

Controlling the Oxidation State of Cu Electrode and Reaction Intermediates for Electrochemical CO₂ Reduction to Ethylene

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Abstract:

Understanding the role of oxidation state of Cu surface and surface-adsorbed intermediate species in electrochemical CO₂ reduction is crucial for the development of selective CO₂-to-fuel electrocatalysts. In this study, the electrochemical CO₂ reduction mechanism over the Cu catalysts with various oxidation states was studied by using *in situ* surface-enhanced infrared absorption spectroscopy (SEIRAS), *in situ* soft X-ray absorption spectroscopy (Cu L-edge) and on-line gas chromatography measurements. The atop-adsorbed CO (CO_{atop}) intermediate is obtained on the electrodeposited Cu surface which primarily has the oxidation state of Cu(I). CO_{atop} is further reduced, followed by the formation of C₁ product such as CH₄. The residual bridge-adsorbed CO (CO_{bridge}) is formed on the as-prepared Cu surface with Cu(0) which inhibits hydrocarbon formation. In contrast, the CV-treated Cu electrode prepared by oxidizing the as-prepared Cu surface contains different amount of Cu(I) and Cu(0) states. The major theme of this work is that *in situ* SEIRAS results show the coexistence of CO_{atop} and CO_{bridge} as the reaction intermediates during CO₂ reduction and the selectivity of CO₂-to-ethylene conversion is further enhanced in the CV-treated Cu electrode. The Cu catalysts modulated by electrochemical method exhibit different oxidation states and reaction intermediates as well as the electrocatalytic properties.

Introduction

Electrochemical CO₂ reduction reaction (CO₂RR) is a promising route to convert CO₂ into valuable hydrocarbon fuels and chemical feedstock for sustainable carbon cycles.¹⁻² The catalysts capable of electrochemically reducing CO₂ still suffer from many challenges such as short catalyst lifetime, high overpotential, low Faradic efficiencies (FEs) and poor product selectivity, mainly due to the multiple number of proton-coupled electron transfer steps.³ The development of efficient and selective catalysts for CO₂RR has attracted tremendous attentions.⁴⁻⁶ Among all transition metal catalysts explored for CO₂RR, copper (Cu) is the promising catalyst to produce hydrocarbons including methane (CH₄) and ethylene (C₂H₄).⁷⁻⁸ However, bulk Cu electrode exhibits high overpotentials and a lack of product selectivity. Furthermore, metallic Cu is oxidized under air easily.

Various strategies have been used to enhance the performance of CO₂RR performance by modulating the catalytic structure and physical properties of electrolyte as well as the oxidation state of Cu catalyst.^{4, 6, 9-14} For instance, Cu nanostructures with different particle size, particle morphology and surface area exert a great impact on the catalytic properties.⁹⁻¹⁴ C₂ products are formed over the Cu(100) electrode while Cu(111) is effective to produce C₁ products,⁷⁻⁸ suggesting that the facet of Cu catalyst results in different CO₂ reduction mechanisms. The physical properties of electrolyte

also play crucial roles in the product selectivity over the Cu electrodes. The electrolyte with different proton concentrations (pH values) influences the protonation step for the production of COOH in CO₂RR.⁵

The product selectivity can be enhanced over the oxide-derived Cu catalysts.^{11, 13, 15-18} These Cu-based materials synthesized by the reduction of thermally oxidized Cu,¹⁹ electrodeposited Cu₂O,²⁰ and oxygen plasma treated Cu catalysts^{13, 18} display a higher current density, formation of CO at low overpotentials, and improved FEs of C₂H₄.¹⁸ The effect of the oxide-derived Cu catalysts on the product selectivity has been studied. The grain boundaries in oxide-derived Cu could provide unique surface sites.²¹⁻²² Reske *et al.* showed that the low-coordinated atoms formed on the surface of the catalyst behave as the active sites during the oxide reduction.⁹ The Cu^{δ+} sites remained on the oxide-derived Cu surface have also been suggested to be the active sites for CO₂RR.^{18, 20} To further examine the effect of Cu(I) on the CO₂ reduction mechanism, previous studies reported that Cu(I) sites alone do not improve the efficiencies of CO₂RR. The DFT calculations proposed that the synergy between active surface Cu(I) and Cu(0) regions resulted in the adsorption of CO intermediates on different reaction sites is responsible for improving the CO dimerization.²³ However, experimental evidences are needed for the proposed model.

The surface-adsorbed CO intermediates are formed during CO₂RR, followed by

the dimerization process of CO intermediates, which are generally regarded as the control step for CH₄ and C₂H₄ formation.⁵ *In situ* surface-enhanced infrared absorption spectroscopy (SEIRAS) is a powerful tool for examining the adsorbed intermediates on Cu catalysts.²⁴⁻²⁸ As a result of various adsorption sites for CO molecule, previous studies on Cu surface mainly focus on the dynamic evolution of adsorbed CO and the formation of adsorbed CO including atop-adsorbed CO and bridge-adsorbed CO.^{27, 29-30} The atop-adsorbed CO has been recognized as the common intermediate on Cu surface²⁴⁻²⁸ and the atop-adsorbed CO alone is observed in a variety of experimental conditions such as pH value of electrolyte,³¹ and partial pressure of CO₂ (or CO).²⁵ These Cu electrodes are electrochemically deposited on Au substrate and chemically deposited on Si prism.^{26, 32} In contrast, Gunathunge *et al.* showed that both atop-adsorbed CO and bridge-adsorbed CO can be formed on the chemically deposited Cu thin film in alkaline pH electrolyte and the bridge-adsorbed CO is inactive during CO₂RR.²⁷ In addition, these CO intermediates are also observed in electropolished Cu electrode,³⁰ suggesting that controlling the formation of atop-adsorbed CO and bridge-adsorbed CO on Cu surface is still challenging. The CO₂ reduction mechanism behind various Cu electrodes is unclear due to the lack of understanding of oxidation state of Cu accompanied with the formation of CO intermediates.

In this study, we used *in situ* soft X-ray absorption spectroscopy, *in situ* SEIRAS

and on-line gas chromatography (GC) measurements to study the oxidation state of Cu, surface-adsorbed intermediates and final products during CO₂RR. By combining these *in situ* techniques, we are able to provide the complementary information and elucidate the reaction pathways about the electrochemical CO₂ reduction mechanism on Cu electrodes. Our results show that the amount of Cu(I) and Cu(0) oxidation states in Cu catalysts can be modulated by the electrochemical method. The coexistence of atop-adsorbed CO and bridge-adsorbed CO on the CV-treated Cu surface is observed during CO₂ reduction. The different CO species formed on the Cu electrodes could play crucial roles in the CO₂ reduction mechanism. The prepared Cu electrodes with specific oxidation states influence the formation of CO intermediates and product selectivity during CO₂RR.

Experimental Section

Chemicals and electrolyte

KHCO₃ (≥99.8 %) and CuSO₄ (≥99.8 %) were purchased from Sigma Aldrich. Ultrapure H₂SO₄ (98 %) and CO₂ (≥99.995 %) were purchased from Kanto Chemical Co. and FMI gas Taiwan, respectively. All chemicals were used as received without further purification. Millipore triple-distilled water (18.2 MΩ) was used to prepare solutions. The 0.1 M CO₂-saturated KHCO₃ electrolyte with a pH value of 6.8 is prepared by purging CO₂ in 0.1 M KHCO₃ electrolyte for 60 min.

