

1 **Constructing pathway of mixed ion and electron transfer reactions for O₂**
2 **incorporation in Pr_{0.1}Ce_{0.9}O_{2-x}**

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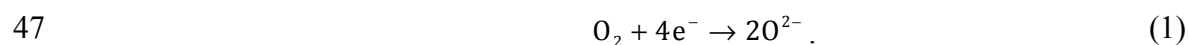
21

22 **Abstract**

23 In interfacial charge-transfer reactions, the complexity of the reaction pathway increases with the
24 number of charges transferred, and becomes even greater when the reaction involves both
25 electrons (charge) and ions (mass). These so-called mixed ion electron transfer (MIET) reactions
26 are crucial in intercalation/insertion electrochemistry, such as those occurring in oxygen
27 reduction/evolution electrocatalysts and lithium-ion battery electrodes. Understanding MIET
28 reaction pathways, particularly identifying the rate-determining step (RDS), is crucial for
29 engineering interfaces at the molecular, electronic, and point defect levels. Here we develop a
30 generalizable experimental and analysis framework for constructing the $O_2(g)$ incorporation
31 reaction pathway in $Pr_{0.1}Ce_{0.9}O_{2-x}$. We converge on four candidate RDS (dissociation of neutral
32 oxygen adsorbate) out of more than 100 possibilities by measuring the current density-
33 overpotential curves while controlling both oxygen activity in the solid and the oxygen gas
34 partial pressure, and quantifying the chemical and electrostatic driving forces using operando
35 ambient pressure X-ray photoelectron spectroscopy.

36

37 Mixed ion and electron transfer (MIET) reactions involve the transfer of both ionic and
38 electronic charges across interfaces. They are substantially more complex than electron transfer
39 and proton-coupled electron transfer reactions because the ionic charge also crosses the
40 electrochemical double layer.¹ The net reactions are usually chemical in nature (i.e., no net
41 charge transfer). Examples include H⁺ intercalation in layered hydroxides and Li⁺ insertion in
42 metal oxides (**Fig. 1a,b**).² Another ubiquitous example is the oxygen incorporation reaction (OIR)
43 occurring at the solid/gas interface (**Fig. 1c**). It is rate-determining for many energy- and
44 environment-related technologies, including oxygen storage materials for emission control,³
45 solid oxide fuel cells (SOFCs),⁴ electrolysis cells,⁵ thermochemical water splitting cycles,⁶ and
46 oxygen permeation membranes.⁷ The OIR is expressed as



48 Understanding the OIR reaction pathway is crucial for engineering and discovering catalysts,
49 typically oxides, with high activity and stability.^{8,9} Mixed ionic–electronic conductors (MIECs)
50 have received widespread interests because they expand the effective OIR site to the gas/solid
51 double-phase boundary beyond the traditional triple phase boundary between gas, ionic and
52 electronic conductors.^{10,11} There, oxygen ions and electrons react with oxygen adsorbates at the
53 same active site, resulting in a reaction that involves the transfer of two oxygen ions and four
54 electrons.

55 The number of charges transferred during OIR has made it challenging to isolate the rate-
56 determining step (RDS). Most experimental work has focused on measuring the exchange
57 coefficients¹² using tracer diffusion,¹³ conductivity and mass relaxation,¹⁴ and impedance
58 spectroscopy,¹⁵ as well as their reaction order with respect to oxygen gas pressure ($p\text{O}_2$) and
59 activation energy.^{16,17} The reaction order is then used to refine microkinetic models, typically by

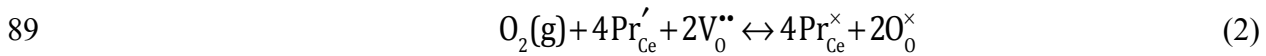
60 assuming the nature of the reaction intermediates,^{9,18–21} sometimes with the assistance of
61 atomistic simulations.^{21,22} While useful, these methods convolute forward and reverse reaction
62 rate constants and do not consider crucial information about the RDS encoded in the
63 overpotential dependence of the current density (i.e., the Tafel slope). In studies in which the
64 current density–overpotential (j - η) curves are measured, analysis of the Tafel slope is non-trivial
65 because the slope is a natural convolution of electrostatic driving forces (surface potential) and
66 chemical driving forces (oxygen activity in the solid state, a_{O_2}). Similarly, analysis of the $O_{2(g)}$
67 reaction order is also non-trivial because pO_2 affects not only oxygen gas but also the
68 concentration of solid-state point defects at the gas/MIEC(oxide) interface,^{23,24} of which the
69 procedures for measurement have been developed recently.^{25,26} Finally, the availability of ionic
70 and electronic species for OIR are generally inferred from the bulk measurements, whereas
71 recent studies have shown that the surface chemistry and stoichiometry can differ significantly
72 from those of the bulk. Moreover, the surface can also deviate from electroneutrality.^{27–29}

73 To isolate the RDS of OIR, one must address the challenging task of experimentally
74 determining the surface point defect chemistry, quantifying the electrostatic and chemical driving
75 forces, and interpreting the $O_{2(g)}$ reaction order and the Tafel slope simultaneously. In this work,
76 we present an experimental and analysis framework and apply it to OIR on $Pr_{0.1}Ce_{0.9}O_{2-x}$
77 (praseodymium-cerium oxide, PCO), a promising SOFC cathode with a well-established point
78 defect chemistry.^{30–32} Combining current density–overpotential with *operando* surface potential
79 and electron concentration measurements, we probe the roles of oxygen vacancies and electrons
80 in the four-electron/two-ion MIET reaction and converge on the RDS: the dissociation of neutral
81 molecular oxygen adsorbate. This generalizable method can also be applied to study other MIET
82 reactions, including those occurring at solid–liquid and solid–solid interfaces.

83 Results

84 Microkinetic model

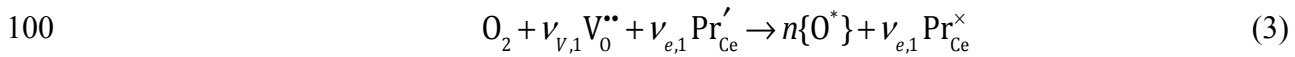
85 Before discussing the microkinetic framework for analyzing OIR reaction order and Tafel slope,
86 we briefly review the equilibrium point defect chemistry of PCO.^{30,33} The charge carriers are
87 small polaronic electrons and oxygen vacancies generated via the reduction of Pr⁴⁺ to Pr³⁺ with
88 decreasing a_{O_2} , as described by the following reaction written in Kröger–Vink notation:



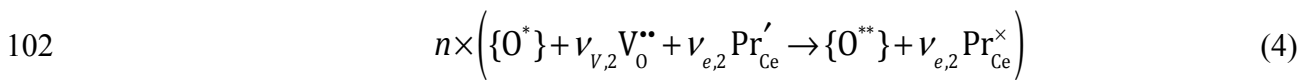
90 where electrons (or equivalently Pr³⁺) are denoted by Pr'_{Ce}, oxygen vacancies by V_O^{••}, and Pr⁴⁺
91 by Pr[×]_{Ce}. The Ce⁴⁺/Ce³⁺ redox pair does not participate in OIR under oxidizing conditions.^{30,33}
92 Bulk defect activities are shown in Supplementary Note 1.

93 In our microkinetic model,²⁵ we assume that one elementary reaction step is the RDS and that
94 all the other elementary steps occur in series and are in quasi-equilibrium. We group the
95 elementary steps into preceding, rate-determining, and following steps.¹ Rather than explicitly
96 specifying these intermediate species as done in previous work,^{17,18,34,35} we use {O*} and {O**}
97 to denote the reactant(s) and product(s) of the oxygen intermediate(s) involved in the RDS,
98 respectively. The reaction pathway is written generally as follows:

99 Preceding reaction:



101 RDS:



103 Following reaction:

104
$$n \times \left(\{O^{**}\} + \nu_{V,3} V_0^{**} + \nu_{e,3} \text{Pr}'_{\text{Ce}} \rightarrow 2/n O_0^\times + \nu_{e,3} \text{Pr}^\times_{\text{Ce}} \right) \quad (5)$$

105 Here $\nu_{V,1}$, $\nu_{V,2}$ and $\nu_{V,3}$ are stoichiometric coefficients corresponding to the number of oxygen
 106 vacancies participating in the preceding, rate-determining, and following steps. Analogously,
 107 $\nu_{e,1}$, $\nu_{e,2}$, and $\nu_{e,3}$ are the stoichiometric coefficients of the electrons in these steps (the possible
 108 roles of electron-holes will be discussed later). Thus, these integer stoichiometric coefficients
 109 sum up to 2 and 4, respectively. The RDS can occur more than once. For example, if one O_2
 110 molecule dissociates into two identical atomic oxygen adsorbates preceding the RDS, then the
 111 RDS will occur twice. We use n to specify the number of times that the RDS repeats per O_2 gas
 112 molecule.

113 Under strongly cathodic conditions, the anodic current density is negligible, and the current
 114 density can be written as (detailed in Supplementary Note 3):

115
$$j \propto pO_2^{1/n} aO_2^\lambda \exp\left(\frac{(\beta z - \gamma_{\text{pre}} / n) e \chi}{k_B T}\right), \quad (6)$$

116 where

117
$$\lambda = \left(\frac{\nu_{V,1}}{n} + \nu_{V,2}\right) \frac{\partial \log a(V_0^{**})}{\partial \log aO_2} \Big|_{T, pO_2} + \left(\frac{\nu_{e,1}}{n} + \nu_{e,2}\right) \frac{\partial \log a(\text{Pr}'_{\text{Ce}})}{\partial \log aO_2} \Big|_{T, pO_2} - \frac{\nu_{e,1}}{n} \frac{\partial \log a(\text{Pr}^\times_{\text{Ce}})}{\partial \log aO_2} \Big|_{T, pO_2} \quad (7)$$

118
$$aO_2 = pO_2 \exp\left(\frac{4e\eta}{k_B T}\right). \quad (8)$$

119 Eq. 8, which is the Nernst equation, describes the relationship between the oxygen partial
 120 pressure in the gas phase and the oxygen activity in the electrode. There are two degrees of
 121 freedom between overpotential (η), pO_2 and aO_2 . For clarity, constants and equilibrium
 122 concentrations and potential are not shown in Eq. 6. Here, z is the total number of electrons

123 and/or ions migrating across the gas–solid interface in the RDS; β is the symmetry parameter of
124 this electrochemical step (if $z \neq 0$); and γ_{pre} determines the dependence of the preceding
125 equilibrium on the surface potential χ .

126 The kinetic parameters n and λ in Eq. 6 reflect the reaction mechanism: n , as
127 mentioned, represents the number of times that the RDS repeats and directly describes the
128 dependence of the current density on pO_2 ; λ is a measure of the overall dependence of point
129 defect activities on aO_2 . We point out that, for a given combination of aO_2 , pO_2 and
130 overpotential, the electrode and the surface chemistry can be unique. Therefore, n can depend on
131 aO_2 , and likewise λ can depend on pO_2 . The model reconciles adsorbate chemistry with
132 point defect chemistry at the solid/gas interface under electrochemical bias. Next, we
133 experimentally obtain n and λ , and shed light on the kinetics of MIET steps in OIR.

