# Effects of Aging on the Encoding of Spatial Direction in the Human Brain

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#### Abstract

Human aging is characterized by impaired spatial cognition and reductions in the distinc-2 tiveness of category-specific fMRI activation patterns. Yet, little is know about age-related 3 decline in neural distinctiveness of spatial information. Here, we asked whether neural tun-4 ing functions of walking direction are broadened in older versus younger adults. To test this 5 idea, we developed a novel method that allowed us to investigate changes in fMRI-measured 6 pattern similarity while participants navigated in different directions in a virtual spatial navigation task. We expected that directional tuning functions would be broader in older 8 adults, and thus activation patterns that reflect neighboring directions would be less dis-9 tinct as compared to non-adjacent directions. Because loss of distinctiveness leads to more 10 11 confusions when information is read out by downstream areas, we analyzed predictions of a decoder trained on these representations and asked (1) whether decoder confusions between 12 two directions increase proportionally to their angular similarity, (2) and how this effect 13 may differ between age groups. Evidence for tuning-function-like signals was found in the 14 retrosplenial complex and primary visual cortex. Significant age differences in tuning width, 15 however, were only found in the primary visual cortex, suggesting that less precise visual 16 information could lead to worse directional signals in older adults. Yet, age differences in 17 visual tuning were not related to behavior. Instead, directional information encoded in RSC 18 correlated with memory on task. These results shed new light on neural mechanisms under-19 ling age-related spatial navigation impairments and introduce a novel approach to measure 20 tuning specificity using fMRI. 21

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Keywords: spatial navigation; aging; neural dedifferentiation; tuning functions,

23 fMRI, MVPA

# <sup>24</sup> 1 Introduction

A central goal of aging research is to understand how aging-related neurobiological changes 25 affect computational functions of the brain. One important approach has been to investigate 26 how aging changes the representation of sensory information in the brain (Voss et al., 2008; 27 Carp, Park, Polk, & Park, 2011; Schmolesky, Wang, Pu, & Leventhal, 2000), which in turn 28 might affect cognitive operations that rely on these representations (Baltes & Lindenberger, 29 1997; Li, Lindenberger, & Sikström, 2001). A prominent finding in this regard is that neural 30 patterns are less specific to the category of sensory information in older adults, a phenomenon 31 32 commonly referred to as *neural dedifferentiation* (e.g. D. C. Park et al., 2004; Koen & Rugg, 2019, for recent reviews). Here, we studied age-related neural dedifferentiation in the domain 33 of spatial navigation. 34

In particular, in this study we asked if aging changes how brain areas sensitive to visual 35 and spatial information encode angular walking direction during navigation (Cullen & Taube, 36 2017; Blair & Sharp, 1996). In young animals, electrophysiological recordings of visually-37 and direction-sensitive neurons in primary visual cortex (V1) (De Valois & De Valois, 1980) 38 and the thalamus (Taube, Muller, & Ranck, 1990a, 1990b) have revealed that although most 39 neurons have a preferred stimulus, they are not firing in an all-or-none fashion. Rather, 40 cells tend to fire proportionally to the similarity between the observed stimulus and their 41 preferred stimulus, exhibiting response properties that are well approximated by a so-called 42 Gaussian 'tuning function' centered around the preferred stimulus. Modelling work has also 43 shown that a population of cells with those tuning properties will optimally encode an ap-44 proximately Gaussian likelihood function of the stimulus given the population response; and 45 suggested that this likelihood function is read out, or decoded, by downstream populations 46 that compute optimal behavior based on sensory input (Jazayeri & Movshon, 2006; Aver-47 beck, Latham, & Pouget, 2006). The focus of the present paper was therefore to understand 48 age-related differences in the properties of population-based tuning functions that encode 49 directional information. 50

51 Understanding age-effects on population-level tuning properties is important given the 52 large number of previous investigations that have suggested a loss of specificity of neural 53 representations in older animals and humans. This originated from reports of fMRI activation

patterns in inferior temporal cortex losing categorical specificity with increasing age, i.e. 54 activity patterns evoked by face-, place- or word- stimuli are more similar in older versus 55 younger adults (e.g. D. C. Park et al., 2004; Voss et al., 2008; Burianová, Lee, Grady, & 56 Moscovitch, 2013; Carp et al., 2011). Neural dedifferentiation has also been linked to memory 57 impairment with older age (Zheng et al., 2018; Koen, Hauck, & Rugg, 2019) and related 58 changes to similarity of neural representations might play a crucial role in the encoding and 59 retrieval of memory content (Koen, Hauck, & Rugg, 2018; Sommer et al., 2019). Moreover, 60 electrophysiological recordings in V1 of senescent Rhesus monkeys have found that tuning 61 curves of orientation responsive neural populations broaden with age, effectively widening 62 the spectrum of orientation angles a single neuron responds to (Leventhal, Wang, Pu, Zhou, 63 & Ma, 2003; Schmolesky et al., 2000). 64

According to the *neural broadening hypothesis* these changes in firing properties of neural populations are a potential mechanism behind neural dedifferentiation, a notion which found support in a recent fMRI study (J. Park et al., 2012).