Preparation of as-prepared Cu electrodes

A Cu thin film with the thickness of ca. 36 nm was prepared using physical vapor deposition (PVD) on the reflecting plane of hemicylindrical Si prism or Si wafer by a home-built ion beam sputtering system and then transferred into the electrochemical cell immediately for the measurements to avoid the Cu oxidation. The thickness of as-prepared polycrystalline Cu was measured by atomic force microscopy (AFM, Figure S1). A high purity Cu (99.998 %) purchased from Gredmann Taiwan Ltd. is used as the sputtering target and Ar⁺ is serving as the bombardment source. The deposition rate and thickness are monitored by using a quartz crystal microbalance. The as-prepared Cu electrode was deposited at a deposition rate of 0.4 Å s⁻¹ in the present study.

Preparation of cyclic voltammetry (CV)-treated Cu electrodes

CV-treated Cu electrode is prepared by cycling the as-prepared Cu electrode in a potential region of 0.55 to -0.3 V. All potentials in the present study are reported with respect to the reversible hydrogen electrode (RHE).

Preparation of electrodeposited Cu on Au electrode

A thin Au film with a thickness of ~ 10 nm is prepared on Si prism using electroless deposition described previously.^{24, 26, 33} The Au film was cycled in an Ar-saturated 0.1 M H₂SO₄ solution at a scan rate of 50 mV s⁻¹ between 0.1 and 1.6 V (vs. RHE) to clean Au surface.³⁴ The prepared Au film was then transferred in a solution of 5 mM CuSO₄

and 0.1 M H₂SO₄ for the electrochemical deposition process. Cu film (~50 nm) was then electrochemically deposited on Au film at 0.1 V (vs. RHE) for 600 s.²⁶ Figure S2 shows the AFM image and CV of electrodeposited Cu.

***In situ* surface-enhanced infrared absorption spectroscopy and electrochemical measurements**

In situ surface-enhanced infrared absorption spectroscopy (SEIRAS) was recorded in the home-built reflection accessory with external reflection configuration using a Thermo Nicolet 6700 FTIR spectrometer equipped with a HgCdTe (MCT) detector.³⁵ All spectra were acquired with a spectral resolution of 4 cm⁻¹. The typical acquisition time was 30 s per spectrum. The time-dependent measurement takes 12 s per spectrum. For spectroelectrochemical measurements, the spectrometer was coupled with the electrochemical workstation (ZENNIUM E, Zahner). The working electrodes are PVD Cu or electrodeposited Cu on Au. The counter and reference electrodes are graphite rod and Ag/AgCl electrode, respectively. The graphite counter electrode is used to avoid any possible contaminations from Pt electrode.²⁶ The electrolytes used in all spectroelectrochemical measurements were 0.1 M CO₂-saturated KHCO₃ (pH = 6.8). The CV for *in situ* SEIRAS measurements was performed at a scan rate of 2 mV s⁻¹. Figure S3 shows the cell configuration of *in situ* SEIRAS measurements. More details for *in situ* SEIRAS are described elsewhere.³⁶

X-ray absorption spectroscopy measurements

In situ soft X-ray absorption spectroscopy was used to monitor the changes in oxidation state of Cu surface during reactions. *In situ* and *ex situ* Cu L₃-edge X-ray absorption spectroscopy measurements were conducted with total fluorescence yield (TFY) mode and total electron yield (TEY) mode, respectively, in Taiwan Light Source beam-line 20A end station (National Synchrotron Radiation Research Center, Taiwan). A flow type spectroelectrochemical cell was used for *in situ* soft X-ray absorption spectroscopy measurements as described in previous literature.³⁷ First, we deposit Cu thin film (PVD Cu) with the thickness of ~36 nm on a SiN_x X-ray transparent window with underlying adhesion layers of Cr (2 nm) and Au (5 nm) served as working electrodes, and two Pt wires were conducted as counter and reference electrodes. X-ray absorption spectra were collected as a function of applied potential during cyclic voltammetry (CV) at a scan rate of 2 mV s⁻¹, which yields a potential resolution of ~120 mV owing to an acquisition time of 60 s per spectrum. Figure S4 shows the cell configuration of *in situ* soft X-ray absorption spectroscopy measurements. Since the Cu electrodes can be easily oxidized under air conditions, all the prepared Cu electrodes were kept in a vacuum chamber and transferred to a chamber filled with Argon before the soft X-ray absorption spectroscopy measurements to avoid the Cu oxidation.

In situ Cu K-edge X-ray absorption spectroscopy including extended X-ray

absorption fine-structure spectroscopy (EXAFS) was conducted in Taiwan Light Source beam-line 17A end station (National Synchrotron Radiation Research Center, Taiwan). All the spectra were further analyzed with Athena software.

Atomic force microscopy

The surface morphology of Cu was imaged by atomic force microscopy (AFM, Innova, Bruker) with tapping mode by using a Si₃N₄ cantilever (TESPA, radius of 8 nm, spring constant of 42 N m⁻¹, resonance frequency of 320 kHz, Bruker). All AFM studies were conducted on Si prisms with a hemicylindrical holder.

High performance liquid chromatography and on-line gas chromatography measurements

Gas product analysis was carried out using home-built on-line gas chromatography (GC) system with pulsed discharge helium ionization detector. (Agilent 7890, USA) The electrochemical cell coupled to the GC system was used to monitor the gas products formed during CO₂ reduction. Figure S5 shows the cell configuration for on-line GC measurements. High performance liquid chromatography (HPLC) with photometric diode array detector (JASCO, Japan) was used to analyze the liquid products such as formic acid (HCOOH). The reaction potential was held for 5 min to accumulate enough product for each GC/HPLC measurement. Each measurement takes ~20 min. Both PVD Cu electrode and electropolished Cu foil were used to study the

effect of CV treatment on the product distributions. The electropolished Cu foil was held at -1 V for 60 min to form the as-prepared Cu foil with the oxidation state of Cu(0) in the CO₂-saturated 0.1 M KHCO₃ electrolyte. The calculation of Faradaic efficiencies (FEs) for gas and liquid products is shown in supporting information.

Results and Discussion:

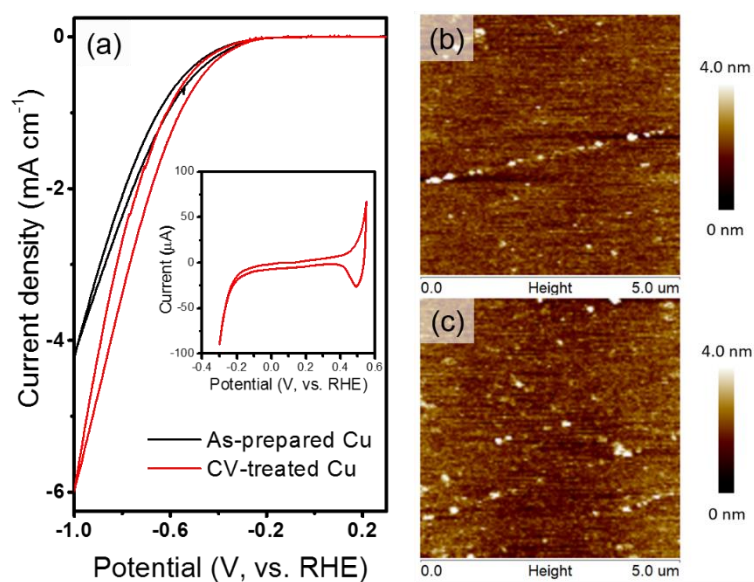


Figure 1. (a) Cyclic voltammetry (CV) of as-prepared Cu electrode and CV-treated Cu electrode with CO₂-saturated 0.1 M KHCO₃ electrolyte at a scan rate of 10 mV s⁻¹ in the potential range of 0.25 and -1 V. The inset in Figure 1a: CV curve of as-prepared Cu electrode obtained in a potential range of -0.3 to 0.55 V. AFM images of the surface morphology of (b) as-prepared and (c) CV-treated Cu electrode (after three CV-treated cycles). The scanned area of AFM images is 5 μm x 5 μm.

