Values of coefficients of cyclotomic polynomials II

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Abstract

Let a(n, k) be the kth coefficient of the nth cyclotomic polynomial. In part I it was proved that $\{a(mn, k) \mid n \geq 1, k \geq 0\} = \mathbb{Z}$, in case m is a prime power. In this paper we show that the result also holds true in case m is an arbitrary positive integer.

1 Introduction

Let $\Phi_n(x) = \sum_{k=0}^{\varphi(n)} a(n,k) x^k$ be the *n*th cyclotomic polynomial. The rational function $1/\Phi_n(x)$ has a Taylor series around x=0 given by

$$\frac{1}{\Phi_n(x)} = \sum_{k=0}^{\infty} c(n,k)x^k,$$

where it can be shown that the c(n, k) are also integers. It turns out that usually the coefficients a(n, k) and c(n, k) are quite small in absolute value, for example for n < 105 it is well-known that $|a(n, k)| \le 1$ and for n < 561 we have $|c(n, k)| \le 1$ (by [3, Lemma 12]).

The purpose of this note is to show that although so often the coefficients a(n,k) and c(n,k) are small, they assume every integer value, even when we require n to be a multiple of an arbitrary natural number m.

Theorem 1 Let $m \ge 1$ be an integer. Put $S(m) = \{a(mn,k)|n \ge 1, k \ge 0\}$ and $R(m) = \{c(mn,k)|n \ge 1, k \ge 0\}$. Then $S(m) = \mathbb{Z}$ and $R(m) = \mathbb{Z}$.

Schur poved in 1931 (in a letter to E. Landau) that S(1) is not a finite set. In 1987 Suzuki [4] proved that $S(1) = \mathbb{Z}$. Recently the first two authors [2] proved that $S(p^e) = \mathbb{Z}$ with p^e a prime power.

The fact that every integer already occurs as a coefficient of $\Phi_{pqr}(x)$ with p, q and r odd primes is implicit in Bachman [1]. The third author established this result for the reciprocal cyclotomic polynomials $1/\Phi_{pqr}(x)$, see Moree [3]. This result implies that $R(1) = \mathbb{Z}$.

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2 Some lemmas

Since

$$x^n - 1 = \prod_{d|n} \Phi_d(x),\tag{1}$$

we have by the Möbius inversion formula, $\Phi_n(x) = \prod_{d|n} (x^d - 1)^{\mu(\frac{n}{d})}$, where μ denotes the Möbius function.

On using that $\sum_{d|n} \mu(d) = 0$ if n > 1, it is seen that, for n > 1,

$$\Phi_n(x) = \prod_{d|n} (x^d - 1)^{\mu(\frac{n}{d})} = (-1)^{\sum_{d|n} \mu(\frac{n}{d})} \prod_{d|n} (1 - x^d)^{\mu(\frac{n}{d})} = \prod_{d|n} (1 - x^d)^{\mu(\frac{n}{d})}.$$

(Thus for n > 1, the polynomial $\Phi_n(x)$ is self-reciprocal.)

Lemma 1 The coefficient c(n, k) is an integer whose values only depends on the congruence class of k modulo n.

Proof. Let us first consider

$$\Psi_n(x) := \frac{x^n - 1}{\Phi_n(x)}.$$

By (1) we have that $\Psi_n(x) = \prod_{d < n, d|n} \Phi_d(x)$ and thus its coefficients are integers. The degree of $\Psi_n(x)$ is $n - \varphi(n)$, with φ Euler's totient function. We infer that, for |x| < 1,

$$\frac{1}{\Phi_n(x)} = -\Psi_n(x)(1 + x^n + x^{2n} + \cdots)$$

Since $n > n - \varphi(n)$, the proof is completed.

Let $\kappa(m) = \prod_{p|m} p$ denote the squarefree kernel of m, that is the largest squarefree divisor of m.

Lemma 2 Let p be a prime. For $l, m \ge 1$ we have $S(p^l m) = S(pm)$ and $R(p^l m) = R(pm)$.

