



## Global Carbon Budget 2019

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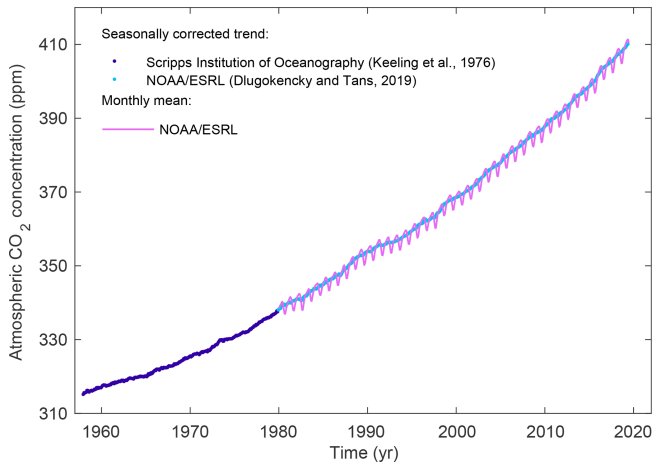
**Abstract.** Accurate assessment of anthropogenic carbon dioxide ( $\text{CO}_2$ ) emissions and their redistribution among the atmosphere, ocean, and terrestrial biosphere – the “global carbon budget” – is important to better understand the global carbon cycle, support the development of climate policies, and project future climate change. Here we describe data sets and methodology to quantify the five major components of the global carbon budget and their uncertainties. Fossil  $\text{CO}_2$  emissions ( $E_{\text{FF}}$ ) are based on energy statistics and cement production data, while emissions from land use change ( $E_{\text{LUC}}$ ), mainly deforestation, are based on land use and land use change data and bookkeeping models. Atmospheric  $\text{CO}_2$  concentration is measured directly and its growth rate ( $G_{\text{ATM}}$ ) is computed from the annual changes in concentration. The ocean  $\text{CO}_2$  sink ( $S_{\text{OCEAN}}$ ) and terrestrial  $\text{CO}_2$  sink ( $S_{\text{LAND}}$ ) are estimated with global process models constrained by observations. The resulting carbon budget imbalance ( $B_{\text{IM}}$ ), the difference between the estimated total emissions and the estimated changes in the atmosphere, ocean, and terrestrial biosphere, is a measure of imperfect data and understanding of the contemporary carbon cycle. All uncertainties are reported as  $\pm 1\sigma$ . For the last decade available (2009–2018),  $E_{\text{FF}}$  was  $9.5 \pm 0.5 \text{ GtC yr}^{-1}$ ,  $E_{\text{LUC}}$   $1.5 \pm 0.7 \text{ GtC yr}^{-1}$ ,  $G_{\text{ATM}}$   $4.9 \pm 0.02 \text{ GtC yr}^{-1}$  ( $2.3 \pm 0.01 \text{ ppm yr}^{-1}$ ),  $S_{\text{OCEAN}}$   $2.5 \pm 0.6 \text{ GtC yr}^{-1}$ , and  $S_{\text{LAND}}$   $3.2 \pm 0.6 \text{ GtC yr}^{-1}$ , with a budget imbalance  $B_{\text{IM}}$  of  $0.4 \text{ GtC yr}^{-1}$  indicating over-estimated emissions and/or underestimated sinks. For the year 2018 alone, the growth in  $E_{\text{FF}}$  was about 2.1 % and fossil emissions increased to  $10.0 \pm 0.5 \text{ GtC yr}^{-1}$ , reaching  $10 \text{ GtC yr}^{-1}$  for the first time in history,  $E_{\text{LUC}}$  was  $1.5 \pm 0.7 \text{ GtC yr}^{-1}$ , for total anthropogenic  $\text{CO}_2$  emissions of  $11.5 \pm 0.9 \text{ GtC yr}^{-1}$  ( $42.5 \pm 3.3 \text{ GtCO}_2$ ). Also for 2018,  $G_{\text{ATM}}$  was  $5.1 \pm 0.2 \text{ GtC yr}^{-1}$  ( $2.4 \pm 0.1 \text{ ppm yr}^{-1}$ ),  $S_{\text{OCEAN}}$  was  $2.6 \pm 0.6 \text{ GtC yr}^{-1}$ , and  $S_{\text{LAND}}$  was  $3.5 \pm 0.7 \text{ GtC yr}^{-1}$ , with a  $B_{\text{IM}}$  of  $0.3 \text{ GtC}$ . The global atmospheric  $\text{CO}_2$  concentration reached  $407.38 \pm 0.1 \text{ ppm}$  averaged over 2018. For 2019, preliminary data for the first 6–10 months indicate a reduced growth in  $E_{\text{FF}}$  of +0.6 % (range of  $-0.2 \%$  to  $1.5 \%$ ) based on national emissions projections for China, the USA, the EU, and India and projections of gross domestic product corrected for recent changes in the carbon intensity of the economy for the rest of the world. Overall, the mean and trend in the five components of the global carbon budget are consistently estimated over the period 1959–2018, but discrepancies of up to  $1 \text{ GtC yr}^{-1}$  persist for the representation of semi-decadal variability in  $\text{CO}_2$  fluxes. A detailed comparison among individual estimates and the introduction of a broad range of observations shows (1) no consensus in the mean and trend in land use change emissions over the last decade, (2) a persistent low agreement between the different methods on the magnitude of the land  $\text{CO}_2$  flux in the northern extra-tropics, and (3) an apparent underestimation of the  $\text{CO}_2$  variability by ocean models outside the tropics. This living data update documents changes in the methods and data sets used in this new global carbon budget and the progress in understanding of the global carbon cycle compared with previous publications of this data set (Le Quééré et al., 2018a, b, 2016, 2015a, b, 2014, 2013). The data generated by this work are available at <https://doi.org/10.18160/gcp-2019> (Friedlingstein et al., 2019).

## 1 Introduction

The concentration of carbon dioxide ( $\text{CO}_2$ ) in the atmosphere has increased from approximately 277 parts per million (ppm) in 1750 (Joos and Spahni, 2008), the beginning of the Industrial Era, to  $407.38 \pm 0.1 \text{ ppm}$  in 2018 (Dlugokencky and Tans, 2019; Fig. 1 from this paper). The atmospheric  $\text{CO}_2$  increase above pre-industrial levels was, initially, primarily caused by the release of carbon to the atmosphere from deforestation and other land use change activities (Ciais et al., 2013). While emissions from fossil fuels started before the Industrial Era, they only became the dominant source of anthropogenic emissions to the atmosphere from around

1950 and their relative share has continued to increase until present. Anthropogenic emissions occur on top of an active natural carbon cycle that circulates carbon between the reservoirs of the atmosphere, ocean, and terrestrial biosphere on timescales from sub-daily to millennia, while exchanges with geologic reservoirs occur at longer timescales (Archer et al., 2009).

The global carbon budget presented here refers to the mean, variations, and trends in the perturbation of  $\text{CO}_2$  in the environment, referenced to the beginning of the Industrial Era (defined here as 1750). This paper describes the components of the global carbon cycle over the historical period with a stronger focus on the recent period (since 1958, onset



**Figure 1.** Surface average atmospheric CO<sub>2</sub> concentration (ppm). The 1980–2018 monthly data are from NOAA ESRL (Dlugokencky and Tans, 2019) and are based on an average of direct atmospheric CO<sub>2</sub> measurements from multiple stations in the marine boundary layer (Masarie and Tans, 1995). The 1958–1979 monthly data are from the Scripps Institution of Oceanography, based on an average of direct atmospheric CO<sub>2</sub> measurements from the Mauna Loa and South Pole stations (Keeling et al., 1976). To take into account the difference of mean CO<sub>2</sub> and seasonality between the NOAA ESRL and the Scripps station networks used here, the Scripps surface average (from two stations) was deseasonalised and harmonised to match the NOAA ESRL surface average (from multiple stations) by adding the mean difference of 0.542 ppm, calculated here from overlapping data during 1980–2012.

of atmospheric CO<sub>2</sub> measurements), the last decade (2009–2018), and the current year (2019). We quantify the input of CO<sub>2</sub> to the atmosphere by emissions from human activities, the growth rate of atmospheric CO<sub>2</sub> concentration, and the resulting changes in the storage of carbon in the land and ocean reservoirs in response to increasing atmospheric CO<sub>2</sub> levels, climate change and variability, and other anthropogenic and natural changes (Fig. 2). An understanding of this perturbation budget over time and the underlying variability and trends in the natural carbon cycle is necessary to also understand the response of natural sinks to changes in climate, CO<sub>2</sub> and land use change drivers, and the permissible emissions for a given climate stabilisation target. Note that this paper does not estimate the remaining future carbon emissions consistent with a given climate target (often referred to as the remaining carbon budget; Millar et al., 2017; Rogelj et al., 2016, 2019).

The components of the CO<sub>2</sub> budget that are reported annually in this paper include separate estimates for the CO<sub>2</sub> emissions from (1) fossil fuel combustion and oxidation from all energy and industrial processes and cement production ( $E_{\text{FF}}$ , GtC yr<sup>-1</sup>) and (2) the emissions resulting from deliberate human activities on land, including those leading to land use change ( $E_{\text{LUC}}$ , GtC yr<sup>-1</sup>), as well as their partitioning among (3) the growth rate of atmospheric CO<sub>2</sub> concentration

( $G_{\text{ATM}}$ , GtC yr<sup>-1</sup>), and the uptake of CO<sub>2</sub> (the “CO<sub>2</sub> sinks”) in (4) the ocean ( $S_{\text{OCEAN}}$ , GtC yr<sup>-1</sup>) and (5) on land ( $S_{\text{LAND}}$ , GtC yr<sup>-1</sup>). The CO<sub>2</sub> sinks as defined here conceptually include the response of the land (including inland waters and estuaries) and ocean (including coasts and territorial sea) to elevated CO<sub>2</sub> and changes in climate, rivers, and other environmental conditions, although in practice not all processes are fully accounted for (see Sect. 2.7). The global emissions and their partitioning among the atmosphere, ocean, and land are in reality in balance; however due to imperfect spatial and/or temporal data coverage, errors in each estimate, and smaller terms not included in our budget estimate (discussed in Sect. 2.7), their sum does not necessarily add up to zero. We estimate a budget imbalance ( $B_{\text{IM}}$ ), which is a measure of the mismatch between the estimated emissions and the estimated changes in the atmosphere, land, and ocean, with the full global carbon budget as follows:

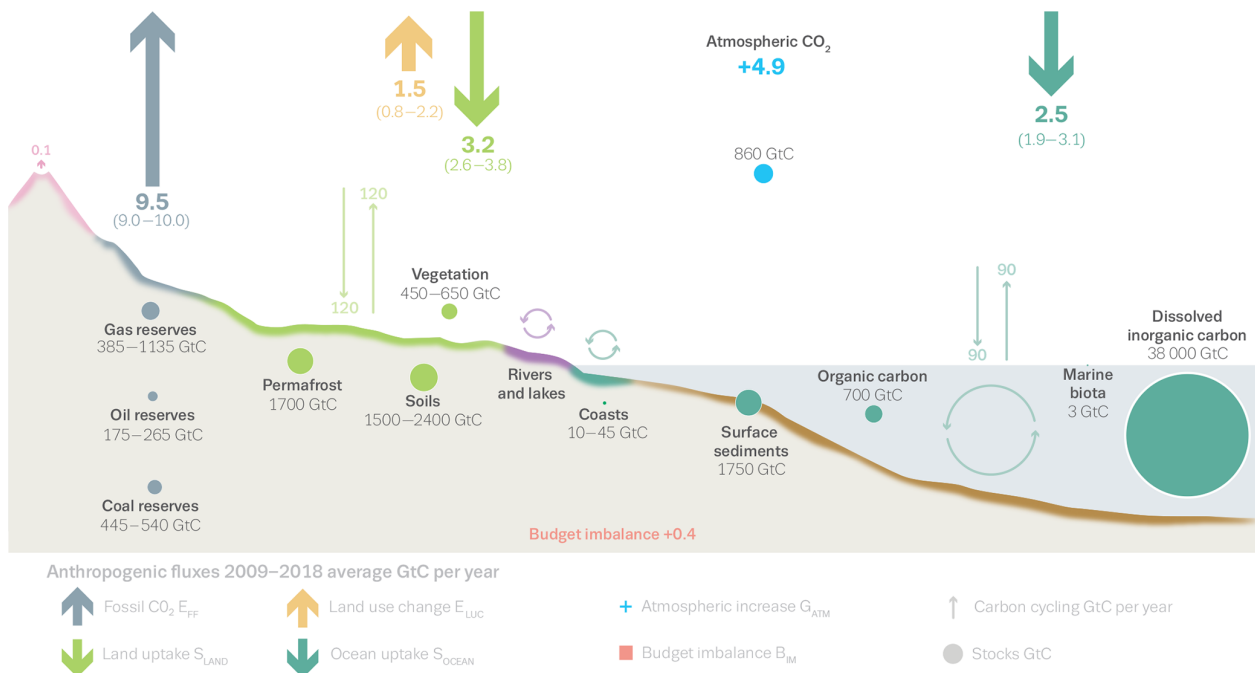
$$E_{\text{FF}} + E_{\text{LUC}} = G_{\text{ATM}} + S_{\text{OCEAN}} + S_{\text{LAND}} + B_{\text{IM}}. \quad (1)$$

$G_{\text{ATM}}$  is usually reported in parts per million per year, which we convert to units of carbon mass per year, GtC yr<sup>-1</sup>, using 1 ppm = 2.124 GtC (Ballantyne et al., 2012; Table 1). We also include a quantification of  $E_{\text{FF}}$  by country, computed with both territorial and consumption-based accounting (see Sect. 2), and we discuss missing terms from sources other than the combustion of fossil fuels (see Sect. 2.7).

The CO<sub>2</sub> budget has been assessed by the Intergovernmental Panel on Climate Change (IPCC) in all assessment reports (Prentice et al., 2001; Schimel et al., 1995; Watson et al., 1990; Denman et al., 2007; Ciais et al., 2013), and by others (e.g. Ballantyne et al., 2012). The IPCC methodology has been revised and used by the Global Carbon Project (GCP, <https://www.globalcarbonproject.org>, last access: 27 September 2019), which has coordinated this cooperative community effort for the annual publication of global carbon budgets for the year 2005 (Raupach et al., 2007; including fossil emissions only), year 2006 (Canadell et al., 2007), year 2007 (published online; GCP, 2007), year 2008 (Le Quéré et al., 2009), year 2009 (Friedlingstein et al., 2010), year 2010 (Peters et al., 2012b), year 2012 (Le Quéré et al., 2013; Peters et al., 2013), year 2013 (Le Quéré et al., 2014), year 2014 (Le Quéré et al., 2015a; Friedlingstein et al., 2014), year 2015 (Jackson et al., 2016; Le Quéré et al., 2015b), year 2016 (Le Quéré et al., 2016), year 2017 (Le Quéré et al., 2018a; Peters et al., 2017), and most recently year 2018 (Le Quéré et al., 2018b; Jackson et al., 2018). Each of these papers updated previous estimates with the latest available information for the entire time series.

We adopt a range of  $\pm 1$  standard deviation ( $\sigma$ ) to report the uncertainties in our estimates, representing a likelihood of 68 % that the true value will be within the provided range if the errors have a Gaussian distribution and no bias is assumed. This choice reflects the difficulty of characterising the uncertainty in the CO<sub>2</sub> fluxes between the atmosphere and the ocean and land reservoirs individually, particularly

# The global carbon cycle



**Figure 2.** Schematic representation of the overall perturbation of the global carbon cycle caused by anthropogenic activities, averaged globally for the decade 2009–2018. See legends for the corresponding arrows and units. The uncertainty in the atmospheric CO<sub>2</sub> growth rate is very small ( $\pm 0.02 \text{ GtC yr}^{-1}$ ) and is neglected for the figure. The anthropogenic perturbation occurs on top of an active carbon cycle, with fluxes and stocks represented in the background and taken from Ciais et al. (2013) for all numbers, with the ocean gross fluxes updated to  $90 \text{ GtC yr}^{-1}$  to account for the increase in atmospheric CO<sub>2</sub> since publication, and except for the carbon stocks in coasts, which are from a literature review of coastal marine sediments (Price and Warren, 2016).

**Table 1.** Factors used to convert carbon in various units (by convention, unit 1 = unit 2 × conversion).

| Unit 1   | Unit 2                               | Conversion         | Source                          |
|--|--------------------------------------|--------------------|---------------------------------|
| GtC (gigatonnes of carbon)                       | ppm (parts per million) <sup>a</sup> | 2.124 <sup>b</sup> | Ballantyne et al. (2012)        |
| GtC (gigatonnes of carbon)                       | PgC (petagrams of carbon)            | 1                  | SI unit conversion              |
| GtCO <sub>2</sub> (gigatonnes of carbon dioxide) | GtC (gigatonnes of carbon)           | 3.664              | 44.01/12.011 in mass equivalent |
| GtC (gigatonnes of carbon)                       | MtC (megatonnes of carbon)           | 1000               | SI unit conversion              |

<sup>a</sup> Measurements of atmospheric CO<sub>2</sub> concentration have units of dry-air mole fraction. “ppm” is an abbreviation for  $\mu\text{mol mol}^{-1}$ , dry air. <sup>b</sup> The use of a factor of 2.124 assumes that the whole atmosphere is well mixed within 1 year. In reality, only the troposphere is well mixed and the growth rate of CO<sub>2</sub> concentration in the less well-mixed stratosphere is not measured by sites from the NOAA network. Using a factor of 2.124 makes the approximation that the growth rate of CO<sub>2</sub> concentration in the stratosphere equals that of the troposphere on a yearly basis.

on an annual basis, as well as the difficulty of updating the CO<sub>2</sub> emissions from land use change. A likelihood of 68 % provides an indication of our current capability to quantify each term and its uncertainty given the available information. For comparison, the Fifth Assessment Report of the IPCC (AR5) generally reported a likelihood of 90 % for large data sets whose uncertainty is well characterised, or for long time intervals less affected by year-to-year variability. Our 68 % uncertainty value is near the 66 % which the IPCC characterises as “likely” for values falling into the  $\pm 1\sigma$  interval. The uncertainties reported here combine statistical analysis

of the underlying data and expert judgement of the likelihood of results lying outside this range. The limitations of current information are discussed in the paper and have been examined in detail elsewhere (Ballantyne et al., 2015; Zscheischler et al., 2017). We also use a qualitative assessment of confidence level to characterise the annual estimates from each term based on the type, amount, quality, and consistency of the evidence as defined by the IPCC (Stocker et al., 2013).

All quantities are presented in units of gigatonnes of carbon (GtC,  $10^{15} \text{ gC}$ ), which is the same as petagrams of carbon (PgC; Table 1). Units of gigatonnes of CO<sub>2</sub> (or billion

tonnes of CO<sub>2</sub>) used in policy are equal to 3.664 multiplied by the value in units of gigatonnes of CO<sub>2</sub>.

This paper provides a detailed description of the data sets and methodology used to compute the global carbon budget estimates for the industrial period, from 1750 to 2018, and in more detail for the period since 1959. It also provides decadal averages starting in 1960 including the last decade (2009–2018), results for the year 2018, and a projection for the year 2019. Finally it provides cumulative emissions from fossil fuels and land use change since the year 1750 (the pre-industrial period), and since the year 1850, the reference year for historical simulations in IPCC (AR6). This paper is updated every year using the format of “living data” to keep a record of budget versions and the changes in new data, revision of data, and changes in methodology that lead to changes in estimates of the carbon budget. Additional materials associated with the release of each new version will be posted at the Global Carbon Project (GCP) website (<http://www.globalcarbonproject.org/carbonbudget>, last access: 27 September 2019), with fossil fuel emissions also available through the Global Carbon Atlas (<http://www.globalcarbonatlas.org>, last access: 4 December 2019). With this approach, we aim to provide the highest transparency and traceability in the reporting of CO<sub>2</sub>, the key driver of climate change.

## 2 Methods

Multiple organisations and research groups around the world generated the original measurements and data used to complete the global carbon budget. The effort presented here is thus mainly one of synthesis, where results from individual groups are collated, analysed, and evaluated for consistency. We facilitate access to original data with the understanding that primary data sets will be referenced in future work (see Table 2 for how to cite the data sets). Descriptions of the measurements, models, and methodologies follow below and detailed descriptions of each component are provided elsewhere.

This is the 14th version of the global carbon budget and the eighth revised version in the format of a living data update in *Earth System Science Data*. It builds on the latest published global carbon budget of Le Quéré et al. (2018b). The main changes are (1) the inclusion of data up to the year 2018 (inclusive) and a projection for the global carbon budget for the year 2019; (2) further developments to the metrics that evaluate components of the individual models used to estimate  $S_{\text{OCEAN}}$  and  $S_{\text{LAND}}$  using observations, as an effort to document, encourage, and support model improvements through time; (3) a projection of the “rest of the world” emissions by fuel type; (4) a changed method for projecting current-year global atmospheric CO<sub>2</sub> concentration increment; and (5) global emissions calculated as the sum of countries’ emissions and bunker fuels rather than taken directly from the

Carbon Dioxide Information Analysis Center (CDIAC). The main methodological differences between recent annual carbon budgets (2015–2018) are summarised in Table 3, and changes since 2005 are provided in Table A7.

### 2.1 Fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ )

#### 2.1.1 Emissions estimates

The estimates of global and national fossil CO<sub>2</sub> emissions ( $E_{\text{FF}}$ ) include the combustion of fossil fuels through a wide range of activities (e.g. transport, heating and cooling, industry, fossil industry own use, and natural gas flaring), the production of cement, and other process emissions (e.g. the production of chemicals and fertilisers). The estimates of  $E_{\text{FF}}$  rely primarily on energy consumption data, specifically data on hydrocarbon fuels, collated and archived by several organisations (Andres et al., 2012). We use four main data sets for historical emissions (1750–2018).

1. We use global and national emission estimates for coal, oil, natural gas, and peat fuel extraction from CDIAC for the time period 1750–2016 (Gilfillan et al., 2019), as it is the only data set that extends back to 1750 by country.
2. We use official UNFCCC national inventory reports annually for 1990–2017 for the 42 Annex I countries in the UNFCCC (UNFCCC, 2019). We assess these to be the most accurate estimates because they are compiled by experts within countries that have access to the most detailed data, and they are periodically reviewed.
3. We use the BP Statistical Review of World Energy (BP, 2019), as these are the most up-to-date estimates of national energy statistics.
4. We use global and national cement emissions updated from Andrew (2018) following Andrew (2019) to include the latest estimates of cement production and clinker ratios.

In the following section we provide more details for each data set and describe the additional modifications that are required to make the data set consistent and usable.

**CDIAC.** The CDIAC estimates have been updated annually to the year 2016, derived primarily from energy statistics published by the United Nations (UN, 2018). Fuel masses and volumes are converted to fuel energy content using country-level coefficients provided by the UN and then converted to CO<sub>2</sub> emissions using conversion factors that take into account the relationship between carbon content and energy (heat) content of the different fuel types (coal, oil, natural gas, natural gas flaring) and the combustion efficiency (Marland and Rotty, 1984).

**UNFCCC.** Estimates from the UNFCCC national inventory reports follow the IPCC guidelines (IPCC, 2006), but

**Table 2.** How to cite the individual components of the global carbon budget presented here.

| Component   | Primary reference   |
|---|---|
| Global fossil CO <sub>2</sub> emissions ( $E_{FF}$ ), total and by fuel type                      | Gilfillan et al. (2019)   |
| National territorial fossil CO <sub>2</sub> emissions ( $E_{FF}$ )                                | CDIAC source: Gilfillan et al. (2019)<br>UNFCCC (2019)  |
| National consumption-based fossil CO <sub>2</sub> emissions ( $E_{FF}$ ) by country (consumption) | Peters et al. (2011b) updated as described in this paper  |
| Land use change emissions ( $E_{LUC}$ )   | Average from Houghton and Nassikas (2017) and Hansis et al. (2015), both updated as described in this paper |
| Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{ATM}$ )                            | Dlugokencky and Tans (2019)   |
| Ocean and land CO <sub>2</sub> sinks ( $S_{OCEAN}$ and $S_{LAND}$ )                               | This paper for $S_{OCEAN}$ and $S_{LAND}$ and references in Table 4 for individual models.                  |

they have a slightly larger system boundary than CDIAC by including emissions coming from carbonates other than in cement manufacturing. We reallocate the detailed UNFCCC estimates to the CDIAC definitions of coal, oil, natural gas, cement, and others to allow more consistent comparisons over time and between countries.

*Specific country updates.* For China and Saudi Arabia, the most recent version of CDIAC introduces what appear to be spurious interannual variations for these two countries (IEA, 2018); therefore we use data from the 2018 global carbon budget (Le Quéré et al., 2018b). For Norway, the CDIAC's method of apparent consumption results in large errors for Norway, and we therefore overwrite emissions before 1990 with estimates based on official Norwegian statistics.

*BP.* For the most recent period when the UNFCCC and CDIAC estimates are not available, we generate preliminary estimates using energy consumption data from the BP Statistical Review of World Energy (Andres et al., 2014; BP, 2019; Myhre et al., 2009). We apply the BP growth rates by fuel type (coal, oil, natural gas) to estimate 2018 emissions based on 2017 estimates (UNFCCC Annex I countries) and to estimate 2017–2018 emissions based on 2016 estimates (remaining countries). BP's data set explicitly covers about 70 countries (96 % of global energy emissions), and for the remaining countries we use growth rates from the subregion the country belongs to. For the most recent years, natural gas flaring is assumed constant from the most recent available year of data (2017 for Annex I countries, 2016 for the remainder).

*Cement.* Estimates of emissions from cement production are taken directly from Andrew (2019). Additional calcination and carbonation processes are not included explicitly here, except in national inventories provided by Annex I countries, but are discussed in Sect. 2.7.2.

*Country mappings.* The published CDIAC data set includes 257 countries and regions. This list includes countries that no longer exist, such as the USSR and Yugoslavia.

We reduce the list to 214 countries by reallocating emissions to currently defined territories, using mass-preserving aggregation or disaggregation. Examples of aggregation include merging former East and West Germany into the currently defined Germany. Examples of disaggregation include reallocating the emissions from the former USSR to the resulting independent countries. For disaggregation, we use the emission shares when the current territories first appeared (e.g. USSR in 1992), and thus historical estimates of disaggregated countries should be treated with extreme care. In the case of the USSR, we were able to disaggregate 1990 and 1991 using data from the IEA. In addition, we aggregate some overseas territories (e.g. Réunion, Guadeloupe) into their governing nations (e.g. France) to align with UNFCCC reporting.

*Global total.* The global estimate is the sum of the individual countries' emissions and international aviation and marine bunkers. This is different to last year, where we used the independent global total estimated by CDIAC (combined with cement from Andrew, 2018). The CDIAC global total differs from the sum of the countries and bunkers since (1) the sum of imports in all countries is not equal to the sum of exports because of reporting inconsistencies, (2) changes in stocks, and (3) the share of non-oxidised carbon (e.g. as solvents, lubricants, feedstocks) at the global level is assumed to be fixed at the 1970's average while it varies in the country level data based on energy data (Andres et al., 2012). From the 2019 edition CDIAC now includes changes in stocks in the global total (Dennis Gilfillan, personal communication, 2019), removing one contribution to this discrepancy. The discrepancy has grown over time from around zero in 1990 to over 500 MtCO<sub>2</sub> in recent years, consistent with the growth in non-oxidised carbon (IEA, 2018). To remove this discrepancy we now calculate the global total as the sum of the countries and international bunkers.

