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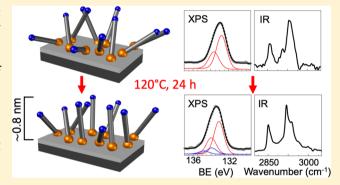
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Anchoring of Aminophosphonates on Titanium Oxide for Biomolecular Coupling

Published as part of The Journal of Physical Chemistry virtual special issue "Hai-Lung Dai Festschrift". Paolo Canepa,^{†,||} Grazia Gonella,*,^{‡,||} Giulia Pinto,[†] Vladimir Grachev,[‡] Maurizio Canepa,^{†,§} and Ornella Cavalleri*,†®

Supporting Information

ABSTRACT: Aminophosphonates were chosen for a first step functionalization of TiO2 grown on titanium, as they possess a phosphonate group on one end, that can be exploited for coupling with the oxide surface, and an amino group on the other end to enable further functionalization of the surface. The deposition of aminophosphonates with different chain lengths (6 and 12 methylenes) was investigated. Oxygen plasma treatment proved useful in increasing the number of -OH groups at the TiO2 surface, thus helping to anchor the aminophosphonates. By combining different surface-sensitive experimental techniques, we found the existence of a discontinuous monolayer where the molecules are covalently coupled to the TiO₂ surface. For



the molecules with longer chains, we find evidence of their covalent coupling to the surface through Ti-O-P bond formation, of the exposure of the amino groups at the outer surface, and of an increase in the order of the layer upon thermal annealing.

I. INTRODUCTION

Biomaterials are needed for the fabrication of medical devices where they are in direct contact with biological systems. Among all of the materials (metals, polymers, ceramics)² suitable to interact with body fluids, metals are largely used for orthopedic and dental implants thanks to their inertness and structural/mechanical properties.3 In the last decades, titanium and its alloys have been widely exploited for implants. This is due to their low Young's moduli which ensure a good mechanical coupling with the bone tissue and their resistance to corrosion in body fluids.⁴ A layer of native oxide readily forms on the surface of titanium, and while the bulk properties of the material are important for an effective mechanical coupling of the implant, its surface properties play a crucial role for the fate of the implant, since the surface is directly involved in the interaction between the material and the surrounding tissues. Complex biochemical reactions happen at the surface and are mainly due to the fact that the human body may recognize such surfaces as foreign. The human body thus reacts by encasing the foreign object in granulation/fibrotic tissues that will eventually lead to implant failure. Together with biocompatibility and osseointegration, another soughtafter requirement for the surface is to possess antimicrobial properties. In fact, one of the major causes of failure for implants is related to the risk of infections.6-

The colonization of the material surface by bacteria from air, blood, or tissues around the wound can be divided into two steps: an initial reversible interaction between the implant surface and the bacterial membrane, followed by a second irreversible stage of binding strengthening in which bacteria form a stable biofilm.9 Surface properties like roughness, charge, stiffness, hydrophobicity, hydrogen bonding capacity, and van der Waals forces affect the first stage of bacterial adhesion. 10,11 Since microorganism colonization depends on many factors, different approaches can be devised to prevent or eradicate bacterial adhesion.

One strategy to confer antibacterial properties to the implant surface employs the functionalization of the surfaces with proper organic or biological molecules. Different classes of molecules have been investigated from quaternary ammonium compounds, 12 to phosphonium salts, 13 guanidine polymers, 14 or polycations. 12 Polymeric coatings tuned to allow an overtime release of biocide compounds like antibiotics, 15,16 silver ions, or nanoparticles $^{17-19}$ have been tested as well. Promising molecules to be exploited to endow TiO₂ surfaces with antibacterial properties are antimicrobial peptides

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(AMPs).²⁰ Covalent coupling of AMPs to the oxide surface through the use of spacer molecules has the advantage of a robust anchoring and a good accessibility/availability of the active molecule. Different anchoring groups can be exploited to bind the spacer molecules to the oxide surface: chlorosilanes,² hydroxamic acid, ²² carboxylic acids, ²³ or phosphoric and phosphonic acids. ^{24–26} Each family of molecules presents a particular behavior: silanes have the tendency to form multilayers with no controllable polymerization, and carboxylic acids have quite unstable binding with the surface (especially compared to phosphonates^{27,28}). On the other hand, alkyl phosphates and phosphonates have been shown to form more stable and well-defined monolayers on oxide surfaces. In particular, compared to silane SAMs, phosphonate monolayers present higher hydrolytic stability in physiological ambient and do not require surface acid treatment to obtain high coverage^{29,30} which is especially important for medical device coatings. In particular, a phosphonic acid anchor group shows great potential for aluminum and other metals.31,32 Because of their characteristics, phosphonates with different alkyl chains have been chosen, in the present work, for the surface functionalization of TiO2 with the final goal to prepare surfaces that will provide amino groups available for further covalent coupling with AMPs.

