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Heteronuclear cross-relaxation effect modulated by the dynamics of N-functional groups in the solid state under ¹⁵N DP-MAS DNP



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ABSTRACT

In a typical magic-angle spinning (MAS) dynamic nuclear polarization (DNP) nuclear magnetic resonance (NMR) experiment, several mechanisms are simultaneously involved when transferring much larger polarization of electron spins to NMR active nuclei of interest. Recently, specific cross-relaxation enhancement by active motions under DNP (SCREAM-DNP) [Daube et al. JACS 2016] has been reported as one of these mechanisms. Thereby ¹³C enhancement with inverted sign was observed in a direct polarization (DP) MAS DNP experiment, caused by reorientation dynamics of methyl that was not frozen out at 100 K. Here, we report on the spontaneous polarization transfer from hyperpolarized ¹H to both primary amine and ammonium nitrogens, resulting in an additional positive signal enhancement in the ¹⁵N NMR spectra during ¹⁵N DP-MAS DNP. The cross-relaxation induced signal enhancement (CRE) for ¹⁵N is of opposite sign compared to that observed for ¹³C due to the negative sign of the gyromagnetic ratio of ¹⁵N. The influence on CRE efficiency caused by variation of the radical solution composition and by temperature was also investigated.

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1. Introduction

Dynamic Nuclear Polarization (DNP) is an emerging magnetic resonance technique to enhance the sensitivity of high-field magic-angle spinning (MAS) nuclear magnetic resonance (NMR) [1–4]. DNP enables signal enhancement, defined as the spin polarization achieved by DNP relative to the thermal equilibrium polarization of the respective nucleus, up to several orders of magnitude by transferring the large electron-spin polarization of paramagnetic polarizing agents to target NMR nuclei using microwave (MW) irradiation at specific frequencies. Alleviating the low sensitivity, which is the key challenge of traditional NMR spectroscopy, DNP has been successfully applied not only to the study of various biological samples [5–7] but also to solid samples in materials science [8–14]. However, despite substantial advances in DNP methodology [15,16] and instrumentation [17–19], NMR sensitiv-

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ity is still a limiting factor in many applications [3]. Therefore, it is important to understand the different polarization transfer mechanisms occurring simultaneously during DNP in order to enable a systematic optimization of these experiments.

Molecular dynamics affecting the longitudinal relaxation time constant, T_1 , which play a key role in the polarization transfer processes, are often neglected in MAS DNP measurements that are performed typically at 100 K, because most motional modes are effectively frozen out. However, some exceptional dynamics with small activation energies [20], such as the fast rotational motion of the methyl group [21], may show fast dynamical properties even at cryogenic temperatures. Recently, it has been reported that methyl group reorientation dynamics under DNP conditions can cause heteronuclear cross-relaxation mediated polarization transfer in the solid state [21–24]. Thereby, mobile methyl protons are hyperpolarized by DNP (Fig. 1(a) and (b), pink arrow). Their magnetization is then spontaneously transferred to dipolarly coupled carbon atoms by cross-relaxation (Fig. 1(a) and (b), red arrow), resulting in a negatively enhanced 13 C resonance signal in a direct

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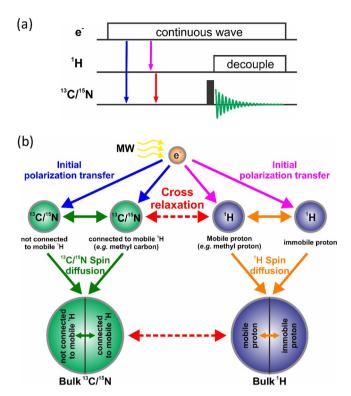