However, while electrophysiological recordings showed broadening within a single, con-68 tinuous domain (e.g. visual orientation), the fMRI evidence is based on increased pattern 69 similarity across distinct domains processed in anatomically separate brain areas (e.g., faces 70 houses). This is an important difference because the broader tuning functions over 71 vs. a continuous domain found in animals likely relate to changes in local inhibitory control 72 (Leventhal et al., 2003). The mechanisms underlying cross-category dedifferentiation across 73 areas as found in humans, on the other hand, must be non-local and are generally much less 74 well understood. Thus, our focus on age-related changes in tuning properties of direction 75 sensitive areas would allow us to build a closer link to animal studies. Moreover, the in-76 vestigation of representations underlying spatial navigation might lead to insights into why 77 age-related memory impairments are particularly pronounced in the spatial domain (Moffat, 78 2009; Lester, Moffat, Wiener, Barnes, & Wolbers, 2017), since spatial memory relies on a 79 sense of direction, for instance during path integration (McNaughton, Battaglia, Jensen, 80 Moser, & Moser, 2006; Seelig & Jayaraman, 2015). 81

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To investigate age-related changes in visual and directional encoding of angular walking direction, we analyzed fMRI data from a previous study that used a spatial virtual reality

(VR) navigation paradigm (Schuck, Doeller, Polk, Lindenberger, & Li, 2015). This work has 84 shown that the neural underpinnings of different spatial navigation strategies are changed, 85 and partly dedifferentiated in older adults (see also: Schuck et al., 2013). In the present paper 86 we went beyond this work by investigating the encoding of directional information that is 87 involved in any spatial strategy. Our hypotheses were threefold: first, we expected that fMRI 88 signals stemming from directionally- and visually-tuned neural populations will allow us to 89 decode walking direction above chance (directional and visual similarity were linked in the 90 present data, as they are in daily life). Second, the similarity of two representations arising 91 from different directions should be inversely proportional to the angular difference between 92 these directions. Because our focus was on representational structure from the perspective 93 of downstream areas which read out population level tuning functions (Jazaveri & Movshon, 94 2006; Averbeck et al., 2006), we investigated the probability of a decoder in confusing similar 95 patterns, rather than the similarity directly. A tuning function-like signal should lead to 96 systematically more confusions between neighbouring directions, effectively taking the shape 97 of a Gaussian tuning function as seen in the analysis of electrophysiological recordings in 98 animals (Mazurek, Kager, & Van Hooser, 2014). Finally, our most central hypothesis was 99 that older adults should show decreased specificity of directional representations, which we 100 tested by comparing the width of the fMRI-derived tuning functions. 101

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# 2 Materials and Methods

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#### 2.1 Participants

This study is a re-analysis of data from 26 younger (21-34) and 22 older (56-74) male 104 participants, as reported in Schuck et al. (2015). In addition to the exclusion criteria used 105 in the original study (insufficient task performance, signal loss), we excluded participants 106 with an unsuitable distribution of walking direction events that resulted in too little data 107 for at least one direction to train the classifier (three participants, one younger, two older; 108 for details see supplementary material section one). Additionally, one younger and one 109 older participant had to be excluded due to missing directional information or excessive 110 motion during the task, respectively. Therefore, 43 participants (24 younger, 21–34 years, 111

112  $\mu_{age} = 27.87, \sigma_{age} = 4.01; 19 \text{ older}, 56-74 \text{ years}, \mu_{age} = 67, \sigma_{age} = 3.93)$  entered the analysis. 113 Additional subject characteristics can be found in (Schuck et al., 2015).

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#### 2.2 Virtual Reality Task

Participants performed a desktop-based virtual environment (VE) spatial memory task while 115 they underwent fMRI. The task was programmed using UnrealEngine2 Runtime software 116 (Epic, https://www.unrealengine.com) and participants were familiarized with all proce-117 dures before entering the MRI scanner, for details see Schuck et al. (2015). The VE displayed 118 a grass plane surrounded by a circular, non-traversable stone wall with a diameter of 180 119 virtual meters (vm; 1 vm = 62.5 Unreal Units). Beyond the stone wall distal orientation 120 cues, including multiple mountains, clouds, and the sun, were projected at infinite distance. 121 Inside the arena a landmark was placed in the form of a traffic cone, see Figure 1. Par-122 ticipants were able to freely move around the arena. All movements were controlled using 123 an MR-compatible joystick (NAtA Technology, Coquitlam, Canada) and exhibited constant 124 speed. Right and left tilts of the joystick led to corresponding rotations of the player's 125 viewing direction. Forward and backward tilts controlled walking. A full crossing of the 126 environment took approximately 15 seconds. Location and viewing direction of the player 127 were recorded every 100ms. 128



**Figure 1:** Trial structure of the VR task during feedback trials. After an object was cued it had to be placed at the remembered location. After replacing the object feedback was presented in the form of the true object location where it had to be picked up to start the next trial. Maximum time window for replacing and collecting was 120 s. Free movement during all parts of the feedback trials were used for further analysis. Figure adapted from Schuck et al. (2015).

Participants were first asked to encode the locations of objects that were shown within

the arena. Afterwards, the participants' main task was to navigate to the locations of these 130 objects after a cue was displayed (see Figure 1; 5 objects, 6 trials per object, maximum 131 time to relocate an object was 120s, for details see Schuck et al. (2015)). The analyses 132 presented in this paper are solely concerned with directional signals independent of task 133 condition. Thus, we considered all periods of fMRI recording that involved free navigation 134 in a known environment. Encoding and transfer trials mentioned in the original publication 135 were excluded since in encoding trials the environment was novel and movement was directed 136 137 by cues and transfer trials involved changes to the environment that could potentially lead to direction remapping (e.g., Taube et al., 1990b). 138