Figure 1a shows the cyclic voltammetry (CV) of as-prepared Cu electrode and CV-treated Cu electrode with CO₂-saturated 0.1 M KHCO₃ electrolyte at a scan rate of 10 mV s⁻¹ in the potential range of 0.25 to -1 V. The as-prepared Cu electrode is cycled in the potential region of 0.55 to -0.3 V (inset in figure 1a). After three cycles, CV-treated

Cu electrode is prepared and exhibits a larger reduction current than that of as-prepared Cu electrode in CO₂-saturated 0.1 M KHCO₃ electrolyte. Figure 1b-c shows the AFM images of the surface morphology of (b) as-prepared and (c) CV-treated Cu electrode (after three CV-treated cycles) with a scanned area of 5 μm x 5 μm. The surface roughness of CV-treated Cu electrode is similar to that of as-prepared Cu surface ($R_q = 0.44 \pm 0.05$ nm for as-prepared Cu surface and $R_q = 0.50 \pm 0.04$ nm for CV-treated Cu surface), suggesting that the changes in surface area of Cu electrode have less contribution on the larger reduction current of CV treated Cu surface during CO₂ reduction. Meanwhile, the double layer capacitances obtained from Cu electrodes suggest less changes in surface area of as-prepared Cu after three CV-treated cycles (Figure S6).

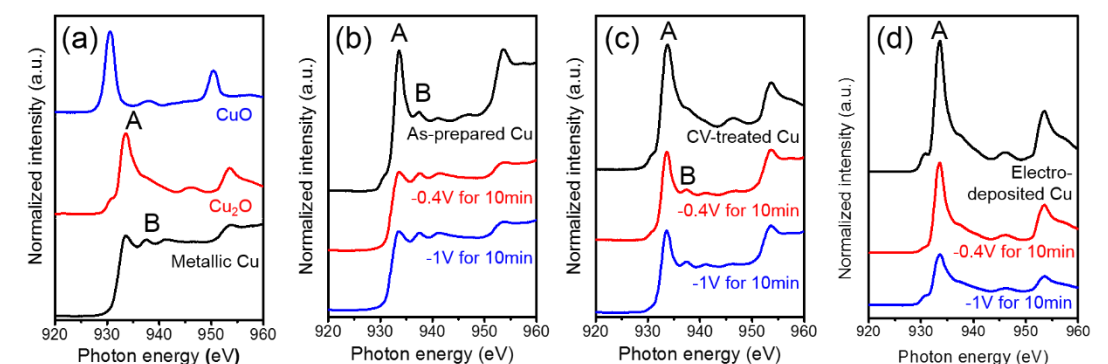


Figure 2. (a) Cu L₃-edge X-ray absorption spectra (XAS)-TEY mode of standard Cu electrodes. *Ex situ* Cu L₃-edge XAS of (b) as-prepared Cu electrode, (c) CV-treated Cu electrode (after three CV-treated cycles), and (d) electrodeposited Cu electrode obtained before and after CO₂ reduction. The XAS were collected in TEY mode.

To study the oxidation state of different Cu surfaces, *ex situ* Cu L₃-edge soft X-ray absorption spectroscopy were used to reveal the changes in oxidation state of Cu

electrode prepared at representative potentials with CO₂-saturated 0.1 M KHCO₃ electrolyte. As compared to Cu K-edge hard X-ray absorption spectroscopy, the soft X-ray absorption spectroscopy measurements collected in TEY mode can be used to study the oxidation state of Cu surface in a range of 2-20 nm thickness which provides surface information.³⁸⁻³⁹ Figure 2a shows Cu L₃-edge X-ray absorption spectra (XAS)-TEY mode of Cu, Cu₂O and CuO electrodes as the reference spectra.^{37, 40} Peak A at 933.6 eV is associated with the feature of Cu(I) and peak B at 937.5 eV is assigned to the metallic Cu (Cu(0)) in Cu electrode.^{37, 40} Figure 2b-d shows Cu L₃-edge XAS of (b) as-prepared Cu electrode, (c) CV-treated Cu electrode (after three CV-treated cycles), and (d) electrodeposited Cu electrode in TEY mode. The feature of peak A and B suggests that both Cu(0) and Cu(I) exist on the surface of as-prepared Cu electrode before CO₂ reduction. (Fig. 2b) Thus, the XAS of as-prepared Cu electrode also exhibit Cu(I) feature before CO₂RR. The existence of Cu(I) resulted from the surface oxidation of metallic copper under air conditions prior to immersion in the electrolyte has been proposed previously.⁴¹⁻⁴² The XAS of the electrodeposited Cu electrode and CV-treated Cu electrode indicate that Cu(I) dominates the Cu surface before CO₂RR and the Cu(0) feature could be embedded in the spectra. (Fig. 2c-d)

The prepared Cu electrodes are then held at representative potentials for 10 min during CO₂ reduction. Figure 2b-d show that *ex situ* Cu L₃-edge XAS of (b) as-prepared

Cu electrode, (c) CV-treated Cu electrode, and (d) electrodeposited Cu electrode collected in TEY mode were obtained at representative potentials with CO₂-saturated 0.1 M KHCO₃ electrolyte. The oxidation state of as-prepared Cu electrode changes from the mixture of Cu(0) and Cu(I) to Cu(0) at -0.4 V while the XAS of other two Cu catalysts are mainly showing the feature of Cu(I). The diminished Cu(I) at lower overpotential could be related to the small amount of Cu(I) in as-prepared Cu electrode. After further reduction at -1 V, the XAS of CV-treated Cu exhibit that the intensity of peak A decreases and the feature of peak B becomes clear after holding the potential at -1 V for 10 min, suggesting that some Cu(I) are reduced to Cu(0) and both Cu(I) and Cu(0) still exist on the Cu surface. In contrast, although the electrodeposited Cu should also contain the Cu(0) oxidation state during the reaction, XAS of electrodeposited Cu mainly exhibit the Cu(I) feature after holding the potential at -1 V for 10 min. The reduction from Cu(I) to Cu(0) in electrodeposited Cu electrode takes longer time than that of the other Cu electrodes which could be attributed to the larger amount of Cu(I) on electrodeposited Cu surface.