**Table 3.** Main methodological changes in the global carbon budget since 2015. Methodological changes introduced in one year are kept for the following years unless noted. Empty cells mean there were no methodological changes introduced that year. Table A7 lists methodological changes from the first global carbon budget publication up to 2014.

| Publication year   | Fossil fuel emissions   |   |   | LUC emissions  |                                     | Reservoirs   |  | Uncertainty & other changes   |
|--|---|---|---|--|-------------------------------------|--|--|---|
|  | Global  | Country (territorial)   | Country (consumption)                                 | Atmosphere   | Ocean                               | Land   |  |   |
| 2015<br><br>Le Quéré et al. (2015a)<br>Jackson et al. (2016) | Projection for current year based on January–August data  | National emissions from UNFCCC extended to 2014 also provided                                   | Detailed estimates introduced for 2011 based on GTAP9 |  |                                     | Based on eight models  | Based on 10 models with assessment of minimum realism                      | The decadal uncertainty for the DGVM ensemble mean now uses $\pm 1\sigma$ of the decadal spread across models                           |
| 2016<br><br>Le Quéré et al. (2016)                           | 2 years of BP data  | Added three small countries; China's (RMA) emissions from 1990 from BP data (this release only) |   | Preliminary $E_{LUC}$ using FRA-2015 shown for comparison; use of five DGVMs |                                     | Based on seven models  | Based on 14 models   | Discussion of projection for full budget for current year   |
| 2017<br><br>Le Quéré et al. (2018a)<br>GCB2017               | Projection includes India-specific data   |   |   | Average of two bookkeeping models; use of 12 DGVMs                           |                                     | Based on eight models that match the observed sink for the 1990s; no longer normalised | Based on 15 models that meet observation-based criteria (see Sect. 2.5)    | Land multi-model average now used in main carbon budget, with the carbon imbalance presented separately; new table of key uncertainties |
| 2018<br><br>Le Quéré et al. (2018b)<br>GCB2018               | Revision in cement emissions; projection includes EU-specific data                                      | Aggregation of overseas territories into governing nations for total of 213 countries           |   | Use of 16 DGVMs  | Use of four atmospheric inversions  | Based on seven models  | Based on 16 models; revised atmospheric forcing from CRUNCEP to CRU–JRA-55 | Introduction of metrics for evaluation of individual models using observations  |
| 2019<br><br>(this study)                                     | Global emissions calculated as sum of all countries plus bunkers, rather than taken directly from CDIAC |   |   | Use of 15 DGVMs*   | Use of three atmospheric inversions | Based on nine models   | Based on 16 models   |   |

\*  $E_{LUC}$  is still estimated based on bookkeeping models, as in 2018 (Le Quéré et al., 2018b), but the number of DGVMs used to characterise the uncertainty has changed.

### 2.1.2 Uncertainty assessment for $E_{FF}$

We estimate the uncertainty of the global fossil  $\text{CO}_2$  emissions at  $\pm 5\%$  (scaled down from the published  $\pm 10\%$  at  $\pm 2\sigma$  to the use of  $\pm 1\sigma$  bounds reported here; Andres et al., 2012). This is consistent with a more detailed analysis of uncertainty of  $\pm 8.4\%$  at  $\pm 2\sigma$  (Andres et al., 2014) and at

the high end of the range of  $\pm 5\%$ – $10\%$  at  $\pm 2\sigma$  reported by Ballantyne et al. (2015). This includes an assessment of uncertainties in the amounts of fuel consumed, the carbon and heat contents of fuels, and the combustion efficiency. While we consider a fixed uncertainty of  $\pm 5\%$  for all years, the uncertainty as a percentage of the emissions is growing with



time because of the larger share of global emissions from emerging economies and developing countries (Marland et al., 2009). Generally, emissions from mature economies with good statistical processes have an uncertainty of only a few per cent (Marland, 2008), while emissions from developing countries such as China have uncertainties of around  $\pm 10\%$  (for  $\pm 1\sigma$ ; Gregg et al., 2008). Uncertainties of emissions are likely to be mainly systematic errors related to underlying biases of energy statistics and to the accounting method used by each country.

We assign a medium confidence to the results presented here because they are based on indirect estimates of emissions using energy data (Durant et al., 2011). There is only limited and indirect evidence for emissions, although there is high agreement among the available estimates within the given uncertainty (Andres et al., 2012, 2014), and emission estimates are consistent with a range of other observations (Ciais et al., 2013), even though their regional and national partitioning is more uncertain (Francey et al., 2013).

### 2.1.3 Emissions embodied in goods and services

CDIAC, UNFCCC, and BP national emission statistics “include greenhouse gas emissions and removals taking place within national territory and offshore areas over which the country has jurisdiction” (Rypdal et al., 2006) and are called territorial emission inventories. Consumption-based emission inventories allocate emissions to products that are consumed within a country and are conceptually calculated as the territorial emissions minus the “embodied” territorial emissions to produce exported products plus the emissions in other countries to produce imported products (consumption = territorial – exports + imports). Consumption-based emission attribution results (e.g. Davis and Caldeira, 2010) provide additional information to territorial-based emissions that can be used to understand emission drivers (Hertwich and Peters, 2009) and quantify emission transfers by the trade of products between countries (Peters et al., 2011b). The consumption-based emissions have the same global total, but they reflect the trade-driven movement of emissions across the Earth’s surface in response to human activities.

We estimate consumption-based emissions from 1990 to 2016 by enumerating the global supply chain using a global model of the economic relationships between economic sectors within and between every country (Andrew and Peters, 2013; Peters et al., 2011a). Our analysis is based on the economic and trade data from the Global Trade Analysis Project (GTAP; Narayanan et al., 2015), and we make detailed estimates for the years 1997 (GTAP version 5), 2001 (GTAP6), 2004, 2007, and 2011 (GTAP9.2), covering 57 sectors and 141 countries and regions. The detailed results are then extended into an annual time series from 1990 to the latest year of the gross domestic product (GDP) data (2016 in this budget), using GDP data by expenditure in the current exchange rate of US dollars (USD; from the UN National Accounts

Main Aggregates Database; UN, 2017) and time series of trade data from GTAP (based on the methodology in Peters et al., 2011b). We estimate the sector-level CO<sub>2</sub> emissions using the GTAP data and methodology, include flaring and cement emissions from CDIAC, and then scale the national totals (excluding bunker fuels) to match the emission estimates from the carbon budget. We do not provide a separate uncertainty estimate for the consumption-based emissions, but based on model comparisons and sensitivity analysis, they are unlikely to be significantly different than for the territorial emission estimates (Peters et al., 2012a).

### 2.1.4 Growth rate in emissions

We report the annual growth rate in emissions for adjacent years (in per cent per year) by calculating the difference between the two years and then normalising to the emissions in the first year:  $(E_{FF}(t_{0+1}) - E_{FF}(t_0)) / E_{FF}(t_0) \times 100\%$ . We apply a leap-year adjustment where relevant to ensure valid interpretations of annual growth rates. This affects the growth rate by about  $0.3\% \text{ yr}^{-1}$  ( $1/365$ ) and causes growth rates to go up approximately  $0.3\%$  if the first year is a leap year and down  $0.3\%$  if the second year is a leap year.

The relative growth rate of  $E_{FF}$  over time periods of greater than 1 year can be rewritten using its logarithm equivalent as follows:

$$\frac{1}{E_{FF}} \frac{dE_{FF}}{dt} = \frac{d(\ln E_{FF})}{dt}. \quad (2)$$

Here we calculate relative growth rates in emissions for multi-year periods (e.g. a decade) by fitting a linear trend to  $\ln(E_{FF})$  in Eq. (2), reported in per cent per year.

### 2.1.5 Emissions projections

To gain insight into emission trends for 2019, we provide an assessment of global fossil CO<sub>2</sub> emissions,  $E_{FF}$ , by combining individual assessments of emissions for China, the USA, the EU, India (the four countries/regions with the largest emissions), and the rest of the world.

Our 2019 estimate for China uses (1) the sum of monthly domestic production of raw coal, crude oil, natural gas and cement from the National Bureau of Statistics (NBS, 2019c), (2) monthly net imports of coal, coke, crude oil, refined petroleum products and natural gas from the General Administration of Customs of the People’s Republic of China (2019); and (3) annual energy consumption data by fuel type and annual production data for cement from the NBS, using final data for 2000–2017 (NBS, 2019c) and preliminary data for 2018 (NBS, 2019b). We estimate the full-year growth rate for 2019 using a Bayesian regression for the ratio between the annual energy consumption data (3 above) from 2014 through 2018 and monthly production plus net imports through September of each year (1 + 2 above). The uncertainty range uses the standard deviations of the resulting posteriors. Sources of uncertainty and deviations between the

monthly and annual growth rates include lack of monthly data on stock changes and energy density, variance in the trend during the last 3 months of the year, and partially unexplained discrepancies between supply-side and consumption data even in the final annual data. Note that in recent years, the absolute value of the annual growth rate for coal energy consumption, and hence total CO<sub>2</sub> emissions, has been consistently lower (closer to zero) than the growth suggested by the monthly, tonnage-based production and import data, and this is reflected in the projection. This pattern is only partially explained by stock changes and changes in energy content. It is therefore not possible to be certain that it will continue in the current year, but it is made plausible by a separate statement by the National Bureau of Statistics on energy consumption growth in the first half of 2019, which suggests no significant growth in energy consumption from coal for January–June (NBS, 2019a). Results and uncertainties are discussed further in Sect. 3.4.1.

For the USA, we use the forecast of the U.S. Energy Information Administration (EIA) for emissions from fossil fuels (EIA, 2019). This is based on an energy forecasting model which is updated monthly (last update with data through October 2019) and takes into account heating-degree days, household expenditures by fuel type, energy markets, policies, and other effects. We combine this with our estimate of emissions from cement production using the monthly US cement data from USGS for January–July 2019, assuming changes in cement production over the first part of the year apply throughout the year. While the EIA's forecasts for current full-year emissions have on average been revised downwards, only 10 such forecasts are available, so we conservatively use the full range of adjustments following revision, and additionally we assume symmetrical uncertainty to give  $\pm 2.3\%$  around the central forecast.

For India, we use (1) monthly coal production and sales data from the Ministry of Mines (2019), Coal India Limited (CIL, 2019), and Singareni Collieries Company Limited (SCCL, 2019), combined with import data from the Ministry of Commerce and Industry (MCI, 2019) and power station stock data from the Central Electricity Authority (CEA, 2019a); (2) monthly oil production and consumption data from the Ministry of Petroleum and Natural Gas (PPAC, 2019b); (3) monthly natural gas production and import data from the Ministry of Petroleum and Natural Gas (PPAC, 2019a); and (4) monthly cement production data from the Office of the Economic Advisor (OEA, 2019). All data were available for January to September or October 2019. We use Holt–Winters exponential smoothing with multiplicative seasonality (Chatfield, 1978) on each of these four emissions series to project to the end of India's current financial year (March 2020). This iterative method produces estimates of both trend and seasonality at the end of the observation period that are a function of all prior observations, weighted most strongly to more recent data, while maintaining some smoothing effect. The main source of uncertainty in the pro-

jection of India's emissions is the assumption of continued trends and typical seasonality.

For the EU, we use (1) monthly coal supply data from Eurostat for the first 6–9 months of 2019 (Eurostat, 2019) cross-checked with more recent data on coal-generated electricity from ENTSO-E for January through October 2019 (ENTSO-E, 2019); (2) monthly oil and gas demand data for January through August from the Joint Organisations Data Initiative (JODI, 2019); and (3) cement production assumed to be stable. For oil and natural gas emissions we apply the Holt–Winters method separately to each country and energy carrier to project to the end of the current year, while for coal – which is much less strongly seasonal because of strong weather variations – we assume the remaining months of the year are the same as the previous year in each country.

For the rest of the world, we use the close relationship between the growth in GDP and the growth in emissions (Raupach et al., 2007) to project emissions for the current year. This is based on a simplified Kaya identity, whereby  $E_{\text{FF}}$  (GtC yr<sup>-1</sup>) is decomposed by the product of GDP (USD yr<sup>-1</sup>) and the fossil fuel carbon intensity of the economy ( $I_{\text{FF}}$ ; GtC USD<sup>-1</sup>) as follows:

$$E_{\text{FF}} = \text{GDP} \times I_{\text{FF}}. \quad (3)$$

Taking a time derivative of Eq. (3) and rearranging gives

$$\frac{1}{E_{\text{FF}}} \frac{dE_{\text{FF}}}{dt} = \frac{1}{\text{GDP}} \frac{d\text{GDP}}{dt} + \frac{1}{I_{\text{FF}}} \frac{dI_{\text{FF}}}{dt}, \quad (4)$$

where the left-hand term is the relative growth rate of  $E_{\text{FF}}$ , and the right-hand terms are the relative growth rates of GDP and  $I_{\text{FF}}$ , respectively, which can simply be added linearly to give the overall growth rate.

As preliminary estimates of annual change in GDP are made well before the end of a calendar year, making assumptions on the growth rate of  $I_{\text{FF}}$  allows us to make projections of the annual change in CO<sub>2</sub> emissions well before the end of a calendar year. The  $I_{\text{FF}}$  is based on GDP in constant PPP (purchasing power parity) from the International Energy Agency (IEA) up to 2016 (IEA/OECD, 2018) and extended using the International Monetary Fund (IMF) growth rates through 2018 (IMF, 2019a). Interannual variability in  $I_{\text{FF}}$  is the largest source of uncertainty in the GDP-based emissions projections. We thus use the standard deviation of the annual  $I_{\text{FF}}$  for the period 2009–2018 as a measure of uncertainty, reflecting a  $\pm 1\sigma$  as in the rest of the carbon budget. In this year's budget, we have extended the rest-of-the-world method to fuel type to get separate projections for coal, oil, natural gas, cement, flaring, and other components. This allows, for the first time, consistent projections of global emissions by both countries and fuel type.

The 2019 projection for the world is made of the sum of the projections for China, the USA, the EU, India, and the rest of the world, where the sum is consistent if done by fuel type (coal, oil, natural gas) or based on total emissions. The

uncertainty is added in quadrature among the five regions. The uncertainty here reflects the best of our expert opinion.

## 2.2 CO<sub>2</sub> emissions from land use, land use change, and forestry ( $E_{LUC}$ )

The net CO<sub>2</sub> flux from land use, land use change, and forestry ( $E_{LUC}$ , called land use change emissions in the rest of the text) include CO<sub>2</sub> fluxes from deforestation, afforestation, logging and forest degradation (including harvest activity), shifting cultivation (cycle of cutting forest for agriculture, then abandoning), and regrowth of forests following wood harvest or abandonment of agriculture. Only some land management activities are included in our land use change emissions estimates (Table A1). Some of these activities lead to emissions of CO<sub>2</sub> to the atmosphere, while others lead to CO<sub>2</sub> sinks.  $E_{LUC}$  is the net sum of emissions and removals due to all anthropogenic activities considered. Our annual estimate for 1959–2018 is provided as the average of results from two bookkeeping models (Sect. 2.2.1): the estimate published by Houghton and Nassikas (2017; hereafter H&N2017) updated to 2018a and an estimate using the Bookkeeping of Land Use Emissions model (Hansis et al., 2015; hereafter BLUE). Both data sets are then extrapolated to provide a projection for 2019 (Sect. 2.2.4). In addition, we use results from dynamic global vegetation models (DGVMs; see Sect. 2.2.2 and Table 4) to help quantify the uncertainty in  $E_{LUC}$  (Sect. 2.2.3) and thus better characterise our understanding.

### 2.2.1 Bookkeeping models

Land use change CO<sub>2</sub> emissions and uptake fluxes are calculated by two bookkeeping models. Both are based on the original bookkeeping approach of Houghton (2003) that keeps track of the carbon stored in vegetation and soils before and after a land use change (transitions between various natural vegetation types, croplands, and pastures). Literature-based response curves describe decay of vegetation and soil carbon, including transfer to product pools of different lifetimes, as well as carbon uptake due to regrowth. In addition, the bookkeeping models represent long-term degradation of primary forest as lowered standing vegetation and soil carbon stocks in secondary forests, and they also include forest management practices such as wood harvests.

The bookkeeping models do not include land ecosystems' transient response to changes in climate, atmospheric CO<sub>2</sub>, and other environmental factors, and the carbon densities are based on contemporary data reflecting stable environmental conditions at that time. Since carbon densities remain fixed over time in bookkeeping models, the additional sink capacity that ecosystems provide in response to CO<sub>2</sub> fertilisation and some other environmental changes is not captured by these models (Pongratz et al., 2014; see Sect. 2.7.4).

The H&N2017 and BLUE models differ in (1) computational units (country-level vs. spatially explicit treatment of land use change), (2) processes represented (see Table A1), and (3) carbon densities assigned to vegetation and soil of each vegetation type. A notable change of H&N2017 over the original approach by Houghton (2003) used in earlier budget estimates is that no shifting cultivation or other back-and-forth transitions at a level below country are included. Only a decline in forest area in a country as indicated by the Forest Resource Assessment of the FAO that exceeds the expansion of agricultural area as indicated by the FAO is assumed to represent a concurrent expansion and abandonment of cropland. In contrast, the BLUE model includes sub-grid-scale transitions at the grid level between all vegetation types as indicated by the harmonised land use change data (LUH2) data set (<https://doi.org/10.22033/ESGF/input4MIPs.1127>; Hurtt et al., 2011, 2019). Furthermore, H&N2017 assume conversion of natural grasslands to pasture, while BLUE allocates pasture proportionally on all natural vegetation that exists in a grid cell. This is one reason for generally higher emissions in BLUE. For both H&N2017 and BLUE, we add carbon emissions from peat burning based on the Global Fire Emission Database (GFED4s; van der Werf et al., 2017) and peat drainage based on estimates by Hooijer et al. (2010) to the output of their bookkeeping model for the countries of Indonesia and Malaysia. Peat burning and emissions from the organic layers of drained peat soils, which are not captured by bookkeeping methods directly, need to be included to represent the substantially larger emissions and interannual variability due to synergies of land use and climate variability in Southeast Asia, in particular during El Niño events.

The two bookkeeping estimates used in this study differ with respect to the land use change data used to drive the models. H&N2017 base their estimates directly on the Forest Resource Assessment of the FAO, which provides statistics on forest area change and management at intervals of 5 years currently updated until 2015 (FAO, 2015). The data are based on countries reporting to the FAO and may include remote-sensing information in more recent assessments. Changes in land use other than forests are based on annual, national changes in cropland and pasture areas reported by the FAO (FAOSTAT, 2015). H&N2017 was extended here for 2016 to 2018 by adding the annual change in total tropical emissions to the H&N2017 estimate for 2015, including estimates of peat drainage and peat burning as described above as well as emissions from tropical deforestation and degradation fires from GFED4.1s (van der Werf et al., 2017). On the other hand, BLUE uses the harmonised land use change data LUH2 covering the entire 850–2018 period (<https://doi.org/10.22033/ESGF/input4MIPs.1127>; Hurtt et al., 2011, 2019), which describes land use change, also based on the FAO data as well as the HYDE data set (Klein Goldewijk et al., 2017; Goldewijk et al., 2017), but downscaled at a quarter-degree spatial resolution, considering sub-grid-scale transitions between primary forest, secondary forest,

**Table 4.** References for the process models,  $p\text{CO}_2$ -based ocean flux products, and atmospheric inversions included in Figs. 6–8. All models and products are updated with new data to the end of the year 2018, and the atmospheric forcing for the DGVMs has been updated as described in Sect. 2.2.2.

| Model/data name                                  | Reference                             | Change from Global Carbon Budget 2018 (Le Quéré et al., 2018b)   |
|--|---------------------------------------|--|
| Bookkeeping models for land use change emissions |                                       |  |
| BLUE   | Hansis et al. (2015)                  | No change.   |
| H&N2017  | Houghton and Nassikas (2017)          | No change.   |
| Dynamic global vegetation models                 |                                       |  |
| CABLE-POP  | Haverd et al. (2018)                  | Thermal acclimation of photosynthesis; residual stomatal conductance ( $g_0$ ) now non-zero; stomatal conductance set to maximum of $g_0$ and vapour-pressure-deficit-dependent term   |
| CLASS-CTEM                                       | Melton and Arora (2016)               | 20 soil layers used. Soil depth is prescribed following Shangguan et al. (2017).<br>– The bare soil evaporation efficiency was previously that of Lee and Pielke (1992). This has been replaced by that of Merlin et al. (2011).<br>– Plant roots can no longer grow into soil layers that are perennially frozen (permafrost).<br>– The $V_{\text{cmax}}$ value of $\text{C}_3$ grass changes from 75 to 55 $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ , which is more in line with observations (Alton, 2017).<br>– Land use change product pools are now tracked separately (rather than thrown into litter and soil C pools). They behave the same as previously but now it is easier to distinguish the C in those pools from other soil/litter C.                        |
| CLM5.0   | Lawrence et al. (2019)                | Added representation of shifting cultivation, fixed a bug in the fire model, used updated & higher-resolution lightening strike data set.  |
| DLEM   | Tian et al. (2015) <sup>a</sup>       | No change.   |
| ISAM   | Meiyappan et al. (2015)               | No change.   |
| ISBA-CTRIP                                       | Decharme et al. (2019) <sup>b</sup>   | Updated spin-up protocol + model name updated (SURFEXv8 in GCB2017).   |
| JSBACH   | Mauritsen et al. (2019)               | No change.   |
| JULES-ES   | Sellar et al. (2019) <sup>c</sup>     | Major update. Model configuration is now JULES-ES v1.0, the land surface and vegetation component of the UK Earth System Model (UKESM1). Includes interactive nitrogen scheme, extended number of plant functional types represented, trait based physiology and crop harvest.   |
| LPJ-GUESS  | Smith et al. (2014) <sup>d</sup>      | Using daily climate forcing instead of monthly forcing. Using nitrogen inputs from NMIP. Adjustment in the spin-up procedure. Growth suppression mortality parameter of PFT IBS changed to 0.12.   |
| LPJ  | Poulter et al. (2011) <sup>e</sup>    | No change.   |
| LPX-Bern   | Lienert and Joos (2018)               | Using nitrogen input from NMIP.  |
| OCN  | Zaehle and Friend (2010) <sup>f</sup> | No change (uses r294).   |
| ORCHIDEE-CNP                                     | Goll et al. (2017) <sup>g</sup>       | Refinement of parameterisation (r6176); change in N forcing (different N deposition, no (N&P) manure)  |
| ORCHIDEE-Trunk                                   | Krinner et al. (2005) <sup>h</sup>    | No major changes, except some small bug corrections linked to the implementation of land cover changes.  |
| SDGVM  | Walker et al. (2017) <sup>i</sup>     | (1) Changed the multiplicative scale parameters of these diagnostic output variables from<br>– $\text{evapotranspft}$ , $\text{evapo}$ , $\text{transpft}$ $2.257 \times 10^6$ to $2.257 \times 10^6 / (30 \times 24 \times 3600)$<br>– $\text{swepft}$ from NA to 0.001.<br>(2) The autotrophic respiration diagnostic output variable is now properly initialised to zero for bare ground.<br>(3) A very minor change that prevents the soil water limitation scalar (often called beta) being applied to $g_0$ in the stomatal conductance ( $g_s$ ) equation. Previously it was applied to both $g_0$ and $g_1$ in the $g_s$ equation. Now beta is applied only to $g_1$ in the $g_s$ equation.<br>(4) The climate driving data and land cover data are in $0.5^\circ$ resolution. |
| VISIT  | Kato et al. (2013) <sup>j</sup>       | No change.   |
| Global ocean biogeochemistry models              |                                       |  |
| NEMO-PlankTOM5                                   | Buitenhuis et al. (2013)              | No change.   |
| MICOM-HAMOCC (NorESM-OC)                         | Schwinger et al. (2016)               | Flux calculation improved to take into account correct land–sea mask after interpolation.  |
| MPIOM-HAMOCC6                                    | Paulsen et al. (2017)                 | No change.   |
| NEMO3.6-PISCESv2-gas (CNRM)                      | Berthet et al. (2019)                 | No change.   |
| CSIRO  | Law et al. (2017)                     | No change.   |
| MITgcm-REcoM2                                    | Hauck et al. (2018)                   | No change.   |
| MOM6-COBALT (Princeton)                          | Adcroft et al. (2019)                 | New this year.   |
| CESM-ETHZ  | Doney et al. (2009)                   | New this year.   |
| NEMO-PISCES (IPSL)                               | Aumont et al. (2015)                  | Updated spin-up procedure.   |

Table 4. Continued.

| Model/data name                                     | Reference                             | Change from Global Carbon Budget 2018 (Le Quéré et al., 2018b)   |
|---|---------------------------------------|--|
| <i>p</i> CO <sub>2</sub> -based flux ocean products |                                       |  |
| Landschützer (MPI-SOMFFN)                           | Landschützer et al. (2016)            | Update to SOCATv2019 measurements.   |
| Rödenbeck (Jena-MLS)                                | Rödenbeck et al. (2014)               | Update to SOCATv2019 measurements. Interannual net ecosystem exchange (NEE) variability estimated through a regression to air temperature anomalies. Using 89 atmospheric stations. Fossil fuel emissions taken from Jones et al. (2019) consistent with country totals of this study. |
| CMEMS   | Denvil-Sommer et al. (2019)           | New this year.   |
| Atmospheric inversions                              |                                       |  |
| CAMS  | Chevallier et al. (2005) <sup>k</sup> | Updated version of atmospheric transport model LMDz.   |
| CarbonTracker Europe (CTE)                          | van der Laan-Luijkx et al. (2017)     | No change.   |
| Jena CarboScope                                     | Rödenbeck et al. (2003, 2018)         | Temperature–NEE relations additionally estimated.  |

<sup>a</sup> See also Tian et al. (2011). <sup>b</sup> See also Joetzer et al. (2015), Séférian et al. (2016), and Delire et al. (2019). <sup>c</sup> JULES-ES is the Earth system configuration of the Joint UK Land Environment Simulator. See also Best et al. (2011) and Clark et al. (2011). <sup>d</sup> To account for the differences between the derivation of shortwave radiation from CRU cloudiness and DSWRF from CRUJRA, the photosynthesis scaling parameter  $\alpha$  was modified (–15 %) to yield similar results. <sup>e</sup> Compared to the published version, decreased LPJ wood harvest efficiency so that 50 % of biomass was removed off-site compared to 85 % used in the 2012 budget. Residue management of managed grasslands increased so that 100 % of harvested grass enters the litter pool.

<sup>f</sup> See also Zaehle et al. (2011). <sup>g</sup> See also Goll et al. (2018). <sup>h</sup> Compared to published version: revised parameter values for photosynthetic capacity for boreal forests (following assimilation of FLUXNET data), updated parameter values for stem allocation, maintenance respiration and biomass export for tropical forests (based on literature), and CO<sub>2</sub> down-regulation process added to photosynthesis. Hydrology model updated to a multi-layer scheme (11 layers). <sup>i</sup> See also Woodward and Lomas (2004). <sup>j</sup> See also Ito and Inatomi (2012).

<sup>k</sup> See also Remaud et al. (2018).

cropland, pasture, and rangeland. The LUH2 data provide a distinction between rangelands and pasture, based on inputs from HYDE. To constrain the models' interpretation on whether rangeland implies the original natural vegetation to be transformed to grassland or not (e.g. browsing on shrubland), a forest mask was provided with LUH2; forest is assumed to be transformed, while all other natural vegetation remains. This is implemented in BLUE.

For  $E_{LUC}$  from 1850 onwards we average the estimates from BLUE and H&N2017. For the cumulative numbers starting at 1750 an average of four earlier publications is added ( $30 \pm 20$  GtC 1750–1850, rounded to the nearest 5 GtC; Le Quéré et al., 2016).

### 2.2.2 Dynamic global vegetation models (DGVMs)

Land use change CO<sub>2</sub> emissions have also been estimated using an ensemble of 15 DGVM simulations. The DGVMs account for deforestation and regrowth, the most important components of  $E_{LUC}$ , but they do not represent all processes resulting directly from human activities on land (Table A1). All DGVMs represent processes of vegetation growth and mortality, as well as decomposition of dead organic matter associated with natural cycles, and they include the vegetation and soil carbon response to increasing atmospheric CO<sub>2</sub> concentration and to climate variability and change. Some models explicitly simulate the coupling of carbon and nitrogen cycles and account for atmospheric N deposition and N fertilisers (Table A1). The DGVMs are independent from the other budget terms except for their use of atmospheric CO<sub>2</sub>

concentration to calculate the fertilisation effect of CO<sub>2</sub> on plant photosynthesis.