134

135 **Electrochemical characteristics**

136 We fabricated 150 nm-thick dense thin film PCO electrodes on single crystalline yttria-
137 stabilized zirconia (YSZ) solid electrolytes via pulsed-laser deposition (see Methods section for
138 details). A buried Pt microfabricated current collector is used to eliminate its contribution to the
139 reaction pathway and to ensure uniform electronic current collection. An oversized and highly
140 active counter electrode was also used to eliminate contributions from the counter electrode. In
141 this cell configuration, electrochemical polarization at the Pt/YSZ interface drives the chemical
142 OIR at the gas/solid interface. We note that electronic conductivity in PCO decreases with pO_2 :
143 above $\sim 10^{-3}$ atm, impedance spectroscopy indicates an in-plane electronic transport gradient.
144 This is a result of the geometry of microfabricated current collector employed. For this reason,
145 we limit the pO_2 to below $\sim 10^{-3}$ atm, which is lower than that in typical SOFC cathodes.

146 Current density–overpotential curves were measured as functions pO_2 at 600°C, both using a
147 conventional electrochemical rig and a button heater inside an ambient pressure X-ray
148 photoelectron spectroscopy (APXPS) chamber. The overpotential-dependent current density at
149 different pO_2 values at 600°C is shown in **Fig. 2a**. We then calculated aO_2 via the Nernst
150 equation (Eq. 8), and plot the current density as a function of both aO_2 and pO_2 (**Fig. 2b**).²⁶ We
151 verified that the electrode had reached steady state and that degradation is not convoluting the
152 measurement (Supplementary Fig. 1a). Reproducibility was excellent among four cells (**Fig. 2c**).
153 We note that the area-specific resistance at open-circuit are approximately two-orders-of-
154 magnitude lower than those reported in a previous study,³⁶ though the reaction order with pO_2 is
155 comparable. We attribute this improvement to the lower impurity level in this study; only Au &
156 Pt wires and alumina were in contact with the sample and the hot gas in contrast to previous
157 measurements³⁷. The reaction order measured in this study also agrees broadly with Simons et al.,
158 in which a reaction order of 0.67 was measured by a mass relaxation method on PCO.³⁸ We also
159 carried out impedance spectroscopy under bias (Supplementary Fig. 1b). The Nyquist plot is well
160 described by a simple serial resistor-capacitor network. For Ohmic-corrected overpotentials from
161 -200 mV to 0 mV, the absence of a Warburg-like feature confirms that electronic and ionic
162 diffusion gradients are small, even under bias, indicating that our cell is limited by the OIR
163 surface reaction. Nevertheless, we cannot completely rule out equilibrium or transport gradients
164 in the near-surface region. If such a gradient exists, one has to relate the oxygen activity at the
165 surface to that in the bulk.

166 The application of Eq. 8 requires precise measurement and control of overpotential, which is
167 challenging for solid-state electrochemical cells.^{39,40} To confirm that we indeed have measured
168 the overpotential accurately, we use the chemical capacitance (C_{chem}) of PCO as an internal

169 gauge of a_{O_2} (**Fig. 2d**). We find that C_{chem} is only a function of a_{O_2} at open circuit and under
170 bias, *i.e.*, values measured at different a_{O_2} (either by changing p_{O_2} or changing the overpotential)
171 collapses on a single line. This directly confirms that overpotential was accurately determined.
172 Fitting a bulk defect chemical model to C_{chem} in our thin-film electrode gives defect formation
173 energetics comparable to bulk values (Supplementary Note 2).

174

175 ***Operando* characterization of gas/solid interface**

176 As localized electrons on the PCO surface are reactants of OIR, we used operando Pr M-
177 edge X-ray absorption spectroscopy (XAS) in partial electron-yield detection mode to determine
178 the near surface oxidation state of PCO as a function of overpotential (**Fig. 3a,b**). Two end
179 members (spectra measured at +400 mV/ 450°C and spectra measured at -400 mV/ 600°C) are
180 consistent with Pr^{4+} and Pr^{3+} states, respectively^{41,42} (Supplementary Fig. 6). We then obtained
181 the fraction of Pr in the 3+ state, $[\text{Pr}^{3+}]$, through a linear combination fitting consisting of the end
182 members (**Fig. 3b,c**, Supplementary Fig. 7). The results are comparable to that reported by Lu *et*
183 *al.* at 450°C.⁴³ Fig. 3c compares the surface defect concentrations to bulk values. Pr becomes
184 fully reduced to 3+ at comparable a_{O_2} , both at the surface and in the bulk; at more oxidizing a_{O_2} ;
185 the surface is slightly more reduced than the bulk. Direct quantification of surface oxygen
186 vacancies, the other important participant in OIR, was not possible, likely reflecting a low
187 concentration due to PCO having a bulk oxygen vacancy concentration of $\leq 2.5\%$
188 (Supplementary Fig. 4c).

189 The presence of charged adsorbates and charged ions near the surface establishes a potential
190 drop, χ , deviation relative to open-circuit value contributes the reaction rate.^{23,25,44} For a metal
191 electrode, such potential drop is trivially related to the overpotential. However, for a MIEC, it

192 cannot be inferred from electrochemical measurement nor independently controlled. Here, we
193 estimate χ using operando APXPS via shifts of O 1s photoemission peaks.⁴⁵⁻⁴⁷ Measurements
194 were performed at oxygen gas pressures ranging from 0.03 to 1 Torr O₂ atmosphere at 600 °C.
195 Specifically, we compare the binding energy (BE) of oxygen gas and lattice oxygen on the PCO
196 surface (**Fig. 3d**), which was carried out at the same time as the XAS measurement. For the
197 lattice oxygen peak, we identified a total of three oxygen species at an information depth of ~0.6
198 nm (for the definition of surface sensitivity, see Ref. ⁴⁵). Based on earlier photoemission studies,
199 we assigned the most intense peak (the blue peak denoted as O) to bulk-like lattice oxygen in
200 PCO.⁴⁵ The two smaller peaks at relative BEs of ~1.2 and ~2.6 eV (the purple peak denoted as O'
201 and cyan peak denoted as O'', respectively) are attributed to oxygen bonded to segregated silicon
202 impurities and surface adsorbates. These relative BEs between O', O'', and lattice O did not
203 change with bias or pO_2 (Supplementary Fig. 4b).

204 We now consider the BEs of the oxygen-gas and lattice oxygen, the difference of which is
205 related to the surface potential.⁴⁵ As shown in Fig. 3d, the spectra of the oxygen-gas and oxygen-
206 lattice core levels both shift systematically with applied overpotential. Quantitative analysis
207 reveals that both the oxygen-gas and oxygen-lattice core levels both shifted by approximately 1
208 eV per 1 V overpotential applied (Supplementary Fig. 4a). This means that the surface potential
209 is essentially constant under all conditions examined (**Fig. 3e**), simplifying the current density
210 expression in Eq. 6 to $j \propto pO_2^{1/n} aO_2^\lambda$. This result is consistent with the findings of our recent
211 study of CeO_{2-x}, in which only strongly polar adsorbates (such as OH⁻) induce a change in
212 χ with overpotential.⁴⁵

213

214 **Analysis of current density–overpotential curves**

215 To obtain the $O_{2(g)}$ reaction order ($1/n$), the slope of $\log j$ - $\log pO_2$ was evaluated at constant
216 aO_2 values (**Fig. 4a**); n has an average of ~ 1.3 from measurement of three electrodes and is
217 largely independent of aO_2 , indicating that the RDS occurs once (**Fig. 4c**). This directly suggests
218 that the RDS involves a molecular oxygen intermediate. The deviation from 1 may be explained
219 by non-dilute adsorption surface sites (details in Supplementary Note 9) and/or the emergence
220 of co-limiting RDS steps.⁴⁸ We followed a similar procedure to obtain λ , the reaction order on
221 aO_2 (**Fig. 4b, d**). We obtain an average value for λ of -0.13, which tends to 0 at low aO_2 .

222 At first glance, given λ , it appears to be straightforward to use Eq. 7 to solve the combination
223 of stoichiometric coefficients ($\nu_{V,i}$ and $\nu_{e,i}$) in Eq. 3–5 to identify the MIET pathway. These
224 coefficients enable the number of electrons and oxygen vacancies before, during, and after the
225 RDS to be determined. However, we are missing one crucial parameter. As shown in Eq. 7,
226 interpreting λ requires knowing the oxygen vacancy concentration at the PCO surface, which
227 could not be determined experimentally due to its dilute concentration. While it is common to
228 invoke electroneutrality approximation to estimate this quantity, the surface may not be
229 electroneutral.^{27–29}

230 Here, we developed a procedure to estimate these stoichiometric coefficients without
231 knowing the oxygen vacancy concentration. In total, we consider 108 combinations of integer
232 values of n , $\nu_{V,i}$, and $\nu_{e,i}$ (Supplementary Table 2). Each combination corresponds to a possible
233 MIET pathway. Knowing that the OIR proceeds via a molecular pathway, we excluded all the
234 combinations containing $n=2$, leaving 90 possible pathways. Next, we simulate how λ varies
235 with pO_2 for these pathways and compare them to the experimentally measured values. For

236 $\frac{\partial \log[\text{Pr}'_{\text{Ce}}]}{\partial \log a\text{O}_2}$, we used the surface values measured by *operando* XAS (since $[\text{Pr}'_{\text{Ce}}] \ll 1$). To
237 address the issue of not knowing the surface oxygen vacancy concentration, we specifically
238 investigate three limiting scenarios. First, we consider $2[\text{V}_\text{O}^{\bullet\bullet}] = [\text{Pr}^{3+}]$, which corresponds to an
239 electroneutral surface. Second, we consider $\frac{\partial \log[\text{V}_\text{O}^{\bullet\bullet}]}{\partial \log a\text{O}_2} = 0$, whereby oxygen vacancies is
240 decoupled from electrons leading to build up of charge, and the surface is saturated with oxygen
241 vacancies such that they do not change with oxygen partial pressure. Finally, we consider
242 $\frac{\partial \log[\text{V}_\text{O}^{\bullet\bullet}]}{\partial \log a\text{O}_2} = -1$, which represents a scenario in which oxygen vacancy concentration decreases
243 with oxygen partial pressure more sharply than in the electroneutral case; defect-defect
244 interactions and other non-idealities could lead to this situation. The simulated defect
245 concentrations under those scenarios are plotted in **Fig. 5a**, and the corresponding λ values for
246 the 90 candidate molecular pathways are shown in **Fig. 5b**. Experimentally, we observed that λ
247 tends to 0 under reducing conditions (low $a\text{O}_2$). For all three oxygen vacancy limits considered,
248 only $\nu_{e,1} = 0$ (no electrons transferred before RDS) yields this behavior (Fig. 5b); Indeed,
249 satisfactory fits to lambda can be obtained only for $\nu_{e,1} = 0$, while other values of $\nu_{e,1}$ (1 to 4)
250 yield λ which are inconsistent with the experimentally measured values. This is because the third
251 term in Eq. 7 scales directly with $\nu_{e,1}$. With this insight, the number of possible pathways then
252 decreases considerably from 90 to 30.