Fig. 1. (a) 13 C/ 15 N DP-MAS DNP NMR pulse sequence, and (b) the process of polarization transfer during DP-MAS DNP. Pink and blue arrow: initial hyperpolarization of 1 H and 13 C/ 15 N by DNP. Red arrow: spontaneous polarization transfer (cross-relaxation) from hyperpolarized 1 H to 13 C/ 15 N connected to mobile 1 H. Green and orange arrow: polarization transfer to other 13 C/ 15 N and 1 H by homonuclear spin diffusion [27,28]. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

polarization (DP) MAS NMR experiment. The transferred polarization subsequently spreads to other carbons through the ¹³C dipolar network by ¹³C-¹³C spin diffusion (Fig. 1(b), green arrow). This cross-relaxation process is identical to that underlying the Nuclear Overhauser Effect (NOE) [25]: saturation of proton spins with onresonance radio frequency (rf) pulses results in a positive signal enhancement in the ¹³C NMR spectra even in solids if the dynamics occur on a favorable time scale [26]. Under DNP conditions, when proton spins are hyperpolarized instead of saturated, double quantum cross-relaxation between ¹H and ¹³C spins results in a negative signal enhancement, which is opposite in sign to typical NOE enhancement in ¹³C NMR.

Up to now, the cross-relaxation effect in DP-MAS DNP NMR has only been reported for ¹³C nuclei. Here, it is investigated whether such an effect can also be confirmed for ¹⁵N by providing experimental evidence for cross-relaxation mediated enhancement (CRE) during ¹⁵N DP-MAS DNP using benzyl ammonium and glutamine as model compounds (Fig. 2).

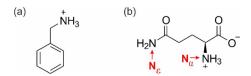


Fig. 2. Model compounds (a) benzyl ammonium and (b) glutamine as mobile primary ammonium and amine representatives. The nitrogen names (N_{α} and N_{ϵ}) of glutamine are represented in the structure.

2. Theoretical background

The cross-relaxation mediated polarization transfer can be modelled by starting with the Solomon equations [22,29] for two dipole-coupled spins I, S. To empirically include solid-state DNP, the equations of motion for a closed two-spin system can be amended by a term that supplies non-equilibrium polarization from the outside. This corresponds to the coupling of the two-spin system to an external "DNP bath", with the hypothetical steady-state polarization enhancement values ε_I^0 and ε_S^0 and corresponding enhancement rates $\rho_I^{\rm DNP}$ and $\rho_S^{\rm DNP}$ for the I and the S spin, respectively (for details see electronic Supporting Information). ε_I^0 and ε_S^0 correspond to the DNP enhancement factors that would be achieved without coupling between I and S and with infinitely slow relaxation towards thermal equilibrium. For such a system, the total steady-state S spin polarization enhancement ($\varepsilon_{\rm tot}$) including cross-relaxation under DNP is

$$\varepsilon_{\text{tot}} = 1 + \frac{\rho_{\text{S}}^{\text{DNP}}(\varepsilon_{\text{S}}^{0} - 1)}{\rho_{\text{S}} + \rho_{\text{S}}^{\text{DNP}}} - (\varepsilon_{\text{I}} - 1) \frac{\gamma_{\text{I}}}{\gamma_{\text{S}}} \frac{\sigma_{\text{IS}}}{\rho_{\text{S}} + \rho_{\text{S}}^{\text{DNP}}}$$
(1)

For the systems studied here, $\varepsilon_{\rm tot}$ is the 15 N enhancement factor, or 15 N spin polarization relative to its thermal equilibrium, and ε_l is the actual steady-state enhancement factor of 1 H. γ_l and γ_s are the gyromagnetic ratios of 1 H and 15 N, respectively. σ_{lS} is the 1 H- 15 N cross-relaxation rate and ρ_s is the 15 N longitudinal relaxation rate without coupling to the DNP bath. The first term on the right-hand side of this equation presents thermal equilibrium polarization (TP), the second term constitutes 15 N direct enhancement (Δ DE) achieved without the influence of cross-relaxation, and the third term represents the contribution from cross-relaxation effect (Δ CRE or Δ NOE). Notice the similarity of this third contribution with the enhancement in liquid-state Overhauser DNP, where the factor $\sigma_{lS}/(\rho_S + \rho_S^{DNP})$ has been identified as the product of a coupling factor between the two spins and a leakage factor caused by relaxation back to thermal equilibrium [30].