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#### 2.3 Image acquisition

A 3 Tesla Siemens Magnetom Trio (Siemens, Erlangen, Germany) research-dedicated MRI 140 scanner was used for MRI data acquisition. An MP-RAGE pulse sequence  $(1 \times 1 \times 1 mm \text{ voxels})$ 141 TR = 2500 ms, TE = 4.77 ms, TI = 110 ms, acquisition matrix  $= 256 \times 256 \times 192$ , FOV =142  $256 \, mm$ , flip angle = 7°, bandwidth =  $140 \, \frac{\text{Hz}}{\text{Px}}$ ) was used to collect T1-weighted structural 143 images before and after the full task. Functional data was acquired using a T2\*-weighted 144 echo-planar imaging (EPI) pulse sequence  $(3 \times 3 \times 3 mm \text{ voxels}, \text{ slice thickness} = 2.5 mm,$ 145 distance factor = 20%, TR = 2400 ms, TE = 30 ms, image matrix =  $72 \times 72$ , FOV = 146 216 mm, flip angle =  $80^{\circ}$ , 43 axial slices, GRAPPA parallel imaging, acceleration factor: 2, 147 interleaved acquisition). Slices collected during the EPI sequence were rotated to approxi-148 mately  $-30^{\circ}$  relative to the anterior-posterior-commisure plane to reduce signal drop-out in 149 areas of the MTL. The task was split into two functional runs, each taking between ten and 150 40 minutes depending on participant performance. 151

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# 2.4 Image preprocessing

All imaging data were preprocessed and analyzed using SPM12. The pipeline for each subject consisted of spatial realignment, slice timing correction, coregistration to the anatomical scan and segmentation of the structural scan. Grey- and white-matter segmented anatomical images were used to create age-group specific MNI templates using SPM's DARTEL (Ashburner & Friston, 2009) to avoid age effects resulting from normalization to a template based on

158	younger adults. Anatomical ROIs were defined in MNI space using the Harvard-Oxford
159	Cortical Atlas and the Talairach Atlas and afterwards transformed into the subject's in-
160	dividual functional space using the inverse of the participant-specific transformation matrix
161	to the DARTEL template. All further analyses were conducted within-subject.

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## 2.5 fMRI analyses

Participants could determine their orientation by tracking their own rotation and attending 163 to the visually displayed distal orientation cues. The analysis was therefore focused on 164 the following set of ROIs that had previously been related to (head) directional signals or 165 visual processing: the retrosplenial complex (RSC), the subiculum, a joint hippocampus 166 and entorhinal cortex ROI, the thalamus (Taube, 2007; Shine, Valdés-Herrera, Hegarty, & 167 Wolbers, 2016), and primary visual cortex (V1). Although joystick movements resulted 168 in relative direction changes which were independent of the travelled direction (a left tilt 169 resulted in a left rotation relative to the direction before joystick movement), a ROI of the 170 primary motor cortex (M1) was used to capture potentially spurious, motion-related effects 171 on decoding and served as a baseline. Using a control ROI as our baseline also avoids issues 172 inherent to performing population inference based on t-tests of decoding results against a 173 numerical baseline (Allefeld, Görgen, & Haynes, 2016). 174

Univariate estimation of directional fMRI signals Participants' behavior was 175 characterized by their walking direction. Walking direction could be derived from the angle 176 of the vector connecting consecutively logged locations in the VE. Continuous navigation of 177 each participant was segmented into separate periods (events) during which walking direction 178 stayed within one of six, discrete,  $60^{\circ}$  bins for longer than 1 second. Stopping continuous 179 movement or shifting walking direction beyond the border of a bin marked the end of an 180 event. Viewing direction of the player was logged directly by the task program and matched 181 the walking direction during forward walking. Backward walking periods were identified by 182 marking periods during which viewing and walking direction differed by  $180^{\circ}(\pm 20^{\circ})$ . These 183 events were excluded from the main analysis and considered separately (see below). The 184 resulting direction events were then used to construct general linear models (GLMs) for 185

univariate estimation of direction specific fMRI activation signals.

Since successive directions might be auto-correlated during free navigation (participants 187 change more often from  $30^{\circ}$  to  $60^{\circ}$  than to  $180^{\circ}$ , etc.), performing a GLM on temporally 188 auto-correlated fMRI signals can result in biased pattern similarities (Cai, Schuck, Pillow, 189 & Niv, 2019). This effect can lead to spurious similarities between neural patterns of similar 190 walking directions. We reduced this estimation bias by temporally and directionally separat-191 ing adjacent events on the analysis level. Specifically, we separated odd and even numbered 192 193 forward walking events and modelled them in two distinct GLMs. This separation of odd and even events ensured that events within the same GLM were separated by at least the 194 minimal duration of another event (1 second) and resulted in an average of 5.1 TRs between 195 two events, which corresponds to 12.25 s (SD=9.68). Such temporal separation exponen-196 tially reduced noise correlations between events, as can be illustrated by considering a 1-step 197 autoregressive model of the form 198

$$X_t \propto \varphi_1 X_{t-1},\tag{1}$$

whereby  $\varphi_1$ , known as the AR(1) coefficient, expresses the relation between the signal X 199 at time t and the same signal during the previous measurement time-point t-1 (constant 200 and error terms are left out for simplicity). The relation between the signal two time steps 201 apart can be found by substituting  $X_{t-1}$  in Eqn. (1) by its own auto-regression model, 202  $X_{t-1} \propto \varphi_1 X_{t-2}$ , and is thus described by  $X_t \propto \varphi_1^2 X_{t-2}$ . The now quadratic AR(1) term 203 204 shows that the autocorrelation between the two measurements drops exponentially as a function of the number of 'time steps' between the measurements, i.e. the AR(1) coefficient 205 of the signal recorded p time steps apart is an exponential function of the AR(1) coefficient 206  $\varphi_1$ 207