Many DGVMs used the HYDE land use change data set (Klein Goldewijk et al., 2017; Goldewijk et al., 2017), which provides annual (1700–2018), half-degree, fractional data on cropland and pasture. The data are based on the available annual FAO statistics of change in agricultural land area available until 2015. Last year's HYDE version used FAO statistics until 2012, which are now supplemented using the annual change anomalies from FAO data for the years 2013–2015 relative to the year 2012. HYDE forcing was also corrected for Brazil for the years 1951–2012. After the year 2015 HYDE extrapolates cropland, pasture, and urban land use data until the year 2018. Some models also use the LUH2 data set, an update of the more comprehensive harmonised land use data set (Hurt et al., 2011), that further includes fractional data on primary and secondary forest vegetation, as well as all underlying transitions between land use states (1700–2019) (<https://doi.org/10.22033/ESGF/input4MIPs.1127>; Hurt et al., 2011, 2019; Table A1). This new data set is of quarter-degree fractional areas of land use states and all transitions between those states, including a new wood harvest reconstruction, new representation of shifting cultivation, crop rotations, and management information including irrigation and fertiliser application. The land use states include five different crop types in addition to the pasture–rangeland split discussed before. Wood harvest patterns are constrained with Landsat-based tree cover loss data (Hansen et al., 2013). Updates of LUH2 over last year's version use the most recent HYDE–FAO release (covering the time period up to and in-

cluding 2015), which also corrects an error in the version used for the 2018 budget in Brazil.

DGVMs implement land use change differently (e.g. an increased cropland fraction in a grid cell can either be at the expense of either grassland or shrubs, or forest, the latter resulting in deforestation; land cover fractions of the non-agricultural land differ between models). Similarly, model-specific assumptions are applied to convert deforested biomass or deforested area and other forest product pools into carbon, and different choices are made regarding the allocation of rangelands as natural vegetation or pastures.

The DGVM model runs were forced by either the merged monthly CRU and 6-hourly JRA-55 data set or by the monthly CRU data set, both providing observation-based temperature, precipitation, and incoming surface radiation on a  $0.5^\circ \times 0.5^\circ$  grid and updated to 2018 (Harris et al., 2014). The combination of CRU monthly data with 6-hourly forcing from JRA-55 (Kobayashi et al., 2015) is performed with methodology used in previous years (Viovy, 2016) adapted to the specifics of the JRA-55 data. The forcing data also include global atmospheric  $\text{CO}_2$ , which changes over time (Dlugokencky and Tans, 2019), and gridded, time-dependent N deposition and N fertilisers (as used in some models; Table A1).

Two sets of simulations were performed with the DGVMs. Both applied historical changes in climate, atmospheric  $\text{CO}_2$  concentration, and N inputs. The two sets of simulations differ, however, with respect to land use: one set applies historical changes in land use, and the other a time-invariant pre-industrial land cover distribution and pre-industrial wood harvest rates. By difference of the two simulations, the dynamic evolution of vegetation biomass and soil carbon pools in response to land use change can be quantified in each model ( $E_{\text{LUC}}$ ). Using the difference between these two DGVM simulations to diagnose  $E_{\text{LUC}}$  means the DGVMs account for the loss of additional sink capacity (around  $0.4 \pm 0.3 \text{ GtC yr}^{-1}$ ; see Sect. 2.7.4), while the bookkeeping models do not.

As a criterion for inclusion in this carbon budget, we only retain models that simulate a positive  $E_{\text{LUC}}$  during the 1990s, as assessed in the IPCC AR4 (Denman et al., 2007) and AR5 (Ciais et al., 2013). All DGVMs met this criteria, although one model was not included in the  $E_{\text{LUC}}$  estimate from DGVMs as it exhibited a spurious response to the transient land cover change forcing after its initial spin-up.

### 2.2.3 Uncertainty assessment for $E_{\text{LUC}}$

Differences between the bookkeeping models and DGVM models originate from three main sources: the different methodologies, the underlying land use and land cover data set, and the different processes represented (Table A1). We examine the results from the DGVM models and from the bookkeeping method, and we use the resulting variations as a way to characterise the uncertainty in  $E_{\text{LUC}}$ .

The  $E_{\text{LUC}}$  estimate from the DGVMs multi-model mean is consistent with the average of the emissions from the bookkeeping models (Table 5). However there are large differences among individual DGVMs (standard deviation at around  $0.5 \text{ GtC yr}^{-1}$ ; Table 5), between the two bookkeeping models (average difference of  $0.7 \text{ GtC yr}^{-1}$ ), and between the current estimate of H&N2017 and its previous model version (Houghton et al., 2012). The uncertainty in  $E_{\text{LUC}}$  of  $\pm 0.7 \text{ GtC yr}^{-1}$  reflects our best value judgement that there is at least a 68 % chance ( $\pm 1\sigma$ ) that the true land use change emission lies within the given range, for the range of processes considered here. Prior to the year 1959, the uncertainty in  $E_{\text{LUC}}$  was taken from the standard deviation of the DGVMs. We assign low confidence to the annual estimates of  $E_{\text{LUC}}$  because of the inconsistencies among estimates and of the difficulties to quantify some of the processes in DGVMs.

### 2.2.4 Emissions projections

We project the 2019 land use emissions for both H&N2017 and BLUE, starting from their estimates for 2018 and adding observed changes in emissions from peat drainage (update on Hooijer et al., 2010) as well as emissions from peat fires, tropical deforestation, and degradation as estimated using active fire data (MCD14ML; Giglio et al., 2016). Those from degradation scale almost linearly with GFED over large areas (van der Werf et al., 2017) and thus allow for tracking fire emissions in deforestation and tropical peat zones in near-real time. During most years, emissions during January–September cover most of the fire season in the Amazon and Southeast Asia, where a large part of the global deforestation takes place. While the degree to which the fires in 2019 in the Amazon are related to land use change requires more scrutiny, initial analyses based on fire radiative power (FRP) of the fires detected indicate that many fires were associated with deforestation (<http://www.globalfiredata.org/forecast.html>, last access: 31 October 2019). Most fires burning in Indonesia were on peatlands, which also represent a net source of  $\text{CO}_2$ .

## 2.3 Growth rate in atmospheric $\text{CO}_2$ concentration ( $G_{\text{ATM}}$ )

### 2.3.1 Global growth rate in atmospheric $\text{CO}_2$ concentration

The rate of growth of the atmospheric  $\text{CO}_2$  concentration is provided by the US National Oceanic and Atmospheric Administration Earth System Research Laboratory (NOAA ESRL; Dlugokencky and Tans, 2019), which is updated from Ballantyne et al. (2012). For the 1959–1979 period, the global growth rate is based on measurements of atmospheric  $\text{CO}_2$  concentration averaged from the Mauna Loa and South Pole stations, as observed by the  $\text{CO}_2$  programme at Scripps Institution of Oceanography (Keeling et al., 1976). For the

**Table 5.** Comparison of results from the bookkeeping method and budget residuals with results from the DGVMs and inverse estimates for different periods, the last decade, and the last year available. All values are in gigatonnes of carbon per year. The DGVM uncertainties represent  $\pm 1\sigma$  of the decadal or annual (for 2018 only) estimates from the individual DGVMs: for the inverse models the range of available results is given. All values are rounded to the nearest 0.1 GtC and therefore columns do not necessarily add to zero.

|  | Mean (GtC yr <sup>-1</sup> ) |           |           |           |           |           |           |
|--|------------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
|  | 1960–1969                    | 1970–1979 | 1980–1989 | 1990–1999 | 2000–2009 | 2009–2018 | 2018      |
| Land use change emissions ( $E_{LUC}$ )  |                              |           |           |           |           |           |           |
| Bookkeeping methods (1a)   | 1.4 ± 0.7                    | 1.2 ± 0.7 | 1.2 ± 0.7 | 1.3 ± 0.7 | 1.4 ± 0.7 | 1.5 ± 0.7 | 1.5 ± 0.7 |
| DGVMs (1b)   | 1.3 ± 0.5                    | 1.3 ± 0.5 | 1.4 ± 0.5 | 1.2 ± 0.4 | 1.5 ± 0.4 | 2.0 ± 0.5 | 2.3 ± 0.6 |
| Terrestrial sink ( $S_{LAND}$ )  |                              |           |           |           |           |           |           |
| Residual sink from global budget ( $E_{FF} + E_{LUC} - G_{ATM} - S_{OCEAN}$ ) (2a) | 1.7 ± 0.9                    | 1.8 ± 0.9 | 1.6 ± 0.9 | 2.6 ± 0.9 | 3.0 ± 0.9 | 3.6 ± 1.0 | 3.7 ± 1.0 |
| DGVMs (2b)   | 1.3 ± 0.4                    | 2.0 ± 0.3 | 1.8 ± 0.5 | 2.4 ± 0.4 | 2.7 ± 0.6 | 3.2 ± 0.6 | 3.5 ± 0.7 |
| Total land fluxes ( $S_{LAND} - E_{LUC}$ )   |                              |           |           |           |           |           |           |
| GCB2019 Budget (2b - 1a)   | -0.2 ± 0.8                   | 0.9 ± 0.8 | 0.6 ± 0.9 | 1.0 ± 0.8 | 1.3 ± 0.9 | 1.7 ± 0.9 | 2.0 ± 1.0 |
| Budget constraint (2a - 1a)  | 0.3 ± 0.5                    | 0.6 ± 0.5 | 0.4 ± 0.6 | 1.3 ± 0.6 | 1.6 ± 0.6 | 2.1 ± 0.7 | 2.2 ± 0.7 |
| DGVMs (2b - 1b)  | -0.1 ± 0.5                   | 0.7 ± 0.6 | 0.4 ± 0.6 | 1.2 ± 0.6 | 1.1 ± 0.6 | 1.0 ± 0.8 | 1.0 ± 0.8 |
| Inversions*  | –                            | –         | -0.1–0.1  | 0.5–1.1   | 0.7–1.5   | 1.1–2.2   | 0.9–2.7   |

\* Estimates are adjusted for the pre-industrial influence of river fluxes and adjusted to common  $E_{FF}$  (Sect. 2.7.2). The ranges given include two inversions from 1980 to 1999 and three inversions from 2001 onwards (Table A3).

1980–2018 time period, the global growth rate is based on the average of multiple stations selected from the marine boundary layer sites with well-mixed background air (Ballantyne et al., 2012), after fitting each station with a smoothed curve as a function of time and averaging by latitude band (Masarie and Tans, 1995). The annual growth rate is estimated by Dlugokencky and Tans (2019) from atmospheric CO<sub>2</sub> concentration by taking the average of the most recent December–January months corrected for the average seasonal cycle and subtracting this same average 1 year earlier. The growth rate in units of parts per million per year is converted to units of gigatonnes of carbon per year by multiplying by a factor of 2.124 GtC ppm<sup>-1</sup> (Ballantyne et al., 2012).

The uncertainty around the atmospheric growth rate is due to four main factors. The first is the long-term reproducibility of reference gas standards (around 0.03 ppm for  $1\sigma$  from the 1980s; Dlugokencky and Tans, 2019). Second, small unexplained systematic analytical errors that may have a duration of several months to 2 years come and go. They have been simulated by randomising both the duration and the magnitude (determined from the existing evidence) in a Monte Carlo procedure. The third is the network composition of the marine boundary layer with some sites coming or going, gaps in the time series at each site, etc (Dlugokencky and Tans, 2019). The latter uncertainty was estimated by NOAA ESRL with a Monte Carlo method by constructing 100 “alternative” networks (Masarie and Tans, 1995; NOAA/ESRL, 2019). The second and third uncertainties, summed in quadrature, add up to 0.085 ppm on average (Dlugokencky and Tans, 2019). The fourth is the uncer-

tainty associated with using the average CO<sub>2</sub> concentration from a surface network to approximate the true atmospheric average CO<sub>2</sub> concentration (mass-weighted, in three dimensions) as needed to assess the total atmospheric CO<sub>2</sub> burden. In reality, CO<sub>2</sub> variations measured at the stations will not exactly track changes in total atmospheric burden, with offsets in magnitude and phasing due to vertical and horizontal mixing. This effect must be very small on decadal and longer timescales, when the atmosphere can be considered well mixed. Preliminary estimates suggest this effect would increase the annual uncertainty, but a full analysis is not yet available. We therefore maintain an uncertainty around the annual growth rate based on the multiple stations’ data set ranges between 0.11 and 0.72 GtC yr<sup>-1</sup>, with a mean of 0.61 GtC yr<sup>-1</sup> for 1959–1979 and 0.17 GtC yr<sup>-1</sup> for 1980–2018, when a larger set of stations was available as provided by Dlugokencky and Tans (2019), but we recognise further exploration of this uncertainty is required. At this time, we estimate the uncertainty of the decadal averaged growth rate after 1980 at 0.02 GtC yr<sup>-1</sup> based on the calibration and the annual growth rate uncertainty, but stretched over a 10-year interval. For years prior to 1980, we estimate the decadal averaged uncertainty to be 0.07 GtC yr<sup>-1</sup> based on a factor proportional to the annual uncertainty prior to and after 1980 ( $0.02 \times [0.61/0.17]$  GtC yr<sup>-1</sup>).

We assign a high confidence to the annual estimates of  $G_{ATM}$  because they are based on direct measurements from multiple and consistent instruments and stations distributed around the world (Ballantyne et al., 2012).

In order to estimate the total carbon accumulated in the atmosphere since 1750 or 1850, we use an atmospheric CO<sub>2</sub> concentration of  $277 \pm 3$  ppm or  $286 \pm 3$  ppm, respectively, based on a cubic spline fit to ice core data (Joos and Spahni, 2008). The uncertainty of  $\pm 3$  ppm (converted to  $\pm 1\sigma$ ) is taken directly from the IPCC's assessment (Ciais et al., 2013). Typical uncertainties in the growth rate in atmospheric CO<sub>2</sub> concentration from ice core data are equivalent to  $\pm 0.1$ – $0.15$  GtC yr<sup>-1</sup> as evaluated from the Law Dome data (Etheridge et al., 1996) for individual 20-year intervals over the period from 1850 to 1960 (Bruno and Joos, 1997).

### 2.3.2 Atmospheric growth rate projection

We provide an assessment of  $G_{\text{ATM}}$  for 2019 based on the monthly calculated global atmospheric CO<sub>2</sub> concentration (GLO) through August (Dlugokencky and Tans, 2019) and bias-adjusted Holt–Winters exponential smoothing with additive seasonality (Chatfield, 1978) to project to January 2020. The assessment method used this year differs from the forecast method used last year (Le Quéré et al., 2018b), which was based on the observed concentrations at Mauna Loa (MLO) only, using the historical relationship between the MLO and GLO series. Additional analysis suggests that the first half of the year shows more interannual variability than the second half of the year, so that the exact projection method applied to the second half of the year has a relatively smaller impact on the projection of the full year. Uncertainty is estimated from past variability using the standard deviation of the last 5 years' monthly growth rates.

## 2.4 Ocean CO<sub>2</sub> sink

Estimates of the global ocean CO<sub>2</sub> sink  $S_{\text{OCEAN}}$  are from an ensemble of global ocean biogeochemistry models (GOBMs, Table A2) that meet observational constraints over the 1990s (see below). We use observation-based estimates of  $S_{\text{OCEAN}}$  to provide a qualitative assessment of confidence in the reported results and two diagnostic ocean models to estimate  $S_{\text{OCEAN}}$  over the industrial era (see below).

### 2.4.1 Observation-based estimates

We use the observational constraints assessed by IPCC of a mean ocean CO<sub>2</sub> sink of  $2.2 \pm 0.4$  GtC yr<sup>-1</sup> for the 1990s (Denman et al., 2007) to verify that the GOBMs provide a realistic assessment of  $S_{\text{OCEAN}}$ . This is based on indirect observations with seven different methodologies and their uncertainties, using the methods that are deemed most reliable for the assessment of this quantity (Denman et al., 2007). The IPCC confirmed this assessment in 2013 (Ciais et al., 2013). The observational-based estimates use the ocean–land CO<sub>2</sub> sink partitioning from observed atmospheric O<sub>2</sub> and N<sub>2</sub> concentration trends (Manning and Keeling, 2006; updated in Keeling and Manning, 2014), an oceanic in-

version method constrained by ocean biogeochemistry data (Mikaloff Fletcher et al., 2006), and a method based on a penetration timescale for chlorofluorocarbons (McNeil et al., 2003). The IPCC estimate of  $2.2$  GtC yr<sup>-1</sup> for the 1990s is consistent with a range of methods (Wanninkhof et al., 2013).

We also use three estimates of the ocean CO<sub>2</sub> sink and its variability based on interpolations of measurements of surface ocean fugacity of CO<sub>2</sub> ( $p\text{CO}_2$  corrected for the non-ideal behaviour of the gas; Pfeil et al., 2013). We refer to these as  $p\text{CO}_2$ -based flux estimates. The measurements are from the Surface Ocean CO<sub>2</sub> Atlas version 2019, which is an update of version 3 (Bakker et al., 2016) and contains quality-controlled data to 2018 (see data attribution Table A4). The SOCAT v2019 data were mapped using a data-driven diagnostic method (Rödenbeck et al., 2013; referred to here as Jena-MLS), a combined self-organising map and feed-forward neural network (Landschützer et al., 2014; MPI-SOMFFN), and an artificial neural network model (Denvil-Sommer et al., 2019; Copernicus Marine Environment Monitoring Service, CMEMS). The global  $p\text{CO}_2$ -based flux estimates were adjusted to remove the pre-industrial ocean source of CO<sub>2</sub> to the atmosphere of  $0.78$  GtC yr<sup>-1</sup> from river input to the ocean (Resplandy et al., 2018), per our definition of  $S_{\text{OCEAN}}$ . Several other ocean sink products based on observations are also available but they continue to show large unresolved discrepancies with observed variability. Here we used, as in our previous annual budgets, the two  $p\text{CO}_2$ -based flux products that had the best fit to observations for their representation of tropical and global variability (Rödenbeck et al., 2015), plus CMEMS, which has a similarly good fit with observations. The CO<sub>2</sub> flux from each  $p\text{CO}_2$ -based product is scaled by the ratio of the total ocean area covered by the respective product to the total ocean area ( $361.9 \times 10^6$  km<sup>2</sup>) from ETOPO1 (Amante and Eakins, 2009; Eakins and Sharman, 2010). In products where the covered area varies with time (MPI-SOMFFN, CMEMS) we use the maximum area coverage. The data products cover 88 % (MPI-SOMFFN, CMEMS) to 101 % of the observed total ocean area, so two products are effectively corrected upwards by a factor of 1.126.

We further use results from two diagnostic ocean models of Khatiwala et al. (2013) and DeVries (2014) to estimate the anthropogenic carbon accumulated in the ocean prior to 1959. The two approaches assume constant ocean circulation and biological fluxes, with  $S_{\text{OCEAN}}$  estimated as a response in the change in atmospheric CO<sub>2</sub> concentration calibrated to observations. The uncertainty in cumulative uptake of  $\pm 20$  GtC (converted to  $\pm 1\sigma$ ) is taken directly from the IPCC's review of the literature (Rhein et al., 2013) or as about  $\pm 30$  % for the annual values (Khatiwala et al., 2009).

### 2.4.2 Global ocean biogeochemistry models (GOBMs)

The ocean CO<sub>2</sub> sink for 1959–2018 is estimated using nine GOBMs (Table A2). The GOBMs represent the physical,



chemical, and biological processes that influence the surface ocean concentration of  $\text{CO}_2$  and thus the air–sea  $\text{CO}_2$  flux. The GOBMs are forced by meteorological reanalysis and atmospheric  $\text{CO}_2$  concentration data available for the entire time period. They mostly differ in the source of the atmospheric forcing data (meteorological reanalysis), spin-up strategies, and their horizontal and vertical resolutions (Table A2). GOBMs do not include the effects of anthropogenic changes in nutrient supply, which could lead to an increase in the ocean sink of up to about  $0.3 \text{ GtC yr}^{-1}$  over the industrial period (Duce et al., 2008). They also do not include the perturbation associated with changes in riverine organic carbon (see Sect. 2.7.3).

The annual mean air–sea  $\text{CO}_2$  flux from the GOBMs is corrected for any model bias or drift by subtracting the time-dependent model bias. The time-dependent model bias is calculated as a linear fit to the annual  $\text{CO}_2$  flux from a control simulation with no climate variability and change and constant pre-industrial  $\text{CO}_2$  concentration. The absolute biases per model in the 1990s vary between 0.005 and  $0.362 \text{ GtC yr}^{-1}$ , with some models having positive and some having negative biases. The bias correction reduces the model mean ocean carbon sink by  $0.06 \text{ GtC yr}^{-1}$  in the 1990s. The  $\text{CO}_2$  flux from each model is scaled by the ratio of the total ocean area covered by the respective GOBM to the total ocean area ( $361.9 \times 10^6 \text{ km}^2$ ) from ETOPO1 (Amante and Eakins, 2009; Eakins and Sharman, 2010). The ocean models cover 97 % to 101 % of the total ocean area, so the effect of this correction is small. All models fell within the observational constraint for the 1990s before and after applying the corrections.

#### 2.4.3 GOBM evaluation and uncertainty assessment for $S_{\text{OCEAN}}$

The mean ocean  $\text{CO}_2$  sink for all GOBMs falls within 90 % confidence of the observed range, or 1.6 to  $2.8 \text{ GtC yr}^{-1}$  for the 1990s. Here we have adjusted the confidence interval to the IPCC confidence interval of 90 % to avoid rejecting models that may be outliers but are still plausible.

The GOBMs and flux products have been further evaluated using air–sea  $\text{CO}_2$  flux ( $f\text{CO}_2$ ) from the SOCAT v2019 database (Bakker et al., 2016, updated). We focused this evaluation on the root-mean-square error (RMSE) between observed  $f\text{CO}_2$  and modelled  $p\text{CO}_2$  and on a measure of the amplitude of the interannual variability of the flux (Rödenbeck et al., 2015). The amplitude of the  $S_{\text{OCEAN}}$  interannual variability (A-IAV) is calculated as the temporal standard deviation of a 12-month running mean over the  $\text{CO}_2$  flux time series (Rödenbeck et al., 2015).

The RMSE is only calculated for open-ocean (water depth > 400 m) grid points on a  $1^\circ \times 1^\circ$  monthly grid where actual observations exist. These metrics are chosen because RMSE is the most direct measure of data–model mismatch and the A-IAV is a direct measure of the variability of  $S_{\text{OCEAN}}$  on interannual timescales. We apply these metrics globally and by latitude bands (Fig. B1). Results are shown in Fig. B1 and discussed in Sect. 3.1.3.

The uncertainty around the mean ocean sink of anthropogenic  $\text{CO}_2$  was quantified by Denman et al. (2007) for the 1990s (see Sect. 2.4.1). To quantify the uncertainty around annual values, we examine the standard deviation of the GOBM ensemble, which averages  $0.3 \text{ GtC yr}^{-1}$  during 1959–2018. We estimate that the uncertainty in the annual ocean  $\text{CO}_2$  sink is about  $\pm 0.5 \text{ GtC yr}^{-1}$  from the combined uncertainty of the mean flux based on observations of  $\pm 0.4 \text{ GtC yr}^{-1}$  (Denman et al., 2007) and the standard deviation across GOBMs of up to  $\pm 0.4 \text{ GtC yr}^{-1}$ , reflecting the uncertainty in both the mean sink from observations during the 1990s (Denman et al., 2007; Sect. 2.4.1) and in the interannual variability as assessed by GOBMs.

We examine the consistency between the variability of the model-based and the  $p\text{CO}_2$ -based flux products to assess confidence in  $S_{\text{OCEAN}}$ . The interannual variability of the ocean fluxes (quantified as the standard deviation) of the three  $p\text{CO}_2$ -based flux products for 1985–2018 (where they overlap) is  $\pm 0.37 \text{ GtC yr}^{-1}$  (Jena-MLS),  $\pm 0.46 \text{ GtC yr}^{-1}$  (MPI-SOMFFN), and  $\pm 0.51 \text{ GtC yr}^{-1}$  (CMEMS). The interannual variability in the mean of the  $p\text{CO}_2$ -based flux estimates is  $\pm 0.41 \text{ GtC yr}^{-1}$  for the 1985–2018 period, compared to  $\pm 0.31 \text{ GtC yr}^{-1}$  for the GOBM ensemble. The standard deviation includes a component of trend and decadal variability in addition to interannual variability, and their relative influence differs across estimates. Individual estimates (both GOBM and flux products) generally produce a higher ocean  $\text{CO}_2$  sink during strong El Niño events. The annual  $p\text{CO}_2$ -based flux products correlate with the ocean  $\text{CO}_2$  sink estimated here with a correlation of  $r = 0.75$  (0.55 to 0.79 for individual GOBMs),  $r = 0.86$  (0.70 to 0.87), and 0.93 (0.83 to 0.93) for the  $p\text{CO}_2$ -based flux products of Jena-MLS, MPI-SOMFFN, and CMEMS, respectively (simple linear regression). The averages of the GOBM estimates and of the data-based estimates have a mutual correlation of 0.91. The agreement between the models and the flux products reflects some consistency in their representation of underlying variability since there is little overlap in their methodology or use of observations. We assess a medium confidence level for the annual ocean  $\text{CO}_2$  sink and its uncertainty because it is based on multiple lines of evidence, and the results are consistent in that the interannual variability in the GOBMs and data-based estimates is generally small compared to the variability in the growth rate of atmospheric  $\text{CO}_2$  concentration.

## 2.5 Terrestrial CO<sub>2</sub> sink

### 2.5.1 DGVM simulations

The terrestrial land sink ( $S_{\text{LAND}}$ ) is thought to be due to the combined effects of fertilisation by rising atmospheric CO<sub>2</sub> and N inputs on plant growth, as well as the effects of climate change such as the lengthening of the growing season in northern temperate and boreal areas.  $S_{\text{LAND}}$  does not include land sinks directly resulting from land use and land use change (e.g. regrowth of vegetation) as these are part of the land use flux ( $E_{\text{LUC}}$ ), although system boundaries make it difficult to exactly attribute CO<sub>2</sub> fluxes on land between  $S_{\text{LAND}}$  and  $E_{\text{LUC}}$  (Erb et al., 2013).

$S_{\text{LAND}}$  is estimated from the multi-model mean of 16 DGVMs (Table 4). As described in Sect. 2.2.2, DGVM simulations include all climate variability and CO<sub>2</sub> effects over land, with some DGVMs also including the effect of N inputs. The DGVMs do not include the export of carbon to aquatic systems or its historical perturbation, which is discussed in Sect. 2.7.3.

### 2.5.2 DGVM evaluation and uncertainty assessment for $S_{\text{LAND}}$

We apply three criteria for minimum DGVM realism by including only those DGVMs with (1) steady state after spin up; (2) net land fluxes ( $S_{\text{LAND}} - E_{\text{LUC}}$ ), that is an atmosphere-to-land carbon flux over the 1990s ranging between  $-0.3$  and  $2.3 \text{ GtC yr}^{-1}$ , within 90 % confidence of constraints by global atmospheric and oceanic observations (Keeling and Manning, 2014; Wanninkhof et al., 2013); and (3) global  $E_{\text{LUC}}$  that is a carbon source to the atmosphere over the 1990s, as mentioned in Sect. 2.2.2. All 16 DGVMs meet these three criteria.

In addition, the DGVM results are also evaluated using the International Land Model Benchmarking system (ILAMB; Collier et al., 2018). This evaluation is provided here to document, encourage, and support model improvements through time. ILAMB variables cover key processes that are relevant for the quantification of  $S_{\text{LAND}}$  and resulting aggregated outcomes. The selected variables are vegetation biomass, gross primary productivity, leaf area index, net ecosystem exchange, ecosystem respiration, evapotranspiration, soil carbon, and runoff (see Fig. B2 for the results and for the list of observed databases). Results are shown in Fig. B2 and discussed in Sect. 3.1.3.

