mathcal{P}[n]$ from spaces to $\mathcal{P}stem[n]$. This can be thought of as the *n*-th order homotopy groups of a space. We show how to associate to each simplicial *n*-stem \mathcal{Q}_{\bullet} an (n+1)-truncated spectral sequence. Moreover, if $\mathcal{Q}_{\bullet} = \mathcal{P}[n]X_{\bullet}$ is the Postnikov *n*-stem of a simplicial space X_{\bullet} , the truncated spectral sequence for \mathcal{Q}_{\bullet} is the truncation of the usual homotopy spectral sequence of X_{\bullet} . Similar results are also proven for cosimplicial *n*-stems. They are helpful for computations, since *n*-stems in low degrees have good algebraic models.

0. INTRODUCTION

Many of the spectral sequences of algebraic topology arise as the homotopy spectral sequence of a (co)simplicial space – including the spectral sequence of a double complex, the (stable or unstable) Adams spectral sequence, the Eilenberg-Moore spectral sequence, and so on (see §4.14). Given a simplicial space X_{\bullet} , the E^2 -term of its homotopy spectral sequence has the form $E_{s,t}^2 = \pi_s \pi_t X_{\bullet}$, so it may be computed by applying the homotopy group functor dimensionwise to X_{\bullet} .

In this paper we show that the higher terms of this spectral sequence are obtained analogously by applying 'higher homotopy group' functors to X_{\bullet} . These functors are given explicitly in the form of certain *Postnikov stems*, defined in Section 1; the Postnikov 0-stem of a space is equivalent to its homotopy groups.

We then show how the E^r -term of the homotopy spectral sequence of a simplicial space X_{\bullet} can be described in terms of the (r-2)-Postnikov stem of X_{\bullet} , for each $r \geq 2$ (see Theorem 3.14) – and similarly for the homotopy spectral sequence of a cosimplicial space X^{\bullet} (see Theorem 4.12).

As an application for the present paper, in [BB2] we generalize the first author's result with Mamuka Jibladze (in [BJ]), which shows that the E^3 -term of the stable Adams spectral sequence can be identified as a certain secondary derived functor Ext. We do this by showing how to define in general the *higher order derived functors* of a continuous functor $F : \mathcal{C} \to \mathcal{T}_*$, by applying F to a simplicial resolution W_{\bullet} in \mathcal{C} , and taking Postnikov *n*-stems of FW_{\bullet} .

0.1. Notation and conventions. The category of pointed connected topological spaces will be denoted by \mathcal{T}_* ; that of pointed sets by Set_* ; that of groups by $\mathcal{G}p$.

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For any category \mathcal{C} , $s\mathcal{C}$ denotes the category of simplicial objects over \mathcal{C} , and $c\mathcal{C}$ that of cosimplicial objects over \mathcal{C} . However, we abbreviate sSet to S, $sSet_*$ to S_* , and $s\mathcal{G}p$ to \mathcal{G} . The constant (co)simplicial object on an object $X \in \mathcal{C}$ is written $c(X)_{\bullet} \in s\mathcal{C}$ (respectively, $c(X)^{\bullet} \in c\mathcal{C}$). For any small indexing category I, the category of functors $I \to \mathcal{C}$ is denoted by \mathcal{C}^I .

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1. Postnikov stems

The Postnikov system of a topological space (or simplicial set) X is the tower of fibrations:

(1.1)
$$\dots \rightarrow P^{n+1}X \xrightarrow{p^{n+1}} P^n X \xrightarrow{p^n} P^{n-1}X \dots P^1 X \xrightarrow{p^1} P^0 X$$
,

equipped with maps $q^n : X \to P^n X$ (with $p^n \circ q^n = q^{n-1}$), which induce isomorphisms on homotopy groups in degrees $\leq n$. Here $P^n X$ is *n*-coconnected (that is, $\pi_i P^n X = 0$ for i > n) and $\pi_i p^n$ is an isomorphism for i < n. The fiber of the map $p^n : P^n X \to P^{n-1} X$ is the Eilenberg-Mac Lane space $K(\pi_n X, n)$, so the fibers are determined up to homotopy by $\pi_* X$. Thus a generalization of the homotopy groups of X is provided by the following notion:

1.2. **Definition.** For any $n \ge 0$, a *Postnikov n-stem* in \mathcal{T}_* is a tower:

(1.3)
$$\mathcal{Q} := \left(\dots \to Q_{k+1} \xrightarrow{q_{k+1}} Q_k \xrightarrow{q_k} Q_{k-1} \dots Q_0 \right)$$

in $\mathcal{T}_*^{(\mathbb{N},\leq)}$, in which Q_k is (k-1)-connected and (n+k)-coconnected (so that $\pi_i(Q_k) = 0$ for i < k or i > n+k) and $\pi_i(q_k)$ is an isomorphism for $k \leq i < n+k$. Here (\mathbb{N},\leq) is the usual linearly ordered category of the natural numbers. The space Q_k is called the k-th *n*-window of \mathcal{Q} .

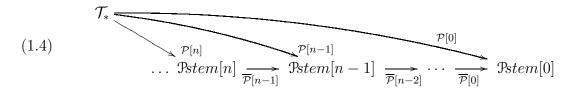
Such an *n*-stem is thus a collection of overlapping (k-1)-connected n+k-types, which may be depicted for n=2 as follows:



where each row exhibits the n + 1 non-trivial homotopy groups (denoted by *) of one *n*-window, and all those in the *i*-th column (corresponding to π_i) are isomorphic.

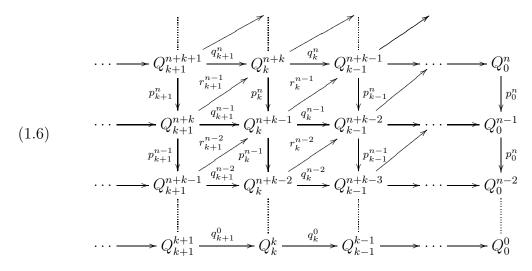
We denote by $\mathcal{P}stem[n]$ the full subcategory of Postnikov *n*-stems in the functor category $\mathcal{T}^{(\mathbb{N},\leq)}_*$ (with model category structure on the latter as in [Hi, 11.6]). Thus the morphisms in $\mathcal{P}stem[n]$ are given by strictly commuting maps of towers, and $f: \mathcal{Q} \to \mathcal{Q}'$ is a weak equivalence (respectively, a fibration) if each $f_k: Q_k \to Q'_k$ is such. This lets us define the homotopy category of Postnikov *n*-stems, ho $\mathcal{P}stem[n]$, as a full sub-category of ho $\mathcal{T}^{(\mathbb{N},\leq)}_*$.