$$\varphi_p = \varphi_1^p. \tag{2}$$

The value of  $\varphi_p$  comprises a signal component (similarity of directional representations) and a noise component (effects of previous noise components on following ones, e.g. caused by the slow nature of the hemodynamic response function). It therefore presents an *upper bound* of noise autocorrelation between consecutive events as some of the correlation might be due to similarities in directional representations. While the average AR(1) coefficient was .361 in RSC and .442 in V1, the correlations induced by temporal proximity between

events in our GLMs were reduced to only .033 (SD=.046) and .063 (SD=.072) respectively. Note that these are average values over more detailed analyses which also revealed higher auto correlation in V1 for younger adults (for details see supplementary material section two). In addition to reducing temporal noise correlations, the separation of neighboring directions into more distant events ensured that temporally adjacent events mostly did not reflect neighboring directions, also reducing correlations among regressors (for details see supplementary material section three).

Directional GLM regressors were built to model data in each half run. Because the experiment contained two runs, events were split in four equal sets for each of the directions. This resulted in 24 direction regressors in total that were later used to perform cross-validated decoding. Direction regressors reflected onsets and duration of events as described above. The average event duration was 3.05 s (SD = 2.12 s). On average there were 114.98 events per subject (SD = 27.70). In addition, six run-specific motion and two run-wise intercept regressors were included, resulting in 38 regressors per GLM.

For an overview of the analysis pipeline see Figure 2.

Classification of directional fMRI patterns For all classification analyses, a 229 multi-class linear support vector machine was trained on data from three folds and used 230 to predict directions in the hold-out fold. Decoder training/testing was conducted using 231 sciKit-learn (version 0.19.1, Pedregosa et al., 2011), nibabel (version 2.3.0, available at 232 https://github.com/nipy/nibabel; Brett et al., 2018), and nilearn (version 0.4.1, available 233 at https://github.com/nilearn; Abraham et al., 2014) packages in Python 3.6 (Python 234 Software Foundation, version 3.6, available at http://www.python.org). Default settings 235 (L2 penalty, penalty parameter C = 1, one-vs-rest multi-class strategy) and a maximum of 236  $10^5$  iterations were used for classifier training. 237

Our main classification analyses were performed on direction-related beta maps and conducted separately for each GLM and ROI. Cross validated decoding results obtained from odd and even GLMs were averaged afterwards. In addition to testing the classifier on beta maps, we also applied it directly to data from single events. For each individual event, we calculated the precise average direction. This allowed us to relate classifier predictions to



Figure 2: Schematic of analysis procedure. A: Individual navigation patterns during fMRI recording were separated into events corresponding to six possible angular walking directions. B: Odd (black) and even (blue) numbered events were analysed identically but as separate data sets to minimize confounds. C: Events entered a GLM yielding beta maps as representations of each walking direction in a four-fold structure. D: A classifier was trained on three of the four folds and predicted the walking direction for each beta map in the left out fold (exemplary numbers). E: Differences between predicted and true walking directions gave a direction invariant Confusion Matrix (CM). Confusion matrices were pooled over both data sets and normalized. F: Hypotheses concerning the predictive pattern of the classifier were tested by fitting two models: A uniform model assuming all false predictions are equally likely ( $H_0$ ; red) and a Gaussian model assuming errors to be less likely the more they diverge from the correct walking direction ( $H_1$ ; green). The Gaussian pattern should arise if the similarity of beta maps is a function of their angular difference, a prediction of a tuning function-like signal.

higher resolved direction labels of 10° per bin. Furthermore, the classifier was applied to
backwards walking events. Because visual and walking direction diverged during backwards
walking, this allowed us to quantify the influence of the visual scene on classification accuracy

246 in different ROIs.

To test if classification accuracies exceeded chance level, accuracy levels in each ROI were compared to results from a permutation test (distribution of 1000 classification accuracies arising from training with randomly permuted labels) and to classification accuracy obtained in primary motor cortex (M1), using one-sided paired t-tests. P-values were Bonferronicorrected for multiple comparisons across ROIs.

#### <sup>252</sup> Influence of directional similarity on representational overlap of fMRI

**patterns** To test whether fMRI patterns that reflect similar walking directions are more 253 overlapping than patterns associated with less similar walking directions, we analyzed the 254 confusion matrix of the fMRI decoder. The confusion matrix reflects how often each category 255 was decoded given a neural representation associated with each single category, e.g. how 256 often did the classifier predict  $120^{\circ}$  although the walking direction was actually  $60^{\circ}$ , and 257 etc. In a first step, we aligned the average proportions of classifier predictions around the 258 true direction, and derived an average distribution of predictions around the true category, 259 i.e. at -  $120^{\circ}$ ,  $-60^{\circ}$ ,  $0^{\circ}$ , +  $60^{\circ}$ , +  $120^{\circ}$  and  $\pm 180^{\circ}$ , relative to the target (averaged over 260 folds and odd/even GLMs). This offered a *confusion function*, reflecting representation 261 similarity/confusibility between two categories as a function of their angular difference (see 262 Figure 2). We then quantified whether the confusion function reflected a tuning function 263 by fitting a Gaussian bell curve to the data that peaks at the target direction, as done in 264 electrophysiological animal research (Mazurek et al., 2014). The Gaussian curve is described 265 by 266

$$g(x) = \frac{1}{Z}e^{-\frac{1}{2}\tau x^2},$$
(3)

where x is a given direction relative to the target,  $\tau$  reflects the precision of the Gaussian (1/ $\sigma^2$ ), and Z ensures normalization. This model had only one free parameter, precision  $\tau$  that reflects the width of the tuning function. We compared this model to a null model that assumed evenly distributed off-target predictions independent of direction. According to this model, off-target predictions should be described by

$$u(x_{\neg 0^{\circ}}) = \frac{100 - a}{5},\tag{4}$$

which uniformly distributes the percentage remaining after subtraction of the value at the target direction, a, a free parameter.