253 To further converge on the reaction pathway, we take the experimental value of λ , and back
254 calculate $\frac{\partial \log[\text{V}_\text{O}^{\bullet\bullet}]}{\partial \log a\text{O}_2}$ for the 30 remaining combinations of stoichiometric numbers of electrons

255 and oxygen vacancies (**Fig. 5c**). We exclude all the combinations that give a positive $\frac{\partial \log[V_0^{**}]}{\partial \log aO_2}$
256 value because the surface oxygen vacancy concentration is unlikely to increase with aO_2 . This
257 constraint further lowers the possible combinations from 30 to 6; these six combinations are
258 tabulated in **Fig. 6** along with their corresponding RDS. Notably, $\nu_{e,1}$ (number of electrons
259 transferred before RDS) and $\nu_{e,2}$ (number of electrons transferred during RDS) are zero for all
260 the combinations in Fig. 6. In other words, electrons are not involved before or during the RDS.
261 In fact, these six reaction pathways are the only ones with this feature among the original 108
262 combinations.

263 Now, we take a closer look at these remaining six possibilities. Reaction pathway A can be
264 easily excluded, as it results in a zero O_2 reaction order ($\lambda = 0$) for all pO_2 , which is inconsistent
265 with experiments in Fig. 4d. This result is natural as the RDS in reaction A corresponds to $O_2(g)$
266 adsorption, which leads to a current density that is independent of the defect concentration in the
267 bulk (hence $\lambda = 0$). Reaction pathway F is also unlikely, as it means that the $O_2(g)$ molecule has
268 been incorporated into a cluster containing two adjacent oxygen vacancies prior to the RDS
269 (because two oxygen vacancies were transferred before the RDS while the oxygen intermediate
270 remaining molecular). The remaining four reaction pathways, B-E, share two common features:
271 (1) the RDS involves a molecular oxygen adsorbate, and (2) electrons are not transferred before
272 or during the RDS. In other words, the RDS is an O_2 dissociation process that involves neutral
273 O_2 (without having been reduced). The difference among the four pathways concerns whether 0,
274 1 or 2 oxygen vacancies are involved in the RDS. For pathways B and C, the initial state
275 involves molecular oxygen adsorbates, whereas for pathways D and E, the initial state involves
276 molecular oxygen already incorporated into an oxygen vacancy. We can also differentiate the

277 pathways based on the final state. In pathways B and D, the final state is one incorporated
278 oxygen ion and one atomic oxygen adsorbate; in pathway C and E, the final state is two
279 incorporate oxygen ions. Our conclusion is consistent with a recent study by Schaube et al. The
280 authors investigated the RDS of PCO using a pulsed isotope exchange method, and found
281 molecular oxygen species are involved in the RDS (in addition to oxygen vacancies).⁴⁹

282 We note that in MIECs such as $\text{SrTi}_{1-x}\text{Fe}_x\text{O}_{3-\delta}$, it has been proposed that minority electronic
283 species, rather than majority, participates in RDS.³⁴ For completeness, we simulated λ assuming
284 holes (presumably oxygen holes) are involved in the RDS. The reaction order is not sensitive to
285 whether no electrons or some holes are involved (Supplementary Note 10). Our analysis
286 indicates that studies under anodic overpotentials are needed, which are being pursued.
287 Nevertheless, this ambiguity does not affect the conclusion that the RDS is likely the dissociation
288 of a neutral O_2 adsorbate.

289 This insight into the reaction mechanism can be used to improve the catalytic activity of ceria
290 as well as other materials for OIR. Because electrons are not involved before or during the RDS,
291 lowering the electron transfer barrier or increasing concentrations are not expected to
292 significantly improve the surface catalytic activity. Instead, efforts should be focused on
293 decreasing barrier height for O_2 dissociation and for oxygen incorporation into vacancies and
294 increasing concentrations.⁵⁰ This insight may also apply to other oxygen-incorporation catalysts
295 that are limited by the availability of surface oxygen vacancies.

296 In summary, we developed a generalizable experimental and analysis framework to identify
297 the RDS for the oxygen incorporation reaction on $\text{Pr}_{0.1}\text{Ce}_{0.9}\text{O}_{2-x}$, a promising cathode for solid-
298 oxide fuel cells. By simultaneously measuring how current density depends on oxygen partial
299 pressure and overpotential, as well as how the surface electron concentration and electrostatic

300 potential vary, we eliminate a vast majority of the candidate MIET reaction pathways. The rich
301 information encoded in the oxygen partial pressure and overpotential dependences makes it
302 possible to converge on four possible reaction pathways, even though surface oxygen vacancy
303 concentration could not be measured directly. The robust conclusion that the RDS is likely the
304 dissociation of neutral molecular oxygen adsorbate provides important mechanistic insight that
305 could further guide the optimization of oxygen incorporation (electro)catalysts. This analysis
306 method can be straightforwardly extended to other oxygen-ion-conducting electrodes, as well as
307 to other chemistries to unravel the microkinetics of MIET reactions.

308

309 **Methods**

310 **Sample preparation:** Thin-film electrochemical cells were fabricated on $10 \times 10 \times 0.5$ mm
311 (100) $\text{Y}_{0.16}\text{Zr}_{0.84}\text{O}_{1.92}$ (YSZ) single-crystal substrates (MTI Corp.) that served as the oxygen-ion-
312 conducting solid electrolyte. The fabrication procedure is described below in chronological order.
313 First, a Pt patterned current collector ($5 \mu\text{m}$ line width and $30 \mu\text{m}$) was fabricated on the smooth
314 side of the substrate using metal lift-off photolithography. The fabrication procedure was
315 reported previously.⁵¹ Then, 200-nm-thick LSCF ($\text{La}_{0.6}\text{Sr}_{0.4}\text{Co}_{0.2}\text{Fe}_{0.8}\text{O}_{3-\delta}$) thin films were
316 deposited on the back of the YSZ as the counter electrode using pulsed-laser deposition (PLD).
317 A 20-nm-thick $\text{Sm}_{0.2}\text{Ce}_{0.8}\text{O}_{1.9}$ (SDC) buffer layer was also deposited to suppress cation
318 interdiffusion between the YSZ and LSCF thin film. The PLD deposition was performed at
319 700°C and 5 mTorr O_2 with a laser fluence of 1.5 J/cm^2 at 10 Hz and a substrate-to-target
320 distance of 70 mm. The high activity and large area of the counter electrode guaranteed that the
321 counter-electrode reaction did not limit the cell performance. Finally, 150-nm-thick $\text{Pr}_{0.1}\text{Ce}_{0.9}\text{O}_2$

322 (PCO) thin films were deposited using PLD. An Inconel shadow masks, with an opening of $0.5 \times$
323 2 mm, was employed to deposit PCO on top of the Pt-patterned current collector. The PLD
324 deposition was performed at 700°C and 5 mTorr O_2 with a laser fluence of 1.5 J/cm^2 at 10 Hz
325 and a substrate-to-target distance of 70 mm.

326 A solid-state method was used to prepare PCO polycrystalline targets. Pr_6O_{11} and CeO_2 high-
327 purity powders (99.99%, Sigma-Aldrich) were weighed according to the desired stoichiometry,
328 ground in a mortar, and then pressed into 1-inch-radius pellets. The as-obtained pellets were
329 calcined at 900°C for 5 h and then at 1250°C for 9 h in Ar to obtain dense targets for PLD. A
330 similar procedure was used to fabricate the LSCF target, the details of which were previously
331 reported.⁵²

332

333 **Sample characterization:** X-ray diffraction patterns of the as-deposited thin films were obtained
334 in the 2θ range of 20° – 80° (PANalytical X'Pert PRO, Cu $K\alpha$ radiation). The films crystallized in
335 the cubic fluorite structure (Supplementary Fig. 3). The film thickness was determined using a
336 surface profilometer (Dektak 150, Veeco). The thin film composition was examined using
337 inductively coupled plasma mass spectrometry (ICP-MS, Thermo Scientific XSERIES 2). The
338 surface morphology was characterized using atomic force microscopy (Park Systems XE-100).

339

340 **Electrochemical measurements:** Electrochemical measurements were performed using a
341 custom electrochemical testing system that did not use any metal paste or silicon-containing
342 materials. The aim was to use high-purity alumina and noble metals to reduce or eliminate the
343 convoluting effects of binding agents in metal pastes, as these agents can combust or volatilize. A
344 gas manifold of synthetic high-purity gas mixtures of O_2 balanced with Ar was used to control

345 the oxygen partial pressure, $p\text{O}_2$, using MKS P4B mass-flow controllers.

346

347 **Electrochemical ambient pressure X-ray photoelectron spectroscopy:** The measurement was
348 carried out at beamlines 11.0.2 and 9.3.2 of the Advanced Light Source at Lawrence Berkeley
349 National Laboratory.⁵³ The samples were mounted onto a gold mesh (counter) on the ceramic
350 heater of a custom-made heating and biasing stage.⁵⁴ Pt–Ir (10%–20% Ir) wires were used as an
351 electrical contact and to hold the working electrode onto the heater. The temperature was
352 determined from the ohmic offset of YSZ using electrochemical impedance spectroscopy
353 (Biologic SP-300 potentiostat) of model dense Pt film devices calibrated in a tube furnace with a
354 thermocouple near the device. The entire APXPS experiment was performed under defined bias,
355 and the alternating voltage perturbation was 10 mV.

356

357 **Electrochemical ambient pressure X-ray absorption spectroscopy:** *Operando* XAS in partial-
358 electron-yield detection mode was measured at 100 mTorr O_2 at 450°C and 600°C at beamline
359 11.0.2 of the Advanced Light Source at Lawrence Berkeley National Laboratory.⁵³ The sample
360 mounting, temperature calibration, and electrochemical measurements were the same as those
361 used for the APXPS experiment. The background was removed from the spectra by fitting a line
362 to the Pr M_4 pre-edge. The spectra were subsequently normalized by the Pr M_5 post-edge.

363

364 **Data availability:** Source data that support the findings of this study are available from the
365 corresponding author upon request.