The equation for the polarization enhancement may be simplified if it can be assumed that the DNP enhancement rate is much higher than the spin–lattice relaxation rate, i.e. $\rho_s^{\rm DNP}\gg\rho_s$, which often applies at cryogenic temperatures. Then we get

$$\varepsilon_{tot} \approx \varepsilon_{s}^{0} - (\varepsilon_{l} - 1) \frac{\gamma_{l}}{\gamma_{s}} \frac{\sigma_{lS}}{\rho_{s}^{DNP}}$$
 (2)

If, on the other hand, ¹⁵N direct enhancement (Δ DE) on mechanisms other than cross-relaxation mediated from the *I* spin is very low, $\rho_S^{\text{DNP}} \approx 0$, then the same expression as by Daube *et al.* is obtained [22],

$$\varepsilon_{\rm tot} \approx \varepsilon_{\rm CR} = 1 - (\varepsilon_{\rm I} - 1) \frac{\gamma_{\rm I}}{\gamma_{\rm S}} \frac{\sigma_{\rm IS}}{\rho_{\rm S}}$$
 (3)

The gyromagnetic ratio of 15 N (negative sign; $\gamma_N = -27.116 \times 10^6 \, {\rm rad \cdot s^{-1} \cdot T^{-1}})$ has an opposite sign compared to 13 C (positive sign; $\gamma_C = 6.7262 \times 10^7 \, {\rm rad \cdot s^{-1} \cdot T^{-1}})$, so it is expected to show an opposite signal enhancement contribution in both CRE (the spontaneous Cross-Relaxation induced Enhancement under DNP) and the typical NOE. For example, if the substance of interest contains protonated nitrogen functional groups, which can rotate fast enough even at 100 K to facilitate cross-relaxation, hyperpolarization of 1 H ($\varepsilon_I > 1$) by DNP and the opposite signs of gyromagnetic ratios between 1 H (positive, $\gamma = 26.7513 \times 10^7 \, {\rm rad \cdot s^{-1} \cdot T^{-1}})$ and 15 N would cause a net positive enhancement ($\varepsilon_{\rm tot} > \varepsilon_S^0$) by CRE in the 15 N DP-MAS DNP NMR spectrum. On the other hand, saturation of 1 H ($\varepsilon_I = 0$) would induce a net negative enhancement ($\varepsilon_{\rm tot} < \varepsilon_S^0$), a phenomenon that is traditionally called hetNOE [25,31].

In this paper, nomenclatures and symbols as shown in Table 1 are used to explain the spontaneous cross-relaxation induced

Table 1Nomenclatures of observable effects depending on the experiment condition in this paper^a.

Experiment condition		Contributions to the signal intensity	Condition of ε_I	Contribution of cross-relaxation effect
Microwave	¹ H saturation			(Δ CRE or Δ NOE) on 15 N signal enhancement
Off	Off	TP	$\varepsilon_I = 1$	-
Off	On	$TP + \Delta NOE$	$\varepsilon_I = 0$	Negative
On	Off	$TP + \Delta DE + \Delta CRE$	$\varepsilon_l > 1$	Positive
On	On	$TP + \Delta DE + \Delta NOE$	$\varepsilon_I = 0$	Negative

^a TP (thermal equilibrium polarization), ΔNOE (signal contribution by NOE), ΔDE (signal contribution by ¹⁵N direct enhancement (DE) effect under DNP), ΔCRE (signal contribution by spontaneous cross-relaxation induced enhancement (CRE) effect under DNP).

enhancement (CRE) effect occurring during the DP-MAS DNP separately from the NOE. Direct hyperpolarization (DP) defines the set of effects affecting polarization of a particular nucleus under continuous MW irradiation without any rf manipulation.