Both models thus had only one free parameter and were compared based on the sum of 274 squared errors (SSE) between the model predictions and the confusion function. Decoding 275 at the correct category (the center of the confusion function) was excluded from the curve 276 fit analysis in order to make the tuning function analysis independent from overall decoding 277 accuracy and avoid a bias towards the uniform model, where model prediction at the center 278 279 is always matched to the data via the free parameter a. For each ROI, participants' SSE differences between both models were entered into a one-sided t-test to test for a better 280 fit of the Gaussian vs uniform model. We also derived a tuning function from the classifier 281 predictions when applied to single forward or backward events by quantifying how often each 282 of the 60  $^{\circ}$  labels from the training set was predicted for each of the 10 $^{\circ}$  bins in the test set. 283 We then compared the fitted precision parameters between age groups and ROIs, testing 284 our hypothesis that directional information is encoded with higher precision in younger 285 compared to older adults (one-sided t-test). Since the precision parameter was non-normally 286 distributed for some cases, tests for group comparisons were chosen accordingly. 287

Effects of ROI and Age group on classification To evaluate differences and interactions between ROIs and age groups, we used a model comparison between nested linear-mixed effects (LME) models. All models included a random intercept per participant. Fixed effects were entered in a stepwise inclusion approach: Model 1 included fixed effects of the intercept and the ROI, Model 2 included fixed effects of intercept, ROI and age group, and Model 3 included an additional interaction between ROI and age group. The three models were compared using a likelihood ratio test and followed up by post-hoc t-tests.

Using GLM derived beta maps for classification ensured fully balanced training sets. Yet, imbalances could still exist on the level of events from which regressors were constructed. To check for potential group differences in the number of direction events, a 'class balance score' was calculated that reflected the deviation of the event distribution from uniform (root mean squared error between the measured relative number of events belonging to each class and the corresponding uniform distribution). The number of events and balance score of each

fold and subject entered a set of nested LME models similar to the ones described above. The models included intercept and age-group (Model 1). No differences between age groups in balance score were found ( $\chi^2(1) \leq .745$ ,  $p \geq .388$ ). Likewise, no difference between age groups in number of events were found ( $\chi^2(1) \leq .150$ ,  $p \geq .698$ ).

Differentiation of viewing and walking direction The classifier was trained on 305 forward walking events, during which viewing and walking direction were identical. During 306 backwards walking, however, viewing and walking directions are opposed (180° shifted). 307 Thus, the more a classifier depends on visual information, the more it will predict  $180^{\circ}$ 308 shifted directions during backward walking. We therefore quantified the influence of visual 309 information on decoding accuracy, as well as on the shape of the confusion function, by 310 comparing classifier predictions for forward versus backwards walking events. Backwards 311 walking events on average made up 26.8% (SD = 13.4%) of all events. Note that in both 312 cases the classifier was trained on forward direction beta maps so the amount of backwards 313 walking events did not influence the classifier's predictions. Visual influence on direction 314 signals was measured by calculating the relative differences in predictions at the target  $(0^{\circ})$ 315 and opposed  $(180^{\circ})$  directions between the backward and the forward test set. 316

Additionally, we asked whether the influence of visual information was different in younger and older adults. This would hint towards a broader form of dedifferentiation compared to changes in the similarity structure of neural responses to a continuous stimulus. In each ROI, visual influence scores of both age groups were therefore compared using a Welch two sample t-test.

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#### 2.6 Behavioral analysis

A detailed analysis of the behavioral results can be found in Schuck et al. (2015). Briefly, location memory was quantified as the Euclidean distance between the remembered and true location during the feedback phase (distance score). Our analyses in the present paper focused on the relation between the Euclidean distance and measures for neural specificity. We therefore used Euclidean distance as the dependent variable in two linear models which contained the factors Age and one ROI specific measures of neural specificity (either decoding

accuracy or Gaussian precision). All variables were z-scored before entering the linear model
 and analyses were conducted in R (version 3.6.1, R Development Core Team, 2011).

#### 331 **3 Results**

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# 3.1 Classification of walking direction

Classification accuracies for each ROI can be found in Figure 3. One-sided permutation 333 tests  $(10^4 \text{ iterations})$  indicated above-chance classification accuracy in the V1, RSC, and 334 Subiculum (all  $p_{adj.} \leq .006$ ) but none of the other ROIs ( $p_{adj.} \geq .054$ ). Only decoding 335 accuracy in the RSC- and V1 masks, however, exceeded classification level in M1 (both 336  $t(42) < 2.58, p_{adj.} \leq .033$ ). While V1 classification can be expected to be based on visual 337 signals, MRI sensitivity to directional signals in RSC is in line with other investigations 338 (Shine et al., 2016). We therefore proceeded with only these two ROIs for which we had 339 clear evidence we could measure directional signals in the present data set. 340

Decoding accuracy tended to be higher in younger adults indicated by an increased model fit from including age group:  $\chi^2(1) = 10.90$ , p < .001) and was also higher in V1 than RSC (post-hoc t-test, t(41) = -8.72,  $p_{adj.} < .001$ ). The interaction between ROI and age did not further improve model fit ( $\chi^2(1) = 2.31$ , p = .072), indicating that age differences were not significantly different between ROIs. Post-hoc t-tests revealed a significant difference between age groups in V1 (t(75) = -3.66,  $p_{adj.} < .001$ ), but not the RSC (t(75) = -1.86,  $p_{adj.} = .066$ ).