366

367

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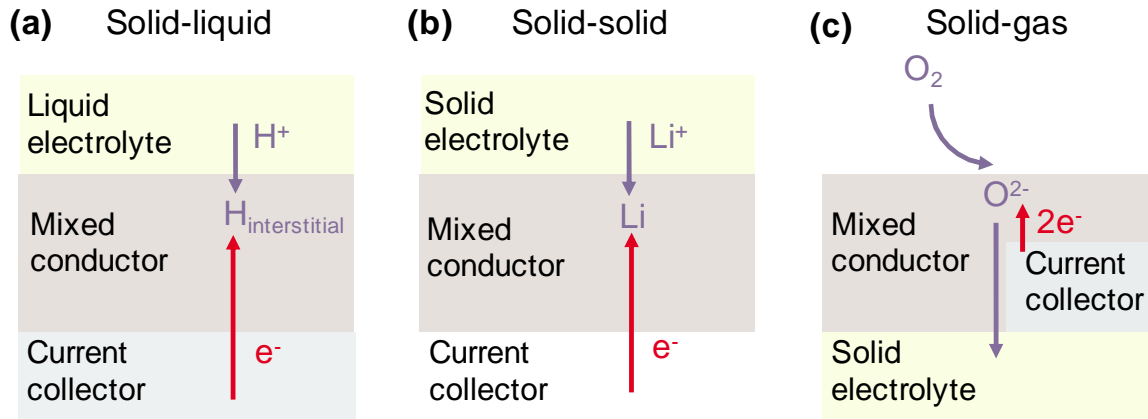
515 **Author contributions**

516 D.C. designed the experiment. Z.G. derived the general microkinetic model for MIECs, and D.C.
517 adapted the model for this study. D.C., Z.G., and D.Z. performed the experiments. S.N., L.T.,
518 E.C. and H.B. supported beamline experiments. D.C. analyzed the data. D.C., H.L.T., and W.C.C.
519 wrote the manuscript. All authors revised the manuscript. W.C.C. supervised the project.

520 **Competing interests**

521 The authors declare no competing interests.

522

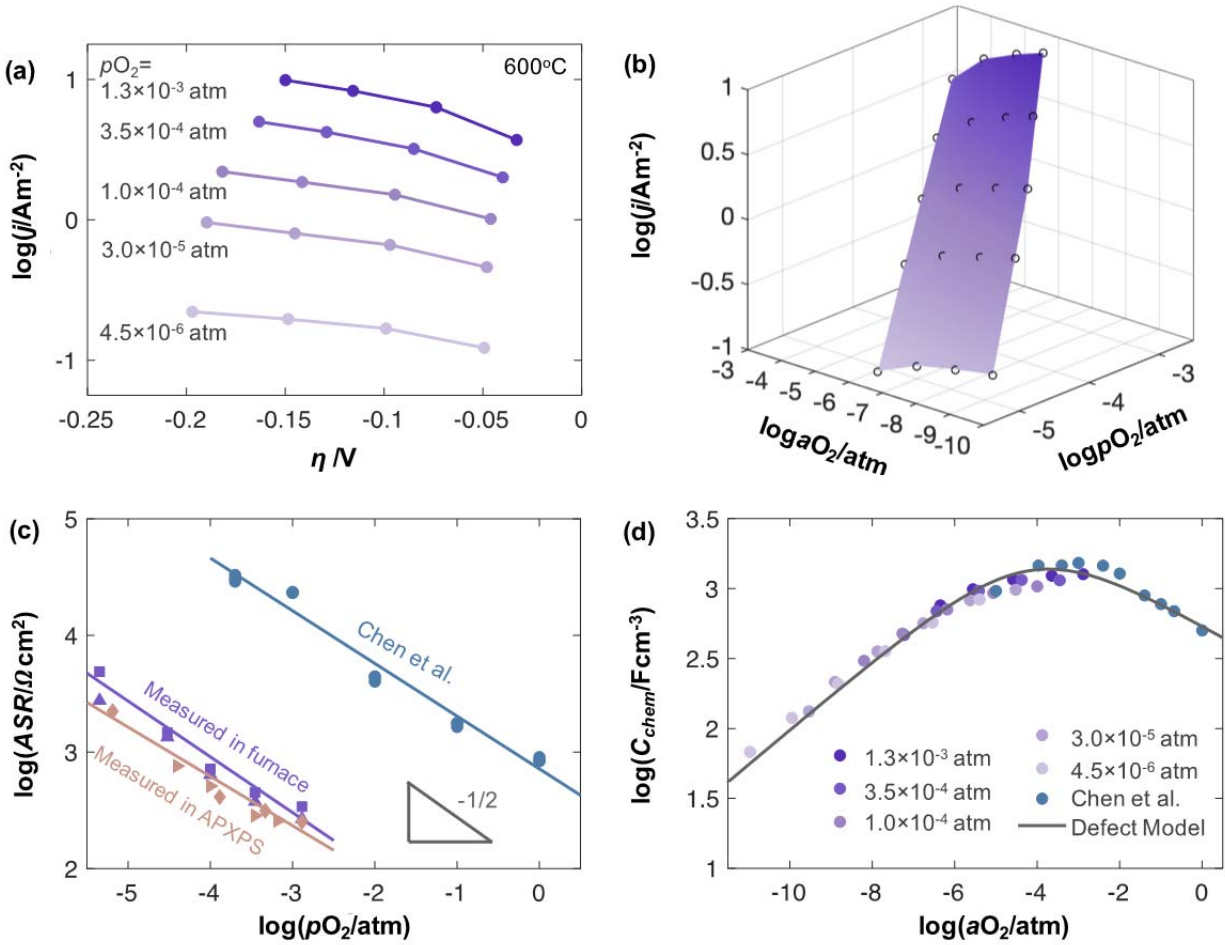


524

525 **Figure 1 | Examples of mixed ion and electron transfer (MIET) reactions.** (a) Proton
 526 intercalation in hydroxides occurring at the liquid–solid interface, (b) lithium intercalation in
 527 metal oxides occurring at the solid–solid interface, and (c) oxygen incorporation reaction
 528 occurring at the solid–gas interface.

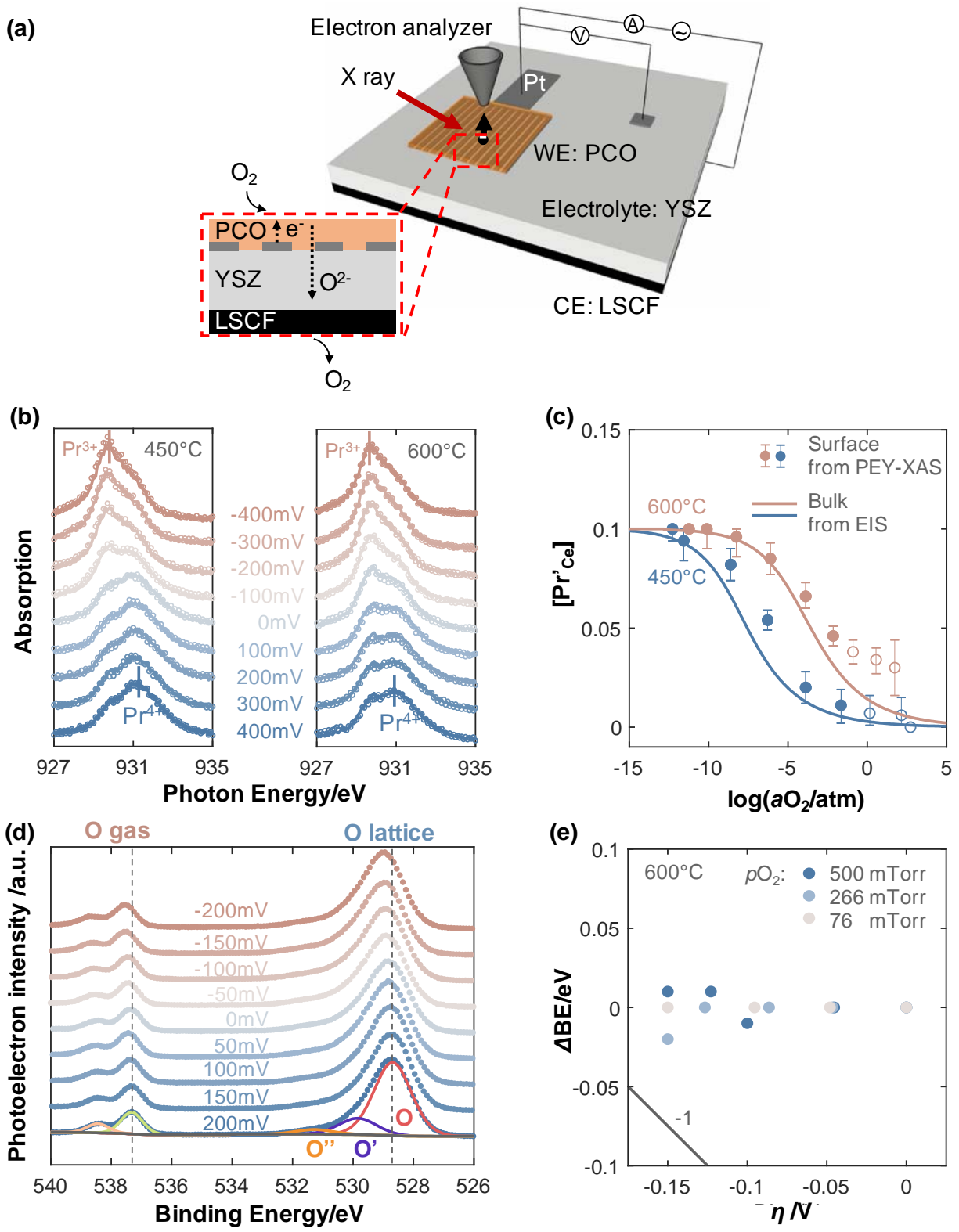
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531
 532 **Figure 2 | Electrochemical measurement results.** (a) Overpotential dependence of current
 533 density of PCO at 600 °C. The symbols indicate experimental data. The lines are used to guide
 534 the eye. The overpotential is Ohmic-corrected and validated by C_{chem} and peak shift in APXPS
 535 spectra. (b) Reconstruction of (a) vs. $p\text{O}_2$ and $a\text{O}_2$ using Eq. 8. The curved surface is 2D fitting
 536 of the experimental results. (c) $p\text{O}_2$ dependence of open-circuit area-specific resistance (ASR)
 537 (symbols) of PCO at 600 °C. The purple symbols indicate experimental data from this study,
 538 measured in a tube furnace. The peach symbols indicate experimental data from this study,
 539 measured in the APXPS chamber. The navy symbols indicate experimental data from literature,
 540 measured in a tube furnace, using quartz tube as the testing tube and Au paste as the current
 541 collector.³⁶ Solid lines represent linear fitting of the experimental data. (d) $a\text{O}_2$ dependence of

542 volume-specific chemical capacitance (C_{chem}) of PCO film at 600 °C. The symbols indicate
543 experimental data in this study compared with literature.³⁶ Solid line represents fit of bulk defect
544 model to experimental data.