For the uncertainty for  $S_{\text{LAND}}$ , we use the standard deviation of the annual CO<sub>2</sub> sink across the DGVMs, averaging to about  $\pm 0.6 \text{ GtC yr}^{-1}$  for the period from 1959 to 2018. We attach a medium confidence level to the annual land CO<sub>2</sub> sink and its uncertainty because the estimates from the residual budget and averaged DGVMs match well within their respective uncertainties (Table 5).

## 2.6 The atmospheric perspective

The worldwide network of atmospheric measurements can be used with atmospheric inversion methods to constrain the location of the combined total surface CO<sub>2</sub> fluxes from all sources, including fossil and land use change emissions and land and ocean CO<sub>2</sub> fluxes. The inversions assume  $E_{\text{FF}}$  to be well known, and they solve for the spatial and temporal distribution of land and ocean fluxes from the residual gradients of CO<sub>2</sub> between stations that are not explained by fossil fuel emissions.

Three atmospheric inversions (Table A3) used atmospheric CO<sub>2</sub> data to the end of 2018 (including preliminary values in some cases) to infer the spatio-temporal distribution of the CO<sub>2</sub> flux exchanged between the atmosphere and the land or oceans. We focus here on the largest and most consistent sources of information, namely the total land and ocean CO<sub>2</sub> flux and their partitioning among the mid-latitude to high-latitude region of the Northern Hemisphere (30–90° N), the tropics (30° S–30° N), and the mid-latitude to high-latitude region of the Southern Hemisphere (30–90° S). We also break down those estimates for the land and ocean regions separately, to further scrutinise the constraints from atmospheric observations. We use these estimates to comment on the consistency across various data streams and process-based estimates.

### 2.6.1 Atmospheric inversions

The three inversion systems used in this release are the CarbonTracker Europe (CTE; Van Der Laan-Luijkx et al., 2017), the Jena CarboScope (Rödenbeck, 2005, with updates from Rödenbeck et al., 2018), and the Copernicus Atmosphere Monitoring Service (CAMS; Chevallier et al., 2005). See Table A3 for version numbers. The inversions are based on Bayesian inversion principles with prior information on fluxes and their uncertainty that interpret the same, for the most part, observed time series (or subsets thereof), but use different methodologies (Table A3). These differences mainly concern the selection of atmospheric CO<sub>2</sub> data, the used prior fluxes, spatial breakdown (i.e. grid size), assumed correlation structures, and mathematical approach. The details of these approaches are documented extensively in the references provided above. Each system uses a different transport model, which was demonstrated to be a driving factor behind differences in atmospheric-based flux estimates and specifically their distribution across latitudinal bands (e.g. Gaubert et al., 2019).

The inversions use atmospheric CO<sub>2</sub> observations from various flask and in situ networks, as detailed in Table A3. They prescribe global fossil fuel emissions, which is already scaled to the present estimate of  $E_{\text{FF}}$  for CAMS, while CTE and CarboScope used slightly different  $E_{\text{FF}}$  values ( $< 0.39 \text{ GtC yr}^{-1}$ ) based on alternative emissions compilations. Since this is known to result in different total CO<sub>2</sub> uptake

in atmospheric inversions (Peylin et al., 2013; Gaubert et al., 2019), we adjusted the land sink of each inversion estimate (where most of the fossil fuel emissions occur) by its fossil fuel difference to the CAMS model. These differences amount to up to 0.5 GtC for certain years in the Northern Hemisphere and are thus an important consideration in an inverse flux comparison.

The land–ocean CO<sub>2</sub> fluxes from atmospheric inversions contain anthropogenic perturbation and natural pre-industrial CO<sub>2</sub> fluxes. Natural pre-industrial fluxes are primarily land CO<sub>2</sub> sinks and ocean CO<sub>2</sub> sources corresponding to carbon taken up on land, transported by rivers from land to ocean, and outgassed by the ocean. These pre-industrial land CO<sub>2</sub> sinks are thus compensated for over the globe by ocean CO<sub>2</sub> sources corresponding to the outgassing of riverine carbon inputs to the ocean. We apply the distribution of land-to-ocean C fluxes from rivers in three latitude bands using estimates from Resplandy et al. (2018), which are constrained by ocean heat transport to a total land-to-ocean carbon transfer of 0.78 GtC yr<sup>-1</sup>. The latitude distribution of river-induced ocean CO<sub>2</sub> sources (north: 0.20 GtC yr<sup>-1</sup>; tropics: 0.19 GtC yr<sup>-1</sup>; south: 0.38 GtC yr<sup>-1</sup>) is derived from a simulation of the IPSL GOBM using as an input the river flux constrained by heat transport of Resplandy et al. (2018). To facilitate the comparison, we adjusted the inversion estimates of the land and ocean fluxes per latitude band with these numbers based on these results to produce historical perturbation CO<sub>2</sub> fluxes from inversions.

The atmospheric inversions are also evaluated using vertical profiles of atmospheric CO<sub>2</sub> concentrations (Fig. B3). More than 30 aircraft programmes over the globe, either regular programmes or repeated surveys over at least 9 months, have been used in order to draw a robust picture of the model performance (with space-time data coverage irregular and denser in the 0–45° N latitude band). The three models are compared to the independent aircraft CO<sub>2</sub> measurements between 2 and 7 km above sea level between 2001 and 2017. Results are shown in Fig. B3 and discussed in Sect. 3.1.3.

## 2.7 Processes not included in the global carbon budget

The contribution of anthropogenic CO and CH<sub>4</sub> to the global carbon budget is not fully accounted for in Eq. (1) and is described in Sect. 2.7.1. The contributions of other carbonates to CO<sub>2</sub> emissions is described in Sect. 2.7.2. The contribution of anthropogenic changes in river fluxes is conceptually included in Eq. (1) in  $S_{\text{OCEAN}}$  and in  $S_{\text{LAND}}$ , but it is not represented in the process models used to quantify these fluxes. This effect is discussed in Sect. 2.7.3. Similarly, the loss of additional sink capacity from reduced forest cover is missing in the combination of approaches used here to estimate both land fluxes ( $E_{\text{LUC}}$  and  $S_{\text{LAND}}$ ), and their potential effect is discussed and quantified in Sect 2.7.4.

### 2.7.1 Contribution of anthropogenic CO and CH<sub>4</sub> to the global carbon budget

Equation (1) only partly includes the net input of CO<sub>2</sub> to the atmosphere from the chemical oxidation of reactive carbon-containing gases from sources other than the combustion of fossil fuels, such as (1) cement process emissions since these do not come from combustion of fossil fuels, (2) the oxidation of fossil fuels, and (3) the assumption of immediate oxidation of vented methane in oil production. It omits however any other anthropogenic carbon-containing gases that are eventually oxidised in the atmosphere, such as anthropogenic emissions of CO and CH<sub>4</sub>. An attempt is made in this section to estimate their magnitude and identify the sources of uncertainty. Anthropogenic CO emissions are from incomplete fossil fuel and biofuel burning and deforestation fires. The main anthropogenic emissions of fossil CH<sub>4</sub> that matter for the global carbon budget are the fugitive emissions of coal, oil, and gas upstream sectors (see below). These emissions of CO and CH<sub>4</sub> contribute a net addition of fossil carbon to the atmosphere.

In our estimate of  $E_{\text{FF}}$  we assumed (Sect. 2.1.1) that all the fuel burned is emitted as CO<sub>2</sub>; thus CO anthropogenic emissions associated with incomplete combustion and their atmospheric oxidation into CO<sub>2</sub> within a few months are already counted implicitly in  $E_{\text{FF}}$  and should not be counted twice (same for  $E_{\text{LUC}}$  and anthropogenic CO emissions by deforestation fires). Anthropogenic emissions of fossil CH<sub>4</sub> are not included in  $E_{\text{FF}}$  because these fugitive emissions are not included in the fuel inventories. Yet they contribute to the annual CO<sub>2</sub> growth rate after CH<sub>4</sub> gets oxidised into CO<sub>2</sub>. Anthropogenic emissions of fossil CH<sub>4</sub> represent 15 % of total CH<sub>4</sub> emissions (Kirschke et al., 2013), that is 0.072 GtC yr<sup>-1</sup> for the past decade. Assuming steady state, these emissions are all converted to CO<sub>2</sub> by OH oxidation, and thus they explain 0.06 GtC yr<sup>-1</sup> of the global CO<sub>2</sub> growth rate in the past decade, or 0.07–0.1 GtC yr<sup>-1</sup> using the higher CH<sub>4</sub> emissions reported recently (Schwietzke et al., 2016).

Other anthropogenic changes in the sources of CO and CH<sub>4</sub> from wildfires, vegetation biomass, wetlands, ruminants, or permafrost are similarly assumed to have a small effect on the CO<sub>2</sub> growth rate. The CH<sub>4</sub> and CO emissions and sinks are published and analysed separately in the global methane budget and global carbon monoxide budget publications, which follow a similar approach to that presented here (Saunio et al., 2016; Zheng et al., 2019).

### 2.7.2 Contribution of other carbonates to CO<sub>2</sub> emissions

The contribution of fossil carbonates other than cement production is not systematically included in estimates of  $E_{\text{FF}}$ , except at the national level where they are accounted for in the UNFCCC national inventories. The missing processes include CO<sub>2</sub> emissions associated with the calcination of lime

and limestone outside cement production and the reabsorption of  $\text{CO}_2$  by the rocks and concrete from carbonation through their lifetime (Xi et al., 2016). Carbonates are used in various industries, including in iron and steel manufacture and in agriculture. They are found naturally in some coals. Carbonation from the cement life cycle, including demolition and crushing, was estimated by one study to be around  $0.25 \text{ GtC yr}^{-1}$  for the year 2013 (Xi et al., 2016). Carbonation emissions from the cement life cycle would offset calcination emissions from lime and limestone production. The balance of these two processes is not clear.

### 2.7.3 Anthropogenic carbon fluxes in the land-to-ocean aquatic continuum

The approach used to determine the global carbon budget refers to the mean, variations, and trends in the perturbation of  $\text{CO}_2$  in the atmosphere, referenced to the pre-industrial era. Carbon is continuously displaced from the land to the ocean through the land–ocean aquatic continuum (LOAC) comprising freshwaters, estuaries, and coastal areas (Bauer et al., 2013; Regnier et al., 2013). A significant fraction of this lateral carbon flux is entirely “natural” and is thus a steady-state component of the pre-industrial carbon cycle. We account for this pre-industrial flux where appropriate in our study. However, changes in environmental conditions and land use change have caused an increase in the lateral transport of carbon into the LOAC – a perturbation that is relevant for the global carbon budget presented here.

The results of the analysis of Regnier et al. (2013) can be summarised in two points of relevance for the anthropogenic  $\text{CO}_2$  budget. First, the anthropogenic perturbation has increased the organic carbon export from terrestrial ecosystems to the hydrosphere by as much as  $1.0 \pm 0.5 \text{ GtC yr}^{-1}$  since pre-industrial times, mainly owing to enhanced carbon export from soils. Second, this exported anthropogenic carbon is partly respired through the LOAC, partly sequestered in sediments along the LOAC, and to a lesser extent transferred to the open ocean where it may accumulate. The increase in storage of land-derived organic carbon in the LOAC and open ocean combined is estimated by Regnier et al. (2013) at  $0.65 \pm 0.35 \text{ GtC yr}^{-1}$ . We do not attempt to incorporate the changes in LOAC in our study.

The inclusion of freshwater fluxes of anthropogenic  $\text{CO}_2$  affects the estimates of, and partitioning between,  $S_{\text{LAND}}$  and  $S_{\text{OCEAN}}$  in Eq. (1) but does not affect the other terms. This effect is not included in the GOBMs and DGVMs used in our global carbon budget analysis presented here.

### 2.7.4 Loss of additional sink capacity

Historical land cover change was dominated by transitions from vegetation types that can provide a large carbon sink per area unit (typically forests) to others less efficient in removing  $\text{CO}_2$  from the atmosphere (typically croplands). The

resultant decrease in land sink, called the “loss of sink capacity”, is calculated as the difference between the actual land sink under changing land cover and the counterfactual land sink under pre-industrial land cover. An efficient protocol has yet to be designed to estimate the magnitude of the loss of additional sink capacity in DGVMs. Here, we provide a quantitative estimate of this term to be used in the discussion. Our estimate uses the compact Earth system model OSCAR whose land carbon cycle component is designed to emulate the behaviour of DGVMs (Gasser et al., 2017). We use OSCAR v2.2.1 (an update of v2.2 with minor changes) in a probabilistic setup identical to the one of Arneeth et al. (2017) but with a Monte Carlo ensemble of 2000 simulations. For each, we calculate  $S_{\text{LAND}}$  and the loss of additional sink capacity separately. We then constrain the ensemble by weighting each member to obtain a distribution of cumulative  $S_{\text{LAND}}$  over 1850–2005 close to the DGVMs used here. From this ensemble, we estimate a loss of additional sink capacity of  $0.4 \pm 0.3 \text{ GtC yr}^{-1}$  on average over 2005–2014 and of about  $20 \pm 15 \text{ GtC}$  when accumulated between 1850 and 2018 (using a linear extrapolation of the trend to estimate the last few years).

## 3 Results

### 3.1 Global carbon budget mean and variability for 1959–2018

The global carbon budget averaged over the last half-century is shown in Fig. 3. For this time period, 82 % of the total emissions ( $E_{\text{FF}} + E_{\text{LUC}}$ ) were caused by fossil  $\text{CO}_2$  emissions and 18 % by land use change. The total emissions were partitioned among the atmosphere (45 %), ocean (24 %), and land (29 %), with an unattributed budget imbalance (2 %). All components except land use change emissions have significantly grown since 1959, with important interannual variability in the growth rate in atmospheric  $\text{CO}_2$  concentration and in the land  $\text{CO}_2$  sink (Fig. 4) and some decadal variability in all terms (Table 6). Differences with previous budget releases are documented in Fig. B4.

#### 3.1.1 $\text{CO}_2$ emissions

Global fossil  $\text{CO}_2$  emissions have increased every decade from an average of  $3.0 \pm 0.2 \text{ GtC yr}^{-1}$  in the 1960s to an average of  $9.5 \pm 0.5 \text{ GtC yr}^{-1}$  during 2009–2018 (Table 6, Figs. 2 and 5). The growth rate in these emissions decreased between the 1960s and the 1990s, from  $4.4 \% \text{ yr}^{-1}$  in the 1960s (1960–1969) to  $2.8 \% \text{ yr}^{-1}$  in the 1970s (1970–1979),  $1.9 \% \text{ yr}^{-1}$  in the 1980s (1980–1989), and  $0.9 \% \text{ yr}^{-1}$  in the 1990s (1990–1999). After this period, the growth rate began increasing again in the 2000s at an average growth rate of  $3.0 \% \text{ yr}^{-1}$ , decreasing to  $0.9 \% \text{ yr}^{-1}$  for 2010–2018, with  $1.3 \% \text{ yr}^{-1}$  for the last decade (2009–2018).

**Table 6.** Decadal mean in the five components of the anthropogenic CO<sub>2</sub> budget for different periods and the last year available. All values are in gigatonnes of carbon per year, and uncertainties are reported as  $\pm 1\sigma$ . The table also shows the budget imbalance ( $B_{IM}$ ), which provides a measure of the discrepancies among the nearly independent estimates and has an uncertainty exceeding  $\pm 1$  GtC yr<sup>-1</sup>. A positive imbalance means the emissions are overestimated and/or the sinks are too small. All values are rounded to the nearest 0.1 GtC and therefore columns do not necessarily add to zero.

|  | Mean (GtC yr <sup>-1</sup> ) |            |            |            |            |            | 2018       |
|--|------------------------------|------------|------------|------------|------------|------------|------------|
|  | 1960–1969                    | 1970–1979  | 1980–1989  | 1990–1999  | 2000–2009  | 2009–2018  |            |
| Total emissions ( $E_{FF} + E_{LUC}$ )                                 |                              |            |            |            |            |            |            |
| Fossil CO <sub>2</sub> emissions ( $E_{FF}$ )                          | 3.0 ± 0.2                    | 4.7 ± 0.2  | 5.5 ± 0.3  | 6.4 ± 0.3  | 7.8 ± 0.4  | 9.5 ± 0.5  | 10.0 ± 0.5 |
| Land use change emissions ( $E_{LUC}$ )                                | 1.4 ± 0.7                    | 1.2 ± 0.7  | 1.2 ± 0.7  | 1.3 ± 0.7  | 1.4 ± 0.7  | 1.5 ± 0.7  | 1.5 ± 0.7  |
| Total emissions  | 4.5 ± 0.7                    | 5.8 ± 0.7  | 6.7 ± 0.8  | 7.7 ± 0.8  | 9.2 ± 0.8  | 11.0 ± 0.8 | 11.5 ± 0.9 |
| Partitioning   |                              |            |            |            |            |            |            |
| Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{ATM}$ ) | 1.8 ± 0.07                   | 2.8 ± 0.07 | 3.4 ± 0.02 | 3.1 ± 0.02 | 4.0 ± 0.02 | 4.9 ± 0.02 | 5.1 ± 0.2  |
| Ocean sink ( $S_{OCEAN}$ )   | 1.0 ± 0.6                    | 1.3 ± 0.6  | 1.7 ± 0.6  | 2.0 ± 0.6  | 2.2 ± 0.6  | 2.5 ± 0.6  | 2.6 ± 0.6  |
| Terrestrial sink ( $S_{LAND}$ )  | 1.3 ± 0.4                    | 2.0 ± 0.3  | 1.8 ± 0.5  | 2.4 ± 0.4  | 2.7 ± 0.6  | 3.2 ± 0.6  | 3.5 ± 0.7  |
| Budget imbalance   |                              |            |            |            |            |            |            |
| $B_{IM} = E_{FF} + E_{LUC} - (G_{ATM} + S_{OCEAN} + S_{LAND})$         | 0.5                          | -0.2       | -0.2       | 0.3        | 0.3        | 0.4        | 0.3        |

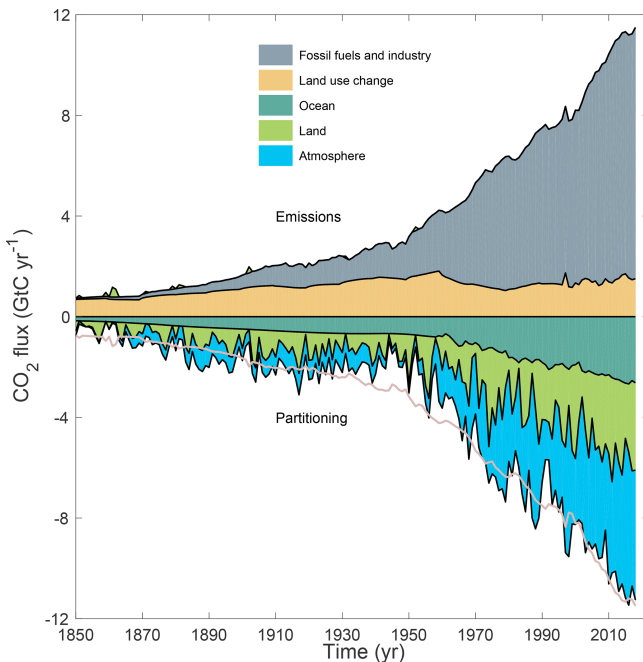
In contrast, CO<sub>2</sub> emissions from land use, land use change, and forestry have remained relatively constant, at around  $1.3 \pm 0.7$  GtC yr<sup>-1</sup> over the past half-century (Table 6) but with large spread across estimates (Table 5, Fig. 6). These emissions are also relatively constant in the DGVM ensemble of models, except during the last decade when they increase to  $2.0 \pm 0.5$  GtC yr<sup>-1</sup>. However, there is no agreement on this recent increase between the two bookkeeping models, each suggesting an opposite trend (Fig. 6).

### 3.1.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric CO<sub>2</sub> level increased from  $1.8 \pm 0.07$  GtC yr<sup>-1</sup> in the 1960s to  $4.9 \pm 0.02$  GtC yr<sup>-1</sup> during 2009–2018 with important decadal variations (Table 6 and Fig. 2). Both ocean and land CO<sub>2</sub> sinks have increased roughly in line with the atmospheric increase, but with significant decadal variability on land (Table 6 and Fig. 6) and possibly in the ocean (Fig. 7). The ocean CO<sub>2</sub> sink increased from  $1.0 \pm 0.6$  GtC yr<sup>-1</sup> in the 1960s to  $2.5 \pm 0.6$  GtC yr<sup>-1</sup> during 2009–2018, with interannual variations of the order of a few tenths of gigatonnes of carbon per year generally showing an increased ocean sink during large El Niño events (i.e. 1997–1998) (Fig. 7; Rödenbeck et al., 2014). There is coherence among the GOBMs and  $pCO_2$ -based flux products regarding the mean and the patterns of temporal variability; however, the ocean models underestimate the magnitude of decadal variability (Sect. 2.4.3 and Fig. 7; DeVries et al., 2019).

The terrestrial CO<sub>2</sub> sink increased from  $1.3 \pm 0.4$  GtC yr<sup>-1</sup> in the 1960s to  $3.2 \pm 0.7$  GtC yr<sup>-1</sup> during 2009–2018, with important interannual variations of up to 2 GtC yr<sup>-1</sup> generally showing a decreased land sink during El Niño events (Fig. 6), responsible for the corresponding enhanced growth rate in atmospheric CO<sub>2</sub> concentration. The larger land CO<sub>2</sub> sink during 2009–2018 compared to the 1960s is reproduced by all the DGVMs in response to the combined atmospheric CO<sub>2</sub> increase and the changes in climate and consistent with constraints from the other budget terms (Table 5).

The total atmosphere-to-land fluxes ( $S_{LAND} - E_{LUC}$ ), calculated here as the difference between  $S_{LAND}$  from the DGVMs and  $E_{LUC}$  from the bookkeeping models, increased from a  $0.2 \pm 0.8$  GtC yr<sup>-1</sup> source in the 1960s to a  $1.7 \pm 0.9$  GtC yr<sup>-1</sup> sink during 2009–2018 (Table 5). Estimates of total atmosphere-to-land fluxes ( $S_{LAND} - E_{LUC}$ ) from the DGVMs alone are consistent with our estimate and also with the global carbon budget constraint ( $E_{FF} - G_{ATM} - S_{OCEAN}$ , Table 5), except during 2009–2018, where the DGVM ensemble estimates a total atmosphere-to-land flux of  $1.0 \pm 0.8$  GtC yr<sup>-1</sup>, likely below both our estimate of  $1.7 \pm 0.9$  GtC yr<sup>-1</sup> and the carbon budget constraint of  $2.1 \pm 0.7$  GtC yr<sup>-1</sup> but still within the range of the inversions ( $1.1$ – $2.2$  GtC yr<sup>-1</sup>) (Table 5). Over the last decade, the land use emission estimate from the DGVMs is significantly larger than the bookkeeping estimate, mainly explaining why the DGVMs' total atmosphere-to-land flux estimate is lower than the other estimates.



**Figure 3.** Combined components of the global carbon budget illustrated in Fig. 2 as a function of time, for fossil CO<sub>2</sub> emissions ( $E_{FF}$ , grey) and emissions from land use change ( $E_{LUC}$ , brown), as well as their partitioning among the atmosphere ( $G_{ATM}$ , blue), ocean ( $S_{OCEAN}$ , turquoise), and land ( $S_{LAND}$ , green). The partitioning is based on nearly independent estimates from observations (for  $G_{ATM}$ ) and from process model ensembles constrained by data (for  $S_{OCEAN}$  and  $S_{LAND}$ ), and it does not exactly add up to the sum of the emissions, resulting in a budget imbalance, which is represented by the difference between the bottom pink line (reflecting total emissions) and the sum of the ocean, land, and atmosphere. All time series are in gigatonnes of carbon per year.  $G_{ATM}$  and  $S_{OCEAN}$  prior to 1959 are based on different methods.  $E_{FF}$  is primarily from Gilfillan et al. (2019), with uncertainty of about  $\pm 5\%$  ( $\pm 1\sigma$ );  $E_{LUC}$  is from two bookkeeping models (Table 2) with uncertainties of about  $\pm 50\%$ ;  $G_{ATM}$  prior to 1959 is from Joos and Spahni (2008) with uncertainties equivalent to about  $\pm 0.1$ – $0.15$  GtC yr<sup>-1</sup> and from Dlugokencky and Tans (2019) from 1959 with uncertainties of about  $\pm 0.2$  GtC yr<sup>-1</sup>;  $S_{OCEAN}$  prior to 1959 is averaged from Khatiwala et al. (2013) and DeVries (2014) with uncertainty of about  $\pm 30\%$  and from a multi-model mean (Table 4) from 1959 with uncertainties of about  $\pm 0.5$  GtC yr<sup>-1</sup>;  $S_{LAND}$  is a multi-model mean (Table 4) with uncertainties of about  $\pm 0.9$  GtC yr<sup>-1</sup>. See the text for more details of each component and their uncertainties.

### 3.1.3 Model evaluation

The evaluation of the ocean estimates (Fig. B1) shows a RMSE of 15 to 17  $\mu\text{atm}$  for the three  $p\text{CO}_2$ -based flux products over the globe, relative to the  $p\text{CO}_2$  observations from the SOCAT v2019 database for the period 1985–2018. The GOBM RMSEs are a factor of 2 to 3 larger and range between 29 and 49  $\mu\text{atm}$ . The RMSEs are generally larger at high latitudes compared to the tropics, for both the flux prod-

ucts and the GOBMs. The three flux products have similar RMSEs of around 12 to 14  $\mu\text{atm}$  in the tropics, around 17 to 18  $\mu\text{atm}$  in the north, and 17 to 24  $\mu\text{atm}$  in the south. Note that the flux products are based on the SOCAT v2019 database; hence these are no independent data sets for the evaluation of the flux products. The GOBM RMSEs are more spread across regions, ranging from 21 to 34  $\mu\text{atm}$  in the tropics, 32 to 48  $\mu\text{atm}$  in the north, and 31 to 77  $\mu\text{atm}$  in the south. The higher RMSEs occur in regions with stronger climate variability, such as the northern and southern high latitudes (poleward of the subtropical gyres).

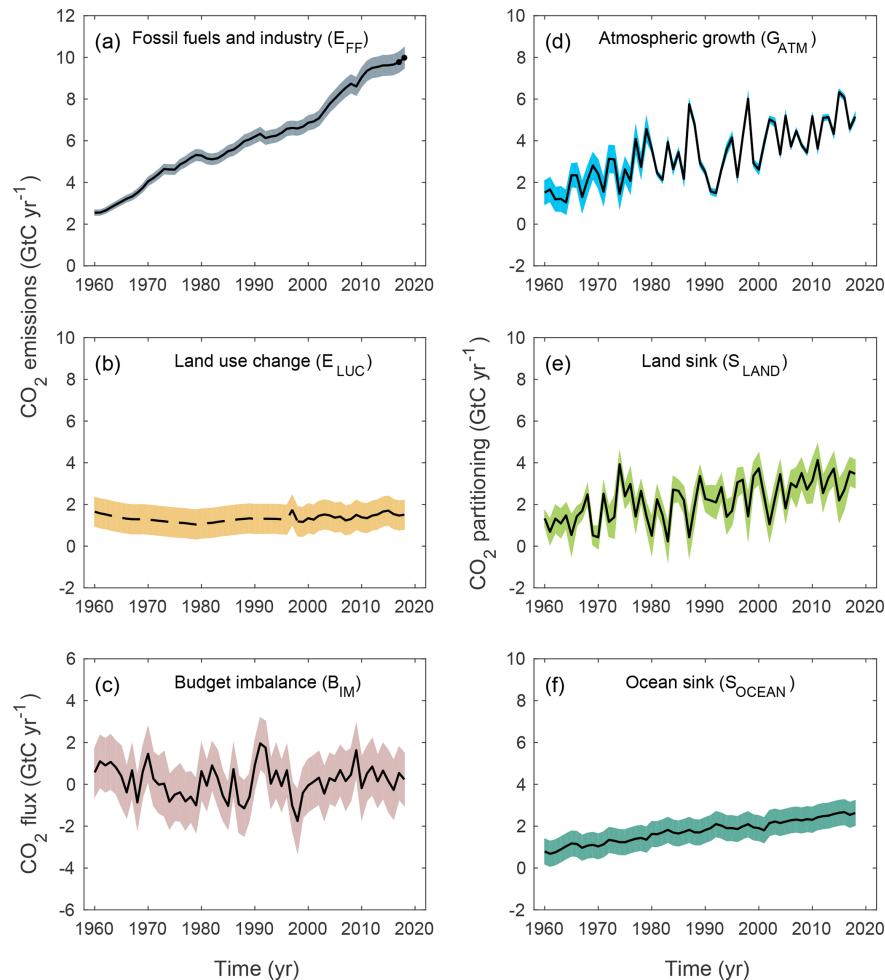
The evaluation of the DGVMs (Fig. B2) shows generally high skill scores across models for runoff, and to a lesser extent for vegetation biomass, gross primary productivity (GPP), and ecosystem respiration (Fig. B2, left panel). Skill score was lowest for leaf area index and net ecosystem exchange, with the widest disparity among models for soil carbon. Further analysis of the results will be provided separately, focusing on the strengths and weaknesses in the DGVM ensemble and its validity for use in the global carbon budget.