Corollary 1 We have $S(m) = S(\kappa(m))$ and $R(m) = R(\kappa(m))$.

Proof of Lemma 2. It is easy to prove, see e.g. Thangadurai [5], that if p is prime and p|n, then

$$\Phi_{pn}(x) = \Phi_n(x^p). \tag{2}$$

Using this we deduce that $\Phi_{p^2m}(x) = \Phi_{pm}(x^p)$ and thus a(pm,1) = 0 and hence $0 \in S(pm)$. On repeatedly applying (2) we can easily infer that $\Phi_{p^lmn}(x) = \Phi_{pmn}(x^{p^{l-1}})$ for any $l \geq 1$, so

$$a(p^{l}mn, k) = \begin{cases} a(pmn, \frac{k}{p^{l-1}}) & \text{if } p^{l-1}|k; \\ 0 & \text{otherwise.} \end{cases}$$

This together with $0 \in S(pm)$ and the trivial inclusion $S(p^l m) \subseteq S(pm)$ shows that $S(p^l m) = S(pm)$.

The proof that $R(p^l m) = R(pm)$ is completely analogous. Here we use that if p|n, then $\Psi_{pn}(x) = \Psi_n(x^p)$, which is immediate from (2) and the definition of $\Psi_n(x)$.

Lemma 3 (Quantitative form of Dirichlet's theorem.) Let a and m be coprime natural numbers and let $\pi(x; m, a)$ denote the number of primes $p \leq x$ that satisfy $p \equiv a \pmod{m}$. Then, as x tends to infinity,

$$\pi(x; m, a) \sim \frac{x}{\varphi(m) \log x}.$$

Corollary 2 Given $m, t \ge 1$ and any real number r > 1, there exists a constant $N_0(t, m, r)$ such that for every $n > N_0(t, m, r)$ the interval (n, rn) contains at least t primes $p \equiv 1 \pmod{m}$.

3 The proof of Theorem 1

We first prove that $S(m) = \mathbb{Z}$. Since $S(m) = S(\kappa(m))$, we may assume that m is squarefree. We may also assume that m > 1. Suppose that $n > N_0(t, m, \frac{15}{8})$. Then there exist primes p_1, p_2, \dots, p_t such that

$$n < p_1 < p_2 < \dots < p_t < \frac{15}{8}n \text{ and } p_j \equiv 1 \pmod{m}, \quad j = 1, 2, \dots, t.$$

Hence $p_t < 2p_1$.

Let q be any prime exceeding $2p_1$ and put

$$m_1 = \begin{cases} p_1 p_2 \cdots p_t q & \text{if } t \text{ is even;} \\ p_1 p_2 \cdots p_t & \text{otherwise.} \end{cases}$$

Note that m and m_1 are coprime and that $\mu(m_1) = -1$. Using these observations we conclude that

$$\Phi_{m_1m}(x) \equiv \prod_{d|m_1m, \ d<2p_1} (1-x^d)^{\mu(\frac{m_1m}{d})} \pmod{x^{2p_1}}$$

$$\equiv \prod_{d|m} (1-x^d)^{\mu(\frac{m}{d})\mu(m_1)} \prod_{j=1}^t (1-x^{p_j})^{\mu(\frac{m_1m}{p_j})} \pmod{x^{2p_1}}$$

$$\equiv \Phi_m(x)^{\mu(m_1)} \prod_{j=1}^t (1-x^{p_j})^{-\mu(m_1m)} \pmod{x^{2p_1}}.$$

$$\equiv \frac{1}{\Phi_m(x)} \prod_{j=1}^t (1-x^{p_j})^{\mu(m)} \pmod{x^{2p_1}}.$$

$$\equiv \frac{1}{\Phi_m(x)} \left(1-\mu(m)(x^{p_1}+\ldots+x^{p_t})\right) \pmod{x^{2p_1}}.$$
(3)

From (3) it follows that, if $p_t \leq k < 2p_1$,

$$a(m_1 m, k) = c(m, k) - \mu(m) \sum_{j=1}^{t} c(m, k - p_j).$$

By Lemma 1 we have $c(m, k - p_j) = c(m, k - 1)$. Thus we find that

$$a(m_1 m, k) = c(m, k) - \mu(m)tc(m, k - 1) \text{ with } p_t \le k < 2p_1.$$
 (4)

We consider two cases $(\mu(m) = 1$, respectively $\mu(m) = -1$).

