

## Reassessment of Steady State Operation in ITER with NBI and EC Heating and Current Drive

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**Introduction.** Demonstration of Steady-State Operation (SSO) with the current fully driven non inductively with the fusion gain  $Q = P_{\text{fus}}/P_{\text{aux}} \geq 5$  is one of the goals of the ITER project [1]. The parametric Operational Space (OS) for SSO in ITER has been reassessed by global analysis using inversed transport task approach [2] taking into account the baseline design of the Neutral Beam Injection (NBI) and EC H&CD systems with  $P_{\text{NBI}} = 33$  MW,  $P_{\text{EC}} = 20$  MW and their suggested upgrades,  $P_{\text{NBI}} = 49.5$  MW, and  $P_{\text{EC}} = 30$  MW. The analysis has been carried out for so called Type-II SS scenarios, i.e. the SSO with NBI and EC for heating and current drive H&CD w/o the LHCD, ICCD and ITBs [3]. The optimal Operational Points (OPs) have been chosen for detailed 1.5-D transport and further MHD stability analysis to demonstrate the  $Q = 5$  SS goal in ITER, where the bootstrap current,  $I_{\text{bs}}$ , an externally driven current,  $I_{\text{cd}}$ , fully replace the inductive current,  $f_{\text{NI}} = (I_{\text{bs}} + I_{\text{cd}})/I_{\text{p}} = 1$ . The possibility of the MHD stability control in chosen SSO scenarios by variation of the NBI and ECH&CD is demonstrated. The feasibility of such scenarios from the point of view of theory, experiments and ITER design limits is discussed.

**Global operational SS OS.** To derive the SS OS the inversed transport task approach [2] is used. According to this approach the energy confinement required for ITER SSO with  $f_{\text{NI}} = 1$ ,  $Q = 5$  is derived as a function of controllable plasma parameters, density,  $n$ , and plasma current,  $I_{\text{p}}$ , for a chosen set of the H&CD options. It uses known dependencies of plasma parameters on density and current,  $I_{\text{bs}} = \alpha_{\text{bs}}(T, n_0/\langle n \rangle, q_{\text{min}}) n/I_{\text{p}}$ ,  $I_{\text{CD},k} = P_k \alpha_k(T, Z_{\text{eff}})/n$ ,  $k = \text{NB, EC}$ , and plasma power balance,  $1.5 \text{ nT V} = H \tau_{\text{E},y2,98}(n, P_{\text{sol}}, I_{\text{p}}) P_{\text{sol}}$ , [4], with  $P_{\text{fus}} \sim n^2 \alpha_{\text{fus}}(T, Z_{\text{eff}})$ ,  $Q = P_{\text{fus}}/\Sigma P_k$ ,  $P_{\text{sol}} = \Sigma P_k(1 + Q) - P_{\text{rad}}(n, T, Z_{\text{eff}})$ . Functions  $\alpha_{\text{bs}}$ ,  $\alpha_k$ ,  $\alpha_{\text{fus}}$ ,  $P_{\text{rad}}$  are derived from 1.5D transport simulations on the basis of the Automated System for Transport Analysis (ASTRA) [5], then for the chosen set:  $f_{\text{NI}} = 1$ ,  $Q = 5$ ,  $n_0/\langle n \rangle = 1.3$ ,  $q_{\text{min}} \sim 1$ ,  $Z_{\text{eff}} \sim 2$ , the inversed transport task is solved numerically for each set of H&CD options,  $P_k$ . The derived functions for confinement,

$H = H_{\text{IQP}}(I_p, f_{\text{NI}}, Q, \Sigma P_k)$ , and density,  $n = n_{\text{IQP}}(I_p, f_{\text{NI}}, Q, \Sigma P_k)$ , are displayed in the figure 1 together with the normalized beta,  $\beta_N = \beta \text{ aB}/I_p[\%, \text{mT}/\text{MA}]$ ,  $P_{\text{sol}}$ , and maximum load on the divertor plates,  $q_{\text{pk}}/10 \text{ MW m}^2$ , extrapolated from the scaling [6] for 2 options of H&CD schemes. The operational points A1 ( $I_p=9 \text{ MA}$ ) for the baseline scheme  $P_{\text{NBI}} = 33 \text{ MW}$ ,  $P_{\text{EC}} = 20 \text{ MW}$ , and A2 ( $I_p=10 \text{ MA}$ ) for an upgrade scheme,  $P_{\text{NBI}} = 49.5 \text{ MW}$ ,  $P_{\text{EC}} = 30 \text{ MW}$ , are chosen for detailed 1.5D transport analyses and further MHD stability analysis. Note that for  $I_p > 11 \text{ MA}$ ,  $q_{\text{min}} < 1$  and sawteeth become unstable at least in the frame of ideal MHD.