The category $\mathcal{P}stem[n]$ is pointed, has products, and is equipped with canonical functors



which preserve products and weak equivalences.

1.5. *Remark.* The sequence of functors (1.4) is described by a commuting diagram, in which we may take all maps to be fibrations:



Here $\pi_i Q_k^n = 0$ for i < k or i > n, and all maps induce isomorphisms in π_i whenever possible. Thus:

- (a) The k-th column (from the right) is the Postnikov tower for $Q_k := \lim_n Q_k^n$.
- (b) The diagonals are the dual Postnikov system of connected covers for Q_0^j .
- (c) The *n*-th row (from the bottom) is a Postnikov *n*-stem.
- (d) In particular, each space in the 0-stem (the bottom row) is an Eilenberg-Mac Lane space, and the maps q_k^0 are nullhomotopic. Thus the homotopy type of the bottom line in ho Pstem[0] is determined by the collection of homotopy groups $\{\pi_k Q_k^k\}_{k=0}^{\infty}$.

1.7. **Definition.** The motivating example of a Postnikov *n*-stem is a *realizable* one, associated to a space $X \in \mathcal{T}_*$, and denoted by $\mathcal{P}[n]X$, with $(P[n]X)_k := P^{n+k}X\langle k \rangle$. As usual, $Y\langle k \rangle$ denotes the (k-1)-connected cover of a space $Y \in \mathcal{T}_*$. Each fibration $q_k : (P[n]X)_k \to (P[n]X)_{k-1}$ fits into a commuting triangle of fibrations:

(1.8)
$$P^{n+k+1}X\langle k+1\rangle \xrightarrow{q} P^{n+k}X\langle k\rangle$$
$$P^{n+k}X\langle k+1\rangle$$

in which the maps p and r are the fibration of (1.1) and the covering map, respectively. See [BB1, §10.5] for a natural context in which non-realizable Postnikov n-stems arise.

1.9. Examples of stems. The functor $\mathcal{P}[0]_* : \mathcal{T}_* \to \text{ho} \mathcal{P}stem[0]$ induced by $\mathcal{P}[0]$ is equivalent to the homotopy group functor: in fact, the homotopy groups of a space define a functor $\pi_* : \mathcal{T}_* \to \mathcal{K}$ into the product category $\mathcal{K} := \prod_{i=0}^{\infty} \mathcal{K}_i$, where $\mathcal{K}_0 = \mathcal{S}et_*$, $\mathcal{K}_1 = \mathcal{G}p$, and $\mathcal{K}_i = \mathcal{A}b\mathcal{G}p$, for $i \geq 2$. Moreover, there is an equivalence of categories $\vartheta : \mathcal{K} \equiv \text{ho} \mathcal{P}stem[0]$, such that the functor $\mathcal{P}[0]_*$ is equivalent to the composite functor $\vartheta \circ \pi_* : \mathcal{T}_* \to \mathcal{K}$.

Similarly, the functor $\mathcal{T}_* \to \text{ho} \mathcal{P}stem[1]$ induced by $\mathcal{P}[1]$ is equivalent to the secondary homotopy group functor of [BM, §4], in the sense that each secondary homotopy group $\pi_{n,*}X$ completely determines the *n*-th 1-window of X. Using the results on secondary homotopy groups in [BM], one obtains a homotopy category of algebraic 1-stems which is equivalent to $\text{ho} \mathcal{P}stem[1]$.

A category of algebraic models for 2-stems is only partially known. The homotopy classification of (k-1)-conected (k+2)-types is described for all k in [Ba]; this theory can be used to classify homotopy types of Postnikov 2-stems.

2. The spectral sequence of a simplicial space

We begin with the construction of the homotopy spectral sequence for a simplicial space (cf. [Q], [BF, Theorem B.5], and [BK1, X,§6]), using the version given by Dwyer, Kan, and Stover in [DKSt2, §8] (see also [Bou2, §2,5], [Bou1], and [DKSt1, §3.6]). For this purpose, we require some explicit constructions for the E^2 -model category of simplicial spaces.

2.1. **Definition.** Given a simplicial object $X_{\bullet} \in s\mathcal{C}$, over a complete pointed category \mathcal{C} , for each $n \geq 1$ define its *n*-cycles object to be

$$Z_n X_{\bullet} := \{ x \in X_n \mid d_i x = * \text{ for } i = 0, \dots, n \}$$

Similarly, the the *n*-chains object for X_{\bullet} is

$$C_n X_{\bullet} := \{ x \in X_n \mid d_i x = * \text{ for } i = 1, \dots, n \}$$

Set $Z_0X_{\bullet} := X_0$. We denote the map $d_0|_{C_nX_{\bullet}} : C_nX_{\bullet} \to Z_{n-1}X_{\bullet}$ by $\mathbf{d}_0^{X_n}$.

2.2. Notation. For any non-negatively graded object T_* , we write ΩT_* for the graded object with $(\Omega T_*)_j := T_{j+1}$ for all $j \ge 0$. The notation is motivated by the natural isomorphism of graded groups $\pi_*\Omega X \cong \Omega(\pi_*X)$ for $X \in \mathcal{T}_*$.

2.3. **Definition.** Now assume that \mathcal{C} is a pointed model category of spaces, such as \mathcal{T}_* or \mathcal{G} , and X_{\bullet} is a Reedy fibrant simplicial object over \mathcal{C} – that is, for each $n \geq 1$, the universal face map $\delta_n : X_n \to M_n X_{\bullet}$ into the *n*-th matching object of X_{\bullet} is a fibration (see [Hi, 15.3]). The map $\mathbf{d}_0 = \mathbf{d}_0^{X_n}$ then fits into a fibration sequence in \mathcal{C} :

(2.4)
$$\cdots \Omega Z_n X_{\bullet} \to Z_{n+1} X_{\bullet} \xrightarrow{j_{n+1}^{X_{\bullet}}} C_{n+1} X_{\bullet} \xrightarrow{\mathbf{d}_0^{X_{n+1}}} Z_n X_{\bullet}$$

(see [DKSt2, Prop. 5.7]).