The evaluation of the atmospheric inversions (Fig. B3) shows long-term mean biases in the free troposphere better than 0.4 ppm in absolute values for each product. These biases show some dependency on latitude and are different for each inverse model, which may reveal biases in the surface fluxes (e.g. Houweling et al., 2015). Such model- and campaign-specific performance will be analysed separately.

### 3.1.4 Budget imbalance

The carbon budget imbalance ( $B_{IM}$ , Eq. 1) quantifies the mismatch between the estimated total emissions and the estimated changes in the atmosphere, land, and ocean reservoirs. The mean budget imbalance from 1959 to 2018 is small (average of 0.17 GtC yr<sup>-1</sup>) and shows no trend over the full time series. The process models (GOBMs and DGVMs) have been selected to match observational constraints in the 1990s but no further constraints have been applied to their representation of trend and variability. Therefore, the near-zero mean and trend in the budget imbalance is indirect evidence of a coherent community understanding of the emissions and their partitioning on those timescales (Fig. 4). However, the budget imbalance shows substantial variability of the order of  $\pm 1$  GtC yr<sup>-1</sup>, particularly over semi-decadal timescales, although most of the variability is within the uncertainty of the estimates. The positive carbon imbalance during the 1960s, early 1990s, and in the last decade suggests that either the emissions were overestimated or the sinks were underestimated during these periods. The reverse is true for the 1970s and around 1995–2000 (Fig. 4).

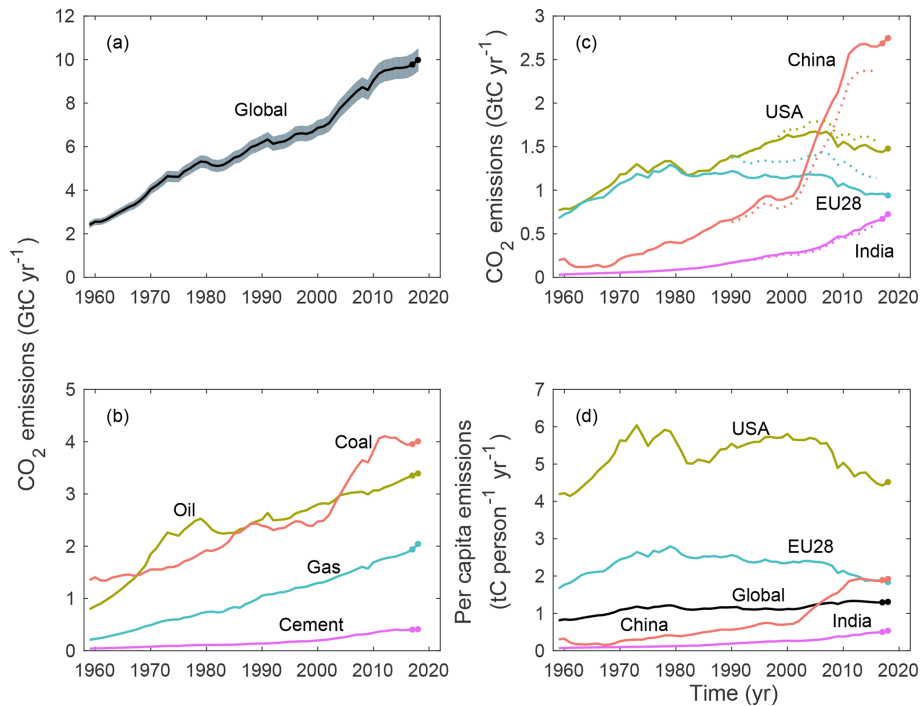
We cannot attribute the cause of the variability in the budget imbalance with our analysis. We only note that the budget imbalance is unlikely to be explained by errors or biases in the emissions alone because of its large semi-decadal vari-



**Figure 4.** Components of the global carbon budget and their uncertainties as a function of time, presented individually for (a) fossil CO<sub>2</sub> emissions ( $E_{FF}$ ), (b) emissions from land use change ( $E_{LUC}$ ), (c) the budget imbalance that is not accounted for by the other terms, (d) growth rate in atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ ), and (e) the land CO<sub>2</sub> sink ( $S_{LAND}$ , positive indicates a flux from the atmosphere to the land), and (f) the ocean CO<sub>2</sub> sink ( $S_{OCEAN}$ , positive indicates a flux from the atmosphere to the ocean). All time series are in gigatonnes of carbon per year with the uncertainty bounds representing  $\pm 1\sigma$  in shaded colour. Data sources are as in Fig. 3. The black dots in (a) show values for 2017–2018 that originate from a different data set to the remainder of the data (see text). The dashed line in (b) identifies the pre-satellite period before the inclusion of emissions from peatland burning.

ability component, a variability that is untypical of emissions and has not changed in the past 50 years in spite of a near tripling in emissions (Fig. 4). Errors in  $S_{LAND}$  and  $S_{OCEAN}$  are more likely to be the main cause for the budget imbalance. For example, underestimation of the  $S_{LAND}$  by DGVMs has been reported following the eruption of Mount Pinatubo in 1991 possibly due to missing responses to changes in diffuse radiation (Mercado et al., 2009) or other yet unknown factors, and DGVMs are suspected to overestimate the land sink in response to the wet decade of the 1970s (Sitch et al., 2008). Decadal and semi-decadal variability in the ocean sink has also been reported recently (DeVries et al., 2019, 2017; Landschützer et al., 2015), with the  $pCO_2$ -based ocean flux products and a decadal ocean inverse model suggesting a smaller-than-expected ocean CO<sub>2</sub> sink in the 1990s

and a larger than expected sink in the 2000s (Fig. 7; DeVries et al., 2019). The decadal variability is possibly caused by changes in ocean circulation (DeVries et al., 2017) not captured in coarse resolution GOBMs used here (Dufour et al., 2013), or by internal variability, which is not captured by single realisations of coarse resolution model simulations (Li and Ilyina, 2018). The decadal variability is thought to be largest in regions with strong seasonal and interannual climate variability, i.e. the high latitude ocean regions (poleward of the subtropical gyres) and the equatorial Pacific (Li and Ilyina, 2018; McKinley et al., 2016). Some of these errors could be driven by errors in the climatic forcing data, particularly precipitation (for  $S_{LAND}$ ) and wind (for  $S_{OCEAN}$ ) rather than in the models.



**Figure 5.** Fossil CO<sub>2</sub> emissions for (a) the globe, including an uncertainty of  $\pm 5\%$  (grey shading), and the emissions extrapolated using BP energy statistics (black dots), (b) global emissions by fuel type, including coal (salmon), oil (olive), gas (turquoise), and cement (purple), and excluding gas flaring, which is small (0.6% in 2013). (c) Territorial (solid lines) and consumption (dashed lines) emissions for the top three country emitters (USA – olive; China – salmon; India – purple) and for the European Union (EU; turquoise for the 28 member states of the EU as of 2012) and (d) per capita emissions for the top three country emitters and the EU (all colours as in panel c) and the world (black). In (b)–(c), the dots show the data that were extrapolated from BP energy statistics for 2017–2018. All time series are in gigatonnes of carbon per year except the per capita emissions (d), which are in tonnes of carbon per person per year (tC per person per year). Territorial emissions are primarily from Gilfillan et al. (2019) except national data for the USA and EU28 (the 28 member states of the EU) for 1990–2017, which are reported by the countries to the UNFCCC as detailed in the text; consumption-based emissions are updated from Peters et al. (2011a). See Sect. 2.1.1 for details of the calculations and data sources.

### 3.2 Global carbon budget for the last decade (2009–2018)

The global carbon budget averaged over the last decade (2009–2018) is shown in Figs. 2 and 9. For this time period, 86% of the total emissions ( $E_{FF} + E_{LUC}$ ) were from fossil CO<sub>2</sub> emissions ( $E_{FF}$ ) and 14% from land use change ( $E_{LUC}$ ). The total emissions were partitioned among the atmosphere (44%), ocean (23%), and land (29%), with an unattributed budget imbalance (4%).

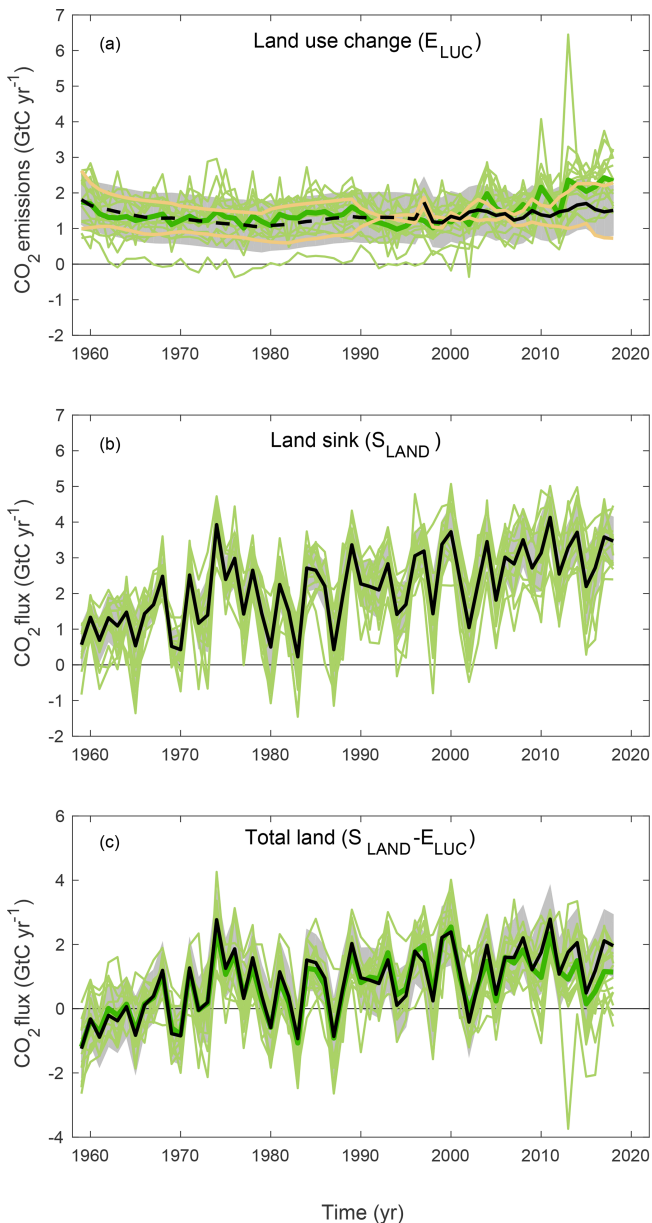
#### 3.2.1 CO<sub>2</sub> emissions

Global fossil CO<sub>2</sub> emissions have grown at a rate of  $1.3\% \text{ yr}^{-1}$  for the last decade (2009–2018). China's emissions increased by  $+2.2\% \text{ yr}^{-1}$  on average (increasing by  $+0.063 \text{ GtC yr}^{-1}$  during the 10-year period) dominating the global trend, followed by India's emissions increase by  $+5.1\% \text{ yr}^{-1}$  (increasing by  $+0.025 \text{ GtC yr}^{-1}$ ), while emissions decreased in EU28 by  $-1.4\% \text{ yr}^{-1}$  (decreasing by  $-0.010 \text{ GtC yr}^{-1}$ ) and in the USA by  $-0.5\% \text{ yr}^{-1}$  (decreasing by  $-0.002 \text{ GtC yr}^{-1}$ ). In the past decade, fossil

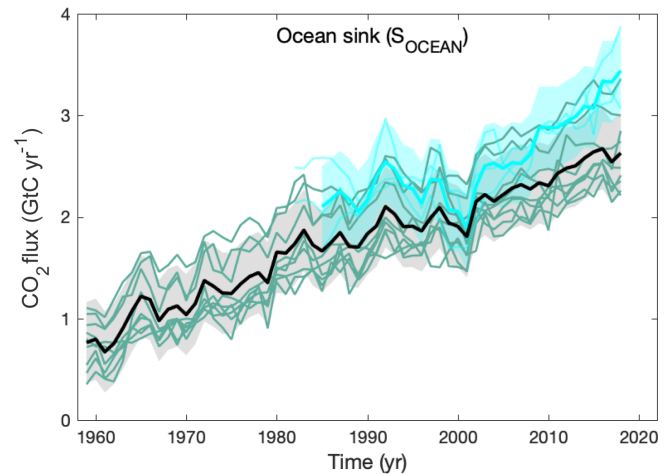
CO<sub>2</sub> emissions decreased significantly (at the 95% level) in 19 growing economies: Belgium, Croatia, Czech Republic, Denmark, Finland, France, Italy, Latvia, Luxembourg, Republic of Macedonia, Malta, the Netherlands, Romania, Slovenia, Sweden, Switzerland, the United Kingdom, the USA, and Uzbekistan. The drivers of recent decarbonisation are examined in Le Quéré et al. (2019).

In contrast, there is no clear trend in CO<sub>2</sub> emissions from land use change over the last decade (Fig. 6), though the data are very uncertain, with only one of the two bookkeeping estimates showing a positive trend over the last decade. Larger emissions are increasingly expected over time for DGVM-based estimates as they include the loss of additional sink capacity, while the bookkeeping estimates do not. The LUH2 data set also features large dynamics in land use in particular in the tropics in recent years, causing higher emissions in DGVMs and BLUE than in H&N.





**Figure 6.** CO<sub>2</sub> exchanges between the atmosphere and the terrestrial biosphere as used in the global carbon budget (black with  $\pm 1\sigma$  uncertainty in grey shading), for (a) CO<sub>2</sub> emissions from land use change ( $E_{LUC}$ ), also showing the two bookkeeping models (two brown lines) and the DGVM model results (green) and their multi-model mean (dark green) individually. The dashed line identifies the pre-satellite period before the inclusion of peatland burning. (b) Land CO<sub>2</sub> sink ( $S_{LAND}$ ) with individual DGVMs (green). (c) Total land CO<sub>2</sub> fluxes (b–a) with individual DGVMs (green) and their multi-model mean (dark green).



**Figure 7.** Comparison of the anthropogenic atmosphere–ocean CO<sub>2</sub> flux showing the budget values of  $S_{OCEAN}$  (black; with  $\pm 1\sigma$  uncertainty in grey shading), individual ocean models (teal), and the three ocean  $pCO_2$ -based flux products (light blue; with  $\pm 1\sigma$  uncertainty in light blue shading; see Table 4). The  $pCO_2$ -based flux products were adjusted for the pre-industrial ocean source of CO<sub>2</sub> from river input to the ocean, which is not present in the ocean models, by adding a sink of  $0.78 \text{ GtC yr}^{-1}$  (Resplandy et al., 2018), to make them comparable to  $S_{OCEAN}$ . This adjustment does not take into account the anthropogenic contribution to river fluxes (see Sect. 2.7.3)

### 3.2.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric CO<sub>2</sub> concentration increased during 2009–2018, in contrast to more constant levels in the previous decade and reflecting a similar decrease in the land sink compared to an increase in the previous decade, albeit with large interannual variability (Fig. 4). During the same period, the ocean CO<sub>2</sub> sink appears to have intensified, an effect which is particularly apparent in the  $pCO_2$ -based flux products (Fig. 7) and a decadal ocean inverse model (DeVries et al., 2019). The GOBMs show the same patterns of decadal variability as the mean of the  $pCO_2$ -based flux products, but of weaker magnitude (Fig. 7). The  $pCO_2$ -based flux products and the ocean inverse model highlight different regions as the main origin of this decadal variability, with the  $pCO_2$ -based flux products placing more of the weakening trend in the Southern Ocean and the ocean inverse model suggesting that more of the weakening trend occurred in the North Atlantic and North Pacific (DeVries et al., 2019). Both approaches also show decadal trends in the low-latitude oceans (DeVries et al., 2019).

The budget imbalance (Table 6) and the residual sink from global budget (Table 5) include an error term due to the inconsistency that arises from using  $E_{LUC}$  from bookkeeping models and  $S_{LAND}$  from DGVMs. This error term includes the fundamental differences between bookkeeping models

and DGVMs, most notably the loss of additional sink capacity. Other differences include an incomplete account of LUC practices and processes in DGVMs, while they are all accounted for in bookkeeping models by using observed carbon densities, and bookkeeping error of keeping present-day carbon densities fixed in the past. That the budget imbalance shows no clear trend towards larger values over time is an indication that the loss of additional sink capacity plays a minor role compared to other errors in  $S_{\text{LAND}}$  or  $S_{\text{OCEAN}}$  (discussed in Sect. 3.1.4).

### 3.2.3 Regional distribution

Figure 8 shows the partitioning of the total atmosphere-to-surface fluxes excluding fossil CO<sub>2</sub> emissions ( $S_{\text{LAND}} + S_{\text{OCEAN}} - E_{\text{LUC}}$ ) according to the multi-model average of the process models in the ocean and on land (GOBMs and DGVMs) and to the atmospheric inversions. Figure 8 provides information on the regional distribution of those fluxes by latitude bands. The global mean total atmosphere-to-surface CO<sub>2</sub> flux from process models for 2009–2018 is  $3.5 \pm 0.9 \text{ GtC yr}^{-1}$ . This is below but still within the uncertainty range of a global mean atmosphere-to-surface flux of  $4.6 \pm 0.5 \text{ GtC yr}^{-1}$  inferred from the carbon budget ( $E_{\text{FF}} - G_{\text{ATM}}$  in Eq. 1; Table 6). The total atmosphere-to-surface CO<sub>2</sub> fluxes from the three inversions are very similar, ranging from 4.6 to 4.9 GtC yr<sup>-1</sup>, consistent with the carbon budget as expected from the constraints on the inversions and the adjustments to the same  $E_{\text{FF}}$  distribution (See Sect. 2.6).

In the south (south of 30° S), the atmospheric inversions suggest an atmosphere-to-surface flux for 2009–2018 around 1.7–2.0 GtC yr<sup>-1</sup>, slightly above the process models' estimate of  $1.4 \pm 0.3 \text{ GtC yr}^{-1}$  (Fig. 8). The higher flux in the  $p\text{CO}_2$ -based flux products than in the ocean models might be explained by a known lack of surface ocean  $p\text{CO}_2$  observations in winter, when CO<sub>2</sub> outgassing occurs south of the Polar Front (Gray et al., 2018).

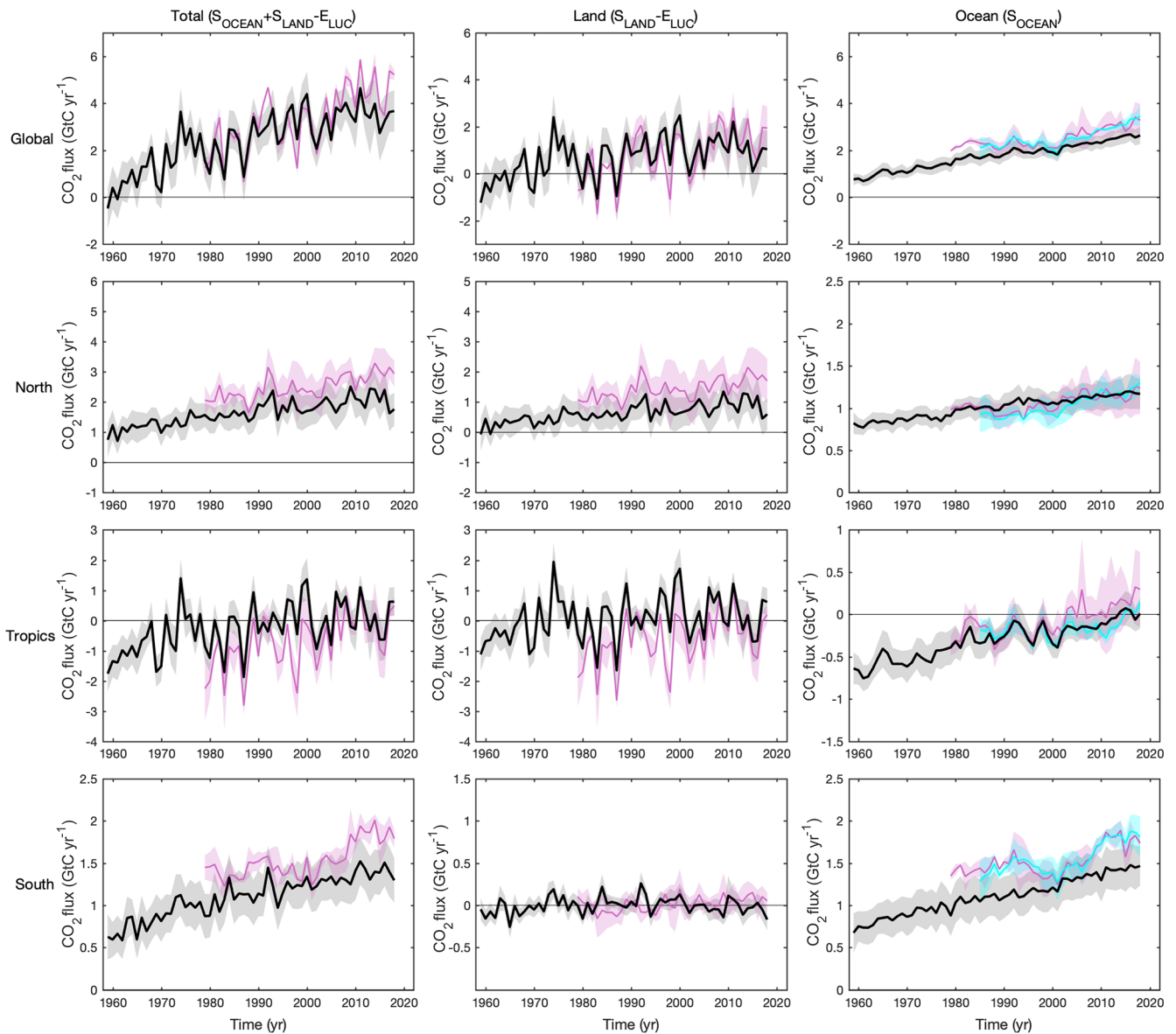
The interannual variability in the south is low because of the dominance of ocean area with low variability compared to land areas. The split between land ( $S_{\text{LAND}} - E_{\text{LUC}}$ ) and ocean ( $S_{\text{OCEAN}}$ ) shows a small contribution to variability in the south coming from the land, with no consistency between the DGVMs and the inversions or among inversions. This is expected due to the difficulty of separating exactly the land and oceanic fluxes when viewed from atmospheric observations alone. The oceanic variability in the south is estimated to be significant in the three  $p\text{CO}_2$ -based flux products, with decadal variability of 0.18 to 0.22 GtC yr<sup>-1</sup> (Fig. B1). The GOBMs show slightly lower interannual variability (0.11 to 0.18 GtC yr<sup>-1</sup>, Fig. B1).

In the tropics (30° S–30° N), both the atmospheric inversions and process models suggest the total carbon balance in this region has been close to neutral on average over the past decade. The three inversion models suggest an atmosphere-to-surface flux between  $-0.5$  and  $+0.3 \text{ GtC yr}^{-1}$  for the

2009–2018 period, which is within the range of the process models' estimates of  $0.1 \pm 0.4 \text{ GtC yr}^{-1}$ . The agreement between inversions and models is significantly better for the last decade than for any previous decade, although the reasons for this better agreement are still unclear. Both the process models and the inversions consistently allocate more year-to-year variability of CO<sub>2</sub> fluxes to the tropics compared to the north (north of 30° N; Fig. 8). The split between the land and ocean indicates the land is the origin of most of the tropical variability, consistently among models (both for the land and for the ocean) and inversions. The oceanic variability in the tropics is similar among the three ocean flux products (A-IAV 0.12 to 0.14 GtC yr<sup>-1</sup>) and the models, although the model spread is larger (A-IAV 0.08 to 0.19 GtC yr<sup>-1</sup>, Sect. 3.1.3, Fig. B1). While the inversions indicate that atmosphere-to-land CO<sub>2</sub> fluxes are more variable than atmosphere-to-ocean CO<sub>2</sub> fluxes in the tropics, the correspondence between the inversions and the ocean flux products or GOBMs is much poorer, partly caused by a substantial tropical ocean carbon sink produced by one of the three inversions.

In the north (north of 30° N), models, inversions, and  $p\text{CO}_2$ -based flux products consistently suggest that most of the variability stems from the land (Fig. 8). Inversions, GOBMs, and  $p\text{CO}_2$ -based flux products agree on the mean of  $S_{\text{OCEAN}}$ , but with a higher variability in the  $p\text{CO}_2$ -based flux products (A-IAV: 0.12 to 0.13 GtC yr<sup>-1</sup>) than in the models (A-IAV: 0.03 to 0.08 GtC yr<sup>-1</sup>, Fig. B1). Atmospheric inversions and process models show less agreement on the magnitude of the atmosphere-to-land flux, with the ensemble mean of the process models suggesting a total Northern Hemisphere sink for 2009–2018 of  $2.1 \pm 0.5 \text{ GtC yr}^{-1}$ , below the estimates from the inversions ranging from 2.5 to 3.4 GtC yr<sup>-1</sup> (Fig. 8). The discrepancy in the northern tropics distribution of CO<sub>2</sub> fluxes between the inversions and models arises from the differences in mean fluxes over the northern land. This discrepancy is also evidenced over the previous decade and highlights not only persistent issues with the quantification of the drivers of the net land CO<sub>2</sub> flux (Arneth et al., 2017; Huntzinger et al., 2017) but also the distribution of atmosphere-to-land fluxes between the tropics and higher latitudes that is particularly marked in previous decades, as highlighted previously (Baccini et al., 2017; Schimel et al., 2015; Stephens et al., 2007).