3. Experimental

All chemicals were analytical grade. The ¹⁵N labeled benzyl ammonium sample was prepared by mixing ¹⁵N labeled benzyl amine (98 atom % ¹⁵N, Sigma Aldrich) and bis(trifluoromethylsulfo nyl)imide (≥95.0%, Sigma Aldrich) in a 1:3, w/w ratio in an aprotic solvent consisting of a mixture of tetrachloroethane/DMSO *d*₆/DMSO in a ratio of 67/30/3 vol%. As polarizaing agent, TEKPol [32] (Cortecnet) radical was added in a final concentration of 10 mM. Since the TEKPol radical is unstable in an acidic environment and at room temperature as can be seen in Fig. S1 in the supporting information, the TEKPol radical was added just before loading the sample into the MAS rotor and cooling down. The nitrogen spectrum exhibits a quartet splitting pattern in the ¹⁵N solution NMR spectrum (Fig. S2) without proton decoupling, indicating that the N-functional group exists as the primary ammonium ion.

The saturated 15 N/ 13 C labeled glutamine (99 atom % 15 N, 99 atom % 13 C, Sigma Aldrich) samples were prepared using three different solvents with different 1 H concentrations: glycerol- $d_8/D_2O/H_2O$ (60/30/10 vol%), glycerol- d_8/H_2O (60/40 vol%), glycerol/ H_2O (60/40 vol%) mixture containing 10 mM AMUPol [33] (Cortecnet) radical. To confirm that the solubility of glutamine is not affected by the different 1 H/ 2 H isotope ratios in the solvent, the concentration of saturated glutamine in each solvent was determined by 1 H NMR. The concentrations of glutamine were similar with 12.7, 12.2 and 12.2 mg/ml, respectively. The samples were then transferred into 3.2 mm sapphire rotors.

DNP experiments were performed on a Bruker (Karlsruhe, Germany) wide-bore Avance III HD 600 MHz spectrometer equipped with a triple resonance TCI (1H, 13C, 15N) cryoprobe connected to a 395 GHz gyrotron with 60 mA of beam current as a continuous microwave source. Experiments were conducted at 100 K for all samples and additionally at 140 K for the glutamine sample in glycerol/H₂O (60/40 vol%) to confirm the temperature dependence of the cross-relaxation. For all experiments, excitation 90° pulses of 6.0 and 2.8 μs duration, corresponding to an rf field strength of 42 kHz and 89 kHz, were applied for ¹⁵N and ¹H, respectively. SPINAL-64 decoupling was applied during acquisition with a ¹H rf field of ca. 90 kHz. The number of scans was 8 at a MAS spinning frequency of 9 kHz. The pulse sequence [24] in Fig. S3 was used to identify the intimately linked the CRE effect and the NOE, depending on the presence or absence of the ¹H saturation pulse train. A presaturation pulse-train with 16 90° pulses separated by 3 ms was applied to both ¹H and ¹⁵N to destroy any transverse magnetization left. All direct DP spectra were measured using a single 90° pulse excitation of ¹⁵N without ¹H saturation pulses. For the ¹H saturation experiment (DP_{sat}), 180° ¹H saturation pulses with a pulse interval of 500 ms (Fig. S3, d21) were used to prevent further $^1\mathrm{H}$ polarization build-up. All DP and DP_{sat} NMR experiments were performed using 6 variable polarization delays ranging from 10 s to 600 s.