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#### 3.2 Tuning function like representations of direction

To test differences in similarity structure of directional representations, we fitted a Gaussian and a uniform model to the classifier confusion patterns, as described above. A paired t-test of model SSEs across groups revealed that the Gaussian curve fitted the classifier confusions better than the opposing uniform model in both the RSC and V1 (all t(42) > 3.75,  $p_{adj.} <$ .001). The Age × ROI interaction significantly improved model fit ( $\chi^2(1) = 4.305$ , p = .038). This reflects the fact that the Gaussian model fitted the data better in V1 compared to RSC in younger but not in older adults (post hoc tests: t(42) = -4.07,  $p_{adj.} < .001$  and



Figure 3: Decoder performance. A: Classification accuracy in each ROI (colored diamonds) compared to distribution arising from 10<sup>4</sup> decoder runs with permuted labels (white violin plots, single values as black dots). Chance-level performance shown by grey line. ROIs above dashed line show significant above-chance accuracy measured by one-sided permutation tests and adjusted for multiple comparisons. B: Classification accuracies across investigated ROIs compared to M1. Single participant values shown as dots. Group means shown by color matching diamond. ROIs with significantly higher classification accuracies compared to M1 shown above dashed line.

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 $t(42) = -.84, p_{adj} = .812$ , respectively). SSE comparisons can be found in Figure 4.

# 3.3 Differences in tuning width between age groups

Next, we investigated whether Gaussian precision differed between younger and older adults in either RSC or V1. Because normality was violated in at least one case (V1 precision in younger adults was non-normally distributed, Kolmogorov-Smirnov test, D = .515, p < .001), we used non-parametric Wilcoxon rank sum tests for these analyses. In V1, this test



Figure 4: Quantification of tuning function-like signal A: Comparison between models fitted to confusion functions of RSC and V1 decoder. Depicted are within participant changes in SSE between models (violin plots as in Figure 3). Individual participant values for both models are connected by grey lines. Top dashed lines indicate significantly better fit of Gaussian model (within subject t-tests, one-sided, adjusted). B: Difference in model evidence when comparing RSC and V1 for both age groups. Evidence for Gaussian model is given by  $SSE_{\text{Uniform}} - SSE_{\text{Gaussian}}$ , so values above 0 indicate a better fit of the Gaussian model. Dashed line indicates significant differences in a post-hoc t-test after correction for multiple comparisons. C: Depiction of confusion functions of RSC and V1 decoder. Participant specific confusion functions shown as thin lines. Thick line shows mean confusion function over all participants.

indicated significantly higher precision in younger compared to older adults (W = 138.5,  $p_{adj.} = .029$ , one-sided). In the RSC, no such effect was found ( $t(40.98) =, p_{adj.} = .917$ , Welch two sample t-test, one-sided). ROI-wise comparisons of precision and averaged confusion matrices in V1 for both age groups are shown in Figure 5.

To achieve higher resolution regarding the similarity of directional signals, and better support for our fitted models, we repeated the above analyses with classifier results when



**Divergence from target direction** 

Figure 5: Tuning width of confusion function A: Group comparison of precision of Gaussian model fit to confusion function for RSC and V1, plots as in Figure. 3. Top dashed line indicates significantly higher precision in younger adults (one-sided t-test, adjusted). One high precision outlier (young participant) is not displayed in the V1 plot. B: Visualization of averaged best fitting Gaussian models of confusion functions for both age groups. Dotted lines and shaded area indicate standard error of the mean. Models were normalized to represent the percentage classified at the six measurement points of the confusion function.

applied to the single event test set. Results of all analyses are shown in Figure 6. As expected, 368 during forward walking events decoding accuracy was higher than in a permutation test in 369 RSC as well as V1 (all  $p_{adj.} < .001$ ; see Figure 6A). Average high-resolution confusion 370 functions can be found in Figure 6B. Applying the Gaussian and uniform models to the 371 confusion functions indicated Gaussian like pattern similarities as expected in the RSC and 372 V1  $(t(43) \leq -5.82, p_{adj}) \leq .001$ , paired t-tests of SSEs associated with each model; see 373 Figure 6C). Similar to the classifier tested on beta maps, age-group differences in precision 374 375 of the fitted Gaussians only showed a higher precision in younger adults compared to older adults in the V1 ( $t(29.95) = -3.47, p_{adj.} = .001$ ) but not in the RSC (t(35.82) = -.63, -.63) 376  $p_{adj.} = .531$ , two sample t-tests, assumption of normality not violated; see Figure 6D). 377

## 378 3.4 Influence of visual scene processing on decoding accuracy

Backwards walking events in the test set allowed us to investigate the influence of viewing direction on classification accuracy in each of the ROIs, since walking and viewing direction are opposite to each other. Visual influence on the directional signal was quantified as a decrease in (correct) predictions of walking direction combined with a simultaneous increase in 180° shifted predictions (in line with viewing direction) for backward relative to forward walking events. This measure of visual influence was then compared between ROIs.