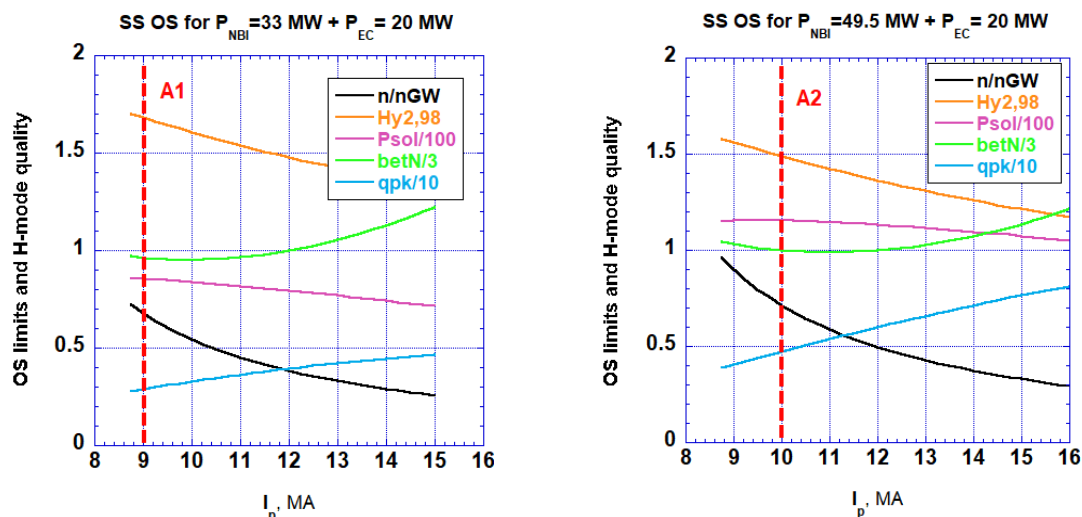


Figure 1. Steady-state operational space for  $P_{\text{aux}} = 53 \text{ MW}$  (left) and  $P_{\text{aux}} = 70 \text{ MW}$  (right)

**1.5D Transport simulations.** The 1.5D transport simulations are carried out by ASTRA for the electron and helium densities,  $n_e$ ,  $n_{\text{He}}$ , ion and electron temperatures,  $T_i$ ,  $T_e$ , and current density,  $j$ , with the SOLPS boundary conditions with controllable divertor detachment [6] and EPED1+SOLPS pedestal [7], with the particle diffusivity and pinch velocity,  $D = (\chi_i + \chi_e)/10$ ,  $V = 0.3 D x/a$ . The heat diffusivities,  $\chi_i = \chi_e$ , are fitted to provide the SSO,  $f_{\text{NI}} = 1$ . The fuelling is fitted to provide the  $Q=5$  SS OPs densities A1, A2,  $n \sim 0.7 n_{\text{GW}}$ . The impurities are prescribed as  $n_{\text{Be}}/n_e = 2\%$ ,  $n_{\text{Ne}}/n_e(a) \sim 0.6-0.7$  ( $Z_{\text{eff}} \sim 1.7$ ). Following the ECR H&CD design we assume the sharing of the power between the Equatorial and Upper Launcher (EL, UL) as,  $P_{\text{EL}}/P_{\text{UL}} = 2$ , with the innermost UL fixed aiming,  $x_{\text{ULEC}} = 0.43$ , and with the EL ECRH&CD location steered in the range  $x_{\text{ULEC}} = 0.35-0.5$  for plasma safety factor and pressure profile control,  $q(x)$ ,  $p(x)$ . For the NBI H&CD we assume for the baseline one NBI of 16.5 MW with the innermost aiming  $Z_{\text{in}} = 0.156 \text{ m}$ , and one NBI of 16.5 MW with the outermost aiming  $Z_{\text{out}} = -0.417 \text{ m}$ . For the upgrade option we assumed the third NBI of 16.5 MW with varying aiming  $Z_{\text{out}} < Z < Z_{\text{in}}$ .

**Control of MHD stability of SS operation.** The MHD stability analysis is carried out using consistent 1D profiles and 2D equilibria simulated by ASTRA for chosen operational points.