4. Results and discussion

To estimate the CRE effect and the related NOE in the 15N DP-MAS DNP spectrum of the benzyl ammonium cation, ¹⁵N DP measurements were conducted with and without MW irradiation as well as with and without ¹H saturation pulse trains as a function of polarization time. Comparisons of the different data sets according to different properties are shown in Fig. 3 and Fig. S4. As can be seen in Fig. 3(a) and S4(a), the signal intensities in the spectra and the build-up curves are drastically affected by ¹H saturation pulse trains, which means that the CRE effect and the NOE play a significant role at 100 K. If the primary ammonium group of benzyl ammonium were not mobile at 100 K, a CRE effect and a NOE would not be expected, and the same intensity and build-up curves would be observable in Fig. 3(a,b) and S4(a,b), regardless if a ¹H saturation pulse was applied or not. Likewise, ¹H saturation also leads to a reduction of the signal intensity in the absence of DNP hyperpolarization, as shown in Fig. 3(b) and S4(b), which is a reflection of the NOE in the ¹⁵N spectrum. Comparing the effects observed in Fig. 3(a) and (b), the CRE effect contributes more strongly to the signal intensity of the ¹⁵N spectrum than the NOE and the DE in this sample. From Fig. 3(c) and (d), we can determine the contribution of the CRE in the ¹⁵N direct hyperpolarization effect (DP). Fig. 3(c) shows a larger difference than Fig. 3(d), which means that the CRE effect dominates the positive enhancement of the ¹⁵N signal in ¹⁵N DP-MAS DNP.

The full observable ^{15}N signal enhancement factor (ϵ_{tot}) in benzyl ammonium is strongly influenced by two different effects, the CRE (Fig. 1 a and b, red arrows), and the ^{15}N DE (Fig. 1 a and b, blue and green arrows). The ^{15}N MAS NMR spectrum recorded with ^{1}H saturation and without MW, i.e. without any DNP effect, shows the smallest signal intensity due to the presence of the NOE which contributes a negative signal enhancement, while the highest signal intensity is found when both the ^{15}N DE and the CRE effect contribute, which is achieved without ^{1}H saturation and with MW irradiation (Figs 3, 4 and S4). From these results, it can be seen that ammonium ion rotational mobility, which enables CRE in ^{15}N DP-MAS DNP NMR, contributes significantly to the overall ^{15}N polarization even at 100 K (Fig. 4, orange dashed line). As opposed to ^{13}C , due to the negative sign of the ^{15}N gyromagnetic ratio, an additional positive enhancement is found for the ^{15}N polarization.

To determine the CRE effect in ^{15}N DP-MAS DNP NMR spectra in a protic solvent, glutamine having one ammonium and one amide group (N_{α} and N_{ϵ}) with well-separated chemical shifts was used in a popular protic radical solution with a protonation degree of ~10% *i.e.*, glycerol-d₈/D₂O/H₂O (60/30/10 vol%) [34]. As seen in Fig. 5(a), 1H saturation has no impact on the signal intensity, which means the CRE effect and the NOE found in the previous benzyl ammo-