The hard X-ray absorption spectroscopy (Cu K-edge) was used to characterize the changes in oxidation state of Cu materials.¹⁸ Figure S7 shows the Cu K-edge XAS of electrodeposited Cu electrode, as-prepared electrode and CV-treated Cu electrode. Although Cu K-edge XAS involve the information about the oxidation state of bulk Cu

metal ($>2 \mu\text{m}$),⁴³ extended X-Ray absorption fine structure (EXAFS) obtained on the as-prepared Cu electrode shows the peak at 2.2 \AA which is assigned to the Cu-Cu bond.⁴⁴⁻⁴⁵ EXAFS of electrodeposited Cu electrode and CV-treated Cu electrode shows the peak at 1.5 \AA associated with Cu-O bond, suggesting that Cu(I) could result from the persistence of Cu oxides.¹⁸

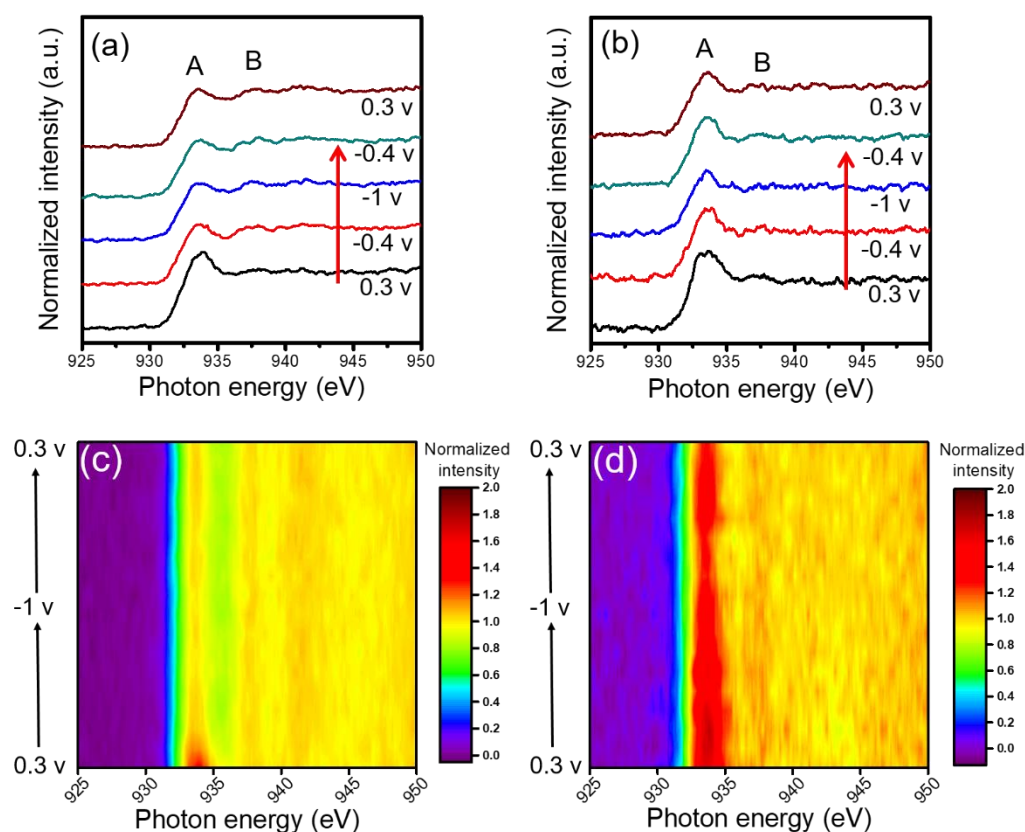


Figure 3. *In situ* Cu L₃-edge XAS of (a) as-prepared Cu electrode, (b) CV-oxidized Cu electrode shown at representative potentials with CO₂-saturated 0.1 M KHCO₃. Contour plots of *in situ* Cu L₃-edge XAS of (c) as-prepared Cu and (d) CV-treated Cu cycled between 0.3 and -1 V. *In situ* Cu L₃-edge XAS were collected in TFY mode.

To further examine the effect of CV treatment on the characteristics of Cu electrode during electrochemical CO₂ reduction, we next performed *in situ* soft X-ray

absorption spectroscopy measurements of as-prepared Cu electrode and CV-treated Cu electrode to reveal the changes in oxidation state of Cu surface during electrochemical CO₂ reduction. Although the information provided by XAS-TEY mode is more surface sensitive, electrochemical soft X-ray absorption measurements can be operated in TFY mode.⁴⁶ We show the XAS-TFY mode of standard Cu electrodes in Figure S8. *In situ* Cu L₃-edge XAS of as-prepared Cu electrode shown at representative potentials were obtained in the potential region of 0.3 and -1 V (Figure 3a). The scan rate is 2 mV s⁻¹ during cycling and the electrolyte is CO₂-saturated 0.1 M KHCO₃. Peak A at 933.6 eV associated with the feature of Cu(I) appears at 0.3 V.⁴¹ During the CO₂ reduction process, the intensity of Peak A decreases during the scan from 0.3 to -1 V, indicating that the oxidation state of Cu surface changes from Cu(I) to metallic Cu. The oxidation state of as-prepared Cu electrode persists Cu(0) during the backward scan from -1 to 0.3 V. In contrast, *in situ* Cu L₃-edge XAS of CV-treated Cu (after three CV-treated cycles) still exhibit the combination of Cu(I) and Cu(0) feature during cycling (Figure 3b).

Figure 3c-d shows the contour plots of *in situ* Cu L₃-edge XAS of (c) as-prepared Cu and (d) CV-treated Cu cycled between 0.3 and -1 V with CO₂-saturated 0.1 M KHCO₃ electrolyte. *In situ* Cu L₃-edge XAS of as-prepared Cu show that the intensity of Cu(I) decreases rapidly during CO₂ reduction process (Figure 3c). In contrast, Figure 3d shows that the feature of Cu(I) obtained at 0.3 V becomes weaker during cycling.

We found that the reduction of Cu(I) to Cu(0) in CV-treated Cu is much slower, suggesting that the reduction of oxidation state over these Cu electrodes is a time-dependent process during CO₂ reduction.

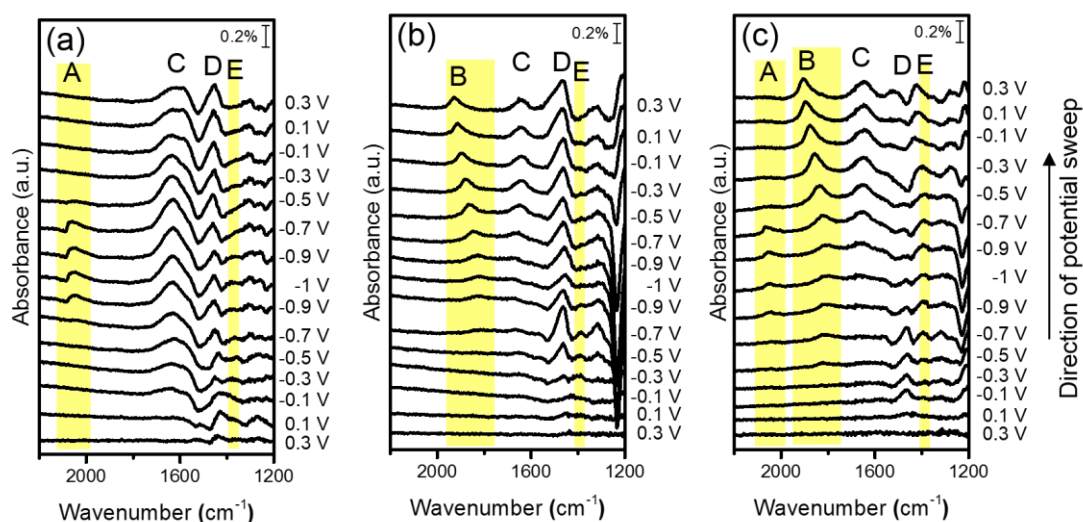


Figure 4. *In situ* SEIRAS of (a) electrodeposited Cu electrode, (b) as-prepared Cu electrode and (c) CV-treated Cu electrode (after three CV-treated cycles) during cathodic (negative) scan and anodic (positive) scan in CO₂-saturated 0.1 M KHCO₃ electrolyte.