The results of MHD analysis for the reference H&CD geometry with ECH&CD at  $x=0.43$  for the cases A1, A2, carried out with the code KINX [8], are shown in the figure 2. The  $\beta_N$  is within the MHD stability limits meanwhile the modes with the toroidal numbers  $n=2$  (A1) and  $n=3, 4$  (A2) are just marginally stable.

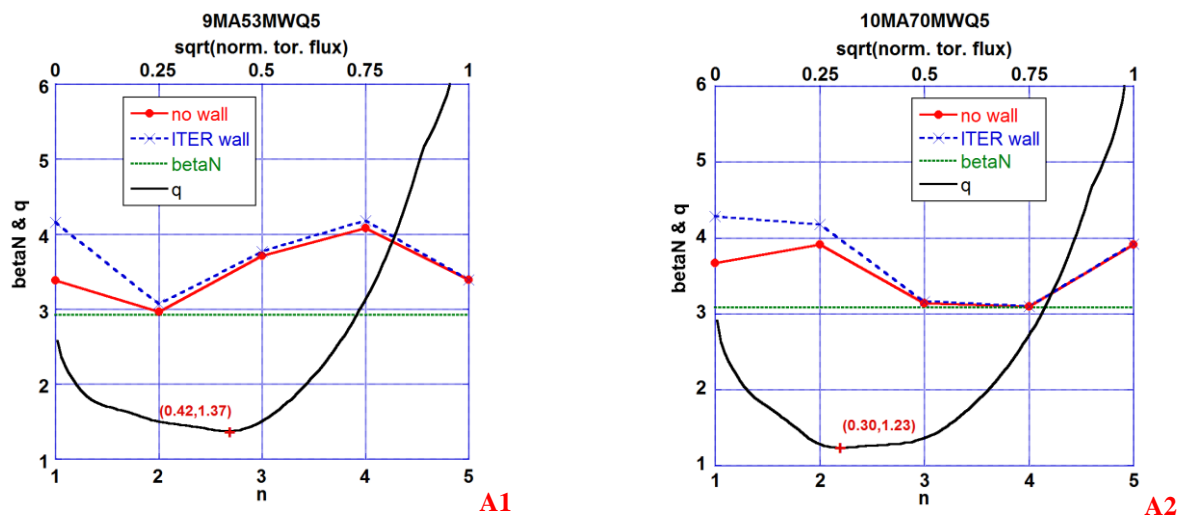


Figure 2. MHD stability analysis for OP (left) at OP A1 ( $x_{EC} = 0.43$ , 16.5 MW NBI at  $Z_1=0.156$  m, 16.5 MW at  $Z_2 = -0.417$  m) and OP at A2 (right) A2 ( $x_{EC} = 0.43$ , 16.5 MW NBI at  $Z_1=0.156$  m, 33 MW at  $Z_2=Z_3 = -0.417$  m)

**Improvement of stability by EC and NBI variation.** For the case A2 variation of the deposition of the ECRH&CD by steering of the equatorial launcher (figure 3) for the reference NBI geometry helps to provide the operation comfortably far from the MHD stability limits.

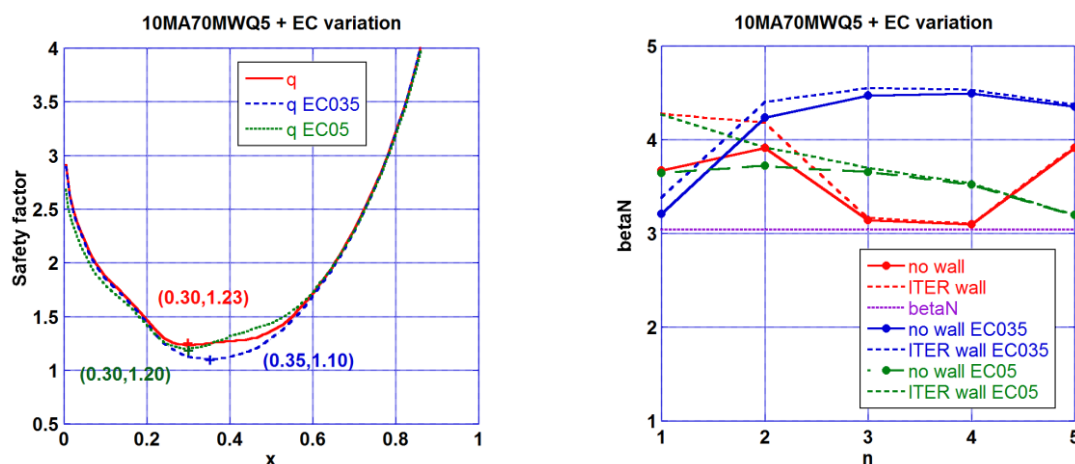


Figure 3. Stability control by ECRH&CD variation for the operational point A2.