Differences between inversions may be related for example to differences in their interhemispheric transport and other inversion settings (Table A3). Separate analysis has shown that the influence of the chosen prior land and ocean fluxes is minor compared to other aspects of each inversion, while fossil fuel inputs were adjusted to match those of  $E_{\text{FF}}$  used in this analysis (see Sect. 2.6), therefore removing differences due to fossil emissions prior. Differences between inversions and the ensemble of process models in the north cannot be simply explained. They could either reflect a bias in the inversions or missing processes or biases in the process models, such as the lack of adequate parameterisations



**Figure 8.** CO<sub>2</sub> fluxes between the atmosphere and the surface,  $S_{\text{OCEAN}}$  and  $(S_{\text{LAND}} - E_{\text{LUC}})$ , by latitude bands for the (top) globe, (second row) north (north of 30° N), (third row) tropics (30° S–30° N), and (bottom) south (south of 30° S) and over (left) total  $(S_{\text{OCEAN}} + S_{\text{LAND}} - E_{\text{LUC}})$ , (middle) land only  $(S_{\text{LAND}} - E_{\text{LUC}})$ , and (right) ocean only  $(S_{\text{OCEAN}})$ . Positive values indicate a flux from the atmosphere to the land and/or ocean. Mean estimates from the combination of the process models for the land and oceans are shown (black line) with  $\pm 1\sigma$  of the model ensemble (grey shading). For total uncertainty, the land and ocean uncertainties are summed in quadrature. Mean estimates from the atmospheric inversions are shown (pink lines) with their  $\pm 1\sigma$  spread (pink shading). Mean estimates from the  $p\text{CO}_2$ -based flux products are shown for the ocean domain (cyan lines) with their  $\pm 1\sigma$  spread (cyan shading). The global  $S_{\text{OCEAN}}$  (upper right) and the sum of  $S_{\text{OCEAN}}$  in all three regions represents the anthropogenic atmosphere-to-ocean flux based on the assumption that the pre-industrial ocean sink was 0 GtC yr<sup>-1</sup> when riverine fluxes are not considered. This assumption does not hold on the regional level, where pre-industrial fluxes can be significantly different from zero. Hence, the regional panels for  $S_{\text{OCEAN}}$  represent a combination of natural and anthropogenic fluxes. Bias correction and area weighting were only applied to global  $S_{\text{OCEAN}}$ ; hence the sum of the regions is slightly different from the global estimate ( $< 0.05$  GtC yr<sup>-1</sup>).

for land management for the DGVMs. The estimated contribution of the north and its uncertainty from process models is sensitive both to the ensemble of process models used and to the specifics of each inversion.

Resolving the differences in the Northern Hemisphere land sink will require the consideration and inclusion of larger volumes of semi-continuous observations of concentrations, fluxes, and auxiliary variables collected from (tall) towers close to the surface  $\text{CO}_2$  exchange. Moreover, effective use of such information would demand a more process-based approach to land-surface exchange of  $\text{CO}_2$  than currently employed in inverse models. Such a process-based approach would represent constraints on carbon exchange derived from local observations and biogeochemical relations on multiple timescales, which in turn would be constrained by the regional- to continental-scale mass balance of atmospheric  $\text{CO}_2$ . Some of these near-surface data are now becoming available but are not used in the current inverse models sometimes due to the short records and sometimes because the coarse transport models cannot adequately represent these time series. Improvements in model resolution and atmospheric transport realism together with expansion of the observational record (also in the data-sparse boreal Eurasian area) will help anchor the mid-latitude fluxes per continent. In addition, new metrics could potentially differentiate between the more and less realistic realisations of the Northern Hemisphere land sink shown in Fig. 8.

### 3.2.4 Budget imbalance

The budget imbalance was  $+0.4 \text{ GtC yr}^{-1}$  on average over 2009–2018. Although the uncertainties are large in each term, the sustained imbalance over this last decade suggests an overestimation of the emissions and/or an underestimation of the sinks. An origin in the land and/or ocean sink may be more likely, given the large variability of the land sink and the suspected underestimation of decadal variability in the ocean sink. An underestimate of  $S_{\text{LAND}}$  would also reconcile model results with inversion estimates for fluxes in the total land during the past decade (Fig. 8, Table 5). An underestimation of  $S_{\text{OCEAN}}$  is also possible given slightly higher estimates for  $S_{\text{OCEAN}}$  from ocean interior carbon observations over the period 1994 to 2007 ( $2.6 \pm 0.3 \text{ GtC yr}^{-1}$ ; Gruber et al., 2019) compared to the estimate from GOBMs of  $2.1 \pm 0.5 \text{ GtC yr}^{-1}$  over the same period, although uncertainties overlap. However, we cannot exclude that the budget imbalance over the last decade could partly be due to an overestimation of  $\text{CO}_2$  emissions, in particular from land use change, given their large uncertainty, as has been suggested elsewhere (Piao et al., 2018). More integrated use of observations in the global carbon budget, either on their own or for further constraining model results, should help resolve some of the budget imbalance (Peters et al., 2017; Sect. 4).

## 3.3 Global carbon budget for the year 2018

### 3.3.1 $\text{CO}_2$ emissions

Preliminary estimates of global fossil  $\text{CO}_2$  emissions are for growth of 2.1 % between 2017 and 2018 to reach  $10.0 \pm 0.5 \text{ GtC}$  in 2018 (Fig. 5), distributed among coal (40 %), oil (34 %), natural gas (20 %), cement (4 %), and others (1.3 %). Compared to the previous year, emissions from coal increased by 1.4 %, while emissions from oil, natural gas, and cement increased by 1.2 %, 5.4 %, and 2.1 %, respectively. All growth rates presented are adjusted for the leap year, unless stated otherwise.

In 2018, the largest absolute contributions to global  $\text{CO}_2$  emissions were from China (28 %), the USA (15 %), the EU (28 member states; 9 %), and India (7 %). These four regions account for 59 % of global  $\text{CO}_2$  emissions, while the rest of the world contributed 41 %, which includes aviation and marine bunker fuels (3.4 % of the total). Growth rates for these countries from 2017 to 2018 were 2.3 % (China), 2.8 % (USA),  $-2.1$  % (EU28), and 8.0 % (India), with 1.8 % for the rest of the world. The per capita  $\text{CO}_2$  emissions in 2018 were 1.3 tC per person per year for the globe and were 4.5 (USA), 1.9 (China), 1.8 (EU28) and 0.5 (India) tC per person per year for the four highest emitting countries (Fig. 5).

The growth in emissions of 2.1 % in 2018 is within the range of the projected growth of 2.7 % (range of 1.8 % to 3.7 %) published in Le Quéré et al. (2018b) based on national emissions projections for China, the USA, and India and projections of gross domestic product corrected for  $I_{\text{FF}}$  trends for the rest of the world. The growth in emissions in 2018 for China, the USA, EU28, India, and the rest of the world were all within their previously projected range (Table 7).

In 2016 (the last year available), the largest absolute contributions to global  $\text{CO}_2$  emissions from a consumption perspective were China (25 %), the USA (16 %), the EU (12 %), and India (6 %). The difference between territorial and consumption emissions (the net emission transfer via international trade) has generally increased from 1990 to around 2005 and remained relatively stable afterwards until the last year available (2016; Fig. 5).

The global  $\text{CO}_2$  emissions from land use change are estimated as  $1.5 \pm 0.7 \text{ GtC}$  in 2018, close to the previous decade but with low confidence in the annual change. This brings the total  $\text{CO}_2$  emissions from fossil fuel plus land use change ( $E_{\text{FF}} + E_{\text{LUC}}$ ) to  $11.5 \pm 0.9 \text{ GtC}$  ( $42.5 \pm 3.3 \text{ GtCO}_2$ ).

### 3.3.2 Partitioning among the atmosphere, ocean, and land

The growth rate in atmospheric  $\text{CO}_2$  concentration was  $5.1 \pm 0.2 \text{ GtC}$  in 2018 ( $2.42 \pm 0.08 \text{ ppm}$ ; Fig. 4; Dlugokencky and Tans, 2019). This is near the 2009–2018 average of  $4.9 \pm 0.02 \text{ GtC yr}^{-1}$ .

**Table 7.** Comparison of the projection with realised fossil CO<sub>2</sub> emissions ( $E_{FF}$ ). The “actual” values are the first estimates available using actual data, and the “projected” values refer to estimates made before the end of the year for each publication. Projections based on a different method from that described here during 2008–2014 are available in Le Quééré et al. (2016). All values are adjusted for leap years.

|                   | World                    |        | China                    |        | USA                      |        | EU28                       |         | India                    |        | Rest of the world       |        |
|-------------------|--------------------------|--------|--------------------------|--------|--------------------------|--------|----------------------------|---------|--------------------------|--------|-------------------------|--------|
|                   | Projected                | Actual | Projected                | Actual | Projected                | Actual | Projected                  | Actual  | Projected                | Actual | Projected               | Actual |
| 2015 <sup>a</sup> | -0.6 %<br>(-1.6 to 0.5)  | 0.06 % | -3.9 %<br>(-4.6 to -1.1) | -0.7 % | -1.5 %<br>(-5.5 to 0.3)  | -2.5 % | -                          | -       | -                        | -      | 1.2 %<br>(-0.2 to 2.6)  | 1.20 % |
| 2016 <sup>b</sup> | -0.2 %<br>(-1.0 to +1.8) | 0.20 % | -0.5 %<br>(-3.8 to +1.3) | -0.3 % | -1.7 %<br>(-4.0 to +0.6) | -2.1 % | -                          | -       | -                        | -      | 1.0 %<br>(-0.4 to +2.5) | 1.30 % |
| 2017 <sup>c</sup> | 2.0 %<br>(+0.8 to +3.0)  | 1.60 % | 3.5 %<br>(+0.7 to +5.4)  | 1.50 % | -0.4 %<br>(-2.7 to +1.0) | -0.5 % | -                          | -       | 2.00 %<br>(+0.2 to +3.8) | 3.90 % | 1.6 %<br>(0.0 to +3.2)  | 1.90 % |
| 2018 <sup>d</sup> | 2.7 %<br>(+1.8 to +3.7)  | 2.13 % | 4.7 %<br>(+2.0 to +7.4)  | 2.30 % | 2.5 %<br>(+0.5 to +4.5)  | 2.76 % | -0.7 %<br>(-2.6 to +1.3)   | -2.08 % | 6.3 %<br>(+4.3 to +8.3)  | 8.02 % | 1.8 %<br>(+0.5 to +3.0) | 1.69 % |
| 2019 <sup>e</sup> | 0.6 %<br>(-0.2 to +1.5)  | -      | 2.6 %<br>(+0.7 to +4.4)  | -      | -1.7 %<br>(-3.7 to +0.3) | -      | -1.7 %<br>(-3.4 to +0.1 %) | -       | +1.8 %<br>(+0.7 to +3.7) | -      | 0.5 %<br>(-0.8 to +1.8) | -      |

<sup>a</sup> Jackson et al. (2016) and Le Quééré et al. (2015a). <sup>b</sup> Le Quééré et al. (2016). <sup>c</sup> Le Quééré et al. (2018a). <sup>d</sup> Le Quééré et al. (2018b). <sup>e</sup> This study.

The estimated ocean CO<sub>2</sub> sink was  $2.6 \pm 0.6$  GtC in 2018. The multi-model mean agrees with the mean of the  $p$ CO<sub>2</sub>-based flux products on an average increase of 0.1 GtC in 2018. Six models and two flux products show an increase in  $S_{OCEAN}$  (up to +0.38 GtC), while three models and one flux product show no change or a decrease in  $S_{OCEAN}$  (down to -0.15 GtC) (Fig. 7).

The terrestrial CO<sub>2</sub> sink from the DGVM model ensemble was  $3.5 \pm 0.7$  GtC in 2018, slightly above the decadal average (Fig. 4) and consistent with constraints from the rest of the budget (Table 5). The budget imbalance was +0.3 GtC in 2018, consistent with its average over the last decade (Table 6). This imbalance is indicative only, given the large uncertainties in the estimation of the  $B_{IM}$ .

### 3.4 Global carbon budget projection for the year 2019

#### 3.4.1 CO<sub>2</sub> emissions

Based on the available data as of 14 November 2019 (see Sect. 2.1.5), fossil CO<sub>2</sub> emissions ( $E_{FF}$ ) for 2019 are projected to increase by +0.6 % (range of -0.2 % to +1.5 %; Table 7). Our method contains several assumptions that could influence the estimate beyond the given range, and as such it has an indicative value only. Within the given assumptions, global emissions would be  $10.0 \pm 0.5$  GtC ( $36.8 \pm 1.8$  GtCO<sub>2</sub>) in 2019.

For China, the expected change is for an increase in emissions of +2.6 % (range of +0.7 % to +4.4 %) in 2019 compared to 2018. This is based on estimated growth in coal (+0.8 %, the main fuel source in China), oil (+6.9 %), and natural gas (+9.1 %) consumption and cement production (+6.3 %). The uncertainty range considers the variations in the difference between preliminary January–September data and final full-year data, lack of monthly data on stock changes, variances in the discrepancies between supply-side and demand data, the uncertainty in the preliminary data used for the 2018 base, and uncertainty in the evolution of the average energy density of each of the fossil fuels.

For the USA, the EIA emissions projection for 2019 combined with cement data from USGS gives a decrease of -1.7 % (range of -3.7 % to +0.3 %) compared to 2018. This is based on separate projections for coal -10.5 %, oil -0.5 %, natural gas +3.5 %, and cement +0.7 %.

For the European Union, our projection for 2019 is for a decrease of -1.7 % (range of -3.4 % to +0.1 %) over 2018. This is based on separate projections for coal of -10.0 %, oil of +0.5 %, natural gas of +3.0 %, and stable cement emissions. Uncertainty is largest in coal, where two alternative methods give divergent estimates.

For India, our projection for 2019 is for an increase of +1.8 % (range of +0.7 % to +3.7 %) over 2018. This is based on separate projections for coal (+2.0 %), oil (+1.5 %), natural gas (+2.5 %), and cement (+0.0 %). The wide uncertainty range reflects an anomalous year: the

2019 monsoon year produced above-average rainfall, particularly in September, with 52 % higher rainfall than the long-term average (IMD, 2019). This heavier rainfall led to both flooded coal mines (Varadhan, 2019) and high hydropower generation (CEA, 2019b). In addition, the Indian economy has slowed rapidly during the year (IMF, 2019b). Furthermore, our forecast for India covers its financial year, April 2019 to March 2020, reflecting the underlying emissions data, adding to uncertainty.

For the rest of the world, the expected growth for 2019 is +0.5 % (range of −0.8 % to +1.8 %). This is computed using the GDP projection for the world excluding China, the USA, the EU, and India of 1.9 % made by the IMF (IMF, 2019a) and a decrease in  $I_{FF}$  of  $-1.4 \text{ \% yr}^{-1}$ , which is the average from 2009 to 2018. The uncertainty range is based on the standard deviation of the interannual variability in  $I_{FF}$  during 2009–2018 of  $\pm 0.8 \text{ \% yr}^{-1}$  and our estimates of uncertainty in the IMF's GDP forecast of  $\pm 0.5 \text{ \%}$ . The methodology allows independent projections for coal, oil, natural gas, cement, and other components, which add to the total emissions in the rest of the world. The 2019 growth rates for coal were +0.1 % (range −2.9 % to +3.2 %), oil +0.1 % (range −0.9 % to +1.2 %), natural gas +1.3 % (range −0.7 % to +3.4 %), and cement +1.3 % (range −1.2 % to +3.9 %).

Each of our regional projections contains separate projections for coal, oil, natural gas, cement, and other smaller components. This allows us, for the first time, to supplement our global fossil CO<sub>2</sub> emission projection of +0.6 % (range of −0.2 % to +1.5 %) with separate global projections of the CO<sub>2</sub> emissions from coal −0.9 % (range −2.0 % to +0.2 %), oil +0.9 % (range 0.3 % to +1.6 %), natural gas +2.6 % (range +1.3 % to +3.9 %), and cement +3.7 % (range +0.4 % to +7.3 %).

Preliminary estimate of fire emissions in deforestation zones indicate that emissions from land use change ( $E_{LUC}$ ) for 2019 were above the 2009–2018 average, amounting to 427 TgC by 31 October and are expected to remain at this level for the remainder of the year. We therefore expect  $E_{LUC}$  emissions of around 1.7 GtC in 2019, for total anthropogenic CO<sub>2</sub> emissions of  $11.8 \pm 0.9 \text{ GtC}$  ( $43.1 \pm 3.2 \text{ GtCO}_2$ ) in 2019.

### 3.4.2 Partitioning among the atmosphere, ocean, and land

The 2019 growth in atmospheric CO<sub>2</sub> concentration ( $G_{ATM}$ ) is projected to be  $5.2 \pm 0.9 \text{ GtC}$  ( $2.5 \pm 0.4 \text{ ppm}$ ) based on GLO observations until the end of August 2019, bringing the atmospheric CO<sub>2</sub> concentration to an expected level of 410 ppm averaged over the year. Combining projected  $E_{FF}$ ,  $E_{LUC}$ , and  $G_{ATM}$  suggest a combined land and ocean sink ( $S_{LAND} + S_{OCEAN}$ ) of about 6.5 GtC for 2019. Although each term has large uncertainty, the oceanic sink  $S_{OCEAN}$  has generally low interannual variability and is likely to remain close to its 2018 value of around 2.6 GtC, leaving a rough esti-

mated land sink  $S_{LAND}$  (including any budget imbalance) of around 3.9 GtC, slightly above the 2018 estimate.

## 3.5 Cumulative sources and sinks

Cumulative historical sources and sinks are estimated as in Eq. (1) with semi-independent estimates for each term and a global carbon budget imbalance. Cumulative fossil CO<sub>2</sub> emissions for 1850–2018 were  $440 \pm 20 \text{ GtC}$  for  $E_{FF}$  and  $205 \pm 60 \text{ GtC}$  for  $E_{LUC}$  (Table 8; Fig. 9), for a total of  $645 \pm 65 \text{ GtC}$ . The cumulative emissions from  $E_{LUC}$  are particularly uncertain, with large spread among individual estimates of 150 GtC (H&N) and 260 GtC (BLUE) for the two book-keeping models and a similar wide estimate of  $185 \pm 60 \text{ GtC}$  for the DGVMs. These estimates are consistent with indirect constraints from vegetation biomass observations (Li et al., 2017), but given the large spread a best estimate is difficult to ascertain.

Emissions during the period 1850–2018 were partitioned among the atmosphere ( $255 \pm 5 \text{ GtC}$ ; 40 %), ocean ( $160 \pm 20 \text{ GtC}$ ; 25 %), and land ( $195 \pm 40 \text{ GtC}$ ; 31 %). This cumulative land sink is broadly equal to the cumulative land use emissions, making the global land near neutral over the 1850–2018 period. The use of nearly independent estimates for the individual terms shows a cumulative budget imbalance of 30 GtC (4 %) during 1850–2018 (Fig. 2), which, if correct, suggests emissions are too high by the same proportion or the land or ocean sinks are underestimated. The bulk of the imbalance could originate from the estimation of large  $E_{LUC}$  between the mid-1920s and the mid-1960s, which is unmatched by a growth in atmospheric CO<sub>2</sub> concentration as recorded in ice cores (Fig. 3). The known loss of additional sink capacity of about  $20 \pm 15 \text{ GtC}$  due to reduced forest cover has not been accounted in our method and would further exacerbate the budget imbalance (Sect. 2.7.4).

Cumulative emissions through to the year 2019 increase to  $655 \pm 65 \text{ GtC}$  ( $2340 \pm 240 \text{ GtCO}_2$ ), with about 70 % contribution from  $E_{FF}$  and about 30 % contribution from  $E_{LUC}$ . Cumulative emissions and their partitioning for different periods are provided in Table 8.

Given the large and persistent uncertainties in historical cumulative emissions, extreme caution is needed if using this estimate to determine the remaining cumulative CO<sub>2</sub> emissions consistent with an ambition to stay below a given temperature limit (Millar et al., 2017; Rogelj et al., 2016, 2019).

## 4 Discussion

Each year when the global carbon budget is published, each flux component is updated for all previous years to consider corrections that are the result of further scrutiny and verification of the underlying data in the primary input data sets. Annual estimates may improve with improvements in data quality and timeliness (e.g. to eliminate the need for extrapolation of forcing data such as land use). Of the various terms in the

**Table 8.** Cumulative CO<sub>2</sub> for different time periods in gigatonnes of carbon (GtC). All uncertainties are reported as  $\pm 1\sigma$ . The budget imbalance provides a measure of the discrepancies among the nearly independent estimates. Its uncertainty exceeds  $\pm 60$  GtC. The method used here does not capture the loss of additional sink capacity from reduced forest cover, which is about 20 GtC for the years 1850–2018 and would exacerbate the budget imbalance (see Sect. 2.7.4). All values are rounded to the nearest 5 GtC, and therefore columns do not necessarily add to zero.

| Units of GtC   | 1750–2018                 | 1850–2014                 | 1959–2018                | 1850–2018                 | 1850–2019 <sup>a</sup> |
|--|---------------------------|---------------------------|--------------------------|---------------------------|------------------------|
| <b>Emissions</b>   |                           |                           |                          |                           |                        |
| Fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ )   | 440 $\pm$ 20              | 400 $\pm$ 20              | 365 $\pm$ 20             | 440 $\pm$ 20              | 450 $\pm$ 20           |
| Land use change CO <sub>2</sub> emissions ( $E_{\text{LUC}}$ )   | 235 $\pm$ 75 <sup>b</sup> | 195 $\pm$ 60 <sup>c</sup> | 80 $\pm$ 40 <sup>d</sup> | 205 $\pm$ 60 <sup>c</sup> | 205 $\pm$ 60           |
| Total emissions  | 675 $\pm$ 80              | 600 $\pm$ 65              | 445 $\pm$ 30             | 645 $\pm$ 65              | 655 $\pm$ 65           |
| <b>Partitioning</b>  |                           |                           |                          |                           |                        |
| Growth rate in atmospheric CO <sub>2</sub> concentration ( $G_{\text{ATM}}$ )                            | 275 $\pm$ 5               | 235 $\pm$ 5               | 200 $\pm$ 5              | 255 $\pm$ 5               | 260 $\pm$ 5            |
| Ocean sink ( $S_{\text{OCEAN}}$ ) <sup>e</sup>   | 170 $\pm$ 20              | 150 $\pm$ 20              | 105 $\pm$ 20             | 160 $\pm$ 20              | 160 $\pm$ 20           |
| Terrestrial sink ( $S_{\text{LAND}}$ )   | 220 $\pm$ 50              | 185 $\pm$ 40              | 130 $\pm$ 25             | 195 $\pm$ 40              | 200 $\pm$ 40           |
| <b>Budget imbalance</b>  |                           |                           |                          |                           |                        |
| $B_{\text{IM}} = E_{\text{FF}} + E_{\text{LUC}} - (G_{\text{ATM}} + S_{\text{OCEAN}} + S_{\text{LAND}})$ | 10                        | 30                        | 10                       | 30                        | 30                     |

<sup>a</sup> Using projections for the year 2019 (Sect. 3.4). Uncertainties are the same as for the 1850–2018 period. <sup>b</sup> Cumulative  $E_{\text{LUC}}$  1750–1849 of 30 GtC based on multi-model mean of Pongratz et al. (2009), Shevliakova et al. (2009), Zaehle et al. (2011), and Van Minnen et al. (2009). The 1850–2018 period from mean of H&N (Houghton and Nassikas, 2017) and BLUE (Hansis et al., 2015). The 1750–2018 uncertainty is estimated from standard deviation of DGVMs over 1850–2018 scaled by 1750–2018 emissions. <sup>c</sup> Cumulative  $E_{\text{LUC}}$  based on H&N and BLUE. Uncertainty is estimated from the standard deviation of DGVM estimates. <sup>d</sup> Cumulative  $E_{\text{LUC}}$  based on H&N and BLUE. Uncertainty is formed from the uncertainty in annual  $E_{\text{LUC}}$  over 1959–2018, which is 0.7 GtC yr<sup>-1</sup> multiplied by the length of the time series. <sup>e</sup> Ocean sink uncertainty from IPCC (Denman et al., 2007).

global budget, only the fossil CO<sub>2</sub> emissions and the growth rate in atmospheric CO<sub>2</sub> concentration are based primarily on empirical inputs supporting annual estimates in this carbon budget. Although it is an imperfect measure, the carbon budget imbalance provides a strong indication of the limitations in observations, in understanding or full representation of processes in models, and/or in the integration of the carbon budget components.

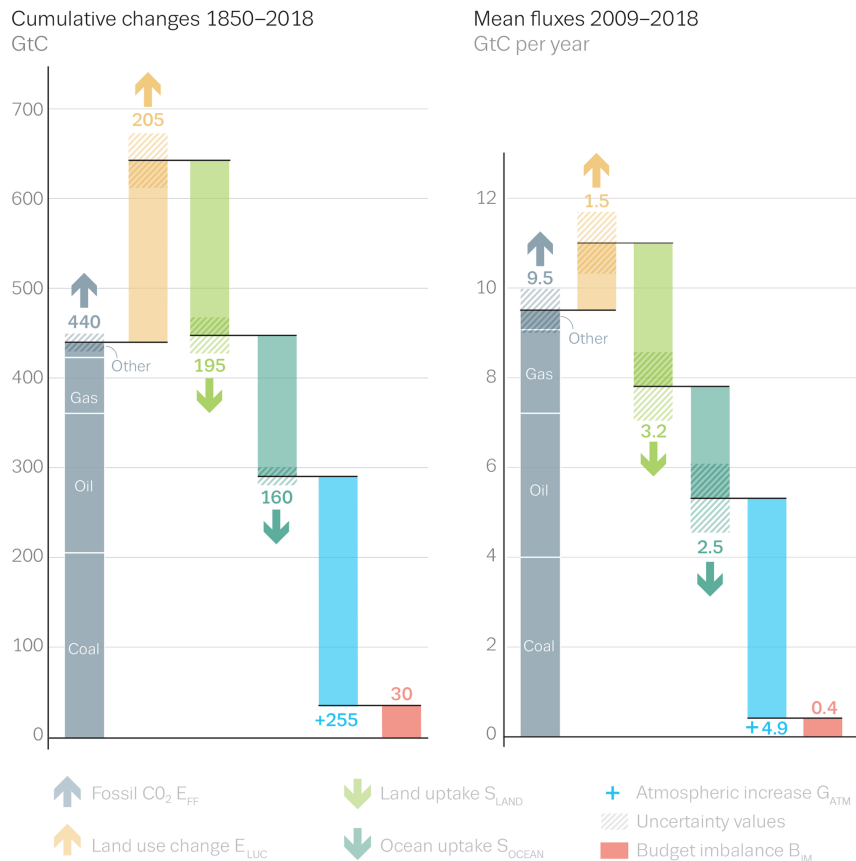
The persistent unexplained variability in the carbon budget imbalance limits our ability to verify reported emissions (Peters et al., 2017) and suggests we do not yet have a complete understanding of the underlying carbon cycle processes. Resolving most of this unexplained variability should be possible through different and complementary approaches. First, as intended with our annual updates, the imbalance as an error term is reduced by improvements of individual components of the global carbon budget that follow from improving the underlying data and statistics and by improving the models through the resolution of some of the key uncertainties detailed in Table 9. Second, additional clues to the origin and processes responsible for the current imbalance could be obtained through a closer scrutiny of carbon variability in light of other Earth system data (e.g. heat balance, water balance) and the use of a wider range of biogeochemical observations to better understand the land–ocean partitioning of the carbon imbalance (e.g. oxygen, carbon isotopes). Finally, additional information could also be obtained through higher resolution and process knowledge at the regional level, and through the

introduction of inferred fluxes such as those based on satellite CO<sub>2</sub> retrievals. The limit of the resolution of the carbon budget imbalance is yet unclear but most certainly not yet reached given the possibilities for improvements that lie ahead.

The assessment of the GOBMs used for  $S_{\text{OCEAN}}$  with flux products based on observations highlights substantial discrepancy at mid-latitudes and high latitudes. Given the good data coverage of  $p\text{CO}_2$  observations in the Northern Hemisphere (Bakker et al., 2016), this discrepancy points at an underestimation of variability in the GOBMs globally, and consequently the variability in  $S_{\text{OCEAN}}$  appears to be underestimated. The size of the underestimation of the amplitude of interannual variability (order of 0.1 GtC yr<sup>-1</sup>, A-IAV; see Fig. B1) could account for some of the budget imbalance, but not all. Increasing model resolution or using model ensembles (Li and Ilyina, 2018) has been suggested as a way to increase model variability (Sect. 3.1.4).