In order to understand the effect of valance state of Cu surface on the CO₂ reduction mechanism, we used *in situ* surface-enhanced infrared absorption spectroscopy (SEIRAS) to identify the intermediates formed on different Cu surface during CO₂ reduction. IR spectra were collected every 100 mV during a potential step. All the IR spectra are shown in Figure S9. Figure 4 shows *in situ* SEIRA spectra of (a) electrodeposited Cu electrode, (b) as-prepared Cu electrode and (c) CV-treated Cu electrode obtained at representative potentials during the first cycle. Reference spectra were obtained at 0.3 V in CO₂-saturated 0.1 M KHCO₃ electrolyte. Figure 4a shows the

in situ SEIRA spectra of electrodeposited Cu electrode collected in the cathodic (negative) scan from 0.3 to -1 V. Peak A at 2048 cm^{-1} corresponding to atop-adsorbed CO (CO_{atop}) on Cu surface appears during the negative scan. The asymmetric peak A is observed as the potential is shifted to -1 V.⁴⁷ CO_{atop} disappears during the anodic (positive) scan. Among various adsorbed CO species, CO_{atop} is formed alone on the electrodeposited Cu surface during CO_2 reduction.^{24, 26} The position of CO_{atop} -associated peak obtained on Cu surface ($2080\text{-}2040\text{ cm}^{-1}$) is different from the position of CO_{atop} -associated peak obtained on Au surface ($2110\text{-}2130\text{ cm}^{-1}$),⁴⁸ suggesting that the electrodeposited Cu electrode completely covers the underlying Au surface.

In contrast, Figure 4b shows the *in situ* SEIRA spectra of as-prepared Cu electrode, clearly revealing peak B at 1832 cm^{-1} during negative scan. Peak B associated with bridge-adsorbed CO ($\text{CO}_{\text{bridge}}$) on Cu surface is obtained at -0.5 V and gradually redshifts to 1827 cm^{-1} at -1 V during the negative scan. Upon scanning the potential from -1 to 0.3 V, peak B then blueshifts from 1827 to 1930 cm^{-1} . Similar potential-dependent behavior of surface-adsorbed $\text{CO}_{\text{bridge}}$ has been reported on Cu.²⁷ The blueshift in vibrational frequency of $\text{CO}_{\text{bridge}}$ is ascribed to the decrease in the dipole-dipole interaction among $\text{CO}_{\text{bridge}}$ molecules and/or electron back-donation from the Cu surface to the $\text{CO}_{\text{bridge}}$ molecules.^{27, 49} Peak C is associated with the H-O-H bending mode of adsorbed water on the Cu surface and peak D is assigned to HCO_2^- .^{35 50-51} The

irreversible changes in the adsorption behavior of adsorbed water during cycling is consistent with previous studies.³⁵ The role of adsorbed water in CO₂ reduction mechanism is discussed in supporting information.

Interestingly, *in situ* SEIRA spectra of CV-treated Cu electrode show the formation of both CO_{atop} and CO_{bridge} during CO₂ reduction, suggesting that CO₂ reduction mechanism over the as-prepared Cu surface is changed after the CV treatment (Figure 2c). Although porous Cu materials effectively enhance vibrational signatures of surface adsorbates, which allows us to probe surface species,⁵² the AFM images of as-prepared Cu surface and CV-treated Cu surface (after three CV-treated cycles) show a similar roughness of the Cu surfaces and the IR intensities of CO_{bridge}-associated peaks obtained over the two Cu electrodes are the same. Thus, the appearance of CO_{atop} in CV-treated Cu surface resulted from different plasmonic absorption properties of Cu surface can be excluded. In addition, peak E associated with COO⁻ is formed, followed by the formation of CO_{atop} and CO_{bridge}. The intensity of peak E decreases in further reduction. Peak assignments are summarized in Table 1.^{24-29, 50-51, 53-54}

Figure S10 shows the *in situ* SEIRA spectrum of CV-treated Cu electrode obtained at -1 V, exhibiting that both CO_{bridge} and CO_{atop} adsorbed on the Cu surface. We then remove the potential control and replace the electrolyte with fresh CO₂-saturated 0.1 M KHCO₃ electrolyte without exposing the Cu electrode to air. On the measured anew

SEIRA spectrum of CV-treated Cu electrode, the CO_{atop} -associated peak disappears.

The fact that CO_{atop} can be removed from the surface without the applied potential

suggests that the formation of CO_{atop} on the Cu surface is a dynamic adsorption process.

Peak label	Peak position (cm^{-1})	Assignments	Literature reference
A	1951~2094	CO stretching in atop adsorbed CO	24-29
B	1806~1907	CO stretching in bridge adsorbed CO	27-29
C	1645	H-O-H bending of H_2O	25, 26
D	1467	C-O stretching of HCO_2^-	50, 51
E	1396	COO^- symmetric stretching	24, 53, 54

Table 1. Experimental vibrational frequencies and assignments for the species in electrochemical CO_2 reduction.

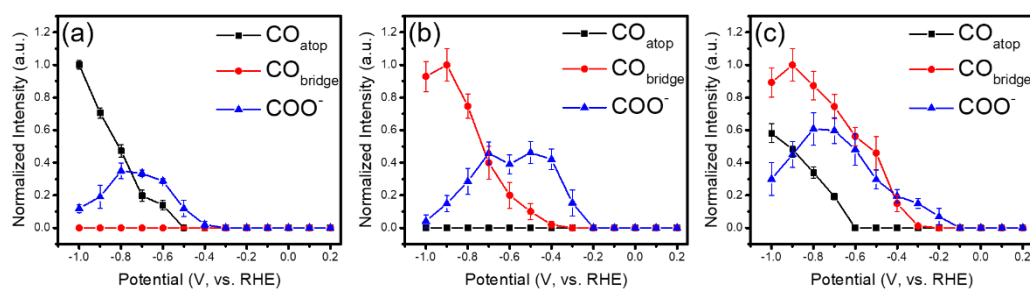


Figure 5. Potential dependence of the intensity of CO_{atop} , $\text{CO}_{\text{bridge}}$ and COO^- obtained in (a) electrodeposited Cu electrode, (b) as-prepared Cu electrode and (c) CV-treated Cu electrode from 0.2 to -1 V taken from Figure 4.