For each $n \geq 0$, the *n*-th natural homotopy group of the simplicial space X_{\bullet} , denoted by $\pi_n^{\natural} X_{\bullet} = \pi_{n,*}^{\natural} X_{\bullet}$, the cokernel of the map $(\mathbf{d}_0^{X_{n+1}})_{\#}$ (induced on homotopy groups by $\mathbf{d}_0^{X_{n+1}}$). Note that the cokernel of a maps of groups or pointed sets is generally just a pointed set.

We thus have an exact sequence of graded groups:

(2.5)
$$\pi_* C_{n+1} X_{\bullet} \xrightarrow{(\mathbf{d}_0^{\Lambda_{n+1}})_{\#}} \pi_* Z_n X_{\bullet} \xrightarrow{\hat{\vartheta}_n} \pi_{n,*}^{\natural} X_{\bullet} \to 0 .$$

Together the groups $(\pi_{n,k}^{\natural}X_{\bullet})_{n,k=0}^{\infty}$ constitute the *bigraded homotopy groups* of [DKSt2, §5.1].

2.6. Construction of the spiral sequence. Applying the functor π_* to the fibration sequence (2.4) yields a long exact sequence, with connecting homomorphism $\partial_{\#} : \Omega \pi_* Z_n X_{\bullet} = \pi_* \Omega Z_n X_{\bullet} \to \pi_* Z_{n+1} X_{\bullet}$. Note that the inclusion $\iota : C_n X_{\bullet} \hookrightarrow X_n$ induces an isomorphism $\iota_* : \pi_* C_n X_{\bullet} \cong C_n(\pi_* X_{\bullet})$ for each $n \ge 0$ (see [Bl3, Prop. 2.7]). From (2.5) we see that:

$$\Omega \pi_n^{\natural} X_{\bullet} = \Omega \operatorname{Coker} (\mathbf{d}_0^{X_{n+1}})_{\#} \cong \operatorname{Im} \partial_{\#} \cong \operatorname{Ker} (j_{n+1}^{X_{\bullet}})_{\#} \subseteq \pi_* Z_{n+1} X_{\bullet} ,$$

so we obtain a commutative diagram with exact rows and columns:

$$(2.7) \qquad \begin{array}{c} 0 & 0 & 0 \\ \downarrow & \downarrow & \downarrow \\ 0 \longrightarrow \operatorname{Ker} (j_n)_* \longleftrightarrow B_{n+1} X_{\bullet} \xrightarrow{(j_n)_*} B_{n+1} \pi_* X_{\bullet} \longrightarrow 0 \\ \downarrow & \downarrow & \downarrow \\ 0 \longrightarrow \Omega \pi_{n-1}^{\natural} X_{\bullet} \xrightarrow{\ell_{n-1}} \pi_* Z_n X_{\bullet} \xrightarrow{(j_n^{X_{\bullet}})_{\#}} Z_n \pi_* X_{\bullet} \longrightarrow \operatorname{Coker} h_n \longrightarrow 0 \\ \downarrow & \downarrow & \downarrow \\ 0 \longrightarrow \operatorname{Ker} h_n \longleftrightarrow \pi_n^{\natural} X_{\bullet} \xrightarrow{h_n} \pi_n \pi_* X_{\bullet} \longrightarrow \operatorname{Coker} h_n \longrightarrow 0 \\ \downarrow & \downarrow & \downarrow \\ 0 & 0 & 0 & 0 \end{array}$$

in which $B_{n+1}X_{\bullet} := \operatorname{Im}(\mathbf{d}_{0}^{X_{n+2}})_{\#} \subseteq \pi_{*}Z_{n}X_{\bullet}$ and $B_{n+1}\pi_{*}X_{n+2} := \operatorname{Im}\mathbf{d}_{0}^{\pi_{*}X_{n+2}}$ are the respective boundary objects. Note that the map $(j_{n}^{X_{\bullet}})_{\#} : \pi_{*}Z_{n}X_{\bullet} \to \pi_{*}C_{n}X_{\bullet}$ induced by the inclusion $j_{n}^{X_{\bullet}}$ of (2.4) above in fact factors through $Z_{n}\pi_{*}X_{\bullet}$, as indicated in the middle row of (2.7).

This defines the map of graded groups $h_n : \pi_n^{\natural} X_{\bullet} \to \pi_n(\pi_* X_{\bullet})$. Note that for n = 0the map $\hat{\iota}_{\star}$ is an isomorphism, so h_0 is, too. The map $s_n : \Omega \pi_{n-1}^{\natural} X_{\bullet} \to \pi_n^{\natural} X_{\bullet}$ is the composite of the inclusion $\ell_{n-1} : \operatorname{Ker}(j_n^{X_{\bullet}})_{\#} \hookrightarrow \pi_* Z_n X_{\bullet}$ with the quotient map $\hat{\vartheta}_n : \pi_* Z_n X_{\bullet} \to \pi_n^{\natural} X_{\bullet}$ of (2.5), using the natural identification of $\Omega \pi_n^{\natural} X_{\bullet}$ with $\operatorname{Ker}(j_{n+1}^{X_{\bullet}})_{\#}$.

The map $\partial_{n+2}: \pi_{n+2}\pi_*X_{\bullet} \to \Omega\pi_n^{\natural}X_{\bullet}$ is induced by the composite

(2.8)
$$Z_{n+2}\pi_*X_{\bullet} \subseteq C_{n+2}\pi_*X_{\bullet} \cong \pi_*C_{n+2}X_{\bullet} \xrightarrow{(\mathbf{d}_0^{\Lambda_{n+2}})_{\#}} \pi_*Z_{n+1}X_{\bullet} ,$$

which actually lands in $\operatorname{Ker}(j_{n+1}^{X_{\bullet}})_{\#}$ by the exactness of the long exact sequence for the fibration (2.4).

These maps s_n , h_n , and ∂_n fit into a spiral long exact sequence:

(2.9)
$$\dots \to \Omega \pi_{n-1}^{\natural} X_{\bullet} \xrightarrow{s_n} \pi_n^{\natural} X_{\bullet} \xrightarrow{h_n} \pi_n \pi_* X_{\bullet} \xrightarrow{\partial_n} \Omega \pi_{n-2}^{\natural} X_{\bullet}$$
$$\xrightarrow{s_{n-1}} \pi_{n-1}^{\natural} X_{\bullet} \to \dots \to \pi_0^{\natural} X_{\bullet} \xrightarrow{\cong} \pi_0 \pi_* X_{\bullet}$$

(cf. [DKSt2, 8.1]).