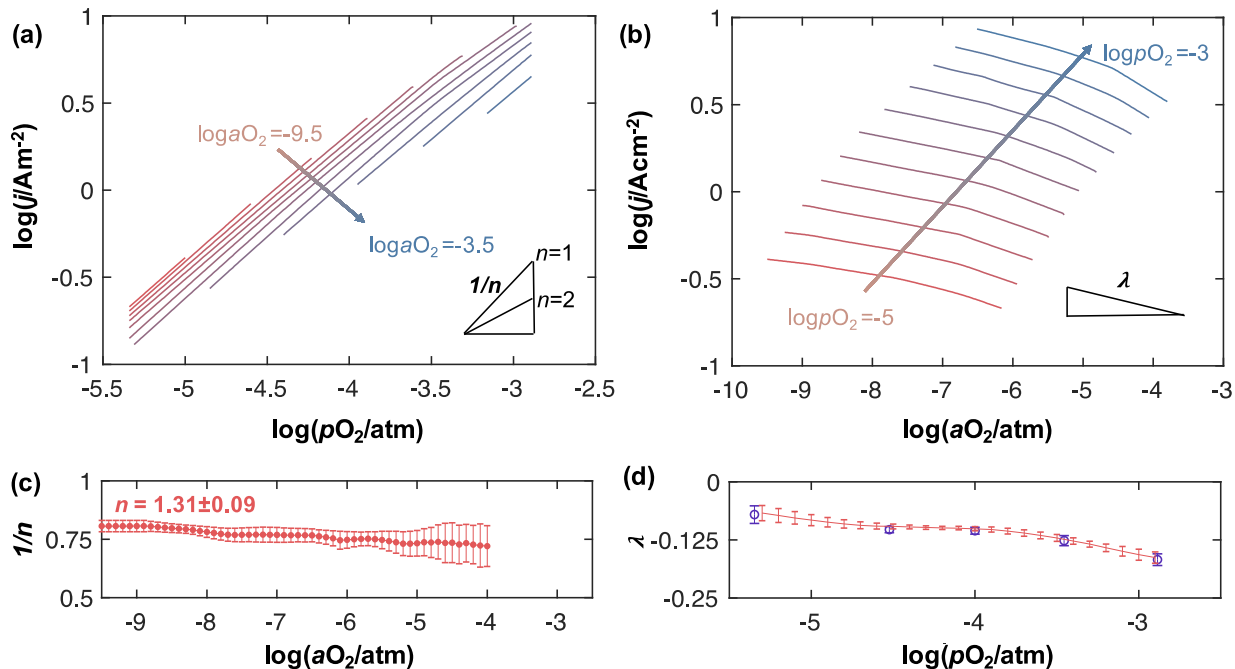
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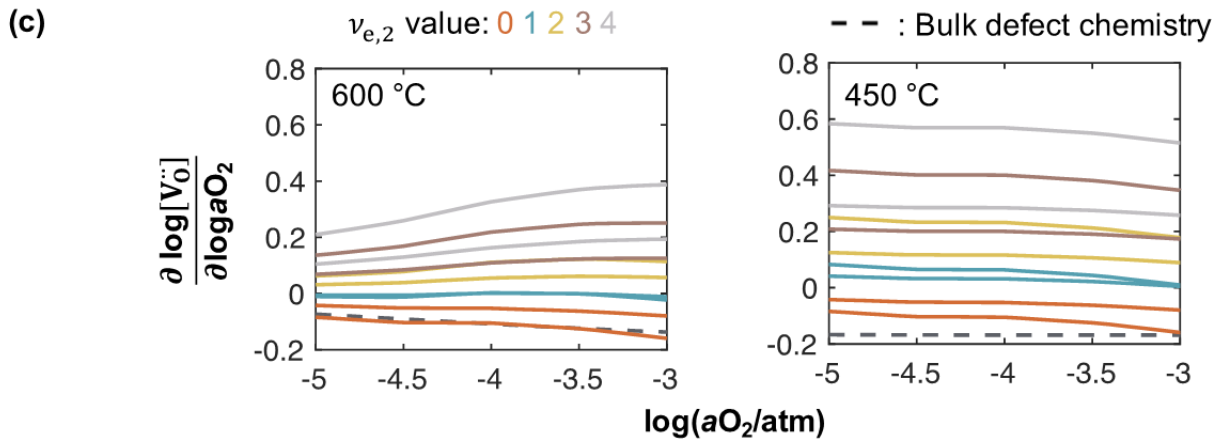
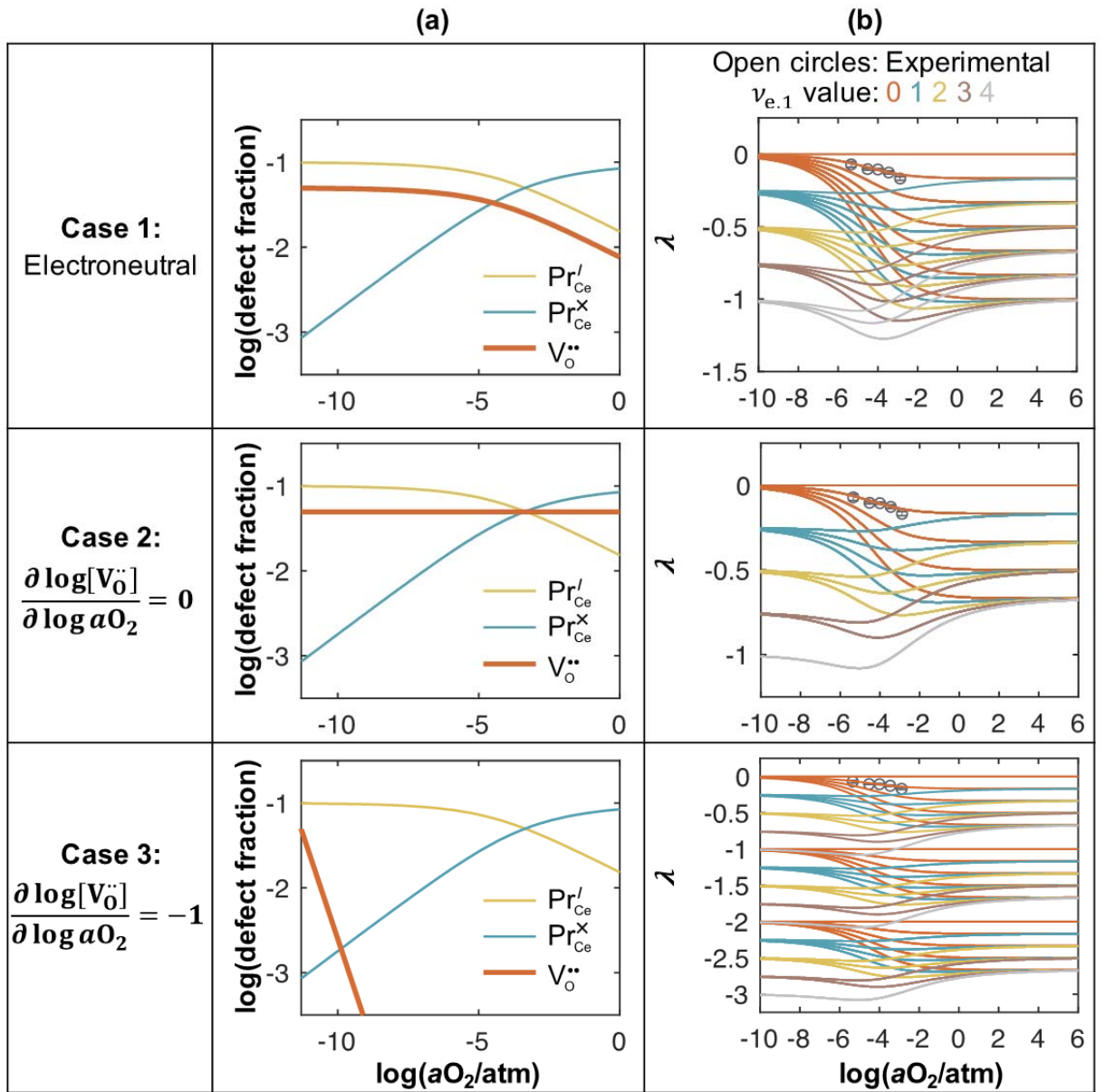
547

Figure 3 | Experimental setup and evolution of surface electron concentration and surface potential with overpotential. (a) A schematic depicting the experimental setup. The cell is

548 based on yttria-stabilized zirconia (YSZ) solid-oxide electrolyte, with dense PCO as the working
549 electrode and $(\text{La}_{0.6}\text{Sr}_{0.4})(\text{Co}_{0.2}\text{Fe}_{0.8})\text{O}_3$ (LSCF) as the counter electrode. Patterned Pt is
550 embedded in PCO thin film for efficient current collection. **(b)** Pr M_4 edge measured by ambient
551 pressure X ray absorption spectra (APXAS) in partial electron yield detection mode at 450°C and
552 600 °C at $p\text{O}_2=100\text{mTorr}$, as a function of overpotential. The symbols are experimental data. The
553 lines are linear combination fitting of spectra under the most oxidized (450 °C, +400mV) and
554 reduced (600 °C, -400mV) conditions. **(c)** $a\text{O}_2$ dependence of the small polaron concentration
555 (Pr^{3+} in PCO) on the surface measured by APXAS (symbols), and in the bulk calculated using
556 chemical capacitance data (solid lines). The $a\text{O}_2$ of the filled symbols were validated by
557 chemical capacitance. The $a\text{O}_2$ of the empty symbols could not be validated by chemical
558 capacitance because their impedance spectra are highly distorted. Error bars are reported for an
559 approximate 99% confidence of the linear combination. **(d)** Normalized O 1s APXPS spectra at
560 various overpotential at 600 °C in $6.6 \times 10^{-4} \text{atm}$ (500 mTorr). The overpotential is validated by
561 C_{chem} . For lattice oxygen peak, the main peak in red and shoulder peaks in purple and orange are
562 due to lattice oxygen (O), oxygen bonded to the Si impurity (O') and surface O (O''),
563 respectively. Spectra were taken at a photon energy of 690 eV corresponding to a probing depth
564 of 0.6 nm. The binding energy (BE) is calibrated with the Au $4f_{7/2}$ peak of gold foil connected to
565 the PCO electrode. **(e)** The shift of surface potential with overpotential at 600 °C. The values are
566 plotted relative to open-circuit conditions.



567
 568 **Figure 4 | Reaction orders for OIR.** (a) $p\text{O}_2$ dependence of current density at several constant
 569 $a\text{O}_2$. (b) $a\text{O}_2$ dependence of current density at several constant $p\text{O}_2$. (c) The reaction orders $1/n$
 570 and λ calculated from (a) and (b). The error bars are calculated from three electrodes.