A comparison of visual influence scores can be seen in Figure 7A. A paired t-test showed a significant difference of the visual influence between the V1 and the RSC ROI with lower visual influence in the RSC (t(42) = -7.15, p = .001), indicating qualitative differences in the nature of the decoded representations in RSC versus V1.

We next asked whether the influence of visual information was different in younger and older adults, hinting at a broader form of dedifferentiation. Visual influence scores were lower in older adults compared to younger adults in V1 (t(33.28) = -3.95,  $p_{adj.} < .001$ ) but not RSC (t(37.51) = -.34,  $p_{adj.} > .999$ ). See Figure 7B for an age group comparison of visual influence scores. Confusion functions of the decoder trained on forward walking and tested on backward walking are shown in Figure 7C.



**Figure 6:** Analysis of decoders tested on single events. **A:** Classification accuracies of V1 and RSC decoders when tested on single events instead of beta maps. Depiction as in Figure 3B. Stars indicate significant above-chance classification accuracy given by a permutation test (10<sup>4</sup> permutations, one-sided, adjusted). **B:** High resolution confusion functions of RSC and V1 decoder with a bin-width of 10°. Depicted as in Figure 4C. **C:** Comparison between models fitted to high resolution confusion functions of RSC and V1 decoder. Depicted as in Figure 4A. Top dashed lines indicate significantly better fit of Gaussian model (paired t-test, one-sided, adjusted). **D:** Group comparison of precision of Gaussian model fit to high resolution confusion function for RSC and V1. Plots displayed as in 5A. Top dashed line indicates significantly higher precision in younger adults (one-sided t-test, adjusted).

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#### 3.5 Behavioral results

We explored relations between task performance and measures for neural specificity in each ROI, predicting distance scores by age group and either decoding accuracy or Gaussian precision. Both measures of neural specificity stemming from a decoder trained and tested on directional beta maps. Predicting distance scores using the predictors age group and decoding accuracy in the RSC showed a negative relation with distance score independent



Figure 7: Influence of visual scene on direction prediction for RSC and V1. A: Comparison of visual influence score of RSC and V1 decoder. Plots as in Figure 3. Higher values above zero indicate a stronger tendency to predict the viewing direction while walking backwards. Dashed line indicates no visual influence. Top solid line and star show a significant difference in visual influence score between ROIs. B: Comparison of visual influence score between younger and older age group in each ROI. Solid line and star indicate significant comparison. C: Individual (thin lines) and average (heavy line) confusion function for RSC and V1 decoders tested on backwards walking events where walking and viewing direction are opposite to each other (180° divergence, indicated by labeled arrows). Functions peaking at 180° correspond to high visual influence.

of the age group, indicating worse task performance with less decoding accuracy  $(r = -.172, p = .015, r_{younger} = -.542, r_{older} = -.279)$ . Other linear models did not show any relation between distance score and measures of neural specificity that was independent of the age group. Relations between either decoding accuracy or Gaussian precision and distance score are shown in Figure 8A. and B., respectively.



Figure 8: Relation between measures of neural specificity and task performance measured by distance score. Measures of neural specificity stem from a decoder trained and tested on directional beta maps. A: Relation between decoding accuracy and distance score. Younger adults shown by solid points and lines. Colored and black lines show correlation within and across age group, respectively. Star indicates a significant correlation independent of age group. B: Relation between Gaussian precision and distance score. Coloring identical to figure panel A.

# $_{406}$ 4 Conclusions

In this study we used fMRI to investigate age-related changes in the specificity of directionselective neural signals. More specifically, we asked a set of three hierarchically structured questions: whether it is possible to decode angular walking direction during free movement, if the similarity of neural patterns associated with these directions declines gradually with larger angular differences, as predicted by directional tuning functions, and whether older adults show broadened directional representations.

Our results revealed that directional information could be decoded from fMRI patterns in 413 the RSC and V1, in line with previous investigations (Shine et al., 2016). Interestingly, age 414 differences in decoding accuracy were found only in V1, but not RSC. Going beyond mere 415 accuracy, we introduced a novel method that allowed us to characterize tuning function-like 416 signals during decoder readout, while minimizing effects of autocorrelations. This analysis 417 demonstrated that independent of overall classification accuracy decoder confusions in both 418 ROIs were approximated best by a Gaussian tuning function – indicating a gradual decline 419 of pattern similarity and following the predictions of a tuning-function like signal as found in 420 animal research. Analyzing the width of the fMRI-level tuning function indicated broadened 421 tuning of visual representations in V1 in older adults. In line with our predictions, this 422 provides evidence for broader tuning functions in older adults as suggested by the neural 423 broadening hypothesis (J. Park et al., 2012). Unexpectedly, no evidence for age differences 424 in tuning width was found in RSC. Analyses for single trial events confirmed our results 425 and showed that the Gaussian similarity structure persisted when directional signals were 426 resolved at  $10^{\circ}$  instead of  $60^{\circ}$ . We also quantified the impact of visual information on 427 direction decoding by analyzing backwards walking events and found that RSC signals were 428 less contingent on the visual scene present than V1, as expected. Additionally, younger and 429 older adults differed in the influence the visual scene had on the signal measured in V1, but 430 not RSC. 431