The assessment of the net land–atmosphere exchange derived from land sink and net land use change flux with atmospheric inversions also shows substantial discrepancy, particularly for the estimate of the total land flux over the northern extra-tropics in the past decade. This discrepancy highlights the difficulty to quantify complex processes (CO<sub>2</sub> fertilisation, nitrogen deposition, N fertilisers, climate change and variability, land management, etc.) that collectively determine the net land CO<sub>2</sub> flux. Resolving the differences in the

# Anthropogenic carbon flows



**Figure 9.** Cumulative changes during 1850–2018 and mean fluxes during 2009–2018 for the anthropogenic perturbation as defined in the legend.

Northern Hemisphere land sink will require the consideration and inclusion of larger volumes of observations (Sect. 3.2.3).

Estimates of  $E_{LUC}$  suffer from a range of intertwined issues, including the poor quality of historical land cover and land use change maps, the rudimentary representation of management processes in most models, and the confusion in methodologies and boundary conditions used across methods (e.g. Arneeth et al., 2017; Pongratz et al., 2014) and Sect. 2.7.4 on the loss of sink capacity). Uncertainties in current and historical carbon stocks in soils and vegetation also add uncertainty in the LUC flux estimates. Unless a major effort to resolve these issues is made, little progress is expected in the resolution of  $E_{LUC}$ . This is particularly concerning given the growing importance of  $E_{LUC}$  for climate mitigation strategies and the large issues in the quantification of the cumulative emissions over the historical period that arise from large uncertainties in  $E_{LUC}$ .

As introduced last year, we provide metrics for the evaluation of the ocean and land models and atmospheric inversions. These metrics expand the use of observations in the

global carbon budget, helping (1) to support improvements in the ocean and land carbon models that produce the sink estimates and (2) to constrain the representation of key underlying processes in the models and to allocate the regional partitioning of the CO<sub>2</sub> fluxes. This is an initial step towards the introduction of a broader range of observations that we hope will support continued improvements in the annual estimates of the global carbon budget.

We assessed before (Peters et al., 2017) that a sustained decrease of  $-1\%$  in global emissions could be detected at the 66% likelihood level after a decade only. Similarly, a change in behaviour of the land and/or ocean carbon sink would take as long to detect, and much longer if it emerges more slowly. Reducing the carbon imbalance, regionalising the carbon budget, and integrating multiple variables are powerful ways to shorten the detection limit and ensure the research community can rapidly identify growing issues of concern in the evolution of the global carbon cycle under the current rapid and unprecedented changing environmental conditions.



**Table 9.** Major known sources of uncertainties in each component of the global carbon budget, defined as input data or processes that have a demonstrated effect of at least  $\pm 0.3 \text{ GtC yr}^{-1}$ .

| Source of uncertainty  | Timescale (years)       | Location  | Status                         | Evidence                    |
|--|-------------------------|---|--------------------------------|-----------------------------|
| Fossil CO <sub>2</sub> emissions ( $E_{\text{FF}}$ ; Sect. 2.1)  |                         |   |                                |                             |
| Energy statistics  | annual to decadal       | global, but mainly China & major developing countries | see Sect. 2.1                  | Korsbakken et al. (2016)    |
| Carbon content of coal   | annual to decadal       | global, but mainly China & major developing countries | see Sect. 2.1                  | Liu et al. (2015)           |
| System boundary  | annual to decadal       | all countries   | see Sect. 2.1                  |                             |
| Emissions from land use change ( $E_{\text{LUC}}$ ; Sect. 2.2)   |                         |   |                                |                             |
| Land cover and land use change statistics  | continuous              | global; in particular tropics                         | see Sect. 2.2                  | Houghton et al. (2012)      |
| Sub-grid-scale transitions   | annual to decadal       | global  | see Table A1                   | Wilkenskjeld et al. (2014)  |
| Vegetation biomass   | annual to decadal       | global; in particular tropics                         | see Table A1                   | Houghton et al. (2012)      |
| Wood and crop harvest  | annual to decadal       | global; SE Asia                                       | see Table A1                   | Arneeth et al. (2017)       |
| Peat burning <sup>a</sup>  | multi-decadal trend     | global  | see Table A1                   | van der Werf et al. (2010)  |
| Loss of additional sink capacity   | multi-decadal trend     | global  | not included; Sect. 2.7.4      | Gitz and Ciais (2003)       |
| Atmospheric growth rate ( $G_{\text{ATM}}$ ), no demonstrated uncertainties larger than $\pm 0.3 \text{ GtC yr}^{-1}$ <sup>b</sup> |                         |   |                                |                             |
| Ocean sink ( $S_{\text{OCEAN}}$ )  |                         |   |                                |                             |
| Variability in oceanic circulation <sup>c</sup>  | semi-decadal to decadal | global  | see Sect. 2.4                  | DeVries et al. (2017, 2019) |
| Internal variability   | annual to decadal       | high latitudes; equatorial Pacific                    | no ensembles/coarse resolution | McKinley et al. (2016)      |
| Anthropogenic Changes in nutrient supply   | multi-decadal trend     | global  | not included                   | Duce et al. (2008)          |
| Land sink ( $S_{\text{LAND}}$ )  |                         |   |                                |                             |
| Strength of CO <sub>2</sub> fertilisation  | multi-decadal trend     | global  | see Sect. 2.5                  | Wenzel et al. (2016)        |
| Response to variability in temperature and rainfall  | annual to decadal       | global; in particular tropics                         | see Sect. 2.5                  | Cox et al. (2013)           |
| Nutrient limitation and supply   | multi-decadal trend     | global  | see Sect. 2.5                  | Zaehle et al. (2011)        |
| Response to diffuse radiation  | annual                  | global  | see Sect. 2.5                  | Mercado et al. (2009)       |

<sup>a</sup> As a result of interactions between land use and climate. <sup>b</sup> The uncertainties in  $G_{\text{ATM}}$  have been estimated as  $\pm 0.2 \text{ GtC yr}^{-1}$ , although the conversion of the growth rate into a global annual flux assuming instantaneous mixing throughout the atmosphere introduces additional errors that have not yet been quantified. <sup>c</sup> Could in part be due to uncertainties in atmospheric forcing (Swart et al., 2014).

## 5 Conclusions

The estimation of global CO<sub>2</sub> emissions and sinks is a major effort by the carbon cycle research community that requires a careful compilation and synthesis of measurements, statistical estimates, and model results. The delivery of an annual carbon budget serves two purposes. First, there is a large demand for up-to-date information on the state of the anthropogenic perturbation of the climate system and its underpinning causes. A broad stakeholder community relies on the data sets associated with the annual carbon budget including scientists, policymakers, businesses, journalists, and non-governmental organisations engaged in adapting to and mitigating human-driven climate change. Second, over the last decade we have seen unprecedented changes in the human and biophysical environments (e.g. changes in the growth of fossil fuel emissions, Earth's temperatures, and strength of the carbon sinks), which call for frequent assessments of the state of the planet, a better quantification of the causes of changes in the contemporary global carbon cycle, and an improved capacity to anticipate its evolution in the future.

Building this scientific understanding to meet the extraordinary climate mitigation challenge requires frequent, robust, transparent, and traceable data sets and methods that can be scrutinised and replicated. This paper via “living data” helps to keep track of new budget updates.

## 6 Data availability

The data presented here are made available in the belief that their wide dissemination will lead to greater understanding and new scientific insights into how the carbon cycle works, how humans are altering it, and how we can mitigate the resulting human-driven climate change. The free availability of these data does not constitute permission for publication of the data. For research projects, if the data are essential to the work, or if an important result or conclusion depends on the data, co-authorship may need to be considered for the relevant data providers. Full contact details and information on how to cite the data shown here are given at the top of each page in the accompanying database and summarised in Table 2.

The accompanying database includes two Excel files organised in the following spreadsheets.

File `Global_Carbon_Budget_2019v1.0.xlsx` includes the following:

1. summary,
2. the global carbon budget (1959–2018),
3. global CO<sub>2</sub> emissions from fossil fuels and cement production by fuel type and the per capita emissions (1959–2018),
4. CO<sub>2</sub> emissions from land use change from the individual methods and models (1959–2018),
5. ocean CO<sub>2</sub> sink from the individual ocean models and *p*CO<sub>2</sub>-based products (1959–2018),
6. terrestrial CO<sub>2</sub> sink from the DGVMs (1959–2018),
7. additional information on the historical global carbon budget prior to 1959 (1750–2018).

File `National_Carbon_Emissions_2019v1.0.xlsx` includes the following:

1. summary;
2. territorial country CO<sub>2</sub> emissions from fossil CO<sub>2</sub> emissions (1959–2018) from CDIAC with UNFCCC data overwritten where available, extended to 2018 using BP data;
3. consumption country CO<sub>2</sub> emissions from fossil CO<sub>2</sub> emissions and emissions transfer from the international trade of goods and services (1990–2016) using CDIAC/UNFCCC data (worksheet 3 above) as reference;
4. emissions transfers (consumption minus territorial emissions; 1990–2016);
5. country definitions;
6. details of disaggregated countries;
7. details of aggregated countries.

Both spreadsheets are published by the Integrated Carbon Observation System (ICOS) Carbon Portal and are available at <https://doi.org/10.18160/gcp-2019> (Friedlingstein et al., 2019). National emissions data are also available from the Global Carbon Atlas (<http://www.globalcarbonatlas.org/>, last access: 4 December 2019).

Appendix A

**Table A1.** Comparison of the processes included in the bookkeeping method and DGVMs in their estimates of  $E_{LUC}$  and  $S_{LAND}$ . See Table 4 for model references. All models include deforestation and forest regrowth after abandonment of agriculture (or from afforestation activities on agricultural land). Processes relevant for  $E_{LUC}$  are only described for the DGVMs used with land cover change in this study (Fig. 6a).

|   | Bookkeeping models   |                      |                       |                  |         |      |         |                         |              |          |           |         |                 |           |              |                |                  |       |  |
|---|----------------------|----------------------|-----------------------|------------------|---------|------|---------|-------------------------|--------------|----------|-----------|---------|-----------------|-----------|--------------|----------------|------------------|-------|--|
|   | H&N                  | BLUE                 | CABLE-POP             | CL-ASS-CTEM      | CLM5.0  | DLEM | ISAM    | ISBA-CTRIP <sup>b</sup> | JSBACH (r+I) | JULES-ES | LPI-GUESS | LPJ     | LPX-Bern        | OCN       | ORCHIDEE-CNP | ORCHIDEE-Trunk | SDGVM            | VISIT |  |
| Processes relevant for $E_{LUC}$                      |                      |                      |                       |                  |         |      |         |                         |              |          |           |         |                 |           |              |                |                  |       |  |
| Wood harvest and forest degradation <sup>a</sup>      | yes                  | yes                  | yes                   | no               | yes     | yes  | yes     | no                      | yes          | no       | yes       | yes     | no <sup>d</sup> | yes       | no           | yes            | no               |       |  |
| Shifting cultivation/sub-grid-scale transitions       | no <sup>b</sup>      | yes                  | yes                   | no               | yes     | no   | no      | yes                     | no           | no       | yes       | yes     | no <sup>d</sup> | no        | no           | no             | no               |       |  |
| Cropland harvest (re-moved, r, or added to litter, I) | yes (r) <sup>j</sup> | yes (r)              | yes (added to litter) | yes              | yes (r) | yes  | yes     | yes (r+I)               | no           | yes (r)  | yes (I)   | yes (r) | yes (r)         | yes (r+I) | yes (r)      | yes            | yes (r)          |       |  |
| Peat fires  | yes                  | yes                  | no                    | no               | yes     | no   | no      | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| Fire as a management tool                             | yes <sup>j</sup>     | yes <sup>j</sup>     | no                    | no               | no      | no   | no      | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| N fertilisation                                       | yes <sup>j</sup>     | yes <sup>j</sup>     | no                    | yes <sup>g</sup> | yes     | yes  | yes     | no                      | no           | yes      | no        | yes     | yes             | yes       | yes          | no             | no               |       |  |
| Tillage   | yes <sup>j</sup>     | yes <sup>j</sup>     | yes                   | yes <sup>g</sup> | no      | no   | no      | no                      | no           | yes      | no        | no      | no              | no        | no           | yes            | no               |       |  |
| Irrigation  | yes <sup>j</sup>     | yes <sup>j</sup>     | no                    | no               | yes     | yes  | yes     | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| Wetland drainage                                      | yes <sup>j</sup>     | yes <sup>j</sup>     | no                    | no               | yes     | no   | no      | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| Erosion   | yes <sup>j</sup>     | yes <sup>j</sup>     | no                    | no               | no      | no   | no      | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| Southeast Asia peat drainage                          | yes                  | yes                  | no                    | no               | no      | no   | no      | no                      | no           | no       | no        | no      | no              | no        | no           | no             | no               |       |  |
| Grazing and mowing, r, or added to litter, I)         | yes (r) <sup>j</sup> | yes (r) <sup>j</sup> | yes (r)               | no               | no      | no   | yes (I) | yes (I)                 | no           | yes (r)  | yes (I)   | yes (I) | no              | yes (r+I) | no           | no             | no               |       |  |
| Processes also relevant for $S_{LAND}$                |                      |                      |                       |                  |         |      |         |                         |              |          |           |         |                 |           |              |                |                  |       |  |
| Fire simulation and/or suppression                    | no                   | no                   | no                    | yes              | yes     | yes  | no      | yes                     | no           | yes      | yes       | yes     | yes             | no        | no           | no             | yes              | yes   |  |
| Climate and variability                               | no                   | no                   | yes                   | yes              | yes     | yes  | yes     | yes                     | yes          | yes      | yes       | yes     | yes             | yes       | yes          | yes            | yes              | yes   |  |
| CO <sub>2</sub> fertilisation                         | no <sup>i</sup>      | no <sup>i</sup>      | yes                   | yes              | yes     | yes  | yes     | yes                     | yes          | yes      | yes       | yes     | yes             | yes       | yes          | yes            | yes              | yes   |  |
| Carbon-nitrogen interactions, including N deposition  | no <sup>i</sup>      | yes                  | no <sup>f</sup>       | yes              | yes     | yes  | yes     | yes                     | no           | yes      | no        | yes     | yes             | yes       | yes          | no             | yes <sup>c</sup> | no    |  |

<sup>a</sup> Refers to the routine harvest of established managed forests rather than pools of harvested products. <sup>b</sup> No back-and-forth transitions between vegetation types at the country level, but if forest loss based on FRA exceeded agricultural expansion based on FAO, then this amount of area was cleared for cropland and the same amount of area of old croplands was abandoned. <sup>c</sup> Limited. Nitrogen uptake is simulated as a function of soil C, and  $V_{max}$  is an empirical function of canopy N. Does not consider N deposition. <sup>d</sup> Available but not active. <sup>e</sup> Simple parameterisation of nitrogen limitation based on Yin (2002; assessed on FACE experiments). <sup>f</sup> Although C–N cycle interactions are not represented, the model includes a parameterisation of down-regulation of photosynthesis as CO<sub>2</sub> increases to emulate nutrient constraints (Arora et al., 2009). <sup>g</sup> Tillage is represented over croplands by increased soil carbon decomposition rate and reduced humification of litter to soil carbon. <sup>h</sup> ISBA-CTRIP corresponds to SURFEXv8 in GCB2018. <sup>i</sup> Bookkeeping models include the effect of CO<sub>2</sub> fertilisation as captured by observed carbon densities, but not as an effect transient in time. <sup>j</sup> Process captured implicitly by use of observed carbon densities.

**Table A2.** Comparison of the processes and model setup for the global ocean biogeochemistry models for their estimates of SoCEAN. See Table 4 for model references.

|  |   |  |  |  |  |  |  |  |   |
|--|---|--|--|--|--|--|--|--|---|
|  | NEMO-PlankTOM5  | MICOM-HAMOC6 (NonESM-OC)   | MPIOM-HAMIOC6  | NEMO3.6-PISCESv2-gas (CNRM)                          | CSIRO  | MITgcm-RE-com2   | MOM6-COBALT (Princeton)                      | CESM-ETHZ  | NEMO-PISCES (IPSL)  |
| Atmospheric forcing for simulation A   | NCEP  | CORE-I up/NCEP-R1 with CORE-II corrections   | NCEP/NCEP+ERA-20C (spin-up)  | NCEP with CORE-II corrections                        | JRA-55   | JRA-55, <a href="https://doi.org/10.3065/D6HH6H41">https://doi.org/10.3065/D6HH6H41</a>  | JRA-55 version 1.4                           | JRA-55 version 1.3   | JRA-55  |
| Atmospheric forcing for simulation B (constant climate and CO <sub>2</sub> ) | NCEP 1980   | CORE-I   | spin-up initial restart file (278) with cyclic 1957 NCEP, run 1957–2017 with 278 | NCEP with CORE-II corrections cycling over 1948–1957 | JRA-55 1958  | JRA climatology  | JRA-55 version 1.4 year 1959                 | normal year forcing created from JRA-55 version 1.3, NYF = climatology with anomalies from the year 2001   | interannual forcing JRA-55                                |
| Initialisation of carbon chemistry   | GLODAPv1 received for anthropogenic carbon from Sabine et al. (2004) to 1920  | GLODAP v1 pre-industrial + spin-up 1000 years  | initialisation from previous simulations   | GLODAPv2   | GLODAPv1 pre-industrial  | GLODAPv2, dissolved inorganic carbon (DIC) is corrected to the 1959 level for the historical simulation and to pre-industrial level for the control simulation using Khatiwala et al (2009, 2013). | GLODAPv2 pre-industrial                      | GLODAPv2 pre-industrial  | GLODAPv2 pre-industrial                                   |
| Spin-up procedure  | Spin-up 39 years: 28 years (1920–1947) NCEP1980, followed by interannual forcing (in simulations A and D) from 1948 | Initialisation from WOA/GLODAPv1 and 1000 years of spin-up simulation using CORE-I (normal-year) forcing | spin-up with ERA20C  | 300 years of online cycling over 1948–1957           | Spin-up 300+ years biogeochemistry (BCO) and 800 years for physics, historical carbon 1850–1957 (constant climate) | Spin-up 116 years (two cycles JRA-55), either with constant (278 ppm, RunB) or with increasing (RunA) atm CO <sub>2</sub>  | Spin-up 81 years using JRA-55 year 1959      | Spin-up from initial conditions for 180 years, using CORE forcing and pre-industrial atm. CO <sub>2</sub> and N cycle, switch to JRA forcing, additional 14 years of spin-up with JRA forcing. Production run: starting from pre-industrial spin-up, 3 × cycling through JRA with historical forcing (simulation A) including time-varying N inputs, or normal year forcing, constant atm. CO <sub>2</sub> (simulation B). | Spin-up starting in 1856 with three loops of JRA forcing. |
| Physical ocean model   | NEMOv2.3-ORCA2  | MICOM (NonESM-OCv1.2)  | MPIOM  | NEMOv3.6-GELATOv6-eORCA1L75                          | MOM5   | MITgcm (checkpoint 66k)  | MOM6-SIB2                                    | CESMv1.4 (ocean model based on POP2)   | NEMO-v3.6   |
| Biogeochemistry model  | PlankTOM5.3   | HAMOC6 (NonESM-OCv1.2)   | HAMOC6   | PISCESv2-gas   | WOMBAT   | REcom-2  | COBALTv2                                     | BEC (modified & extended)  | PISCESv2  |
| Horizontal resolution  | 2° long, 0.3 to 1.5° lat  | 1° long, 0.17 to 0.25° lat   | 1.5°   | 1° long, 0.3 to 1° lat                               | 1° × 1° with enhanced resolution at the tropics and in the high-lat Southern Ocean                                 | 2° long, 0.38–2° lat.  | 0.5° long, 0.5° lat                          | Long: 1.125°, lat varying from 0.5° in the extra-tropics to 0.27° near the Equator   | 2° long, 0.3 to 1.5° lat                                  |
| Vertical resolution  | 31 levels   | 51 isopycnic layers plus two layers representing a bulk mixed layer                                      | 40 levels, layer thickness increase with depth                                   | 75 levels, 1 m at surface                            | 50 levels, 20 m in the upper 200 m   | 30 levels (nine in the upper 200 m)  | 75 levels hybrid coordinates, 2 m at surface | 60 levels (2 coordinates)  | 31 levels   |
| Total ocean area on native grid (km <sup>2</sup> )                           | 357 200 000   | 360 060 000  | 365 980 000  | 362 700 000  | 357 640 000  | 352 050 000  | 362 000 000                                  | 359 260 000  | 362 700 000   |

**Table A3.** Comparison of the inversion setup and input fields for the atmospheric inversions. Atmospheric inversions include the full CO<sub>2</sub> fluxes, including the anthropogenic and pre-industrial fluxes. Hence they need to be adjusted for the pre-industrial flux of CO<sub>2</sub> from the land to the ocean that is part of the natural carbon cycle before they can be compared with  $S_{\text{OCEAN}}$  and  $S_{\text{LAND}}$  from process models. See Table 4 for references.

|                                   | CarbonTracker Europe (CTE)<br>CTE2019-FT  | Jena CarboScope<br>sEXTocNEET_v4.3   | CAMS<br>v18r2  |
|-----------------------------------|---|--|--|
| <b>Observations</b>               |   |  |  |
| Atmospheric observations          | Hourly resolution (well-mixed conditions) ObsPack GLOBALVIEWplus v4.2 and NRT_v4.4 <sup>a</sup> )             | Flasks and hourly (outliers removed by $2\sigma$ criterion)  | Daily averages of well-mixed conditions – ObsPack GLOBALVIEWplus v4.2a& NRT v4.4, WDCGG, RAMCES and ICOS ATC |
| <b>Prior fluxes</b>               |   |  |  |
| Biosphere and fires               | SiBCASA-GFED4s <sup>b</sup>   | No prior   | ORCHIDEE (climatological), GFEDv4.1 & GFAS Landschützer et al. (2018)  |
| Ocean                             | Ocean inversion by Jacobson et al. (2007)   | oc_v1.7 updates: from 1993, interannual variability from PlankTOM5 (Buitenhuis et al., 2013) GOBM; before 1985, linear transition over the years in between (update of Rödenbeck et al., 2014) |  |
| Fossil fuels                      | EDGAR+IER, scaled to GCP2018 and GCP2019  | Jones et al. (2019) – EDGAR scaled nationally and by fuel type to GCP2019  | EDGAR scaled to GCP2019  |
| <b>Transport and optimisation</b> |   |  |  |
| Transport model                   | TM5   | TM3  | LMDz v6A   |
| Weather forcing                   | ECMWF   | NCEP   | ECMWF  |
| Resolution (degrees)              | Global: $3^\circ \times 2^\circ$ , Europe: $1^\circ \times 1^\circ$ , North America: $1^\circ \times 1^\circ$ | Global: $4^\circ \times 5^\circ$   | Global: $3.75^\circ \times 1.875^\circ$  |
| Optimisation                      | Ensemble Kalman filter  | Conjugate gradient (re-orthonormalisation) <sup>c</sup>  | Variational  |

<sup>a</sup> CGADIP (2019), Carbontracker Team (2019). <sup>b</sup> Van der Velde et al. (2014). <sup>c</sup> Ocean prior not optimised.

**Table A4.** Attribution of  $f\text{CO}_2$  measurements for the year 2018 included in SOCATv2019 (Bakker et al., 2016) to inform ocean  $p\text{CO}_2$ -based flux products.

| Platform                        | Regions   | No. of samples | Principal investigators  | No. of data sets | Platform type |
|---------------------------------|---|----------------|--|------------------|---------------|
| <i>AkzoNobel</i>                | North Atlantic, Southern Ocean                                      | 553            | Tanhua, T.; Gutekunst, S.  | 1                | Ship          |
| <i>Allure of the Seas</i>       | Tropical Atlantic   | 118 652        | Wanninkhof, R.; Pierrot, D.  | 50               | Ship          |
| <i>Aurora Australis</i>         | Southern Ocean  | 59 586         | Tilbrook, B.   | 3                | Ship          |
| <i>Bjarni Saemundsson</i>       | North Atlantic  | 7938           | Benoit-Cattin-Breton, A.; Ólafsdóttir, S. R.                               | 1                | Ship          |
| <i>Cap Blanche</i>              | Southern Ocean, tropical Pacific                                    | 28 554         | Cosca, C.; Alin, S.; Feely, R.; Herndon, J.; Collins A.                    | 5                | Ship          |
| <i>Cap San Lorenzo</i>          | Tropical Atlantic   | 16 071         | Lefèvre, N.  | 4                | Ship          |
| <i>Colibri</i>                  | North Atlantic, tropical Atlantic                                   | 6541           | Lefèvre, N.  | 1                | Ship          |
| <i>Equinox</i>                  | Tropical Atlantic   | 119 384        | Wanninkhof, R.; Pierrot, D.  | 48               | Ship          |
| <i>F.G. Walton Smith</i>        | North Atlantic  | 2830           | Millero, F.; Wanninkhof, R.  | 2                | Ship          |
| <i>Finnmaid</i>                 | North Atlantic  | 135 597        | Rehder, G.; Glockzin, M.   | 9                | Ship          |
| <i>G.O. Sars</i>                | North Atlantic  | 105 172        | Skjelvan, I.   | 11               | Ship          |
| <i>Gordon Gunter</i>            | North Atlantic  | 73 634         | Wanninkhof, R.; Pierrot, D.  | 12               | Ship          |
| <i>Henry B. Bigelow</i>         | North Atlantic  | 64 935         | Wanninkhof, R.; Pierrot, D.  | 14               | Ship          |
| Heron Island                    | Tropical Pacific  | 3631           | Tilbrook, B.   | 2                | Mooring       |
| <i>Investigator</i>             | Southern Ocean  | 88 217         | Tilbrook, B.   | 6                | Ship          |
| <i>Isabu</i>                    | North Pacific   | 2350           | Park, G.-H.  | 1                | Ship          |
| Kangaroo Island                 | Southern Ocean  | 4016           | Tilbrook, B.   | 2                | Mooring       |
| <i>Laurence M. Gould</i>        | Southern Ocean  | 28 666         | Sweeney, C.; Takahashi, T.; Newberger, T.; Sutherland, S. C.; Munro, D. R. | 5                | Ship          |
| Maria Island                    | Southern Ocean  | 4015           | Tilbrook, B.   | 2                | Mooring       |
| <i>Marion Dufresne</i>          | Southern Ocean, Indian  | 6796           | Lo Monaco, C.; Metzl, N.   | 1                | Ship          |
| <i>New Century 2</i>            | North Pacific, tropical Pacific, North Atlantic                     | 33 316         | Nakaoka, S.-I.   | 14               | Ship          |
| <i>Nuka Arctica</i>             | North Atlantic  | 143 430        | Becker, M.; Olsen, A.  | 23               | Ship          |
| <i>Ronald H. Brown</i>          | North Atlantic, tropical Pacific                                    | 28 239         | Wanninkhof, R.; Pierrot, D.  | 5                | Ship          |
| <i>Simon Stevin</i>             | North Atlantic  | 33 760         | Gkritzalis, T.   | 8                | Ship          |
| <i>Soyo Maru</i>                | North Pacific   | 91 491         | Ono, T.  | 5                | Ship          |
| Station M                       | North Atlantic  | 1313           | Skjelvan, I.; Lauvset, S. K.   | 1                | Mooring       |
| <i>Tangaroa</i>                 | Southern Ocean  | 136 893        | Currie, K. I.  | 8                | Ship          |
| <i>Trans Carrier</i>            | North Atlantic  | 12 966         | Omar, A. M.; Johannessen, T.   | 1                | Ship          |
| <i>Trans Future 5</i>           | North Pacific, tropical Pacific, Southern Ocean                     | 27 856         | Nakaoka, S.-I.; Nojiri, Y.   | 19               | Ship          |
| <i>Turn the Tide on Plastic</i> | North Atlantic, tropical Atlantic, Southern Ocean, tropical Pacific | 13 043         | Gutekunst, S.  | 1                | Ship          |
| <i>Wakmatha</i>                 | Tropical Pacific  | 25 457         | Tilbrook, B.   | 8                | Ship          |

**Table A5.** Funding supporting the production of the various components of the global carbon budget in addition to the authors' supporting institutions (see also acknowledgements).