While the work is mainly focused on the functionalization for biomedical applications, the fact that ${\rm TiO_2}$ is widely used in paints, 33 food, 34 cosmetics, 35 plastics, 36 photocatalysis, 37 sensors, 38 and solar cells 39,40 makes its functionalization of broader interest.

II. MATERIALS AND METHODS

Materials. Titanium foil 99.6+% purity (purchased from *Advent Research Materials Ltd.*) was used as substrate.

6-Aminohexylphosphonic acid hydrochloride salt ((NH_2) – $(CH_2)_6$ – $PO(OH)_2$ HCl, 95% pure, hereafter referred to as AC6P) (Scheme 1a) and 12-aminododecylphosphonic acid

Scheme 1. Structural Formulas of (a) AC6P and (b) AC12P

(a)
$$O$$
 (b) O HCl H_2N OH HCl H_2N OH HCl

hydrochloride salt $((NH_2)-(CH_2)_{12}-PO(OH)_2 HCl, 95\%$ pure, hereafter referred to as AC12P) (Scheme 1b) were purchased from *SiKÉMIA* (France) and used without further purification.

Absolute ethanol (99.8%, Sigma-Aldrich) and acetone (analytical grade, Fisher Chemicals) were used as received. Milli-Q water form Millipore (resistivity \geq 18 M Ω -cm) was used.

Substrate Preparation. Ti foil was cut in 1 cm \times 1 cm squares. The samples were polished using a polishing machine (Struers Labopol-5) with a grinding plane rotating at 200–400 rpm and SiC paper with P1000, P2500, and P4000 grain size (Fepa-P scale). All samples were ultrasonically rinsed sequentially for 5 min in Milli-Q water, 5 min in ethanol, and 20 min in acetone and finally rinsed again in Milli-Q water. The samples were dried in a N_2 flow. Ti readily oxidizes when exposed to air; therefore, a native oxide layer forms on the polished samples. Before molecular deposition, samples were treated in an oxygen plasma chamber for 15 min at a power of 100 W and subsequently quenched in Milli-Q water.

Aminophosphonate Deposition. Molecular deposition on TiO_2 was carried out in 0.2 mg/mL solutions at 60 °C for 24 h. AC6P was dissolved in Milli-Q water, while AC12P was dissolved in absolute ethanol due to its poor solubility in water. After molecular deposition, the samples were either rinsed in the corresponding solvent, dried with a stream of nitrogen and analyzed, or postannealed at 120 °C for 24 h in a N_2 atmosphere. After heat treatment, the samples were rinsed and dried as described above.

Atomic Force Microscopy (AFM). The sample morphology was analyzed with a Multimode/Nanoscope V system (Bruker). Tapping mode AFM measurements were performed using Si cantilevers (OMCL-AC160TS, Olympus) with a typical resonant frequency of ~300 kHz and a nominal tip radius of ~7 nm. Data were analyzed with Nanoscope v7.30.

To evaluate the thickness of the aminophosphonate layers, nanoshaving experiments were performed by locally applying an increased AFM tip load in order to selectively remove deposited molecules. Shaving experiments were performed in contact mode using a $\rm Si_3N_4$ cantilever (OMCLTR800PSA, Olympus) with an elastic constant of 0.58 N m⁻¹. Typical forces applied for shaving were of about 100 nN, while forces of about 0.1–0.3 nN were used for imaging.