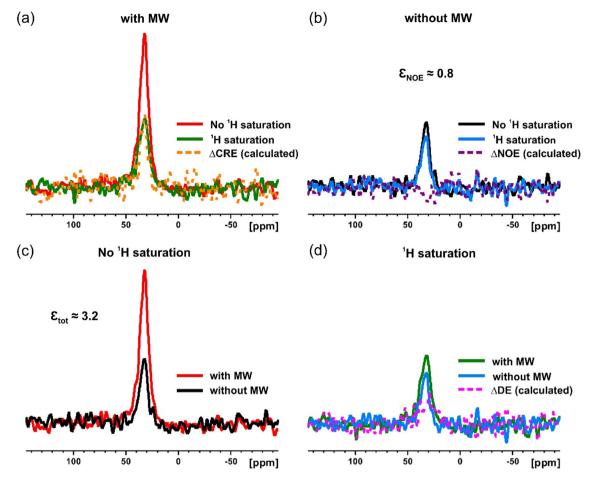


Fig. 3. 15 N MAS spectra of benzyl ammonium recorded after a polarization time of 600 s in the presence and absence of MW irradiation as well as 1 H saturation pulse trains. (a–d) Comparison of spectra (a) obtained with and without 1 H saturation pulses in the presence of MW irradiation, (b) obtained with and without 1 H saturation pulses in the absence of MW irradiation, (c) depending on the irradiation of MW without 1 H saturation, (d) depending on the irradiation of MW with 1 H saturation. For each spectrum different effects contribute to the 15 N NMR signal enhancement. Red: TP + Δ DE + Δ CRE, Green: TP + Δ DE + Δ NOE, Black: TP, Blue: TP + Δ NOE, Orange: Δ CRE calculated by (red-(green-(blue-black))), Purple: Δ NOE calculated by (blue-black), Pink: Δ DE calculated by (green-Blue). The dashed spectra are shown in detail in Fig. S4. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

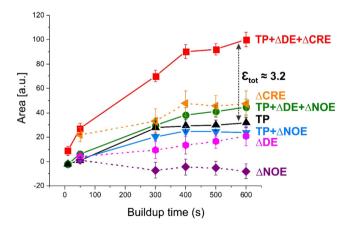


Fig. 4. Comparison plot between the four build-up curves of Fig. S4. Red: TP + Δ DE + Δ CRE via with MW and without 1 H saturation, Green: TP + Δ DE + Δ NOE via with MW and with 1 H saturation, Black: TP via without MW and without 1 H saturation, Blue: TP + Δ NOE via without MW and with 1 H saturation. The 15 N signal contributions of Δ CRE, Δ NOE and Δ DE were calculated from the area values of each spectrum in the same way as in Fig. 3. Orange: Δ CRE, Purple: Δ NOE, Pink: Δ DE. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

nium sample are not significant in glutamine with this radical solution composition. This could be caused by two reasons: Either, the $^1\text{H}-^{15}\text{N}$ CRE effect induced by mobile protons under DNP conditions may be reduced because H/D exchange is expected for amine protons in protic solvent containing deuterium on the one hand (Figs. S5 and S6). Further, the amine dynamics of glutamine at 100 K could be insufficient for double quantum cross-relaxation between ^1H and ^{15}N on the other hand. In order to investigate this, the experiments were repeated with increasing ^1H concentration in the radical solution. As shown in Fig. 5(a–c), an increasing signal intensity ratio (SIR) between the data with and without proton saturation can be identified at higher ^1H concentration. This indicates that the protonation ratio of the amine of glutamine, which is altered by H/D exchange, can affect the efficiency of the $^1\text{H}-^{15}\text{N}$ CRE effect.

In general, deuterium in a radical solution is known to be beneficial for improving the diffusion of polarization from the sites of initial electron–nucleus polarization transfer to nuclei far away from the radical center and to increase the polarization transfer efficiency from protons to heteronuclei through relaxation–prolongating effects [35–37]. While some protons in the radical solution are needed to spread the polarization in the bulk, too many or too little of them can weaken the enhancement. In NMR of biological