| Funder and grant number (where relevant)  | Author initials                        |
|---|--|
| Australia, Integrated Marine Observing System (IMOS)  | BT, CN                                 |
| Australian government as part of the Antarctic Science Collaboration Initiative programme   | AL                                     |
| Australian Government National Environment Science Program (NESP)   | JGC, VH                                |
| Belgium Research Foundation – Flanders (FWO) (grant number UA C130206-18)   | TG                                     |
| BNP Paribas Foundation through Climate & Biodiversity initiative, philanthropic grant for developments of the Global Carbon Atlas                           | PC, AP                                 |
| BONUS INTEGRAL  | GR                                     |
| EC Copernicus Atmosphere Monitoring Service implemented by ECMWF  | FC                                     |
| EC Copernicus Marine Environment Monitoring Service implemented by Mercator Ocean   | MG                                     |
| EC H2020 (AtlantOS; grant no. 633211)   | SV, MG                                 |
| EC H2020 (CCiCC; grant no. 821003)  | PF, RMA, SS, GPP, MOS, JIK, SL, NG, PL |
| EC H2020 (CHE; grant no. 776186)  | MWJ                                    |
| EC H2020 (CRESCENDO; grant no. 641816)  | RS, EJ                                 |
| EC H2020 European Research Council (ERC) Synergy grant (IMBALANCE-P; grant no. ERC-2013-SyG-610028)   | DSG                                    |
| EC H2020 ERC (QUINCY; grant no. 647204)   | SZ                                     |
| EC H2020 (RINGO; grant no. 730944)  | DB                                     |
| EC H2020 project (VERIFY; grant no. 776810)   | CLQ, GPP, JIK, RMA, MWJ, PC            |
| European Space Agency Climate Change Initiative ESA-CCI RECCAP2 project 655 (ESRIN/4000123002/18/I-NB)  | PF, PC, SS, MOS                        |
| French Institut National des Sciences de l'Univers (INSU) and Institut Pau- Emile Victor (IPEV), Sorbonne Universités (OSU Ecce-Terra)                      | NM                                     |
| French Institut de Recherche pour le Développement (IRD)  | NL                                     |
| French Integrated Carbon Observation System (ICOS) France Océan;  | NL                                     |
| German Integrated Carbon Observation System (ICOS), Federal Ministry for Education and Research (BMBF);   | GR                                     |
| German Future Ocean (grant number CP1756)   | SG                                     |
| German Helmholtz Association in its ATMO programme  | PA                                     |
| German Helmholtz Association Innovation and Network Fund (VH-NG-1301)   | JH                                     |
| German Research Foundation's Emmy Noether Programme (grant no. PO1751/I-1)  | JP                                     |
| Japan Ministry of the Environment (grant number E1432)  | TO                                     |
| Japan Global Environmental Research Coordination System, Ministry of the Environment (grant number E1751)   | SN                                     |
| Netherlands Organization for Scientific Research (NWO; Ruisdael Infrastructure)   | NS                                     |
| Norwegian Research Council (grant no. 270061)   | JS                                     |
| Norwegian ICOS Norway and OTC Research Infrastructure Project, Research Council of Norway (grant number 245927)   | SV, MB, AO                             |
| New Zealand, NIWA SSIF funding  | KC                                     |
| Swiss National Science Foundation (grant no. 200020_172476)   | SL                                     |
| UK Natural Environment Research Council (SONATA; grant no. NE/P021417/1)  | ETB                                    |
| UK Newton Fund, Met Office Climate Science for Service Partnership Brazil (CSSP Brazil)   | AW, ER                                 |
| UK Royal Society (grant no. RP\R1\191063)   | CLQ                                    |
| US Department of Agriculture, National Institute of Food and Agriculture (grant nos. 2015-67003-23489 and 2015-67003-23485)                                 | DLL                                    |
| US Department of Commerce, NOAA/OAR's Global Observations and Monitoring of the Oceans Program  | RF                                     |
| US Department of Commerce, NOAA/OAR's Ocean Observations and Monitoring Division (grant number 100007298);  | LB, DP                                 |
| US Department of Commerce, NOAA/OAR's Ocean Acidification Program   | DP, LB                                 |
| US Department of Energy, Office of Science and BER prg. (grant no. DE-SC000 0016323)  | ATJ                                    |
| US Department of Energy, SciDac award number is DESC0012972; IDS grant award number is 80NSSC17K0348  | LC, GH                                 |
| US CIMAS, a Cooperative Institute of the University of Miami and the National Oceanic and Atmospheric Administration (cooperative agreement NA10OAR4320143) | DP, LB                                 |
| US NASA Interdisciplinary Research in Earth Science Program.  | BP                                     |
| US National Science Foundation (grant number 1461590)   | JOK                                    |
| US National Science Foundation (grant number 1903722)   | HT                                     |
| US National Science Foundation (grant number PLR-1543457)   | DM                                     |
| US Princeton University Environmental Institute and the NASA OCO2 science team, grant number 80NSSC18K0893.   | LR                                     |

Table A5. Continued.

| Funder and grant number (where relevant)   | Author initials |
|--|-----------------|
| <b>Computing resources</b>   |                 |
| Norway UNINETT Sigma2, National Infrastructure for High Performance Computing and Data Storage in Norway (NN2980K/NS2980K)   | JS              |
| Japan National Institute for Environmental Studies computational resources   | EK              |
| TGCC under allocation 2018-A0050102201 made by GENCI   | FC              |
| UK Centre for Environmental Data Analysis (CEDA) JASMIN Super-data-cluster   | PCM             |
| Supercomputing time was provided by the Météo-France/DSI supercomputing centre.  | RS, EJ          |
| CarbonTracker Europe was supported by the Netherlands Organization for Scientific Research (NWO; grant no. SH-312, 17616)  | WP, NS          |
| Deutsches Klimarechenzentrum (allocation bm0891)   | JEMSN, JP       |
| PRACE for awarding access to JOLIOT CURIE at GENCI@CEA, France   | LB              |
| <b>Support for aircraft measurements in ObsPack</b>  |                 |
| L. V. Gatti, M. Gloor, J. B. Miller: AMAZONICA consortium project was funded by NERC (NE/F005806/1), FAPESP (08/58120-3), GEOCARBON project (283080)   |                 |
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Table A6. Aircraft measurement programmes archived by Cooperative Global Atmospheric Data Integration Project (CGADIP, 2019) that contribute to the evaluation of the atmospheric inversions (Fig. B3).

| Measurement programme name in ObsPack                                    | Specific DOI  | Data providers  |
|--|---|---|
| Alta Floresta  |   | Gatti, L. V.; Gloor, E.; Miller, J. B.; ghg_obs@met.kishou.go.jp          |
| Aircraft Observation of Atmospheric trace gases by JMA                   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Beaver Crossing, Nebraska  |   | Sweeney, C.; Dlugokencky, E. J.   |
| Bradgate, Iowa   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Briggsdale, Colorado   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Cape May, New Jersey   |   | Sweeney, C.; Dlugokencky, E. J.   |
| CONTRAIL (Comprehensive Observation Network for TRace gases by AirLiner) | <a href="https://doi.org/10.17595/20180208.001">https://doi.org/10.17595/20180208.001</a>     | Machida, T.; Matsueda, H.; Sawa, Y.; Niwa, Y.                             |
| Carbon in Arctic Reservoirs Vulnerability Experiment (CARVE)             |   | Sweeney, C.; Karion, A.; Miller, J. B.; Miller, C. E.; Dlugokencky, E. J. |
| Dahlen, North Dakota   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Estevan Point, British Columbia  |   | Sweeney, C.; Dlugokencky, E. J.   |
| East Trout Lake, Saskatchewan  |   | Sweeney, C.; Dlugokencky, E. J.   |
| Fairchild, Wisconsin   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Molokai Island, Hawaii   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Homer, Illinois  |   | Sweeney, C.; Dlugokencky, E. J.   |
| HIPPO (HIAPER Pole-to-Pole Observations)                                 | <a href="https://doi.org/10.3334/CDIAC/HIPPO_010">https://doi.org/10.3334/CDIAC/HIPPO_010</a> | Wofsy, S. C.; Stephens, B. B.; Elkins, J. W.; Hints, E. J.; Moore, F.     |
| INFLUX (Indianapolis Flux Experiment)                                    |   | Sweeney, C.; Dlugokencky, E. J.; Shepson, P. B.; Turnbull, J.             |
| NASA Goddard Space Flight Center Aircraft Campaign                       |   | Kawa, S. R.; Abshire, J. B.; Riris, H.                                    |
| Park Falls, Wisconsin  |   | Sweeney, C.; Dlugokencky, E. J.   |
| Offshore Corpus Christi, Texas   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Offshore Portsmouth, New Hampshire (Isles of Shoals)                     |   | Sweeney, C.; Dlugokencky, E. J.   |
| Oglesby, Illinois  |   | Sweeney, C.; Dlugokencky, E. J.   |
| Poker Flat, Alaska   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Rio Branco   |   | Gatti, L. V.; Gloor, E.; Miller, J. B.                                    |
| Rarotonga  |   | Sweeney, C.; Dlugokencky, E. J.   |
| Santarém   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Charleston, South Carolina   |   | Sweeney, C.; Dlugokencky, E. J.   |
| Southern Great Plains, Oklahoma  |   | Sweeney, C.; Dlugokencky, E. J.; Biraud, S.                               |
| Harvard University Aircraft Campaign                                     |   | Wofsy, S. C.  |
| Tabatinga  |   | Gatti, L. V.; Gloor, E.; Miller, J. B.                                    |
| Trinidad Head, California  |   | Sweeney, C.; Dlugokencky, E. J.   |
| West Branch, Iowa  |   | Sweeney, C.; Dlugokencky, E. J.   |

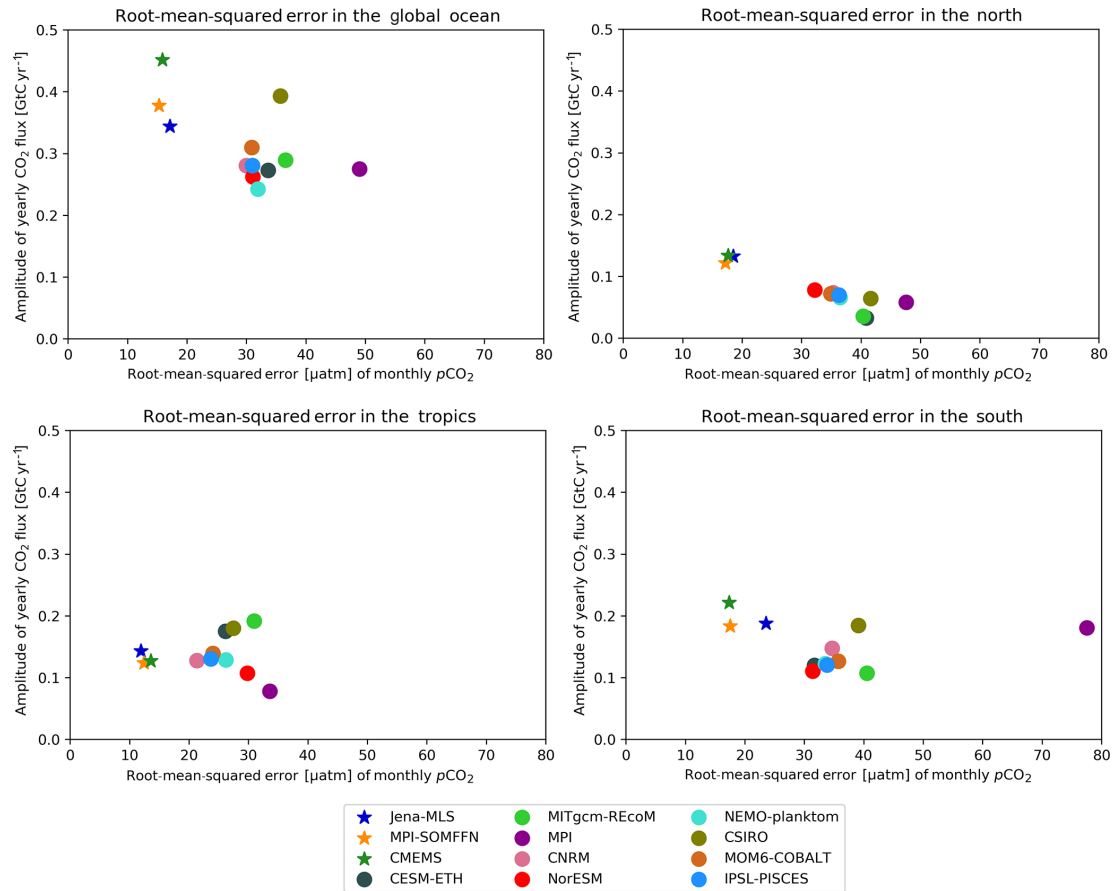


**Table A7.** Main methodological changes in the global carbon budget from first publication until 2014. Post-2014 methodological changes are presented in Table 3. Methodological changes introduced in one year are kept for the following years unless noted. Empty cells mean there were no methodological changes introduced that year.

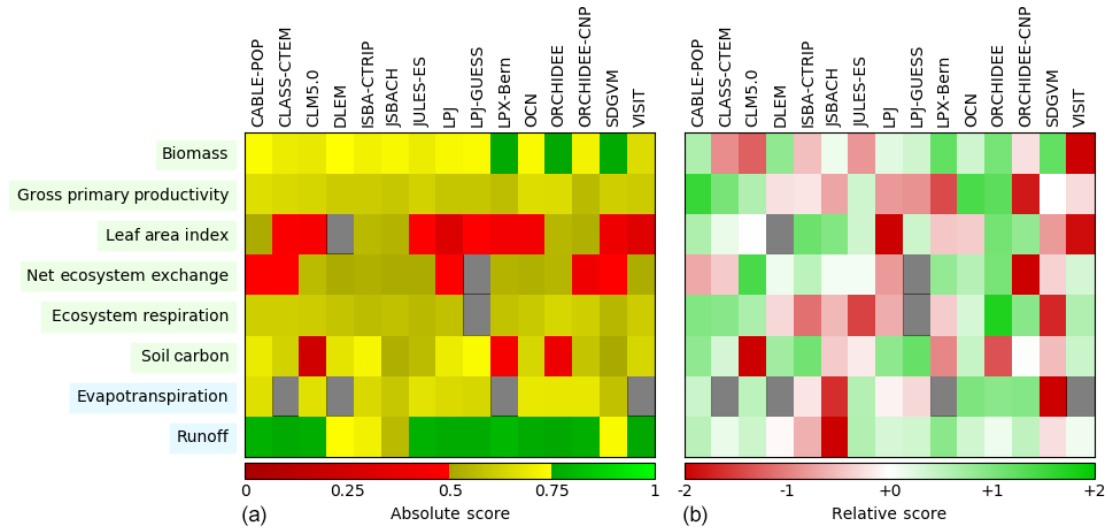
| Publication year  | Fossil fuel emissions                    |  | LUC emissions   |  |   | Reservoirs  |   | Uncertainty & other changes |
|-------------------|--|--|---|--|---|---|---|-----------------------------|
|                   | Global                                   | Country (territorial)  | Country (consumption)   | Atmosphere   | Ocean   | Land  |   |                             |
| 2006 <sup>a</sup> |  | Split in regions   |   |  |   |   |   |                             |
| 2007 <sup>b</sup> |  |  | <i>E<sub>LUC</sub></i> based on FAO-FRA 2005; constant <i>E<sub>LUC</sub></i> for 2006        | 1959–1979 data from Mauna Loa; data after 1980 from global average | Based on one ocean model tuned to re-produced observed 1990s sink         |   | ±1σ provided for all components   |                             |
| 2008 <sup>c</sup> |  |  | Constant <i>E<sub>LUC</sub></i> for 2007  |  |   |   |   |                             |
| 2009 <sup>d</sup> |  | Split between Annex B and non-Annex B  | Results from an independent study discussed   | Fire-based emission anomalies used for 2006–2008                   | Based on four ocean models normalised to observations with constant delta | First use of five DGVMs to compare with budget residual   |   |                             |
| 2010 <sup>e</sup> | Projection for current year based on GDP | Emissions for top emitters   | <i>E<sub>LUC</sub></i> updated with FAO-FRA 2010  |  |   |   |   |                             |
| 2011 <sup>f</sup> |  |  | Split between Annex B and non-Annex B   |  |   |   |   |                             |
| 2012 <sup>g</sup> | 129 countries from 1959                  | 129 countries and regions from 1990 to 2010 based on GTAP8.0   | <i>E<sub>LUC</sub></i> for 1997–2011 includes interannual anomalies from fire-based emissions | All years from global average                                      | Based on five ocean models normalised to observations with ratio          | A total of 10 DGVMs available for <i>S<sub>LAND</sub></i> ; first use of four models to compare with <i>E<sub>LUC</sub></i> |   |                             |
| 2013 <sup>h</sup> | 250 countries                            | 134 countries and regions 1990–2011 based on GTAP8.1, with detailed estimates for the years 1997, 2001, 2004, and 2007 | <i>E<sub>LUC</sub></i> for 2012 estimated from the 2001–2010 average                          |  | Based on six models compared with two data products from the year 2011    | Coordinated DGVM experiments for <i>S<sub>LAND</sub></i> and <i>E<sub>LUC</sub></i>   | Confidence levels; cumulative emissions; budget from 1750   |                             |
| 2014 <sup>i</sup> | 3 years of BP data                       | 3 years of BP data with updated GDP data   | <i>E<sub>LUC</sub></i> for 1997–2013 includes interannual anomalies from fire-based emissions |  | Based on seven models   | Based on 10 models  | Inclusion of breakdown of the sinks in three latitude bands and comparison with three atmospheric in-versions |                             |

<sup>a</sup> Raupach et al. (2007), <sup>b</sup> Canadell et al. (2007), <sup>c</sup> Online, <sup>d</sup> Le Quéré et al. (2009), <sup>e</sup> Friedlingstein et al. (2010), <sup>f</sup> Peters et al. (2012b), <sup>g</sup> Le Quéré et al. (2013), <sup>h</sup> Peters et al. (2013), <sup>i</sup> Le Quéré et al. (2015b).

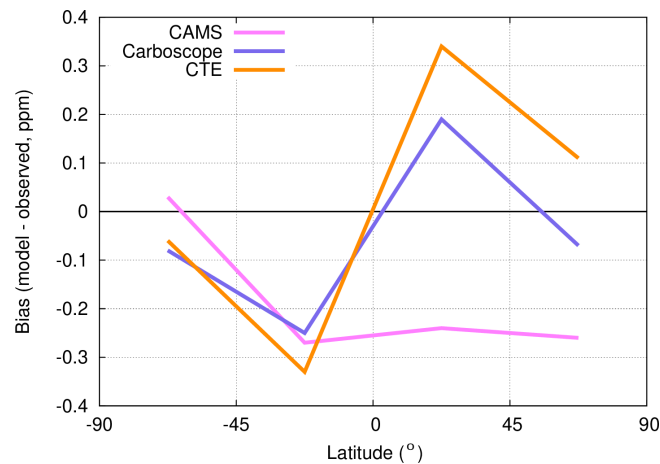
## Appendix B



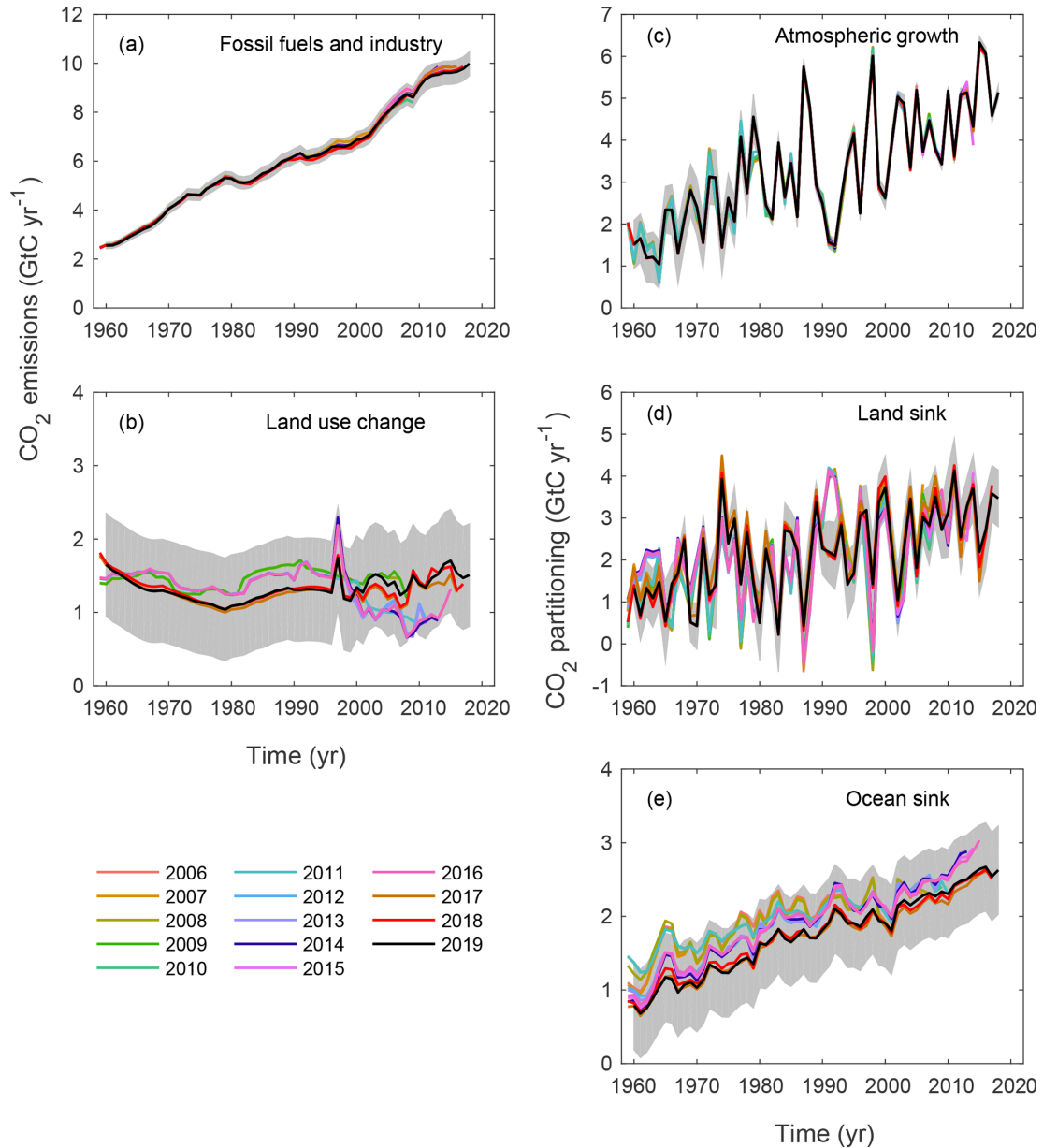
**Figure B1.** Evaluation of the GOBMs and flux products using the root-mean-squared error (RMSE) for the period 1985 to 2018, between the individual surface ocean  $p\text{CO}_2$  estimates and the SOCAT v2019 database. The y axis shows the amplitude of the interannual variability (A-IAV, taken as the standard deviation of a 12-month running mean over the monthly flux time series; Rödenbeck et al., 2015). Results are presented for the globe, north (>30° N), tropics (30° S-30° N), and south (<30° S) for the GOBMs (circles) and for the  $p\text{CO}_2$ -based flux products (star symbols). The three  $p\text{CO}_2$ -based flux products use the SOCAT database and therefore are not fully independent from the data (see Sect. 2.4.1).



**Figure B2.** Evaluation of the DGVM using the International Land Model Benchmarking system (ILAMB; Collier et al., 2018) (a) absolute skill scores and (b) skill scores relative to other models. The benchmarking is done with observations for vegetation biomass (Saatchi et al., 2011; GlobalCarbon, unpublished data; Avitabile et al., 2016), GPP (Jung et al., 2010; Lasslop et al., 2010), leaf area index (De Kauwe et al., 2011; Myneni et al., 1997), net ecosystem exchange (Jung et al., 2010; Lasslop et al., 2010), ecosystem respiration (Jung et al., 2010; Lasslop et al., 2010), soil carbon (Hugelius et al., 2013; Todd-Brown et al., 2013), evapotranspiration (De Kauwe et al., 2011), and runoff (Dai and Trenberth, 2002). For each model–observation comparison a series of error metrics are calculated, scores are then calculated as an exponential function of each error metric, finally for each variable the multiple scores from different metrics and observational data sets are combined to give the overall variable scores shown in (a). Overall variable scores increase from 0 to 1 with improvements in model performance. The set of error metrics vary with data set and can include metrics based on the period mean, bias, root-mean-squared error, spatial distribution, interannual variability, and seasonal cycle. The relative skill score shown in (b) is a Z score, which indicates in units of standard deviation the model scores relative to the multi-model mean score for a given variable. Grey boxes represent missing model data.



**Figure B3.** Evaluation of the atmospheric inversion products. The mean of the model minus observations is shown for four latitude bands. The four models are compared to independent CO<sub>2</sub> measurements made on board aircraft over many places of the world between 2 and 7 km above sea level. Aircraft measurements archived in the Cooperative Global Atmospheric Data Integration Project (CGADIP, 2019) from sites, campaigns, or programmes that cover at least 9 months between 2001 and 2017 and that have not been assimilated have been used to compute the biases of the differences in four 45° latitude bins. Land and ocean data are used without distinction. The number of data for each latitude band is 5000 (90–45° S), 124 000 (45° S–0°), 1 042 000 (0–45° N), and 139 000 (45–90° N), rounded off to the nearest thousand.



**Figure B4.** Comparison of global carbon budget components released annually by GCP since 2006. CO<sub>2</sub> emissions from (a) fossil CO<sub>2</sub> emissions ( $E_{FF}$ ) and (b) land use change ( $E_{LUC}$ ), as well as their partitioning among (c) the atmosphere ( $G_{ATM}$ ), (d) the land ( $S_{LAND}$ ), and (e) the ocean ( $S_{OCEAN}$ ). See legend for the corresponding years and Tables 3 and A7 for references. The budget year corresponds to the year when the budget was first released. All values are in gigatonnes of carbon per year. Grey shading shows the uncertainty bounds representing  $\pm 1\sigma$  of the current global carbon budget.

**Author contributions.** PF, MWJ, MOS, CLQ, RMA, JH, GPP, WP, JP, SS, DCEB, JGC, PC, and RBJ designed the study, conducted the analysis, and wrote the paper. RMA, GPP, and JIK produced the emissions and their uncertainties and 2019 emission projections, and analysed the emissions data. DG and GM provided emission data. PPT provided key atmospheric CO<sub>2</sub> data. WP, PC, FC, CR, NN, and NS provided an updated atmospheric inversion, developed the protocol, and produced the evaluation. JP, AB, and RAH provided updated bookkeeping land use change emissions. LPC, GH, KKG, FNT, and GRvdW provided forcing data for land use change. PA, VB, DSG, VH, AKJ, EJ, EK, SL, DL, PCM, JRM, JEMSN, BP, HT, APW, AJW, and SZ provided an update of a DGVM. IH and JOK provided forcing data for the DGVMs. ER provided the evaluation of the DGVMs. JH, LaB, EB, NG, TI, AL, JS, and RS provided an update of a GOBM. MG, PL, and CR provided an update of an ocean flux product. LeB, MB, KIC, RAF, TG, SG, NL, NM, DRM, SIN, CN, AMO, TO, DP, GR, and BT provided ocean *p*CO<sub>2</sub> measurements for the year 2018, with synthesis by DCEB and SKL. LR provided an updated river flux estimate. AP contributed to setting up the GCB data set at <http://globalcarbonatlas.org> (last access: 4 December 2019). PF, MWJ, and MOS revised all figures, tables, text, and/or numbers to ensure the update is clear from the 2018 edition and in phase with the <http://globalcarbonatlas.org>.

**Competing interests.** The authors declare that they have no conflict of interest.

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