X-ray Photoelectron Spectroscopy (XPS). The chemical analysis of the sample surfaces was carried out by high resolution XPS measurements using a PHI 5600 Multi-Technique apparatus. An X-ray Al-monochromatized source $(h\nu = 1486.6 \text{ eV})$ was used. Survey spectra were acquired using a pass-energy of 187.85 eV, while high resolution spectra were acquired with a pass-energy of 23.5 eV. The binding energy scale was calibrated by setting the C 1s of adventitious carbon at 284.8 eV. XPS spectra were analyzed with Igor software (Wavemetrics). Where not differently indicated, a Voigt function (30% Gaussian) was used for deconvolution. The asymmetric metallic behavior was modeled using the Doniach-Sunjic model.⁴⁴ The background due to inelastically scattered photoelectrons, calculated using the model proposed by Shirley, 45 was subtracted from raw data. Spin-orbit splitting values used for deconvolution were as follows: Ti 2p, 5.70 eV; P 2p, 0.86 eV.

Infrared Spectroscopy (IR). IR spectra in a grazing angle reflectance geometry (GI-FTIR), with an incidence angle of (9 \pm 1)° with respect to the substrate, were acquired using a Bruker Vertex 70 FTIR spectrometer in absorbance mode using p-polarized light. The sample compartment was purged with dry air to reduce the contribution from CO_2 and H_2O absorption bands. Spectra at three different points for each sample were collected to test homogeneity.

III. RESULTS AND DISCUSSION

Monolayer Formation. The plasma treatment promotes oxide growth, helps in removing adventitious species, and enriches the surface with -OH groups, as shown by the XPS analysis reported in the Supporting Information (see section S1 and Figure S1) and is thus used before the functionalization of the substrate with aminophosphonates.

As shown by typical AFM images of the oxidized Ti substrate before (Figure 1a, top) and after (Figure 1a, bottom) exposure to AC12P, molecular deposition results in the formation of molecular islands randomly distributed on the surface with a height in the range 0.6–1.0 nm. Further information on the film thickness has been obtained by nanoshaving experiments.

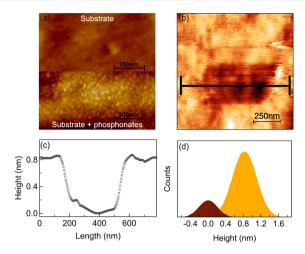


Figure 1. (a) AFM image of polished Ti (top) before and (bottom) after AC12P deposition (*z*-scale = 5 nm). (b) AFM image of AC12P nanoshaving, (c) height profile along the black line in (b), (d) height distribution of the AFM image in (b).

The AFM image in Figure 1b shows a darker area in the center where molecules have been removed under high load tip scans at 100–150 nN. The resulting naked substrate surface is surrounded by the AC12P layer. After shaving, in order to minimize the perturbation of the film by the AFM tip and get reliable height estimates, imaging was performed at very low applied force (0.1–0.2 nN).

The z-profile in Figure 1c along the black line in Figure 1b allows for an immediate evaluation of the AC12P layer thickness. However, for a statistically significant evaluation of the layer thickness, the height histogram of the image in Figure 1b can be analyzed and is shown in Figure 1d. The histogram is characterized by two peaks. The oxide surface and the film correspond to the bell-shaped peaks centered at 0 nm and at \sim 0.8 nm, respectively. The distance between the two peaks, fitted by Gaussian profiles, provides the thickness of the AC12P film. A statistical analysis of different patches on several samples provided an average value of the film thickness of 0.8 \pm 0.3 nm.

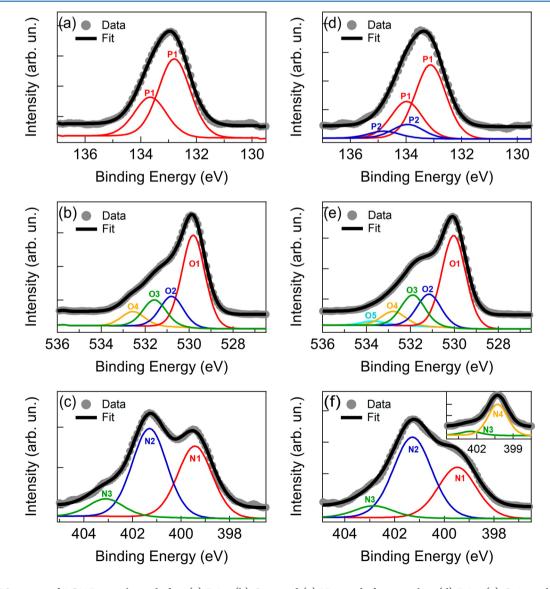


Figure 2. XPS spectra of AC12P monolayers before (a) P 2p, (b) O 1s, and (c) N 1s and after annealing (d) P 2p, (e) O 1s, and (f) N 1s. The fitted components are shifted downward for clarity.