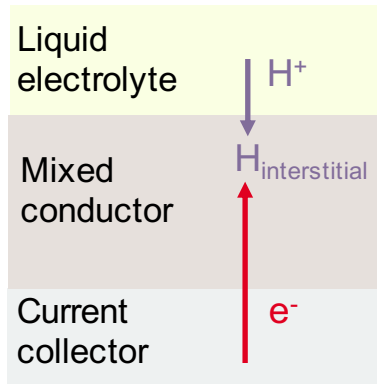


572 **Figure 5 | Obtain reaction stoichiometric coefficients ($\nu_{V,i}$ and $\nu_{e,i}$) by analyzing λ .** (a) The
573 dependence of simulated defect concentrations on aO_2 in PCO at 600 °C, with different $\frac{\partial \log[V_{\dot{O}}]}{\partial \log aO_2}$
574 as noted. (b) The simulated aO_2 dependence of λ . The solid lines are calculated by Eq. 8, with
575 the defect concentrations as shown in (a). Different lines are calculated with different $\nu_{e,1}$ values,
576 as indicated by different colors. The symbols are experimental data. (c) The aO_2 dependence of
577 $\frac{\partial \log[V_{\dot{O}}]}{\partial \log aO_2}$ at 600 °C and 450 °C. The solid lines are back-calculated from the experimental λ using
578 Eq. 8. Different lines are calculated with different $\nu_{e,2}$ values, as indicated by different colors.
579 The dashed line shows bulk experimental values.
580

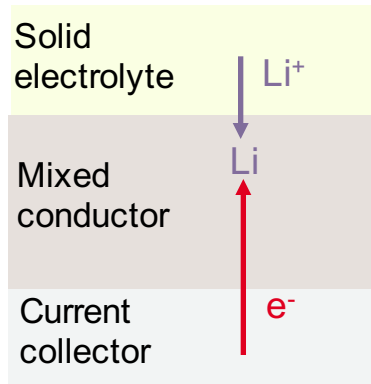
	$\nu_{V,1}$	$\nu_{V,2}$	$\nu_{V,3}$	$\nu_{e,1}$	$\nu_{e,2}$	$\nu_{e,3}$	Initial State of RDS	Final State of RDS
A	0	0	2	0	0	4		
B	0	1	1	0	0	4		
C	0	2	0	0	0	4		
D	1	0	1	0	0	4		
E	1	1	0	0	0	4		
F	2	0	0	0	0	4		

581
582 **Figure 6 | Reaction mechanism.** Combinations of stoichiometric coefficients that satisfy the
583 condition $\nu_{e,1} = \nu_{e,2} = 0$ with their corresponding RDS and final state. The shaded combinations
584 are unlikely. Here $\nu_{V,1}$, $\nu_{V,2}$ and $\nu_{V,3}$ are stoichiometric coefficients corresponding to the number
585 of oxygen vacancies participating in the preceding, rate-determining, and following steps.
586 Analogously, $\nu_{e,1}$, $\nu_{e,2}$, and $\nu_{e,3}$ are the stoichiometric coefficients of the electrons in these steps.
587

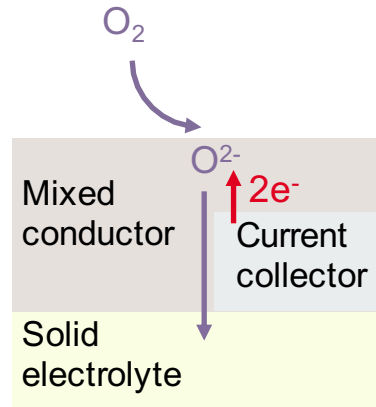
(a) Solid-liquid

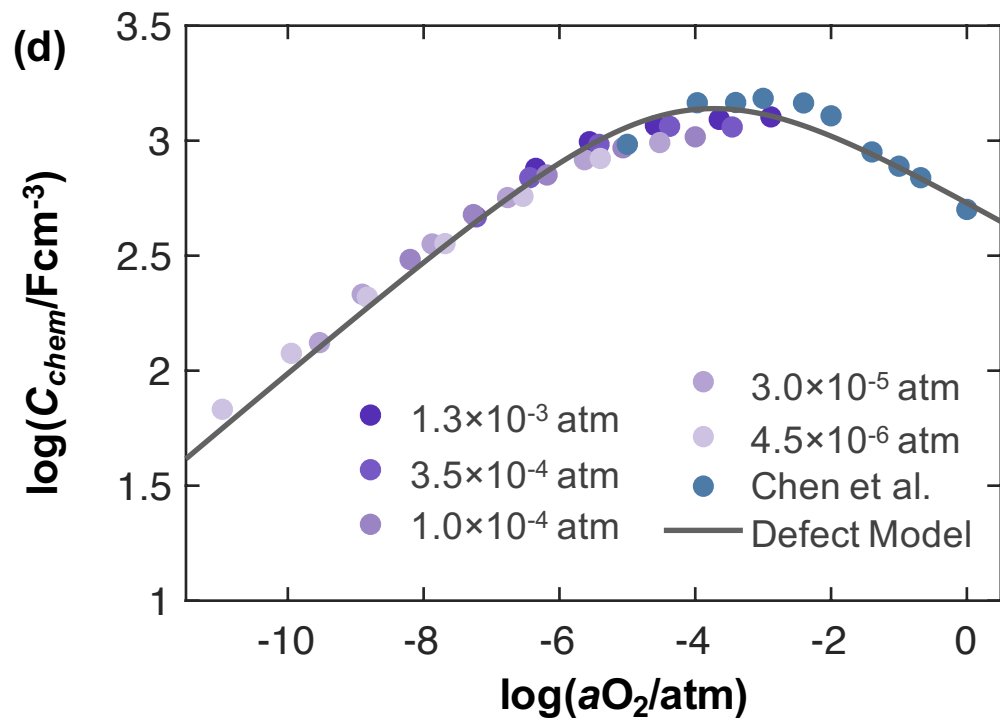
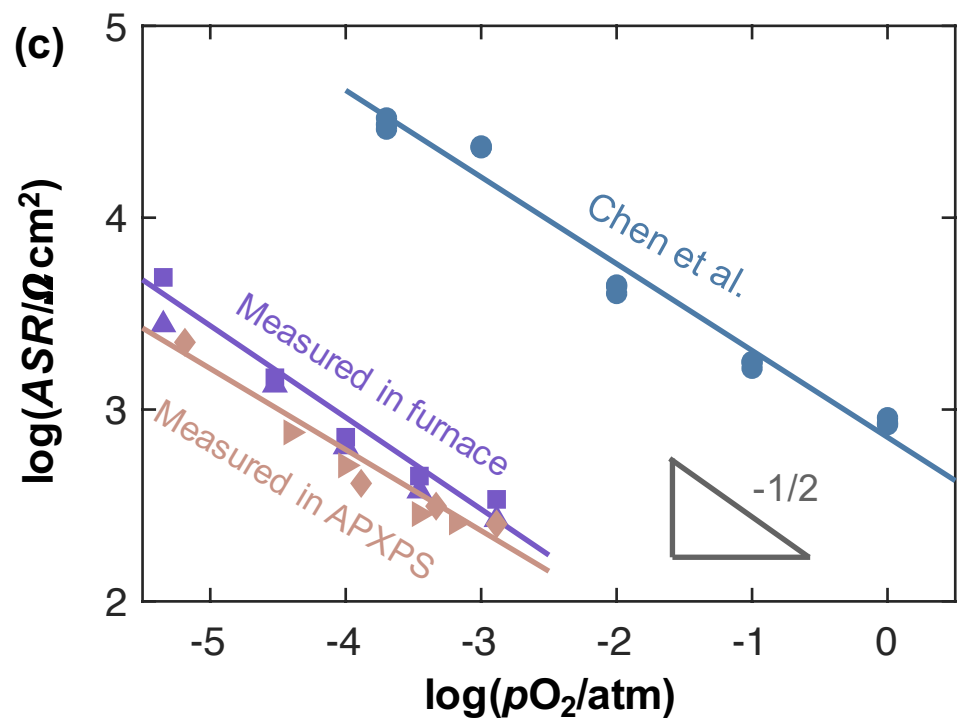
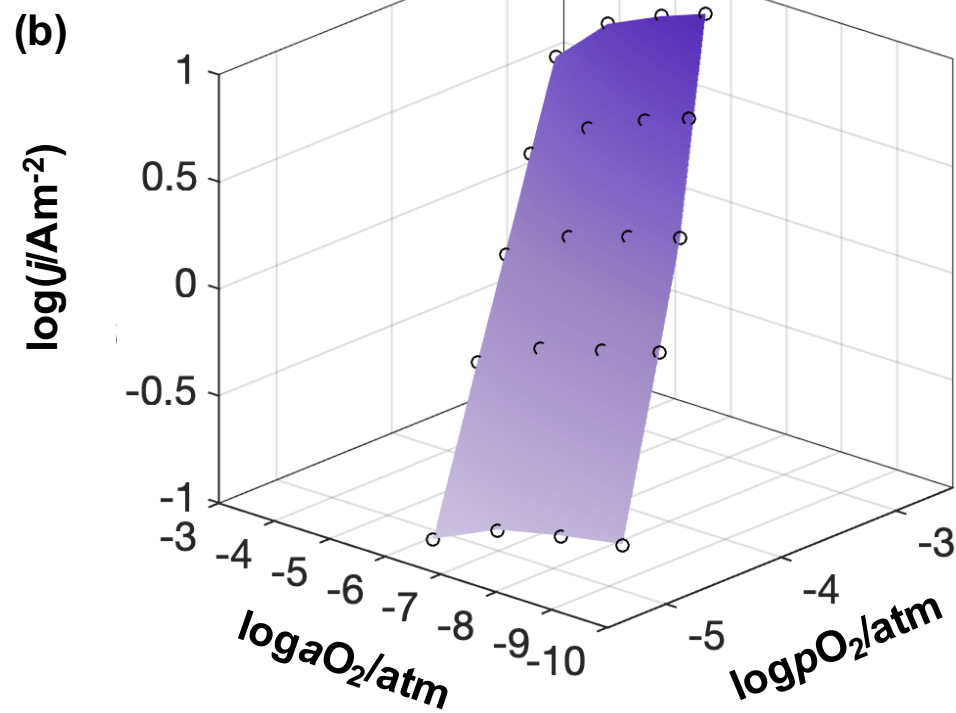
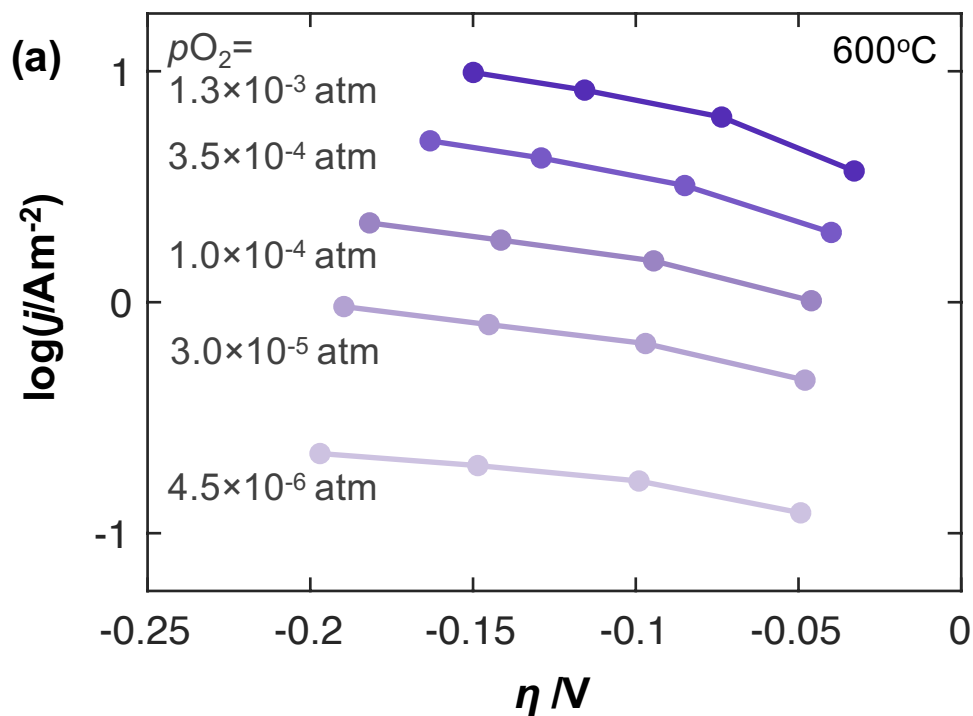