Figure 5 shows the potential dependence of the intensity of $\text{CO}_{\text{bridge}}$, CO_{atop} and COO^- obtained in (a) electrodeposited Cu electrode, (b) as-prepared Cu electrode and (c) CV-treated Cu electrode from 0.2 to -1 V taken from Figure 4. All peak intensities were normalized to the most intense peak at specific potential. The CO_{atop} -associated peak is observed at -0.6 V and drastically increases from -0.6 to -1 V in electrodeposited Cu electrode (Figure 5a). The peak associated with COO^- appears at -0.4 V and gradually increases in further reduction. Figure 5b shows that $\text{CO}_{\text{bridge}}$ -associated peak appears at -0.4 V in the as-prepared Cu electrode, while CO_{atop} -associated peak is absent

during the CO₂ reduction. Notably, both CO_{bridge} and CO_{atop}-associated peaks are obtained in the CV-treated Cu electrode which could be related to the formation of different final products (Figure 5c).

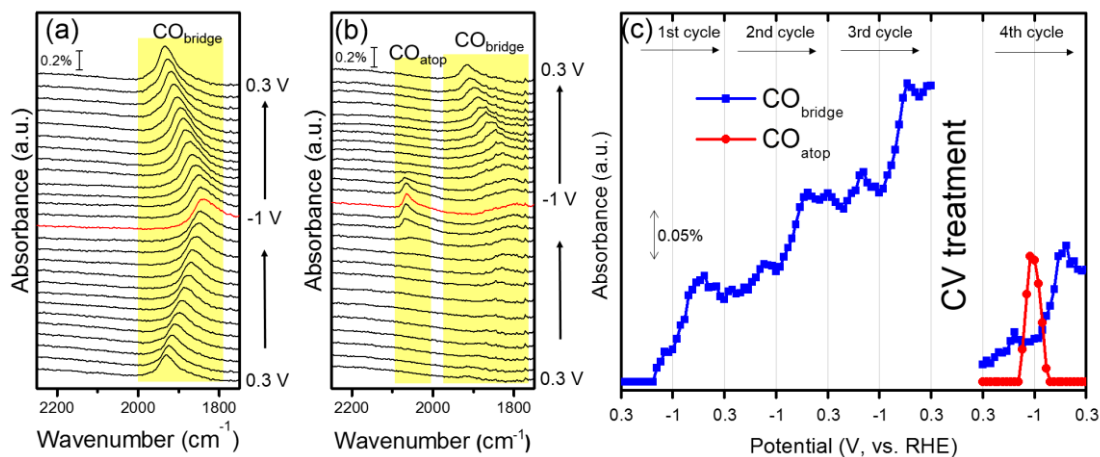


Figure 6. *In situ* SEIRA spectra of (a) as-prepared Cu electrode obtained in the (a) 3rd cycle and the (b) 4th cycle of CO₂ reduction process (after CV-treated cycles). (c) Potential-dependence of intensity of CO_{bridge} and CO_{atop}-associated peaks during four cycles.

We next study the formation of CO_{bridge} and CO_{atop} species during continuous cycles. Figure 6a-b shows the *in situ* SEIRAS of as-prepared Cu electrode obtained in the 3rd cycle and the 4th cycle of CO₂ reduction process (after CV-treated cycles). The reference spectrum is obtained at 0.3 V before the CO₂ reduction. The CO_{bridge}-associated peak resulted from the residual CO_{bridge} on the Cu surface is observed at 0.3 V before the third cycle (Figure 6a). The CO_{bridge}-associated peak redshifts during the negative scan and blueshifts during the backward scan between 0.3 V and -1 V, indicating that the adsorption behavior of residual CO_{bridge} is the same during continuous cycles.

Figure 6c shows the potential-dependence of intensity of CO_{bridge} and CO_{atop}-associated peaks during continuous four cycles. We found that the growth of residual CO_{bridge} is facilitated by polarizing the potential below -0.5 V during CO₂ reduction. The intensity of CO_{bridge}-associated peak rises at -0.5 V during negative scan and keeps increasing during backward scan until -0.3 V, suggesting that residual CO_{bridge} species is formed on the surface. The total amount of residual CO_{bridge} increases from the first to third cycle. After CV treatment, the CO_{atop}-associated peak appears during the CO₂ reduction, which could be related to the formation of Cu(I). The intensity of CO_{bridge}-associated peak drops, suggesting that CO_{bridge} can be removed from Cu surface during Cu oxidation process.²⁷

Possible reasons for the growth of residual CO_{bridge} during cycles are proposed as followings. (1) The increase in surface roughness of Cu electrode could provide more surface area for CO_{bridge} adsorption during cycling. Although AFM images show that the surface roughness of as-prepared Cu electrodes is similar before and after three CV-treated cycles in the potential range of -0.3 and 0.55 V (Fig. 1b-c), the surface reconstruction of as-prepared Cu electrode could only take place at the atomic level. In addition, AFM image of the surface morphology of CV-treated Cu electrode (after 100 CV-treated cycles) shows that the surface becomes rougher. (Fig. S11) (2) *In situ* SEIRA spectra of Cu electrodes show that the peaks associated with δ_{HOH} bending mode of

adsorbed water appear during the negative scan and maintain during the backward scan,³⁵ which is most likely due to the irreversible changes of the Cu surface. (3) The increase in the amount of residual $\text{CO}_{\text{bridge}}$ is similar during each cycle, suggesting that $\text{CO}_{\text{bridge}}$ species occupy a portion of Cu surface. Polycrystalline Cu electrode reconstructs and forms Cu (111) and Cu (100) facets in the electrolyte⁵⁵⁻⁵⁶ and CO-induced surface reconstruction has been reported.⁴⁷ Thus, the irreversible surface reconstruction could lead to form more favorable sites for $\text{CO}_{\text{bridge}}$ adsorption during cycling.

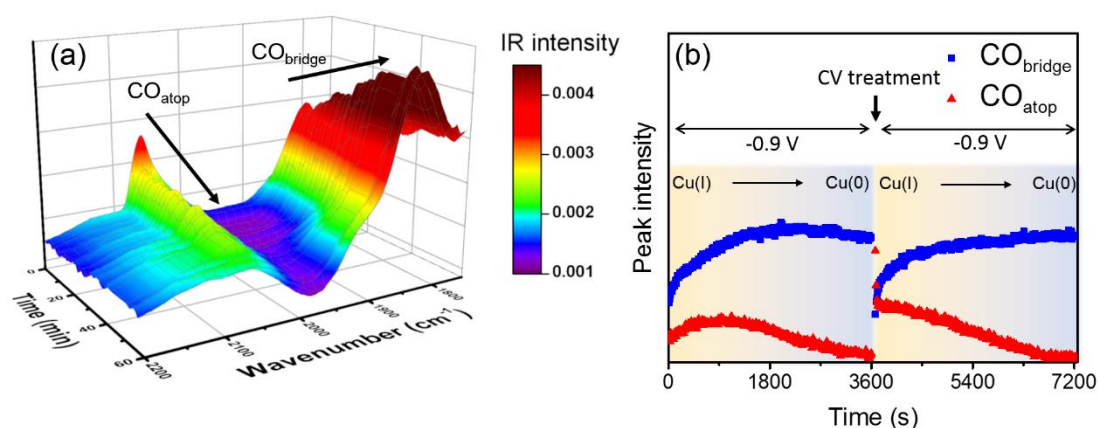


Figure 7. (a) *In situ* SEIRA spectra of CV-treated Cu electrode and (b) time dependence of the $\text{CO}_{\text{bridge}}$ and CO_{atop} -associated peak intensities at -0.9 V.