This order of magnitude of the film thickness has been confirmed by spectroscopic ellipsometry measurements (see the Supporting Information, section SII). The variation of the ellipsometric variables Δ and Ψ after the AC12P deposition is fully compatible with the formation of a single layer with a refractive index typical of organic molecular films. ^{46–49}

Similar analysis was performed on AC6P films (see the Supporting Information, section SIII). AFM imaging (see the Supporting Information, section SIII) shows that molecular deposition results in a surface morphology change with the formation of irregular islands absent on the bare substrate. The images are however less defined compared to those of AC12P films. Similarly, SE spectra acquired after AC6P deposition are slightly shifted with respect to those of the bare substrate; however, the shift is too small to allow for a reliable quantitative evaluation of the film thickness. Therefore, we can only conclude that both AFM and SE qualitatively confirm AC6P deposition.

Anchoring. The surface chemical composition of AC6P and AC12P films has been investigated by XPS. Analysis of the Ti 2p spectra acquired after deposition of AC6P and AC12P did not show any appreciable change with respect to the Ti 2p spectra of bare substrates (see the Supporting Information, section SI). Molecular relevant XPS core level regions are reported in Figure 2, which shows the P 2p, O 1s, and N 1s spectra of the AC12P before (a-c) and after (d-f) annealing. Similar spectra were obtained for AC6P films (see the Supporting Information, section SIII). The P 2p core level region (Figure 2a) presents a doublet, P1 (each component with fwhm = 1.4 eV), with the main 2p3/2 component at 132.8 ± 0.2 eV. In comparison with the position of the P 2p component observed in the powder (see the Supporting Information, section SIV, Figure S4a), a slight shift can be observed confirming that the aminophosphonate binding state has changed (from unbound to bound). The assignment of this component is nevertheless complicated by the proximity of the binding energies of the single (PO₂(OH)⁻) and double (PO₃²⁻) coordination predicted in some works.⁵

The O 1s region reported in Figure 2b can be deconvoluted with four Voigt functions with fwhm = 1.2 eV each. The signal of the TiO₂ substrate, O1, is clearly visible at a binding energy of 529.8 \pm 0.2 eV. 52,53 Binding of the phosphonate to the substrate is confirmed by the O2 component at 530.8 \pm 0.2 eV which can be assigned to the Ti-O-P. 26,28,54-56 The O3 component at 531.6 \pm 0.2 eV has been attributed to some P-OH that has not been covalently bound to the surface but is nevertheless coordinated to the hydroxyl groups present on the substrate surface. 57 The fourth component O4 at 532.6 \pm 0.2 eV is mainly due to the unbound P=O of the phosphonates^{53,58} and some adventitious —COO⁻. ^{52,59} The Ti-O-P bridge between the molecules and the substrate is derived from the interaction between the P-OH groups of the amino-phosphonates with the —OH groups of the plasma treated substrate through the condensation of water. Considering the energy position of the P—OH group obtained from the aminophosphonate powder (O2 at 531.2 ± 0.2 eV; see the Supporting Information, section SIV, Figure S4b), a small shift to lower energy is observed, partially in agreement with the literature reporting a shift of ~1 eV for any proton removed from the phosphonate head upon adsorption.

The N 1s spectrum reported in Figure 2c presents three components (fwhm = 1.7 eV). The two peaks, N1 and N2, at binding energies of 399.4 \pm 0.2 and 401.3 \pm 0.2 eV can be

assigned to amine nitrogen $(-NH_2)$ and protonated amine nitrogen $(-NH_3^+)$, $^{59-61}$ respectively. The third peak, N3, at 403.1 ± 0.2 eV was already observed on plasma treated substrates (see the Supporting Information, section SI, Figure S1f) and can be assigned to adventitious nitrogen that has interacted with oxygen of the oxide layer. The presence, in the deposited film, of the NH₂ signal, together with the NH₃⁺ signal observed on the AC12P powder (see Supporting Information, section SIV, Figure S4c), can be explained by the electrostatic repulsion between charged NH₃⁺ groups. Due to electrostatic repulsion, a fraction of protonated amino groups deprotonates as already reported for SAMs functionalized with ionizable groups (i.e., -COOH).