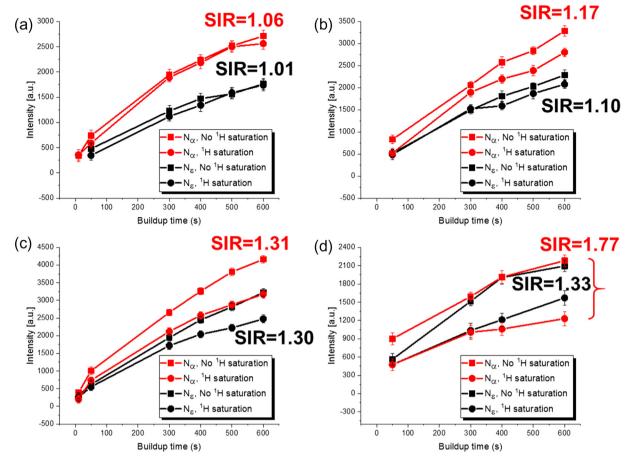


Fig. 5. (a)~(c) Polarization build-up curves for the ammonium (N_{∞} , red) and the amide (N_{ϵ} , black) of the glutamine sample at 100 K depending on 1 H concentrations in the radical solution. (a) glycerol- $d_{8}/D_{2}O/H_{2}O$ (60/30/10 vol%); (b) glycerol- $d_{8}/H_{2}O$ (60/40 vol%); (c) glycerol/ $H_{2}O$ (60/40 vol%) mixture containing 10 mM AMUPol radical. Experimental peak intensities for DP and DP_{sat} experiments with MW are represented by square and circle symbols respectively. (d) Polarization build-up curves at 140 K in the radical solution composition as in (c). The signal intensity ratio depending on the presence of a 1 H saturation pulse in the polarization build-up time of 600 s is represented by SIR. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

samples, the radical solution composition used for the spectrum shown in Fig. 5(a) has generally lead to the best performance [38]. However, when comparing the intensities of the plots in Fig. 5(a-c), the ¹⁵N NMR signal intensity for glutamine increases with higher ¹H concentration. The relative concentrations of the free proton in the radical solvents have a H/D (molar/molar) ratio of 16:84, 64:36, and 100:0. We further determined the DNP enhancement factor ($\epsilon_{\rm 15N~CP}$) of $^{15}{\rm N}$ CPMAS (Fig. S6, Table S1) and with this the ¹H polarization. A change in the protonation degree from 64% to 100% results in a decrease of the ¹H polarization by a factor of 0.7, while in the DP experiment the additional signal by the CRE effect increases by a factor of about 2. Therefore, under the tested experimental conditions, for glutamine the ¹H-¹⁵N CRE effect modulated by the amine protons appears to be more significant for the signal enhancement than the relaxation-prolongating effect of the deuterium.

To confirm the difference in CRE by the dynamic properties of the two nitrogen groups (N_{α} and N_{ϵ}) in glutamine, the temperature was increased to 140 K. In Fig. 5(c) and (d), the fully protonated sample is compared at different temperatures. The rate of change of the enhancement factor for N_{α} is more sensitive than that of N_{ϵ} . The structure of glutamine at neutral pH contains zwitterionic forms consisting of an α -amino group (N_{α}) in the protonated $-NH_{\alpha}^{+}$ form and a carboxylic acid group in the deprotonated $-COO^{-}$ form, and a simple amide (N_{ϵ}) side chain. As can be seen in Fig. S7, the amide (N_{ϵ}) side chain is stabilized due to the partial-double bond, resulting in slower dynamics. Therefore, the amide (N_{ϵ}) side chain,

which may have a smaller change in dynamics when the temperature is increased, experiences a smaller CRE effect on the spectrum.

5. Conclusions

We have shown evidence for the CRE effect, resulting in additional positive signal enhancement for the ¹⁵N NMR spectrum, by the spontaneous polarization transfer from hyperpolarized ¹H to ¹⁵N during ¹⁵N DP-MAS DNP. A larger CRE effect can be achieved in an aprotic solvent due to the prevention of H/D exchange between solvent and substrate, as well as a more rapid reorientation dynamics of N-functional groups caused by weaker interactions.

Similar to a previous application [24] of a specific CRE by active motion under DNP (SCREAM-DNP) through the introduction of a ¹³CH₃ labeled functional group as a probe into biomolecular systems, we expect that further surface signal enhancement using this effect could lead to more efficient and selective DNP-Surface enhanced NMR spectroscopy (DNP-SENS) in materials science. For example, surface structure information of nitrogen functionalized materials [39,40], which are prosperous as heterogeneous catalysis and energy materials, is critical for their further development. The surface signal can be selectively enhanced more strongly during DNP-SENS by binding molecules carrying rotatable nitrogen functional groups to the surface of the material. This would allow distinguishing clearly between surface and bulk signals due to a specific ¹H-¹⁵N CRE effect.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Supplementary material

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jmr.2020.106688.

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