Case 1. $\mu(m) = 1$. In this case m has at least two prime divisors. Let $q_1 < q_2$ be the smallest two prime divisors of m. Here we also require that $n \ge 8q_2$. This ensures that $p_t + q_2 < 2p_1$. Note that

$$\frac{1}{\Phi_m(x)} \equiv \frac{(1-x^{q_1})(1-x^{q_2})}{1-x} \pmod{x^{q_2+2}}$$

$$\equiv 1+x+x^2+\ldots+x^{q_1-1}-x^{q_2}-x^{q_2+1} \pmod{x^{q_2+2}}.$$
(5)

Thus c(m,k) = 1 if $k \equiv \beta \pmod{m}$ with $\beta \in \{1,2\}$ and c(m,k) = -1 if $k \equiv \beta \pmod{m}$ with $\beta \in \{q_2, q_2 + 1\}$. This in combination with (4) shows that $a(m_1m, p_t + 1) = 1 - t$ and $a(m_1m, p_t + q_2) = t - 1$. Since $\{1 - t, t - 1 \mid t \ge 1\} = \mathbb{Z}$ the result follows in this case.

Case 2. $\mu(m) = -1$. Here we notice that

$$\frac{1}{\Phi_m(x)} \equiv \begin{cases} 1 - x \pmod{x^3} & \text{if } 2 \nmid m; \\ 1 - x + x^2 \pmod{x^3} & \text{otherwise.} \end{cases}$$

Using this we find that $a(m_1m, p_t) = -1 + t$. Furthermore, $a(m_1m, p_t + 1) = -t$ in case m is odd and $a(m_1m, p_t + 1) = 1 - t$ otherwise. Since $\{-1 + t, -t \mid t \geq 1\} = \mathbb{Z}$ and $\{-1 + t, 1 - t \mid t \geq 1\} = \mathbb{Z}$, it follows that also $S(m) = \mathbb{Z}$ in this case.

It remains to show that $R(m) = \mathbb{Z}$. As before we may assume that m is squarefree (by Corollary 1) and that m > 1 (by Theorem 8 of Moree [3]).

Let q be any prime exceeding $2p_1$ and put

$$\overline{m}_1 = \begin{cases} p_1 p_2 \cdots p_t & \text{if } t \text{ is even;} \\ p_1 p_2 \cdots p_t q & \text{otherwise.} \end{cases}$$

Note that $\mu(\overline{m}_1) = 1$. Reasoning as in the derivation of (3) we obtain

$$\frac{1}{\Phi_{\bar{m}_1 m}(x)} \equiv \frac{1}{\Phi_m(x)} \Big(1 - \mu(m) (x^{p_1} + \ldots + x^{p_t}) \Big) \pmod{x^{2p_1}}$$

and from this $c(\bar{m}_1 m, k) = a(m_1 m, k)$ for $k < 2p_1$. Reasoning as in the proof of $S(m) = \mathbb{Z}$, the proof is then completed.

Remark 1. If one specializes the above proof to the case $m = p^e$, a proof a little easier than that given in part I [2] is obtained, since it does not involve a case distinction between m is odd and m is even as made in part I. This is a consequence of working modulo x^{2p_1} , rather than modulo x^{2p_1+1} .

Remark 2. The fraction 15/8 in the proof can be replaced by $2-\epsilon$, with $0 < \epsilon < 1$ arbitrary. One then requires that $n > N_0(t, m, 2 - \epsilon)$ and in case $\mu(m) = 1$ in addition that $n \ge q_2/\epsilon$.

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