2.10. The spectral sequence of a simplicial space. For any simplicial space $X_{\bullet} \in s\mathcal{T}_{*}$ (or bisimplicial set), Bousfield and Friedlander showed that there is a first-quadrant spectral sequence of the form

(2.11)
$$E_{s,t}^2 = \pi_s \pi_t X_{\bullet} \Rightarrow \pi_{s+t} \| X_{\bullet} \| ,$$

where $||X_{\bullet}|| \in \mathcal{T}_*$ is the realization (or the diagonal, in the case of $X_{\bullet} \in s\mathcal{S}_*$). The spectral sequence is always defined, but X_{\bullet} must satisfy certain "Kan conditions" to guaranteee *convergence* – see [BF, Theorem B.5].

In [DKSt2, §8.4], Dwyer, Kan and Stover showed that (2.11) coincides up to sign, from the E^2 -term on, with the spectral sequence associated to the exact couple of (2.4), which we call the *spiral spectral sequence* for X_{\bullet} .

If we assume that each X_n is connected, by taking loops (or applying Kan's functor G, if $X_{\bullet} \in s\mathcal{S}_*$), we may replace X_{\bullet} by a bisimplicial group $GX_{\bullet} \in s\mathcal{G}$, and then (2.11) becomes the spectral sequence of [Q].

3. SIMPLICIAL STEMS AND TRUNCATED SPECTRAL SEQUENCES

As noted in §1.9, the E^2 -term of any of the above equivalent spectral sequences for a simplicial space X_{\bullet} is determined explicitly by the simplicial 0-stem of X_{\bullet} .

Our goal is to extend this description to the higher terms of the spectral sequence. For this purpose, fix $n \ge 0$, and consider a simplicial Postnikov *n*-stem \mathcal{Q}_{\bullet} (which need not be realizable as $\mathcal{P}[n]X_{\bullet}$ for some simplicial space X_{\bullet}). This is equivalent to having a collection of simplicial spaces $\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$ for each $k \ge 0$, equipped with maps as in (1.3), with $\pi_i \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = 0$ for i < k or i > n + k.

We assume that \mathcal{Q}_{\bullet} is *Reedy fibrant* in the sense that for each $k \geq 0$, the simplicial space $\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$ is Reedy fibrant. In this case, the "*n*-stem version" of the spiral long exact sequence is defined as follows: for each $t, i, k \geq 0$, set $\pi_{t,i}^{\natural(k,n)}\mathcal{Q}_{\bullet} := \pi_{t,i+k}^{\natural}\mathcal{Q}_{\bullet}^{n+k}\langle k \rangle$ and

(3.1)
$$\pi_i^{(k,n)} \mathcal{Q}_{\bullet} := \pi_{i+k} \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = \begin{cases} \pi_{i+k} \mathcal{Q}_{\bullet} & \text{if } 0 \le i \le n \\ 0 & \text{otherwise.} \end{cases}$$

Note that the (i + k)-th homotopy group $\pi_{i+k} \mathcal{Q}_{\bullet}$ of a Postnikov *n*-stem \mathcal{Q}_{\bullet} is well-defined, and coincides with $\pi_{i+k} X_{\bullet}$ for $0 \leq i \leq n$ when $\mathcal{Q}_{\bullet} = \mathcal{P}[n] X_{\bullet}$.

3.2. **Definition.** The collection of long exact sequences (2.9) for $\mathcal{Q}^{n+k}_{\bullet}\langle k \rangle$ (indexed by $k \geq 0$):

(3.3)
$$\dots \Omega \pi_{t-1,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{s_t^{(k,n)}} \pi_{t,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{h_t^{(k,n)}} \pi_t \pi_*^{(k,n)} \mathcal{Q}_{\bullet} \xrightarrow{\partial_t^{(k,n)}} \Omega \pi_{t-2,*}^{\natural(k,n)} \mathcal{Q}_{\bullet} \dots$$

together with the maps between adjacent k-windows induced by the map q in (1.6), will be called the *spiral n-system* of \mathcal{Q}_{\bullet} . When $\mathcal{Q}_{\bullet} = \mathcal{P}[n]X_{\bullet}$, we will refer to this simply as the spiral n-system of X_{\bullet} .

3.4. Remark. Using the exactness of (3.3), definition (3.1) implies that:

(3.5)
$$\pi_{t,i}^{\natural(k,n)} \mathcal{Q}_{\bullet} = \pi_{t,i}^{\natural} \mathcal{Q}_{\bullet}^{n+k} \langle k \rangle = 0 \quad \text{for } i > n ,$$

by induction on $t \ge 0$. Note, however, that while the groups $\pi_i^{(k,n)} \mathcal{Q}_{\bullet}$ are explicitly described by (3.1), the dependence of $\pi_{t,i}^{\natural(k,n)} \mathcal{Q}_{\bullet}$ on k and n requires more care.

3.6. The E^2 -term of the spectral sequence. The spiral 0-system of a simplicial Postnikov 0-stem \mathcal{Q}_{\bullet} reduces to a series of isomorphisms $h_t : \pi_{t,*}^{\natural(k,0)} \mathcal{Q}_{\bullet} \cong \pi_t \pi_*^{(k,0)} \mathcal{Q}_{\bullet}$ (for each $k \ge 0$). When $\mathcal{Q}_{\bullet} = \mathcal{P}[0]X_{\bullet}$ is the Postnikov 0-stem of a simplicial space X_{\bullet} , this allows us to identify the $E_{t,k}^2$ -term of the spiral spectral sequence for X_{\bullet} , which is:

$$\pi_t \pi_k X_{\bullet} = \pi_t \pi_k P^{0+k} X_{\bullet} \langle k \rangle = \pi_t \pi_k (P[0] X_{\bullet})_k = \pi_t \pi_*^{(k,0)} \mathcal{P}[0] X_{\bullet} = \pi_t \pi_*^{(k,0)} \mathcal{Q}_{\bullet},$$

with $\pi_{t,*}^{\natural(k,0)} \mathcal{Q}_{\bullet} = \pi_{t,*}^{\natural(k,0)} \mathcal{P}[0] X_{\bullet}.$

The first interesting case is the spiral 1-system, for which we have:

3.7. **Proposition.** The E^3 -term of the spiral spectral sequence for a simplicial space X_{\bullet} is determined by the spiral 1-system of X_{\bullet} . In fact, $d_{t,k}^2$ may be identified with $\partial_t^{(k,1)} : \pi_t \pi_k X_{\bullet} \to \Omega \pi_{t-2,0}^{\natural(k,1)} X_{\bullet}$, while $E_{t,k}^3$ is the image of the composite map

$$(3.8) \quad \pi_{t,0}^{\natural(k,1)} X_{\bullet} \xrightarrow{h_t^{(k,1)}} \pi_t \pi_k X_{\bullet} \cong \pi_t \pi_1^{(k-1,1)} X_{\bullet} \xrightarrow{h_t^{(k-1,1)}} \pi_{t,1}^{\natural(k-1,1)} X_{\bullet} \xrightarrow{s_{t+1}^{(k-1,1)}} \pi_{t+1,0}^{\natural(k-1,1)} X_{\bullet}$$

Observe that (3.8) involves maps from different windows of the spiral 1-system, implicitly identified using the isomorphisms induced by the map q in (1.6).