Variation of the 3<sup>rd</sup> NBI modifies the ECRH&CD optimization (figure 4). In particular for  $Z_3 = 0.056$  m the only stable OP corresponds to  $x_{ECEL}=0.5$ . For  $Z_3 = Z_{in} = 0.156$  m the safety factor drops below 1 for all variations of the ECCD making such configuration unstable to sawteeth. The  $n=1$  limiting  $\beta_N$  drop for the EC035 case is caused by infernal mode destabilization due to wide low shear region near  $q_{min}=1.028$ .

**Discussion and conclusions.** The ITER goal  $Q=5$  SS Operation in ITER looks possible w/o

ITBs, LHCD and ICCD, with 33-49.5 MW of NBI + 20-30 MW of ECRH&CD in the range  $I_p = 9-10$  MA with high  $l_{i3} \sim 0.8-0.95$ ,  $\beta_N \sim 3$ ,  $n/n_{GW} \sim 0.7-0.8$ , provided a high confinement can be reached:  $H_{y2.98} \sim 1.4-1.6$ . Control of profiles of plasma pressure and current density by ECH&CD and NBI variations foreseen by ITER design enables keeping operation within the MHD stability limits. The temperature and density scale lengths,  $R/L_T \sim 5-6$ ,  $R/L_n \sim 1.3$ ,

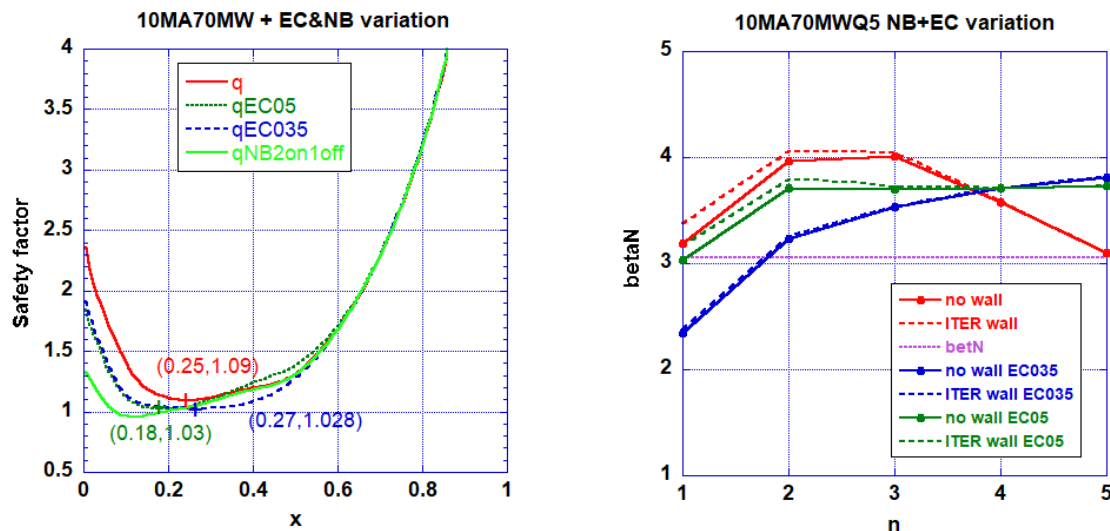


Figure 4. Stability control by NBI and ECRH&CD variation for the operational point A2

sufficient to achieve the confinement required for SSO in ITER are in good agreement with first principle transport and DIII-D experiments [9]. The SSO in ITER is within the technical design limitations for CS/PF magnets' system [10] and extrapolated divertor power loads [6]. The reduced requirements,  $Q = 4$ ,  $f_{NI} = 0.9$ , make an operation comfortably far from the MHD stability limits. To strengthen the basis for this scenario in ITER dedicated experiments are required in lower SN configuration with high density  $n > 0.5 n_{GW}$ , and  $q_0 \sim 2-3$ . The SOLPS simulations are required for high power/low current ( $q > 4$ ) operation with tungsten divertor to refine the core-edge integration requirements of this scenario.

**Disclaimer: The views and opinions expressed herein do not necessarily reflect those of the ITER Organization**

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