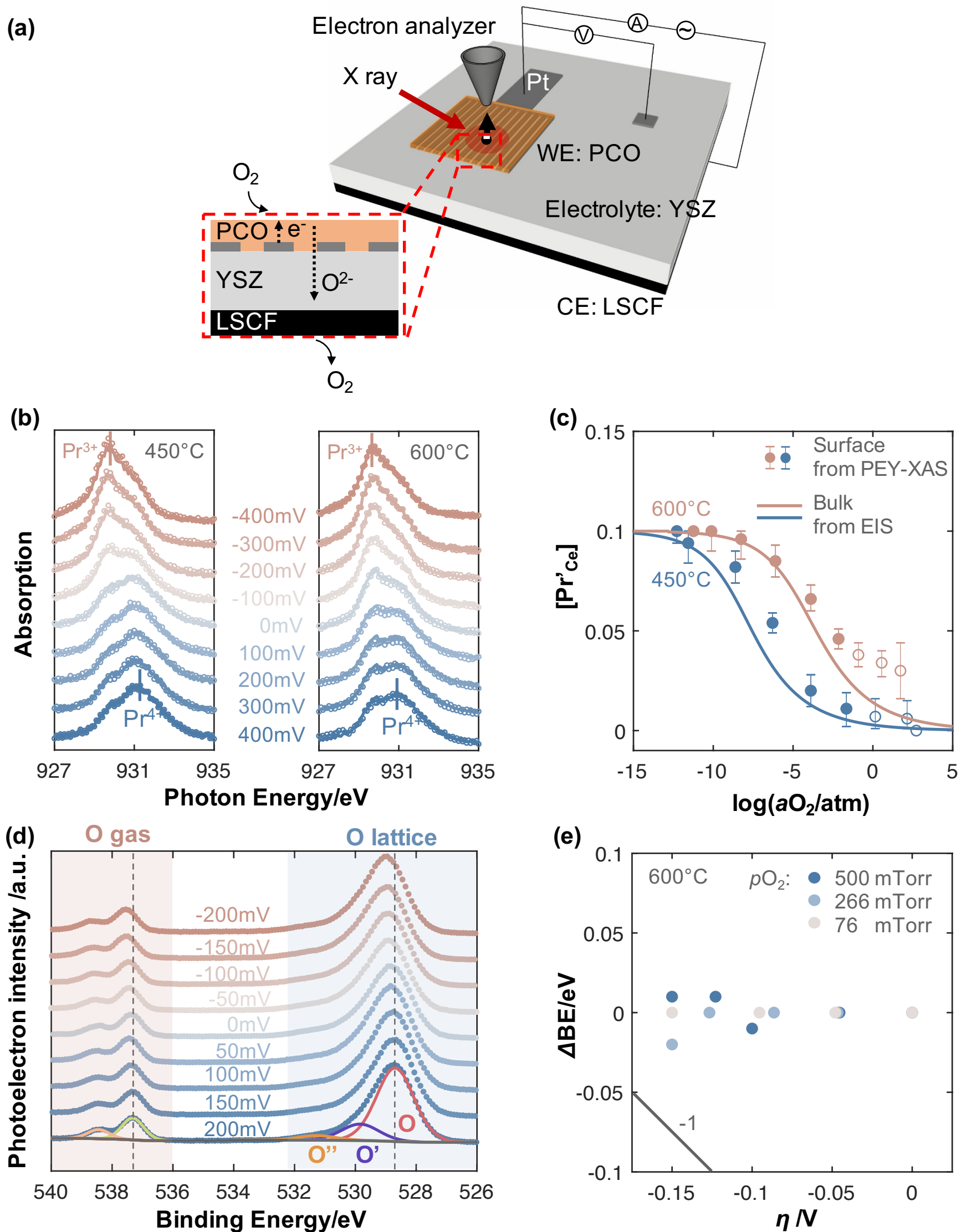
(b) Solid-solid

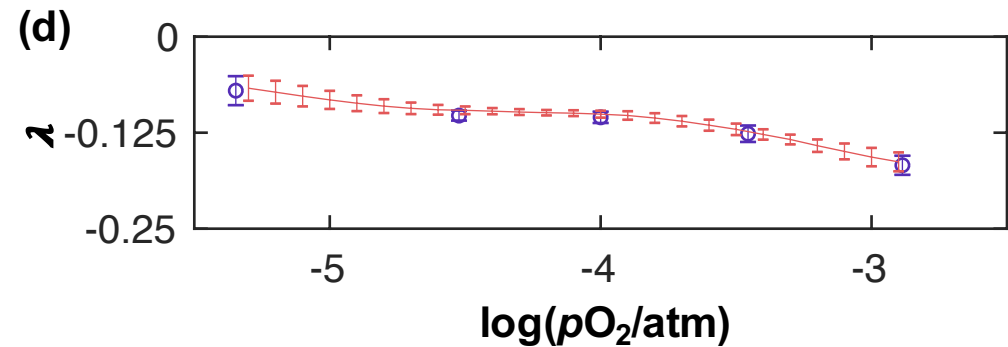
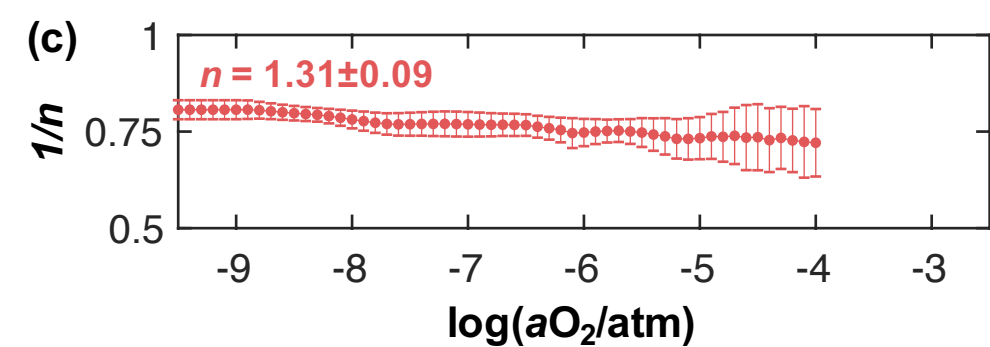
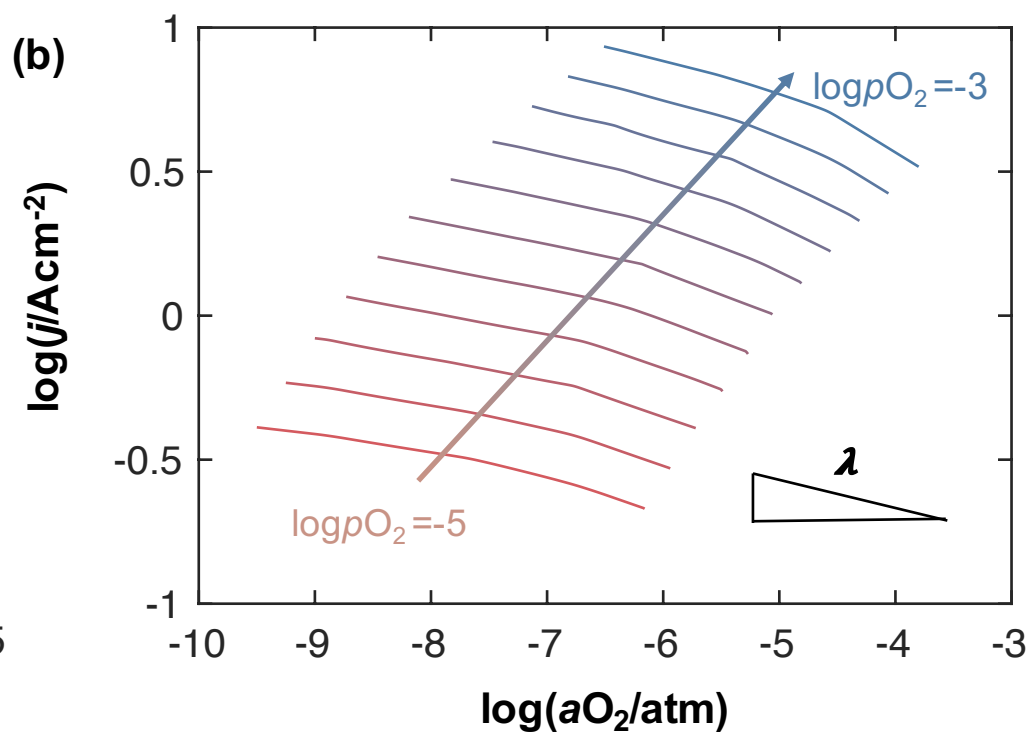
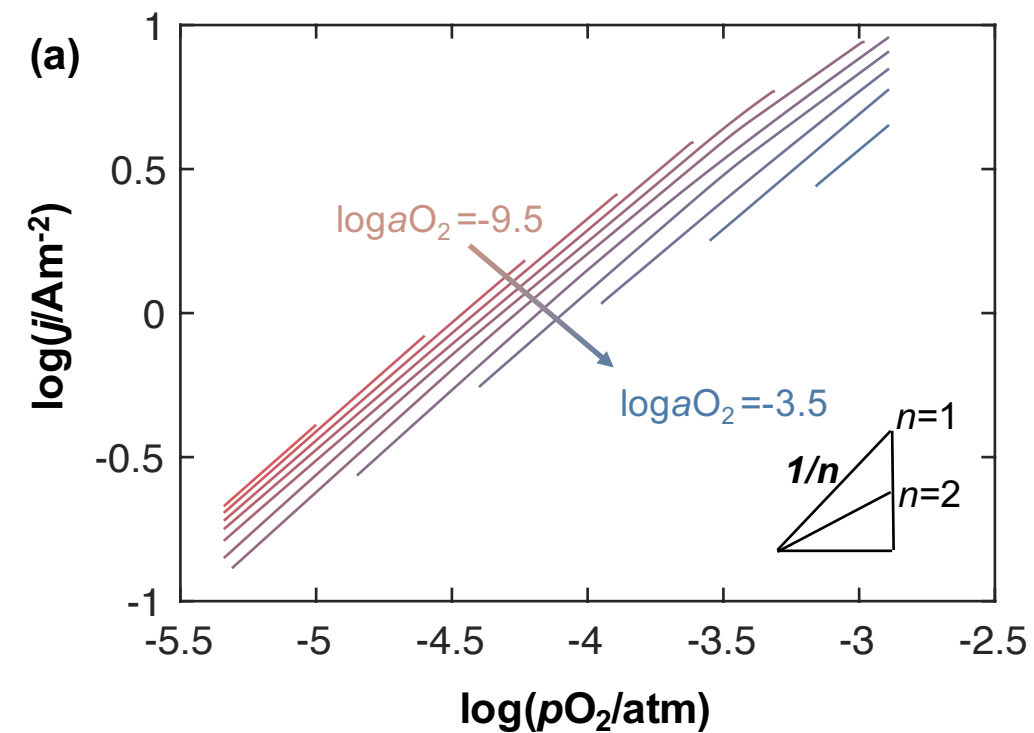