Proof. Because n = 1 throughout, we abbreviate $\pi_{t,i}^{\natural(k,1)}\mathcal{Q}_{\bullet}$ to $\pi_{t,i}^{\natural(k)}\mathcal{Q}_{\bullet}$, and $\pi_{i}^{(k,1)}\mathcal{Q}_{\bullet}$ to $\pi_{i}^{(k)}\mathcal{Q}_{\bullet}$, observing that $\pi_{i}^{(k)}\mathcal{Q}_{\bullet}$ is simply $\pi_{i+k}X_{\bullet}$ for i = 0, 1, and zero otherwise, since $\mathcal{Q}_{\bullet} = \mathcal{P}[1]X_{\bullet}$. Thus the spiral 1-system (3.3) is non-trivial for each $t \geq 1$ in (internal) degrees i = 0, 1 only, and we can write it in two rows:

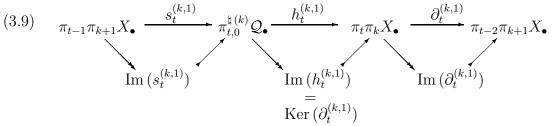
$$0 \longrightarrow \pi_{t,1}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{\cong} \pi_t \pi_1^{(k)} \mathcal{Q}_{\bullet} \longrightarrow 0 \longrightarrow \pi_{t-1,1}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{\cong} \pi_{t-1} \pi_1^{(k)} \mathcal{Q}_{\bullet}$$
$$\Omega \pi_{t-1,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{s_t} \pi_{t,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{h_t} \pi_t \pi_0^{(k)} \mathcal{Q}_{\bullet} \xrightarrow{\partial_t} \Omega \pi_{t-2,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{s_{t-1}} \pi_{t-1,0}^{\natural(k)} \mathcal{Q}_{\bullet} \xrightarrow{h_{t-1}} \pi_{t-1} \pi_0^{(k)} \mathcal{Q}_{\bullet}$$

Since $\mathcal{Q}_{\bullet} := \mathcal{P}[1]X_{\bullet}$ is the simplicial Postnikov 1-stem of X_{\bullet} , we actually have a collection of two-row long exact sequences, one for each k-window of $\mathcal{P}[1]X_{\bullet}$.

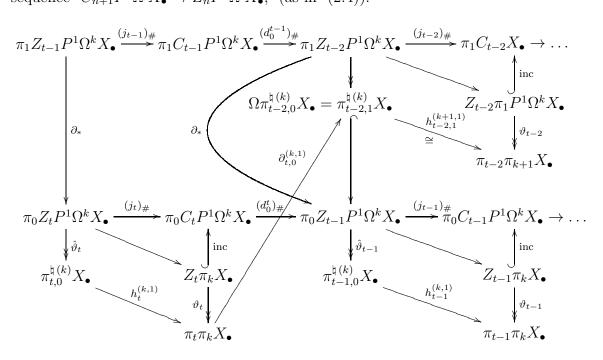
For each such k-window $\mathcal{P}_k[1]X_{\bullet}$, we can use the top row to identify

$$\Omega \pi_{t,0}^{\natural(k)} \mathcal{Q}_{\bullet} = \Omega \pi_{t,0}^{\natural} \mathcal{P}_{k}[1] X_{\bullet} = \pi_{t,1}^{\natural} \mathcal{P}_{k}[1] X_{\bullet} = \pi_{t,1}^{\natural(k)} \mathcal{Q}_{\bullet}$$

with $\pi_t \pi_1^{(k)} \mathcal{Q}_{\bullet} = \pi_t \pi_t^{(1)} \mathcal{P}_k[1] X_{\bullet} = \pi_t \pi_{k+1} X_{\bullet}$, so the bottom row reduces to:



Note that the following part of the E^1 -term of the exact couple for the fibration sequence $C_{n+1}P^1\Omega^i X_{\bullet} \to Z_n P^1\Omega^i X_{\bullet}$, (as in (2.4)):



is naturally isomorphic to the exact couple for $C_{n+1}\Omega^k X_{\bullet} \to Z_n\Omega^k X_{\bullet}$, since C_{n+1} and Z_n are limits, so they commute with P^1 , and then $\pi_1 P^1 Z_{t-1}\Omega^k X_{\bullet} \cong \pi_1 Z_{t-1}\Omega^k X_{\bullet}$, and so on. This does not imply, of course, that $\pi_{t,1}^{\natural(k)} X_{\bullet} \cong \pi_{t,k+1}^{\natural} X_{\bullet}$.

We therefore see from (2.7) and (2.8) that the differential $d_{t,k}^2 : E_{t,k}^2 \to E_{t-2,k+1}^2$ may be identified with: (3.10)

$$\pi_t \pi_k X_{\bullet} \cong \pi_t \pi_0^{(k,1)} X_{\bullet} \xrightarrow{\partial_{t,0}^{(k,1)}} \Omega \pi_{t-2,0}^{\natural(k)} X_{\bullet} = \pi_{t-2,1}^{\natural(k)} X_{\bullet} \stackrel{h_t}{\cong} \pi_{t-2} \pi_1^{(k,1)} X_{\bullet} \cong \pi_{t-2} \pi_{k+1} X_{\bullet}$$

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Now by definition, $E_{t,k}^3$ fits into a commutative diagram:

$$(3.11) \qquad \begin{array}{c} E_{t+2,k-1}^{2} \xrightarrow{d_{t+2,k-1}^{2}} E_{t,k}^{2} \xrightarrow{q} \operatorname{Coker}\left(d_{t+2,k-1}^{2}\right) \\ \downarrow & \downarrow & \downarrow \\ r \downarrow & \downarrow & \downarrow \\ \operatorname{Im}\left(d_{t+2,k-1}^{2}\right) \xrightarrow{\ell} \operatorname{Ker}\left(d_{t,k}^{2}\right) \xrightarrow{s} E_{t,k}^{3} \end{array}$$

with exact rows, ℓj and κ monic, and thus $E_{t,k}^3 \cong \text{Im} (q \circ j)$.