In order to study the persistence of the different CO species during CO_2 reduction, we examined the SEIRA spectra of CV-treated Cu electrode obtained with time, during a holding-potential at -0.9 V. Figure 7a shows the *in situ* SEIRA spectra of CV-treated Cu electrode and that obtained after holding the potential at -0.9 V for 60 min. The $\text{CO}_{\text{bridge}}$ and CO_{atop} -associated peaks are absent at 0.3 V and these peaks appear after

stepping the potential from 0.3 to -0.9 V. Figure 7b shows the time dependence of the $\text{CO}_{\text{bridge}}$ and CO_{atop} -associated peak intensities at -0.9 V. The intensity of $\text{CO}_{\text{bridge}}$ -associated peak reaches maximum in ~ 30 min and remains at -0.9 V. In contrast, the intensity of CO_{atop} -associated peak increases in the first 15 min and then decreases with the time. The decrease in the intensity of CO_{atop} suggests that CO_{atop} leaves the surface easily and CO_{atop} adsorption is a dynamic process. The XAS of CV-treated Cu electrode obtained during a potential hold at -0.9 V mainly exhibit Cu(I) feature for the first 15 min which is due to the slow reduction of Cu(I). After holding the potential at -0.9 V for 60 min, the oxidation state of Cu surface changes from Cu(I) to Cu(0).

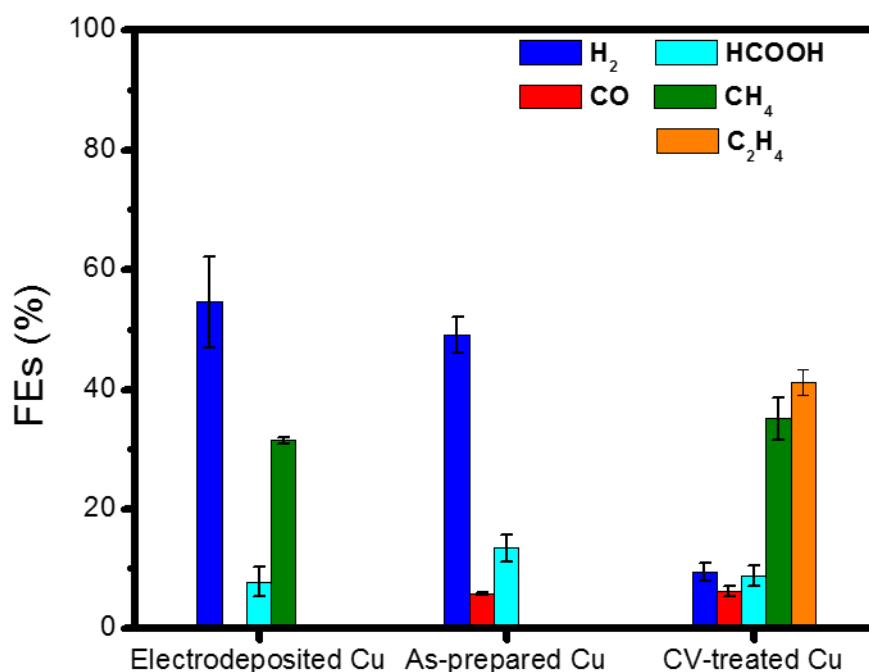


Figure 8. FEs of major products catalyzed by electrodeposited Cu electrode, as-prepared Cu electrode and CV-treated Cu electrode (after 100 CV-treated cycles) at -1

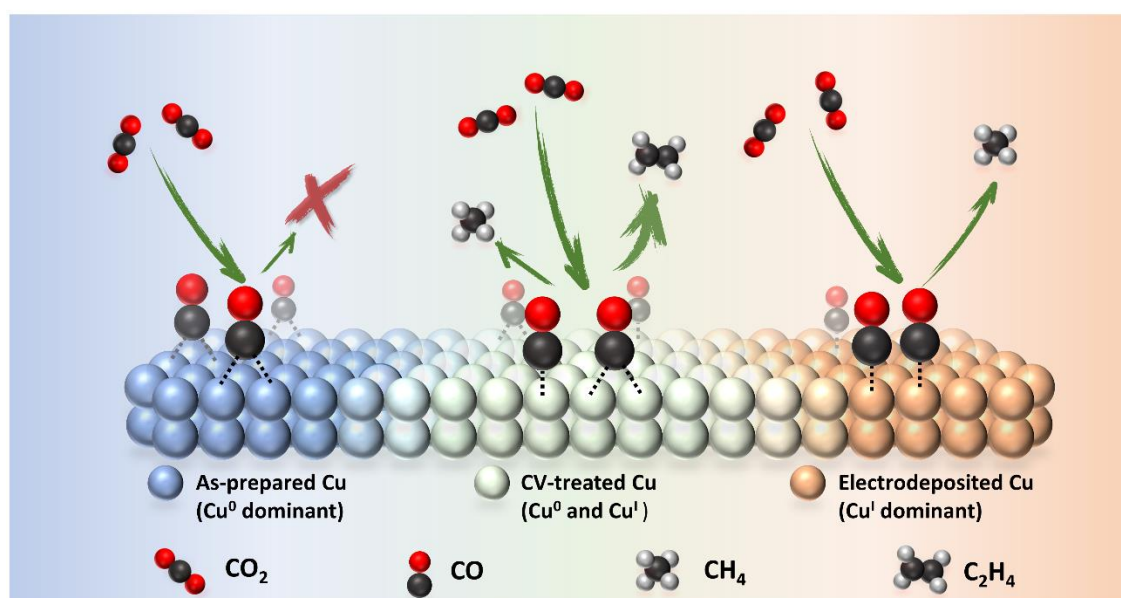
V in CO₂ saturated 0.1 M KHCO₃ electrolyte.

The product distributions obtained from various Cu catalysts were used to examine the electrocatalytic properties of Cu and gain more insight into the CO₂ reduction mechanism. Figure 8 shows the FEs of major products catalyzed by electrodeposited Cu electrode, as-prepared Cu electrode and CV-treated Cu electrode (after 100 CV-treated cycles) at -1 V. The major products catalyzed by electrodeposited Cu electrode at -1 V are H₂, CH₄ and HCOOH with the FEs of 50 %, 30 % and 10 %, respectively. In addition, only small amount of C₂ product such as C₂H₄ can be produced at even higher overpotential, which is consistent with previous studies.²⁵

The major CO₂ reduction products over the as-prepared Cu electrode are H₂, CO and HCOOH at -1 V, with the FEs being 50 %, 5 %, and 10 % at -1 V, respectively. H₂ becomes the dominant product, indicating that the high FE toward hydrogen evolution reaction is observed in as-prepared Cu electrode and less hydrocarbon products can be formed. In order to provide a significant effect of CV treatment on the final product analysis, the CV-treated Cu electrode is prepared after 100 CV-treated cycles for the on-line GC measurements. The CV-treated Cu electrode (after 100 CV-treated cycles) produces more hydrocarbon species such as C₂H₄ (FE= 40 %) and CH₄ (FE= 35 %), suggesting that the presence of CO_{bridge} and CO_{atop} leads to form different products. The improved selectivity can be observed even with less CV-treated cycles (Figure S12).