To the best of our knowledge, this is the first study to investigate potential age-related changes in tuning functions defined over a continuous domain, rather than using discrete categories (J. Park et al., 2012; Koen et al., 2019). This is a notable distinction from previous research since mechanisms of age-related changes are likely different in these two cases:

dedifferentiated responses within local circuits, which code the same continuous quantity, are 436 related to changes in local inhibitory control, such as GABAergic interneurons (Leventhal et 437 al., 2003); cross-areal dedifferentiation conceivably reflects a range of different mechanisms, 438 including changes in long range connectivity or task strategies (Reuter-Lorenz & Lustig, 2005; 439 Reuter-Lorenz & Cappell, 2008). Moreover, investigating continuous encoding of direction 440 allowed us to test the claims made by the neural broadening hypothesis more directly: does a 441 tuning function defined over continuous space change with age? Our findings in V1 converge 442 with previous findings, but the apparent lack of evidence for age related dedifferentiation in 443 RSC represents a notable deviation from previous findings and warrants further investigation. 444 While it is likely that the measured signal in the RSC or thalamus contains directional 445 information influenced by head direction cells (Shine et al., 2016), effects in the V1 are most 446 likely based on visual inputs drawn from a continuous visual scene. Our results suggesting 447 neural broadening in the early visual system converge with findings in single cell recordings 448 demonstrating wider tuning functions in senescent monkeys confronted with a visual stimulus 449 of various orientations (Leventhal et al., 2003). While visual orientation signalling occurs 450 earlier in the visual hierarchy than scene detection, it is possible that this process drives 451 the present findings in V1 and suggests that the introduced method to investigate neural 452 broadening might be sensitive to tuning curve related changes. Our results furthermore 453 indicate that classifier confusions can pose as a tuning function proxy measure of a continuous 454 variable, providing a novel measure for neural specificity beyond classification accuracy. To 455 see if the findings are specific to the investigated domains, the method should also be applied 456 to other continuous variables, e.g. the perception of motion and spatial frequency (Liang et 457 al., 2010; Yang et al., 2008). 458

The observed relationship between a less specific directional signal in the RSC and larger errors in the placement of objects to memorized locations suggests that neural dedifferentiation might play a role in spatial memory performance. Since there was no group difference in classification accuracy in the RSC, it remains unclear if this process is connected specifically to the aging brain or rather describes a process which is happening throughout the adult lifespan (Rugg, 2016). This idea was also supported by a study by Koen et al. (2018) where the connection between neural dedifferentiation and memory performance was also

466 age invariant.

The reason why no evidence for neural broadening and/or age-differences in directional 467 signal specificity could be found in areas associated with a less visually dominated signal 468 remains unclear. One possible explanation could be that during the VR task in the fMRI 469 scanner no matching vestibular information is provided to the participant. The vestibular 470 system has been identified as a possible source of internal noise during the process of path 471 integration (Stangl, Kanitscheider, Riemer, Fiete, & Wolbers, 2018), a skill heavily relying on 472 HD signal (McNaughton et al., 2006) and heavily influenced by older age (Adamo, Briceño, 473 Sindone, Alexander, & Moffat, 2012). As this error source is eliminated by lying motionless 474 during the task, age-differences might diminish. Furthermore, the resulting finding could 475 have been limited by the resolution of directional categories. Smaller bins of directions when 476 training the decoder would increase the resolution and accuracy of the investigated confusion 477 functions. In order to exclude the possibility of neural broadening of directional signals in the 478 human brain a similar approach with a more specialized paradigm should be conducted. It 479 should however also be mentioned that, to the best of our knowledge, currently no evidence 480 exists that directionally tuned cells are subject to neural broadening. 481

It is important to note that this paper presents a reanalysis of data collected during a 482 task that was not specifically designed for the purpose of this study. It was therefore im-483 possible to unambiguously disentangle visual from non-visual direction signals and travelled 484 directions were not experimentally controlled. In consequence, visual input partially con-485 founded directional signals, travelled directions were autocorrelated, some directional events 486 occurred more frequently than others and some events that were unfit for directional anal-487 ysis altogether (e.g. being idle and micro movements). We note that all these aspects are 488 characteristics of navigation as it occurs in daily life and our analytical approach has shown 489 how autocorrelations can be reduced and the amount of visual influence on neural repre-490 sentations can be characterized. Yet, the introduced limitations would be less severe in a 491 tailored experiment which could increase analytic sensitivity. Another limitation regarding 492 the generalization of these results includes the solely male participants. While this avoided 493 effects based on participant's sex the findings should not be generalized to female populations 494 without further validation. 495

One important open question relates to the relation between our confusion function-based 496 measure for neural specificity over continuous variables and changes in neurotransmitter 497 systems. Contemporary models have linked neural dedifferentiation to less reliable or reduced 498 DA-related signalling in the aging brain (Li & Rieckmann, 2014), a dominant aspect of 499 the aging brain that is known to influence learning (e.g., Eppinger, Schuck, Nystrom, & 500 Cohen, 2013) and memory (e.g., Schuck et al., 2013). The effect of changing DA levels in 501 younger and older adults on neural specificity measured over a continuous variable could 502 provide more detailed insights towards the mechanisms behind neural dedifferentiation and 503 the role of DA in the aging brain. Moreover, understanding the role of GABA in this 504 process is important given its known influence on neural broadening (Leventhal et al., 2003; 505 Lalwani et al., 2019). Future studies employing neurotransmitter imaging, pharmacological 506 interventions and genetic or pharmacogenetic approaches therefore promise to shed more 507 light on age-related changes in 'local' tuning functions and cross domain dedifferentiation 508 that characterize the human brain. 509

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- 516 Conflict of interest
- 517 The authors declare no conflicts of interest.

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