From the exactness of (3.3) (together with (3.9)) we see that $\operatorname{Coker}(d_{t+2,k-1}^2) = \operatorname{Coker}(\partial_{t+2}^{(k-1,1)}) = \operatorname{Im}(s_{t+1}^{(k-1,1)})$ and $\operatorname{Ker}(d_{t,k}^2) = \operatorname{Ker}(\partial_t^{(k,1)}) = \operatorname{Im}(h_t^{(k,1)})$, so $E_{t,k}^3 = \operatorname{Im}(q \circ j)$ is indeed the image of the map in (3.8).

3.12. **Definition.** An *r*-truncated spectral sequence is one defined up to and including the E^r -term, together with the differential $d^n : E^r_{t,i} \to E^r_{t-r-1,t+r}$, but without requiring that $d^r \circ d^r = 0$ (so the E^{r+1} -term is defined in terms of the *r*-truncated spectral sequence only if $d^r d^r = 0$).

The main example is the *n*-truncation of an (ordinary) spectral sequence (such as that of a simplicial space). In this case we do have $d^r \circ d^r = 0$, of course.

3.13. Corollary. Any Reedy fibrant simplicial Postnikov 1-stem has a well-defined 2-truncated spiral spectral sequence. Moreover, if $\mathcal{Q}_{\bullet} = \mathcal{P}[1]X_{\bullet}$ for some simplicial space X_{\bullet} , this 2-truncated spectral sequence coincides with the 2-truncation of the Bousfield-Friedlander spectral sequence for X_{\bullet} .

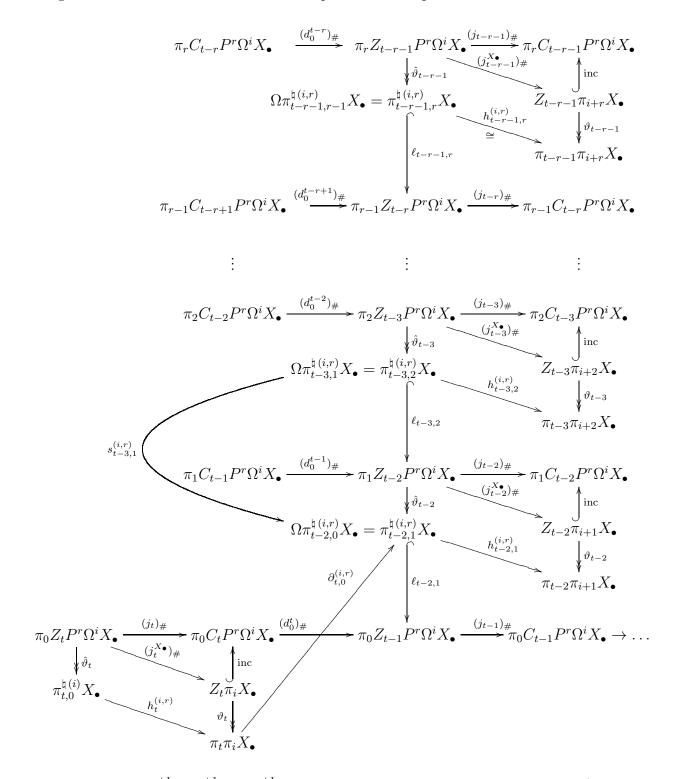
In general, we have a less explicit description of the higher terms in the spiral spectral sequence:

3.14. **Theorem.** For each $r \ge 0$, the E^{r+2} -term of the spiral spectral sequence for a simplicial space X_{\bullet} is determined by the spiral r-system of X_{\bullet} . Moreover, for any $\alpha \in E_{t,i}^{r+1}$, we have $d_{t,i}^{r+1}(\alpha) = \beta \in E_{t-r-1,i+r}^{r+1}$ if and only if α and β have representatives $\bar{a} \in \pi_t \pi_i X_{\bullet}$ and $\bar{b} \in \pi_{t-r-1} \pi_{i+r} X_{\bullet}$, respectively, such that:

$$(3.15) \qquad (s_{t-2,1}^{(i,r)}) \circ (s_{t-3,2}^{(i,r)}) \circ \cdots \circ (s_{t-r,r-1}^{(i,r)}) \circ (h_{t-r-1,r}^{(i,r)})^{-1}(\bar{b}) = \partial_{t,0}^{(i,r)}(\bar{a})$$

Proof. We naturally identify $\pi_{t,k}^{\natural(i,r)}X_{\bullet}$ with $\pi_{t,k+s}^{\natural(i,r-s)}X_{\bullet}$ for $k \geq s$, and similarly for the maps in (3.3), so the spiral (r-1)-system embeds in the spiral r-system (with an index shift).

Again we write out the E^1 -term of the spiral exact couple:



The differential $d_{t,i}^{r+1}: E_{t,i}^{r+1} \to E_{t-r-1,i+r}^{r+1}$ may then be described as a "relation" (cf. [BK3, §3.1]) in the usual way:

Given a class $\alpha \in E_{t,i}^{r+1}$, choose a representative for it $a \in E_{t,i}^1 = \pi_0 C_t P^r \Omega^i X_{\bullet}$. Since it is a cycle for $d_{t,i}^1 = (j_{t-1})_{\#} \circ (d_0^t)_{\#}$, it lies in $Z_t \pi_i X_{\bullet}$ and thus represents an element $\bar{a} \in \pi_t \pi_i X_{\bullet} = E_{t,i}^2$. From the exactness of the middle row of (2.7) we see that $(d_0^t)_{\#}(a) \in \operatorname{Ker}((j_{t-1})_{\#}) = \Omega \pi_{t-2,0}^{\natural(i,r)} X_{\bullet}$, and in fact $(d_0^t)_{\#}(a)$ represents $\partial_{t,0}^{(i,r)}(\bar{a})$. Since $\hat{\vartheta}_{t-2}$ is surjective, we can choose $e_{t-2} \in \pi_1 Z_{t-2} P^r \Omega^i X_{\bullet}$ mapping to $(d_0^t)_{\#}(a)$. Because $d_{t,i}^2(\bar{a}) = h_{t-2,1}^{(i,r)} \circ \partial_{t,0}^{(i,r)}(\bar{a})$, as in the proof of Proposition 3.7 (though $h_{t-2,1}^{(i,r)}$ need no longer be an isomorphism!), we see that it is represented by $(j_{t-2})_*(e_{t-2})$. If r = 1, we are done. Otherwise, we know that $d_{t,i}^2(\bar{a}) = 0$, so we can choose $e_{t-2} \in \operatorname{Ker}((j_{t-2})_{\#}) = \Omega \pi_{t-3,1}^{\natural(i,r)} X_{\bullet}$, and $d_{t,i}^3(\langle a \rangle)$ is represented by $h_{t-3,2}^{(i,r)}(e_{t-2}) = 0$, using exactness of the third column of of (2.7). Again this implies that $e_{t-2} \in \operatorname{Ker}((j_{t-2})_{\#}) = \Omega \pi_{t-3,1}^{\natural(i,r)} X_{\bullet}$, and $d_{t,i}^3(\langle a \rangle)$ is represented by $h_{t-3,2}^{(i,r)}(e_{t-2})$. Moreover, we see from (2.7) that $s_{t-3,1}^{(i,r)}(e_{t-2}) = \partial_{t,0}^{(i,r)}(\bar{a})$, using the identification $\Omega \pi_{t-2,0}^{\natural(i,r)} X_{\bullet} = \pi_{t-2,1}^{\natural(i,r)} X_{\bullet}$.

Choosing a lift to $e_{t-3} \in \pi_2 Z_{t-3} P^r \Omega^i X_{\bullet}$, we may assume that $(j_{t-3})_*(e_{t-3}) = 0$, so $e_{t-3} \in \Omega \pi_{t-4,2}^{\natural(i,r)} X_{\bullet}$ and $s_{t-4,2}^{(i,r)}(e_{t-3}) = e_{t-2}$. Continuing in this way, we finally reach $e_{t-r-1} \in \Omega \pi_{t-r-1,r-1}^{\natural(i,r)} X_{\bullet}$ with $s_{t-r-2,r}^{(i,r)}(e_{t-r-1}) = e_{t-r}$, and so on, and see that $d_{t,i}^{r+1}(\langle a \rangle)$ is represented by $h_{t-r-1,r}^{(i,r)}(e_{t-r-1})$. Since (as in the proof of Proposition 3.7) $h_{t-r-1,r}^{(i,r)}$ is an isomorphism, we deduce that $d_{t,i}^{r+1}(\alpha)$ is as in (3.15). \Box

3.16. Remark. From the exactness of (3.3) we have $\operatorname{Im}(\partial_{t,0}^{(i,r)}) = \operatorname{Ker}(s_{t-1,0}^{(i,r)})$, so the image of $d_{t,i}^{r+1}$ as described in (3.15) is $\operatorname{Ker}(\sigma_{t,i}^{r+1})$, where $\sigma_{t,i}^{r+1} := (s_{t-1,0}^{(i,r)}) \circ (s_{t-2,1}^{(i,r)}) \circ (s_{t-3,2}^{(i,r)}) \circ \cdots \circ (s_{t-r,r-1}^{(i,r)})$. Therefore, $E_{t+r-1,i+r}^{r+1}$ embeds naturally in $\operatorname{Im}(\sigma_{t,i}^{r+1})$.

3.17. Corollary. Every Reedy fibrant simplicial Postnikov r-stem has a well-defined (r + 1)-truncated spiral spectral sequence. If $\mathcal{Q}_{\bullet} = \mathcal{P}[r]X_{\bullet}$ for some simplicial space X_{\bullet} , this truncated spectral sequence coincides with the (r + 1)-truncation of the Bousfield-Friedlander spectral sequence for X_{\bullet} .

Thus the bigraded homomorphism

$$d^{r+1} \circ d^{r+1} : E^r_{t,i} \to E^{r+1}_{t-2r-2,i+2r} \qquad (t \ge 2r+2, i \ge 0)$$

serves as the first obstruction to the realizablity of the simplicial Postnikov *r*-stem \mathcal{Q}_{\bullet} by a simplicial space X_{\bullet} .

4. A COSIMPLICIAL VERSION

There are actually four variants of the above spectral sequence which we might consider, for a simplicial or cosimplicial object over simplicial or cosimplicial sets. The case of bicosimplicial sets is in principle strictly dual to that of bisimplicial sets, but because the category of cosimplicial *sets* has no (known) useful model category structure, we must restrict to bicosimplicial abelian groups – or equivalently, ordinary double complexes. Thus the main new case of interest is that of cosimplicial simplicial sets, or *cosimplicial spaces*. 4.1. The spectral sequence of a cosimplicial space. If $X^{\bullet} \in cS_*$ is a fibrant cosimplicial pointed space with total space Tot X^{\bullet} , there are various constructions for the homotopy spectral sequence of X^{\bullet} :

- (a) Using the tower of fibrations for $(\operatorname{Tot}_n X^{\bullet})_{n=0}^{\infty}$ (cf. [BK1, X,§6]).
- (b) Using "relations" on the normalized cochains $N^n \pi_t X^{\bullet} := \pi_t X^n \cap \text{Ker}(s^0) \cap \dots \cap \text{Ker}(s^{n-1})$ (cf. [BK3, §7]).
- (c) Using a cofibration sequence dualizing (2.4) (cf. [R, $\S 3$]).

Bousfield and Kan showed that the result is essentially unique (see [BK3]). Since the main ingredient needed for to define the spiral exact couple is the diagram (2.7), we use the first approach:

4.2. **Definition.** For any Reedy fibrant cosimplicial pointed space $X^{\bullet} \in cS_*$, consider the fibration sequence

(4.3)
$$F_n X^{\bullet} \xrightarrow{j_n} \operatorname{Tot}_n X^{\bullet} \xrightarrow{p_n} \operatorname{Tot}_{n-1} X^{\bullet}$$

where $\operatorname{Tot}_n X^{\bullet} := \operatorname{map}_{c\mathcal{S}_*}(\operatorname{sk}_n \Delta, X^{\bullet})$ and the fibration p_n is induced by the inclusion of cosimplicial spaces $\operatorname{sk}_{n-1} \Delta \hookrightarrow \operatorname{sk}_n \Delta$.

The cokernel of $(j_n)_{\#}: \pi_*F_nX^{\bullet} \hookrightarrow \pi_* \operatorname{Tot}_n X^{\bullet}$ is called the *n*-th *natural (graded)* cohomotopy group of X^{\bullet} , and denoted by $\pi_{h*}^n X^{\bullet}$.