Covalent phosphonate binding with a TiO₂ substrate is expected to be strengthened with a post deposition annealing. This reinforcement is due to a larger number of oxygen bridges between the phosphorus of the aminophosphonates and the substrate. This effect can be investigated by analyzing the P 2p and O 1s core level regions.

The P 2p core level region of annealed samples is reported in Figure 2d; after annealing, two doublets are necessary for the signal deconvolution. The more intense doublet, P1, with the main 2p3/2 component at 133.2 \pm 0.2 eV is still related to the presence of monodentate $(PO_2(OH)^-)$ and bidentate $(PO_3^{\,2-})$ coordination. The second doublet, P2, with the main 2p3/2 component at 134.0 \pm 0.2 eV can be assigned to the tridentate coordination between the aminophosphonate and the substrate. This new type of coordination is also the key to interpreting the shifts of all of the P-associated components in the O 1s spectrum.

Figure 2e reports the high resolution XPS spectrum of the O 1s core level region of an annealed (120 °C for 24 h in nitrogen) sample, showing a noticeable shoulder at a binding energy higher than the ${\rm TiO_2}$ component (O 1s at 530.0 \pm 0.2 eV), especially in comparison with the preannealing spectrum in Figure 2b. The O2 and O3 components, related to ${\rm Ti-O-P}$ and the P—OH—Ti, respectively, have grown in intensity, and also, their binding energies slightly increased, 531.2 \pm 0.2 and 531.9 \pm 0.2 eV, respectively. The O4 component at 532.8 \pm 0.2 eV is mainly due to the presence of —COO⁻ and some residual P=O (as before annealing). The presence of a new component, O5, at higher energy (533.7 \pm 0.2 eV) represents adventitious —COOH.

The nitrogen spectrum reported in Figure 2f presents again three components at binding energies which agree, within the experimental uncertainties, with those observed before annealing. Their fwhm (1.8 eV) is slightly larger compared to the fwhm before annealing. The NH₂/NH₃⁺ ratio showed small variations considering the population of analyzed samples. This variation is probably associated with a slight difference in the surface organization of the molecules, that influences the interaction between the amine groups. It is interesting to note that annealing experiments performed in a noncontrolled atmosphere (i.e., in air) showed a different shape of the nitrogen peak (inset of Figure 2f). In this case, the N 1s signal was deconvoluted with two peaks, a main one, N4, at a binding energy of 400.3 ± 0.2 eV and a weak one, N3, at 403.2 ± 0.2 eV, already present before annealing. A very similar N 1s signal was observed on aminophosphonate films aged in ambient at room temperature for 12 months. The N4 component can be assigned to formation of $-N=C=O_{r}^{65,66}$ resulting from amine groups of the aminophosphonates that underwent a reaction with CO2. This observation further

Table 1. Binding Energies of the Spectral Components Used to Deconvolve the XPS Spectra

	O 1s					P 2p		N 1s		
	O ₁	O ₂	O ₃	O ₄	O ₅	P_1	P_2	N ₁	N_2	N_3
powder			531.2	532.7		133.2			401.4	
deposition	529.8	530.8	531.6	532.6		132.8		399.4	401.3	403.1
annealing in N_2	530.0	531.2	531.9	532.8	533.7	133.2	134.0	399.6	401.4	403.2

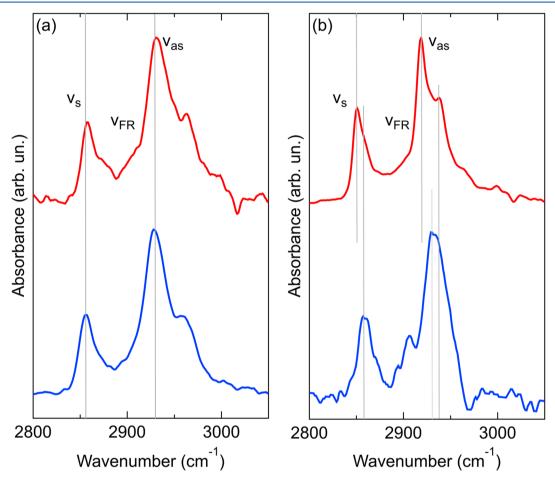


Figure 3. GIR-FTIR spectra of (a) AC6P and (b) AC12P monolayers on TiO_2 in the CH stretching region for (blue curves) nonannealed and (red curves) annealed samples. The spectra are offset for clarity.

supports anchoring of the aminophosphonates to ${\rm TiO_2}$ through the phosphonate group while leaving the amino groups free to react. In fact, aminophosphonates could, in principle, also bind to ${\rm TiO_2}$ through the amino group even though this is energetically unfavorable. The formation of — N=C=O upon annealing in air indicates that attention should be paid to perform annealing in an inert atmosphere in order to preserve unreacted amino groups, available for further coupling.