(c) Solid-gas





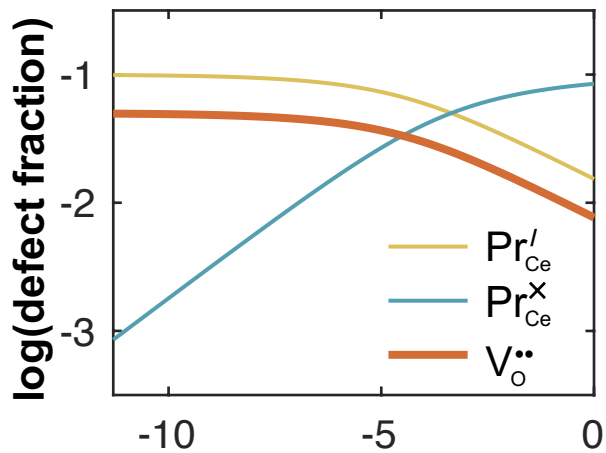




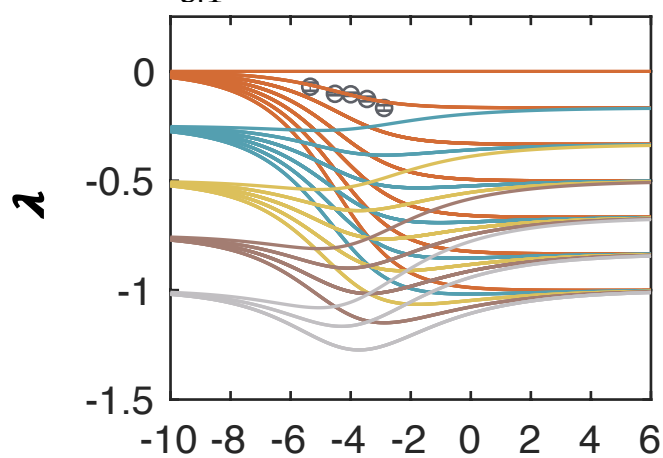
(a)

(b)

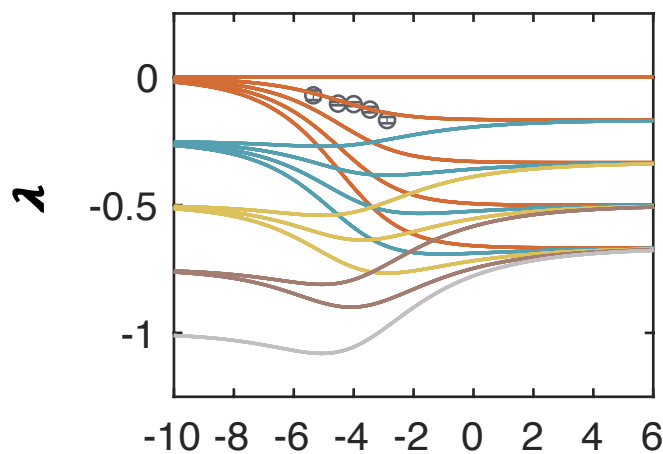
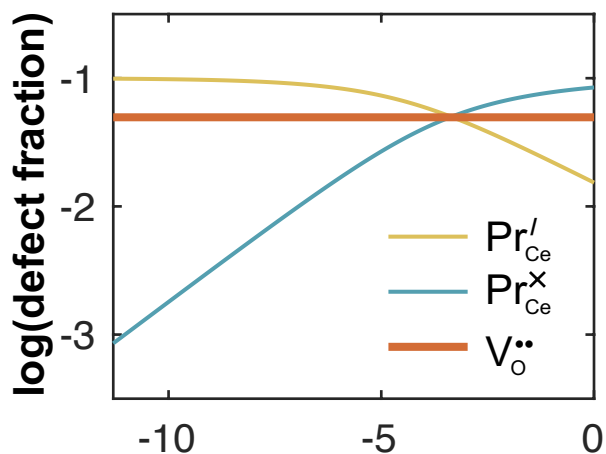
Case 1:
Electroneutral



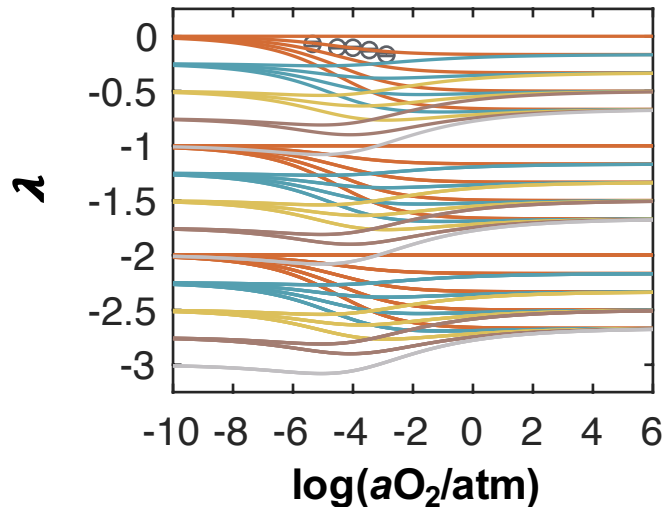
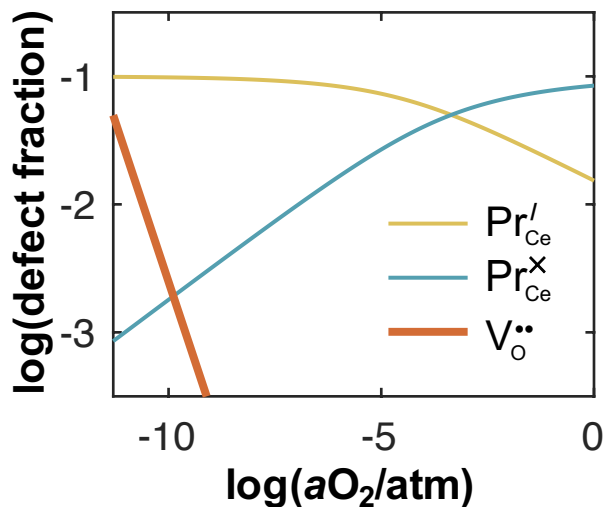
Open circles: Experimental
 $\nu_{e,1}$ value: 0 1 2 3 4



Case 2:
 $\frac{\partial \log[V_{O}^{\bullet\bullet}]}{\partial \log aO_2} = 0$



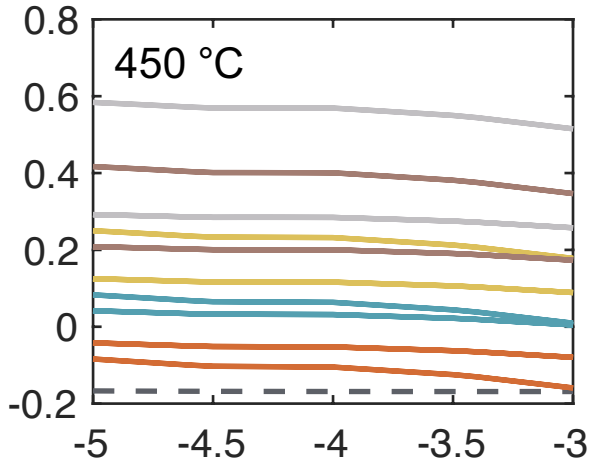
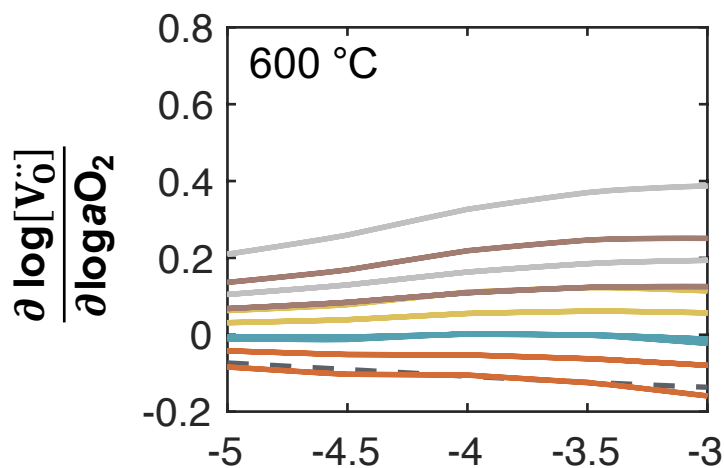
Case 3:
 $\frac{\partial \log[V_{O}^{\bullet\bullet}]}{\partial \log aO_2} = -1$



(c)

 $\nu_{e,2}$ value: 0 1 2 3 4

- - : Bulk defect chemistry

log(aO₂/atm)

	$v_{V,1}$	$v_{V,2}$	$v_{V,3}$	$v_{e,1}$	$v_{e,2}$	$v_{e,3}$	Initial State of RDS	Final State of RDS
A	0	0	2	0	0	4		
B	0	1	1	0	0	4		
C	0	2	0	0	0	4		
D	1	0	1	0	0	4		
E	1	1	0	0	0	4		
F	2	0	0	0	0	4		