In addition, similar FE of HCOOH is obtained over the three Cu catalysts at -1 V,

suggesting that the oxidation state of Cu and formation of CO species could have less effect on the formation of HCOOH.¹³ The lower total FE (~65 %) of major products obtained in the as-prepared Cu electrode could result from the formation of residual CO (CO_{bridge}) on as-prepared Cu surface at -1 V shown in Figure 7. The CV-treated Cu electrode with the coexistence of Cu(I) and Cu(0) results in the formation of CH₄ and C₂H₄ at -1 V. Both CH₄ (FE= 35 %) and C₂H₄ (FE= 40 %) are primary CO₂ reduction products and the FE of H₂ dramatically decreases to ~10 %.



Scheme 1. Schematic illustration of electrochemical CO₂ reduction on Cu surface.

We next discuss the possible reasons for the improved CO₂ reduction catalyzed by CV-treated Cu electrode. Previous studies showed that C₂ products are favored on dendritic or branched copper materials.^{11,14} The branched copper oxide nanoparticles exhibited a high FE of ethylene (FE= 70%) and a hydrogen FE of 30% without any byproducts in a neutral aqueous solution.¹¹ Also, the surface morphology of Cu

electrode has been proposed to change the CO₂ reduction pathway. The surface reorganization of Cu(polycrystalline) surface to Cu(polycrystalline)-[Cu(100)] surface is a general phenomenon at the negative potential⁵⁵⁻⁵⁶ and Cu(100) surface requires the lower overpotential to reduce CO₂ to C₂H₄.⁵⁷⁻⁵⁸ However, the CV-treated Cu electrode exhibits similar surface morphology before and after CO₂ reduction (Fig.1c, Fig. S11 and Fig. S13). Fig. S14 shows that the FEs of methane and ethylene products catalyzed by CV-treated Cu electrode (after three CV-treated cycles) decrease with the time after holding the potential at -1 V. The decreases in the product selectivity with time are not consistent with surface reorganization of Cu(100) for favorable CO₂-to-ethylene conversion. Moreover, the product distributions obtained in the CV-treated Cu catalysts and dendritic or branched copper materials are different. Thus, the product distributions are not fully correlated with the changes in surface morphology in the present study.

The role of Cu oxidation state has been examined in the product selectivity. We prepared Cu electrodes with the oxidation state of Cu(0) (*i.e.*, as-prepared Cu electrode), Cu(I) (*i.e.*, electrodeposited Cu electrode) and the mixture of Cu(0) and Cu(I) (*i.e.*, CV-treated Cu electrode) in the present study. The proposed electrochemical CO₂ reduction mechanism on different Cu catalysts is shown in scheme 1. *In situ* SEIRA spectra show that different CO species are formed on these Cu surfaces. The electrodeposited Cu electrode shows that Cu(I) is the dominant. CO_{atop} intermediate species is obtained over

electrodeposited Cu electrode, which could lead to form CH₄ as the C₁ product. The residual CO_{bridge} and less hydrocarbon product are obtained in the as-prepared Cu electrode with Cu(0). In the CV-treated Cu electrode, XAS show the combination of Cu(I) and Cu(0) feature. The presence of both CO_{atop} and CO_{bridge} intermediate species on Cu surface is observed and the formation of C₂ products such as C₂H₄ is enhanced. Although surface-adsorbed CO_{bridge} has been considered as the irreversible CO species, which is inert to the reduction reaction,²⁷ our results suggest that CO_{bridge} might play an important role in producing the C₂ products in the presence of CO_{atop}. Quantum mechanics method was used to validate a unique catalyst for electrochemical CO₂ reduction.²³ Xiao et al. proposed that the selectivity and activity of C₂ products are enhanced at the borders of oxidized and metallic surface regions.²³ Based on their proposed model, two CO species including CO_{bridge} and CO_{atop} adsorbed on Cu(0) and Cu(I) regions are negatively and positively charged, respectively, which assist the CO dimerization and form valuable chemicals products (HCO_x). Thus, the theoretical model might correlate with the coexistence of CO_{bridge} and CO_{atop} intermediates for the improved formation of C₂ product in the CV-treated Cu electrode.

Conclusions

In summary, we found that the Cu surface with oxidation state distribution changes the CO₂ reduction mechanism. The electrodeposited Cu electrode shows more Cu(I).

The CO_{atop} intermediate species is formed on the surface and the formation of C_1 hydrocarbon product is obtained during further reduction. As-prepared Cu electrode exhibits the oxidation state of Cu(0). The $\text{CO}_{\text{bridge}}$ is formed on the surface and inhibits the formation of hydrocarbon product. In contrast, the CV-treated Cu electrode shows the coexistence of Cu(I) and Cu(0) and forms both CO_{atop} and $\text{CO}_{\text{bridge}}$ during CO_2 reduction. The enhanced C_2 product selectivity is also obtained. Thus, oxidation state of Cu catalysts affects the electrocatalytic properties of interface and modulates the CO_2 reduction mechanism. Our findings provide a strategy for designing more selective Cu electrocatalysts for electrochemical CO_2 reduction.

ASSOCIATED CONTENT

Supporting Information.

AFM images of as-prepared Cu and the cross section profile of as-prepared Cu (Figure S1). AFM image and CV of electrodeposited Cu electrode (Figure S2). Cell configuration of in situ SEIRAS measurements (Figure S3). Cell configuration of in situ soft XAS measurements (Figure S4). Cell configuration of on-line GC measurements (Figure S5). Double layer capacitances of as-prepared Cu electrode and CV-treated Cu electrode (Figure S6). EXAFS spectra of pristine as-prepared, CV-

treated and electrodeposited Cu electrodes (Figure S7). Cu L₃-edge XAS of standard Cu electrodes in TFY mode (Figure S8). *In situ* SEIRA spectra of electrodeposited Cu electrode, as-prepared Cu electrode and CV-treated Cu electrode (Figure S9). *In situ* SEIRA spectra of CV-treated Cu electrode obtained at -1 V and without applied potential (Figure S10). AFM image of the surface morphology of CV-treated Cu electrode (after 100 CV-treated cycles) (Figure S11). FEs of major products catalyzed by CV-treated Cu electrode (after 3, 10 and 30 CV-treated cycles) at -1 V (Figure S12). AFM images of the surface morphology of (a) CV-treated Cu electrode (3 CV-treated cycles) and (b) CV-treated Cu electrode (100 CV-treated cycles) after holding the potential at -1 V for 60 min in the CO₂ saturated 0.1 M KHCO₃ electrolyte (Figure S13). FEs of methane and ethylene catalyzed by CV-treated Cu electrode (after three CV-treated cycles) after holding the potential at -1 V in CO₂ saturated 0.1 M KHCO₃ electrolyte. (Figure S14). This material is available free of charge via the Internet at <http://pubs.acs.org>.

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Notes

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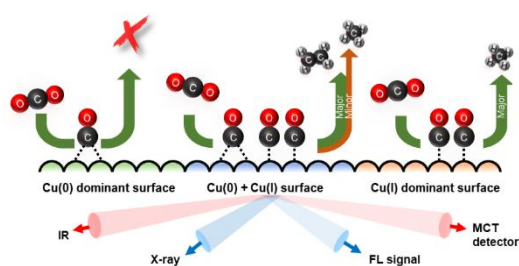
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