Table 1 sums up the binding energy positions of the spectral components used in this work to deconvolute the XPS spectra.

Monolayer Ordering. In order to address the order of the formed monolayers, we performed GIR-FTIR in the 2800–3100 cm⁻¹ spectral range, the fingerprint region for C–H stretching modes.

Parts a and b of Figure 3 show the spectra of AC6P and AC12P on TiO_2 (blue) before and (red) after annealing. For the spectra of both samples before annealing (blue), the peak at ~2857 cm⁻¹ is assigned to the ν_s (CH₂) mode while that at ~2929 cm⁻¹ to the ν_{as} (CH₂) mode; the latter presents a

shoulder on the red side that is assigned to ν_{FR} (CH₂). The position of the ν_{as} (CH₂) peak is closer to that reported for liquid (disordered) alkanes than for crystalline (ordered) ones, 68,69 indicating that nonannealed AC6P and AC12P monolayers are disordered. For AC6P, the positions and widths of the peaks in Figure 3a are similar for (blue) nonannealed and (red) annealed monolayers, suggesting that annealing has negligible effects on these films. On the other hand, annealing has clearly a major effect on the AC12P monolayer (Figure 3b), where a new ν_{as} (CH₂) contribution at ~2919 cm⁻¹ is clearly observed in the (red) annealed sample that is narrower than the peak observed at \sim 2929 cm⁻¹ for the (blue) nonannealed sample. Narrow peaks and lower wavenumbers are characteristic of more ordered monolayers. 70 A shift to lower wavenumbers of the ν_s (CH₂) mode (~2850 cm⁻¹) is also observed. It is worth pointing out that the $\nu_{\rm s}$ (CH₂) mode for AC12P annealed (red curve in Figure 3b) is still quite broad and could be the sum of two contributions. The spectrum can then be explained by the presence of two populations of molecules, where the new population, at lower wavenumbers, is more ordered and is produced through annealing.

IV. CONCLUSIONS

We investigated the self-assembly of aminophosphonates on plasma treated polished Ti surfaces as a first step toward the surface immobilization of antimicrobial peptides. Oxygen plasma treatment was exploited to increase the thickness of the substrate native oxide layer and to enrich its surface in –OH groups, useful for organophosphonate anchoring. Two aminophosphonates with different lengths of the alkyl chain, AC6P and AC12P, were used. In both cases, a discontinuous monolayer was formed on the TiO₂ surface, even though longer chain molecules resulted in more ordered layers, especially after thermal annealing.

For AC12P, the combination of AFM imaging and shaving with SE analysis indicates a layer thickness of ~0.8 nm, in agreement with a single molecular layer.

XPS analysis indicates for both AC6P and AC12P layers the presence of the expected atomic signals. The deconvolution of the P 2p and O 1s signals indicates the formation of P-O-Ti bonds in agreement with mono- and bidentate phosphonate binding modes. The evolution of the P 2p signal upon thermal annealing agrees with the formation of some tridentate bonds. IR analysis indicates that in the case of AC12P thermal annealing also influences the molecular packing, improving the order of the alkyl chains. Further annealing experiments performed in air indicate that amino groups can react with oxygen species. To preserve unreacted amino groups to be exploited for further functionalization, annealing therefore has to be performed in an inert atmosphere. Indeed, preliminary experiments successfully exploited unreacted amino groups to anchor single amino acids to AC12P. Future experiments will focus on the covalent coupling of AMP to confer antimicrobial properties to the TiO2 surface.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.jpcc.9b04077.

Information regarding the chemical characterization of the substrate, AC6P films, and aminophosphonate powders by XPS and the SE data of AC12P film (PDF)

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Author Contributions

P.C., G.G.: Equal contribution.

Notes

The authors declare no competing financial interest.

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