4.4. Remark. We may identify $F_n X^{\bullet}$ with the looped normalized cochain object $\Omega^n N^n X^{\bullet}$, where

(4.5)
$$N^{n}X^{\bullet} := X^{n} \cap \operatorname{Ker}(s^{0}) \cap \ldots \cap \operatorname{Ker}(s^{n-1}),$$

and $\pi_* N^n X^{\bullet}$ with $N^n \pi_* X^{\bullet}$ (see [BK1, X, Proposition 6.3]).

Moreover, the composite

$$\pi_{*+1}\Omega^n N^n X^{\bullet} \cong \pi_{*+1} F_n X^{\bullet} \xrightarrow{(j_n)_{\#}} \pi_{*+1} \operatorname{Tot}_n X^{\bullet} \xrightarrow{\partial_n} \pi_* F_{n+1} X^{\bullet} \cong \pi_* \Omega^{n+1} N^{n+1} X^{\bullet}$$

(where ∂_n is the connecting homomorphism for the (4.3)), may then be identified with the differential

(4.6)
$$\delta^{n} := \sum_{i=0}^{n} (-1)^{i} d^{i} : N^{n} \pi_{*} X^{\bullet} \to N^{n+1} \pi_{*} X^{\bullet} ,$$

for the normalized cochain complex $N^*\pi_*X^{\bullet}$, so that

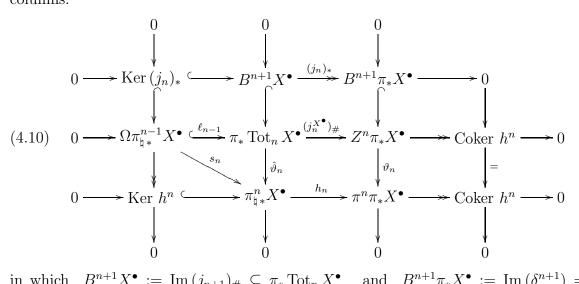
(4.7)
$$\operatorname{Ker}\left(\delta^{n}\right)/\operatorname{Coker}\left(\delta^{n+1}\right)\cong\pi^{n}\pi_{*}X^{\bullet}$$

(cf. [BK1, X, §7.2]).

4.8. **Proposition.** For any pointed cosimplicial space X^{\bullet} there is a natural spiral long exact sequence:

(4.9)
$$\dots \to \Omega \pi_{\natural*}^{n-1} X^{\bullet} \xrightarrow{s^n} \pi_{\natural*}^n X^{\bullet} \xrightarrow{h^n} \pi^n \pi_* X^{\bullet} \xrightarrow{\partial^n} \Omega \pi_{\natural*}^{n-2} X^{\bullet}$$
$$\xrightarrow{s^{n-1}} \pi_{\natural*}^{n-1} X^{\bullet} \to \dots \to \pi_{\natural*}^0 X^{\bullet} \xrightarrow{\cong} \pi^0 \pi_* X^{\bullet}$$

Proof. By choosing a fibrant replacement in the model category of cosimplicial simplicial sets defined in [BK1, X, §5], if necessary, we may assume that X^{\bullet} is Reedy fibrant. We then obtain a commutative diagram as in (2.7) with exact rows and columns:



in which $B^{n+1}X^{\bullet} := \operatorname{Im}(j_{n+1})_{\#} \subseteq \pi_* \operatorname{Tot}_n X^{\bullet}$ and $B^{n+1}\pi_* X^{\bullet} := \operatorname{Im}(\delta^{n+1}) = \operatorname{Im}(\partial_{n+1} \circ (j_{n+1})_{\#})$ are the respective coboundary objects.

The construction of the maps h^n , s^n , and ∂^n , and the proof of the exactness of (4.9), are then precisely as in §2.6.

4.11. **Definition.** The *spiral n-system* of a pointed cosimplicial space $X^{\bullet} \in cS_*$ is defined to be the collection of long exact sequences (4.9) for the Postnikov *n*-stem functor $\mathcal{P}[n]$ applied to X^{\bullet} , one for each *k*-window of $\mathcal{P}[n]X^{\bullet}$.

As in Definition 3.2, this may actually be defined for a cosimplicial Postnikov *n*-stem \mathcal{P}^{\bullet} , not necessarily realizable as $\mathcal{P}^{\bullet} = \mathcal{P}[n]X^{\bullet}$.

By construction, the homotopy spectral sequence of a (fibrant) cosimplicial space X^{\bullet} , obtained as in (4.1), is associated to the spiral exact couple (4.9). The proofs of Proposition 3.7 and Theorem 3.14 use only the description of the spiral exact couple for X_{\bullet} derived from (4.10), so by using (4.10) instead we can prove their analogues in the cosimplicial case, and show:

4.12. **Theorem.** The E_{r+2} -term of the homotopy spectral sequence for a cosimplicial space X^{\bullet} is determined by the spiral r-system of X^{\bullet} .

An analogue of Corollary 3.17 also holds, as well as:

4.13. **Proposition.** The differential $d_2^{t,i}: E_2^{t,i} \to E_2^{t+2,i+1}$ may be identified with $\partial_{(i,1)}^t: \pi^t \pi_i X^{\bullet} \to \Omega \pi_{\natural(i)}^{t+2,0} X^{\bullet}.$

4.14. **Examples.** As noted in the introduction, many commonly used spectral sequences arise as the spiral spectral sequence of an appropriate (co)simplicial space, so Theorems 3.14 and 4.12 allow us to extract their E^r - or E_r -terms from the appropriate spiral systems. For instance:

- (a) Segal's homology spectral sequence (cf. [Se]), the van Kampen spectral sequence (cf. [St]), and the Hurewicz spectral sequence (cf. [Bl1]) are constructed using bisimplicial sets.
- (b) The unstable Adams spectral sequences of [BCKQRS, BK2] and [BCM, §4], Rector's version of the Eilenberg-Moore spectral sequence (cf. [R]), and Anderson's generalization of the latter (cf. [An]) are all associated to cosimplicial spaces.
- (c) The usual construction of the stable Adams spectral sequence for $\pi^s_* X \otimes \mathbb{Z}/p$ (cf. [Ad, §3]) uses a tower of (co)fibrations, rather than a cosimplicial space, but when X is finite dimensional, it agrees in a range with the unstable version for $\Sigma^N X$, so Theorem 4.12 applies stably, too.

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